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[Intervention Review]

# Positional therapy for obstructive sleep apnoea

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## ABSTRACT

### Background

The modalities of therapy for obstructive sleep apnoea (OSA) include behavioural and lifestyle modifications, positional therapy, oral appliances, surgery and continuous positive airway pressure therapy (CPAP). Though CPAP has proven efficacy in treating OSA, adherence with CPAP therapy is suboptimal. Positional therapy (to keep people sleeping on their side) is less invasive and therefore expected to have better adherence. This review considered the efficacy of positional therapy compared to CPAP as well as positional therapy against no positional therapy. Devices designed for positional therapy include lumbar or abdominal binders, semi-rigid backpacks, full-length pillows, a tennis ball attached to the back of nightwear, and electrical sensors with alarms that indicate change in position.

### Objectives

To compare the efficacy of positional therapy versus CPAP and positional therapy versus inactive control (sham intervention or no positional therapy intervention) in people with OSA.

### Search methods

We identified studies from the Cochrane Airways' Specialised Register (including CENTRAL, MEDLINE, Embase, CINAHL, AHMED and PsycINFO), ClinicalTrials.gov, and the World Health Organization trials portal (ICTRP). It also contains results derived from handsearching of respiratory journals and abstract books of major annual meetings. We searched all databases from their inception to September 2018, with no restrictions on language of publication or publication type.

### Selection criteria

We included randomised controlled trials comparing positional therapy with CPAP and positional therapy with inactive control.

### Data collection and analysis

Two review authors independently selected studies and extracted the data. We used a random-effects model in the meta-analysis to estimate mean differences and confidence intervals. We assessed certainty of evidence using the GRADE approach.

### Main results

We included eight studies. The studies randomised 323 participants into two types of interventions. The comparison between positional therapy and CPAP included 72 participants, while the comparison between positional therapy and inactive control included 251 participants. Three studies used supine vibration alarm devices, while five studies used physical positioning like specially designed pillows or semirigid backpacks.

### Positional therapy for obstructive sleep apnoea (Review)

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## Positional therapy versus CPAP

The three studies included for this comparison were randomised cross-over trials. Two studies found that there was no difference in Epworth Sleepiness Scale (ESS) scores between CPAP and positional therapy. Two studies showed that CPAP produced a greater reduction in Apnoea-Hypopnoea Index (AHI) with a mean difference (MD) of 6.4 events per hour (95% CI 3.00 to 9.79; low-certainty evidence) compared to positional therapy. Subjective adherence, evaluated in one study, was found to be significantly greater with positional therapy (MD 2.5 hours per night, 95% CI 1.41 to 3.59; moderate-certainty evidence).

In terms of secondary outcomes, one study each reported quality-of-life indices and quality-of-sleep indices with no significant difference between the two groups. One study reported cognitive outcomes using multiple parameters and found no difference between the groups. There were insufficient data to comment on other secondary outcomes like respiratory disturbance index (RDI), and frequency and duration of nocturnal desaturation. None of the studies clearly reported adverse effects.

## Positional therapy versus inactive control

Three studies of positional therapy versus no intervention were randomised cross-over trials, while two studies were parallel-arm studies. Data from two studies showed that positional therapy significantly improved ESS scores (MD -1.58, 95% CI -2.89 to -0.29; moderate-certainty evidence). Positional therapy showed a reduction in AHI compared with control (MD -7.38 events per hour, 95% CI -10.06 to -4.7; low-certainty evidence). One study reported adherence. The number of participants who continued to use the device at two months was no different between the two groups (odds ratio (OR) 0.80, 95% CI 0.33 to 1.94; low-certainty evidence). The same study reported adverse effects, the most common being pain in the back and chest, and sleep disturbance but there was no significant difference between the two groups in terms of device discontinuation (OR 1.25, 95% CI 0.5 to 3.03; low-certainty evidence). One study each reported quality-of-life indices and quality-of-sleep indices, with no significant difference between the two groups. One study reported cognitive outcome, and found no difference between the groups. There was insufficient evidence to comment on other secondary outcomes (RDI, frequency and duration of nocturnal desaturation).

## Authors' conclusions

The review found that CPAP has a greater effect on improving AHI compared with positional therapy in positional OSA, while positional therapy was better than inactive control for improving ESS and AHI. Positional therapy may have better adherence than CPAP. There were no significant differences for other clinically relevant outcomes such as quality of life or cognitive function. All the studies were of short duration. We are unable to comment on the long-term effects of the therapies. This is important, as most of the quality-of-life outcomes will be evident only when the therapies are given over a longer period of time. The certainty of evidence was low to moderate.

## PLAIN LANGUAGE SUMMARY

### Are interventions to keep people sleeping on their side the best way to treat obstructive sleep apnoea?

#### What is obstructive sleep apnoea?

Obstructive sleep apnoea (OSA) is sleep disorder where the walls of the throat relax and narrow during sleep. This causes pauses in the breathing. The pauses can last for a few seconds to a few minutes and can happen many times in the night. This disrupts the person's sleep. Bed partners can be disturbed by associated loud snoring, choking and snorting sounds. People living with OSA can be very tired in the day or even fall to sleep. This can be dangerous. In children, sleep apnoea can cause problems at school or hyperactivity.

#### What is positional OSA?

OSA that improves on changing position of the person while sleeping is known as positional sleep apnoea (POSA). People tend to have apneas when lying on their backs (supine) and the apneas may be reduced or go away when they lay on their side.

#### What is the standard in the treatment of OSA?

The standard treatment is a device called continuous positive airway pressure (CPAP) that provides a continuous jet of air to the airway as the person breathes, which helps to keep the throat from narrowing during sleep.

#### What is positional therapy?

Positional therapy is an intervention that helps to keep the person on their side during sleep. Examples include something on the person's back to stop them from rolling over (like a tennis ball), special pillows, or alarms that vibrate when the person rolls onto his or her back.

#### How is the severity of OSA estimated?

The severity of OSA is measured using a scale called Apnoea-Hypopnea Index (AHI). AHI refers to number of times the breathing stops or becomes shallower per hour of sleep. AHI is measured by using a study done in sleep known as polysomnography.

The severity of OSA can be measured indirectly using a questionnaire called Epworth Sleepiness Scale (ESS). This assesses how sleepy a person is during the day.

**What was the aim of this review?**

We wanted to compare positional therapy with the CPAP therapy as well as with inactive control (no positional therapy or sham therapy).

**Results**

We found eight studies with 323 participants. The studies compared positional therapy with CPAP (72 participants) and positional therapy with inactive control (251 participants).

When studies compared positional therapy and CPAP, they found no difference in ESS between groups. CPAP therapy showed a greater improvement in AHI (6.4 fewer events per hour with CPAP) compared with positional therapy. In one small study, people adhered to positional therapy for 2.5 hours longer than they did for CPAP. No difference in quality of life or quality of sleep between the two groups was found.

In the comparison between positional therapy and inactive control, the studies found that positional therapy appeared to be better than control for ESS and AHI (ESS was 1.58 lower in positional therapy and AHI was 7.38 fewer events per hour with positional therapy). Another study noted adverse effects in 10% of participants. Common adverse effects were sleep disturbance and pain in the back and the chest. One study reported that there was no difference in quality of life and quality of sleep between positional therapy and inactive control.

All these studies lasted for a short time and included small number of participants.

**Conclusions**

1. Positional therapy was less effective than CPAP for reducing Apnoea-Hypopnoea Index (AHI). People may use positional therapy for longer than CPAP in the night. In terms of other outcomes no differences were seen.
2. Positional therapy was shown to be better than inactive control for AHI and Epworth Sleepiness Scale (ESS).

## SUMMARY OF FINDINGS

### Summary of findings for the main comparison. Positional therapy compared to continuous positive airway pressure (CPAP) for obstructive sleep apnoea

#### Positional therapy compared to continuous positive airway pressure (CPAP) for obstructive sleep apnoea

**Patient or population:** adults with obstructive sleep apnoea

**Setting:** interventions used at home

**Intervention:** positional therapy

**Comparison:** CPAP

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	No. of participants (RCTs)	Certainty of the evidence (GRADE)	Comments
	Risk with CPAP	Risk with positional therapy				
<b>Epworth Sleepiness Scale</b> (ESS) Follow-up: 1 month	The mean ESS was 10.4	MD 1.2 higher (1.91 lower to 4.31 higher)	-	20 (1 RCT)	⊕⊕⊕⊕ Low <sup>a,b</sup>	<a href="#">Skinner 2008</a> was a home-based study of single nights after 1 month of use in a cross-over design. Lower ESS scores are better. MCID is estimated to be a fall of 2-3 points
<b>Apnoea-Hypopnoea Index</b> (AHI) Follow-up: 2 weeks to 1 month	The mean AHI ranged from 3.4-4.9	MD 6.4 higher (3 higher to 9.79 higher)	-	33 (2 RCT)	⊕⊕⊕⊕ Low <sup>c,d</sup>	<a href="#">Skinner 2008</a> was a home-based study of single nights after 1 month of use in a cross-over design. <a href="#">Jokic 1999</a> used overnight laboratory-based PSG after 2 weeks in a cross-over design. Lower AHI is better. MCID is considered as 5 events/hour
<b>Self-reported adherence time</b> Follow-up: 1 month	The mean self-reported adherence time was 4.9 hours/night	MD 2.5 hours/night higher (1.41 higher to 3.59 higher)	-	20 (1 RCT)	⊕⊕⊕⊕ Moderate <sup>a</sup>	<a href="#">Skinner 2008</a> was a home-based study of single nights after 1 month of use in a cross-over design. MCID is not established.
<b>Adverse effects</b> Follow-up: 1 month	<a href="#">Skinner 2008</a> was a home-based study of single nights after 1 month of use in a cross-over design. They used the aggregate score of 19 self-report questions graded as 0, no effect; 1, mild effect but did not disturb sleep; 2, sleep disturbed; 3, could not use device for assess-			20 (1 RCT)	GRADE not applied	No details of the questionnaire are available to understand the nature of adverse events sought.

	ing adverse events. They reported that this aggregate score was less for positional device compared to CPAP (MD: 3.6; 95% CI 3.4 to 5.8).				
<b>Quality of life</b>	SF-36 physical: mean 44.6 SF-36 mental mean: 49.7 FOSQ mean: 12.8	MD for SF-36 physical 0.10 lower (6.79 lower to 6.59 higher); MD for SF-36 mental was 0.60 higher (4.99 lower to 6.19 higher); MD for FOSQ was 0.40 lower (1.82 lower to 1.02 higher)	20 (1 RCT)	⊕⊕⊕⊕ Low <sup>a,e</sup>	<a href="#">Skinner 2008</a> was a home-based study of single nights after 1 month of use in a cross-over design. They reported SF-36 and FOSQ. There were no differences in either score between the groups.
<b>Sleep quality</b>	Mean % of REM sleep: 26%; Mean % of slow wave sleep: 22%; Mean sleep efficiency: 84%	MD for % of REM sleep was 2% lower (8.22% lower to 4.22% higher); MD for % of slow-wave sleep was 2% lower (9.12 lower to 5.12 higher); MD for sleep efficiency was 2% lower (8.4% lower to 5.4% higher)	13 (1 RCT)	⊕⊕⊕⊕ Low <sup>a,e</sup>	<a href="#">Jokic 1999</a> used overnight laboratory-based PSG after 2 weeks in a cross-over design. They reported on proportion of sleep time spent in REM phase, in slow-wave phase and also on sleep efficiency (total sleep time/total record time). They did not note any significant difference between positional therapy and CPAP.
<b>Cognitive dysfunction</b>	<a href="#">Jokic 1999</a> used overnight laboratory-based PSG after 2 weeks in a cross-over design. They studied cognitive outcomes using 6 tests with 34 subtests and noted no difference between the groups.		13 (1 RCT)	GRADE not applied	The outcomes carry issues related to multiple comparisons.
Follow-up: 1 month					

\***The risk in the intervention group** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

**CI:** confidence interval; **CPAP:** continuous positive airway pressure; **FOSQ:** Functional Outcomes Sleep Questionnaire; **MCID:** minimal clinically important difference; **MD:** mean difference; **OR:** odds ratio; **PSG:** polysomnography; **RCT:** randomised controlled trial; **REM:** rapid eye movement; **RR:** risk ratio; **SF-36:** short-form 36

#### GRADE Working Group grades of evidence

**High certainty:** we are very confident that the true effect lies close to that of the estimate of the effect.

**Moderate certainty:** we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

**Low certainty:** our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

**Very low certainty:** we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

<sup>a</sup>Methods employed for randomisation and allocation concealment not explicitly stated in the study. Participant blinding not done. Downgraded for risk of bias.

<sup>b</sup>The confidence interval of the estimate is imprecise. Downgraded for imprecision.

<sup>c</sup>Neither study mentioned the methods employed for randomisation and allocation concealment. Both had unblinded participants. Only one study reported outcome assessor blinding. Downgraded for risk of bias.

<sup>d</sup>Jokic 1999 used laboratory-based polysomnography, while Skinner 2008 used home-based monitors with reported kappa for agreement of 0.6 with polysomnography. Downgraded for imprecision of measuring techniques.

<sup>e</sup>The confidence interval of the estimate is imprecise. Downgraded for imprecision.

## Summary of findings 2. Positional therapy compared to inactive control for obstructive sleep apnoea

### Positional therapy compared to inactive control for obstructive sleep apnoea

**Patient or population:** adults with obstructive sleep apnoea

**Setting:** intervention at home except in one study where intervention was for one night in the laboratory

**Intervention:** positional therapy

**Comparison:** no positional therapy or sham therapy

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	No of participants (RCTs)	Certainty of the evidence (GRADE)	Comments
	Risk with control	Risk with positional therapy				
<b>Epworth Sleepiness Scale (ESS)</b>  Follow-up: 4 weeks to 2 months	The mean ESS ranged from 9.4-10.9	MD 1.58 lower (2.89 lower to 0.26 lower)	-	187 (2 RCTs)	⊕⊕⊕⊖ Moderate <sup>a</sup>	Laub 2017 was an open-label study over 2 months with home polygraphy at 2 months. Jackson 2015 studied participants with hospital-based PSG after 4 weeks of intervention. Lower ESS scores are better. MCID is estimated to be a fall of 2-3 points
<b>Apnoea-Hypopnoea Index (AHI)</b>  Follow-up: 1 night to 2 months	The mean AHI ranged from 16.8-19.9 event/hour	MD 7.38 event/hour lower (10.06 lower to 4.7 lower)	-	277 (4 RCTs)	⊕⊕⊖⊖ Low <sup>b,c</sup>	Laub 2017 was an open-label study over 2 months with laboratory polygraphy at 2 months. Jackson 2015 studied participants with hospital-based PSG after 4 weeks of intervention. The other 2 trials were cross-over design, Bignold 2011 being conducted over 1 week with home PSG and Van Maanen 2012 over 1-2 weeks with laboratory-based PSG. Lower AHI is better. MCID for AHI is considered to be 5
<b>Adherence</b>  Measured as number of participants who continued to use device at end of 2 months	Study population		OR 0.80 (0.33 to 1.94)	101 (1 RCT)	⊕⊕⊖⊖ Low <sup>d</sup>	Laub 2017 was an open-label study over 2 months with laboratory polygraphy at 2 months. They measured device use for minimum 4 h/night over 2 months. Rate of discontinuation of therapy used for this comparison, thus lower OR implies fewer dropouts and improved adherence.
	755 per 1000	712 per 1000 (504 to 857)				





Follow-up: 2 months						
<b>Adverse effects</b>	255 per 1000	288 per 1000	OR 1.25 (0.52 to 3.03)	101 (1 RCT)	⊕⊕⊕⊕ Low <sup>d</sup>	<a href="#">Laub 2017</a> was an open-label study over 2 months with laboratory polygraphy at 2 months. They reported that 15 participants dropped out of the SPT arm: 5 due to adverse effects (frequent awakening and poor sleep (2), pain in the thorax and unpleasant feeling (3); 2 for lack of effect; and remaining 8 for other reasons (lost to follow up 5, withdrawal 1, sleep problem improved 1 and did not understand trial 1)
Measured as number of participants who discontinued device at end of 2 months						
Follow-up: 2 months						
<b>Quality of life</b>	Mean FOSQ: 3.3	MD 0.2 higher (0.02 lower to 0.42 higher)		86 (1 RCT)	⊕⊕⊕⊕ Moderate <sup>e</sup>	<a href="#">Jackson 2015</a> studied participants with hospital-based PSG after 4 weeks of intervention. They reported that FOSQ scores were significantly higher in positional therapy group (P < 0.01). Higher scores on FOSQ indicate improved quality of life.
Assessed using SF-36 or FOSQ						
Follow-up: 4 weeks						
<b>Sleep quality</b>	Mean % of REM sleep: 19.2%; mean % of slow wave sleep: 19.7%	MD for % REM sleep 0.9% lower (5.06% lower to 3.26% higher); MD for % slow wave sleep 1.20% lower (5.22% lower to 2.82% higher)		30 (1 RCT)	⊕⊕⊕⊕ Low <sup>e,f</sup>	<a href="#">Van Maanen 2012</a> conducted a cross-over trial using hospital-based PSG and reported that percentage of REM sleep and percentage of slow-wave sleep were not significantly different between the groups.
Assessed by average duration of slow-wave and REM sleep periods						
Follow-up: 1 night						
<b>Cognitive dysfunction</b>	Mean motor reaction time in seconds is 193.5	MD 12.4 seconds lower (23.10 lower to 1.70 lower)		86 (1 RCT)	⊕⊕⊕⊕ Very low <sup>g</sup>	<a href="#">Jackson 2015</a> studied participants with in-hospital PSG after 4 weeks of intervention. They reported that results of motor vigilance test was not significantly different between the groups.
Follow-up: 4 weeks						

\***The risk in the intervention group** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

**CI:** confidence interval; **CPAP:** continuous positive airway pressure; **FOSQ:** Functional Outcomes Sleep Questionnaire; **MCID:** minimal clinically important difference; **MD:** mean difference; **OR:** odds ratio; **PSG:** polysomnography; **RCT:** randomised controlled trial; **REM:** rapid eye movement; **RR:** risk ratio; **SF-36:** short-form 36; **SPT:** sleep position trainer

**GRADE Working Group grades of evidence**

**High certainty:** we are very confident that the true effect lies close to that of the estimate of the effect.

**Moderate certainty:** we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

**Low certainty:** our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

**Very low certainty:** we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

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<sup>a</sup>Laub 2017 has high risk of bias. It was an open-label study and had a high attrition rate. It did not mention methods of allocation concealment. Downgraded for risk of bias.

<sup>b</sup>Bignold 2011 and Van Maanen 2012 did not explicitly state the randomisation and allocation concealment procedure. Jackson 2015 had discrepancy in the stated allocation procedure and the actual distribution of the participants in the study. Laub 2017 was an open-label study. It did not state the procedure of allocation concealment and had significant loss to follow-up. Downgraded for risk of bias.

<sup>c</sup>Laub 2017 and Bignold 2011 used home-based monitors, while the other two studies used laboratory-based polysomnography with reported kappa for agreement 0.6. Downgraded for imprecision of measurement techniques.

<sup>d</sup>Laub 2017 did not mention methods of allocation concealment. It was an open-label study with a high attrition rate. Downgraded for risk of bias.

<sup>e</sup>The confidence interval of the estimate is imprecise. Downgraded for imprecision.

<sup>f</sup>Van Maanen 2012 has moderate risk of bias, has no clear primary outcome and multiple comparisons. Downgraded for risk of bias.

<sup>g</sup>This study is at risk of bias, and has multiple comparisons. The study authors reported no difference between the groups for the outcomes on cognition. However, we noticed motor reaction time to be significantly different in favour of positional therapy. We downgraded this parameter for indirectness as its clinical significance in isolation is uncertain. Downgraded twice for risk of bias and once for indirectness.

## BACKGROUND

### Description of the condition

Obstructive sleep apnoea (OSA) is a common condition. Its prevalence varies between 9% to 38% and it is influenced by age and gender. The prevalence of severe OSA varies between 6% to 7% (Senaratna 2017). One study reports that the prevalence of OSA has increased by 14% to 55% over the last two decades, as estimated by an increase in Apnoea-Hypopnoea Index (AHI) in laboratory-based polysomnography (Peppard 2013). OSA is known to be a risk factor for road traffic accidents, and is associated with several systemic illnesses such as cardiovascular disease, cognitive impairment (Jackson 2018), and impaired recovery following stroke (Arzt 2005; Chobanian 2003; Dumitrascu 2012; Lal 2012; Marin 2005; Marshall 2008; Martinez-Garcia 2005; Parra 2011; Peppard 2000; Shahar 2001; Yaggi 2005). OSA is two to four times more common among people with systemic hypertension, stroke or coronary artery disease than in the general population (Bassetti 1999; Harbison 2002; Wessendorf 2000).

OSA is characterised by repeated episodes of pharyngeal collapse leading to intermittent hypoxaemia (abnormally low oxygen level in the blood) and consequent sleep fragmentation. Repeated sleep disturbance at night may result in non-refreshing sleep and excessive daytime sleepiness, especially in moderate to severe cases. Other clinical features of OSA include fatigue, insomnia, loud snoring, gasping, choking and breath holding during sleep. Studies suggest that OSA can induce oxidative stress and a state of subclinical inflammation (Chen 2015; Lavie 2015; Schulz 2000; Shamsuzzaman 2002; Vgontzas 1997).

The diagnosis of OSA is based on sleep-related symptoms and polysomnography. OSA is confirmed if the number of apnoea, hypopnoea and respiratory event-related arousals on polysomnography is greater than either 15 per hour in asymptomatic people or five per hour in the presence of clinical features suggestive of OSA. The severity of OSA is graded by AHI score (Ruehland 2009). OSA is graded as mild, moderate and severe if the AHI is 5 to 14, 15 to 30, and greater than 30 respectively (Epstein 2009).

The prevalence of OSA that may improve on proper positioning is 50% to 60% (Cartwright 1984; Joosten 2014; Mador 2005; Oksenberg 2009). The prevalence of OSA that appears on sleeping on the back and disappears on sleeping in any position other than on the back is 25% to 30% (Joosten 2014). This type of OSA, which improves with change in sleeping position, is called positional OSA (POSA). POSA is variably defined. Cartwright 1984 defined POSA as, "50% or more reduction in Apnoea-Hypopnoea Index (AHI) score while lying on his or her side (lateral recumbent position) than lying on the back". Mador 2005 defined POSA as "total AHI more than 5 and non-supine AHI 5 or less with more than 50% reduction in AHI between supine and non-supine postures". They found that 49.5% of mild, 19.4% of moderate and 6.5% of severe sleep apnoea participants had POSA (Mador 2005).

### Description of the intervention

Treatment modalities for OSA include behavioural and lifestyle modifications, oral appliance devices, continuous positive airway pressure (CPAP) therapy and surgery (Giles 2006; Lim 2004; Shneerson 2001; Smith 2002; Sundaram 2005). Most of the

guidelines given by various recognised professional bodies recommend the use of lifestyle modification and behavioural therapy in people with newly diagnosed mild OSA.

Positional therapy uses devices that help people to sleep on their side or in a non-supine position. The American Academy of Sleep Medicine (AASM) task force on adult obstructive sleep apnoea recommends positional therapy as an effective secondary therapy for people with POSA (Epstein 2009). This recommendation was based on evidence of a moderate degree of clinical certainty, implying use of level II evidence or consensus of level III evidence (randomised controlled trials (RCTs) with high-beta error or consensus of evidence from non-randomised controlled studies). The European Respiratory Society task force on non-CPAP therapies in OSA states that positional therapy can yield a moderate reduction in AHI score (Randerath 2011). With a grade C recommendation (evidence based on case studies or cohort studies or extrapolation of systematic reviews of cohort or case-control studies with homogeneous results), the task force stated that positional therapy is inferior to CPAP but may be recommended for carefully selected patients (Randerath 2011).

Several devices are available for positional therapy. Devices that have been designed for this purpose include lumbar or abdominal binders, semi-rigid backpacks, full-length pillows, a tennis ball attached to the back of nightwear, and electrical sensors with alarms that indicate change in position. Other options include support devices, pillows and t-shirts that can be used to progressively train people to sleep on their sides. These therapies may be an attractive option because of their cost-effectiveness and possibly better patient adherence, especially in those with mild to moderate OSA, for whom adherence with CPAP therapy is generally poor (Ravesloot 2013; Ravesloot 2017; Sawyer 2011).

CPAP is the current gold standard of treatment for OSA. The AASM task force recommends positive airway pressure (PAP) as the treatment of choice for moderate and severe OSA, and an option for mild OSA. As a consensus recommendation, this group states that CPAP should be offered to all people with moderate to severe OSA (Epstein 2009). The National Institute for Health and Care Excellence (NICE) guidelines recommend CPAP as the best treatment option in cases of symptomatic moderate or severe OSA, where adherence is better for CPAP (Sarrell 2013). In mild OSA, NICE considers CPAP as a treatment option only if OSA is symptomatic enough to cause impairment in quality of life. For mild OSA, NICE recommends CPAP only after lifestyle advice and other treatment options have been unsuccessful or have been found to be inappropriate (NICE 2008).

### How the intervention might work

Recurrent airway obstruction in OSA may be the culmination of interaction of several mechanisms like airway anatomical characteristics, pharyngeal critical closing pressure, action of airway dilator muscle, tracheal tug, ventilatory control instability and arousal threshold (Joosten 2014).

Sleeping on the sides may reduce pressure on the airway and lower the chance of sleep apnoea (Isono 2002). Change of body position from the side to the back results in shift in the directional effect of gravity on the structures of the upper airway. It is proposed that genioglossus activity, a critical muscle acting to compensate the collapsing forces acting on the airway when a person is lying on

their back, may fall during sleep and contribute to airway collapse (Joosten 2014). Lying on the sides may counteract these influences and improve OSA.

### Why it is important to do this review

Although CPAP therapy is considered to be the gold standard and the most effective currently available therapy for OSA, its clinical application can be compromised by poor adherence to the treatment (Kribbs 1993), as up to two-thirds of people with OSA do not routinely use their CPAP machine (Sarrell 2013). Poor adherence is more common among people with mild to moderate OSA and largely asymptomatic disease and in people with other co-morbidities. Furthermore, CPAP may not be a widely accessible choice in resource-poor settings because of the cost involved. Therefore, as evidence continues to emerge, a focused systematic review should explore the role of positional therapy to make clear the benefits of CPAP treatment and the patient groups for which this approach is best suited.

### OBJECTIVES

To compare the efficacy of positional therapy versus CPAP and positional therapy versus inactive control (sham intervention or no positional therapy intervention) in people with OSA.

### METHODS

#### Criteria for considering studies for this review

##### Types of studies

In the original protocol, we planned to include only parallel-arm, randomised controlled trials (RCTs) that use positional therapy for OSA regardless of blinding, language or stage of publication, and we excluded cross-over trials. However, the initial search results indicated that most of the relevant trials for this review were using cross-over methodology. Hence, with the approval of the editorial board, we made a post-hoc protocol change to include randomised cross-over trials.

##### Types of participants

We included participants with OSA, irrespective of their age, disease severity or the diagnostic criteria used to diagnose the condition. We considered the AASM diagnostic criteria to be the gold standard for comparison purposes (Epstein 2009).

##### Types of interventions

We used the following comparisons

1. Positional therapy versus CPAP
2. Positional therapy versus inactive control (sham intervention/ no positional therapy intervention)

Our intervention was positional therapy to encourage people to sleep on their sides using devices like lumbar or abdominal binders, semi-rigid backpacks, full-length pillows, tennis ball attached to the back of nightwear, and electrical sensors with alarms that indicate change in position.

Our controls were:

1. continuous positive airway pressure (CPAP), provided and titrated according to standard methodology; and

2. inactive control, which included no intervention of any type or positional therapy device worn in off or inactive state.

#### Types of outcome measures

##### Primary outcomes

1. Epworth Sleepiness Scale (ESS)/symptoms of excessive daytime sleepiness (Kapur 2017)
2. Apnoea-Hypopnoea Index (AHI) (Kapur 2017)
3. Adherence rate

##### Secondary outcomes

1. Quality of life (as assessed using appropriate scales such as Short Form-36 (SF-36) or Functional Outcomes Sleep Questionnaire (FOSQ))(Kapur 2017)
2. Sleep quality assessed by average duration of slow wave and REM sleep periods
3. Respiratory Disturbance Index (RDI) (Kapur 2017)
4. Frequency of desaturation episodes per hour of sleep
5. Average duration of oxygen desaturation
6. Cognitive dysfunction (as assessed using appropriate instruments such as the Psychomotor Vigilance Test or Hospital Anxiety Depression Scale)
7. Adverse effects (back discomfort and skin irritation due to application of the positional device; facial discomfort, nasal congestion, dry mouth and skin irritation due to CPAP therapy)

#### Search methods for identification of studies

##### Electronic searches

We searched for studies in the Cochrane Airways Trials Register, which is maintained by the Information Specialist for the Group. The Cochrane Airways Trials Register contains studies identified from several sources:

1. Monthly searches of the Cochrane Central Register of Controlled Trials (CENTRAL), through the Cochrane Register of Studies Online ([crso.cochrane.org](http://crso.cochrane.org));
2. Weekly searches of MEDLINE Ovid SP 1946 to date;
3. Weekly searches of Embase Ovid SP 1974 to date;
4. Monthly searches of PsycINFO Ovid SP;
5. Monthly searches of CINAHL EBSCO (Cumulative Index to Nursing and Allied Health Literature);
6. Monthly searches of AMED EBSCO (Allied and Complementary Medicine);
7. Handsearches of the proceedings of major respiratory conferences.

Studies contained in the Trials Register are identified through search strategies based on the scope of Cochrane Airways. Details of these strategies, as well as a list of handsearched conference proceedings are in [Appendix 1](#). See [Appendix 2](#) for search terms used to identify studies for this review.

We also searched the following trials registries:

1. US National Institutes of Health Ongoing Trials Register ClinicalTrials.gov ([www.clinicaltrials.gov](http://www.clinicaltrials.gov))
2. World Health Organization International Clinical Trials Registry Platform ([apps.who.int/trialsearch/](http://apps.who.int/trialsearch/))

We searched the Cochrane Airways Trials Register and additional sources from inception to September 2018, with no restriction on language of publication.

### Searching other resources

We searched primary studies and review articles for additional references.

We accessed additional information on ongoing trials from manufacturers' websites.

We checked for any errata or retractions from included studies that have been published.

We searched [www.clinicaltrials.gov/](http://www.clinicaltrials.gov/) and [WHO trial portal](http://www.who.int/trial_portal/) for ongoing trials. We contacted study authors regarding the publication status of the trials.

## Data collection and analysis

### Selection of studies

Two review authors (PRS and RA) independently reviewed the abstracts of the studies using the predefined inclusion criteria. We accessed full texts of selected studies and reviewed them at length. We assessed the screened studies for eligibility for inclusion using a pre-designed eligibility form. A third review author (AG), who was not a member of the data extraction team, adjudicated any disagreements. We were careful to check for multiple publications of the same data. We documented our reasons for excluding studies.

We recorded the selection process in a PRISMA flow diagram (Moher 2009), and in a [Characteristics of excluded studies](#) table.

### Data extraction and management

Two review authors (RA and JD) independently extracted data. RA and AG independently assessed the quality of studies. A third review author (PRS) adjudicated any disagreements. RA entered data into Review Manager 5 (RevMan 5) software for analysis (Review Manager 2014). The entries were double-checked, and a second review author (PRS) checked the study characteristics for accuracy.

For study characteristics and outcome data, we used a data collection form that we piloted on one study in the review. Two review authors (RA and JD) independently extracted study characteristics from included studies. We extracted the following study characteristics.

1. Methods: study design, total duration of study, details of any 'run-in' period, country in which study was conducted, number of study centres, study setting, withdrawals and date of study
2. Participants: number of participants, mean age, age range, gender, severity of condition, diagnostic criteria, inclusion criteria and exclusion criteria
3. Interventions: intervention, comparison, concomitant medications and interventions
4. Outcomes: primary and secondary outcomes specified and collected and time points reported
5. Notes: funding for trial and notable conflicts of interest of study authors

### Assessment of risk of bias in included studies

We assessed the risk of bias for all studies using the Cochrane tool for assessing risk of bias (Higgins 2017). Domains assessed include sequence generation and allocation concealment, blinding of outcome assessors, completeness of outcome data and selective outcome reporting. Other points considered include baseline imbalances, premature stopping of studies and commercial conflicts of interest of study authors. Two review authors (RA and AG) independently assessed risk of bias, with another review author (PRS) acting as adjudicator in case of disagreement. We recorded risk of bias in the 'Risk of bias' tables.

### Assessment of bias in conducting the systematic review

We noted any deviation from the 'Risk of bias' assessment above in the [Differences between protocol and review](#).

### Measures of treatment effect

Outcome variables like AHI and oxygen saturation are continuous variables. We expressed data from each study as mean differences (MD) or standardised mean differences (SMD) with 95% confidence intervals (CI).

We expressed dichotomous data as odds ratios (OR).

We conducted meta-analysis only when meaningful data, that were clinically and methodologically similar, were available.

### Unit of analysis issues

The unit of analysis was the participant.

### Dealing with missing data

We analysed data on an intention-to-treat basis. We contacted study authors for clarification on missing information.

### Assessment of heterogeneity

We used the  $I^2$  statistic (Higgins 2003), to measure heterogeneity among trials (Deeks 2017). In case of substantial heterogeneity, we planned to explore possible causes for it by prespecified subgroup analysis, when possible. If the heterogeneity could not be explained by subgroup analysis, we planned to do sensitivity analysis including and excluding the outlier studies.

### Assessment of reporting biases

We were not able to prepare funnel plots as planned in the protocol because there were fewer than 10 studies available.

### Data synthesis

We analysed data using [Review Manager 2014](#) software.

When two or more appropriate studies were available we used RevMan 5 software to pool the results. We used means of outcomes to obtain a mean difference pooled from all studies. We combined studies using the random-effects model. When clinical or methodological differences between the studies were significant we did not combine the results.

### 'Summary of findings' table

We used GRADEpro GDT to prepare 'Summary of findings' tables (GRADEpro GDT 2015). The outcomes included were ESS, AHI,

adherence, adverse effects, quality of life, sleep quality and cognitive dysfunction.

We justified all decisions to downgrade the certainty of the evidence in the footnotes.

### Subgroup analysis and investigation of heterogeneity

We had planned to carry out the following subgroup analyses to look for potential sources of heterogeneity.

1. Mild to moderate OSA versus severe OSA or asymptomatic OSA versus symptomatic OSA
2. Studies including only OSA participants without co-morbidities such as stroke versus studies done on populations with co-morbidities such as stroke survivors
3. Types of interventions used for positional therapy (e.g. mechanical restrainers vs electronic sensors with alarms with or without mechanical restrainers)

We planned to include the following outcomes in the subgroup analyses.

1. Epworth Sleepiness Scale/symptoms of excessive daytime sleepiness
2. Apnoea-Hypopnoea Index (AHI)
3. Adherence rate
4. Quality of life (assessed using appropriate scales such as SF-36 and FOSQ)

5. Cognitive dysfunction (assessed using appropriate instruments such as the Psychomotor Vigilance Test and the Hospital Anxiety Depression Scale)

Due to inadequate data, we only did one subgroup analysis of studies involving positional alarm device alone.

### Sensitivity analysis

We did a sensitivity analysis for the robustness of the results excluding studies that did not use laboratory-based polysomnography. We also did a sensitivity analysis for the effect of the post-hoc amendment to the review protocol to include cross-over trials.

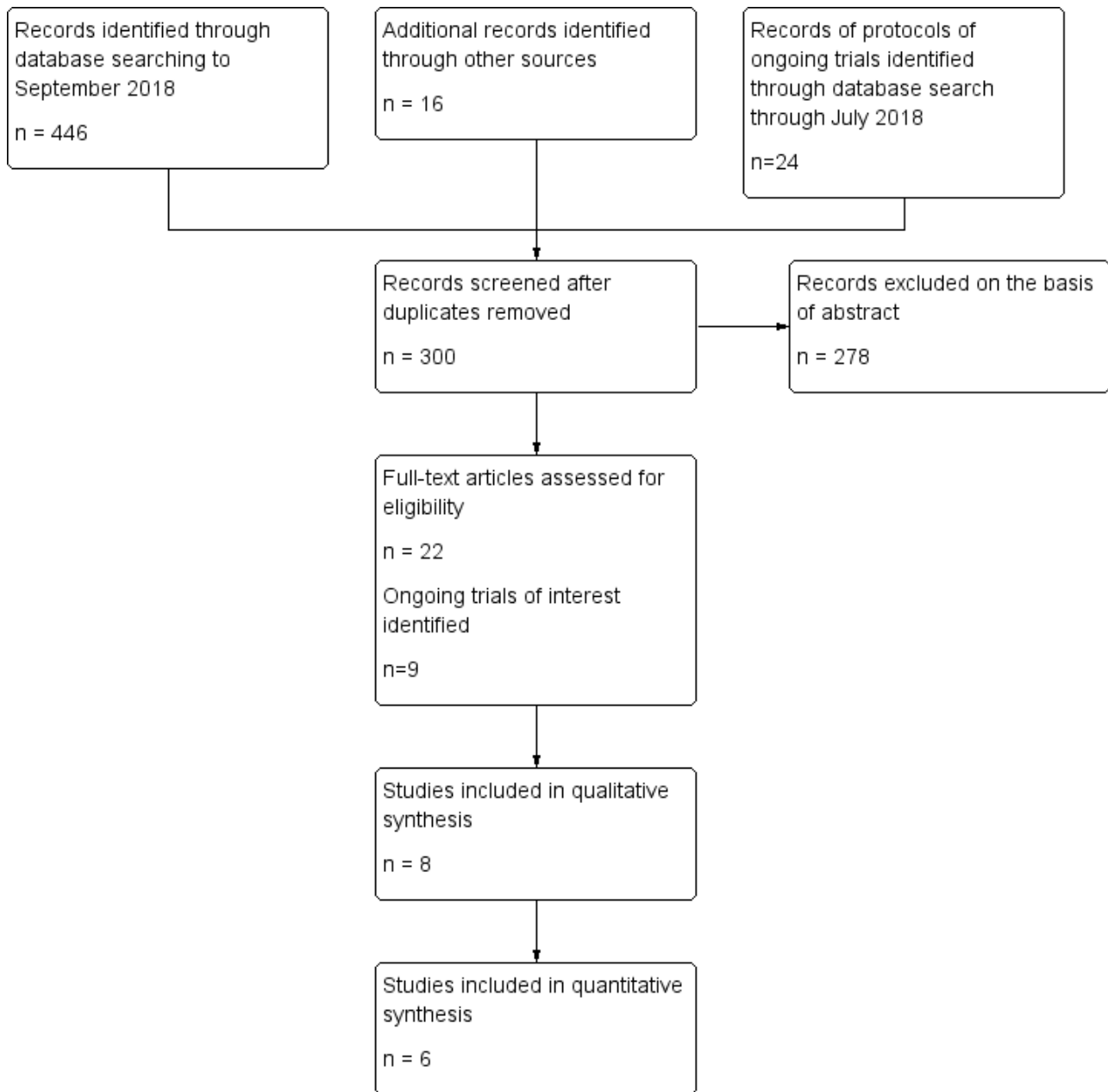
## RESULTS

### Description of studies

#### Results of the search

Our searches, conducted first in February 2012 and updated last in September 2018, found 446 references. After excluding duplicate publications and irrelevant reports, we identified 22 studies. Only two studies were eligible for inclusion according to the original protocol of this review, as we had excluded cross-over trials. But a post-hoc revision of the protocol, that considered cross-over trials eligible for the review, enabled us to select eight studies for inclusion in the review. The selection process is shown in [Figure 1](#). We also identified nine ongoing studies (see [Characteristics of ongoing studies](#)).

**Figure 1. Study Flow diagram**



**Included studies**

We included six randomised, cross-over trials and two randomised, parallel-group studies. The studies randomised a total of 323 participants. The comparison between positional therapy and CPAP included 72 participants, and the comparison between positional therapy and inactive control included 251 participants. An overview of the characteristics of the included studies is given in [Table 1](#).

**Positional therapy versus continuous positive airway pressure (CPAP)**

We identified three trials with 72 participants ([Jokic 1999](#); [Permut 2010](#); [Skinner 2008](#)).

All of these studies were randomised cross-over design with [Skinner 2008](#) providing a washout period. [Permut 2010](#) used a positional alarm, while [Jokic 1999](#) and [Skinner 2008](#) used the tennis ball technique or its variants.

The gender distribution is not available for [Skinner 2008](#), but in other studies participants were predominantly male. The participants were mostly in their fourth and fifth decade of life and mean BMI of the participants in the individual studies ranged from 30.7 ([Skinner 2008](#)), to 31 ([Permut 2010](#)). The study participants were restricted to people with POSA. Two of the studies on POSA required the participants to be symptomatic as well ([Permut 2010](#); [Jokic 1999](#)). [Jokic 1999](#) and [Permut 2010](#) used laboratory-based polysomnography while [Skinner 2008](#) used home-based monitors. [Permut 2010](#) reported the outcomes AHI, mean oxygen saturation,

lowest oxygen saturation, percentage of total sleep time with pulse oxygen saturation less than 90%, sleep efficiency, spontaneous arousal index, sleep architecture and participant's preference for the intervention. [Skinner 2008](#) reported AHI dichotomised as treatment success and treatment failure, defined as AHI of 10 or less. [Skinner 2008](#) reported adequate adherence, defined as four or more hours per night on at least 70% of nights monitored. None of the studies reported adverse effects.

### **Positional therapy versus inactive control**

Five trials (251 participants), compared positional therapy with inactive control ([Bignold 2011](#); [Jackson 2015](#); [Laub 2017](#); [Svatikova 2011](#); [Van Maanen 2012](#)). [Bignold 2011](#), [Van Maanen 2012](#) and [Svatikova 2011](#) were cross-over trials, while [Laub 2017](#) and [Jackson 2015](#) were parallel-arm trials. Three studies used a vibration alarm ([Bignold 2011](#); [Laub 2017](#); [Van Maanen 2012](#)), while one used a technique similar to tennis ball ([Jackson 2015](#)), and the other a positional sleeping pillow ([Svatikova 2011](#)). [Svatikova 2011](#) included participants with stroke and AHI of 5 or more. The participants were predominantly men in their fourth and fifth decade of life. The mean BMI of the participants in the studies ranged from 27.1 to 30.9.

[Bignold 2011](#) studied AHI, snoring loudness and percentage of time in supine posture. [Jackson 2015](#) reported supine sleep time, total sleep time, AHI, supine AHI, sleep efficiency, arousal index, body mass index, blood pressure, ESS, and quality-of-life scales, including Functional Outcome of Sleep Questionnaire (FOSQ), Symptoms of Sleep Questionnaire (SOSQ), and neuropsychological test battery including psychomotor vigilance test, response inhibition test, Trail Making Task A and B, digit symbol substitution

ask, digits span test and controlled oral word association task. [Van Maanen 2012](#) studied AHI, supine AHI, non-supine AHI, total supine sleep time, sleep efficiency, oxygen saturation and arousal index. [Svatikova 2011](#) assessed relative change in AHI, absolute difference in the mean oxygen saturation, and absolute difference in the time spent in the supine position. This study had a second part that assessed three-month adherence. We excluded this part of the study from the analysis as the information on randomisation and allocation concealment was not clear.

### **Excluded studies**

Of the thirteen excluded studies, four were non-randomised trials ([Afrashi 2015](#); [Braver 1995](#); [Cartwright 1991](#); [Greer 2006](#)), and two were systematic reviews ([Ha 2014](#); [Barnes 2017](#)). One study included neonates only ([Kurlak 1994](#)), and another study included normal pregnant women ([Zaremba 2015](#)). We excluded [Skinner 2004a](#) and [Skinner 2004b](#) as these studies used interventions that did not meet our definition of positional therapy. [Eijsvogel 2015](#) compared two methods of positional therapy against each other. We excluded [Dieltjens 2015](#) and [Benoist 2017](#) because they compared positional therapy with oral appliances. One study with an eligible population and intervention was available only as an abstract in conference proceedings and our attempts to contact the author for further details were unsuccessful ([Magalang 2016](#)).

### **Risk of bias in included studies**

Full details of judgements can be found in [Characteristics of included studies](#). For the graph showing the overall judgments see [Figure 2](#).



**Figure 2. 'Risk of bias' summary: review authors' judgements about each 'Risk of bias' item for each included study**

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Bignold 2011	?	?	-	?	+	?	+
Jackson 2015	?	+	-	?	+	?	?
Jokic 1999	?	?	-	+	+	?	+
Laub 2017	+	?	-	?	-	?	?
Permut 2010	?	?	-	?	+	-	-
Skinner 2008	?	?	-	?	+	?	+
Svatikova 2011	?	?	-	?	+	?	+
Van Maanen 2012	?	?	?	?	+	?	?

**Allocation**

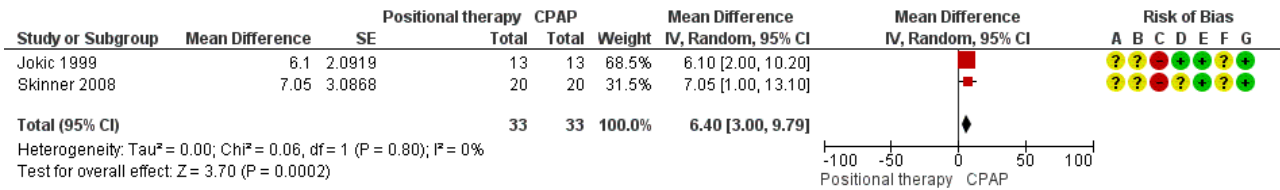
None of the studies were free from risk of bias for randomisation. Jackson 2015 used a third-party, computer-generated sequence, available on site in sealed envelopes that they opened sequentially.

However, while the study had planned a 1:1 allocation, the numbers in the arms were dissimilar (37 and 49). We judged unclear risk for the study based on this issue. Laub 2017 did not mention the methods used for allocation concealment. None of the remaining seven studies clearly described the methods employed for random



of laboratory-based polysomnography for assessing AHI did not show any change in the results.

**Figure 4. Positional therapy versus CPAP: Apnea-Hypopnea Index**



Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias

**Adherence**

Skinner 2008 (20 participants) reported subjective adherence to the intervention. Participants used positional therapy for more hours per night compared to CPAP (MD 2.5 hours per night, 95% CI 1.41 to 3.59; moderate-certainty evidence; Analysis 1.3).

**Secondary outcomes**

Skinner 2008 reported quality of life using Short Form 36 Health Survey (SF-36), and Functional Outcomes of Sleep Questionnaire (FOSQ), and there was no significant difference between the two groups (MD for SF-36 physical -0.10, 95% CI -6.79 to 6.59; MD for SF-36 mental 0.60, 95% CI -4.99 to 6.19; MD for FOSQ -0.40, 95% CI -1.82 to 1.02; low-certainty evidence; Analysis 1.4; Analysis 1.5; Analysis 1.6).

Jokic 1999 reported sleep quality using percentage of REM sleep and percentage of slow-wave sleep. There was no significant difference between the two groups (MD for percentage of REM sleep -2.00, 95% CI -8.22 to 4.22; low-certainty evidence; Analysis 1.7; Figure 6; MD for percentage of slow-wave sleep -2.00, 95% CI -9.12 to 5.12; low-certainty evidence; Analysis 1.8). Jokic 1999 also reported cognitive outcomes using six tests with 34 subtests and reported no difference between the groups. We did not use these data in our quantitative analysis as there is a risk of bias associated with making multiple comparisons using different parameters.

Skinner 2008 used the aggregate score of 19 self-reported questions for assessing adverse effects. The grading reported is as follows: 0, no effect; 1, mild effect but did not disturb sleep; 2, sleep disturbed; 3, could not use device. They reported that the aggregate score was less for the positional device compared to CPAP (MD 3.60, 95% CI 3.40 to 5.80). The study authors did not describe the exact questions asked, hence we cannot comment on the type and nature of the adverse effects. The other studies did not

report adverse effects. There were insufficient data to comment on other secondary outcomes, including respiratory disturbance index (RDI), and frequency and duration of nocturnal desaturation. We were unable to do prespecified subgroup analysis due to lack of adequate data.

**Positional therapy versus inactive control**

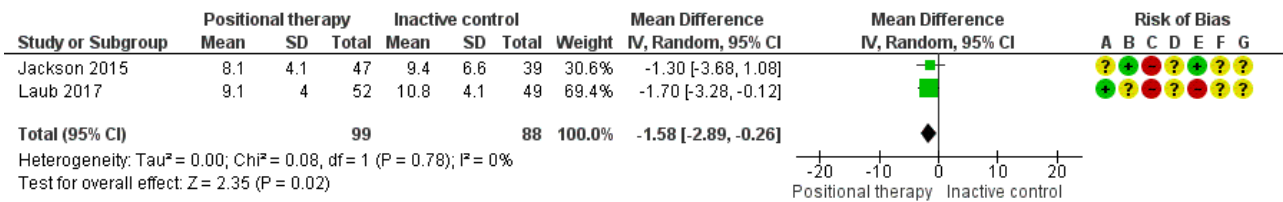
Among the five studies (251 participants) included in the review for this comparison, two were parallel-arm trials (187 participants; Jackson 2015; Laub 2017), while the other three were cross-over trials (64 participants; Bignold 2011; Svatikova 2011; Van Maanen 2012). Based on the criteria stated in the original protocol of this review, only two studies would have been eligible for inclusion (187 participants; Jackson 2015; Laub 2017). We included three additional studies following the post-hoc amendment to the protocol of the review. Four studies included only participants with POSA, while one (Svatikova 2011), included participants with ischaemic stroke. We did not include Svatikova 2011 in meta-analysis as it reported outcomes as median and interquartile range.

**Primary outcomes**

**Epworth sleepiness scale (ESS)**

Data from two studies with 187 participants (Jackson 2015; Laub 2017), showed that positional therapy significantly improved ESS (MD -1.58, 95% CI -2.89 to -0.26; moderate-certainty evidence; Analysis 2.1; Figure 5). The estimate is identical in the sensitivity analysis for the post-hoc amendment to the review protocol as both the studies are parallel-arm studies. However, sensitivity analysis excluding Laub 2017, who used a respiratory monitor for assessing sleep, reduced the size of the effect and widened the confidence interval so that the effect was no longer seen. This is less than the minimal clinically important difference (MCID) of between 2 to 3 as estimated by Patel 2017.

**Figure 5. Forest plot of comparison: 2 Positional therapy versus inactive control, outcome: 2.1 Epworth Sleepiness Scale.**



Risk of bias legend

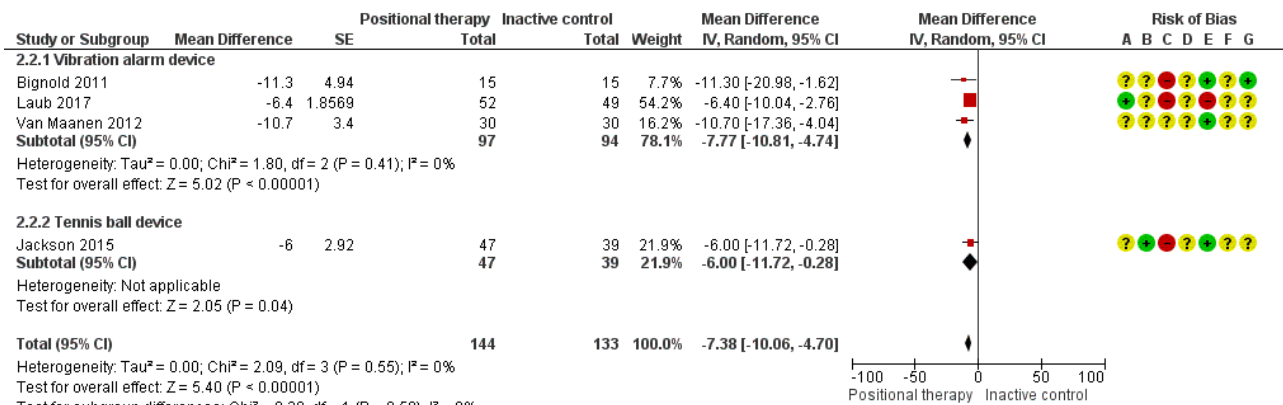
- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias

**Apnoea hypopnoea index (AHI)**

Data from four studies with 233 participants (Bignold 2011; Jackson 2015; Laub 2017; Van Maanen 2012), showed that positional therapy improved AHI compared to inactive control (MD -7.38 events per hour, 95% CI -10.06 to -4.70; low-certainty evidence; Analysis 2.2; Figure 6). Studies using a vibration alarm positional device (3 studies; 147 participants; Bignold 2011; Laub 2017; Van Maanen 2012), showed a MD of -7.77 (95% CI -10.81 to -4.74; Analysis 2.2; Figure 8), while the study using a tennis ball technique positional device (1 study; 86 participants; Jackson 2015), showed MD of -6.00 (95% CI -11.72 to -0.28; low-certainty evidence; Analysis 2.2; Figure 8). Sensitivity analysis excluding

studies using respiratory monitors for assessing AHI (Bignold 2011; Laub 2017), did not change the results favouring positional therapy. We observed no subgroup difference. Sensitivity analysis for the post-hoc amendment to the review protocol including only parallel-arm studies resulted in lower estimate of MD in AHI in favour of positional therapy (MD -6.29 events per hour; 95% CI -9.36 to -3.21). Van Maanen 2012 reported that 23.3% of participants (7 of 30) had AHI less than 5 when tested with the vibration device switched on, the test being done after a median 1.5 months of follow-up. In Laub 2017, 51.4% of the participants had AHI less than 10 and 40.5% had AHI less than 5 after two months' use of the vibration alarm. The differences in AHI are more than the MCID of 5 events per hour according to expert opinion (Kim 2017).

**Figure 6. Forest plot of comparison: 2 Positional therapy versus inactive control, outcome: 2.2 Apnoea-Hypopnoea Index.**



Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias

**Adherence**

Laub 2017 (101 participants) reported adherence to therapy as number of participants who continued to use the device at two month. There was no clear difference between the groups (OR 0.80,

95% CI 0.33 to 1.94; low-certainty evidence; Analysis 2.3). Sensitivity analysis for the post-hoc amendment to the protocol yielded the same estimates, as the only study that contributed to analysis was parallel-armed. However, the estimate is imprecise and includes the possibility of the opposite effect. Svatikova 2011 assessed

adherence in the second phase of their study where they assigned the original 18 participants to two groups: nine participants to continue use of Sona® pillow and nine to use a pillow as they wished. In this section of the study (not included in the review as the randomisation of the second part of the study was not clearly stated), self-reported adherence to the Sona pillow was reported as 4 of the 9 participants reporting using it on "most or all nights".

### Secondary outcomes

[Laub 2017](#) noted adverse effects and the reasons for attrition. The reasons of attrition are as follows: improvement of the sleep problem (n = 1), lost to follow-up (n = 5; 9.8%), failure to understand the trial (n = 1), lack of interest in continuing in the trial (n = 1), no effect from the study device (n = 2; 3.9%), sleep disturbance (n = 3; 5.9%), pain in back and thorax and unpleasant feeling in body (n = 2; 3.9%). Overall 10% of the participants reported adverse effects. However, there was no significant difference in the number of participants who discontinued use of the device at two months (OR 1.25, 95% CI 0.52 to 3.03; low-certainty evidence; [Analysis 2.3](#)).

[Jackson 2015](#) reported quality of life as measured by FOSQ. There was no significant difference between the two groups (MD 0.20, 95% CI -0.20 to 0.42; moderate-certainty evidence; [Analysis 2.4](#)). They also reported cognitive outcomes as measured by motor reaction time. Although they reported no difference, our quantitative analysis showed a difference in favour of positional therapy (MD -12.5 seconds, 95% CI -23.1 to 1.7; very low-certainty evidence; [Analysis 2.5](#)). [Van Maanen 2012](#) reported quality of sleep as percentage of REM sleep and percentage of slow-wave sleep. There was no difference between the two groups (MD for percentage REM sleep -0.9, 95% CI -5.06 to 3.26; MD for percentage slow-wave sleep -1.2, 95% CI -5.22 to 2.82; low-certainty evidence). Due to insufficient data, we could not analyse other specified secondary outcomes from the studies, like RDI, duration of oxygen desaturation, frequency of oxygen desaturation, quality of sleep and adverse effects. Sensitivity analysis for the post-hoc amendment to the review protocol did not differ in the case of adverse effects, quality of life and cognitive performance. We could not perform sensitivity analysis on quality of sleep as the only study that provided data was a cross-over study.

We carried out a prespecified subgroup analysis based upon the type of positional device (alarm-based positional trainer versus physical restraint). We did not note any subgroup difference ([Analysis 2.2](#)).

We could not perform the other prespecified subgroup analysis because there were not enough data.

## DISCUSSION

### Summary of main results

In this review we compared the efficacy of positional therapy in the treatment of obstructive sleep apnoea with CPAP and with inactive control (sham or no intervention). We included eight studies, involving 323 participants. Three studies with 72 participants compared positional therapy with CPAP. Five studies with 251 participants compared positional therapy with inactive control.

The review revealed that CPAP is better than positional therapy in improving AHI in people with OSA. However, participants' self-reported adherence was better with positional therapy than

CPAP. Studies comparing positional therapy with inactive control therapy showed lower AHI and ESS in favour of positional therapy. The mean difference for AHI noted in the review for positional therapy versus inactive control is higher than the minimal clinically important difference (MCID) of 5 ([Kim 2017](#)), while that for the ESS is less than the MCID of 2 to 3 ([Patel 2017](#)).

### Overall completeness and applicability of evidence

We judged the studies included in this review to be at significant risk of bias and therefore the results cannot be considered conclusive. The results of the review apply to people with POSA, who form a significant number of people with OSA. The criteria used for defining POSA were variable. [Mador 2005](#)'s criteria that requires an AHI of less than 5 in the non-supine position, effectively selects participants who would show significant response on the AHI, a diagnostic indicator of OSA. Studies employed different methods for measuring physiological parameters (laboratory-based polysomnography versus home-based monitoring device).

Only one study ([Laub 2017](#)), with a follow-up of six months, found an improvement in daytime sleepiness. However, this study had a high risk of bias because of loss to follow-up. The studies did not report any significant improvement in quality-of-sleep or quality-of-life parameters. This could be because they enrolled participants with mild to moderate OSA, a group that is likely to have minimal impairment of sleep quality or quality of life due to OSA. Furthermore, the studies were carried out over a short time span (the duration of use of each intervention in the included studies ranged from one night to four weeks with a median of 10.5 days), which may have made the impact on clinically relevant end points less evident. In studies of OSA using CPAP, daytime sleepiness tended to improve only after four weeks of treatment and cognitive symptoms improved only after many months of treatment ([Canessa 2011](#); [Lim 2007](#); [Sanchez 2009](#)).

[Mador 2005](#) found that 49.5% of mild, 19.4% of moderate and 6.5% of severe sleep apnoea participants had POSA. By this definition, participants' AHI would be less than 5 per hour in a non-supine position. In all these people, effectively instituted positional therapy is likely to normalise the diagnostic indicators of obstructive sleep apnoea. Within the current literature, a difference of 20 to 30 AHI is seen between supine and non-supine positions ([Jackson 2015](#); [Skinner 2008](#)). This indicates the scope for positional therapy in these people. The lack of benefit in the clinical end points may be due to shorter duration of intervention in the studies included in the review.

There have been attempts to define the clinical phenotype of POSA ([Joosten 2012](#); [Joosten 2014](#)). The supine-predominant type of POSA is the traditional definition of POSA, used in most of the studies reviewed here. It is defined as total AHI of 5 events per hour or more, with supine AHI twice the non-supine AHI. The other type of POSA is the supine-isolated type where non-supine AHI is less than 5 and the ratio of supine and non-supine AHI is 2:1. Supine-predominant OSA forms about 60% of people with OSA, while supine-isolated OSA forms about 30% of people with OSA. The supine-isolated subset of people can potentially be treated with positional therapy as the sole agent. However, its clinical utility depends on how positional therapy devices fare in the clinically relevant end points like quality-of-sleep or quality-of-life parameters.

An important question is whether the clinical outcomes vary with respect to different types of positional therapy devices. In this review, studies used both physical restraint devices as well as sleep position training based on vibration alerts. The newer generation of positional therapy devices like the vibration alerts is thought to cause less sleep disruption compared to the 'tennis-ball' paradigm of physical-restraint type of positional therapy devices (Bignold 2009). This review was not designed to address this question. A prespecified subgroup analysis based upon the type of positional device (alarm-based positional trainer versus physical restraint) did not show any subgroup difference. A study comparing vibrating alarm-based sleep position trainer (SPT) with a 'tennis-ball' positional device found that participants preferred SPT over the tennis-ball positional device. However, this study was not able to demonstrate significant difference in quality-of-life or quality-of-sleep parameters (Eijsvogel 2015). As the study was of short duration, it is not clear that the better acceptability of SPT would translate to tangible benefits in the clinical end points in the long term. None of the studies reviewed here addressed this issue.

### Quality of the evidence

Methodological quality of the included trials was low, which reduced our confidence in many of the pooled effect estimates. Blinding was often not possible because of the nature of the study and most of the studies did not specify outcome assessor blinding.

Only one study included all participants with OSA, while the remainder of the studies specified inclusion of people with POSA. The criteria used for defining POSA was variable. All the studies were of short duration (less than four weeks, with median of 10.5 days). Two included studies were for two nights (Permut 2010; Svatikova 2011). Three of the included trials did not study clinical outcome parameters (Bignold 2011; Permut 2010; Svatikova 2011). Three studies did not have a washout period between the two interventions (Jokic 1999; Permut 2010; Svatikova 2011). This was relevant with respect to Jokic 1999 as it had included clinical outcome parameters that might have a carry-over effect. Only two studies included adherence as an outcome measure, a crucial factor in the success of interventions for OSA (Laub 2017; Skinner 2008). The included studies were all cross-over trials except two, which were parallel-arm studies (Jackson 2015; Laub 2017). We also judged the studies to have problems with precision of measurements, as some used home-based monitors while others used laboratory-based polysomnography. The estimates of ESS, sleep quality and quality of life (SF 36 and FOSQ) in the comparison positional therapy versus CPAP, and that of sleep quality and quality of life (SF 36 and FOSQ) in the comparison positional therapy and inactive control are imprecise.

In the comparison between positional therapy and CPAP, the certainty of estimate of mean difference of ESS is low due to high risk of bias (randomisation and allocation concealment not clearly stated, and participant blinding not done), and imprecision (wide confidence interval). The certainty of estimate of mean difference of AHI was low due to high risk of bias (methods of randomisation and allocation concealment not clearly stated and blinding not done), and imprecision of measuring techniques (one study used laboratory-based polysomnography while the other study used home-based monitors that had a kappa agreement of 0.6 with polysomnography). Estimates of quality of life assessed using SF-36 and sleep quality assessed by duration of slow-wave and REM sleep are low certainty due to high risk of bias (randomisation

and allocation concealment not clearly stated, and participant blinding not done) and imprecision due to wide confidence of interval. Self-reported adherence time has moderate-certainty evidence as we downgraded it for risk of bias (randomisation and allocation concealment not clearly stated, and participant blinding not done).

In the comparison between positional therapy and inactive control, the estimate of mean difference of ESS has moderate-certainty evidence as one of the studies had high risk of bias (open-label study with high attrition rate, and incomplete mention of the methods of allocation concealment). The estimate of mean difference of AHI has low-certainty evidence due to high risk of bias and imprecision of measuring techniques. The estimates of adherence measured by number of participants who continued to use positional therapy at the end of two months and adverse event rates measured by number of participants who discontinued at the end of two months have low-certainty evidence due to high risk of bias (downgraded twice due to high attrition rate, lack of mention of methods of allocation concealment and the open-label nature of the contributing study). Quality of life assessed using FOSQ has moderate-certainty evidence due to imprecision of the estimate. Quality of sleep assessed by average duration of slow-wave and REM sleep has low-certainty evidence due to imprecision of the estimate and risk of bias of the contributing study. The estimate of cognitive dysfunction assessed using motor reaction has very low-certainty evidence as the contributing study is at risk of bias, and the study has conducted multiple comparisons. The study authors reported no difference between the groups for the outcomes on cognition. We downgraded this parameter twice for risk of bias and once for indirectness, as its clinical significance in isolation is uncertain.

### Potential biases in the review process

We decided in protocol of the review to exclude cross-over randomised trials, however, we amended the protocol after publication. This is a post-hoc deviation that carries a risk of bias. The review otherwise followed the standard protocol of Cochrane Reviews. The risk of bias involved in the observational nature of the review applies to the present review.

### Agreements and disagreements with other studies or reviews

Two systematic reviews compared positional therapy in people with OSA. Ha 2014 reviewed positional therapy compared to CPAP in people with OSA. Barnes 2017 compared positional therapy with CPAP and with inactive control. Both the reviews gave results similar to that of the present review. However, our review differed from Ha 2014 and Barnes 2017 with respect to inclusion of certain studies. We did not include Cartwright 1991, as our communication with the author revealed that it was a quasi-randomised trial. We did not include Eijsvogel 2015 and Dieltjens 2015, as the former compared two methods of positional therapy, while the latter compared positional therapy in addition to mandibular advancement device with mandibular advancement device alone. Neither of these studies satisfied our inclusion criteria. Unlike Ha 2014, we did not consider Permut 2010 for quantitative synthesis as it had provided relevant outcome parameters (e.g. AHI) as median and interquartile range (IQR). Ha 2014 had extrapolated mean and standard deviation from median and IQR. We did not consider this as a permissible standard, as the study would have presented the

data as median and IQR because of non-normal distribution of data, and to extrapolate mean and standard deviation assuming normality from it would be potentially erroneous. [Ha 2014](#) did not include studies that compared positional therapy with inactive control.

## AUTHORS' CONCLUSIONS

### Implications for practice

This review demonstrated that continuous positive airway pressure therapy (CPAP) is better than positional therapy in improving Apnoea-Hypopnoea Index (AHI) in positional obstructive sleep apnoea (POSA). Self-reported adherence appears to favour positional therapy over CPAP. Positional therapy is better than inactive control in improving AHI and Epworth Sleepiness Scale (ESS) in participants with POSA. The difference is higher than the minimal clinically important difference (MCID) for AHI, but lower than the MCID for ESS. As the duration of the studies was short, and clinically relevant endpoints such as quality of life were not adequately evaluated in the studies, we cannot make a conclusive statement with respect to the interventions.

### Implications for research

While CPAP therapy has been demonstrated as the most effective treatment for obstructive sleep apnoea (OSA) in terms of improving AHI, ongoing adherence is a matter of concern. Positional therapy, on the other hand appears to have better self-reported adherence, but with modest effects compared to CPAP on measures like AHI. Future studies should aim to quantify adherence to positional therapy in a more objective way, and also establish its efficacy

in POSA with respect to clinically relevant outcomes like quality-of-life parameters and clinical outcomes like vascular events. This requires long-term studies with much larger sample sizes than are reported in this review. Positional therapy should also be studied in special groups of people like stroke patients, in whom CPAP may not be tolerated. The studies should focus on the clinical phenotype of the OSA and adopt uniform criteria for defining POSA.

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National Institute for Health and Clinical Excellence. NICE technology appraisal guidance 139. Continuous positive airway pressure for the treatment of obstructive sleep apnoea/hypopnoea syndrome. [www.nice.org.uk/TA139](http://www.nice.org.uk/TA139) (accessed 10 January 2014).

**Oksenberg 2009**

Oksenberg A, Arons E, Greenberg-Dotan S, Nasser K, Radwan H. The significance of body posture on breathing abnormalities during sleep: data analysis of 2077 obstructive sleep apnea patients. *Harefuah* 2009;**148**(5):304-9, 350-1. [PUBMED: 19630360]

**Parra 2011**

Parra O, Sanchez-Armengol A, Bonnin M, Arboix A, Campos-Rodriguez F, Perez-Ronchel J, et al. Early treatment of obstructive apnoea and stroke outcome: a randomised controlled trial. *European Respiratory Journal* 2011;**37**(5):1128-36. [PUBMED: 20847081]

**Patel 2017**

Patel S, Kon SS, Nolan CM, Simonds AK, Morrell MJ, Man WD, et al. Minimum clinically important difference of the Epworth Sleepiness Scale. *European Respiratory Journal* 2017;**50**(Suppl 61):PA330. [DOI: [10.1183/1393003.congress-2017.PA330](https://doi.org/10.1183/1393003.congress-2017.PA330)]

**Peppard 2000**

Peppard PE, Young T, Palta M, Skatrud J. Prospective study of the association between sleep-disordered breathing and hypertension. *New England Journal of Medicine* 2000;**342**(19):1378-84. [PUBMED: 10805822]

**Peppard 2013**

Peppard PE, Young T, Barnet JH, Palta M, Hagen EW, Hla KM. Increased prevalence of sleep-disordered breathing in adults. *American Journal of Epidemiology* 2013;**177**(9):1006-14. [PUBMED: 23589584]

**Randerath 2011**

Randerath WJ, Verbraecken J, Andreas S, Bettega G, Boudewyns A, Hamans E, et al. Non-CPAP therapies in obstructive sleep apnoea. *European Respiratory Journal* 2011;**37**(5):1000-28. [PUBMED: 21406515]

**Raveslout 2013**

Raveslout MJ, Van Maanen JP, Dun L, De Vries N. The undervalued potential of positional therapy in position-dependent snoring and obstructive sleep apnea—a review of the literature. *Sleep and Breathing* 2013;**17**(1):39-49. [PUBMED: 22441662]

**Raveslout 2017**

Raveslout MJL, White D, Heinzer R, Oksenberg A, Pepin JL. Efficacy of the new generation of devices for positional therapy for patients with positional obstructive sleep apnea: a systematic review of the literature and meta-analysis. *Journal of Clinical Sleep Medicine* 2017;**13**(6):813-24. [PUBMED: 28212691]

**Review Manager 2014 [Computer program]**

Nordic Cochrane Centre, The Cochrane Collaboration. Review Manager 5 (RevMan 5). Version 5.3. Copenhagen: Nordic Cochrane Centre, The Cochrane Collaboration, 2014.

**Ruehland 2009**

Ruehland Warren R, Rochford Peter D, O'Donoghue Fergal J, Pierce Robert J, Singh Parmjit, Thornton Andrew T. The new AASM criteria for scoring hypopneas: impact on the apnea hypopnea index. *Sleep* 2009;**32**(2):150-7.

**Sanchez 2009**

Sanchez AI, Martinez P, Miro E, Bardwell WA, Buela-Casal G. CPAP and behavioral therapies in patients with obstructive sleep apnea: effects on daytime sleepiness, mood, and

cognitive function. *Sleep Medicine Reviews* 2009;**13**(3):223-33. [PUBMED: 19201228]

#### Sarrell 2013

Sarrell EM, Chomsky O, Shechter D. Treatment compliance with continuous positive airway pressure device among adults with obstructive sleep apnea (OSA): how many adhere to treatment? *Harefuah* 2013;**152**(3):140-4, 183-4. [PUBMED: 23713371]

#### Sawyer 2011

Sawyer AM, Gooneratne NS, Marcus CL, Ofer D, Richards KC, Weaver TE. A systematic review of CPAP adherence across age groups: clinical and empiric insights for developing CPAP adherence interventions. *Sleep Medicine Reviews* 2011;**15**(6):343-56. [PUBMED: 21652236]

#### Schulz 2000

Schulz R, Mahmoudi S, Hattar K, Sibelius U, Olschewski H, Mayer K, et al. Enhanced release of superoxide from polymorphonuclear neutrophils in obstructive sleep apnea. Impact of continuous positive airway pressure therapy. *American Journal of Respiratory and Critical Care Medicine* 2000;**162**(2 Pt 1):566-70. [PUBMED: 10934088]

#### Senaratna 2017

Senaratna CV, Perret JL, Lodge CJ, Lowe AJ, Campbell BE, Matheson MC, et al. Prevalence of obstructive sleep apnea in the general population: a systematic review. *Sleep Medicine Reviews* 2017;**34**:70-81. [PUBMED: 27568340]

#### Shahar 2001

Shahar E, Whitney CW, Redline S, Lee ET, Newman AB, Nieto FJ, et al. Sleep-disordered breathing and cardiovascular disease: cross-sectional results of the Sleep Heart Health Study. *American Journal of Respiratory and Critical Care Medicine* 2001;**163**(1):19-25. [PUBMED: 11208620]

#### Shamsuzzaman 2002

Shamsuzzaman AS, Winnicki M, Lanfranchi P, Wolk R, Kara T, Accurso V, et al. Elevated C-reactive protein in patients with

obstructive sleep apnea. *Circulation* 2002;**105**(21):2462-4. [PUBMED: 12034649]

#### Shneerson 2001

Shneerson J, Wright JJ. Lifestyle modification for obstructive sleep apnoea. *Cochrane Database of Systematic Reviews* 2001, Issue 1. [DOI: [10.1002/14651858.CD002875](https://doi.org/10.1002/14651858.CD002875)]

#### Smith 2002

Mason M, Welsh EJ, Smith I. Drug therapy for obstructive sleep apnoea in adults. *Cochrane Database of Systematic Reviews* 2013, Issue 5. [DOI: [10.1002/14651858.CD003002.pub3](https://doi.org/10.1002/14651858.CD003002.pub3)]

#### Sundaram 2005

Sundaram S, Lim J, Lasserson TJ. Surgery for obstructive sleep apnoea in adults. *Cochrane Database of Systematic Reviews* 2005, Issue 4. [DOI: [10.1002/14651858.CD001004.pub2](https://doi.org/10.1002/14651858.CD001004.pub2)]

#### Vgontzas 1997

Vgontzas AN, Papanicolaou DA, Bixler EO, Kales A, Tyson K, Chrousos GP. Elevation of plasma cytokines in disorders of excessive daytime sleepiness: role of sleep disturbance and obesity. *Journal of Clinical Endocrinology and Metabolism* 1997;**82**(5):1313-6. [PUBMED: 9141509]

#### Wessendorf 2000

Wessendorf TE, Teschler H, Wang YM, Konietzko N, Thilmann AF. Sleep-disordered breathing among patients with first-ever stroke. *Journal of Neurology* 2000;**247**(1):41-7. [PUBMED: 10701896]

#### Yaggi 2005

Yaggi HK, Concato J, Kernan WN, Lichtman JH, Brass LM, Mohsenin V. Obstructive sleep apnea as a risk factor for stroke and death. *New England Journal of Medicine* 2005;**353**(19):2034-41. [PUBMED: 16282178]

## CHARACTERISTICS OF STUDIES

### Characteristics of included studies [ordered by study ID]

#### Bigbold 2011

Methods	Randomised controlled cross-over trial
Participants	<p>POSA</p> <p>Participants randomised: 16</p> <p>Gender: male:13; female: 2</p> <p>Age (years): 58.2 ± 13.9</p> <p><b>Inclusion criteria</b></p> <p>People with POSA</p> <ul style="list-style-type: none"> <li>• Overall AHI ≥ 15</li> </ul>

#### Positional therapy for obstructive sleep apnoea (Review)

**Bignold 2011** (Continued)

- Supine AHI twice the non-supine AHI
- $\geq 20$  min or more of sleep in supine and non-supine postures
- Non-supine AHI  $< 15$

**Exclusion criteria**

- Exclusion criteria for existing treatments for OSA (e.g. ventilator requirement)
- Mobility-limiting problems inhibiting lateral sleep
- Cardiac pacemaker use

Interventions	<b>Intervention:</b> positional monitoring and supine alarm device in active mode <b>Control:</b> device in inactive mode
Outcomes	AHI, snoring loudness, percentage of time in supine posture
Notes	Setting: home-based regimen Duration of treatment: 7 days; 1 week active vibration and 1 week inactive vibration in random order, separated by an intervening washout week No period effect as demonstrated using linear mixed-model analysis with an auto regressive covariance structure (using supine time data among participants - i.e. the control phase data) Funding: Flinders Medical Centre foundation grant; not industry sponsored Comment: study results are applicable only to POSA.

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	The procedure for randomisation is not mentioned. High risk may be mitigated by the cross-over design of the study.
Allocation concealment (selection bias)	Unclear risk	Allocation concealment not explicitly stated. The contribution of allocation concealment to the risk of bias is low by virtue of the design of the study
Blinding of participants and personnel (performance bias) Objective outcomes ( AHI)	High risk	Quote: "It is difficult to blind patients to active versus inactive treatment" (Protocol first paragraph page 378)  Comment: likely unblinded. Participant blinding not possible
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Blinding not mentioned
Incomplete outcome data (attrition bias) All outcomes	Low risk	No attrition
Selective reporting (reporting bias)	Unclear risk	Unclear, as we could not access the protocol of the study
Other bias	Low risk	None identified

**Jackson 2015**

Methods	Randomised controlled parallel-arm trial
Participants	POSA

**Positional therapy for obstructive sleep apnoea (Review)**

**Jackson 2015** (Continued)

Participants randomised: 86

**Inclusion criteria**

- ≥ 18 years of age, supine OSA (supine AHI at least twice the non supine AHI) on overnight diagnostic PSG, total AHI ≥ 10
- ≥ 4 h of sleep with ≥ 30 min sleep in both the lateral and supine recumbent positions and 30 min of REM sleep

**Exclusion criteria**

- Minimum blood SaO<sub>2</sub> < 75% in REM or 80% in non-REM
- Clinically significant co-existing disease (e.g. diabetes, unstable ischaemic heart disease)
- Sleepiness deemed to be unsafe and requiring urgent treatment (e.g. history of falling asleep while driving or working, or ESS score > 16)
- Any musculoskeletal condition that precluded moderate exercise (as this was part of the sleep hygiene instructions) or lying on their side while asleep

Interventions	<p>A 10-point sleep guide to improve OSA with and without sleep position modification device.</p> <p>The sleep position modification device consisted of a band of stretch cotton worn around the chest, just below the nipple line and with straps over the shoulder to hold it in place. The band was secured at the front with buttons and the ball was contained in a pocket at the rear, over the thoracic spine.</p>
Outcomes	<p>Outcomes: supine sleep time, total sleep time, AHI, supine AHI, sleep efficiency, arousal index, BMI, blood pressure, ESS and quality-of-life scales e.g. FOSQ, SOSQ, and neuropsychological test battery like psychomotor vigilance test, response inhibition test, Trail Making Task A and B, digit symbol substitution task, digits span test and controlled word association task</p>
Notes	<p>Setting: outpatient department Austin Health, USA</p> <p>Duration of treatment: 4 weeks</p> <p>Adherence not assessed</p> <p>Funding: likely mixed funding "This work was supported by grants from the Institute for Breathing and Sleep, the Austin Health Medical Research Foundation and the Harold and Cora Brennen Benevolent Trust"</p> <p>Conflict of interest declared: Dr Howard has received funding from ResMed foundation, Edansafe and Prevention Express</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	<p>Quote: "A randomisation sequence at a 1:1 active:control ratio was computer generated by a third party and the investigators were provided with sealed envelopes", page 547</p> <p>Comment: 1:1 allocation was planned, but numbers in the arms are very dissimilar (37 and 49)</p>
Allocation concealment (selection bias)	Low risk	<p>Quote: "A randomisation sequence at a 1:1 active:control ratio was computer generated by a third party and the investigators were provided with sealed envelopes. These were opened in order of enrolment", page 547</p> <p>Comment: computer-generated randomisation sequence was provided by a third party in sealed envelopes to be opened in the order of enrolment</p>
Blinding of participants and personnel (performance bias)	High risk	Not possible due to the nature of intervention

**Positional therapy for obstructive sleep apnoea (Review)**

**Jackson 2015** (Continued)

## Objective outcomes ( AHI)

Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Blinding not mentioned
Incomplete outcome data (attrition bias) All outcomes	Low risk	No participants lost to follow-up
Selective reporting (reporting bias)	Unclear risk	Primary outcome for which sample size was calculated is not reported explicitly
Other bias	Unclear risk	It is not clear whether the study adjusted for multiple comparisons.

**Jokic 1999**

Methods	Randomised, single-blind, cross-over trial	
Participants	POSA  Participants randomised: 14 (1 excluded after randomisation as he had idiopathic hypersomnolence)  Gender: male: 12; female: 1  Age (years): 51 ± 9  <b>Inclusion criteria</b>  People with POSA  <ul style="list-style-type: none"> <li>AHI in supine sleep ≥ 2 the AHI while sleeping on the sides</li> <li>AHI in sleep &lt; 15 after &gt; 1 h sleep in position, including ≥ 1 REM period</li> <li>Subjective daytime somnolence</li> </ul> <b>Exclusion criteria</b>  <ul style="list-style-type: none"> <li>Other conditions interfering with sleep e.g. respiratory infections, uncontrolled allergies, heart failure, narcolepsy, periodic leg movements, etc</li> </ul>	
Interventions	<b>Intervention:</b> backpack with soft ball to prevent supine sleep <b>Control:</b> CPAP	
Outcomes	AHI Other outcomes like SaO <sub>2</sub> , sleep architecture, mood scales	
Notes	Setting: home-based regimen Duration of treatment: 4 weeks; 2 weeks of positional therapy; 2 weeks CPAP in random order CPAP machine withdrawn during positional therapy phase and vice versa Overnight sleep study at baseline, at end of every 2-week period Funding: Grant from the Health Services Utilisation and Research Commission of Saskatchewan; not industry sponsored Comment: stringent criteria for POSA	
<b>Risk of bias</b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>

**Jokic 1999** (Continued)

Random sequence generation (selection bias)	Unclear risk	The procedure for randomisation is not mentioned. High risk may be mitigated by the cross-over design of the study.
Allocation concealment (selection bias)	Unclear risk	The procedure of allocation concealment is not explicitly stated. High risk may be mitigated by the cross-over design of the study.
Blinding of participants and personnel (performance bias) Objective outcomes (AHI)	High risk	Not blinded
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Quote: "The researcher who scored the sleep studies and conducted the psychometric tests were blinded to the modality of treatment being used by the patient" page 773
Incomplete outcome data (attrition bias) All outcomes	Low risk	There was no loss to follow up, but one excluded due to alternative diagnosis. Page 772
Selective reporting (reporting bias)	Unclear risk	Unclear as we could not access the protocol of the study
Other bias	Low risk	None identified

**Laub 2017**

Methods	Randomised controlled, parallel-arm trial
Participants	<p>POSA</p> <p>Participants randomised: 101</p> <p><b>Inclusion criteria</b></p> <ul style="list-style-type: none"> <li>• AHI supine <math>\geq</math> twice AHI non-supine</li> <li>• AHI supine <math>\geq</math> 10</li> <li>• AHI non-supine <math>&lt;</math> 10</li> <li>• 10%-90% sleep time in supine position</li> <li>• Daytime tiredness and/or disturbed sleep and/or snoring</li> </ul> <p><b>Exclusion criteria</b></p> <ul style="list-style-type: none"> <li>• Participant not able or willing to co-operate</li> <li>• Age <math>&lt;</math> 18 years</li> <li>• Central sleep apnoea</li> <li>• Night work or shift work</li> <li>• Clinical history of severe chronic heart failure or severe chronic obstructive pulmonary disease</li> <li>• Medical history of other known causes of daytime tiredness or severe sleep disruption (insomnia, periodic leg movements, narcolepsy)</li> <li>• Seizure disorder</li> <li>• Known medical history of mental retardation, memory disorders or psychiatric disorders</li> <li>• Inability to provide informed consent</li> <li>• Implanted pacemaker</li> <li>• Pain in hip or shoulder</li> <li>• Unable to sleep in lateral positions</li> </ul>



**Laub 2017** (Continued)

- Pregnancy or planned pregnancy in study period
- Breastfeeding women in study period
- Planned weight reduction in study period
- Planned smoking cessation in study period

Interventions	SPT, a vibrating supine alert device worn across participant's chest
Outcomes	Primary: short-term efficacy and adherence of the SPT over a 2-month period Secondary: long-term efficacy and adherence of SPT for a 6-month period
Notes	Funding: "Maribo Medicos A/S Denmark and Night Balance, the Netherlands provided the SPT devices free of charge. "The authors state that the study was an independent investigator-initiated study  Disclosure: "Philip Tonnesen has been a member of the steering committee for the annual sleep scientific meeting in Denmark arranged by Maribo Medico A/S"

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer-generated list of random numbers was used for randomisation
Allocation concealment (selection bias)	Unclear risk	The exact procedure adapted for allocation concealment not mentioned
Blinding of participants and personnel (performance bias) Objective outcomes (AHI)	High risk	Open-label trial; no blinding
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Outcome assessor blinding not mentioned
Incomplete outcome data (attrition bias) All outcomes	High risk	26.7% of the participants dropped out before the first follow-up at 2 months
Selective reporting (reporting bias)	Unclear risk	Protocol (NCT022114424) mentions similar outcomes to the report
Other bias	Unclear risk	None identified, except for the disclosure of the potential conflict of interest

**Permut 2010**

Methods	Randomised, cross-over trial, non-inferiority trial
Participants	POSA  Participants randomised: 38  Gender: 25 male, 13 female  Age group: 49 ± 12 years

**Permut 2010** (Continued)

**Inclusion criteria**

- POSA defined as AHI  $\geq 5$  with symptoms of excessive sleepiness or AHI of  $\geq 15$  with a 50% decrease in the AHI while sleeping in non-supine position as compared to supine position
- AHI must have fallen to  $< 5$  when the participant was in non-supine position
- Participant must have slept in the lateral position for a minimum of 1 hour during the study
- Mild-moderate OSA (AHI  $\leq 30$ )

**Exclusion criteria**

- Conditions that might interfere with sleep (e.g. heart failure, chronic respiratory disorders, narcolepsy)
- Current use of ventilatory stimulants or depressants
- Obesity hypoventilation syndrome
- Facial abnormalities that preclude use of CPAP
- Pregnancy

**Interventions**

**Intervention:** Zzoma<sup>®</sup> positional sleeper. It consists of semirigid synthetic foam contained in a backpack-type material worn using a Velcro elastic belt  
**Control:** CPAP  
 Duration: 1 night each on intervention and on CPAP

**Outcomes**

- AHI
- Mean SaO<sub>2</sub>
- Lowest SaO<sub>2</sub>
- Percentage of total sleep time with SaO<sub>2</sub>  $< 90\%$
- Sleep efficiency
- Spontaneous arousal index
- Sleep architecture
- Participant's preference of the intervention

**Notes**

Setting:  
 Comment: study applicable to POSA as defined by the study

Disclosure: "Financial support was provided by an Innovator Circle Grant from Abington Memorial Hospital, Abington, PA. Sleep Specialists, LLC, supplied the positional devices for the study and paid for a portion of the polysomnograms that were performed at Abington Memorial Hospital. Drs. Crocetti and Krachman have a financial interest in Sleep Specialists, LLC, makers of the Zzoma Positional Sleeper. The other authors have indicated no financial conflicts of interest"

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	The procedure for randomisation is not mentioned. High risk may be mitigated by the cross-over design of the study.
Allocation concealment (selection bias)	Unclear risk	The procedure of allocation concealment is not mentioned. High risk may be mitigated by the cross-over design of the study.
Blinding of participants and personnel (performance bias) Objective outcomes (AHI)	High risk	Not mentioned, but likely not blinded considering nature of intervention
Blinding of outcome assessment (detection bias)	Unclear risk	Not mentioned

**Permut 2010** (Continued)

## All outcomes

Incomplete outcome data (attrition bias) All outcomes	Low risk	Low dropout rate. One participant lost after randomisation
Selective reporting (reporting bias)	High risk	Reporting is not according to the standard reporting of non-inferiority trial. Non-inferiority has not been demonstrated (as $P = 0.16$ ), but the study authors report this as the intervention showing 'equivalence' with that of the active control. The outcomes are reported as median and interquartile range, and as difference between baseline and following the intervention, and not between the intervention and the control. In most of the critical parameters, the study does not report the comparison of outcomes between the intervention and the control.
Other bias	High risk	The reporting of the study was not appropriate. The results from baseline are reported interchangeably with between-group comparison. Non-inferiority is not properly reported. The study is reported as a superiority trial. The reported result of the study is misleading. However, this issue is not a problem if the results are pooled for meta-analysis. The reported outcome of the study independently should be considered high risk of bias due to selective outcome reporting and improper reporting vis-a-vis the design of the study. Overall poor-quality study

**Skinner 2008**

Methods	Randomised cross-over trial
Participants	<p>Mild-moderate position-dependent obstructive sleep apnoea hypopnoea syndrome (OSAHS)</p> <p>Participants randomised: 20</p> <p>Age: mean 55.9 years (SD 9.8) (range 37-78)</p> <p>Gender: not mentioned</p> <p><b>Inclusion criteria:</b> mild-moderate severity POSA</p> <ul style="list-style-type: none"> <li>• AHI &gt; 5</li> <li>• Time spent in supine position &gt; 50 min</li> <li>• Time spent in supine position <math>\geq 10\%</math>-90% of the total study time</li> <li>• AHI in the supine position <math>\geq 2 \times</math> AHI in other positions</li> <li>• Maximum AHI in all other positions was 10</li> </ul> <p><b>Exclusion criteria</b></p> <ul style="list-style-type: none"> <li>• Other conditions affecting sleep</li> <li>• Known thoracic pathology</li> <li>• Previous interventions for OSA</li> </ul>
Interventions	<p><b>Intervention:</b> thoracic anti-supine band (TASB)</p> <p><b>Control:</b> nasal CPAP</p>
Outcomes	<p>Primary outcome measure</p> <ul style="list-style-type: none"> <li>• AHI dichotomised under the following heading           <ul style="list-style-type: none"> <li>* Treatment success - defined as <math>AHI \leq 10</math></li> <li>* Treatment failure- inability to use the device</li> </ul> </li> </ul>

**Skinner 2008** (Continued)

Secondary outcome

- Total study time lying supine
- Success defined as participant spending  $\leq 10\%$  of total study time when wearing the TASB
- Adequate adherence:  $\geq 4$  h/night on  $\geq 70\%$  of nights monitored

Notes

Setting: outpatient study, used home-based sleep monitoring  
 Duration of follow-up: 1 month on each treatment process with a 1-week washout  
 Drop out: none  
 Funding:  
 Other relevant issues: OSAHS diagnosed using a 9-channel, level-III portable digital recording device, the Embletta PDS (Flaga Medical Devices, Reykjavik, Iceland)  
 Comment: study included only participants with AHI 5-10 making the generalisability of the study poor

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Subjects were randomly assigned to receive TASB or nasal CPAP for the first month".  Comment: randomisation procedure not clearly stated; high risk may be mitigated by the cross-over design of the study
Allocation concealment (selection bias)	Unclear risk	Allocation concealment procedure not stated. High risk may be mitigated by the cross-over design of the study.
Blinding of participants and personnel (performance bias) Objective outcomes (AHI)	High risk	Not mentioned but likely not blinded considering nature of intervention
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Not mentioned
Incomplete outcome data (attrition bias) All outcomes	Low risk	No attrition
Selective reporting (reporting bias)	Unclear risk	Unclear as we could not access the protocol of the study
Other bias	Low risk	None identified

**Svatikova 2011**

Methods	Randomised cross-over trial
Participants	Acute ischaemic stroke or probable ischaemic stroke  Participants included: 18 Diagnosis based on the WHO MONICA criteria $\geq 18$ years Gender: male 11 (61%); female 7 (39%) Age: 54-68

**Positional therapy for obstructive sleep apnoea (Review)**

**Svatikova 2011** (Continued)

**Inclusion criteria**

- Ischemic stroke or probable Ischaemic stroke- WHO MONICA criteria
- Age  $\geq$  18 years

**Exclusion criteria**

- Any medical condition that precluded the avoidance of supine position or dictated the need for a particular position
- Patients already on positive airway pressure therapy, mechanical ventilation or supplemental oxygen

Interventions	<b>Intervention:</b> positional device called Sona pillow- designed to prevent supine sleep <b>Control:</b> standard hospital pillow, participant positioned at liberty Duration: 2 consecutive nights- 1 night with intervention and 1 night without intervention
Outcomes	<ul style="list-style-type: none"> <li>• Relative change in AHI with the intervention</li> <li>• Absolute difference in the mean SaO<sub>2</sub></li> <li>• Absolute difference in the time spend in the supine position</li> <li>• Self-reported adherence in the 3-month follow-up period (phase II of the study)</li> </ul>
Notes	Settings: inpatient neurology service, University of Michigan Duration of follow-up: 3-month follow-up as the continuation for assessment of adherence Drop out: nil Funding: University of Michigan Clinical and translational science award

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Randomisation procedure not stated. High risk may be mitigated by the cross-over design of the study.
Allocation concealment (selection bias)	Unclear risk	Allocation concealment procedure not stated. High risk may be mitigated by the cross-over design of the study.
Blinding of participants and personnel (performance bias) Objective outcomes ( AHI)	High risk	Blinding not mentioned, but likely not blinded considering the nature of the intervention
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Blinding not mentioned
Incomplete outcome data (attrition bias) All outcomes	Low risk	No attrition
Selective reporting (reporting bias)	Unclear risk	Unclear as we could not access the protocol of the study
Other bias	Low risk	None identified

**Van Maanen 2012**

Methods	Randomised cross-over trial
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**Positional therapy for obstructive sleep apnoea (Review)**

**Van Maanen 2012** (Continued)

Participants	POSA  Participants randomised: 30  <b>Inclusion criteria</b> <ul style="list-style-type: none"> <li>• Age &gt; 18 years</li> <li>• Referred to the Department of Otorhinolaryngology, Head and Neck Surgery of the Saint Lucas Andreas Hospital (Amsterdam, Netherlands)</li> <li>• Diagnosed with positional sleep apnoea, using full overnight in-hospital polysomnography defined as AHI &gt; 5, AHI supine <math>\geq</math> 2 times AHI in other positions, percentage of total sleep time in supine position <math>\geq</math> 10 and <math>\leq</math> 90%</li> </ul> <b>Exclusion criteria:</b> no exclusion criteria given	
Interventions	Vibrating device worn on the back of neck that senses supine position in the on and off position, on randomly assigned nights within 3 months of each other	
Outcomes	<ul style="list-style-type: none"> <li>• AHI</li> <li>• Supine AHI</li> <li>• Non-supine AHI</li> <li>• Total supine sleep time</li> <li>• Sleep efficiency</li> <li>• SaO2 sleep efficiency</li> <li>• Arousal index</li> </ul>	
Notes	Settings: outpatient ENT services, Saint Lucas Andreas Hospital, Netherlands Duration of follow-up: 3 sleep studies over 3-month period  Adherence: overnight PSG studies in hospital, in 3 however device malfunctioned in 'on state' Drop out: nil Funding: Not mentioned	
<b>Risk of bias</b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Random sequence generation (selection bias)	Unclear risk	Study authors have not stated the randomisation procedure in clear terms. There was a mere mention that the device was put on or off 'randomly'. We tried to contact the study author for clarification, but were unsuccessful
Allocation concealment (selection bias)	Unclear risk	The methods are not properly stated
Blinding of participants and personnel (performance bias) Objective outcomes ( AHI)	Unclear risk	Device worn on both nights, however due to nature of intervention participants cannot be blinded, but all reported outcomes are objective
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Quote: "The data were reviewed manually for analysis by an experienced sleep investigator, blinded for the activity state of the device" page 323.  Comment: outcome assessors were blinded
Incomplete outcome data (attrition bias) All outcomes	Low risk	No attrition

**Van Maanen 2012** (Continued)

Selective reporting (re-reporting bias)	Unclear risk	As no specific outcomes or comparisons were listed a priori, difficult to ascertain whether the listed outcomes are those planned or not
Other bias	Unclear risk	Statistically analysis is probably not appropriate. They have 3 group comparison, but used t-tests individually. They have conceded that the distributions were not normal, and sometimes Wilcoxon rank sum test would be used. But it is not clear how and where it was used. It is also not clear whether paired t-test was used

**AHI:** Apnoea-Hypopnoea Index; **BMI:** body mass index; **CPAP:** continuous positive airway pressure; **ESS:** Epworth Sleepiness Scale; **FOSQ:** Functional Outcome of Sleep Questionnaire; **MOS:** Medical Outcome Study; **OSA:** obstructive sleep apnoea; **POSA:** positional obstructive sleep apnoea; **PSG:** polysomnography; **REM:** rapid eye movement; **SaO2:** oxygen saturation; **SD:** standard deviation; **SOSQ:** Symptoms of Sleep Questionnaire; **SF-36:** Short Form Health Survey; **SPT:** sleep position trainer; **WHO MONICA:** World Health Organization Monitoring Trends and Determinants in Cardiovascular Disease

**Characteristics of excluded studies** [ordered by study ID]

Study	Reason for exclusion
<a href="#">Afrashi 2015</a>	Non-randomised trial of prone positioning in sleep apnoea
<a href="#">Barnes 2017</a>	Systematic review
<a href="#">Benoist 2017</a>	Compared positional therapy with oral appliance therapy
<a href="#">Braver 1995</a>	Non-randomised study and participants are asymptomatic snorers and not people with POSA
<a href="#">Cartwright 1991</a>	Non-randomised trial of tongue retaining device and posture alarm
<a href="#">Dieltjens 2015</a>	Compared positional device and mandibular advancement device with positional device alone
<a href="#">Eijsvogel 2015</a>	Compared 2 methods of positional intervention (position sensor with vibration and tennis ball technique)
<a href="#">Greer 2006</a>	Non randomised trial of wedge under the knee for OSA
<a href="#">Ha 2014</a>	Systematic review
<a href="#">Kurlak 1994</a>	Non randomised trial of wedge under the knee for OSA
<a href="#">Magalang 2016</a>	Available only as an abstract in conference proceedings
<a href="#">Skinner 2004a</a>	Intervention was a shoulder-head elevation pillow that does not satisfy the prespecified description of positional therapy in this review.
<a href="#">Skinner 2004b</a>	Intervention was a cervicomandibular support device, not considered as a 'positional device'
<a href="#">Zaremba 2015</a>	Participants were not individuals with OSA, but asymptomatic post partum women
<a href="#">Zuberi 2004</a>	Non-randomised study

OSA: obstructive sleep apnoea

**Characteristics of ongoing studies** [ordered by study ID]

**ISRCTN16170657**

Trial name or title	Comparison of the NightBalance Lunoa to positive airway pressure (PAP) for the treatment of positional obstructive sleep apneas (POSA)
Methods	Randomised cross-over trial
Participants	150 (95 treatment-naive and 55 PAP non-adherent patients)  All adults with diagnosis of POSA (AHI > 15 with sleepiness or co-morbidities like atrial fibrillation, hypertension; supine AHI at least twice lateral AHI; Lateral AHI < 10; supine time > 30% and < 70%) who are either treatment-naive or PAP non-adherent (defined as current positive airway pressure user with < 3 h/night in the last 3 months per adherence download), and willing to use the positive airway pressure device per protocol
Interventions	Lunoa sleep position therapy vs APAP
Outcomes	Primary objective: AHI, adherence  Secondary objective: ESS, SF-36, Euroquol 5D (EQ5D), Pichot fatigue scale, patient comfort and satisfaction on Likert scale, adverse events, health economics and resource utilisation
Starting date	1 August 2018
Contact information	K. van der Geest  research@nightbalance.com;  Benoordenhoutseweg 46-13  Den Haag  2596BC  Netherlands
Notes	

**NCT01699139**

Trial name or title	Effect of positional device on the obstructive sleep apnoea in patients with ischaemic stroke
Methods	Randomised, cross-over, single-blinded (outcome assessor) efficacy trial
Participants	13 participants with ischaemic stroke and mild-moderate OSA
Interventions	ZZoma positional device vs lumbar corset
Outcomes	Primary: change in AHI from baseline  Secondary: change in augmentation index, pulse wave velocity
Starting date	September 2012
Contact information	jongyau2002@gmail.com, Dr. Chungyao Chen, Chang gung hospital, Keelung, Taiwan. Contacted on 26 January 2017 with no response

**Positional therapy for obstructive sleep apnoea (Review)**



**NCT01699139** (Continued)

Notes

**NCT02553902**

Trial name or title	Economic evaluation of treatment modalities for position dependent obstructive sleep apnoea
Methods	Prospective, multicentre, randomised, parallel-arm, single-blinded trial
Participants	200 participants with moderate POSA
Interventions	SPT with mandibular advancement device versus CPAP
Outcomes	Primary: AHI Secondary: EQ5D, ESS, FOSQ, etc
Starting date	September 2015
Contact information	a.beelen@olvg.nl; n.vries@slaz.nl; Professor de Vries OLVG west, Amsterdam, Netherlands
Notes	

**NCT03061071**

Trial name or title	POSAtive study: study for the treatment of positional sleep apnoea
Methods	Randomised cross-over trial
Participants	120 participants with POSA
Interventions	Night balance SPT vs automated adjusting PAP
Outcomes	Primary: adherence and AHI Secondary: ESS, FOSQ, SF-36 etc
Starting date	November 2017
Contact information	andrea@nightbalance.com; Dr. Richard B Berry, UF sleep health centre, Florida , USA
Notes	

**NCT03125512**

Trial name or title	Positional therapy versus CPAP for POSA
Methods	Randomised, cross-over, clinical trial
Participants	40 participants with POSA and ESS 10-16 aged > 21 years

**Positional therapy for obstructive sleep apnoea (Review)**

**NCT03125512** (Continued)

Interventions	Night shift positional device versus CPAP
Outcomes	Primary: ESS Secondary: FOSQ, SF-36, AHI etc
Starting date	February 2017
Contact information	nur_shameerah@cgh.com.sg; ying_juan_mok@cgh.com.sg; Dr. Yingjuan Mok, Changi General Hospital, Singapore
Notes	

**NCT03336515**

Trial name or title	The validity of a vibrating postural device for the treatment of positional obstructive sleep apnoea
Methods	Multicentre, randomised, parallel-arm study
Participants	112 participants with POSA
Interventions	3 arms: A, general advice; B, positional device inactivated; C, positional device activated
Outcomes	Primary: AHI Secondary: supine sleep time, quality and quantity of sleep etc
Starting date	September 2015
Contact information	Dr. Joaquin Duran-Cantolla, Hospital Universitario Araba, Gasteiz/Vitoria, Araba, Spain, 01009
Notes	

**NCT03558659**

Trial name or title	Positional therapy to treat obstructive sleep apneas in stroke patients
Methods	Randomised, parallel-group, double-blind (care provider, investigator)
Participants	40 participants with acute ischaemic stroke treated at Sunnybrook Health Sciences Centre Exclusion criteria: unable to lie in a supine position; using PAP therapy or supplemental oxygen; unable to use the portable sleep-monitoring device; physical impairment, aphasia, language barrier, facial/bulbar weakness or trauma restricting use of monitor; absence of care-giver who can provide assistance; pregnant women
Interventions	Positional therapy belt produced by SlumberBUMP to avoid sleep in the supine position compared to no belt
Outcomes	Primary objective: AHI and oxygen desaturation at baseline vs 1 week/2week/3-6 months Secondary objective: supine sleep time, sleep efficiency, National institute of Health Stroke scale (NIHSS), length of hospital stay, reaction time, Montreal Cognitive assessment, Centres for epi-

**NCT03558659** (Continued)

demiology scale-Depression depression scale, SF-12 quality of life, ESS, modified Rankin Scale and Barthel index

Starting date	September 2018
Contact information	Dr. Mark I Boulos, Sunnybrook Health Sciences Centre, Toronto Ontario Canada M4N3M5. Ph: 4164804473; email:  <a href="mailto:mark.boulos@utoronto.ca">mark.boulos@utoronto.ca</a> / <a href="mailto:mark.boulos@sunnybrook.ca">mark.boulos@sunnybrook.ca</a>
Notes	

**NTR2826**

Trial name or title	Use of Z-cushion in patients with positional obstructive sleep apnoea syndrome
Methods	Randomised, parallel-arm, placebo-controlled trial
Participants	44 participants with mild-moderate obstructive sleep apnoea syndrome with POSA
Interventions	Z-cushion vs no treatment
Outcomes	Primary: AHI  Secondary: sleepiness, supine sleep time dips in oxygen saturation etc
Starting date	November 2011
Contact information	<a href="mailto:e.lammers@gelre.nl">e.lammers@gelre.nl</a> ; Dr. E. Lammers, Department of Pulmonary medicine, Zutphen Netherlands
Notes	

**TCTR20150204001**

Trial name or title	Innovation of positional therapy belt and its effectiveness as a treatment modality for positional sleep apnoea
Methods	Interventional
Participants	<p><b>Inclusion criteria</b></p> <ul style="list-style-type: none"> <li>• People with POSA</li> <li>• Aged 18-80 years</li> <li>• Recently diagnosed within 1 year of enrolment</li> <li>• Not currently receiving treatment</li> <li>• Male or female</li> </ul> <p>Positional Sleep Apnea is defined by supine RDI/non-supine RDI <math>\geq 2</math> with overall RDI <math>\geq 5</math></p> <p><b>Exclusion criteria:</b></p> <ul style="list-style-type: none"> <li>• Receiving treatment for OSA including CPAP, upper airway surgery, or oral appliance</li> <li>• Pregnant</li> <li>• Morbid obesity (BMI &gt; 35)</li> <li>• Not able to read or write in Thai</li> </ul>

**Positional therapy for obstructive sleep apnoea (Review)**

**TCTR20150204001** (Continued)

- Age: 18-80 yrs

Interventions	Position therapy belt
Outcomes	Primary: RDI Secondary: AHI, supine time, sleep apnoea related symptoms
Starting date	1 March 2015
Contact information	narichac@hotmail.com Dr. Naricha Chirukalwasan, Phayatai plaza, Bangkok, Thailand
Notes	Contacted on 26 January 2017, study not finalised yet

**AHI:** Apnoea-Hypopnoea Index; **CPAP:** continuous positive airway pressure; **ESS:** Epworth Sleepiness Scale; **FOSQ:** Functional Outcome of Sleep Questionnaire; **OSA:** obstructive sleep apnoea; **PAP:** positive airway pressure; **POSA:** positional obstructive sleep apnoea; **SF-36:** Short Form 36 health survey; **SPT:** sleep position trainer

## DATA AND ANALYSES

### Comparison 1. Positional therapy versus CPAP

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Epworth Sleepiness Scale	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
2 Apnoea-Hypopnoea Index	2	66	Mean Difference (Random, 95% CI)	6.40 [3.00, 9.79]
3 Self-reported adherence time	1		Mean Difference (Random, 95% CI)	Totals not selected
4 Quality of life by SF-36 physical	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
5 Quality of life by SF-36 mental	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
6 Quality of Life: FOSQ	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
7 Sleep quality: proportion of REM	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
8 Sleep quality: proportion of slow-wave sleep	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

**Analysis 1.1. Comparison 1 Positional therapy versus CPAP, Outcome 1 Epworth Sleepiness Scale.**

Study or subgroup	Positional therapy		CPAP		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Skinner 2008	20	11.6 (5.8)	20	10.4 (4.1)		1.2[-1.91,4.31]

**Analysis 1.2. Comparison 1 Positional therapy versus CPAP, Outcome 2 Apnoea-Hypopnoea Index.**

Study or subgroup	Positional therapy		Mean Difference (SE)	Mean Difference IV, Random, 95% CI	Weight	Mean Difference IV, Random, 95% CI
	N	N				
Jokic 1999	13	13	6.1 (2.092)		68.53%	6.1[2,10.2]
Skinner 2008	20	20	7.1 (3.087)		31.47%	7.05[1,13.1]
<b>Total (95% CI)</b>					<b>100%</b>	<b>6.4[3,9.79]</b>

Heterogeneity: Tau<sup>2</sup>=0; Chi<sup>2</sup>=0.06, df=1(P=0.8); I<sup>2</sup>=0%  
Test for overall effect: Z=3.7(P=0)

**Analysis 1.3. Comparison 1 Positional therapy versus CPAP, Outcome 3 Self-reported adherence time.**

Study or subgroup	Positional therapy		Mean Difference (SE)	Mean Difference IV, Random, 95% CI	Mean Difference IV, Random, 95% CI
	N	N			
Skinner 2008	20	20	2.5 (0.555)		2.5[1.41,3.59]

**Analysis 1.4. Comparison 1 Positional therapy versus CPAP, Outcome 4 Quality of life by SF-36 physical.**

Study or subgroup	Positional therapy		CPAP		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Skinner 2008	20	44.5 (11)	20	44.6 (10.6)		-0.1[-6.79,6.59]

**Analysis 1.5. Comparison 1 Positional therapy versus CPAP, Outcome 5 Quality of life by SF-36 mental.**

Study or subgroup	Positional therapy		CPAP		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Skinner 2008	20	50.3 (9.5)	20	49.7 (8.5)		0.6[-4.99,6.19]

**Analysis 1.6. Comparison 1 Positional therapy versus CPAP, Outcome 6 Quality of Life: FOSQ.**

Study or subgroup	Positional therapy		CPAP		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Skinner 2008	20	12.4 (2.7)	20	12.8 (1.8)		-0.4[-1.82,1.02]

**Analysis 1.7. Comparison 1 Positional therapy versus CPAP, Outcome 7 Sleep quality: proportion of REM.**

Study or subgroup	Positional therapy		CPAP		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Jokic 1999	13	24 (5.4)	13	26 (10.1)		-2[-8.22,4.22]

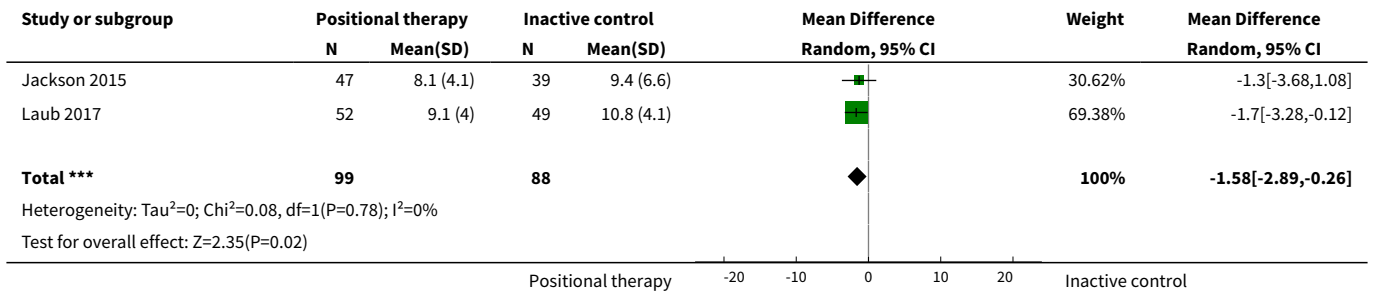
**Analysis 1.8. Comparison 1 Positional therapy versus CPAP, Outcome 8 Sleep quality: proportion of slow-wave sleep.**

Study or subgroup	Positional therapy		CPAP		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Jokic 1999	13	20 (6.9)	13	22 (11.2)		-2[-9.12,5.12]

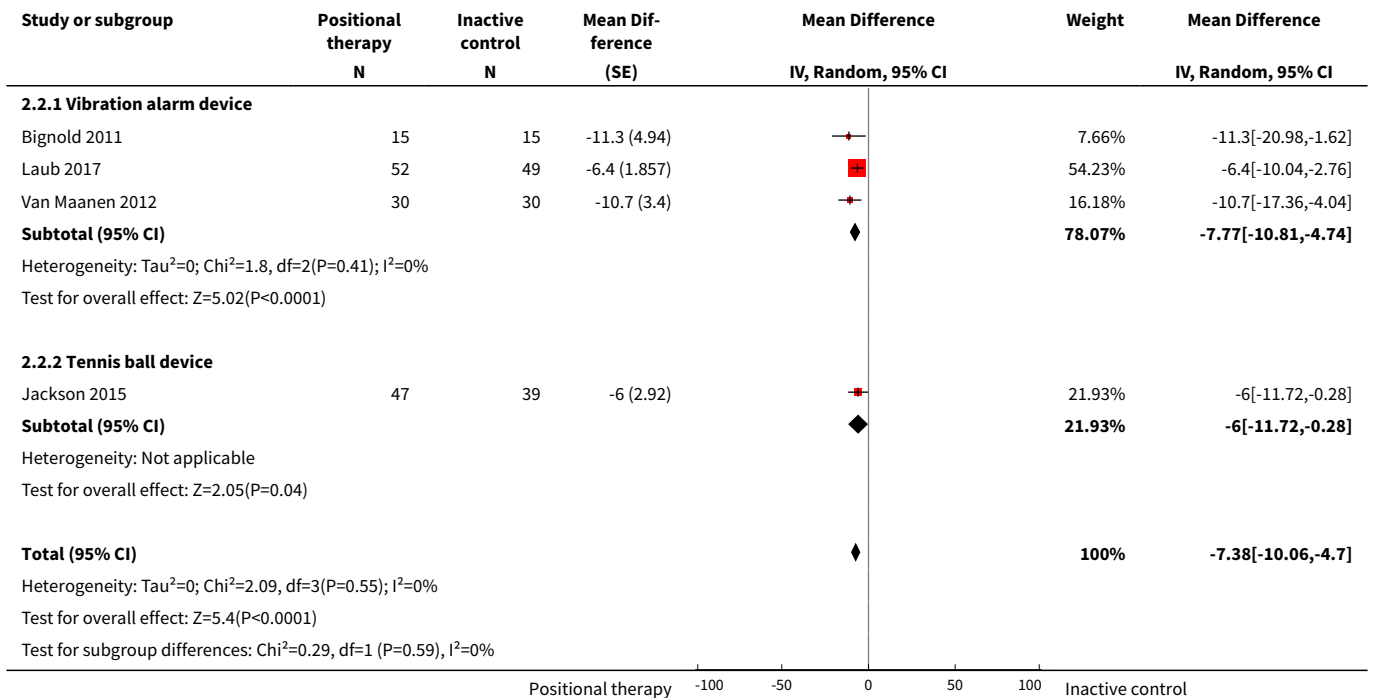
**Comparison 2. Positional therapy versus inactive control**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Epworth Sleepiness Scale	2	187	Mean Difference (IV, Random, 95% CI)	-1.58 [-2.89, -0.26]
2 Apnoea-Hypopnoea Index	4	277	Mean Difference (Random, 95% CI)	-7.38 [-10.06, -4.70]
2.1 Vibration alarm device	3	191	Mean Difference (Random, 95% CI)	-7.77 [-10.81, -4.74]
2.2 Tennis ball device	1	86	Mean Difference (Random, 95% CI)	-6.0 [-11.72, -0.28]
3 Adherence as measured by number of participants continuing therapy	1		Odds Ratio (M-H, Random, 95% CI)	Totals not selected
4 Quality of life: FOSQ	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
5 Cognitive function: measured as motor reaction time	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

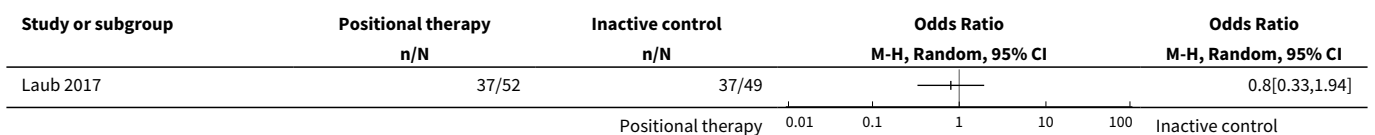
**Analysis 2.1. Comparison 2 Positional therapy versus inactive control, Outcome 1 Epworth Sleepiness Scale.**



**Analysis 2.2. Comparison 2 Positional therapy versus inactive control, Outcome 2 Apnoea-Hypopnoea Index.**



**Analysis 2.3. Comparison 2 Positional therapy versus inactive control, Outcome 3 Adherence as measured by number of participants continuing therapy.**



**Analysis 2.4. Comparison 2 Positional therapy versus inactive control, Outcome 4 Quality of life: FOSQ.**

Study or subgroup	Positional therapy		Inactive control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Jackson 2015	47	3.5 (0.4)	39	3.3 (0.6)		0.2[-0.02,0.42]

**Analysis 2.5. Comparison 2 Positional therapy versus inactive control, Outcome 5 Cognitive function: measured as motor reaction time.**

Study or subgroup	Positional therapy		Inactive control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Jackson 2015	47	181.1 (15.8)	39	193.5 (30.9)		-12.4[-23.1,-1.7]



## ADDITIONAL TABLES

**Table 1. Study characteristics**

Study, design	Participants	Device	Age Mean, years (SD)	AHI (events/h) Mean (SD)	Supine AHI (events/h) Mean (SD)	OSA type and definition of POSA <sup>a</sup>	BMI Mean (SD)	Men n (%)	Duration of inter- vention period (washout)
Bignold 2011 Cross-over	16	Vibrating alarm	58.2 (13.9)	24.1 (10.5)	51.3 (23.3)	POSA  Overall AHI $\geq 15$ ; $\geq$ twice supine position; non-supine AHI $< 15$	28.8 (2.5)	13 (81.3%)	1 week (1 week)
Jackson 2015 Parallel	86	Tennis ball sleep posi- tion modi- fication de- vice	48 (11.2)/ 51.2 (11.4)	20.1(8.8)/ 21.8 (10)	43.2(25.5)/ 39.7 (19.3)*	POSA  AHI $> 10$ ;  supine AHI twice non-supine AHI	30.0 (5.3)/30.9 (7.7)	37 (78.7%)/30 (76.9%)	4 weeks
Laub 2017 Parallel	101	Vibrating alarm	50.3(12.9)/ 51.2 (13.3)	16.9 (8.5)/19.9 (9.7)	34.8 (17.5)/37.7 (15.5) <sup>b</sup>	POSA  AHI supine $\geq$ twice AHI non-supine;  AHI supine $\geq 10$ ; AHI non-supine $< 10$  Daytime tiredness and/or disturbed sleep and/or snoring	27.1/27.9	39 (75%)/38 (78%)	6 months
Van Maanen 2012 Cross-over	30	Vibrating alarm	48 (9.5)	27.7 (SEM 2.4)	59.7 (SEM 3.6)	POSA  AHI $> 5$ ; AHI supine twice or $>$ in non- supine position	27.7 (3.6)	26 (86%)	2 nights  (1-2 weeks apart)
Permut 2010 Cross-over	38	Zzoma® positional sleeper	49 (12)	13 (5)	31 (19)	POSA  AHI $\geq 5$ with symptom of excessive day- time sleepiness or AHI $\geq 15$ with 50% de- crease in non-supine position	31 (5)	25 (65.7%)	2 nights (none)
Jokic 1999 Cross-over	14	Backpack with soft ball	51 (9)	17 (8)	63.8 (SEM 41.3)	POSA  Supine AHI  $\geq 2$ times AHI in lateral position, and AHI in lateral position $< 15$ ;	30 (4)	12 (85.7%)	2 weeks (none)

**Table 1. Study characteristics** (Continued)

						subjective daytime sleepiness			
Skinner 2008	20	Thoracic anti-supine band	55.9 (9.8)	22.7 (12)	59.6 (27.5)	POSA	30.7 (5.1)	Not reported	1 month (1 week washout)
Cross-over						AHI > 5; supine AHI ≥ 2 times AHI in other positions; maximum AHI in all other non supine position ≤ 10			
Svatikova 2011	18	Sona® anti-snoring pillow to prevent supine sleep	Median: 58 (IQR 54, 68)	Median: 39 (IQR 21,54)	Median 49 (IQR 35,60)	AHI ≥ 5; not restricted to POSA	Median 29 (IQR 28, 33)	11 (61.1%)	2 consecutive night (none)
Cross-over									

**AHI:** apnoea hypopnoea index; **BMI:** body mass index; **IQR:** interquartile range; **OSA:** obstructive sleep apnoea; **POSA:** positional obstructive sleep apnoea; **SD:** standard deviation; **SEM:** standard error of mean

<sup>a</sup>Cartwright criteria: POSA is defined as OSA with 50% or more reduction in AHI when the person is lying on his or her side rather than on the back.

<sup>b</sup>Cases and controls in parallel-group study.

## APPENDICES

### Appendix 1. Sources and search methods for the Cochrane Airways Trials Register

#### Electronic searches: core databases

Database	Frequency of search
CENTRAL	Monthly
MEDLINE (Ovid)	Weekly
Embase (Ovid)	Weekly
PsycINFO (Ovid)	Monthly
CINAHL (EBSCO)	Monthly
AHMED (EBSCO)	Monthly

#### Handsearches: core respiratory conference abstracts

Conference	Years searched
American Academy of Allergy, Asthma and Immunology (AAAAI)	2001 onwards
American Thoracic Society (ATS)	2001 onwards
Asia Pacific Society of Respiriology (APSR)	2004 onwards
British Thoracic Society Winter Meeting (BTS)	2000 onwards
Chest Meeting	2003 onwards
European Respiratory Society (ERS)	1992, 1994, 2000 onwards
International Primary Care Respiratory Group Congress (IPCRG)	2002 onwards
Thoracic Society of Australia and New Zealand (TSANZ)	1999 onwards

#### MEDLINE search strategy used to identify trials for the Register

##### Sleep apnoea search

1. exp Sleep Apnea Syndromes/
2. (sleep\$ adj3 (apnoea\$ or apnoea\$)).mp.
3. (hypopnoea\$ or hypopnoea\$).mp.

##### Positional therapy for obstructive sleep apnoea (Review)

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4. OSA.mp.
5. SHS.mp.
6. OSAHS.mp.
7. or/1-6

**Filter to identify RCTs**

1. exp "clinical trial [publication type]"/
2. (randomised or randomised).ab,ti.
3. placebo.ab,ti.
4. dt.fs.
5. randomly.ab,ti.
6. trial.ab,ti.
7. groups.ab,ti.
8. or/1-7
9. Animals/
10. Humans/
11. 9 not (9 and 10)
12. 8 not 11

The MEDLINE strategy and RCT filter are adapted to identify trials in other electronic databases

**Appendix 2. Search strategy to identify relevant trials from the Cochrane Airways Trials Register**

- #1 SLP:MISC2
- #2 MeSH DESCRIPTOR Sleep Apnea, Obstructive
- #3 sleep near3 (apnoea\* or apnoea\*)
- #4 (hypopnoea\* or hypopnea\*)
- #5 (OSA OR SHS OR OSAHS:TI,AB)
- #6 (#1 OR #2 OR #3 OR #4 OR #5)
- #7 MeSH DESCRIPTOR Posture Explode All
- #8 MeSH DESCRIPTOR Patient Positioning
- #9 postur\*
- #10 position\*
- #11 supine\*
- #12 lateral\*
- #13 #7 or #8 or #9 or #10 or #11 or #12
- #14 #6 and #13
- #15 (#14) AND (INREGISTER)

[Note: In search line #1, MISC1 refers to the field in the record where the reference has been coded for condition, in this case, obstructive sleep apnoea]

## WHAT'S NEW

Date	Event	Description
4 November 2019	Amended	Typo in search strategy amended. This error arose as the result of routine spell-check which converted an American spelling of hypopnea into an UK English spelling. This had no material effect on the review as the search string run in the databases was correct.

## CONTRIBUTIONS OF AUTHORS

PRS wrote the protocol in collaboration with AG. Data extraction was done by RA, JD and PRS. Disagreement was adjudicated by PRS and AG. PRS wrote the final document. RA contributed to the text. Database searches were carried out by Elizabeth Stovold of Cochrane Airways.

## DECLARATIONS OF INTEREST

PR Srijithesh: none known  
 Rajeswari Aghoram: none known  
 Amit Goel: none known  
 Jayaraj Dhanya: none known

## SOURCES OF SUPPORT

### Internal sources

- Jawaharlal Institute of Postgraduate Medical Education and Research, Puducherry, India.
- National Institute of Mental Health and Neurosciences, Bengaluru, India.

### External sources

- The authors declare that no such funding was received for this systematic review, Other.

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

The original protocol excluded cross-over randomised trials. We amended the protocol post-hoc to include cross-over trials because we wanted to include relevant evidence and we accepted that the carry-over effect was limited.

## INDEX TERMS

### Medical Subject Headings (MeSH)

\*Continuous Positive Airway Pressure; \*Supine Position; Outcome Assessment, Health Care; Quality of Life; Randomized Controlled Trials as Topic; Sleep Apnea, Obstructive [\*therapy]

### MeSH check words

Humans