

# Use of the Response Assessment in Neuro-Oncology (RANO) criteria in clinical trials and clinical practice

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Between 2010 and 2014, the incidence rate of primary brain tumors in persons (aged >20 years) was 29.2 per 100,000; in children (aged <20 years), the rate was 5.81 per 100,000 [1]. Metastatic CNS tumors are known to be the most commonly occurring malignancy of the brain, although reporting for this disease is limited. Likely owing to multiple factors including improving survival from systemic malignancies, better tolerability of treatments, as well as timely and effective integration of supportive care, the incidence of CNS metastatic disease is expected to continue to increase [2]. Newer therapies and emphasis on clinical trial enrollment has made the need for effective approaches to assessing disease response even more critical.

The Response Assessment in Neuro-Oncology (RANO) working group was established to improve the assessment of tumor response and selection of end points, specifically in the context of clinical trial [3]. There has been an evolution in determining which endpoints and criteria are most important in determining therapeutic response, specifically with advances in imaging modalities. In the era of computed tomography (CT), Levin *et al.* conducted a retrospective analysis of 100 brain tumor patients, in which they reviewed the predictive value of specific factors and its impact upon response to treatment. In this study, the combination of radionuclide and CT scans, as well as diligent monitoring of changes in dexamethasone dose were thought to be predictive of clinical deterioration and response to chemotherapy [4]. In the following decades, the field of neuro-oncology relied upon methods derived from the extracranial solid tumor oncology, notably the MacDonald criteria and the Response Evaluation Criteria in Solid Tumors (RECIST), both methods presenting shortcoming and challenges to effective response assessment in CNS tumors. In 1990, the MacDonald criteria were proposed as the standard for assessment of response and progression, specifically in patients with high-grade glioma. These criteria used the product of the maximal perpendicular diameters but also incorporated changes in corticosteroid doses as well as neurologic function [5]. In this scheme, adopting standards from medical oncology, four categories were recommended: complete response, in which there is disappearance of all enhancing disease concomitant with neurological improvement or stability AND absence of steroids, partial response or  $\geq 50\%$  reduction in enhancing disease as well as stable neurologic status and steroid use; progressive disease (PD) or  $\geq 25\%$  increase in enhancing disease or worsening neurologic status in the setting of stable or increasing steroid use and last, stable disease (SD) defined as all other scenarios [5]. RECIST was used occasionally for evaluation of treatment response in primary and metastatic brain tumors but most brain tumor trials used the MacDonald criteria preferentially, since it was felt that use of two orthogonal diameters (2D) may have advantages over measurement of a single, longest diameter (1D) for irregularly shaped brain tumors [5].

Among the challenges to use of earlier response criteria include lack of guidance on pseudoprogression, pseudoresponse and nonenhancing tumor progression. Historical challenges in the field have also been concerned around appropriate surrogates of response and endpoints [6]. RANO working groups was established to address some of these issues and provide guidance on assessment of response and endpoints in neuro-oncology clinical trials. Although the work of RANO initially focused on gliomas, its work has extended to many other areas of neuro-

Table 1. Summary of current response criteria.

Criterion	RECIST	MacDonald	RANO
Measurement	1D contrast enhancement	2D contrast enhancement	2D contrast enhancement + T2/FLAIR
Progression	≥20% increase in sum of lesions	≥25% increase in product of perpendicular diameter	≥25% increase in product of perpendicular diameter
Response	≥30% decrease in sum of lesions	≥50% decrease in product of perpendicular diameter	≥50% decrease in product of perpendicular diameter
Durability of response	Optional	Yes (at least 4 week)	Yes (at least 4 week)
Definition of measurability	Yes	No	Yes
Number of target lesions	Up to 5	None specified	Up to 5
T2/FLAIR	Not evaluated	Not evaluated	Evaluated
Corticosteroids considered	No	Yes	Yes
Clinical status considered	No	Yes	Yes
Pseudo-progression considered	No	No	Yes

RANO: Response Assessment in Neuro-Oncology; RECIST: Response Evaluation Criteria in Solid Tumors.

oncology including brain metastases (BM), meningioma, pediatric tumors, spinal metastases and leptomeningeal disease.

This review will discuss the recommendations of the various RANO working groups. Although the primary focus of the RANO effort is to improve the conduct of clinical trials, some of the recommendations may be useful in the routine care of brain tumor patients.

### RANO-high grade glioma

Identification of durable therapies for high-grade glioma has remained elusive to date. Despite best efforts, the standard of care for newly-diagnosed glioblastoma is unchanged, incorporating temozolomide and radiation for 6 weeks, followed by a minimum of 6 months of single-agent temozolomide [7], and possibly the addition of tumor treating fields [8]. Despite improvements in supportive care over the past decade, survival outcomes remain dismal, with 1-year survival of 39.7% and 5-year survival of 5.5% [1]. Endeavors to identify more effective and durable agents are ongoing in clinical trials. Challenges to drug development for high-grade glioma are multiple, including but not limited to few molecular targets, lack of reproducible preclinical models, tumor heterogeneity, poor access of agents across the blood–brain barrier and a relatively small patient population [9–11]. Further hindering development, historically, has been the inadequacy of clear and widely-accepted endpoints in the design of neuro-oncology clinical trials, leading to the inception of the earliest of the RANO working groups, RANO-high grade glioma (RANO-HGG).

Although the RECIST criteria is widely used for assessing response to therapy for systemic cancers [6], its use in neuro-oncology has been limited because concerns that 1D measurements may not accurately measure the irregular or asymmetric margins characteristic of HGG.

The MacDonald criteria for response assessment in high-grade glioma were first published in 1990. In comparison to RECIST, MacDonald use 2D assessments of tumor size, obtained on either contrast-enhanced CT or MRI and based upon the WHO oncology response criteria. Clinical status and use of corticosteroids was also incorporated into these criteria [5]. Representing an advance in the field, MacDonald criteria were used in early clinical trials, allowing for comparison of response rates (Table 1) [10].

With development and growing use of anti-angiogenic agents that affect vascular permeability and contrast enhancement, the limitations and shortcomings of response criteria, such as RECIST and MacDonald, has become more apparent and necessitated changes to these criteria [12]. Similar to RECIST, difficulty in measurement of irregular borders is not overcome by MacDonald. Agents such as bevacizumab and other anti-angiogenic drugs in its class are known to reduce contrast enhancement, contributing to high response rates and prolonged progression-free survival; however, without impact in extending overall survival [11]. This is now known as ‘pseudoresponse,’ where the decrease in contrast enhancement is secondary to changes to vascular permeability, rather than a direct reduction in tumor size [13]. To address this issue, the RANO-HGG criteria requires that responses should be relatively durable and confirmed by a repeat MRI at least 4 weeks later [3].

The use of agents such as bevacizumab that reduce vascular permeability also introduced the problem of nonenhancing tumor progression that confounds the interpretation of PD. In up to 40% of patients treated

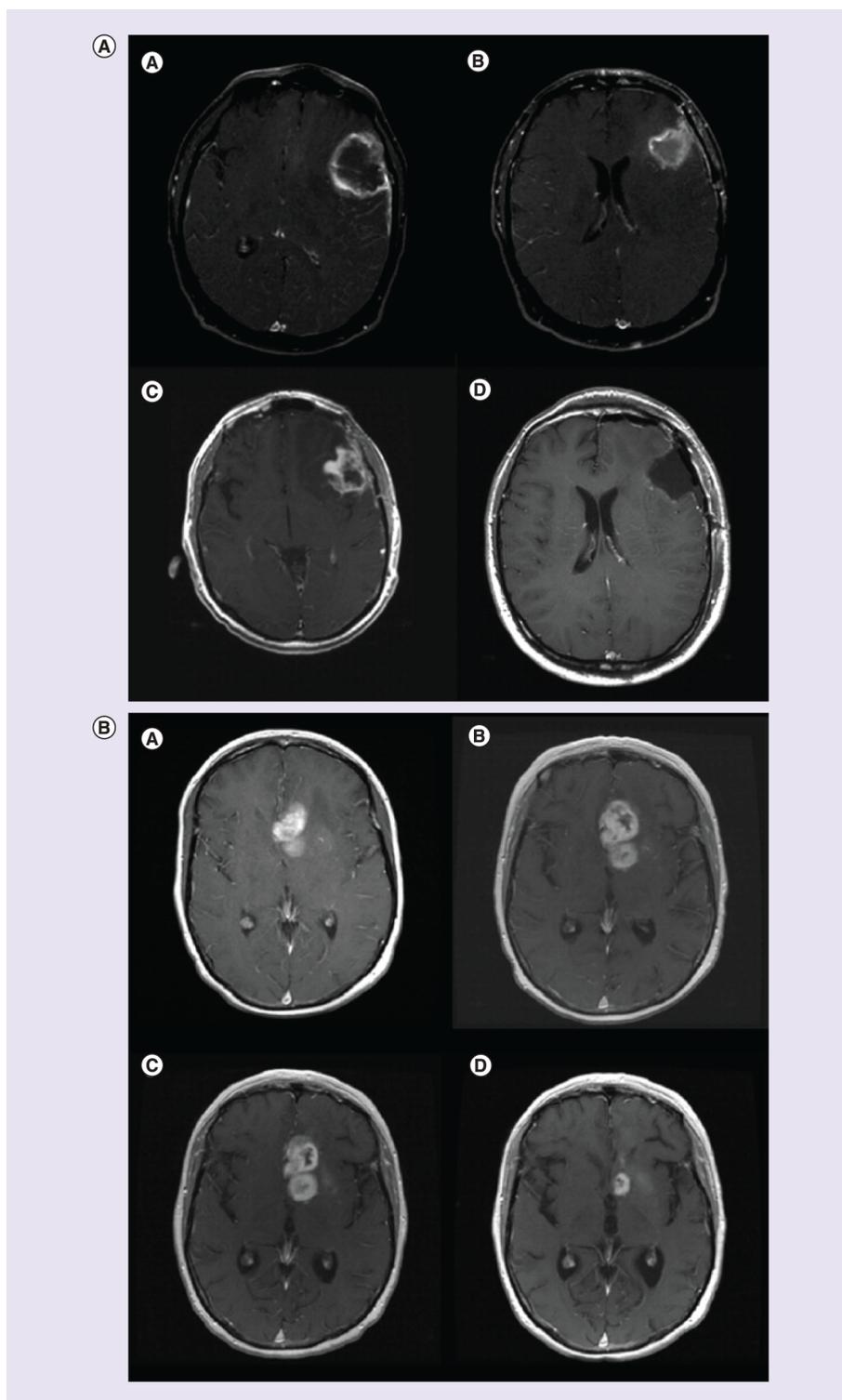
Table 2. Criteria for response assessment incorporating MRI and clinical factors.

Criterion	CR	PR	SD	PD
T1-Gd +	None	≥50% ↓	<50% ↓ to <25% ↑	≥25% ↑ <sup>†</sup>
T2/FLAIR	Stable or ↓	Stable or ↓	Stable or ↓	↑ <sup>†</sup>
New lesion	None	None	None	Present <sup>†</sup>
Corticosteroids	None	Stable or ↓	Stable or ↓	NA <sup>‡</sup>
Clinical status	Stable or ↑	Stable or ↑	Stable or ↑	↓ <sup>†</sup>
Requirement for response	All	All	All	Any <sup>‡</sup>
Summary of HGG response criteria	Requires all of the following: complete disappearance of all enhancing measurable and nonmeasurable disease sustained for at least 4 weeks; no new lesions; stable or improved nonenhancing (T2/FLAIR) lesions; patients must be off corticosteroids (or on physiologic replacement doses only); and stable or improved clinically. Note: Patients with nonmeasurable disease only cannot have achieved CR; the best response possible is SD	Requires all of the following: ≥50% decrease compared with baseline in the sum of products of perpendicular diameters of all measurable enhancing lesions sustained for at least 4 weeks; no progression of nonmeasurable disease; no new lesions; stable or improved nonenhancing (T2/FLAIR) lesions on same or lower dose of corticosteroids compared with baseline scan; the corticosteroid dose at the time of scan evaluation should be no greater than the dose at time of baseline scan; and stable or improved clinically	Requires all of the following: Does not qualify for CR, PR or progression; stable nonenhancing (T2/FLAIR) lesions on the same or lower dose of corticosteroids compared with baseline scan. In the event that the corticosteroid dose was increased for new symptoms and signs without confirmation of disease progression on neuroimaging, and subsequent follow-up imaging shows that this increase in corticosteroids was required because of disease progression, the last scan considered to show SD will be the scan obtained when the corticosteroid dose was equivalent to the baseline dose	Defined by any of the following: ≥25% increase in the sum of the products of perpendicular diameters of enhancing lesions compared with the smallest tumor measurement obtained either at baseline (if no decrease) or best response on stable or increasing doses of corticosteroids <sup>†</sup> ; significant increase in T2/FLAIR nonenhancing lesion on stable or increasing doses of corticosteroids compared with baseline scan or best response after initiation of therapy <sup>†</sup> not caused by comorbid events (e.g., radiation therapy, demyelination, ischemic injury, infection, seizures, postoperative changes or other treatment effects); any new lesion; clear clinical deterioration not attributable to other causes apart from the tumor (e.g., seizures, medication adverse effects, complications of therapy, cerebrovascular events, infection, etc.) or changes in corticosteroid dose; failure to return for evaluation as a result of death or deteriorating condition; or clear progression of nonmeasurable disease

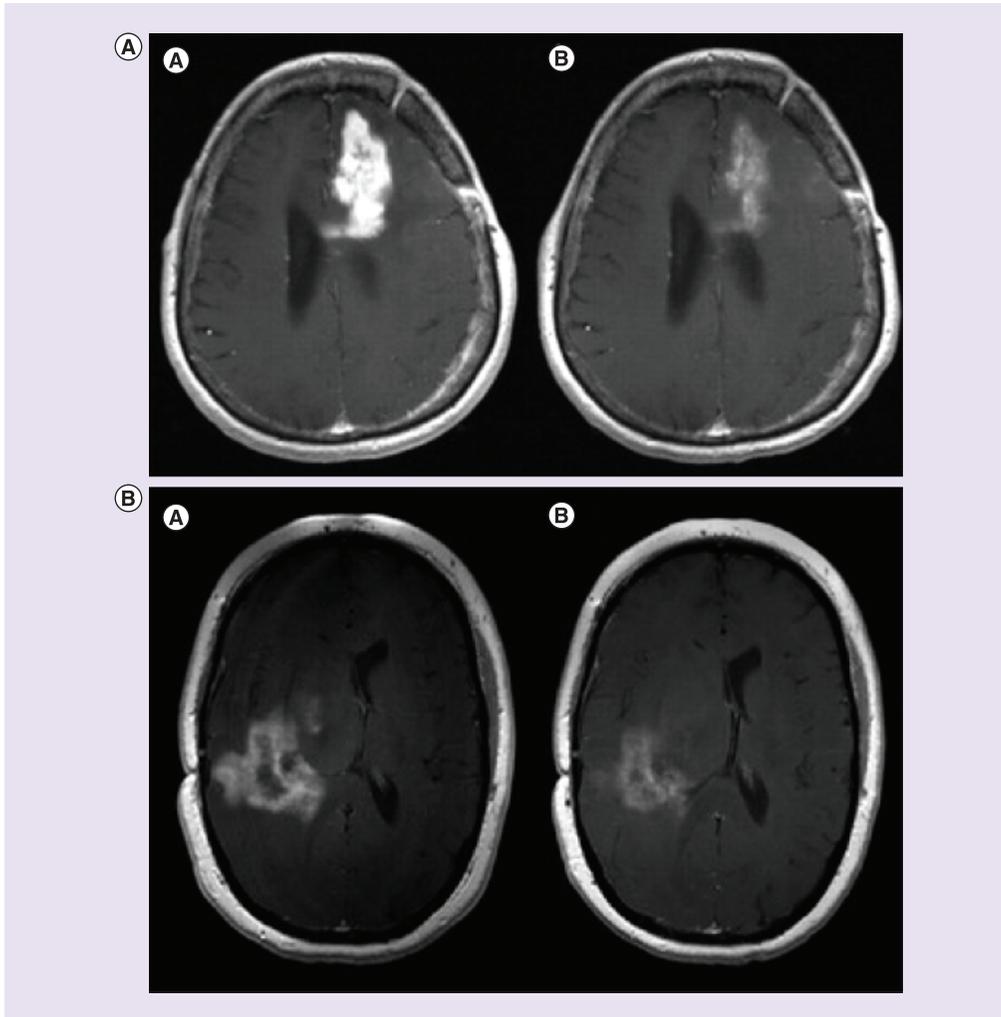
<sup>†</sup>Progression occurs when this criterion is met.  
<sup>‡</sup>Increase in corticosteroids alone will not be taken into account in determining progression in the absence of persistent clinical deterioration.  
↓: decrease; ↑: increase; CR: Complete response; FLAIR: Fluid-attenuated inversion recovery; HGG: High-grade glioma; NA: Not applicable; PD: Progressive disease; PR: Partial response; SD: Stable disease; T1-Gd +: T1 postgadolinium.  
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with bevacizumab, the contrast-enhancing disease may appear stable but there is increase in nonenhancing disease captured on T2/FLAIR sequences indicating disease progression [14]. The MacDonald criteria focus solely on contrast-enhancing disease and does not consider nonenhancing disease progression. The RANO-HGG criteria attempts to address this issue by including increase in nonenhancing disease in the definition of progression (Table 2) [3]. Because of the difficulties in quantifying nonenhancing disease progression, the RANO-HGG criteria suggests that any qualitative increase in nonenhancing disease constitutes progression. This lack of a quantifiable measure of nonenhancing progression is a limitation of the current RANO-HGG criteria. In addition, there is debate regarding the usefulness of evaluating nonenhancing disease in trials involving agents that do not affect vascular permeability. Evaluation of nonenhancing disease is also problematic in immunotherapy trials since these agents are likely to increase peritumoral edema which cannot be easily differentiated from nonenhancing disease progression. As a result this component of the RANO-HGG criteria is often omitted from immunotherapy trials.

The RANO-HGG criteria also attempts to address the phenomenon of pseudoprogression, characterized by an increase in contrast enhancement in the absence of true disease progression, typically following concurrent chemoradiation (Figures 1 & 2) [15]. Some studies suggest that this occurs more frequently in tumors in which there is methylation of the DNA repair enzyme, O6-methylguanine-DNA methyltransferase (MGMT) [16], although



**Figure 1. Pseudoprogression after chemoradiotherapy.** (A) Axial T1-contrast enhanced MRI (a) before surgery; (b) after surgery; (c) after radiotherapy and concomitant temozolomide showing increased enhancement; (d) re-operation showing only necrotic tissue and no tumor. (B) Pseudoprogression after chemoradiotherapy: axial T1-contrast enhanced MRI showing deep left frontal glioblastoma (a) 2 days after stereotactic biopsy; (b) 4 weeks after radiotherapy and concomitant temozolomide showing increased enhancement, raising the possibility of progression; (c) after four additional weeks of treatment with adjuvant temozolomide showing stable disease; (d) after eight cycles of adjuvant temozolomide showing significant reduction in tumor size.



**Figure 2. Pseudoresponse. (A)** Axial T1-weighted contrast enhanced MRI of left frontal recurrent glioblastoma (a) before and (b) 1 day after therapy with cediranib (pan-VEGFR inhibitor) showing significant reduction in contrast enhancement. The reduction in contrast enhancement within 1 day of therapy is more likely to be caused by reduced vascular permeability to contrast than to a true antitumor effect. (Slide courtesy of A. Gregory Sorensen, Massachusetts General Hospital). **(B)** Pseudoresponse. Axial T1-weighted contrast enhanced MRI of right parietal glioblastoma (a) before and (b) 1 day after therapy with XL184 (VEGFR and MET inhibitor) showing significant reduction in contrast enhancement. (Slide courtesy of A. Gregory Sorensen, Massachusetts General Hospital). **(A)** Adapted with permission from [20].

other studies have not confirmed this [17]. The changes of pseudoprogression are expected to improve with time, whereas true disease progression will continue to increase. In the absence of tissue confirmation, there is no available imaging modality which reliably distinguishes true from pseudoprogression, although perfusion imaging may occasionally be helpful [18,19]. The RANO-HGG criteria suggests that within the first 3 months after completion of chemoradiation, even if the MRI shows increase enhancement patients should not be considered to have progressed and be eligible for clinical trials for recurrent disease because of the difficulty in differentiating pseudoprogression from true progression. The exceptions are patients who develop new areas of enhancement outside of the radiation field (beyond the high-dose region or 80% iso-dose line) or if there is unequivocal evidence of viable tumor on histopathologic sampling. Because of the difficulty in differentiating pseudoprogression from true progression there is the suggestion that the first postradiation MRI, rather than the postoperative MRI, should be used as the baseline scan [18].

The RANO-HGG guidance on pseudoprogression in clinical trials can also be used in routine clinical care of patients. Within the first 3 months after chemoradiation if there is more contrast enhancement within the radiation

Criterion	CR	PR	MR	SD	PD
T2/FLAIR	Disappearance of all lesions	≥50% ↓ in perpendicular diameters of lesion, sustained for 4 weeks	25–50% ↓ in perpendicular diameters of lesion	<25% ↓ to <25% ↑	≥25% ↑ <sup>†</sup>
New lesion	None (apart from those consistent with radiation effects, and no new or increased enhancement)	None (apart from those consistent with radiation effects, and no new or increased enhancement)	None (apart from those consistent with radiation effects, and no new or increased enhancement)	None (apart from those consistent with radiation effects, and no new or increased enhancement)	Present <sup>†</sup>
Corticosteroids	None	Stable or ↓	Stable or ↓	Stable or ↓	NA <sup>‡</sup>
Clinical status	Stable or ↑	Stable or ↑	Stable or ↑	Stable or ↑	↓ <sup>†</sup> (not attributable to other causes apart from the tumor, or decrease in corticosteroid dose)
Requirement for response	All	All	All	All	Any <sup>‡</sup>

<sup>†</sup>Progression occurs when this criterion is met.  
<sup>‡</sup>Increase in corticosteroids alone will not be taken into account in determining progression in the absence of persistent clinical deterioration.  
 ↓: Decrease; ↑: Increase; CR: Complete response; FLAIR: Fluid-attenuated inversion recovery; MR: Minor response; NA: Not applicable; PD: Progressive disease; PR: Partial response; SD: Stable disease.  
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field, but the patient is clinically stable, it is reasonable to continue treatment but monitor the patient closely with serial neuroimaging (e.g., monthly) and consider taking them off treatment only if the subsequent scans confirm progression.

Another useful aspect of RANO-HGG is the guidance it provides for patients with equivocal evidence of progression. The criteria suggest that if these patients are clinically stable they should remain on study and be followed closely with neuroimaging. If subsequent scans confirm progression the date of progression will be backdated to the time when this was first suspected [3]. This approach is similar to the practice often used in routine clinical care.

The guidelines set forth by RANO-HGG also provides guidance in multiple other areas which were not reflected in RECIST or MacDonald criteria. Of particular importance, it provides guidance defining when a patient has adequately progressed to be eligible for enrollment into clinical trials. In general, patients should have a 25% increase in tumor area to be considered to have progressed. This avoids the inclusion of questionable patients in clinical trials for recurrent disease (Table 2).

### RANO-low grade glioma

Over the past decade, collective understanding of the molecular underpinnings of lower-grade gliomas (LGGs) has increased. This is reflected in the most recent revision of the WHO classification of brain tumors in 2016 [21]. LGGs are now distinguished by both their histological and underlying molecular features, specifically presence or absence of isocitrate dehydrogenase mutations and loss or maintenance of the whole-arm of chromosomes 1p and 19q [21]. LGGs have been considered historically to be slower growing and radiographically distinct from high-grade gliomas, and characterized by minimal, if any, evidence of contrast enhancement and nonspecific, T2/FLAIR hyperintense lesions with often indistinct margins. In the appropriate clinical context, MRI findings in LGG may be confused with other processes including demyelination, ischemia or late effects of cranial irradiation [3,6].

The RANO criteria for LGG utilizes percent change in T2/FLAIR signal rather than contrast enhancement for determination of response and progression (unlike RANO-HGG) [22]. In addition, since responses (>50% decrease in area) are relatively uncommon with most agents tested to date, the RANO LGG criteria introduced a minor response category (>25% but <50% decrease in area; Table 3). As with RANO-HGG, corticosteroid use and clinical status are considered in the determination of response and progression.

One of the challenges in determining response and progression in LGGs is the difficulty in accurately measuring the tumor using only 2D. There is ongoing work to determine if measuring T2/FLAIR volume is more accurate in determining changes in tumor burden and whether newer approaches such as determining changes in tumor volume growth trajectory can be a more reliable and sensitive measure of response [24].

In addition to determining changes in tumor size, other measures of clinical benefit such as changes in neurocognitive function, quality of life and seizures may be useful in assessing the utility of novel therapies. In particular,

**Table 4. Summary of the proposed response assessment in neuro-oncology response criteria for brain metastases.**

Criterion	CR	PR	SD	PD
Target lesions	None	≥30% decrease in sum LD relative to baseline	<30% decrease relative to baseline, but <20% increase in sum LD relative to nadir	≥20% increase in sum LD relative to nadir <sup>†</sup>
Nontarget lesions	None	Stable or improved	Stable or improved	Unequivocal PD <sup>†</sup>
New lesion(s) <sup>‡</sup>	None	None	None	Present <sup>†</sup>
Corticosteroids	None	Stable or decreased	Stable or decreased	NA <sup>§</sup>
Clinical status	Stable or improved	Stable or improved	Stable or improved	Worse <sup>†</sup>
Requirement for response	All	All	All	Any <sup>§</sup>

<sup>†</sup> Progression occurs when this criterion is met.

<sup>‡</sup> New lesion = new lesion not present on prior scans and visible in at least two projections. If a new lesion is equivocal, for example, because of its small size, continued therapy may be considered and follow-up evaluation will clarify whether it represents truly new disease. If repeat scans confirm there is definitely a new lesion, then progression should be declared using the date of the initial scan showing the new lesion. For immunotherapy-based approaches, new lesions alone do not define progression.

<sup>§</sup> Increase in corticosteroids alone will not be taken into account in determining progression in the absence of persistent clinical deterioration.

CR: Complete response; LD: Longest dimension; NA: Not applicable; PD: Progressive disease; PR: Partial response; SD: Stable disease.

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seizure control has recently been proposed as an additional metric in disease response and as an endpoint in trials for LGGs [25].

### RANO-brain metastases

CNS metastases represent an important and disabling neurologic complication of systemic cancer, occurring in up to 40% of patients with metastatic cancer [26]. Once BM is developed, management typically entails local therapies, such as surgical resection or radiation, whereas several driver mutations have been identified in systemic malignancies, resulting in development of targeted drugs, notably in non-small-cell lung cancer, melanoma and breast cancers, the reach of these agents on associated BM has been modest, attributable to multiple factors including access of agents cross the blood–brain and blood–tumor barrier, and tumor heterogeneity) [26]. There are also practical considerations, in that patients with BM, specifically symptomatic lesions, have historically been excluded from clinical trials in which these agents have been investigated [27–31]. This has resulted in limited understanding of efficacy of agents in this population and in determining reliable endpoints for how disease response can be appropriately obtained.

Prior to establishment of the RANO-BM working group, clinical trials in systemic cancers often used the RECIST criteria for response assessment and had limitations when applied to BM, including the need to summate both CNS and systemic lesions as they were considered a single compartment [32,33]. There were other shortcomings in the approach to enrolling BM patients on to trial, given the inherent heterogeneity of histology and patient population, and provider preferences with regards to imaging and frequency of monitoring [32]. As trials may have incorporated any of the response assessment criteria available, this led to lack of uniformity in comparing effects of treatments across studies [32]. The RANO-BM working group first worked to propose standard criteria for determining response and progression in BM trials based on consensus opinion [34]. These response criteria were based upon factors derived from RANO-HGG as well as RECIST. Since BM are generally spherical, 1D measurement was used. As with RECIST, progression occurs when the sum of the linear measurements exceeds 20% compared with baseline or best response and response is defined as reduction of the sum of linear measurements by 30% compared with baseline (Table 4) [34]. As with RANO-HGG, the patient's clinical status and corticosteroid use are considered. Unlike RECIST, the brain is considered a separate compartment, allowing the status of disease systemically and in the brain to be considered separately, if appropriate. RANO-BM defines measurable disease as lesions of 1 cm or larger, although there is guidance if smaller lesions are allowed [34]. In addition, it provides guidance on the number of target lesions, use of corticosteroids and issues related to pseudoprogression from radiosurgery and immunotherapy [34]. As with RANO-HGG, these criteria are primarily for determining response in patients enrolled in clinical trials but could also be applied to patients receiving routine clinical care.

As designing appropriate clinical trials for patients with BM remains challenging, RANO BM has also established separate guidelines for clinical trials in which either local or systemic treatments are investigated [35,36].

The rationale for local therapy, specifically surgery and radiation therapy (radiosurgery and whole-brain radiation), is delay intracranial progression and improvement in local disease control. The RANO-BM working

group, in consideration of clinical trials in which local treatments are investigated, proposes different endpoints and guidance in determining endpoints, depending on the planned intervention and design and phase of the trial. Early-phase trials are likely to emphasize improvement in intracranial control while later phase may prioritize, toxicity, functional and patient-reported outcomes (PROs) [35]. The use of advanced imaging modalities is also recommended by RANO-BM, specifically in assessing radiographic changes which may reflect the effect of treatment, causing pseudoprogression. MR perfusion, MR spectroscopy and positron emission tomography (PET) imaging are suggested techniques which may sometimes be useful in distinguishing true disease from pseudoprogression, if available [35].

In aiming to standardize and improve clinical trials in which systemic agents are investigated in patients with BM, the RANO-BM offers the following: strategies to determine potential CNS activity of the drug in question, understanding the potential disease response both intra- and extracranially, the potential for prevention of BM in high-risk disease patients and last, relevant endpoints [35]. As it is expected that the incidence of patients living with controlled systemic malignancy will rise, strategies for controlling or preventing BM will become increasingly important.

### Immunotherapy RANO

There has been increasing use of the immunotherapies in management of systemic cancers, and a rapidly growing number of approvals by US FDA [37]. Immunotherapy, including vaccines, oncolytic viruses and programmed death (PD), programmed death ligand 1 (PD-L1) and cytotoxic T-lymphocyte 4 (CTLA-4) antibodies, are being investigated in primary and metastatic brain tumors. Although there is significant enthusiasm around use of these agents, understanding radiographic changes associated with their use has presented challenges [38]. Drawing from the experiences in solid tumor oncology, especially melanoma, true radiographic response is sometimes preceded by transient worsening [39,40].

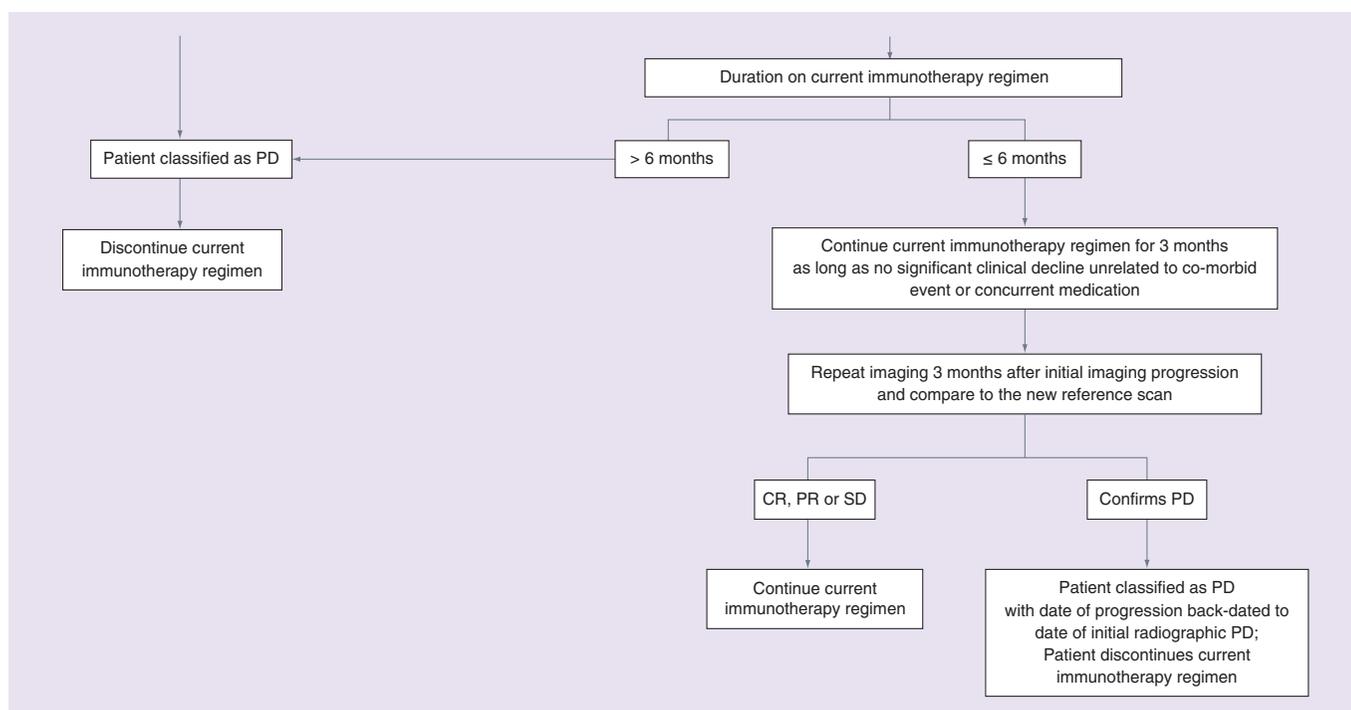
The immunotherapy RANO (iRANO) working group has sought to establish guidelines for determining response with the aim of preventing premature assignment of patients as nonresponders. The criteria are similar to what has been established by RANO-HGG, LGG and BM, using complete response, partial response, stable disease and PD as endpoints. The additional guidance provided by iRANO is that within the first 6 months of treatment with an immunotherapy, if the scans are worse, but if the patient is clinically stable, they can stay on treatment and be closely observed with serial imagers over the next 3 months. Only if subsequent scans confirm progression should they be taken off the study medication. These recommendations will require validation. Nonetheless they provide useful guidance on the management of patients receiving immunotherapies, not only on clinical trials, but also receiving routine clinical care (Figure 3).

### Neurological assessment in neuro-oncology

Although both the MacDonald and RANO criteria include clinical status in the determination of progression, there is no quantifiable measure to determine this. The neurological assessment in neuro-oncology (NANO) was created to address this limitation and quantify clinical outcomes [3]. The NANO working group has put forth a disease-specific clinical assessment scale, using parts of routine neurologic examination to measure neurologic function across multiple domains [41]. The scale may be conducted and reported by a trained healthcare professional, testing patients in the following: gait, strength, sensation, visual field, facial strength, language, behavior and upper extremity ataxia, with scores in each domain ranging from 0 to 3 or 0 to 2 [41]. In pilot efforts, NANO has been incorporated into clinical trials and has been associated with high interobserver agreement and reliability. Although not intended to replace current standard neurologic assessments in the clinic, NANO is an objective and simple measure which can be integrated into clinical trials for neuro-oncology patients, thus potentially providing a more objective tool for determination of disease progression. The ultimate role of the NANO scale will depend on ongoing efforts to validate this instrument in clinical trials.

### RANO leptomeningeal metastases

Leptomeningeal disease (LMD) is a feared and late neurologic complication of systemic cancer, most commonly occurring in solid tumors including breast cancer and lung cancer as well as hematologic malignancies [42,43]. Primary brain tumors may also disseminate the cerebrospinal fluid (CSF) or leptomeninges, especially medulloblastoma. To date, few effective systemic therapies have been identified and management recommendations often includes radiation therapy, administration of chemotherapy into the CSF or systemic therapy.



**Figure 3. Response Assessment in Neuro-Oncology treatment algorithm for the assessment of progressive imaging findings in neuro-oncology patients treated with immunotherapy.**

CR: Complete response; PD: Progressive disease; PR: Partial response; SD: Stable disease.

The RANO-LM working group recently proposed criteria to quantify the extent of disease and guide the determination of response and progression [20]. These criteria include a neurologic scale, like NANO [3,20,42] together with neuroimaging (brain and spine) and CSF cytology, which would be required at each assessment. Although these criteria incorporate the components that contribute to the routine clinical evaluation of LM patients, these have yet to be validated [20]. For now, the complexity of the RANO-LM scale probably limits its utility for routine clinical care outside of clinical trials. There is additionally, a parallel effort by the European Association of Neuro-Oncology (EANO) and European Society of Medical Oncology to put forth guidelines for diagnosis, monitoring and management of LMD from solid tumors, with levels of evidence to support their recommendations. It is noted that the EANO/European Society of Medical Oncology practice guidelines does not cover LMD from hematologic malignancies or primary brain tumors [44].

### RANO-corticosteroids

Corticosteroids, in varying doses, are invariably an integral part of clinical management of patients with CNS tumors at some point in their care, providing symptom control and preserving function. The side effects associated with prolonged use of corticosteroids are well-characterized and far-reaching. It has also been proposed that patients requiring steroids for longer periods of time or at higher doses have shorter overall survival. Additionally, steroid use, along with age and performance status, has been associated with poorer prognosis [45,46]. Therapies such as bevacizumab are known to aid in decreasing steroid requirement and sparing associated side effects; historically, clinical trials have used in steroid dose (or time to steroid initiation) as secondary endpoints [47]. Previous attempts in investigating alternate agents for steroid-sparing properties have been promising, specifically the agent corticorelin, which had been studied in a Phase I in pediatric patients in treatment of peritumoral edema. Early results included improvement in steroid dose reduction and quality-of-life indices; however, further investigation was not pursued by the study sponsors [48].

A working group for development of consensus criteria for evaluation of therapeutic response in the setting of corticosteroid has been established, borne out of the need for consistent guidelines in determining relevant clinical and radiographic endpoints, specifically in consideration of the impact of steroids on disease. To this end, criteria for response while on corticosteroids have been proposed for both adult and pediatric patients [49]. The criteria

Table 5. Side effects of corticosteroids.	
Organ system	Adverse effect
Neurological/Psychiatric	Insomnia Mood lability Anxiety/depression Psychosis Increased appetite Hiccups Tremor
Musculoskeletal	Proximal myopathy Osteoporosis Arthralgias Avascular necrosis Decreased growth/height (pediatric patients)
Gastrointestinal	Dyspepsia/gastritis
Hematological/ Immunological	Immunosuppression-related infections (oropharyngeal candidiasis, <i>Pneumocystis jirovecii</i> pneumonia, etc)
Endocrine	Hyperglycemia Weight gain Cushingoid habitus Adrenal insufficiency (after discontinuation)
Cutaneous or Vascular	Acne Striae Purpura Delayed wound healing Peripheral edema
Ocular	Visual blurring Cataract formation

incorporate dose changes, improvement in neurologic and/or functional status and duration of benefit (Table 5). Additional criteria proposed for adult patients is based upon improved score on PROs, with specific preference made for the dexamethasone symptom questionnaire-chronic, though this is limited by the absence of defined meaningful change [49]. This RANO proposal aimed at designing endpoints for corticosteroid use in clinical trials may need to be defined and will require prospective validation in clinical studies.

### RANO-positron emission tomography

MRI has been the standard imaging modality for evaluation of disease activity and treatment response in high-grade glioma. Although there are advantages to continued use of contrast-enhanced imaging in assessing treatment response, there remains multiple pitfalls, particularly in the advent of anti-angiogenic and immune checkpoint blockade, thus making interpretation challenging. Although this forms the rationale for development of RANO working groups including iRANO, there is also impetus to explore alternate, advanced imaging modalities, namely, PET [50]. Specific PET modalities including glucose-based  $^{18}\text{F}$ -fluorodeoxy-glucose ( $^{18}\text{F}$ -FDG) and amino acid-based, specifically  $^{11}\text{C}$ -methyl-methionine ( $^{11}\text{C}$ -MET),  $^{18}\text{F}$ -fluorophenylalanine ( $^{18}\text{F}$ -DOPA) and  $^{18}\text{F}$ -fluoroethyl-L-tyrosine ( $^{18}\text{F}$ -FET), have been studied as complimentary to MR-based imaging to evaluate disease response [51].

To this end, the collaboration of RANO with the EANO has put forth guidelines for clinical management patients with glioma. The recommendations provided are relevant to specific milestones in management, from incorporation of specific PET modalities in diagnosis to informing discussion around treatment response and disease prognostication [50]. Potentially challenging broader use of are the limited availability of centers which offer both glucose and amino acid-based PET. Formal guidelines by RANO/EANO are pending.

There is a separate effort by RANO to develop evidence-based recommendations for use of PET imaging in management of patients with meningioma [52]. There are challenges to widespread adoption of PET in meningioma, including determining where in management is incorporation of its use most relevant. Currently, the RANO-PET recommendations for meningioma are aimed at informing daily management, thus serving as a guideline for

clinicians. With further validation in larger cohorts, this may be expanded to determine endpoints for relevant clinical trials.

### Response assessment in pediatric neuro-oncology

Consistent with the aims of RANO, the response assessment in pediatric neuro-oncology (RAPNO) was established to address limitations in determining treatment response, specifically in pediatric brain tumors. Although there are concerns which are shared by both adult and pediatric groups, there are separate challenges, unique to pediatric neuro-oncology, which warrant different consideration as it relates to assessment of disease response [53]. RAPNO has been tasked with determining relevant consensus criteria for assessing treatment response; however, across multiple and heterogeneous tumor types, each representing a distinct disease with variable imaging features [54]. Currently, no standard guidelines exist for evaluating pediatric brain tumor treatment response and the adult have formed the basis for assessment to date [54].

Tumor-specific guidelines are also in development through RAPNO for establishing criteria in high-grade glioma, optic pathway glioma, diffuse midline glioma and leptomeningeal disease, with recent recommendations made for medulloblastoma and similar tumors with propensity for CSF dissemination. Currently, there are no consensus criteria for determining response in clinical trials in both adult and pediatric patients with this disease. RAPNO has put forth initial recommendations for response criteria, which is based upon methods including CNS MR findings, CSF cytology and neurologic examination. Other additional criteria were considered, such as presence of extra-CNS metastatic disease and quality of life factors; however, no specific recommendations have yet been made to include in the RAPNO criteria [55]. This RANO proposal will require prospective validation in clinical studies.

### Other RANO working groups

Other working groups in RANO have been formed to establish guidelines for use in clinical trials, including individual efforts focused upon surgery, spinal metastatic disease, meningioma and PROs.

### Conclusion & future perspective

The RANO working groups have proposed to establish standard and reliable criteria for objective assessment of treatment response. Given the advances in understanding of molecular underpinnings of primary and metastatic brain tumors, there will be opportunities to exploit these changes for therapeutic benefit and rigorous investigation of potential agents will be necessary. As this is a dynamic process, it is expected that in the future, as more data accumulates, guidelines proposed by the RANO working groups will reflect and will be informed by newly gathered information.

#### Executive summary

##### Response assessment in neuro-oncology-high grade glioma

- There have been multiple challenges to development of effective treatments for high-grade glioma including lack of molecular targets, tumor heterogeneity, small patient population and blood–brain barrier considerations.
- Response assessment in neuro-oncology-high grade glioma (RANO-HGG) was the first of the RANO working groups to be established, tasked with developing and determining important and accepted endpoints for clinical trials.
- Building upon the experience from Response Evaluation Criteria in Solid Tumors and MacDonald criteria, RANO-HGG addresses enhancing and nonenhancing disease, use of corticosteroids and provides guidance around defining true radiographic versus ‘pseudoprogression,’ which has become more prominent in the era of anti-angiogenic and other agents which disturb vascular permeability.

##### RANO-low grade glioma

- Low-grade gliomas (LGGs) are distinguished by both their histological and underlying molecular features, which has been captured in the 2016 WHO classification of brain tumors.
- Imaging findings in LGGs are often similar to other processes such as demyelination or ischemia; additionally, the slow growth pattern which is characteristic of these tumors often render assessing disease response challenging.
- RANO-LGG has put forth guidelines, relying upon changes to T2/FLAIR signal (in place of contrast enhancement), as well as clinical status and corticosteroid dose change similar to RANO-HGG, as part of criteria for disease response and progression.

##### RANO-brain metastases

- Brain metastases are a frequent complication of systemic cancer, occurring most frequently in melanoma, breast and lung cancers.

- Management has been limited to local therapies such as surgery and radiation. Despite improved understanding and increased use of targeted therapies in systemic cancers, the therapeutic benefits of these agents have been modest in treatment of brain metastases.

- The goals of RANO-BM are to determine CNS activity of potential agents and to determine relevant endpoints for clinical trials.

#### **Immunotherapy RANO**

- Immunotherapies have been increasing in use in systemic malignancies with several agents having recently gained approval for standard-of-care use.
- These agents are now also being investigated in management of both primary and metastatic brain tumors.
- Immunotherapy RANO was established to determine guidelines for response in patients treated with immunotherapy.
- Similar to efforts in solid tumor oncology, immunotherapy RANO aims to identify and determine criteria to reduce premature declaration of true progression, as true radiographic response is often preceded by transient worsening.

#### **Neurological assessment in neuro-oncology**

- Neurological assessment in neuro-oncology (NANO) was created to provide a more objective tool for determination of disease progression.
- It is an objective and simple measure which can be integrated into clinical trials for neuro-oncology patients.

#### **RANO-leptomeningeal metastases**

- Leptomeningeal metastases (LM) is a devastating complication of systemic complication with few available systemic treatment options.
- Criteria for quantifying disease progression and response in LM has been recently proposed and its use in routine clinical settings will need to be determined.

#### **RANO corticosteroids**

- Corticosteroids, though an integral part of symptom management of patients with CNS tumors, are associated with significant side effects and potential for shorter overall survival.
- Given the impact of corticosteroids on disease, the RANO working group is tasked with designing endpoints for corticosteroid use in clinical trials.

#### **RANO-positron emission tomography**

- Positron emission tomography may be a compliment to MR-based imaging in management of patients with high-grade glioma and meningioma.
- There is a collaborative effort with RANO and European Association of Neuro-Oncology to develop evidence-based guidelines for use of positron emission tomography in standard management and ultimately, clinical trials.

#### **Response assessment in pediatric neuro-oncology**

- There are unique challenges to determining treatment response in pediatric brain tumors, thus warranting separate consideration.
- Initial recommendations have been put forth for management of medulloblastoma and cerebrospinal fluid-seeding tumors.
- response assessment in pediatric neuro-oncology is developing tumor-specific guidelines for pediatric brain tumors including high-grade glioma, optic pathway glioma and diffuse midline glioma.

#### **Other RANO working groups**

- Efforts to guidelines for disease response have been initiated in multiple additional areas including on corticosteroid use, surgery, spinal metastatic disease, meningioma and patient-reported outcomes.

#### **Conclusion & future perspective**

- RANO working groups have been established to determine relevant and reliable criteria for assessing disease response.

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