# CNS Neuroscience & Therapeutics

#### **REVIEW ARTICLE**



## Amyloid $\beta$ , Glutamate, Excitotoxicity in Alzheimer's Disease: Are We on the Right Track?

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#### Keywords

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## **SUMMARY**

Alzheimer's disease (AD) has a devastating impact on aged people worldwide. Although sophisticated and advanced molecular methods have been developed for its diagnosis since early phases, pharmacological treatment still represents an unresolved topic. The more the disease progresses, the more the uneffectiveness of antidementia drugs emerges. New and encouraging results from experimental works indicate that glutamate pathway may play a substantial role in the pathogenesis since early stages of the disease. Several experimental data together with the clinical use of the uncompetitive N-methyl-p-aspartate (NMDA) antagonist memantine strengthen this idea. Unfortunately, definitive data on the glutamatergic transmission involvement in AD are still incomplete. Moreover, clinical results indicate only temporarily limited effects of memantine. Currently, memantine is indicated for moderate-to-severe cases of AD, an indication that may limit its efficacy and impact on Alzheimer's dementia. The association of memantine with the acetylcholinesterase inhibitor drugs used to treat dementia symptoms appears to be beneficial, in both experimental and clinical studies. Because cholinergic and glutamatergic dysfunction occurs early in AD, the coadministration of appropriate treatment in early stages of the disease might represent a valid option from the beginning of cognitive decline. Moreover, to better evaluate drug efficacy, the association of the recently introduced biomarkers with a clinical AD profile should be considered an aim to pursue.

## Introduction

Alzheimer's disease (AD) is a neurodegenerative disorder leading to progressive cognitive decline and dementia. Pathological hallmarks of AD are neuritic plaques and neurofibrillary tangles, which are responsible for the degeneration of hippocampal, cortical, and subcortical neurons. Several biochemical, molecular, genetic, and clinical studies indicate that  $A\beta$  oligomers have a pivotal role in the mechanisms of synaptic impairment and neuronal degeneration in AD [1]. Based on this hypothesis (amyloid hypothesis of AD), the formation of soluble species of A $\beta$  peptides (oligomers) can directly interfere with memory formation mechanisms, inducing synaptic degradation, neurofibrillary tangles, excitotoxicity, cell death [2-4]. Together with senile plaques and neurofibrillary tangles formation, the progressive disarrangement of neurotransmission [5] is also responsible for cognitive decline. Overall, cholinergic (and to a lesser extent also aminergic and glutamatergic) neurons appear to be particularly sensitive to  $A\beta$ toxicity. Several experimental and pathologic articles focused on the 'glutamate-mediated' toxicity as one of the main processes responsible for memory impairment and cell death in AD [6–10].

## **Glutamate and Synaptic Plasticity**

Although several receptor proteins, such as α7-nAchR, insulin receptors, cellular prion protein, ephrin receptor [11], have been proposed to be able to bind  $A\beta$  peptides, inducing its toxic effects, the N-methyl-D-aspartate (NMDA) receptors attracted much interest because of their involvement in neurodegenerative mechanisms. Glutamate is one of the main excitatory neurotransmitters in central nervous system and is particularly involved in synaptic plasticity, memory, and learning. There are two families of glutamate receptors located in the plasmalemma on neurons: the ionotropic and the metabotropic receptors. The ionotropic receptor family is further divided into three classes: N-methyl-D-aspartate (NMDA) receptor NR1, NR2A-D, and NR3A-B, permeable to Ca<sup>2+</sup> ions, α-amino-3-hydroxyl-5-methyl-4-isoxazole-propionate (AMPA), GluR1-4, and kainate GluR5-7; KA1-2 receptors permeable to Na<sup>+</sup> and K<sup>+</sup> ions. Metabotropic receptors are G-protein-coupled glutamate receptors divided into three subgroups on the basis of their function and structure: group 1 (mGluRs1 and 5), group 2 (mGluRs 2 and 3), and group 3 (mGluR4 and mGluR6-8). Ionotropic glutamate receptors are predominantly located in postsynaptic sites and mediate fast excitatory transmission, while metabotropic ones can be found in various compartments, in both neurons and glial cells in the brain. Following synapse activation. glial cells take up the glutamate excess, via their transporters EAAT1 and 2 (excitatory amino acids transporters). Here, glutamate is transformed into glutamine that is transported back to presynaptic terminals where it is transformed by the enzyme glutaminase to glutamate that returns to vesicles thanks to the activity of the vesicular transporters, VGLUT1 and 2. Glutamate is essential for establishing new neural networks and forms of memory and learning. NMDA receptor activation has a central role in the regulation of long-term potentiation (LTP) and long-term depression (LTD) mechanisms. The process is generated by highfrequency stimulation of the presynaptic site with an increased release of glutamate in the synaptic cleft. The AMPA and mGluRs are involved in the first phase, whereas NMDA receptors become active after the continuous and synchronized activation of the AMPA and mGluRs. The activation of synaptic NR2A-containing NMDA receptors induces a large increases in calcium [Ca<sup>2+</sup>]<sub>i</sub> in postsynaptic sites, which triggers additional events involving calcium calmodulin-dependent kinase II (CaMKII), extracellular signal-regulated kinase (ERK) activation, and phosphorylation of cyclic AMP response element binding protein (CREB), which is crucial for increasing protein synthesis and synaptic density. All these changes are involved in LTP induction. Several other protein kinases, like extracellular signal-related kinase (ERK) [12], p38 mitogen-activated protein kinase (MAPK), and glycogen synthase kinase 3-beta (GSK3 $\beta$ ) [13], have been shown to modulate LTP induction in the brain. In particular, the activation of ERK and the inactivation of MAPK and GSK3β have enhancing effects on LTP (see Figure 1 for summary). On the contrary, the decreased stimulation of excitatory synapses induces internalization of synaptic NMDA receptors and activation of perisynaptic NR2B-containing NMDA receptors, with an ultimate lower increase in [Ca<sup>2+</sup>]<sub>i</sub>. This condition is responsible for LTD, a mechanism associated with neuronal remodeling with spine shrinkage and synapse rearrangement, and that in pathologic conditions is associated with synaptic collapse and failure [14].

## Synaptic Plasticity in Alzheimer's Disease

In physiological conditions,  $A\beta$  peptides are able to induce synaptic plasticity mechanisms by stimulating at presynaptic level the α7 nicotinic receptor for acetylcholine. In pathologic conditions, A $\beta$  oligomerizes through unknown mechanisms and forms several different soluble dimers, trimers, till higher-order oligomers, protofibrils and fibrils. In particular, dimers and trimers have been found to have toxic effects on synapses. In fact, experimental evidences showed that pathologically elevated A $\beta$  oligomers have been shown to inhibit LTP by interfering with the activity of the above-mentioned LTP-related molecular pathways [14,15]. The pathologically elevated levels of A $\beta$  are able to block neuronal glutamate uptake at the synaptic cleft, leading to increased glutamate levels [16,17]. A rise in glutamate would activate synaptic NMDA receptors, subsequently inducing a desensitization of the receptors with ultimately synaptic depression. A second effect of increased

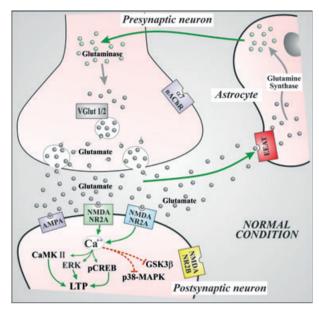


Figure 1 This figure represents the physiological glutamate-mediated transmission at synaptic level. Glutamate release from presynaptic terminal acts through the activation of ionotropic glutamate receptors located in postsynaptic terminal. In the figure is in particular described the N-methyl-p-aspartate (NMDA) signaling. The activated NMDA NR2A induces increase in calcium, which in turn favors the activation of several metabolic pathways (CaMK, ERK, and CREB) responsible for anabolic activation with subsequent activation of long-term potentiation (LTP) mechanisms. Conversely, the calcium increase inhibits metabolic pathways (GSK3 $\beta$  and MAPK) responsible for long-term depression and synaptic remodeling. Glutamate excess is transported via the EAAT into astrocytes where it is transformed to glutamine via the synthase activity which then again returns to synaptic terminals where the enzyme glutaminase produces again glutamate. The produced glutamate is subsequently filled in vesicles through a specific transporter (VGlut). VGlut (vesicular glutamate transporter); EAAT (excitatory amino acid transporter); α7-nAchR (alpha-7 nicotinic acetylcholine receptor); NMDANR2A (N-methyl-p-aspartate NR2A subunit); NMDANR2B (N-methyl-D-aspartate NR2B subunit); ERK (extracellular signal-related kinase); CaMKII (calcium calmodulin-dependent kinase II); pCREB (phosphorylated cyclic AMP response element binding protein); GSK3 $\beta$  (glycogen synthase kinase 3 $\beta$ ); p38-MAPK (p38 mitogen-activated protein kinase).

levels of glutamate would be a spillover and an activation of extra-synaptic NR2B-enriched NMDA receptors [18,19]. The excessive activation of the NR2B receptors has been demonstrated to be responsible for synaptic plasticity impairment [13,20,21]. In particular, the A $\beta$  induces a partial blockade of NMDA receptor's currents, reducing the calcium influx into the spines [14,15]. In turn, the reduced calcium influx inactivates CAMKII and Akt/protein kinase B. Such change would inactivate prosurvival pathways like ERK, CREB, or BDNF, favoring MAPK-p38, JNK, GSK3 $\beta$ pathways involved in hyperphosphorylation of tau (see Figure 2) and cell death signaling [13,15,22,23]. Furthermore,  $A\beta$  is able to induce both synaptic depression by activating mGluRs, which trigger a series of molecular events leading to the internalization of AMPA receptors and synapse collapse, and to down-regulate the

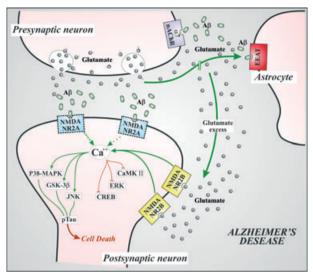


Figure 2 This figure represents the glutamate-mediated transmission at synaptic level in Alzheimer's disease. Aeta oligomers interfere with NMDA signaling, inducing an internalization of postsynaptic NMDANR2A subunits (dotted). Due to interference of  $A\beta$  with EAAT, glutamate concentration in synaptic cleft increases. Moreover, Abeta oligomers form complexes with alpha7-nicotinic receptors at presynaptic sites. This interaction induces increased levels of glutamate release. Glutamate spillover would activate extrasynaptic NMDANR2B receptors, with increased calcium levels and activation of metabolic pathways (green path) responsible for neuronal shrinkage and synaptic loss, associated with inhibition of prosurvival pathways (red path). The more this condition persists, the more the activated pathologic pathways lead to hyperphosphorylation of cytoskeletal tau protein, with neuronal degeneration and cell death. VGlut (vesicular glutamate transporter); EAAT (excitatory amino acid transporter); α7-nAchR (alpha-7 nicotinic acetylcholine receptor); NMDANR2A (N-methyl-p-aspartate NR2A subunit); NMDANR2B (N-methyl-D-aspartate NR2B subunit); ERK (extracellular signal-related kinase); CaMKII (calcium calmodulin-dependent kinase II); CREB (cyclic AMP response element binding protein); GSK3 $\beta$  (glycogen synthase kinase 3 $\beta$ ); p38-MAPK (p38 mitogen-activated protein kinase), JNK (c-Jun N-terminal kinase), pTau (hyperphosphorylated tau protein).

levels of postsynaptic density 95 protein (PSD-95) and synaptophysin, with subsequent suppression of NR2A subunit function and activation of NR2B subunit [15,24]. The activation of NR2B subunits is able to induce caspase-8 and caspase-3 apoptotic pathways. These mechanisms are considered responsible for synaptic disarrangement, cell loss, and neuronal death in AD.

## **Glutamate Hypothesis and Alzheimer's** Disease

A clear direct involvement of glutamate in memory loss and in mechanisms of neurodegeneration observed in AD is far from being demonstrated in humans. However, these recent pathological observations showed that, to some extent, glutamatergic transmission could be attenuated since early stages of AD. In this view, the lower levels of VGLUTs and EAAT observed in the prefrontal and parietal cortex of AD brains [6,7,25] would be indicative of reduced glutamate metabolism. Similarly, impaired LTP mechanisms shown in recent electrophysiological studies made on patients with AD [26.27] would be the consequence of NMDA signaling impairment, as predicted by experimental models [14]. It is noteworthy that LTD was normal, a finding that is different from what experimentally observed [26] and does not confirm the supposed pathologic glutamate-mediated synaptic depression. Thus, the glutamatergic dysfunction (impaired LTP) as an early event does not appear to be related to degeneration and cell death processes as suggested in experimental models [14]. Because LTP and LTD impairments are also related to the aging process, changes in glutamatergic transmission observed in early phases of AD could probably be related to a physiological memory neuronal network impairment rather than to a pathological one [28] (Burke and Barnes 2011). On the contrary, neurodegeneration could be related to the A $\beta$ -mediated process that would induce toxic effects of glutamate in later stages of the disease, long after the memory impairment [4,14]. Therefore, to link the glutamatergic dysfunction to cognitive decline symptoms, it would be necessary to consider it as the consequence of the cholinergic dysfunction, an event that is closely related to memory loss [29,30] and that occurs since early stages of the disease [30] and prior to the glutamatergic dysfunction. Particularly interesting is the role played by the  $\alpha$ -7-nAchR, the nicotinic receptor for acetylcholine, which is extremely altered in patients with AD [31,32]. This receptor is located in presynaptic terminals of hippocampus and cerebral cortex and facilitates the release of a variety of neurotransmitters (both excitatory and inhibitory) throughout the brain [33]. Its activation results in an enhancement of glutamatergic transmission [34], and the receptor is involved in the regulation of synaptic plasticity mechanisms [33,35]. In AD cases, it has been demonstrated that  $\alpha$ -7-nAchR can bind with high affinity to A $\beta$ peptides [35,36]. The interaction between these two structures would lead to the formation of complexes that are able to interfere with synaptic transmission with a pathogenic mechanism [37]. This could be the trigger of the A $\beta$ -induced changes in glutamate transmission. Excessive and diffuse activation of glutamatergic receptors could be responsible for a further degeneration, a condition that could be more evident in later stages of the disease [37]. This hypothesis could explain the reason why memantine is more effective in later stages of AD rather than in early stages.

## Memantine in Alzheimer's Disease

Memantine currently represents the rationale for the "glutamate hypothesis" [15,38,39] in AD cases, and it is the only NMDA receptor antagonist in use in human clinical studies approved by the European Agency for the Evaluation of Medicinal Products (EMEA) (2002) and by the US Food and Drug Administration (FDA) (2003) for the treatment of moderate-to-severe AD (see drug summary box). Memantine is a low-to-moderate affinity, uncompetitive NMDA receptor antagonist. Memantine preferentially blocks NMDA receptor channels when excessively activated. Because NMDA channels during normal synaptic activity are opened for few milliseconds, memantine is unable to act, thus sparing normal synaptic activity. Instead, during prolonged receptor activation, as in excitotoxic conditions, memantine becomes effective, blocking receptor activity. This particular mechanism

#### Box 1 Drug Summary Box

Drug name: Axura and Akatinol (Merz), Namenda (Forest Laboratories), Ebixa and Abixa (Lundbeck), and Memox (Unipharm)

Indication: Moderate-to-severe Alzheimer's dementia

Mechanism of action: Uncompetitive NMDA (N-methyl-Daspartate) antagonist

Route of Administration: Oral administration 20 mg/day

Chemical structure: Memantine hydrochloride

Pivotal trial (S): Winblad et al., (1999), Reisberg et al., (2003), Tariot et al., (2004) and Howard et al., (2012)

makes memantine a potential neuroprotective agent against glutamate-mediated neurotoxicity on the one hand and an enhancer of synaptic transmission on the other [40,41]. Memantine has recently been demonstrated to selectively target glutamate NR2Bcontaining NMDA receptors, which are located in extrasynaptic sites and are linked to several molecular pathways related to cell death [42,43] that are considered relevant to AD pathophysiology. Several experimental studies demonstrated neuroprotective effects of memantine against  $A\beta_{1-42}$  effects [44]. Memantine is able to reduce apoptotic A $\beta$ -induced effects, by reducing caspase-3 and Bcl-2 activation [43]. In addition, memantine was demonstrated to be able to reverse A $\beta$ -induced LTP deficits [43], to reduce  $A\beta$  burden, and to increase synaptic density in the hippocampus of mice [45,46]. Moreover, neuroprotective effects of memantine have been shown to be increased by the coadministration with galantamine, an acetylcholine esterase inhibitor approved for AD treatment [47-50]. Galantamine is a reversible competitive cholinesterase inhibitor and allosteric modulator of acetylcholine receptors, particularly potentiates the activity of nicotinic receptors, increasing in turn NMDA receptors' activity. The coadministration of galantamine and memantine would potentiate the NMDA synaptic transmission, protecting from excitotoxicity and cell death [51,52]. These data can partially explain the beneficial effect obtained by the coadministration of memantine with acetylcholine inhibitors. To date, most studies showed positive effects of the association of donepezil with memantine. Unfortunately, data on the association of memantine with acetylcholinesterase inhibitor like galantamine (and/or rivastigmine, pseudo-irreversible cholinesterase inhibitor) are not available so far. Because the mechanisms of action of these drugs are different, further studies are encouraged.

## Therapeutic Perspectives for Alzheimer's **Disease**

"Amyloid and glutamate hypothesis"-based treatment for cognitive symptoms represents the most recently adopted treatment option of AD. In recent years, several studies have been performed to evaluate the clinical efficacy of memantine in patients with AD. Earlier studies evaluated the efficacy of memantine alone and also in combination with acetylcholinesterase

inhibitors (ACheIs). Each available study evaluated memantine efficacy considering two different endpoints. Primary variables of efficacy (primary endpoint) were the global rating (CIBIC-plus) and the functional rating (modified ADCS-ADL). Secondary variables (secondary endpoint) included the SIB (Severe Impairment Battery), MMSE, FAST (Functional Assessment Staging), GDS (Global deterioration Scale), NPI, and RUD (Resource Utilization and caregiver burden). In general, benefits of memantine emerge from all these studies (see Table 1). Two of these studies were randomized double-blinded placebo-controlled trials that evaluated memantine efficacy in a group of AD patients with moderate-tosevere dementia. Both obtained statistically significant results on primary endpoints, in particular on ADCS-ADL score scale; however, they found no effects on secondary variables, in particular on behavioral symptoms [56,57]. These studies showed modest results, although encouraging, in severe cases. Other three studies evaluated memantine efficacy associated with the reversible cholinesterase inhibitor donepezil 10 mg/day [54-56]. Results of these studies showed that memantine in combination with ACheIs was well tolerated. Memantine and ACheIs have different pharmacokinetics and dynamics [57]. The association revealed better results with respect to monotherapy with ACheIs alone [58,59] in both cognitive and functional domains. Memantine was also administered to mild cases of AD; in particular, two studies were performed and reported, however, contradictory results on both cognition (evaluated by the use of ADAS-Cog) and functional domains [60,61]. The Cochrane analysis [62] showed the main results that memantine has beneficial effects on cognitive and functional decline, although small, and at six months, in moderate-to-severe AD, while in mild-to-moderate AD these effects were not detectable. In general, it can be concluded that all available drugs for AD are indicated for the treatment of cognitive decline symptoms. ACheIs are indicated for memory and attention deficits, but from all the studies examined, however, it does not emerge a clinical profile of the AD patient who deserves memantine's treatment. This may limit the correct evaluation and might also account for modest results of clinical evaluation. This is true for both ACheIs and memantine. As ACheIs are indicated for earlier phases of cognitive decline, it is supposed that in these phases cholinergic deficit prevails overall; similarly, memantine is indicated for moderate-to-severe cases, and thus for more advanced forms of cognitive decline, during which the dysfunction of glutamatergic transmission occurs, it is supposed to prevail. Of note is the evidence that the association of the two drugs represents the best available option, indicating, as above mentioned, that cognitive decline might be the result of more complex mechanisms, involving more than one or two neurotransmitters at the same time. More importantly, the evaluation of cognitive status and its pharmacological approach would often take into account the disease years and the rate of disease progression. The treatment of patients with rapidly progressive cognitive decline often unravels an unsuspected drug treatment refractoriness. Moreover, in all of the studies on memantine efficacy—that is, counteracting the excitotoxic effects at glutamate synapses and also slowing down the amyloid cascade effects on cortical neurons, or for the treatment of severe dementia symptoms (in this case, it would be useful to know which symptom has to be treated with memantine)whether memantine was used because of the drug anti-NMDA

Table 1 Summary of the principal trial on memantine's efficacy in AD

Winblad and Poritis, (1999) "Memantine in severe dementia: results of the 9M-Best Study.

Reisberg, et al., (2003) Memantine Study Group. Memantine in moderate-to-severe Alzheimer's disease.

Tariot et al., (2004) "Memantine treatment in patients with moderate to severe Alzheimer disease already receiving donepezil: a randomized controlled trial."

Bakchine et al., (2008) "Memantine treatment in patients with mild to moderate Alzheimer's disease: results of a randomised, double-blind, placebo-controlled 6-month study."

Peskind et al., (2006) "Memantine treatment in mild to moderate Alzheimer disease: a 24-week randomized, controlled trial."

Cummings et al., (2006) "Memantine MEM-MD-02 Study Group. Behavioral effects of memantine in Alzheimer disease patients receiving donepezil treatment." Howard et al., (2012) Donepezil and memantine for moderate-to-severe Alzheimer's disease.

Benefit and efficacy in severely demented patients during treatment with memantine

Memantine vs. placebo showed a better outcome on ACDS-ADL, CIBIC+, and SIB

Memantine plus donepezil showed significant benefits in all measures (ACDS-ADL, CIBIC+, SIB, NPI), compared with placebo plus donepezil

Memantine showed significant improvement in ADAS-Cog and CIBIC+ at 12 and 18 weeks, supporting its efficacy in mild-tomoderate AD

Significant improvement in ADAS-cog, CIBIC+, and NPI in mild-tomoderate AD

Memantine reduced agitation/aggression, irritability, and appetite/eating disturbances

The continued treatment of memantine with donepezil was associated with cognitive benefits that exceeded the minimum clinically important difference and with significant functional benefits over the course of 12 months

profile remains unclear. Reconsidering the evaluation of memantine's efficacy would need to enroll patients considering years of disease and, as a consequence, the rate of disease progression [63– 66]. Given the high diagnostic and even prognostic value of CSF biomarkers (A $\beta_{1-42}$ , t-tau, and p-tau) [67–70] and PIB studies [71– 73], their association with cognitive and functional assessment would make more reliable the evaluation of drug efficacy and also the evaluation for a more precise classification of patients (even the simple distinction between responders and nonresponders would be helpful). This would make more adherent to the reality, that is, the evaluation of treatment. In particular, because memantine has the great potential to counteract the amyloid cascade and subsequent glutamatergic toxicity, it is likely that its introduction since early phases of cognitive decline as mentioned above, possibly using reduced dosage, could represent a new and interesting therapeutic option to treat AD and other neurodegenerative disorders. Therefore, memantine has beneficial effects on AD evolution, although temporarily limited. This could be the effect of a delayed drug administration, which could limit its efficacy. Because amyloid pathology glutamate-based hypothesis accounts for mechanisms of neurodegeneration, its administration since early phases of disease is reasonable. Use of different dosages in relation to the degree of cognitive decline could be considered as a therapeutic option. Association of memantine with donepezil, generally well tolerated, seems to be the most fruitful. Of note is the lack of data on either rivastigmine or galantamine (ACheIs with a different pharmacological profile than donepezil) combination therapy in these cases. It is likely to suppose that the pharmacological profile of these drugs could represent a valid therapeutic option. Thus, to reduce discrepancies observed in previous studies and to test real potential of memantine, more studies are needed. To obtain better results, it would be useful to test its efficacy in a population of patients with AD defined both by neuropsychological and by instrumental/biochemical features (CSF biomarkers, PET, MRI studies), with early-diagnosed AD. This, on the one hand, would clarify whether memantine is a disease-modifying

drug, and could also help to define clinical profiles of both memantine's responders and nonresponders.

### Conclusions

The glutamate receptor family of proteins appears to be involved in neuronal targeting by  $A\beta$  oligomers. In particular, a pathologic increase in  $A\beta$  levels appears to target the extrasynaptically located subtypes of NR2B-containing NMDA receptors. These receptors are involved in the modulation of the cognitive functions [74] in the frontal cortex. Moreover, the physiological aging process induces changes in these receptors' localization (reduced) and even in function (decreased) that is related to the physiological memory decline [75-77]. An enhanced activation of these receptors has been suggested to be the possible target for treating normal memory decline [78]. Therefore, it is conceivable that, during early phases of AD, these receptors, which are reduced in number and function due to aging, become overactive only in certain regions of the brain (prefrontal cortex, hippocampus), in order to compensate for the memory loss. Then, the continuous activation associated with the pathological stimulus of A $\beta$  oligomers might trigger and spread a glutamatergic cortical overactivation that in advanced stages could even induce an excitotoxic damage of neurons. In this view, an early pharmacological treatment with memantine, or even memantine associated from the beginning with ACheIs, might represent the best option for the treatment of patients with AD.

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## **Conflict of Interest**

The authors declare no conflict of interest.

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