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Aggressive treatment of intermediate-risk patients with acute symptomatic pulmonary embolism

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SYNOPSIS

Contemporary trials and meta-analyses have evaluated the role of thrombolytics in hemodynamically stable patients with acute pulmonary embolism (**PE**) and evidence of right ventricular dysfunction and myocardial injury (intermediate-risk PE). The most important findings of these studies are that thrombolytic therapy may prevent hemodynamic deterioration and even all-cause mortality but increases major (including intracranial and fatal) bleeding. These benefits and harms are finely balanced, with no convincing net benefit from thrombolytic therapy among unselected patients. Among patients with intermediate-high risk PE, additional prognostic factors (e.g., syncope, elevated lactate, concomitant deep vein thrombosis, severe respiratory insufficiency) or subtle hemodynamic changes (e.g. increasing heart rate or persistent downtrend of systolic blood pressure) might alter the risk-benefit assessment in favor of thrombolytic therapy before the development of frank hemodynamic instability.

Keywords

Pulmonary embolism; submassive; intermediate-risk; thrombolysis; reperfusion; prognosis

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INTRODUCTION

Pulmonary embolism (**PE**) remains a worldwide major health issue (1). PE is the most common cause of vascular death after myocardial infarction and stroke, and is the leading preventable cause of death in hospitalized patients (2). Although contemporary observational data indicate significant reductions in all-cause and PE-related mortality over time (3, 4), the overall short-term mortality rate continues to remain significant, and many non-fatal longterm complications may arise. Guidelines recommend risk stratification of patients with acute symptomatic PE (5, 6). Early prognostication allows clinicians to better determine the level of care (e.g. intensive care versus step-down, regular floor, or outpatient treatment) and associated ancillary therapies.

In this perspective, we provide an overview of the current definition of intermediate-risk PE, followed by a discussion of the available treatments for these patients, focusing on thrombolytic therapy. We also include recently completed and ongoing clinical studies for the treatment of patients with intermediate-risk PE. Finally, we provide a practical clinical algorithm that integrates risk stratification and management alternatives.

DEFINITION OF INTERMEDIATE-RISK PULMONARY EMBOLISM

The definition of intermediate-risk (or submassive) PE has evolved over time (Table 1). The classic definition of intermediate-risk PE is the presence of either right ventricular (**RV**) dysfunction or myocardial injury in acute PE without systemic hypotension (systolic blood pressure ≥ 90 mm Hg) (6). However, observational studies have suggested that concomitant use of blood biomarkers and imaging markers of RV dysfunction improve the prognostic value over use of either alone (7–10). Scridon et al enrolled 141 patients with acute PE and found that those with echocardiographic RV enlargement and elevated troponin levels had a 30-day all-cause mortality of 38% (7). In a study of 124 stable and unstable patients with acute PE, the combination of echocardiography and troponin T had improved prognostic value compared with each test alone (8). Accordingly, the European Society of Cardiology (**ESC**) guidelines define intermediate-high risk patients with acute symptomatic PE as those who are hemodynamically stable, and have myocardial injury *and* RV dysfunction (5).

AGGRESSIVE TREATMENT OF INTERMEDIATE-RISK PULMONARY EMBOLISM

Thrombolytic therapy provides more rapid lysis of PE and more rapid restoration of pulmonary perfusion, with associated reduction in pulmonary artery pressure and resistance, and improvement in RV function than anticoagulation alone (11). In the past decade, a number of randomized controlled trials and meta-analyses have contributed to substantially clarify the optimal management of intermediate-risk PE (Table 2). These studies have focused on the use of full-dose systemic thrombolysis, low-dose systemic thrombolysis, and pharmacomechanical catheter-directed therapy.

Full-dose systemic thrombolysis

The Tenecteplase or Placebo: Cardiopulmonary Outcomes At Three Months (**TOPCOAT**) study sought to determine the efficacy and safety of tenecteplase in normotensive patients with acute symptomatic PE and RV strain (determined by echocardiography or biomarkers) (12). These patients were randomized to receive heparin plus weight-based tenecteplase or heparin plus placebo. The primary composite outcome included 5-day survival to hospital discharge without shock, intubation, or major hemorrhage; 90-day rate of normal RV function, 6-minute walk distance >330 m, no dyspnea at rest, and no recurrent PE or deep vein thrombosis (**DVT**). The study was terminated early due to logistical constraints for the principal investigator, and after enrolling 83 patients. Despite being underpowered, the primary endpoint occurred significantly less frequently in patients randomized to thrombolysis compared with the low-molecular-weight heparin (**LMWH**) (15% vs. 37%, P $= 0.017$ (12).

The Pulmonary Embolism Thrombolysis Trial (**PEITHO**) was a randomized, double blind trial that compared tenecteplase plus heparin with placebo plus heparin in normotensive patients with intermediate-risk PE (13). Eligible patients had RV dysfunction/enlargement on echocardiography or computed tomography (**CT**), as well as myocardial injury as indicated by a positive test for cardiac troponin I or troponin T. The primary outcome was death or hemodynamic collapse within 7 days after randomization. The main safety outcomes were major extracranial bleeding and ischemic or hemorrhagic stroke within 7 days after randomization. The results of the trial showed that thrombolytic therapy prevented hemodynamic decompensation (1.6% vs. 5.0% , $P = 0.002$) but increased the risk of major bleeding (11.5% vs. 2.4%, $P < 0.001$) and hemorrhagic stroke (2.0 vs. 0.2%, $P = 0.003$). Interestingly, there was a trend toward greater major extracranial bleeding incidence in patients > 75 years of age than in those $\frac{75}{2}$ years of age with tenecteplase versus placebo treatment.

Since publication of these studies, a number of average effect meta-analyses have compared systematic thrombolytic therapy plus anticoagulation with anticoagulation alone in patients with acute PE. Marti et al. evaluated 15 trials comprising 2,057 patients with acute PE (14). After exclusion of studies including high-risk PE, thrombolytic therapy was not associated with a significant reduction of overall mortality (odds ratio [**OR**], 0.64; 95% confidence interval [**CI**], 0.35-1.17). Chatterjee et al. identified 16 trials comprising 2,115 patients and performed subset analyses in the 1,775 patients with intermediate-risk PE (15). In the latter subgroup, thrombolysis was associated with lower mortality risk compared with standard anticoagulation (OR, 0.48; 95% CI, 0.25-0.92). In both meta-analyses, thrombolysis was associated with higher rates of major bleeding and intracranial hemorrhage compared with anticoagulation. In summary, these data indicate that the benefits of full-dose systemic thrombolytic therapy in unselected normotensive patients with acute PE are largely offset by the increase in bleeding complications. To our knowledge, a patient-level meta-analysis from these trials does not exist, and pooled results per key clinical subgroups (e.g. younger patients) remain unknown.

Low-dose systemic thrombolysis

It has been hypothesized that a lower dose of a systemically administered thrombolytic drug might be effective in PE, with the additional benefit of enhancing its safety profile. The Moderate Pulmonary Embolism Treated With Thrombolysis (**MOPETT**) study was a prospective, controlled, randomized, single-center open study that randomized 121 patients with moderate PE to receive either 50 mg of tissue plasminogen activator with anticoagulation or anticoagulation alone (16). The primary outcomes were the development of pulmonary hypertension (i.e., pulmonary artery systolic pressures ≥ 40 mmHg) measured by echocardiography and a composite of pulmonary hypertension and recurrent PE at intermediate-term follow-up. In this trial, pulmonary hypertension developed less commonly in the thrombolysis group (16% vs. 57%, $P < 0.001$). The study was not powered, however, for clinical endpoints and the difference for the rates of recurrent pulmonary emboli (0% vs. 5%; P = 0.08) or death (1.6% vs. 5%; P = 0.30) did not reach statistical significance. There were no bleeding complications with the low dose thrombolysis.

A recent network meta-analysis evaluated 4 trials (17–20) (298 patients) that compared low dose to standard dose thrombolysis (21). In this meta-analysis, there was no statistically significant difference in overall mortality (OR 0.96, 95% CI, 0.23-4.17), or major bleeding, although low dose showed a nonsignificant trend towards reduced major bleeding events (OR 0.44, 95% CI, 0.15-1.28), which needs to be confirmed in larger studies. Lack of statistical power might account for the nonsignificant results, as suggested by the wider confidence intervals.

Pharmacomechanical catheter-directed therapy

Catheter-directed thrombolysis (**CDT**) has the potential to offer the benefits of systemic thrombolysis while minimizing bleeding risk attributable to a lower dose of the thrombolytic agent. Further, some forms of CDT also use ultrasound assistance, which is hypothesized to improve the clot resolution in the pulmonary vasculature. The ULTrasound accelerated thrombolysIs of pulMonAry embolism (**ULTIMA**) trial was the first randomized catheter intervention study for patients with acute PE, and enrolled patients with acute symptomatic PE with embolus located in at least one main or proximal lower lobe pulmonary artery and an RV/left ventricle (LV) ratio $\frac{1}{2}$ per bedside echocardiography (22). This multicenter trial investigated whether ultrasound-assisted CDT was superior to anticoagulation alone in the reversal of RV dilatation in intermediate-risk PE patients. The primary outcome was the difference in the RV/LV ratio from baseline to 24 hours. In the interventional group (30 patients), the RV/LV ratio was reduced from 1.28 ± 0.19 at baseline to 0.99 ± 0.17 at 24 h (P) < 0.001), while in the control group (29 patients) no significant decrease of the RV/LV ratio was observed at 24 h (1.20 ± 0.14 vs. 1.17 ± 0.20 ; P = 0.31). In both study groups, bleeding complications were rare, with three (10%) minor bleedings in the interventional group and one (3%) in the control group ($P = 0.61$). There was no major bleeding. The sample size was too small to demonstrate a change in mortality. The SEATTLE II study was a prospective, single-arm, multicenter study to assess the efficacy and safety of ultrasound-facilitated, catheter-directed, low-dose fibrinolysis to reverse RV dysfunction in a total of 150 patients with CT-confirmed PE, symptoms for 14 days or less, and a RV/LV diameter ratio of at least 0.9 (23). Ultrasound-facilitated, catheter-directed, low-dose fibrinolysis decreased RV/LV

Jimenez et al. Page 5

diameter ratio (1.55 vs. 1.13; $P < 0.0001$), reduced pulmonary hypertension (51.4 mm Hg vs. 36.9 mm Hg; P < 0.0001), decreased anatomic thrombus burden (modified Miller Index score, 22.5 vs. 15.8 ; $P < 0.0001$), and minimized major bleeding complications (16 moderate and 1 major bleedings in 15 patients) in patients with acute massive and submassive PE.

Indirect evidence suggests a low major bleeding rate following ultrasound-assisted thrombolysis (rate of major bleeding complications, 3.6%; 95% CI, 1.4%-7.2%) compared to full-dose systemic thrombolytic therapy (24), but likely higher than that seen with anticoagulation alone. Clinical outcome studies are warranted to confirm a favorable risk to benefit ratio.

CDT has several variants (Table 3). The balance of the available data suggests that ultrasound-assisted thrombolysis does not increase thrombolytic efficacy when added to traditional CDT, and does not reduce the thrombolytic dose or shorten infusion times (25, 26).

Ongoing studies

DS-1040B is an inhibitor of the activated form of thrombin-activatable fibrinolysis inhibitor (**TAFIa**). In a thrombus, TAFIa removes lysine residues at the carboxy terminal of fibrin degradation products, which prevents effective binding of plasminogen and tissue plasminogen activator (**t-PA**), resulting in impaired thrombolysis (27). A phase 1b, doubleblind, placebo-controlled, randomized, single-ascending dose, multi-center study is assessing the safety, efficacy, tolerability, pharmacokinetics, and pharmacodynamics of DS-1040B in subjects with acute submassive PE (NCT02923115).

ThE Recombinant hUman Prourokinase to Treat acute pulmonary Embolism (**ERUPTE**) trial will randomize patients with massive or submassive PE to low-dose (40 mg) recombinant human prourokinase or to alteplase (100 mg if weight $\sim 65 \text{ kg}$, 1.5 mg/Kg if weight $<$ 65 Kg) (NCT03108833). The primary outcome will be the change in the CTassessed Qanadii score from baseline to 48 hours.

The primary aim of the Efficacy and safety of half dose alteplase added to heparin in patients with moderate pulmonary embolism (**MONALYSE**) open-label trial is to evaluate whether mid dose (safe dose) of alteplase, in addition to standard treatment with LMWH, is effective to reduce RV dysfunction, pulmonary hypertension and recurrent PE within the first 7 and 30 days after randomization of patients with intermediate-risk PE (NCT02604238).

Close monitoring of intermediate-risk pulmonary embolism

Patients with intermediate-risk PE could benefit from monitoring for deterioration. An important finding of the PEITHO trial was that "rescue thrombolytic therapy" appeared to be of benefit in patients who developed cardiovascular collapse after initially being treated with anticoagulant therapy alone. Of the 499 patients who received placebo in this trial, 25 (5.0%) experienced hemodynamic decompensation 1.79 ± 1.60 days after randomization. Persistent hypotension or a drop in blood pressure was recorded in 18 patients, vasopressors were administered to 14 patients, and 5 patients in the placebo group required

cardiopulmonary resuscitation. Twenty-three of these patients received open-label thrombolysis, and only 2 of them died (success of "rescue thrombolysis", 91%).

Pulmonary Embolism Response Teams

Given the relative frequency of PE, and complexity of decision making for advanced therapies for PE including for those with intermediate-risk PE, multiple groups have recently developed Pulmonary Embolism Response Teams (**PERT**) (28), which coordinate the management and interventions for these critical and complicated patients. A recent study showed that 91% of the PERT activations in the Weill Cornell Medical College came form patients with submassive (intermediate-risk) PE (28). Future studies will address whether PERTs improve clinical outcomes and are cost-effective.

ADDITIONAL/ALTERNATIVE TREATMENT STRATEGIES

Additional treatment strategies for patients with acute PE may include use of inferior vena cava (**IVC**) filters (29). The overall body of evidence for efficacy and safety of IVC filters for prevention of PE is slim (30). Temporary use of IVC filters in patients receiving thrombolytic therapy appears interesting, but is not yet supported by solid data. Such treatment should not be used in unselected patients with intermediate-risk PE. It might, however, be considered in a minority of high-risk patients on a case-by-case basis after multidisciplinary discussions (see above for Pulmonary Embolism Response Teams).

The inflammatory response associated with acute PE contributes to the development of RV dysfunction (31–33). Nonsteroidal anti-inflammatory drugs (**NSAIDs**) might facilitate the reversal of PE-associated RV dysfunction (34). Jimenez et al randomly assigned 34 normotensive patients who had acute PE associated with echocardiographic RV dysfunction and normal systemic blood pressure to receive intravenous (**IV**) diclofenac (two doses of 75 mg in the first 24 hours after diagnosis) or IV placebo (NCT01590342). All patients received standard anticoagulation with subcutaneous LMWH and an oral vitamin K antagonist. The study stopped prematurely due to slow recruitment. The intention-to-treat analysis showed persistent RV dysfunction at 48 hours in 59% (95% CI, 33-82%) of the diclofenac group and in 76% (95% CI, 50-93%) of the placebo group, a difference that did not reach statistical significance. Similar proportions (35%) of patients in the diclofenac and placebo groups had persistent RV dysfunction at 7 days. Major bleeding occurred in none of patients in the diclofenac group and in 5.9% of patient in the placebo group.

Interest has arisen in use of pulmonary arterial vasodilators (e.g., inhaled nitric oxide, oral phosphodiesterase inhibitors) in the treatment of acute PE (35, 36). Vasodilator drugs could affect hypoxic vasoconstriction, platelet activation, and release of vasoactive mediators (e.g., endothelin thromboxane). Theoretically, vasodilator treatment would lower the pulmonary artery pressure and unload the RV. Since PE causes acute RV overload by both mechanical obstruction and pulmonary vasospasm, Kline et al. tested if adjunctive inhaled nitric oxide (**NO**) gas causes would improve RV function and viability in acute PE (NCT 01939301). They conducted a four hospital, randomized, double blind, placebo-controlled trial (37). Seventy eight eligible normotensive patients acute PE and RV dysfunction received either oxygen plus 50 parts per million nitrogen (placebo) or oxygen plus 50 parts per million NO

Imenez et al. Page 7

for 24 hours. At 24 hours, 5 of the 38 (13%) patients treated with placebo and 9 of the 38 (24%) patients treated with NO reached the primary composite endpoint ($P = 0.375$), which required a normal RV on echocardiography and a plasma troponin T concentration <14 pg/mL. The secondary endpoint required a blood brain natriuretic peptide concentration < 90 pg/mL and a Borg dyspnea score <=2, and it was reached in 34% with placebo and 13% of the NO ($P = 0.11$).

REDEFINITION OF INTERMEDIATE-RISK PULMONARY EMBOLISM

Among PE patients without hypotension, it is still not possible to confidently identify those who will derive net benefit from thrombolytic therapy. One of the important findings of the PEITHO trial was that the combination of RV dysfunction and myocardial injury might be insufficient for identifying normotensive patients with acute PE at a high-risk for short-term PE-related complications (mortality rate within the first 30 days after randomization of 3.2% in the placebo group) (13). For this reason, several prognostic scoring systems (e.g., PROTECT multimarker index, FAST score, and Bova score) exist for identification of patients with PE who have an intermediate-high risk for short-term PE-related adverse events. The PROTECT study derived ($n = 848$) and validated ($n = 529$) a multimarker prognostication that consisted of a clinical prognostic rule (i.e., simplified Pulmonary Embolism Severity Index [**sPESI**], brain natriuretic peptide (**BNP**), cardiac troponin I (**cTnI**), and complete compression ultrasound testing for concomitant DVT for hemodynamically stable patients diagnosed with acute symptomatic PE in the Emergency Department (38). A 30-day complicated course was defined as death from any cause, hemodynamic collapse (need for cardiopulmonary resuscitation, systolic blood pressure < 90 mm Hg for at least 15 min, need for cathecolamine administration or need for thrombolysis), and/or adjudicated recurrent PE. The positive predictive value of the PROTECT score for the prediction of a complicated course was 25.8% (95% CI, 10.4-41.2%) in the derivation cohort and 21.2% (95% CI, 9.0-38.9%) in the validation cohort.

The FAST score includes heart-type fatty acid binding protein (**H-FABP**), heart rate, and syncope (39). A prospective cohort study that enrolled 271 normotensive patients with acute PE assessed the validity of the FAST score for accurately identifying *intermediate-high risk* PE patients. In this study, a FAST score 3 points had a positive predictive value of 22% (95% CI, 14-33%) for 30-day complications (i.e., all-cause death or at least one of the following major complications: (i) need for administration of vasopressors to maintain adequate tissue perfusion and prevent or treat cardiogenic shock; (ii) mechanical ventilation; or (iii) cardiopulmonary resuscitation).

The Bova score consisted of heart rate 110 beats per minute, systolic blood pressure 90-100 mmHg, RV dysfunction, and elevated cardiac troponin (40). The model identified three stages (I, II, and III) with 30-day PE-related complication rates of 4.2%, 10.8%, and 29.2%, respectively. A recent study demonstrated that the Bova score shows good reproducibility and evidence of validity for identification of intermediate-high risk patients with acute symptomatic PE (41) .

A recent study compared the performance of a modified (i.e., using high-sensitivity troponin T instead of H-FABP) FAST score and the Bova score for risk stratification of 388 consecutive normotensive patients with acute symptomatic PE (42). The primary endpoint was defined as PE-related death, need for mechanical ventilation, cardiopulmonary resuscitation, or the administration of vasopressors during the first month after the diagnosis of acute PE. While the modified FAST score identified a significantly higher proportion of patients (111/388, 28.6%; 95% CI, 24.2-33.4%) in the intermediate-high risk class compared to the Bova score (63/388, 16.2%; 95% CI, 12.7-20.3%), the positive predictive values with regard to the primary endpoint were similar (FAST score, 19%; 95% CI, 13-27%; Bova score 19%; 95% CI, 11-30%). Ideally, future studies should enroll patients with these refined criteria to determine the net benefit in select subgroups. In the interim, subgroup analyses of the published large trials (e.g. PEITHO) or pooled patient-level analyses from these studies can clarify which of the existing scores providers better discrimination about intermediaterisk patients who derive benefit from thrombolytic therapy.

A PRACTICAL TREATMENT APPROACH (Figure)

In hemodynamically stable patients with acute symptomatic PE, presence of syncope, tachycardia, mild hypotension (which remains <a> 90 mm Hg), an increase in jugular venous pressure, severe respiratory insufficiency (arterial oxyhemoglobin saturation < 90%), or early signs of shock (among others) may prompt the order of echocardiography and cardiac biomarkers of myocardial injury (i.e., cTnI or T). We suggest the use of echocardiography over CT to assess RV function in normotensive patients with acute symptomatic PE (43). Patients who have myocardial injury and RV dysfunction should receive standard anticoagulation, and be monitored for short-term deterioration, which might prompt activation of PERT for rapid and individualized care. In these patients, evidence of severe RV dysfunction and myocardial injury, accumulation of prognostic factors (e.g., syncope [39], concomitant DVT [44], elevated lactate levels [45], severe respiratory insufficiency) indicating poor prognosis from PE, or subtle hemodynamic changes (e.g. increasing heart rate or persistent downtrend of systolic blood pressure) might alter the risk-benefit assessment in favor of thrombolytic therapy before the development of frank hemodynamic instability (Table 4). For patients who require thrombolytic therapy and do not have a high risk of bleeding, full-dose systemic thrombolytic therapy should be preferred over low-dose systemic thrombolytic therapy or CDT.

CONCLUSIONS

Management of patients with intermediate-risk PE is complicated by the various definitions, paucity of data for certain interventions, and uncertain guideline recommendations. While evidence suggests that most patients with intermediate-risk PE who receive standard anticoagulation and monitoring have an excellent short-term prognosis, the more severity of vital signs and markers of RV dysfunction and myocardial injury, factors indicating poor prognosis from PE, or early deterioration on standard anticoagulation might alter the riskbenefit assessment in favor of thrombolytic therapy before the development of hemodynamic instability. PERTs may provide rapid multidisciplinary assessment and optimal treatment of intermediate-risk PE patients.

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Jimenez et al. Page 11

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KEY POINTS

- **•** Intermediate-risk pulmonary embolism (**PE**) is defined by hemodynamic stability, but the presence of right ventricular dysfunction, myocardial injury, or both.
- **•** Most patients with intermediate-risk PE who receive standard anticoagulation and monitoring have an excellent short-term prognosis.
- **•** Accumulation of factors indicating worse outcomes from PE or early deterioration on standard anticoagulation might alter the risk-benefit assessment in favor of thrombolytic therapy before the development of hemodynamic instability.

hemodynamic instability.

Abbreviations: RV, right ventricle; bpm, beats per minute; DVT, deep vein thrombosis; ICU, intensive care unit.

Table 1

Definitions used for stratification of pulmonary embolism

Abbreviations: PE, Pulmonary embolism; ESC, European Society of Cardiology; RV, right ventricle; LV, left ventricle; CT, computed tomography; PESI, Pulmonary Embolism Severity Index; sPESI, simplified PESI; RCT, randomized controlled trial.

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Table 2

Major randomized controlled trials for the treatment of intermediate-risk pulmonary embolism Major randomized controlled trials for the treatment of intermediate-risk pulmonary embolism

Clin Chest Med. Author manuscript; available in PMC 2019 September 01.

 ${}^4\!P$ atients also received standard anticoagulation. Patients also received standard anticoagulation.

Abbreviations: RV, right ventricle; CT, computed tomography; cTnI, cardiac troponin I; OR, odds ratio; BNP, brain natriuretic peptide; NT-proBNP, N-terminal pro-brain natriuretic peptide; VTE, venous
thromboembolism; PE, p **Abbreviations**: RV, right ventricle; CT, computed tomography; cTnI, cardiac troponin I; OR, odds ratio; BNP, brain natriuretic peptide; NT-proBNP, N-terminal pro-brain natriuretic peptide; VTE, venous thromboembolism; PE, pulmonary embolism; t-PA, tissue plasminogen activator; LV, left ventricle; CDT, catheter-directed thrombolysis.

Table 3

Catheter-directed therapies

Abbreviations: PA, pulmonary artery.

Table 4

Pulmonary embolism markers of severity

Abbreviations: PESI, Pulmonary Embolism Severity Index; PE, pulmonary embolism; RCT, randomized controlled trial; RIETE, Registro Informatizado de la Enfermedad Tromboembólica; ECG, electrocardiogram; BNP, brain natriuretic peptide; NT-proBNP, N-terminal pro-brain natriuretic peptide; CT, computed tomography; cTnI, cardiac troponin I, cTnT, cardiac troponin T; hsTnT, high-sensitivity troponin T; H-FABP, hear-type fatty acid binding protein.