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Deoxyuracil in DNA and Disease: Genomic Signal or Managed Situation?

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Abstract

Genomic instability is implicated in the etiology of several deleterious health outcomes including megaloblastic anemia, neural tube defects, and neurodegeneration. Uracil misincorporation and its repair are known to cause genomic instability by inducing DNA strand breaks leading to apoptosis, but there is emerging evidence that uracil incorporation may also result in broader modifications of gene expression, including: changes in transcriptional stalling, strand break-mediated transcriptional upregulation, and direct promoter inhibition. The factors that influence uracil levels in DNA are cytosine deamination, *de novo* thymidylate (dTMP) biosynthesis, salvage dTMP biosynthesis, dUTPase, and DNA repair. There is evidence that the nuclear localization of the enzymes in these pathways in mammalian cells may modify and/or control the levels of uracil accumulation into nuclear DNA. Uracil sequencing technologies demonstrate that uracil in DNA is not distributed stochastically across the genome, but instead shows patterns of enrichment. Nuclear localization of the enzymes that modify uracil in DNA may serve to change these patterns of enrichment in a tissue-specific manner, and thereby signal the genome in response to metabolic and/or nutritional state of the cell.

Keywords

DNA repair; thymidylate; dUTPase; folate deficiency; neural tube defects; uracil in DNA

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1. Introduction

Deoxyuracil in DNA can originate from cytosine base deamination or from the misincorporation of dUTP during DNA synthesis by DNA polymerases (1). Genomic uracil is recognized and removed by DNA repair enzymes, and excessive levels of genomic uracil can lead to the accumulation of repair-mediated strand breaks and apoptosis (2). Repair of uracil in DNA is known to induce p53-dependent apoptosis (2–4) and may induce PARP1-dependent necrosis (5, 6). Current literature suggests that strand breaks, apoptosis, and necrosis induced by repair of uracil in DNA likely contribute to the etiology of vitamin-deficiency related megaloblastic anemia, neural tube defects (NTDs), and neurodegenerative disorders. This potential for deleterious effects of uracil in DNA, and the multiple pathways that affect its accumulation and repair, suggest the presence of evolutionally-conserved mechanisms to minimize its accumulation in DNA.

This review will focus on the mechanisms that determine uracil incorporation into DNA and explore the evidence that uracil accumulation in DNA is regulated and may be a genomic signal by influencing transcription. The link between tissue-specific differences in uracil levels in DNA and transcription as now being realized. DNA repair intermediates, which are known to be induced by uracil incorporation, have been shown to induce changes in gene expression (7–11). Uracil levels in DNA, and the expression of enzymes which influence it, have been shown to exhibit tissue-specific expression (12–15). Additionally, DNA sequencing has recently revealed that genomic uracil is not randomly distributed, but shows patterns of enrichment in the bacterial, yeast, and mammalian genomes (16, 17). In mammalian cells, but not in yeast cells, nuclear localization of the enzymes influencing uracil levels in DNA have been shown to be critical in limiting uracil accumulation in DNA (13). Therefore, nuclear localization of these enzymes may modify both patterns of uracil accumulation in DNA and resulting changes in gene expression. Lastly, the impact of uracil-mediated changes in gene expression may underlie disease phenotypes associated with uracil accumulation in nuclear DNA.

2. Overview of factors that influence uracil accumulation in DNA

The presence of uracil in DNA results from spontaneous chemical deamination of cytosine, or enzymatic and metabolic processes governed by the expression, localization, and activity of several enzymes that either catalyze cytosine deamination or generate biosynthetic intermediates in nucleotide synthesis that control rates of DNA synthesis and repair. Specifically, genomic uracil is generated via spontaneous or enzyme-catalyzed cytosine deamination or by misincorporation of dUTP into DNA during DNA synthesis. Misincorporation occurs when DNA polymerases incorporate dUTP into DNA, in place of dTTP, and the rate of misincorporation is believed to be determined by the intracellular dUTP:dTTP ratio. This ratio is influenced by rates *de novo* thymidylate (dTMP) biosynthesis, salvage dTMP biosynthesis, and dUTP degradation by dUTPase. Once uracil has been incorporated into DNA, it can be excised by one of several repair mechanisms. The levels of uracil in DNA vary across species and cell types (Table 1). There are several methods to measure uracil levels in DNA including GC-MS, LC-MS, and DNA blotting-based methods (18–20). Methodological differences introduce technical variation, making it

difficult to compare measurements of uracil in DNA across studies (19). The relative contribution of cytosine deamination, uracil misincorporation, and uracil repair to steady state levels of uracil in DNA among tissues remains largely uncharacterized. These mechanisms are described in detail in this section.

2.1. Nonenzymatic and enzymatic cytosine deamination

Both spontaneous and enzyme-catalyzed cytosine deamination results in U:G pairing in DNA. If not repaired, this can lead to a transition mutation (Figure 1). Cytosine deamination is estimated to occur at a rate of 400 deaminations/day/human genome (1).

Outside of spontaneous deamination, the enzyme activation induced cytidine deaminase (AID) plays a critical role in antibody diversification via class switch recombination and somatic hypermutation of immunoglobulin genes in immune cells. Additionally, the enzyme apolipoprotein B editing complex catalytic subunit 1 (APOBEC1) induces cytosine deamination in mRNA, generating a stop codon; APOBEC1 has also been shown to induce cytosine deamination in DNA, which is thought to be critical in antibody diversification (24). However, low level AID expression has been shown in oocytes, embryonic germ cells, and embryonic stem cells (25), suggesting enzymatic cytosine deamination may influence uracil accumulation in DNA outside of the context of antibody diversification.

2.2. Uracil misincorporation into DNA

Rates of uracil misincorporation into DNA is believed to be determined the dUTP:dTTP ratio. *In vitro* studies indicate that DNA polymerases incorporate both dTTP and dUTP into DNA, but preferentially incorporate dTTP. DNA polymerase from porcine liver incorporated approximately 3 times more dTTP than dUTP when both were present in equimolar concentrations; DNA polymerase from *E. coli* incorporated approximately 2 times more dTTP than dUTP (26, 27). Mitochondrial polymerases can also incorporate dUTP into mitochondrial DNA (28). Unlike cytosine deamination, uracil misincorporation into DNA is not inherently mutagenic. The pathways which influence the dUTP:dTTP ratio are *de novo* dTMP biosynthesis, salvage dTMP biosynthesis, and dUTP degradation by dUTPase.

The *de novo* dTMP synthesis pathway, which is dependent on the cofactor tetrahydrofolate (THF), is composed of four enzymes: serine hydroxymethyltransferase (SHMT1 and SHMT2 α), dihydrofolate reductase (DHFR), dTMP synthase (TYMS) and methylenetetrahydrofolate dehydrogenase (MTHFD1) (Figure 1). SHMT1 transfers a one-carbon group from serine to the folate cofactor THF, synthesizing 5,10-methyleneTHF and glycine. TYMS transfers a one-carbon group from 5,10-methyleneTHF onto deoxyuridine monophosphate (dUMP), synthesizing deoxythymidine monophosphate (dTMP) and dihydrofolate. DHFR reduces dihydrofolate to THF, recycling it for another round of dTMP synthesis. Notably, SHMT1 is not the main catalytic contributor of 5,10-methyleneTHF incorporated into dTMP. Instead MTHFD1, which derives its one-carbon from formate instead of serine, produces up to 90% of the 5,10-methyleneTHF for dTMP synthesis in SH-SY5Y cells (29).

Impairment of *de novo* dTMP biosynthesis and/or reduction of cellular folate pools results in elevated uracil content in DNA (3, 13, 18). Folate-deficient individuals exhibit increased

uracil in DNA in blood and bone marrow cells (18). Likewise, mice fed a folate-deficient diet also showed elevated genomic uracil in colon epithelial cells (30). In rats consuming a folate-deficient diet, uracil misincorporation in lymphocytes was elevated relative to incorporation in rats consuming a folate-sufficient diet (31).

A single-allele knockout of *Shmt1* in mice increased uracil in liver and colon DNA, which was exacerbated when *Shmt1*^{-/+} mice consumed a folate-deficient diet (32). In fact, the two primary phenotypes of *Shmt1*^{+/-} and *Shmt1*^{-/-} mice were elevated uracil in DNA and development of folate-responsive neural tube defects (NTDs), suggesting that uracil in DNA may be causal in development of folate-responsive NTDs (32, 33). SHMT1 siRNA treatment in A549 lung cancer cells also increased uracil in DNA; this phenotype was rescued by dTMP supplementation in culture medium, indicating that salvage pathway dTMP synthesis can compensate for impaired *de novo* dTMP synthesis (3). *De novo* dTMP synthesis is also impaired by anti-cancer drugs known as antifolates, which act to inhibit enzymes in this pathway. These include 5-fluorouracil, methotrexate, pemetrexed, and raltitrexed, all of which have all been shown to increase uracil in DNA in several cell types (34–37). Similarly, MTHFD1-deficient fibroblasts exhibit reduced incorporation of formate into dTMP which results in increased uracil in DNA compared to control fibroblasts (38).

Salvage dTMP biosynthesis is catalyzed by the enzyme thymidine kinase (TK1 and TK2). These enzymes catalyze the addition of a phosphate group to thymidine (dT), forming dTMP. The cytosolic/nuclear isoform is encoded by *TK1*, while the mitochondrial isoform is encoded by *TK2*. Knockdown of TK1 via siRNA has been shown to sensitize tumor cells to both 5-fluorouridine and pemetrexed (39). This sensitization is likely the result of increased uracil in DNA caused by inhibition of both *de novo* dTMP synthesis (targeted by 5-fluorouridine and pemetrexed) and salvage dTMP synthesis (resulting from reduced TK1).

The enzyme dUTPase catalyzes the conversion of dUTP to dUMP. It serves to both degrade dUTP and also to provide the substrate for *de novo* dTMP biosynthesis, dUMP (Figure 1). Knockdown of dUTPase via siRNA increased intracellular dUTP levels in HeLa and SW620 cells (40), although uracil levels in DNA were not measured.

2.3. Repair of uracil in DNA

The excision of uracil from DNA is necessary to prevent its accumulation. Excision of uracil is primarily carried out by the base excision repair (BER) enzymes, uracil DNA glycosylases. In humans these include uracil N-DNA glycosylase 1/2 (UNG1/2), single-strand selective monofunctional uracil DNA glycosylase (SMUG1), thymine DNA glycosylase 1 (TDG), and methyl-CpG binding domain 4 (MBD4) (41). UNG is considered the primary enzyme that excises uracil in DNA, responsible for >90% of uracil excision activity in human cell extracts (42, 43). SMUG1 has overlapping function with UNG and is also the primary enzyme which excises 5-hydroxymethyluracil from DNA. Both UNG and SMUG1 can excise uracil from U:G or U:A pairs in dsDNA or ssDNA. TDG primarily excises T from T:G mismatches, but also excises U from U:G mismatches to a lesser extent (44). MBD4 excises uracil and thymine primarily from CpG rich regions of DNA (41).

These UDGs catalyze the initial step in base excision repair (BER) (45), excising the uracil base from the sugar-phosphate backbone, resulting in an abasic (AP) site (Figure 2). This site is then cleaved by AP endonuclease I (APE1), which cleaves 5' to the abasic site creating a single-strand break, leaving a 3' OH and a 5' deoxyribose phosphate (dRP). DNA polymerase β synthesizes a single base at the newly created 3' OH and excises the 5' dRP with its AP lyase activity. DNA ligase III seals the remaining nick. This process is known as short patch BER; uracil may also be processed by long patch BER, which involves replacement of several nucleotides (41).

In addition to BER, uracil can be excised from DNA by mismatch repair (MMR) (46). Mismatch repair is initiated by the binding of MutS α to the mismatch. MutS α is a heterodimer consisting of the Msh2-Msh6. MutL α , a heterodimer consisting of Mlh1 and Pms2, is recruited to MutS α . PCNA activates MutL α incision activity, nicking the strand on either side of the base. Exo1 degrades this double-nicked strand of DNA, and the gap is filled through DNA synthesis by DNA pol δ or ϵ (47) (Figure 2).

3. Tissue specific differences in uracil regulation

The levels of uracil in DNA vary among human tissues and cell culture conditions (12, 48). Accordingly, the enzymes that determine uracil levels in DNA also demonstrate tissue-specific expression patterns. For example, SHMT1, an enzyme whose expression affects uracil levels in DNA (3, 32), is present in mouse liver, colon, ileum, and kidney, while undetectable in brain (13). Similar observations have been made for TYMS (14) and UNG across tissue types. Using a uracil excision activity assay, Alsøe et al showed that UNG was the dominant uracil DNA glycosylase in spleen, heart, muscle, and liver tissue, but SMUG1 was the dominant uracil DNA glycosylase in brain tissue (15). The constellation of factors that affect uracil levels in DNA and the differences in their expression among tissues raises the possibility that genomic uracil accumulation in DNA is a dynamic and regulated process, and its misincorporation and excision is not exclusively a housekeeping activity necessitated to manage spontaneous chemistries of cytosine deamination and dUTP misincorporation.

4. Uracil and genome instability

4.1. Repair of uracil induces strand breaks

While uracil misincorporation into DNA is not inherently mutagenic, intermediates generated by repair can lead to genome instability and mutagenesis. The repair of uracil in DNA generates AP sites and subsequent single strand breaks. AP sites have been shown to induce transcriptional stalling, which has been shown to be highly mutagenic (7). Additionally, AP sites require mutagenic translesion DNA synthesis to bypass the lesion (49). Two single-strand breaks on opposing strands can induce a double-strand break (50), which are known to induce DNA mutation when nonhomologous end-joining (NHEJ) is chosen as the route of repair (51) (Figure 3). Additionally double-strand break repair is implicated in the expansion and contraction of tandem repeats in DNA (52). Although DNA damage and repair are normal occurrences in cells, increased rates of uracil incorporation and subsequent generation of repair-mediated strand breaks may surpass a tolerable level, leading to genomic instability and mutagenesis.

Impairment of the enzymes that influence uracil accumulation has been shown to be associated with strand breaks and DNA mutation. Folate deficiency has been shown to lead to double-strand breaks, and increased chromosome breakage (53). In the case of folate fragile sites (discussed in section 4.2), the chromosome breakage induced by folate deficiency occur at regular sites in the genome (54). Genotoxic stress and chromosomal breakage can induce formation of micronuclei, which are extra-nuclear chromosomal fragments bound by nuclear membranes. Folate deficiency has been shown to induce micronuclei formation across several model systems. Human primary lymphocytes cultured in folate-deficient medium (containing 20 nM folic acid) exhibited induction of micronuclei formation, compared to lymphocytes cultured in folic acid-sufficient culture medium (55). Mice fed folic acid-deficient diets exhibited increased micronuclei formation in reticulocytes and red blood cells, compared to mice fed control diets (56). Reduction of dUTPase activity in yeast resulted in a strong mutator phenotype that is rescued by loss of UNG, indicating that the repair of uracil in DNA, not its accumulation, is mutagenic (57).

4.2. Folate fragile sites

Fragile sites are specific loci in the genome which are prone to breakage, when under replication stress. Unlike common fragile sites which are inherent in nearly all humans, folate fragile sites are heritable sites which are prone to breakage as a result of folate deficiency (2, 53, 54, 58) (Table 2). Common fragile sites have been shown to be tissue specific; further studies are required to determine if folate fragile sites also occur in a tissue specific manner. Folate fragile sites are characterized by tandem CGG repeat expansion, leading to increased cytosine methylation and gene silencing (54). Loss of interrupting AGG triplets interspersed between CGG repeats is associated with CGG repeat expansion (59). The role of folate deficiency in repeat expansion has not been elucidated; folate deficiency may induce uracil incorporation opposite these AGG triplets leading to strand breaks. Repair of strand breaks has been implicated in the expansion and contract of tandem repeats (52). Hence, folate deficiency induced repair-mediated strand breaks can result in repeat expansion and ultimately gene silencing. Folate fragile sites likely represent predictable genomic loci of uracil incorporation into DNA, but this has not been demonstrated experimentally.

5. Evidence that uracil in DNA shows patterns of enrichment across the genome

Whereas folate fragile sites may represent hotspots of uracil incorporation into DNA, single-base resolution sequencing of uracil in DNA has not yet been developed in eucaryotes. However lower resolution methods have revealed that uracil in DNA shows specific patterns of enrichment. Notably two methods have been developed to examine the landscape of uracil enrichment throughout the genome. Excision-seq is a method for mapping modified nucleobases in DNA, yielding base-pair level resolution sequencing in *E. coli*, and read-level resolution sequencing in yeast (16). By replacing uracil with biotinylated dUTP in extracted DNA, Shu et al developed a method to localize uracil in DNA within hundreds of base pairs (17).

Excision-seq revealed that uracil content in DNA correlated with replication timing in both *E. coli* and budding yeast. In *ung* *E. coli* with a hypomorphic dUTPase, uracil content in DNA was found to negatively correlate with origins of replication. In *ung1* budding yeast with a hypomorphic dUTPase, total genome uracil content was found to be depleted in uracil at early-replicating regions, and at several origins of replication compared to the rest of the genome. Very late replicating regions also showed a more modest depletion of uracil in DNA in yeast. The authors suggest that the depletion of uracil in DNA in early replicating regions of DNA reflects higher dTTP availability at the start of replication (16). It is important to note that the pattern of uracil incorporation into DNA is the result of misincorporation, as opposed to the equilibrium between incorporation and excision in *E. coli* and yeast void of UNG. Overall these data suggest that uracil incorporation into DNA is depleted at origins of replication in *E. coli* and yeast due to increased dTTP availability at the start of replication.

In three human cell lines, (K562, WPMY-1, and HEK293T), Shu *et. al.* found that uracil in DNA was enriched at the centromere, at centromere protein A (CENP-A) binding regions, and at intergenic regions. CENP-A is a centromeric histone variant critical in kinetochore assembly (17). In *Xenopus* egg extracts, inhibition of UNG2 has been shown to block CENP-A assembly (60). Therefore, it is hypothesized that the uracil in DNA may serve as a platform for CENP-A assembly (17), though this has not been tested in mammalian systems. Enrichment of uracil in DNA at intergenic regions may indicate regulated excision of uracil from DNA within genes and suggests one mechanism whereby excision and repair of uracil could lead to changes in gene expression. Thus, in the *E.coli*, yeast, and human cell lines, current uracil sequencing technology has revealed distinct patterns of uracil enrichment in the genome. Further studies are required to elucidate the effects of such site-specific uracil incorporation on both genome stability and gene expression.

6. Nuclear localization of components may modify uracil incorporation into DNA

Nuclear localization of key enzymes associated with genomic uracil accumulation has been shown for every pathway in mammalian cells, whereas in yeast they are localized exclusively to the cytosol (61). The *de novo* dTMP biosynthesis enzymes SHMT1, TYMS, DHFR, and MTHFD1, have been shown to be SUMOylated during S phase, leading to their nuclear translocation and to the formation of a lamin-bound multi-enzyme complex at sites of DNA replication and repair (29, 62). SHMT1 acts as a scaffold for this complex and is essential for proper localization of the other components (29). The salvage enzyme TK1 is found in the cytoplasm and nucleus, with increasing expression and nuclear localization during S phase (63). In the case of DNA repair, the gene *Ung* produces the mitochondrial isoform UNG1 containing a mitochondrial leader sequence, and the nuclear isoform UNG2 containing a nuclear localization signal, resulting from alternative splicing (64). Similarly, the mitochondrial and nuclear isoforms of dUTPase are generated from alternative splicing of a single gene (65). Expression of mitochondrial dUTPase is constitutive, whereas expression of nuclear dUTPase coincides with DNA replication (66).

Nuclear localization appears to play an important role in reducing uracil accumulation in DNA. Whereas *de novo* dTMP biosynthesis occurs in both the nucleus and cytosol, there is evidence show that nuclear synthesis of dTMP is required to meet the needs of replication. Loss of nuclear localization of SHMT1 and TYMS was associated with increased uracil in liver DNA (13) in mice. Overexpression of SHMT1-DN2, an SHMT1 mutant that was catalytically inactive but could still act as a scaffold, was associated with increased *de novo* dTMP biosynthesis activity in SH-SY5Y cells, indicating that formation of the nuclear metabolic multienzyme complex, and not SHMT1 enzyme activity, is critical for *de novo* dTMP synthesis (29). Higher nuclear expression of TYMS was associated with poorer response to 5-FU in colorectal carcinoma (67), suggesting that nuclear dTTP synthesis prevents 5-FU incorporation into DNA. Similarly, increased nuclear expression of dUTPase was associated with tumor resistance to 5-fluorouracil (68), suggesting reduced dUTP availability may have enhanced dTTP incorporation into cells. Localization of these enzymes at sites of replication may create local microenvironments with altered dUTP:dTTP ratios and affect probability of dUTP incorporation into DNA.

There is also evidence that nuclear localization of enzymes that influence uracil accumulation is responsive to challenges to uracil accumulation. Following folate deficiency, MTHFD1 is enriched in mouse liver, protecting liver from increased uracil incorporation into DNA (69).

7. Uracil and Gene Expression

Although mutation and apoptosis are well established consequences of excision and repair of uracil in DNA, transcriptomic analysis have revealed that folate deficiency is also associated with changes in gene expression. Maternal folate deficiency was associated with transcriptomic changes in liver tissue from male adult offspring; folic acid supplementation prevented changes in genes associated with reactive oxygen species response, and steroid hormone response (70). Both folate and vitamin B12 status are known to affect chromatin methylation by influencing the concentrations of S-adenosylmethionine, the primary methyl donor in the cell (71–73). It is unclear the degree to which uracil misincorporation in DNA is also responsible for changes to gene expression in folate deficiency. However, the repair intermediates triggered by uracil in DNA are known to affect gene expression at the transcriptional level by several mechanisms.

7.1. AP sites and strand breaks modify gene expression

AP sites and single-strand breaks generated by repair of uracil can induce transcriptional stalling (7, 8). Studies with uracil-substituted reporter plasmids show data consistent with these findings. Single uracil substitutions in the coding region of an EGFP reporter plasmid in HeLa cells results in decreased EGFP expression compared to the uracil-free control plasmid, in a strand-independent manner. EGFP expression was rescued by UNG1/2 shRNA. Together, these data suggest repair of uracil in DNA, but not stalling of RNA polymerase II at uracil bases, impairs gene expression (9) (Figure 3).

Although strand breaks are known to impair gene expression, emerging literature shows double-strand breaks can be gene activating. Madabhushi *et al.* showed that strand breaks

mediated by Topoisomerase II β are critical in gene activation in dissociated cortical neurons, and that induction of double-strand breaks is sufficient to stimulate gene expression (10). Ju *et. al.* showed that Topoisomerase II β -mediated strand breaks at the promoter were required for activation of the PS2 gene in MCF7 cells. It is thought that strand breaks alter DNA topology at promoters, enhancing transcriptional initiation (11). Together, these data show induction of strand breaks can increase gene expression. Therefore uracil-induced AP sites and strand breaks may modify gene expression either positively or negatively, throughout the genome (Figure 3).

There is evidence that strand breaks generated by uracil incorporation occur at specific loci. Rats fed folate deficient diets exhibited strand breaks in exons 5-8 of p53 gene, but not in other exons of p53, the Ape gene, or the β -actin gene in colon tissue (74). Sohn et al found that folate deficiency reduced steady state levels of p53 mRNA in rat colon (75). These data suggest that strand breaks induced by folate deficiency occur in a nonrandom fashion, and also lead to changes in gene expression. Loci-specific incorporation of uracil would suggest a role and mechanism for uracil regulating gene expression.

7.2. Secondary function of MBD4 may affect global gene expression

Uracil DNA glycosylases also exhibit secondary functions in regulating gene expression, though it is not clear whether this linked to their role in uracil excision. For example, methyl-CpG binding domain protein 4 (MBD4) binds the Glucocorticoid-Induced TNFR-Related Protein (*Gitr*) promoter in T regulatory cells, directly inhibiting its transcription (76). MBD4 has also been shown to bind the hypermethylated promoters of p16^{INK4A} and MLH1, repressing transcription from these promoters (77). Therefore, MBD4 is a uracil DNA glycosylase capable of regulating gene expression in a site-specific manner; it is unclear if the glycosylase activity of MBD4 has any role in recruiting MBD4 to specific loci or in repressing transcription of GITR. Proteins in the MBD family all have a methyl binding domain, and have been shown to repress transcription in other genes (78). However, conditions which modify MBD4 activity have the potential lead to transcriptional repression (Figure 3). Because repair of uracil in DNA is both tissue-specific and responsive to challenges to uracil incorporation into DNA (15, 36), MBD4 expression may vary among tissue types or in response to challenges to uracil, modifying the level of transcriptional repression, though this has not been rigorously assessed in mammalian cells.

7.3. Uracil alters transcription factor binding *in vitro*

Substitution of thymine for uracil in transcription factor binding sites has been shown to modify transcription factor binding *in vitro* (79, 80) (Table 3). Substitution of a T:A pair with a U:A pair in the cAMP response element (CRE) decreases binding of cAMP response element binding protein (CBP) *in vitro*, while substitution of C:G with a U:G pair increases binding affinity for CBP (80). DNA uracilation has been also shown to alter the secondary structure of DNA through several mechanisms (81) (Table 3). Computational modelling suggests that uracil substitution in A-tracts of DNA widens the minor groove of DNA (82) (Table 3). Additionally, uracilation alters the cleavage pattern of DNA using both DNase I and micrococcal nuclease (83) (Table 3). Whether uracilation of DNA modifies interaction

of DNA with proteins *in vivo* has not been assessed.; further RNA-seq and CHIP-seq studies will reveal if uracil in DNA leads to these *in vivo* changes.

8. Hotspots of uracil repair may indicate targeted regulation of gene expression

There is evidence that excision and repair of uracil in DNA does not occur stochastically across the genome, but instead is enriched at certain loci. Using ChIP-seq for γ -H2AX (a marker of DNA damage), Weeks *et. al.* showed that UNG^{-/-} DLD1 human colon cancer cells have differential distribution of γ -H2AX hotspots compared to UNG^{+/+} cells in response to the antifolate pemetrexed. Compared to UNG^{+/+} cells, UNG^{-/-} cells showed increased peak enrichment (representing increased γ -H2AX binding) at transcription factor binding sites, origins of replication, transcription factor CTCF binding sites, and CpG islands, but decreased peak enrichment at lamin-associated domains (84). These data suggest uracil is present in DNA in distinct patterns in the presence and absence of repair; excision and repair of uracil may contribute to targeted changes in gene expression. AP sites and strand breaks generated at these regular sites may generate predictable changes in gene expression, or uracil in DNA may modify transcription factor binding (as described in the section 7.3).

9. Uracil, cell fate and human disease

9.1. Uracil repair triggers cell death

A major consequence of high levels of uracil incorporation into DNA is apoptosis (Figure 3). Uracil in DNA is excised and induces repair-mediated strand breaks. In conditions of increased uracil incorporation, “futile cycles” of uracil excision and uracil re-incorporation into DNA occur resulting in continuous formation of strand breaks. This excessive generation of strand breaks can also signal p53, leading to p53-mediated apoptosis (85).

Challenges to the pathways influencing uracil incorporation have been shown to induce apoptosis. Paone et al showed knockdown of SHMT1 in A549 lung cancer cells increases uracil in DNA and leads to p53-mediated apoptosis, and that dTMP supplementation in media rescued both uracil in DNA and apoptosis in these cells (3). Folate depletion has been repeatedly shown to lead to apoptosis across several cell culture and mouse models (4). Arsenic trioxide treatment was associated with proteolytic degradation of SHMT1 and MTHFD1, increased uracil incorporation into DNA and increased DNA damage in HeLa cells (86). Arsenic trioxide has also been shown to induce apoptosis in HeLa cells (87). Additionally loss of dUTPase is known to be lethal in both *E. coli* and budding yeast (57, 88). Thus, apoptosis has been established as a consequence of uracil incorporation into DNA.

9.2. Necrosis

In addition to apoptosis, induction of strand breaks can lead to PARP1-dependent necrosis (Figure 3). Poly(ADP-ribose) polymerases (PARP) covalently modify proteins, by adding ADP-ribose (generated from NAD⁺) onto target proteins, a process known as PARylation.

PARP1 and PARylation of proteins are critical in homologous recombination HR, NHEJ, and BER (89). Excessive activation PARP1 can lead to NAD depletion, which then leads to ATP depletion and subsequent necrosis (90). DNA damaging agents have shown to induce this PARP1-dependent necrosis (91, 92).

Treatment with antifolates, which are known to increase uracil incorporation into DNA, have been shown to induce necrosis. 5-FU treatment induced necrosis HepG2 and Hep3B cells (5). Treatment with the methotrexate (an inhibitor of DHFR) showed increased PARP1 expression and increased cell death as measured by TUNEL in rat kidneys, while co-treatment with the PARP inhibitor ISO significantly reduced cell death (6). Considering the rescue effect of ISO, and that TUNEL is not apoptosis-specific (93), it may be that methotrexate is inducing PARP1-dependent necrosis. Therefore, these studies indicate that activation of PARP1 in the repair of uracil leads to necrosis.

9.3. Megaloblastic anemia

In megaloblastic anemia, impaired DNA synthesis prevents cell division, leading to larger but fewer red blood cells (94). Folate deficiency has been established as a cause of megaloblastic anemia; both folate deficiency and megaloblastic anemia are associated with apoptosis. Folate deficient erythroblasts cultured in folate deficient medium demonstrated increased uracil misincorporation into DNA and increased p53 and p21 accumulation compared to control cells (95). Patients with megaloblastic anemia often demonstrate primary folate deficiency or a secondary folate deficiency due to vitamin B12 deficiency, as well as increased p53 accumulation in bone marrow, compared to non-megaloblastic anemia controls (96). Additional challenges to *de novo* dTMP biosynthesis, including deleterious mutations in *DHFR* and antifolate treatment, are associated with megaloblastic anemia (97, 98). Furthermore, mutation of dUTPase also causes apoptosis and megaloblastic anemia in humans (99). Lastly, deoxyuridine incorporation into DNA correlated with megaloblastic scoring in the bone marrow of anemic patients (100, 101). Therefore, apoptosis induced by impaired *de novo* dTMP biosynthesis and uracil misincorporation is the primary cause of megaloblastic anemia.

9.4. Neural tube defects

Increased apoptosis in the neural epithelium results in failure of the neural tube to close during embryonic development in animal models of neural tube defects (NTDs). Increased p53 accumulation, increased caspase cleavage (a hallmark of apoptosis), and decreased Pax3 expression, have been associated with NTD affected births. Pax3, a homeobox transcription factor, enhances MDM2-mediated ubiquitination and degradation of p53 and its loss-of-function has been associated with NTD risk. (102). In mice, Inhibition of p53 was also shown to rescue NTDs in embryos. Apoptosis was associated with the occurrence of NTDs in Pax3 deficient embryos; loss of p53 rescued both apoptosis and the occurrence of NTDs (103). Central nervous tissue from NTD terminated human pregnancies exhibits increased apoptosis compared to nervous tissue from unaffected terminated pregnancies. Spinal cord from anencephalic human samples demonstrated increased expression of p53, cleaved caspase 3 and cleaved caspase 8, and decreased expression of Pax3, all biomarkers of

elevated apoptosis (104). Therefore, p53-mediated apoptosis is associated with the occurrence of NTDs.

Folate deficiency is established as a risk factor of NTDs. Folic acid supplementation has been shown to reduce the risk of NTD affected births (105). Mouse models of folic-acid responsive NTDs indicate that impaired *de novo* dTMP biosynthesis is the mechanism by which folate deficiency causes NTDs. Treatment with the DHFR inhibitor methotrexate increased occurrence of NTDs in mice (106). *Shmt1*^{-/+} mice have folate responsive NTDs and increased uracil in DNA in liver and colon tissue (32, 33), linking DNA uracil-induced apoptosis to folic-acid responsive NTDs.

9.5. Neurodegeneration

Recently, BER has been shown to be critical in mediating neurodegeneration. Hoch et al showed that single strand break repair was required for neurodegeneration to occur. Mutations in the BER protein *Xrcc1* were associated with ocular motor apraxia, axonal neuropathy, and cerebellar ataxia. Loss of *Parp1* partially rescued the occurrence of neuronal cell death and ataxia in *Xrcc1* deficient mice (107). This suggests apoptosis or PARP1-mediated necrosis is the mechanism by which neurodegeneration occurs. Given that uracil in DNA is removed by BER, apoptosis or necrosis induced by uracil incorporation is linked to neurodegeneration.

Folate deficiency has been shown to be associated with neurodegeneration. Embryonic cortical neurons and SH-SY-5Y human neuroblastoma cells cultured in folate deficient media exhibited Alzheimer's-like changes including increased ROS, phosphorylation of the protein Tau, and increased cell death (108). In this study, phosphatidylserine externalization and DAPI staining of pyknotic nuclei were used to measure cell death; neither of these methods distinguish between apoptosis and necrosis. Therefore, folate deficiency may be inducing apoptosis or necrosis via uracil incorporation, although direct measures of uracil in DNA in neurodegeneration are lacking.

Conversely, loss of the repair enzyme UNG has also been shown to induce neurodegeneration in mice. Kronenberg et al showed that folate deficiency induced CA3 hippocampal neurodegeneration, impaired cognitive function, and alterations in mood, in *UNG*^{-/-} but not *UNG*^{+/+} mice. Uracil in DNA from brain tissue was increased in *UNG*-deficient mice, compared to control; this phenotype was further exacerbated by folate deficiency. Therefore, increased uracil incorporation, and not DNA repair following uracil incorporation, was associated with increased neurodegeneration. The authors suggest increased mitochondrial mutation induced by loss of the mitochondrial UNG1 may lead to impaired mitochondrial function, leading to neurodegeneration (109).

10. Uracil in DNA as a biochemical signal

More evidence is required to determine if uracil in DNA acts as more than a source of DNA damage. The relative contribution of cytosine deamination, uracil misincorporation, and uracil repair in maintaining steady state levels of uracil is still unclear. Studies looking at all these aspects simultaneously in a single model system are required. Understanding how

these pathways are affected across different nutritional conditions and among tissues would help determine if uracil incorporation in DNA is simply “tolerated” or is being regulated at certain levels.

It is still unclear whether or not uracil in DNA, or its excision, induce meaningful changes in gene expression. *In vitro* transcription factor binding studies have revealed changes in binding capacity following uracil substitution (79, 80), but *in vivo* studies are required. Uracil in DNA may signal changes in gene expression through modified transcription factor binding and/or recruitment, or repair-mediated transcriptional stalling or gene activation. Low resolution uracil sequencing technologies have revealed that genomic distribution of uracil in DNA is nonrandom (16, 17). Concurrent RNA sequencing and high resolution uracil sequencing, when this technology becomes available, will help reveal if uracil in DNA regulates gene expression and contributes to disease etiology.

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Abbreviations

dTMP	deoxythymidine triphosphate
dUTP	deoxyuridine triphosphate
AID	activation induced cytidine deaminase
APOBEC	Apolipoprotein B Editing Complex Catalytic Subunit 1
DHFR	dihydrofolate reductase
dUTPase	dUTP phosphorylase
MTHFD1	methylenetetrahydrofolate dehydrogenase 1
S	small ubiquitin-related modifier (SUMO)
SHMT1	serine hydroxymethyltransferase 1
TK1	thymidine Kinase 1
TYMS	dTMP synthase
UNG	uracil N-glycosylase
SMUG1	single-strand selective monofunctional uracil DNA glycosylase
TDG	thymine DNA glycosylase 1
MBD4	methyl-CpG binding domain 4
APE1	AP endonuclease 1
dRP	deoxyribose phosphate

BER	base excision repair
POL β ,	DNA pol beta
MSH2	MutS homolog 2
MSH6	MutS homolog 6
MLH1	MutL homolog 1
PMS2	PMS1 homolog 2
EXO1	Exonuclease 1
Pol δ	DNA polymerase δ
Pol ϵ	DNA polymerase ϵ
NHEJ	nonhomologous end-joining
PARP1	Poly (ADP-ribose) polymerase 1
GITR	Glucocorticoid-Induced TNFR-Related Protein
CRE	cAMP response element

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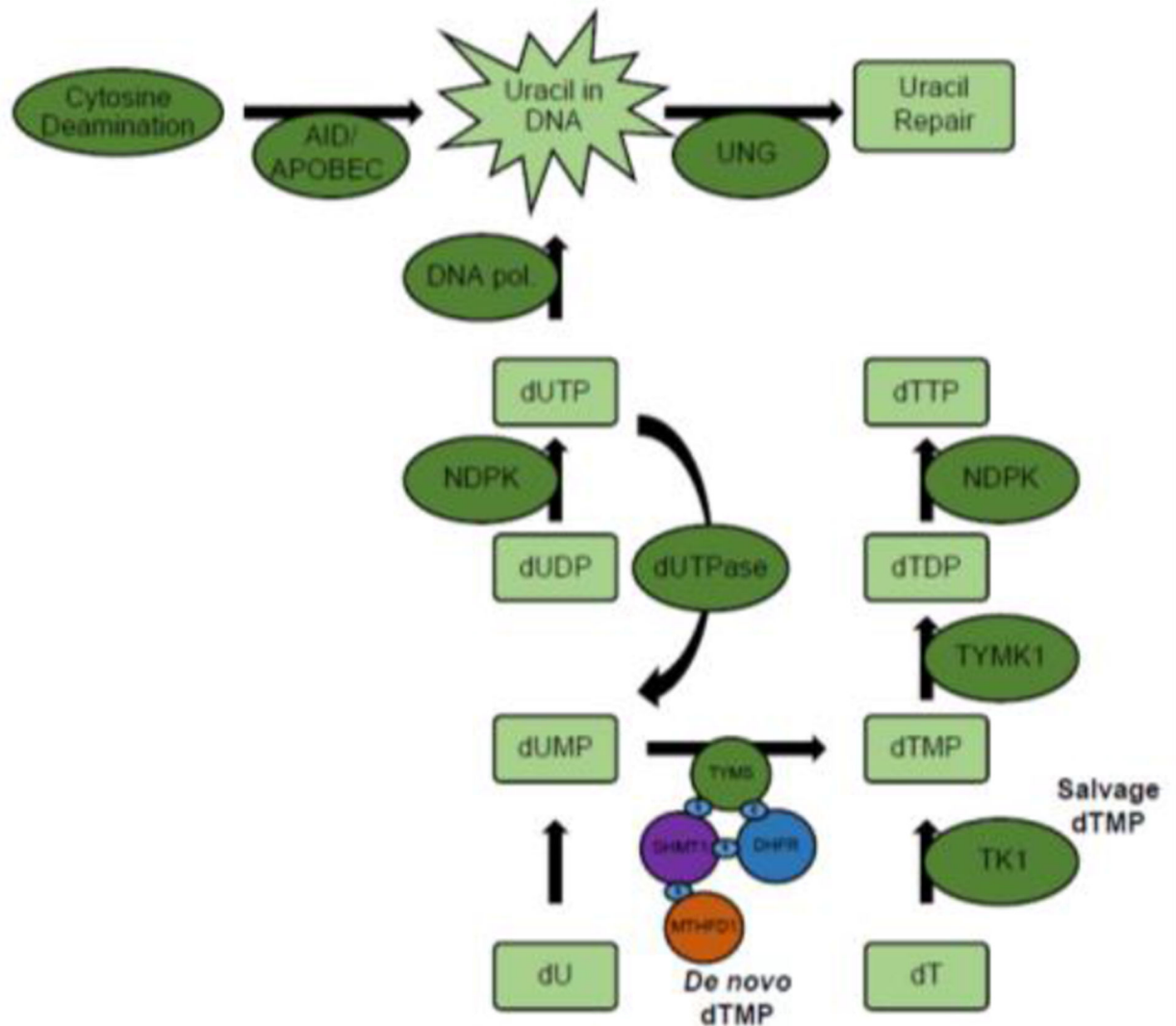


Figure 1. Pathways that influence uracil accumulation in DNA.

In *de novo* dTMP biosynthesis, TYMS transfers a one-carbon unit from 5,10-methylenetetrahydrofolate, onto dUMP, synthesizing dTMP. SHMT1, DHFR, TYMS, and MTHFD1 are SUMOylated and form a lamin-bound nuclear complex at sites of DNA replication and repair. In the salvage pathway, TK1 generates dTMP via phosphorylation of the nucleoside dT. TYMK phosphorylates dTMP, synthesizing dTDP. NDPK phosphorylates dTDP and dUDP, generating dTTP and dUTP, respectively. DNA polymerases incorporate dUTP into DNA. dUTPase dephosphorylates dUTP into dUMP. Spontaneous and enzymatic cytosine deamination by the enzymes AID or APOBEC can lead to U:G mispairs in DNA. Incorporated uracil is excised primarily by UNG, initiating uracil repair. AID, activation induced cytosine deamination; APOBEC, Apolipoprotein B Editing Complex Catalytic Subunit 1; DHFR, dihydrofolate reductase; dUTPase; dUTP phosphorylase; MTHFD1, methylenetetrahydrofolate dehydrogenase 1; NDPK, nucleoside-diphosphate kinase; S, small ubiquitin-related modifier (SUMO), SHMT1, serine

hydroxymethyltransferase 1; TK1, thymidine Kinase 1; TYMK, thymidylate kinase; TYMS, dTMP synthase; UNG, uracil N-glycosylase.

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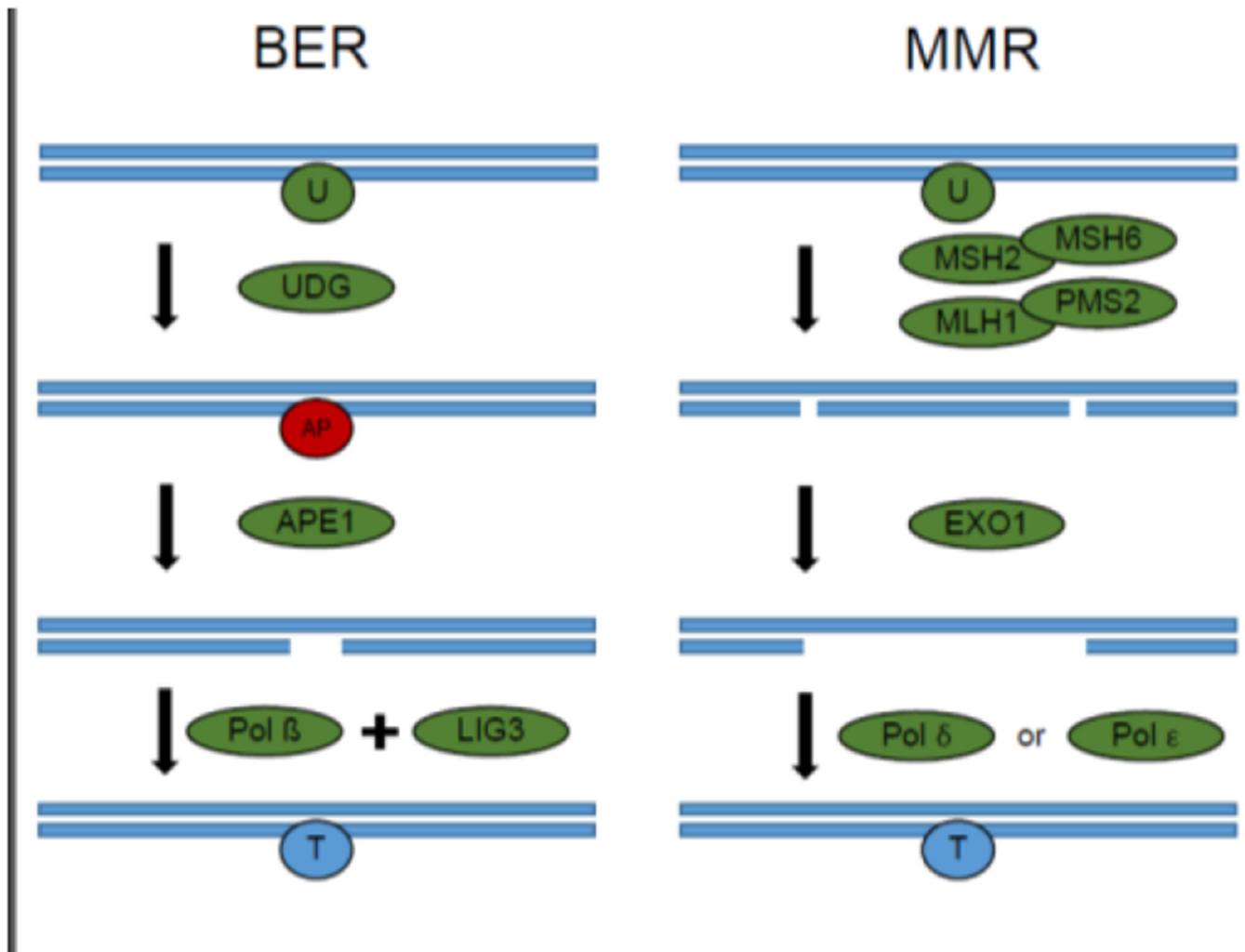


Figure 2. Repair of uracil in DNA. Left: uracil in DNA is excised primarily by base excision repair.

One of several uracil DNA glycosylases excise uracil, leaving an AP site. APE1 recognizes these sites and creates single strand breaks. POL β fills in these sites, and LIG3 seals the nick. Right: Mismatch repair can excise repair uracil from DNA. Uracil is recognized by the MSH2-MSH6 heterodimer. The MLH1-PMS3 heterodimer creates nick on either side of the uracil moiety. EXO1 degrades the nicked DNA. DNA is filled in by either POL δ or POL ε. BER = base excision repair. U, Uracil; UDG, uracil DNA glycosylase; AP, abasic site; APE1, AP endonuclease 1; POL β, DNA pol beta; MSH2, MutS homolog 2; MSH6, MutS homolog 6; MLH1, MutL homolog 1; PMS2, PMS1 homolog 2; EXO1, Exonuclease 1; Pol δ, DNA polymerase δ; Pol ε, DNA polymerase ε.

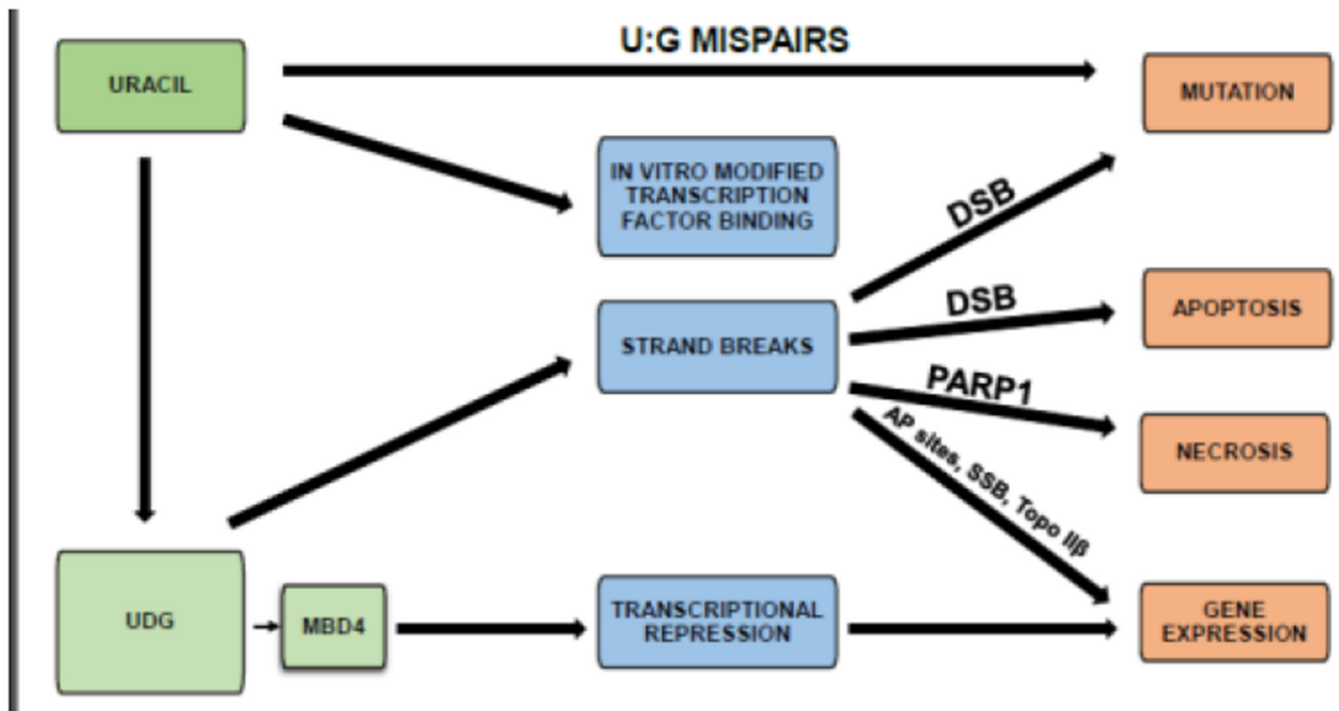


Figure 3. Consequences of uracil in DNA.

Cytosine deamination induces U:G mispairs. *In vitro* replacement of thymine with uracil modifies transcription factor binding. Repair of uracil induces strand breaks, which can lead to mutation or apoptosis. Potentially, these strand breaks can induce necrosis, and modify gene expression through AP sites, single strand breaks, or Topo II β . MBD4 inhibits transcription, modifying gene expression. MBD4, Methyl-CpG binding domain ;protein 4. DSB, double strand breaks; PARP1, Poly (ADP-ribose) polymerase 1; AP, abasic sites; SSB, single strand breaks; Topo II β , Topoisomerase II β .

Table 1:

Measurements of uracil in DNA across tissue type.

Cell type	Uracil per genome	Reference
<i>E. coli</i>	<5	Lari et al (20)
<i>S. cerevisiae</i>	300 -1200	Owiti et al (21)
Rat colon epithelium	36,000	Choi et al (22)
Rat fetal liver	27,000 – 45,000	Tian et al (12)
Rat fetal brain	87,000 – 153,000	Tian et al (12)
Mouse liver	1600 – 4000	Marcfarlane et al (13) Martiniova et al (23)
Human whole blood	90,000 - 400,000	Blount et al (18)
Human bone marrow	240,000	Blount et al (18)
HeLa	10,000 - 18,000	Martiniova et al (23)

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Table 2:

List of folate fragile sites. Adapted from HumCFS: A Database Of Human Chromosomal Fragile Sites. <https://webs.iiitd.edu.in/raghava/humcfs/index.html>

Name	Chromosome Location	Name	Chromosome Location
FRA1M	1p21.3	FRA11A	11q13.3
FRA2A	2q11.2	FRA11B	11q23.3
FRA2B	2q13	FRA12A	12q13.1
FRA2K	2q22.3	FRA12D	12q24.13
FRA2L	2q22.3	FRA16A	16p13.11
FRA5G	5q35	FRA19B	19p13
FRA6A	6p23	FRA20A	20p11.23
FRA7A	7p11.2	FRA22A	22q13
FRA8A	8q22.3	FRAXA	Xq27.3
FRA9B	9q32	FRAXE	Xq28
FRA10A	10q23.3	FRAXF	Xq28

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Table 3:

Effect of uracil substitution on DNA structure and protein interaction.

Condition	Effect of uracil substitution
cAMP binding to CRE	Decreased binding (U:A) (80)
cAMP binding to CRE	Increased binding (U:G) (80)
DNA structure	Widened minor groove (82)
DNase cleavage	Increased cleavage (83)
MNase cleavage	Increased cleavage (83)

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