

Genome-Wide Discovery of DEAD-Box RNA Helicase Targets Reveals RNA Structural Remodeling in Transcription Termination

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ABSTRACT RNA helicases are a class of enzymes that unwind RNA duplexes *in vitro* but whose cellular functions are largely enigmatic. Here, we provide evidence that the DEAD-box protein *Dbp2* remodels RNA-protein complex (RNP) structure to facilitate efficient termination of transcription in *Saccharomyces cerevisiae* via the Nrd1-Nab3-Sen1 (NNS) complex. First, we find that loss of *DBP2* results in RNA polymerase II accumulation at the 3' ends of small nucleolar RNAs and a subset of mRNAs. In addition, *Dbp2* associates with RNA sequence motifs and regions bound by *Nrd1* and can promote its recruitment to NNS-targeted regions. Using Structure-seq, we find altered RNA/RNP structures in *dbp2Δ* cells that correlate with inefficient termination. We also show a positive correlation between the stability of structures in the 3' ends and a requirement for *Dbp2* in termination. Taken together, these studies provide a role for RNA remodeling by *Dbp2* and further suggests a mechanism whereby RNA structure is exploited for gene regulation.

KEYWORDS RNA helicase; RNA structure; transcription; termination; DEAD-box

RNA helicases are found in all kingdoms of life, playing central roles in all aspects of RNA metabolism (Bourgeois *et al.* 2016). Among them, DEAD-box proteins constitute the largest RNA helicase family. These enzymes have a conserved helicase core, which is responsible for ATP binding, hydrolysis, and RNA binding, and are characterized by the Asp-Glu-Ala-Asp (D-E-A-D) motif. Most steps in gene expression involve DEAD-box helicases, including transcription, translation and RNA decay (Linder and Jankowsky 2011). However, the detailed molecular actions of these helicases remain to be characterized.

Most DEAD-box helicases have an ATP-dependent RNA-unwinding activity *in vitro* (Putnam and Jankowsky 2013). This activity catalyzes a wide variety of biochemically distinct actions including nonprocessive, RNA duplex unwinding (Rogers *et al.* 1999; Yang and Jankowsky 2006), RNA-protein

complex (RNP) remodeling activity *in vitro* (Fairman *et al.* 2004; Tran *et al.* 2007), and ATP-dependent “clamping” of multiprotein complexes onto RNA (Ballut *et al.* 2005; Nielsen *et al.* 2008). Studies have also shown that DEAD-box helicases can act as chaperones to promote RNA folding both *in vitro* and *in vivo* (Yang and Jankowsky 2005; Tijerina *et al.* 2006; Liebeg *et al.* 2010; Potratz *et al.* 2011). For example, *Mss116* in *Saccharomyces cerevisiae* assists the folding of functional group I and II introns by unwinding misfolded RNAs to allow exchange between kinetically trapped, non-functional structures and functional conformations (Liebeg *et al.* 2010; Potratz *et al.* 2011). Human DEAD-box helicases, including DDX5 and DDX17, have also been reported to unwind secondary RNA structures and thereby regulate alternative splicing (Kar *et al.* 2011; Dardenne *et al.* 2014). RNA remodeling activity also appears to be critical for translation, as a recent genome-wide study of the translation factor and DEAD-box helicase *Ded1* showed that this enzyme resolves structures in the 5' ends of genes and controls translational start site choice in *S. cerevisiae* (Guenther *et al.* 2018). These examples implicate DEAD-box helicases as potential regulators of RNA metabolism and gene expression, yet we lack a

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thorough understanding of how RNA structure and RNP assembly affect basic molecular steps within these processes.

The basic steps in transcription include initiation, elongation, and termination. Termination by RNA polymerase II (RNAPII) is mediated mainly by two complexes in *S. cerevisiae*: the cleavage and polyadenylation factor (CPF) complex and the Nrd1-Nab3-Sen1 (NNS) complex. CPF-dependent 3' end processing is the primary mode of termination for messenger RNA (mRNA) genes, whereas the NNS complex, a trimeric assembly of RNA-binding proteins Nrd1 and Nab3 with the RNA-DNA helicase Sen1, promotes termination of short, noncoding RNAPII transcripts and some mRNAs (Rondón *et al.* 2009; Porrua and Libri 2015). The NNS complex has also been implicated in “failsafe” termination, whereby NNS target sites can rescue defective termination from an upstream CPF-dependent site to prevent aberrant gene expression (Rondón *et al.* 2009).

Previous results from our laboratory showed that the ortholog of DDX5 in *S. cerevisiae*, Dbp2 (Xing *et al.* 2019), is required for efficient termination of RNAPII transcription, as loss of *DBP2* results in accumulation of a 3' extended *GAL10* mRNA and *GAL10*s long, noncoding RNA (Cloutier *et al.* 2012). Both Dbp2 and DDX5 exhibit highly efficient RNA duplex unwinding *in vitro*, consistent with a role in altering secondary structure (Ma *et al.* 2013; Xing *et al.* 2017). Furthermore, Dbp2 associates with actively transcribed chromatin in an RNA-dependent manner (Ma *et al.* 2016) and is required for pre-mRNA maturation and messenger RNP assembly, as evidenced by reduced binding of export factors Nab2, Yra1, and Mex67 in *dbp2*Δ cells (Ma *et al.* 2013). As efficient termination is necessary for proper assembly of mRNA export factors (Qu *et al.* 2009), these two steps are likely linked through a common, upstream biochemical step mediated by Dbp2.

Several recent studies combining classic chemical mapping techniques with next-generation sequencing have shown that mRNAs are largely less structured *in vivo* than *in vitro*, that secondary structure alteration by single nucleotide polymorphisms may underlie human diseases, and that these changes in structure, when overlapping regulatory sites, have the potential to provide a new level of gene regulation (Rouskin *et al.* 2013; Ding *et al.* 2014; Wan *et al.* 2014; Corley *et al.* 2015). In addition, early biochemical studies have shown that the formation of RNA secondary structures in polyadenylation signal elements inhibits 3' processing and termination factor binding of pre-mRNAs in mammalian cells (Chen and Wilusz 1998; Klasens *et al.* 1998). Genome-wide RNA structure mapping in *Arabidopsis* also revealed widespread formation of secondary structures in 3' untranslated regions (UTRs) (Ding *et al.* 2014). Nevertheless, a role for cellular RNA structure remodeling in transcriptional termination has not been established to date. Using RNA-sequencing (RNA-seq) techniques combined with classic yeast genetics and molecular biology, we provide evidence that the DEAD-box RNA helicase Dbp2 in *S. cerevisiae* remodels secondary structures within the 3' ends of a subset of mRNAs to promote efficient termination by the NNS complex. This reveals that

DEAD-box RNA helicases remodel mRNA structure *in vivo* and that structural alteration is essential for proper gene expression.

Materials and Methods

Yeast strains and plasmids

The strains and plasmids used in this study were constructed using classical yeast genetic and/or cloning methods and are listed in Table 1 and Table 2. To construct the termination reporter plasmids, a DNA fragment containing the *YOP1* 3' UTR sequence (chrXVI, 624203–624342) was generated from genomic DNA and inserted into the *XhoI* site of pGAC24 to make pGAC24-YOP1. The *YOP1* 3'UTR of the resulting plasmid was mutated by site-directed mutagenesis of the pGAC24-YOP1 construct. The primers for strain construction and cloning are listed in Table 3.

Procedures of individual-nucleotide resolution cross-linking and immunoprecipitation sequencing library construction

Purification of Dbp2-bound RNAs: Individual-nucleotide resolution cross-linking and immunoprecipitation sequencing (iCLIP-seq) was adapted from the FAST-iCLIP protocol (Flynn *et al.* 2015) with the following modifications. *DBP2-3XFLAG* strains were grown in yeast extract and peptone with 2% glucose at 30°, to an OD600 nm of 0.5~0.7. Then, 250 ml of cells were harvested and washed in ice-cold Tris-buffered saline with 2% glucose. After centrifugation, pellets were resuspended in 12 ml of ice-cold Tris-buffered saline with 2% glucose and irradiated on ice by Ultraviolet C (254 nm) at 180 mJ/cm² twice for 2.5 min, with a 45-sec rest. Cells were subsequently harvested, frozen in liquid nitrogen, and lysed cryogenically using a Retsch Oscillating Mill MM400. Lysed cells were resuspended in the CLIP Lysis Buffer as previously described (Flynn *et al.* 2015). The soluble fraction was digested with TURBO DNase (Thermo Fisher Scientific) and RNase I (Thermo Fisher Scientific) at 37° for 10 min. RNase-treated lysates were incubated with FLAG M2 (Sigma) antibody-conjugated Protein G Dynabeads (Thermo Fisher Scientific) at 4° for 2 hr and then washed as described (Flynn *et al.* 2015). The labeling and purification of immunoprecipitated RNAs were performed as described (Flynn *et al.* 2015).

Preparation of complementary DNAs: RNAs were mixed with barcoded 5' phosphorylated reverse transcription (RT) primers (Table 4) and heated at 65° for 2 min. The complementary DNAs (cDNAs) were generated using TGIRT-III enzyme according to the manufacturer's instruction. After RT, RNAs were degraded with RNase A and RNase H at 37° for 30 min. The RT products were circularized and purified as described (Flynn *et al.* 2015).

Library amplification and sequencing: Real-time PCR reactions were set up using 2× SYBR master mix (Applied Biosystems, Foster City, CA), P3 and P5_short primers. After

Table 1 Yeast strains

Strain	Genotype	Source/reference
Wild type (BY4741)	<i>MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0</i>	Open biosystems
<i>dbp2Δ</i>	<i>MATa dbp2::KanMx6 his3Δ1 leu2Δ0 met15Δ0 ura3Δ0</i>	Cloutier <i>et al.</i> (2012)
<i>DBP2-3XFLAG</i>	<i>MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0 DBP2-3XFLAG::KanMx</i>	Cloutier <i>et al.</i> (2012)
<i>cup1Δ</i>	<i>MATa cup1Δ0 his3Δ0 trp1Δ0 leu2Δ0 ura3Δ0 lys2Δ0 ade2Δ0</i>	Steinmetz and Brow (1996)
<i>cup1Δdbp2Δ</i>	<i>MATa dbp2::HygR cup1Δ0 his3Δ0 trp1Δ0 leu2Δ0 ura3Δ0 lys2Δ0 ade2Δ0</i>	This study
<i>NRD1-3XFLAG</i>	<i>MATa his3D1 leu2D0 met15D0 ura3D0 NRD1-3XFLAG-KanMx</i>	This study
<i>NRD1-3XFLAG dbp2Δ</i>	<i>MATa his3D1 leu2D0 met15D0 ura3D0 NRD1-3XFLAG-KanMx dbp2::HygR</i>	This study
<i>RPB3-3XFLAG</i>	<i>MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0 RPB3-3XFLAG-KanMx</i>	This study
<i>RPB3-3XFLAG dbp2Δ</i>	<i>MATa his3D1 leu2D0 met15D0 ura3D0 RPB3-3XFLAG-KanMx dbp2::HygR</i>	This study

Strains are all isogenic and correspond to the BY4741 or S288C background.

40 cycles of PCR, the products were separated by denaturing PAGE and stained with SYBR Gold (Thermo Fisher Scientific). DNAs larger than 75 nt were extracted from the gel. After elution, DNAs were ethanol-precipitated and resuspended in water. PCR products were further amplified by 10 cycles of PCR with P3 and P5 Solexa primers (Table 4). The amplified products above 140 nt were gel purified and resuspended in water. All the samples were analyzed by Agilent Bioanalyzer to determine the size distribution of the library. Sequencing was performed on the Illumina HiSeq 2500 platform for 2× 100 bp paired-end cycle run.

Processing of iCLIP-seq data: Solexa adaptors were removed using Trimmomatic (version 0.36) (Bolger *et al.* 2014). The FASTQ files were demultiplexed and PCR duplicates were removed based on the random barcodes incorporated in the RT primers using scripts provided by the Chang laboratory (<https://github.com/qczhang/icSHAPE/tree/master/scripts>; Flynn *et al.* 2016). Barcode sequences (13 nt) were trimmed from the 5' end of retained forward reads using cutadapt (version 1.9.1). The processed reads were mapped to the S288C reference genome (R64-2-1, from *Saccharomyces* Genome Database) using STAR (version 2.5.2b) (Dobin *et al.* 2013). Reads mapped to one or two sites were kept for the following analysis to include transcripts from duplicated genes, including ribosomal RNAs. The mapping rate of each replicate was >95%. The number of reads mapped to each annotated RNA transcript were counted using the summarizeOverlaps function in the Bioconductor package “GenomicAlignments” (version 1.8.4). For each of the three *Dbp2*-iCLIP replicates, reads that did not overlap with any read in the other two replicates were regarded as background and were discarded. Transcripts that had fewer than five counts in each library were filtered from the analysis. Only transcripts that were identified in all three replicates were regarded as binding targets. The nucleotide position before the start of each read was extracted from the forward reads as the cross-linking site in each replicate. Raw reads of *Dbp2*-iCLIP-seq were deposited to Gene Expression Omnibus (GEO) under the accession number GSE106479.

RNAPII chromatin immunoprecipitation sequencing

Chromatin immunoprecipitation (ChIP) was performed as described (Cloutier *et al.* 2013), using anti-FLAG M2 monoclonal

antibody (Sigma-Aldrich) for immunoprecipitation of endogenously 3XFLAG-tagged *Rpb3*. Sequencing libraries were prepared from the input and immunoprecipitated DNAs using NEXTflex ChIP-Seq Kit (BIOO Scientific, Austin, TX) according to the manufacturer's instructions. All the libraries were analyzed by Agilent Bioanalyzer to determine the size distribution. Sequencing was performed on the Illumina MiSeq platform for 2× 150 bp paired-end cycle run.

Processing of RNAPII ChIP-seq data

Adaptor sequences were removed using Trimmomatic (version 0.36) (Bolger *et al.* 2014). The processed reads were mapped to the S288C reference genome (R64-2-1, from *Saccharomyces* Genome Database) using Bowtie 2 (version 2.3.3.1) (Langmead and Salzberg 2012). Peaks of RNAPII were then determined by MACS2 (version 2.1.2) (Zhang *et al.* 2008). The fold enrichment of each peak over the background signal was calculated using the “bdgcmp” function in MACS2 and presented as normalized RNAPII occupancy. The overall RNAPII occupancy around termination sites of small nucleolar RNAs (snoRNAs) or mRNAs was analyzed by deepTools (version 3.1.1) (Ramírez *et al.* 2016).

Identification of *Dbp2* binding motifs in mRNA 3' UTRs

To identify the enriched sequence motifs in close proximity of *Dbp2* binding sites in mRNA 3' UTRs, each binding site was extended by 5 nt on each side (total 11 nt in length). All the binding regions derived from the three replicates were combined into one bed file and were analyzed by HOMER 4.7b (Heinz *et al.* 2010) using the findMotifsGenome.pl function.

Metagene analysis of *Dbp2* binding sites in mRNAs

The coordinates of the UTRs for each mRNA were derived from two published data sets (Nagalakshmi *et al.* 2008; Yassour *et al.* 2009). If the UTR coordinates for the same transcript were different in the two data sets, the coordinates that had the widest range were used. For mRNAs without UTR annotations, 135 nt (close to the median lengths of all yeast 5' and 3' UTRs) were added before and after the open reading frame (ORF) region as 5' and 3' UTRs. The metagene plots were generated using custom R scripts with the following steps. Each 5' UTR, ORF, and 3' UTR, was divided into 10, 80, and 10 bins, respectively. The number of bins is proportional to

Table 2 Plasmids used in this study

Plasmid	Source/reference
<i>p3XFLAG:KanMX</i>	Gelbart <i>et al.</i> (2001)
<i>pGAC24</i>	Lesser and Guthrie (1993)
<i>pGAC24-CYC1 terminator</i>	Steinmetz and Brow (2003)
<i>pGAC24-YOP1 3' UTR</i>	This study
<i>pGAC24-YOP1 3' UTR-mut1</i>	This study
<i>pGAC24-YOP1 3' UTR-mut1+mut2</i>	This study

Plasmids were used for endogenous, 3XFLAG tagging or as the termination reporter (Figure 6).

the median length of each region in analyzed mRNAs. The number of cross-linking counts in each bin was then normalized to the library size of each replicate and to the expression level to obtain reads per kilobase of transcript per million mapped reads (RPKM units) of each transcript based on the Structure-seq data [wild type, no dimethyl sulfate (DMS) treatment]. The normalized *Dbp2* occupancy in each bin was then divided by the total occupancy in all bins to calculate the distribution of *Dbp2*. The derived value for each bin in each transcript was averaged among the three replicates, and then averaged across all the transcripts analyzed. The distribution of *Dbp2* occupancy across a set of mRNAs was plotted as a line graph based on the calculated value in each bin.

Genomic localization of *Dbp2* and *Rna15* (or *Nrd1/Nab3*) binding sites

The RNA binding data of *Rna15*, *Nrd1*, and *Nab3* were downloaded from the GEO database (*Rna15*: GSM1442555, *Nrd1*: GSM791764, *Nab3*: GSM791767) (Creamer *et al.* 2011; Baejen *et al.* 2014). For each protein binding site, the distance between the position and the closest *Dbp2* binding site on the same transcript was calculated. For each nearest pair of *Rna15* or *Nrd1* or *Nab3* with *Dbp2*, the occupancy of the protein was normalized to the occupancy of *Dbp2*. The average occupancy of each protein at each distance was then calculated and plotted to demonstrate the pattern of the protein distribution near *Dbp2* binding sites.

ChIP

ChIP was performed as described (Cloutier *et al.* 2013). Quantitative PCR was performed using Bio-Rad CFX96 Real-time system using PrimeTime Assay primers purchased from IDT (Table 5). Quantitative PCR results from 3XFLAG ChIP experiments were normalized using RNAPII ChIP from the same lysates to account for differences in transcriptional activity. The significance of the difference was tested using two-sample *t*-test assuming unequal variances. Strains used for ChIP analysis are listed in Table 1.

Preparation of Structure-seq libraries

The Structure-seq method was adapted from prior studies (Ding *et al.* 2015). Briefly, 50 ml of yeast cells were grown in yeast extract and peptone with 2% glucose at 30° to an OD600 nm of 0.5~0.7. DMS was added to a final concentration

of 10 mM and incubated for 10 min at 30° with vigorous shaking. The reaction was quenched with 75 ml of 4.8 M 2-mercaptoethanol (BME) and 25 ml of isoamyl alcohol. Cells were harvested by centrifugation and cell pellets were washed again with 4.8 M BME, followed by Acetate/EDTA (AE) buffer (50 mM sodium acetate, pH 5.2, 10 mM EDTA). Polyadenylated RNAs were purified and reverse transcribed by SuperScript III (Thermo Fisher Scientific) as described (Ding *et al.* 2015). After extraction, the cDNAs were resolved by denaturing PAGE and visualized with SYBR Gold. cDNAs longer than 30 nt were isolated and eluted from the gel in Tris-EDTA-NaCl (TEN) buffer (Ding *et al.* 2015) at 4° overnight. Gel-purified cDNAs were ethanol-precipitated and resuspended in water. A single-strand DNA linker (Table 6) was ligated to the cDNA 3' ends using CircLigase I (Epicentre) as described (Ding *et al.* 2015). After ligation, cDNAs above 60 nt were gel purified as above and subjected to PCR amplification as described (Ding *et al.* 2015). PCR products were resolved by denaturing PAGE, and products above 180 bp were gel purified. After elution, the library was ethanol precipitated and resuspended in water. All the samples were analyzed by Agilent Bioanalyzer to determine the size distribution of the library. A total of 10 libraries, including three replicates of the wild type and two replicates of *dbp2Δ*, with or without DMS treatment, were sequenced on the Illumina HiSeq 2500 platform for 2× 100 bp paired-end cycle run.

Processing of Structure-seq data

Illumina adaptors were removed using Trimmomatic (version 0.36) (Bolger *et al.* 2014). The random trimers were trimmed from the 5' end of forward reads using cutadapt (version 1.9.1) (Martin 2011). The processed reads were mapped to the S288C reference genome (R64-2-1, from *Saccharomyces* Genome Database) using STAR (version 2.5.2b) and only uniquely mapped reads (MAPQ = 255 after STAR alignment) were kept for the subsequent analysis. The transcriptome annotation was as described in the "Metagene Analysis" section. Ignoring genes with sequence overlaps with at least one other gene on the same strand, we retained 4681 mRNAs and 77 snoRNAs for differential DMS reactivity analysis. Reads were grouped according to their source RNA, and the start and end indices from genomic alignment of each read were converted to the RNA coordinates with the start of 5' UTR or mature 5' end as position +1.

Calculation of DMS reactivities for each transcript

The number of reads starting 1 nt downstream of each nucleotide were tallied to get the detection counts for the nucleotide. In addition, the number of reads starting -1 to +1 of each nucleotide, and ending anywhere downstream of the nucleotide were tallied as its "local coverage." Detection rates were calculated as ratio of detection counts to local coverage for each nucleotide (Choudhary *et al.* 2016). Raw reactivities were calculated by combining the information from treated (+) and untreated (-) samples of the same biological

Table 3 Primers for strain construction and cloning

Primer	Sequence
<i>NRD1-3XFLAG</i> F	5'-GCTCAATTGAATTCCTTGTATGAATATGCTTAACCAACAGCAG CAGCAACAACAACAAAGCAGGAAACAAAAGCTGGAG-3'
<i>NRD1-3XFLAG</i> R	5'-TATATATAGAGGTAGATTAGTCTTTTATGACTATGAGCAAATA AAGGGTGGAGTAAGATCCTATAGGGCGAATTGGGT-3'
<i>YOP1-XhoI</i> -F	5'-ACCGCTCGAGGAGGCAACTCACCTATATCCTC-3'
<i>YOP1-XhoI</i> -R	5'-ATACCTCGAGTAAACGACTCCAAAATATTTTATATGTTAAG-3'
<i>YOP1-mut</i> F	5'-GTTGTTATATATCGGTAATCAAGATATAAAATATTTTGA-3'
<i>YOP1-mut</i> R	5'-CGACTCCAAAATATTTTATATCTTGAGTACCGATATATAAC-3'
<i>YOP1-mut2</i> F	5'-TTCTCTTTGTTATCTATTCTATTTCAGAGAATATAAGTACATATG-3'
<i>YOP1-mut2</i> R	5'-CAACTAATAACATATGTACTTATATTCTCTGAATAGAATAGATAAC-3'

Primers were used for endogenous, 3XFLAG tagging or for reporter construction.

replicate. For nucleotide i , the raw reactivity, R_i , for the nucleotide i was obtained as follows:

$$R_i = \max\left(\frac{r_i^+ - r_i^-}{1 - r_i^-}, 0\right),$$

where r_i^+ and r_i^- are detection rates at nucleotide i for treated and untreated samples, respectively (Aviran *et al.* 2011a,b). Reactivities for Gs and Us were masked as missing information. Next, raw reactivities in each replicate were normalized using a 2–8% approach (Sloma and Mathews 2015).

Differential analysis of DMS reactivity changes in the wild type and *dbp2Δ*

Transcripts with average local coverage ≥ 250 were considered in the following analysis. We used a recently developed a method, dStruct, to identify differentially reactive regions of lengths 21 nt or more and assess statistical significance of differential reactivities at the level of whole transcripts (Choudhary *et al.* 2019). dStruct compares inherent variation in biological replicates to *DBP2*-dependent variation at the transcript level. The former kind of variation was calculated using the three wild-type replicates and the latter was calculated using the two replicates of *dbp2Δ* strain and a wild-type replicate performed in an independent batch. Results with both the raw and the false discovery rate-adjusted P -values using the Benjamini-Hochberg procedure < 0.05 were considered significant.

Identification of 3' extended mRNAs in *dbp2Δ*

For this analysis, only transcripts without downstream overlapping genes in the sense direction and with over five read counts were considered (3428 mRNAs). For each mRNA, reads from untreated (no DMS) Structure-seq libraries mapped to the ORF or 150 nt downstream of the 3' UTR (referred as extended region below) were counted using the summarizeOverlaps function (IntersectionNotEmpty mode) in the Bioconductor package “GenomicAlignments” (version 1.8.4). Only transcripts meeting the following conditions were analyzed: the extended region does not overlap with the downstream transcript, with > 0.97 counts per million, and detected in at least two libraries. The differential expression of ORFs and extended regions between wild type and *dbp2Δ* was analyzed using the Bioconductor edgeR

package (version 3.14.0; Robinson *et al.* 2010). ORFs or extended regions with a false discovery rate ≤ 0.05 were considered differentially expressed in *dbp2Δ* compared to the wild type. For each transcript, if the reads of the ORF were not changed or down-regulated, but the extended region was upregulated in *dbp2Δ*, then it was regarded as a 3' extended mRNA in *dbp2Δ*. If the ORF was upregulated in *dbp2Δ*, the extended region in *dbp2Δ* should be upregulated and have a fold change twice as high as the fold change in the ORF, to be considered as a 3' extended mRNA in *dbp2Δ* compared to the wild type.

Analysis of the relationship between DMS reactivity change and *Dbp2* binding

Metagene plots in mRNAs were generated using custom R scripts. For each replicate, the 5' UTR, ORF, and 3' UTR, were divided into 10, 80, and 10 bins, respectively. DMS reactivities of As and Cs in each bin were tallied. The value in each bin of each transcript from biological replicates was averaged. For each bin, the values from all of the transcripts analyzed were averaged to represent the overall DMS reactivity. Values for all bins were then plotted as a line graph across the whole transcript. To visualize structural changes resulting from deletion of *DBP2*, reactivities in wild type were subtracted from reactivities in *dbp2Δ*. The metagene-based difference in reactivity was plotted as described above. For each nucleotide in each analyzed mRNA transcript, the distance between the nucleotide and the closest *Dbp2* binding site was calculated, and the reactivity value was averaged across wild type or *dbp2Δ* biological replicates. The average reactivity at each distance was then calculated and the *DBP2*-dependent changes were derived by subtracting reactivities in *dbp2Δ* by those in wild type. The relationship was demonstrated by plotting the change of reactivities over a range of distance to *Dbp2* binding sites.

Termination reporter assay

Yeast strains *cup1Δ* and *cup1Δdbp2Δ* cells were transformed with pGAC24, pGAC24-*CYC1* *TER*, and pGAC24-*YOP1* *TER*. Cells were grown in SC-LEU with 2% glucose, and spotted in fivefold serial dilutions on SC-LEU with 2% glucose plates containing 0 or 1.2 mM CuSO₄. Plates were incubated at 30°. For liquid growth assays, *cup1Δ* and *cup1Δdbp2Δ* cells containing pGAC24, pGAC24-*YOP1* *TER*, pGAC24-*YOP1* *ter-mut1*,

Table 4 Sequences used for iCLIP-seq

Primer	Sequence
Biotinylated 3' adaptor	5'-/5rApp/AGATCGGAAGAGCGTTTCAG/3Biotin/-3'
RT primer 1	5'-/5phos/DDDNN AAC NNNNAGATCGGAAGAGCG TCGTGAT/iSp18/GG ATCC/iSp18/TACTGAACCGC-3'
RT primer 2	5'-/5phos/DDDNN ACA NNNNAGATCGGAAGAGCG TCGTGAT/iSp18/GG ATCC/iSp18/TACTGAACCGC-3'
RT primer 3	5'-/5phos/DDDNN ATTG NNNNAGATCGGAAGAGCG TCGTGAT/iSp18/GG ATCC/iSp18/TACTGAACCGC-3'
P3_short	5'-CTGAACCGCTCTTCCGATCT-3'
P5_short	5'-ACACGACGCTCTTCCGATCT-3'
P3_Solexa	5'-CAAGCAGAAGACGGCATACGAGATCGGTCTC GGCATTCTGTGTAACCGCTCTTCCGATCT-3'
P5_Solexa	5'-AATGATACGGCGACCACCGAGATCTACACTCT TTCCTACACGACGCTCTTCCGATCT-3'

Bolded letters are barcodes for different libraries.

or pGAC24-*YOP1 ter-mut1+mut2* were incubated in SC-LEU with 2% glucose media containing 0 or 0.4 mM CuSO₄ at 30°, with shaking in a microplate reader (Biotek epoch2, Winooski, VT). OD600 nm values were taken every 30 min. The plot was produced with the average of three replicates.

Data availability

Strains and plasmids are available upon request. Supplemental tables in Excel format are uploaded to Figshare. Raw reads and processed data of iCLIP-seq, Structure-seq, and RNAPII ChIP-seq are deposited on GEO under the accession number GSE106479. Scripts for bioinformatics analysis are deposited on Github: https://github.com/karenlai0222/Dbp2_iCLIP_Structure. Supplemental Material, Figures S1–S5, and Tables S1–S5 are available at Figshare: <https://doi.org/10.25386/genetics.7791485>.

Results

Dbp2 associates predominantly with snoRNAs and mRNAs

Dbp2 has been shown to function in mRNA metabolism and alter gene expression (Barta and Iggo 1995; Cloutier *et al.* 2012; Ma *et al.* 2013; Beck *et al.* 2014; Wang *et al.* 2017). Consistently, in a very recent study, *Dbp2* was reported to associate with coding and noncoding RNAs, including RNA-PolII-transcribed snoRNAs and mRNAs (Tedeschi *et al.* 2018). To gain insight into the precise role of *Dbp2* on snoRNAs and mRNAs, we comprehensively identified all RNA targets bound by *Dbp2 in vivo*. In contrast to prior studies, which utilized a denaturing protocol in conjunction with iCLIP, we used a more standard native iCLIP-seq called FAST-iCLIP (Flynn *et al.* 2015) using an endogenous, C-terminally 3XFLAG-tagged *DBP2* strain. This method resulted in a significantly greater yield, with an average of ~1.2 million uniquely mapped reads per *Dbp2* replicate across three biological replicates.

We then determined binding sites from RT stops induced at the site of cross-linking (König *et al.* 2010) and the binding site counts for each RNA class, which were highly reproducible across replicates (Figure S1). This resulted in a distribution of uniquely mapped reads among RNA classes similar to what was derived from the uniquely mapped reads in the prior study (data not shown) (Tedeschi *et al.* 2018). Among

all unique mapped reads in *Dbp2*-iCLIP, equal proportions (37%) mapped to snoRNAs and mRNAs, and to other classes to a lesser extent (Figure 1A). The vast majority of transcribed snoRNAs and approximately one-third of protein-coding transcripts were isolated as *Dbp2*-bound targets. This is consistent with prior studies showing misregulation of both snoRNAs and protein-coding genes in the absence of *DBP2* (Cloutier *et al.* 2012; Beck *et al.* 2014; Ma *et al.* 2016).

Dbp2 promotes efficient transcription termination of snoRNAs

Dbp2 interacts physically with *Sen1* (Tedeschi *et al.* 2018), an RNA-DNA helicase involved in transcriptional termination of snoRNAs in conjunction with RNA-binding proteins *Nrd1* and *Nab3* (Arndt and Reines 2015). To determine if *Dbp2* plays a role in snoRNA termination, we performed RNAPII chromatin immunoprecipitation combined with high-throughput sequencing (ChIP-seq) for both wild-type and *dbp2Δ* cells expressing an endogenous, C-terminally 3XFLAG-tagged *Rpb3* subunit. Input and immunoprecipitation libraries were prepared from three biological replicates of both wild-type and *dbp2Δ* cells and resulted in an average of ~1.8 million reads per replicate. We then determined the distribution of RNAPII along genes using a package for ChIP-seq data analysis, MACS2 (Zhang *et al.* 2008), and asked if this occupancy is altered in *dbp2Δ* at snoRNA transcriptional termination sites (Schaughency *et al.* 2014). In wild-type cells, RNAPII shows an average occupancy profile across all monocistronic snoRNA genes that conforms to a bell-shaped curve with a peak near –200 bp, with respect to the termination site (0 bp) (Schaughency *et al.* 2014), that corresponds to the transcription start site (Figure 1B). In *dbp2Δ* cells, this peak is slightly reduced in height and shifted ~20 bp downstream. Moreover, the average RNAPII occupancy remains higher after the annotated termination site and shows a less steep reduction in *dbp2Δ* cells compared to wild type. This pattern is highly similar to the RNAPII ChIP profiles following nuclear depletion of either *Sen1* or *Nrd1* (Schaughency *et al.* 2014). It has been proposed that during termination of snoRNA transcription, *Nrd1* and *Nab3* recognize sequence motifs in the precursor RNA and recruit *Sen1*, leading to termination of RNAPII elongation (Arndt and Reines 2015). Inspection of individual snoRNA gene profiles also reveals RNAPII accumulation in the absence of *DBP2* after

Table 5 Oligonucleotides used for ChIP

Primer	Sequence
NRD1-5'-ChIP-F	5'-AGGGCAAGTGTTCGTCC-3'
NRD1-5'-ChIP-R	5'-AAACTCGTAAAGGAAGGAGC-3'
NRD1-5'-ChIP-probe	/56-FAM/CCTCCATGT/ZEN/TCCATTCTCGTTAGCA/3IABkFQ/
PCF11-5'-ChIP-F	5'-ATTGGATGAGAACTTGGCCT-3'
PCF11-5'-ChIP-R	5'-CTCCGAAAATTGCTCGTAATTC-3'
PCF11-5'-ChIP-probe	/56-FAM/TTTGAAT/ZEN/TCGTTTCTTCCCATGCCT/3IABkFQ/
YOP1-ORF-ChIP-F (1)	5'-GGTTTTATTGGTTCATCGTCATC-3'
YOP1-ORF-ChIP-R (1)	5'-CTTTCTTGTTAGTATAACTGCTTCGG-3'
YOP1-ORF-ChIP-probe (1)	/56-FAM/TGTGTCGGG/Zen/TCATTTGGCTGTTTG/3IABkFQ/
YOP1-3'UTR-ChIP-F (2)	5'-AGACAGAAAAGGATGAAATTAGAGC-3'
YOP1-3'UTR-ChIP-R (2)	5'-TTTGAGGATATAGGTGAGTTGCC-3'
YOP1-3'UTR-ChIP-probe (2)	/56-FAM/CTGTAGCCT/ZEN/TAGAAGCCTCATTGACGG/3IABkFQ/
YOP1-readthrough-ChIP-F (3)	5'-TTGGAGTCGTTTATGGTGTCC-3'
YOP1-readthrough-ChIP-R (3)	5'-TCTGTCGGCATATCAAGAG-3'
YOP1-readthrough-ChIP-probe (3)	/56-FAM/TCATCGTGT/Zen/TGTGTCGTGACGTGT/3IABkFQ/
RBG1-ORF-ChIP-F (1)	5'-CCAAAAGAACAAAGCCACATC-3'
RBG1-ORF-ChIP-R (1)	5'-GCCACATCAAACCAATACCAG-3'
RBG1-ORF-ChIP-probe (1)	/56-FAM/TGGGTCAAC/Zen/TGAAGGCCAAGCTG/3IABkFQ/
RBG1-readthrough-ChIP-F (3)	5'-CTACCAGGGCTCTTCAAAG-3'
RBG1-readthrough-ChIP-R (3)	5'-AGATGTGTCAATTTACCAGAAACTC-3'
RBG1-readthrough-ChIP-probe (3)	/56-FAM/CGACCTCGC/Zen/TTTGTGGTACCCAT/3IABkFQ/
YNL190W- ORF-ChIP-F (1)	5'-CTATTACTTAGCCACCGTTGC-3'
YNL190W- ORF-ChIP-R (1)	5'-AACTACCGTCCGATGACAAAG-3'
YNL190W- ORF-ChIP-probe (1)	/56-FAM/TGCCACTGC/Zen/TAAGAAGGGTGAACAT/3IABkFQ/
YNL190W-3'UTR-ChIP-F (2)	5'-GGAAGACCTAATTTCTCCGG-3'
YNL190W-3'UTR-ChIP-R (2)	5'-CACAAAGCACACGTAACACATAG-3'
YNL190W-3'UTR-ChIP-probe (2)	/56-FAM/TCAGGATGA/ZEN/AGGGGGTAGGGGG/3IABkFQ/
YNL190W-readthrough-ChIP-F (3)	5'-GAGAAAGATCATCAACTTTTAATCATG-3'
YNL190W-readthrough-ChIP-R	5'-AGCGTAGAAATAAGGAAAAGAGAAAG-3'
YNL190W-readthrough-ChIP-probe	/56-FAM/CGAATGTGG/ZEN/AAGGAAAATAGAGCGGAGC/3IABkFQ/

Oligos correspond to Figure 2 and Figure 4. Numbers in parentheses correspond to the primer sets used in Figure 4C & D.

the annotated mature 3' ends, across regions that correspond to previously identified binding sites for *Nrd1*, *Nab3*, and at the termination sites of precursor snoRNA transcripts (Figure 1C) (Jamonnak *et al.* 2011). Interestingly, and consistent with prior studies (Tedeschi *et al.* 2018), *Dbp2* iCLIP sites correspond to *Nrd1*, *Nab3*, and *Sen1* binding sites at some snoRNAs in the mature transcript region (SNR3, Figure 1C) but not others (SNR189 and SNR46). This binding pattern suggests that *Dbp2* also binds to the mature, fully processed snoRNAs.

Because snoRNA gene termination is coupled to 3' end processing, we then analyzed our *Dbp2*-iCLIP reads to detect the presence of unprocessed 3' extensions in the *Dbp2* RNA-binding data. This revealed sequences that mapped to 11 different snoRNA species that also contained 1–30 unprocessed nucleotides followed by short stretches of nontemplated As on the 3' ends (Figure 1D). These sequences likely correspond to processing intermediates of the Trf4-Air2-Mtr4 Polyadenylation (TRAMP) complex and nuclear exosome, which are coupled with NNS-dependent termination (Arndt and Reines 2015). Similar processing intermediates have been observed in PAR-CLIP data of NNS factors (Jamonnak *et al.* 2011) and upon analysis of snoRNAs in *rrp6Δ* mutants (Grzechnik and Kufel 2008). Taken together, this suggests that *Dbp2* functions in termination and maturation of snoRNAs.

***Dbp2* shares RNA-binding profiles with *Nrd1* and *Nab3* on protein-coding transcripts and promotes loading of *Nrd1* on gene 5' ends**

Loss of *DBP2* results in accumulation of a bicistronic *GAL10-GAL7* transcript (Cloutier *et al.* 2012), suggesting that *Dbp2* may also function in termination of protein-coding genes in addition to snoRNAs. To gain insight into the role of *Dbp2* binding on protein-coding genes, we then analyzed the binding pattern of *Dbp2* on protein-coding transcripts using our iCLIP data set. Metagenome analysis revealed *Dbp2* binding across the entire length of targeted mRNAs, with highest accumulations at the 5' end of the ORF and the 3'UTR (Figure 2A, red line). To determine if this mRNA-binding profile is similar to NNS components, we overlapped our meta-analysis of *Dbp2* binding with meta-analysis profiles of *Nrd1*, *Nab3*, and *Sen1* generated from previously published data sets (Creamer *et al.* 2011). This revealed a strikingly similar pattern of *Nrd1* and *Nab3* binding to *Dbp2*, with all three proteins exhibiting peaks at 5' and 3' ends of the genes (Figure 2A). Analyzing the distribution of *Nrd1* and *Nab3* binding sites relative to the *Dbp2* cross-link site on mRNAs revealed a broad peak of *Nab3* and *Nrd1* binding at or near *Dbp2* (within ± 20 nt) (Figure 2B), suggestive of overlapping binding for all three factors. Interestingly, we also observed a periodicity in *Nrd1* accumulation of ~ 25 nt within the

Table 6 Oligonucleotides and PCR primers for Structure-seq

Primer	Sequence
Random-hex RT-primer	5'-CAGACGTGTGCTCTCCGATCTNNNNNN-3'
Single-strand DNA linker	5'-/5Phos/NNNAGATCGGAAGAGCGTCGTGTAG/3SpC3/-3'
Illumina TruSeq forward primer	5'-AATGATACGGCACCACCGAGATCTACA CTCTTCCCTACACGACGCTCTTCCGATCT-3'
Illumina TruSeq reverse primer_index 1	5'-CAAGCAGAAGACGGCATACGAGAT TGGT CAG TGACTGGAGTTCAGACGTGTGCTCTTCCGATCT-3'
Illumina TruSeq reverse primer_index 2	5'-CAAGCAGAAGACGGCATACGAGAT GATCT GG TGACTGGAGTTCAGACGTGTGCTCTTCCGATCT-3'
Illumina TruSeq reverse primer_index 3	5'-CAAGCAGAAGACGGCATACGAGAT CTGT ATG TGACTGGAGTTCAGACGTGTGCTCTTCCGATCT-3'

Bolded letters are barcodes for multiple libraries.

160 nt window, with decreased levels of *Nrd1* accumulation from -55 to +55 with respect to the *Dbp2* cross-linking site (Figure 2B). This pattern was not observed for *Nab3*. We then analyzed *Dbp2* iCLIP reads for the presence of enriched sequence motifs, using HOMER (Heinz *et al.* 2010). Despite the fact that the vast majority of DEAD-box RNA helicases exhibit sequence-independent RNA binding *in vitro* (Gilman *et al.* 2017), we found three significantly enriched motifs in *Dbp2*-bound 3' UTRs (Figure 2C). The first motif with the highest enrichment (Figure 2C, motif 1) is strikingly similar to the UGUA *Nrd1* RNA-binding motif (Figure 2C, top right; Creamer *et al.* 2011). The second most-enriched motif is U/C rich (Figure 2C, motif 2) and somewhat similar to the *Nab3* sequence motif (Figure 2C, bottom right; Creamer *et al.* 2011). Motif 2 is also similar to the U/C-rich motif of *Rna15* (UUUUCUU; Baejen *et al.* 2014), a component of the CPF complex that plays the predominant role in termination of protein-coding genes (Mischo and Proudfoot 2013). However, no global similarity was seen between the meta-analysis profiles of *Rna15* and *Dbp2* (Figure S2A), nor do the two proteins share common occupancy sites when averaged across all *Dbp2*-bound mRNAs (Figure S2B).

The common binding profiles of *Dbp2* with *Nrd1* and *Nab3* suggest that this enzyme may function in concert with the NNS complex on protein-coding genes in addition to snoRNA genes. Association of the NNS complex with the 5' ends of protein-coding genes has been associated with transcription attenuation (Arigo *et al.* 2006; Kuehner and Brow 2008; Kim and Levin 2011). Interestingly, comparison of individual RNA binding tracks on known NNS-targeted protein-coding transcripts *NRD1* and *PCF11* (Creamer *et al.* 2011) revealed strikingly similar binding patterns of *Nrd1*, *Nab3*, and *Dbp2* at the 5' ends of both genes (Figure 2D). We then asked if *DBP2* is necessary for *Nrd1* binding to transcribed genes by conducting ChIP of a 3XFLAG-tagged *Nrd1* protein in wild-type and *dbp2Δ* cells. This revealed an ~50% decrease in *Nrd1* association at the 5' end of both *NRD1* and *PCF11* genes in the absence of *DBP2* (Figure 2E). A Western blot shows that decreased *Nrd1* binding in *dbp2Δ* cells is not due to decreased *Nrd1* protein abundance (Figure 2F). Taken together, these results suggest that *Dbp2* may function in concert with the NNS complex at targeted protein-coding genes, possibly by promoting binding of *Nrd1* to nascent RNA.

***DBP2* facilitates proper transcription termination of a subset of mRNAs**

Next, we asked if RNAPII accumulates downstream of the annotated termination site in protein-coding genes in *dbp2Δ*

cells similar to snoRNA genes above. Importantly, this analysis was restricted to exclude overlapping genes encoded in tandem to minimize read assignment ambiguity. We also performed RNA-seq of poly(A)-selected RNAs from wild-type and *dbp2Δ* cells to identify gene products with putative termination defects as evidenced by 3' extensions. Protein-coding transcripts with 3' extensions were identified from the RNA-seq by the presence of reads mapping greater than 150 bp downstream of the annotated 3'UTR (Nagalakshmi *et al.* 2008; Yassour *et al.* 2009). This arbitrary definition was selected as 90% of RNA-PII termination events occur within a 50 bp window after the polyadenylation site (Baejen *et al.* 2017).

Whereas RNAPII exhibited a broad peak of maximal occupancy centered at 30 bp downstream of polyadenylation site (annotated as 0 bp) in wild-type cells, loss of *DBP2* resulted in a slight shift downstream, with the highest peak central at 60 bp downstream (Figure 3A). We also observed an unexpected reduction of occupancy 200 bp and further to either side of the polyadenylation site in *dbp2Δ* cells.

Next, we analyzed our RNA-seq data for the presence of 3' extended mRNAs. This yielded 824 protein-coding transcripts, corresponding to ~14% protein-coding genes, with 3' extensions in *dbp2Δ* cells (Table S1). This number is likely an underrepresentation due to the criteria outlined above for identification of 3' extended gene products. A Fisher's exact test revealed a statistically significant overlap between mRNAs bound by *Dbp2* at their 3' ends and those 3' extended isoforms, suggesting a correlation between *Dbp2* binding and suppression of 3' extension (Figure 3B and Table S2). The fact that not all aberrant transcripts in *dbp2Δ* cells are represented in our *Dbp2* iCLIP is not surprising because iCLIP isolation depends on both RNA binding and RNA sequence context (Sugimoto *et al.* 2012).

By calculating the percentage of mRNAs with 3' extensions vs. total mRNAs for a given gene product, using the formula read counts that map 150 bp downstream of polyadenylation site/read counts mapped to the ORF, we found that the percentage of 3' extended mRNAs ranged from 0.0 to 18.0% in the wild type (median, 2.3%) and from 0.1 to 55.0% in *dbp2Δ* (median 8.4%) (Figure 3, C and D). The presence of 3' extended products in wild-type cells is consistent with the recently described, heterogeneous nature of termination site choice in *S. cerevisiae* (Moqtaderi *et al.* 2018). Loss of *DBP2* appears to increase the proportion of 3' extended products per gene, as illustrated most clearly by the box plot distribution (Figure 3D). This increased abundance could be

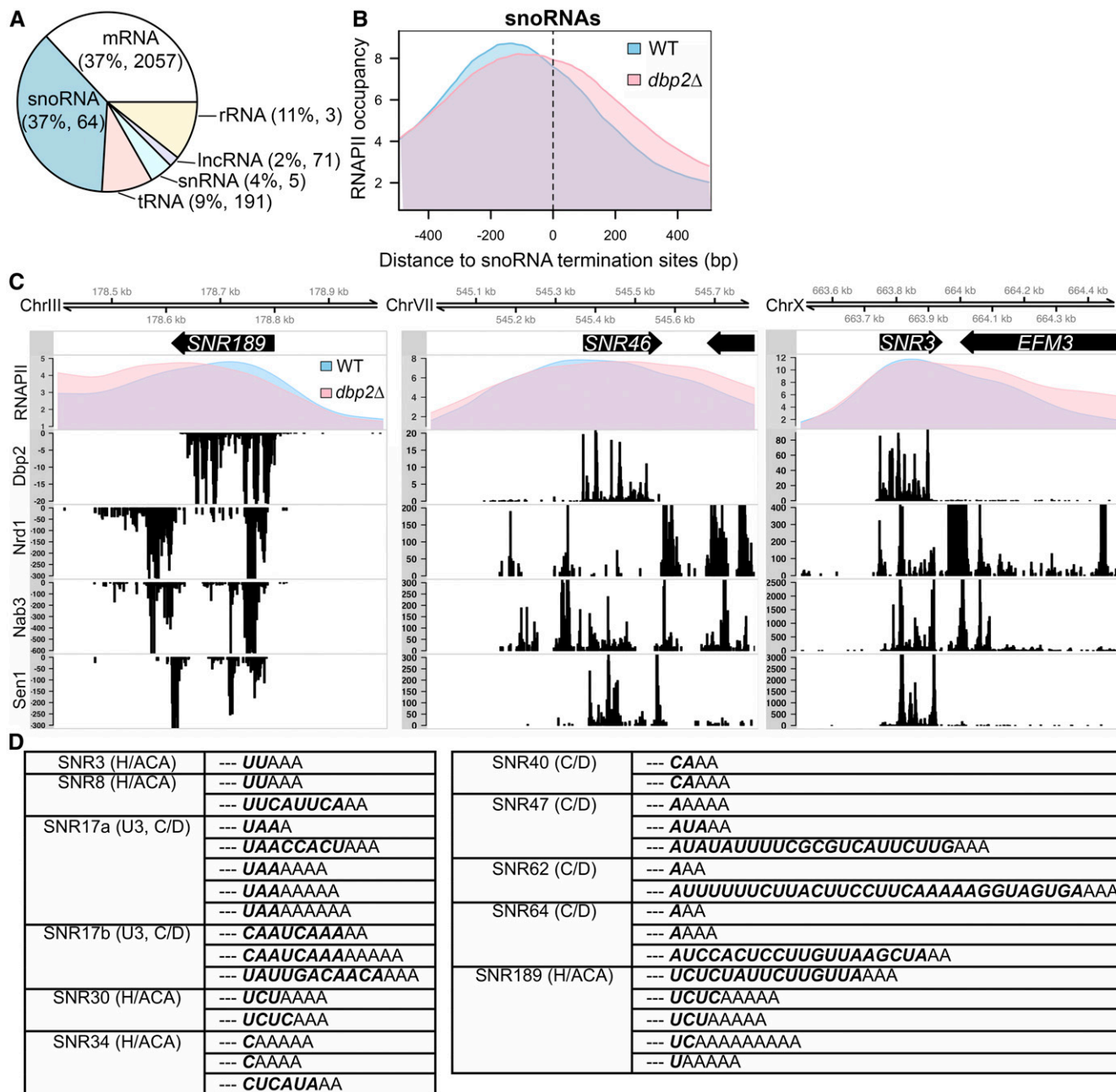


Figure 1 Dbp2 promotes transcription termination and processing at snoRNA genes. (A) Dbp2 binds both coding and noncoding RNAPII transcripts. The composition of RNA classes identified by native iCLIP-seq of strains harboring C-terminally 3XFLAG-tagged *DBP2* at the endogenous locus. Dbp2 binding sites across all RNA classes were derived from uniquely mapped reads in each replicate. The percentage of the total Dbp2-bound RNAs and number of unique transcripts in each class (shown in parentheses) were calculated and averaged from the three biological replicates. Note that the number of ribosomal RNA transcripts in Dbp2 iCLIP-seq is under-represented due to ribosomal DNA repeats. (B) RNAPII ChIP-seq reveals snoRNA gene termination defects in *dbp2Δ* cells. Normalized RNAPII occupancy across termination sites of monocistronic snoRNA genes from RNAPII ChIP-seq in the wild type (blue) and *dbp2Δ* (pink). snoRNA termination sites were obtained from Schaughency *et al.* (2014). (C) Comparison of RNAPII occupancy with RNA-binding sites of Dbp2 and Nrd1, Nab3, and Sen1 at individual snoRNA gene loci. Normalized RNAPII profiles across select snoRNA genes from RNAPII ChIP-seq in wild type and *dbp2Δ* (top panel). The binding patterns of Nrd1, Nab3, and Sen1 were reproduced from previously published data (Creamer *et al.* 2011). Note that the difference in scales is due to the difference in how data were generated and does not represent the absolute quantity of binding. (D) Unprocessed snoRNA sequences in Dbp2 iCLIP-seq reads. Table of all Dbp2-bound snoRNA sequences that show unprocessed nucleotides (bold italics) and nontemplated As at 3' ends of the mature snoRNA (dashed lines). The class of snoRNA (Box C/D or Box H/ACA) are listed in parentheses next to the name. lncRNA, long noncoding RNA; rRNA, ribosomal RNA; tRNA, transfer RNA; WT, wild type.

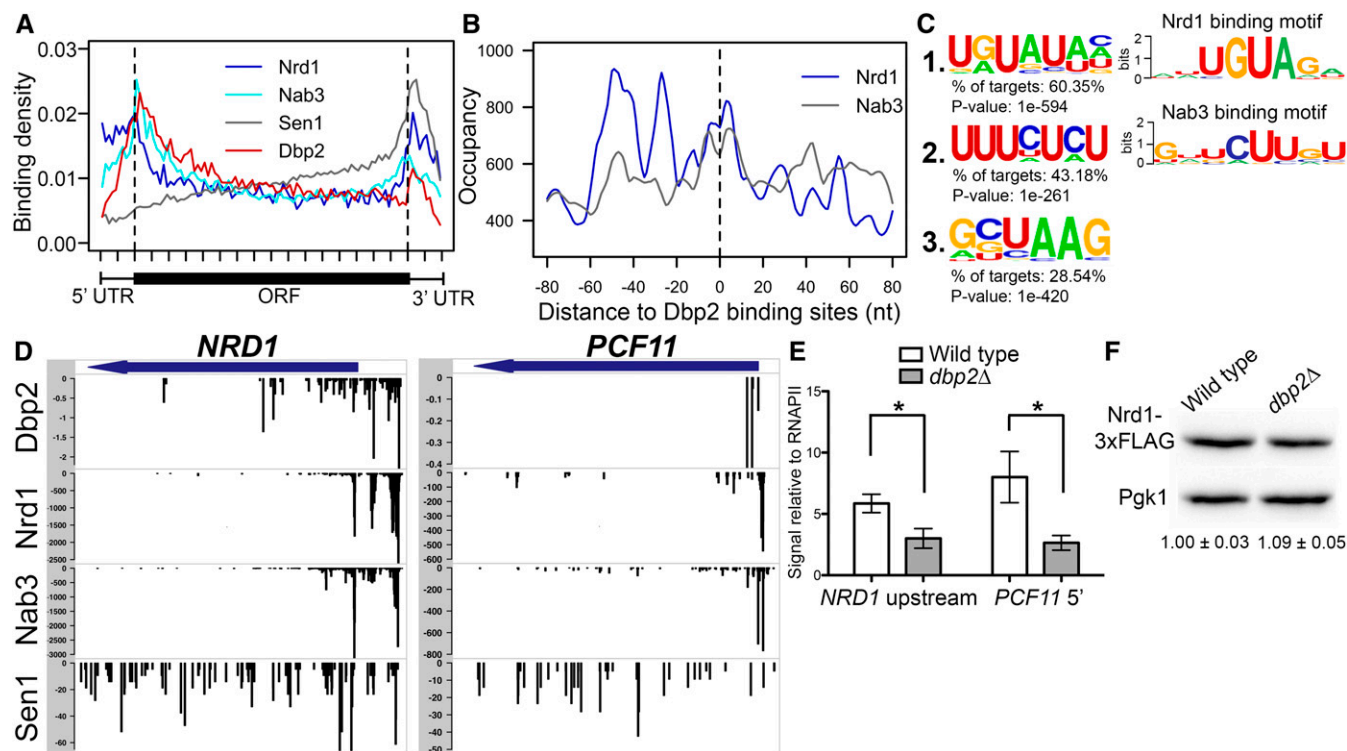


Figure 2 Dbp2 binding in protein-coding transcripts correlates with Nrd1 and Nab3 binding sites and shares similar RNA sequence motifs. (A) Dbp2, Nrd1, Nab3, and Sen1 meta-analysis reveals similar distribution patterns on protein-coding genes. Meta-analysis of Nrd1 (blue), Nab3 (cyan), Sen1 (gray) (Creamer *et al.* 2011), and Dbp2 (red) binding sites across all commonly bound mRNAs. Dashed vertical lines mark boundaries between 5' and 3' UTRs and ORFs. (B) Nrd1 and Nab3 show enriched occupancy at Dbp2 binding sites across protein-coding transcripts. The distance between Dbp2 and Nrd1 (blue) or Nab3 (gray) binding sites in all commonly bound mRNAs. (C) Enriched sequence motifs bound by Dbp2 in protein-coding transcripts and comparison to known Nrd1 and Nab3 binding sites. Motifs were identified from Dbp2 iCLIP-seq using HOMER (Heinz *et al.* 2010). Web logos of sequence motifs bound by Nrd1 and Nab3 were reproduced from published reports (Creamer *et al.* 2011) (right panel). (D) Comparison of Dbp2 binding with Nrd1, Nab3, and Sen1 binding at previously reported protein-coding targets of the NNS complex. Meta-analysis of Nrd1, Nab3, and Sen1 RNA-binding data sets from Creamer *et al.* (2011) in conjunction with *DBP2*. (E) Loss of *DBP2* reduces recruitment of Nrd1 to protein-coding genes. ChIP quantitative PCR of endogenously, 3XFLAG-tagged *NRD1* strain at 5' ends of *NRD1* (left) and *PCF11* (right). Signals are shown relative to input and RNAPII ChIP levels, the latter of which accounts for changes in abundance due to transcriptional activity. * indicates two-sided *P*-value < 0.05. (F) Reduced Nrd1 association is not a result of decreased Nrd1-3XFLAG levels in *dbp2Δ*. Representative Western blot of Nrd1-3XFLAG and Pgk1 in wild-type and *dbp2Δ* strains. Numbers below the blot correspond to the relative level of Nrd1-3XFLAG in *dbp2Δ* cells compared to wild type normalized to a Pgk1 loading control across three independent biological replicates. The signal of the wild type is set to one and SD are shown.

due to read-through of a predominant termination site, increased stability of the 3' extended mRNAs in the absence of *dbp2Δ* (as recently described for mRNA 3' isoforms; Moqtaderi *et al.* 2018), or a combination of both. To test the possibility of long 3' isoform accumulation in *dbp2Δ*, we compared the end positions in our analysis for read-through with the most 3' polyadenylation sites (Pelechano *et al.* 2013). We found that the majority (~77%) of the identified, extended transcripts had reads extended past the most 3' polyadenylation site, suggestive of read-through products, whereas the other extended transcripts can be longer isoforms accumulated in *dbp2Δ*. Future study with 3' end-specific sequencing would be needed to determine the precise *DBP2*-dependent change in polyadenylation site usage. We then analyzed RNAPII occupancy for the 824 genes with 3' extended mRNAs in *dbp2Δ* cells. This revealed accumulation of RNAPII in *dbp2Δ* across a 400-bp window centered at the polyadenylation site with the most striking accumulation 0–150 bp downstream (Figure 3E). This accumulation is centered 50 bp downstream in *dbp2Δ* cells as

compared to wild type, and is reminiscent of nuclear depletion of *Rat1* or *Ysh1*, nucleases required for efficient RNAPII termination (Schaughency *et al.* 2014; Baejen *et al.* 2017). In addition to genes with delayed RNAPII pausing or termination, we did observe that in a small subset of genes (~250), the most 3' peak summit of RNAPII in *dbp2Δ* is slightly upstream compared to the peak in the wild type. This may contribute to the reduction of RNAPII signal at >200 bp downstream of polyadenylation sites in *dbp2Δ* observed in Figure 3A. However, the resolution of this analysis is not sufficient to determine the exact mechanism contributing to the shift of peak toward upstream and will need further characterization in the future.

Dbp2 facilitates loading of termination factors at 3' ends of protein-coding genes

To gain insight into the mechanism for *Dbp2*-dependent termination of protein-coding transcripts, we then inspected the RNAPII occupancy of three genes that produce 3' extended mRNAs in *dbp2Δ* that are also bound by *Dbp2* in their 3'

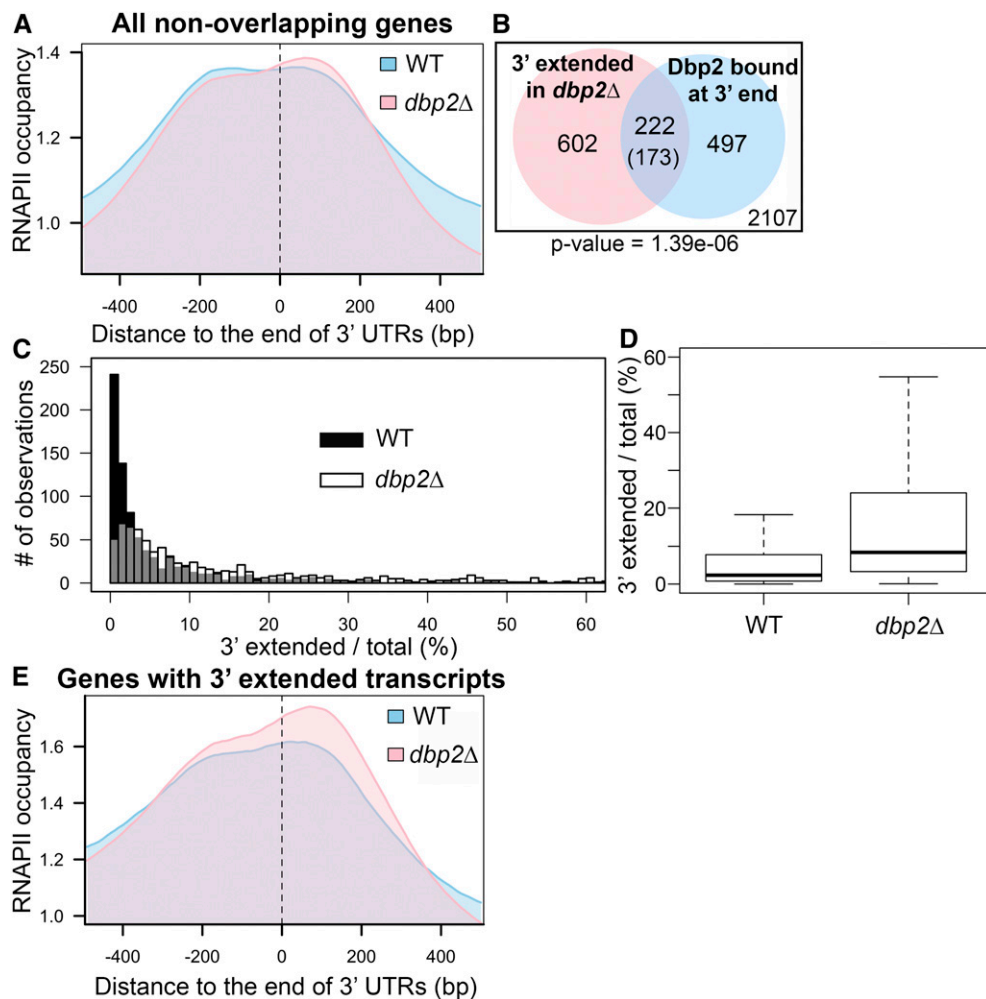


Figure 3 Loss of *DBP2* causes termination defects at a subset of protein-coding genes. (A) Meta-analysis of normalized RNAPII occupancy at the 3' ends of all protein-coding genes in wild-type and *dbp2Δ* cells. Only transcripts without overlapping genes within 150 bp downstream in the sense direction were considered in the analysis. The 0 position and dotted line marks the location of the polyadenylation site (Nagalakshmi *et al.* 2008; Yassour *et al.* 2009). (B) Venn diagram showing the intersection between 3' extended transcripts in *dbp2Δ* and mRNAs bound by Dbp2 at the 3' end (50 nt of ORF 3' end through the 3' UTR). Aberrant transcripts were identified following RNA-seq of wild-type and *dbp2Δ* strains by an overaccumulation of reads mapping within 150 nt downstream of the 3' UTR, after accounting for different expression levels between wild-type and *dbp2Δ* strains. The number in the parentheses is the expected value of intersection if the two groups of transcripts have no significant correlation. The number within the white square corresponds to genes that lack both detectible, putative read-through products in *dbp2Δ* and Dbp2 binding on mRNA 3' ends. The *P*-value derived from a one-sided Fisher's exact test is shown. (C) Loss of *DBP2* results in differential accumulation of 3' extended products for individual genes. Histograms illustrating the percentage of total transcripts corresponding to 3' extended products in *dbp2Δ* or wild-type cells as determined by read counts in the extended region over the counts in the ORF in wild-type and *dbp2Δ* cells multiplied by 100. Gray coloring denotes overlap between the histogram of wild type and *dbp2Δ*. (D) Loss of *DBP2* results in a broader distribution of 3' extended transcripts as compared to wild-type cells. A box plot showing the quartile distribution of the ratio of extended vs. total transcript in wild-type and *dbp2Δ* cells. The distributions of the two strains is significantly different, as tested by the two sample Kolmogorov–Smirnov test (*P*-value <2.2e–16). (E) Protein-coding genes that produce 3' extended transcripts show RNAPII accumulation in *dbp2Δ* cells at downstream of annotated 3' UTRs. Meta-analysis of RNAPII occupancy across the 824 genes with 3' extended transcripts in *dbp2Δ* RNA-seq. WT, wild type.

individual genes. Histograms illustrating the percentage of total transcripts corresponding to 3' extended products in *dbp2Δ* or wild-type cells as determined by read counts in the extended region over the counts in the ORF in wild-type and *dbp2Δ* cells multiplied by 100. Gray coloring denotes overlap between the histogram of wild type and *dbp2Δ*. (D) Loss of *DBP2* results in a broader distribution of 3' extended transcripts as compared to wild-type cells. A box plot showing the quartile distribution of the ratio of extended vs. total transcript in wild-type and *dbp2Δ* cells. The distributions of the two strains is significantly different, as tested by the two sample Kolmogorov–Smirnov test (*P*-value <2.2e–16). (E) Protein-coding genes that produce 3' extended transcripts show RNAPII accumulation in *dbp2Δ* cells at downstream of annotated 3' UTRs. Meta-analysis of RNAPII occupancy across the 824 genes with 3' extended transcripts in *dbp2Δ* RNA-seq. WT, wild type.

UTRs in wild-type cells. These three genes are *YOP1*, an intron-containing, shorter gene with an average length 3'UTR (675 bp ORF, 140 bp 3'UTR); *RBG1*, an intronless, longer gene with an average 3'UTR (1100 bp ORF, 167 bp 3'UTR); and *YNL190W*, a shorter gene with a long 3' UTR (614 bp ORF, 543 bp 3'UTR). Note that the average gene length and 3'UTR for all protein-coding genes are 1343 and 188 bp, respectively. All three profiles showed accumulation of RNAPII that extends further downstream in *dbp2Δ* than in wild-type cells, indicative of defective termination (Figure 4A). Interestingly, we also observed a decrease in RNAPII accumulation at the 5' ends of all three genes, which likely explains the decreased accumulation toward the 5' end of genes in our combined RNAPII profile (Figure 3A). A similar decrease was also noted for *sen1* mutants on short, protein-coding genes and was speculated to be due to decreased initiation (Steinmetz *et al.* 2006). However, we observed no difference

in the abundance between wild-type and *dbp2Δ* cells of transcripts of these three genes. Moreover, *YNL190W* shows a change in the overall pattern of RNAPII accumulation. This suggests that *Dbp2* may also function in the rate of RNAPII progression along a given gene.

Previously, the NNS complex was shown to bind the 3' UTRs of a subset of mRNAs (Creamer *et al.* 2011; Webb *et al.* 2014); however, the precise functional role of this complex in 3'UTRs was not determined. Comparison of the RNA-binding profiles of *Dbp2*, *Nrd1*, *Nab3*, and *Sen1* revealed that *Dbp2* binding in the 3'UTRs of these transcripts overlaps with the binding of one or more factors in the NNS complex (Figure 4, D–F). Since *DBP2* promotes efficient recruitment of *Nrd1* to the 5' ends of NNS-targeted protein-coding genes (Figure 2E), we then asked if this was also the case at gene 3' ends by conducting CHIP of *Nrd1*-3XFLAG at the 5' end (Figure 4C, 1), 3'UTR (Figure 4C, 2), and downstream of the

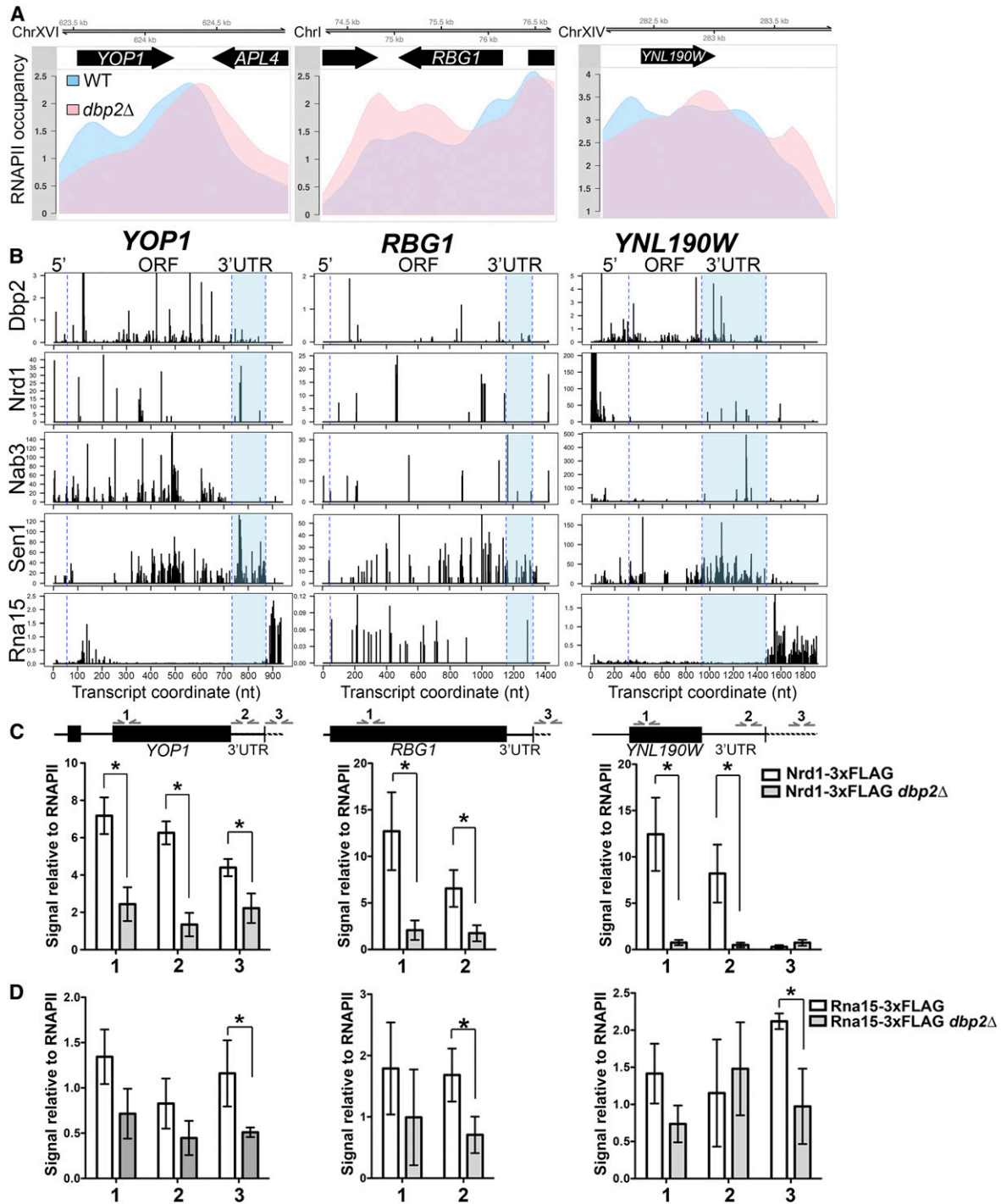


Figure 4 *DBP2*-dependent termination of protein-coding genes correlates with efficient *DBP2*-dependent recruitment of Nrd1 within the gene ORF and 3'UTR. (A) RNAPII occupancy at three selected protein-coding genes shows *DBP2*-dependent termination. Normalized RNAPII occupancy at the three termination-defective protein-coding genes in the wild type (blue) and *dbp2Δ* (pink). (B) Comparison of Dbp2, Nrd1, Nab3, Sen1, and Rna15 RNA-binding sites at individual genes shows similar distributions between Dbp2 and members of the NNS complex. The published binding sites for Nrd1, Nab3, Sen1, and Rna15 were obtained from the GEO sessions GSE31764 (Creamer *et al.* 2011) and GSE59676 (Baejen *et al.* 2014). The blue dashed lines correspond to the boundaries of the coding region and the end of 3' UTR and are aligned to the schematic representation in C. (C) Loss of *DBP2* reduces recruitment of Nrd1 to protein-coding genes. ChIP quantitative PCR of endogenously, 3XFLAG-tagged *NRD1* strain at 5' ends (1), 3'UTRs (2), and downstream of polyadenylation sites (3) in *YOP1*, *RBG1*, and *YNL190W*. Signals are shown relative to input and RNAPII ChIP levels, the latter of which accounts for changes in abundance due to transcriptional activity. * indicates a two-sided *P*-value < 0.05. Note that the 3'UTR (2) of *RBG1* was not assayed due to a technical limitation of quantitative PCR primer binding sites. (D) Rna15 shows reduced chromatin binding in *dbp2Δ* cells but only downstream of the polyadenylation site. ChIP quantitative PCR was conducted as in C, but with an endogenously 3XFLAG-tagged *RNA15* strain. WT, wild type. * indicates a two-sided *P*-value < 0.05.

polyadenylation site (Figure 4C, 3). Note that we were unable to assay 3'UTR (Figure 4C, 2) binding to *RBG1* due to technical reasons (lack of efficient primer-binding sites for quantitative PCR because of AT-richness). Similar to the requirement for *DBP2* at the 5' ends of known NNS targeted genes, we also observed reduced *Nrd1* association at both the 5' and 3' ends of our three candidate genes in the absence of *DBP2*, regardless of the presence of an intron or length of the 3'UTR (Figure 4C). Interestingly, loss of *DBP2* also decreased the association of a 3XFLAG-tagged *Rna15*, but this decrease was only statistically significant downstream of the polyadenylation site, in line with the primary location of RNA binding by this protein after the 3'UTR (Baejen *et al.* 2014) (Figure 4B, bottom panels). This suggests that *Dbp2* may also promote efficient association of *Rna15* on protein-coding genes, despite the distinct RNA-binding patterns of these two proteins (Figure 4B and Figure S2, A and B). This may be due to an as-of-yet unidentified role for *Dbp2* in CPF-dependent termination or to an indirect effect of reduced *Nrd1* binding at sites within the 3'UTR.

***Dbp2* modulates RNA/RNP structures in vivo**

Given that *Dbp2* is an efficient helicase *in vitro* (Ma *et al.* 2013), and DEAD-box proteins have been shown to remodel RNA structures *in vivo* (Guenther *et al.* 2018), we hypothesized that *Dbp2* might remodel RNA structures and/or RNPs of RNAPII transcripts to promote termination and subsequent mRNA metabolism steps. This is likely to be true for NNS binding as both *Nrd1* and *Nab3* recognize RNA motifs in the context of single-strand RNA (Singh *et al.* 2007; Arndt and Reines 2015). Moreover, *DBP2* is required for association of mRNA export factors *Yra1* and *Mex67* (Ma *et al.* 2013), which act after termination. Sequestration of polyadenylation signal sequences in secondary structures can impede CPF-dependent termination (Chen and Wilusz 1998; Klasens *et al.* 1998). This is likely to be true for NNS binding as both *Nrd1* and *Nab3* recognize RNA motifs in the context of single-strand RNA (Singh *et al.* 2007; Arndt and Reines 2015).

To test this, we conducted Structure-seq of total, poly(A)-selected RNA in both wild-type and *dbp2Δ* cells. Structure-seq uses the cell-permeable compound DMS to preferentially methylate adenosine and cytosine residues that are not involved in Watson-Crick base pairing (Peattie and Gilbert 1980) or not protected by proteins, combined with next-generation sequencing to observe RNA structure and compositional changes genome-wide (Ding *et al.* 2015). Methylated nucleotides were detected as RT “stops,” after library construction and RNA-seq, and then translated into nucleotide-level reactivities to DMS and a prediction of increased or decreased protection between wild type and *dbp2Δ* (see *Materials and Methods*). Total poly(A)⁺ RNA was used for Structure-seq to enable direct comparison with 3' extended transcripts in our RNA-seq and because there is currently no method available to map secondary structures in nascent RNA transcripts in eukaryotes. Consistent with prior studies, we observed RT-stops indicative of DMS-dependent methylation predominantly at As and, to a lesser extent, at Cs in DMS-treated samples compared to untreated samples (Figure S3) (Rouskin *et al.* 2013; Ding *et al.* 2014). We then analyzed differential DMS reactivity in wild type

and *dbp2Δ* for each transcript using a newly developed method, dStruct, for Structure-seq analysis (Choudhary *et al.* 2019). It identifies transcripts and regions that manifest significantly more variation between strains than among samples of the same strain.

Our analysis identified 612 protein-coding transcripts with significant, *DBP2*-dependent changes in DMS reactivity (*P*-value < 0.05, false discovery rate < 0.05, Table S4). Importantly, these transcripts were significantly enriched in *Dbp2*-bound transcripts identified in our iCLIP-seq (*P*-value < 2.2e-16, Table S5), suggesting that these changes are directly due to *Dbp2* activity. A metagene analysis of differential reactivity of these 612 transcripts revealed a lower overall DMS reactivity indicative of increased nucleotide protection in *dbp2Δ* as compared to wild type, centered at the *Dbp2* binding site (Figure 5A, left). This trend was also observed when the analysis was restricted to 3' UTRs (Figure 5B, right). Interestingly, the *DBP2*-dependent decrease in DMS accessibility spans ~60–70 nt in length around the *Dbp2* binding site, suggestive of a broad region of *Dbp2*-dependent RNA/RNP structural remodeling. This pattern is unlikely to be due to *Dbp2* binding alone as DEAD-box proteins have a binding-site of ~6 nt along the sugar-phosphate backbone (Andersen *et al.* 2006). Moreover, one would expect decreased reactivity in wild-type cells rather than *dbp2Δ* cells, if this pattern was due to *Dbp2* binding. Instead, this is more consistent with a region of increased RNA structures and/or RNP composition.

Next, we inspected the reactivity profiles of 3' UTRs of *YOP1*, *RBG1*, and *YNL190W* (Figure 5, B–D). Although both increased and decreased reactivity was observed across all three selected 3'UTRs (Figure 5, B–D, top and middle panels), nucleotides exhibiting decreased reactivity in the absence of *DBP2* gave the largest difference (Figure 5, B–D, middle panels). Interestingly, these sites appear to correspond to those that exhibit the least protection (most reactive) in wild-type cells in these three representative transcripts. This indicates that although the overall 3'UTR structure, which can include both base pairing and protein binding, is changed in the absence of *DBP2*, there may be a tendency for regions that are typically unprotected to be protected. Moreover, *Dbp2* binding sites are found within close proximity of these regions (Figure 5B). This is consistent with RNA/RNP structural remodeling of 3'UTRs by *Dbp2*. Although we were able to analyze some snoRNAs for *DBP2*-dependent structural changes (Figure S4), we were unable to analyze regions of termination/processing due to insufficient coverage for dStruct analysis in preprocessed precursor snoRNAs. Regardless, our results above, and the known enzymatic role of *Dbp2* as a DEAD-box RNA helicase, suggest that this enzyme may remodel RNA structure during termination for multiple RNAPII transcripts.

***DBP2*-dependent RNA structure correlates with *DBP2*-dependent termination**

To understand the relationship between binding and structural remodeling of 3'UTRs of protein-coding transcripts with

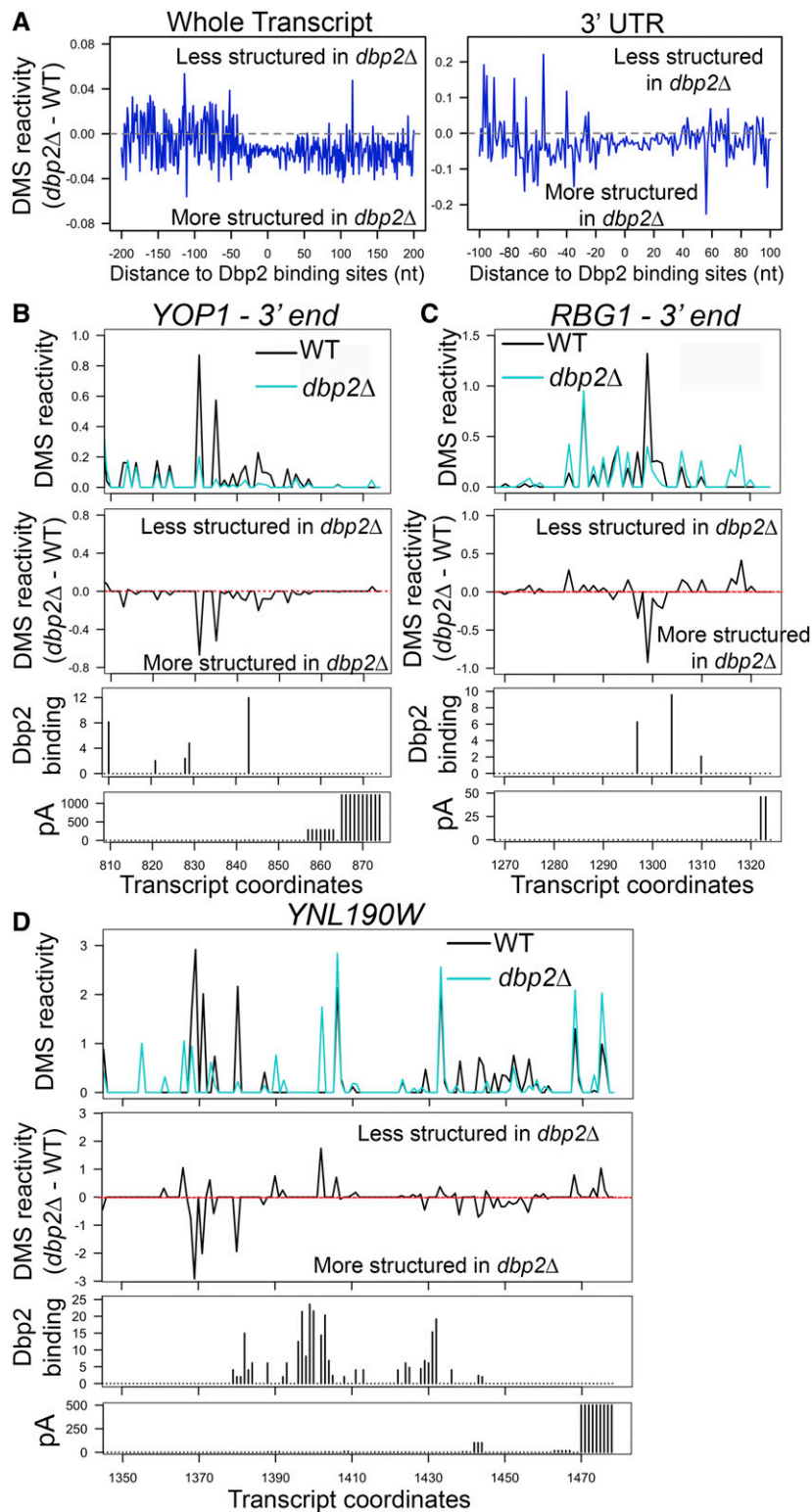


Figure 5 Structure-seq reveals *DBP2*-dependent RNA structural changes in protein-coding genes. (A) Meta-analyses of DMS reactivity at Dbp2 binding sites on mRNAs reveals 40–60 nt “trough” of *DBP2*-dependent structure. The relationship between Dbp2 binding sites and the changes in DMS reactivity was analyzed for both the whole transcript (left panel) and 3' UTR (right panel). *DBP2*-dependent change in DMS reactivity of each nucleotide in Dbp2-bound mRNA transcripts was calculated by subtracting the average DMS reactivity in $dbp2\Delta$ by that in wild type, and was then plotted corresponding to the distance to Dbp2 binding sites identified in iCLIP-seq. (B–D) Reactivity profiles of the 3' ends of *YOP1* (B), *RBG1* (C), and *YNL190W* (D) in wild type and $dbp2\Delta$ cells (top panels). The x-axes indicate transcript coordinates relative to the transcriptional start site. The annotated 3' UTR region spans coordinates 733–872 for *YOP1*, 1156–1322 for *RBG1*, and 934–1476 for *YNL190W* as defined previously (Nagalakshmi *et al.* 2008; Yassour *et al.* 2009). Only the regions close to the end of 3' UTR are shown (50–120 nt). *DBP2*-dependent changes in DMS reactivity are also presented as the values derived by subtracting the reactivity in wild type from the reactivity in $dbp2\Delta$ (middle panels). Dbp2 binding sites derived from iCLIP-seq are shown below reactivity profiles. Annotated polyadenylation sites (pA; Ozsolak *et al.* 2010) are shown for reference (bottom panels). Bar height in the pA histograms indicate the relative frequency of polyadenylation at each position. WT, wild type.

efficient termination, we qualitatively compared differential reactivity profiles across different data sets. First, we compared the DMS reactivities between wild type and $dbp2\Delta$ cells by plotting the difference in reactivity ($dbp2\Delta$ minus wild type) for all transcripts that are bound or not bound by Dbp2. This revealed reduced reactivity across Dbp2-bound

transcripts in the absence of *DBP2* across the length of the 5'UTR, ORF, and 3'UTR (Figure S5A). Second, we compared differential reactivities of transcripts that exhibit 3' extensions in $dbp2\Delta$ to those that do not (Figure S5B). This revealed that transcripts from genes with *DBP2*-dependent termination are more protected across their 3'UTRs in the

absence of *DBP2* (Figure S5B). Consistent with the latter, a Fisher's exact test showed significant correlation between the presence of *DBP2*-dependent differential reactivity and *DBP2*-dependent termination (P -value = $9.4e-16$, Figure S5C and Table S6). Moreover, meta-analysis of *Dbp2* binding near mRNA 3' ends revealed a sharp peak at the immediate upstream of the polyadenylation site specifically in the group of mRNAs with 3' extension in *dbp2Δ* as compared to those without 3' extension (Figure S5D), in line with the pattern of structural change observed in Figure S5B. This suggests the *Dbp2*-dependent remodeling of structures and/or proteins within the 3'UTR as a likely mechanism for *DBP2*-dependent reactivity.

To test if the presence and stability of secondary structures in 3' UTRs dictates a requirement for *Dbp2* in termination, we utilized a transcriptional termination reporter growth assay that previously enabled identification of both *cis*-acting termination elements and *trans*-acting termination factors (Steinmetz *et al.* 2001; Steinmetz and Brow 2003). Briefly, the reporter encodes a chimeric actin-metallothionein (*ACT1-CUP1*) transcript that includes the intron of *ACT1* (Figure 6A). Insertion of a terminator within the intron prevents expression of the *ACT1-CUP1* chimera and results in death of cells lacking endogenous *CUP1* (*cup1Δ* cells) on copper-containing plates. Defective termination, however, allows read-through of the internal terminator and resistant growth on different concentrations of copper, the latter of which is inversely proportional to the efficiency of termination (Steinmetz and Brow 2003).

First, we generated a putative, *DBP2*-dependent termination reporter by inserting the 3'UTR region of *YOP1* into the intron of the *ACT1-CUP1* reporter (Figure 6A). To determine if the reporter recapitulates the *DBP2*-dependent termination defects seen at the endogenous loci, strains lacking *CUP1* were first transformed with reporters either lacking a terminator (no terminator), containing an inserted *CYC1* terminator (*CYC1 TER*), or containing the *YOP1* 3'UTR. *CYC1* termination is independent of *DBP2* and serves as a negative control (Table S1). Without an internal terminator, the transcription of the reporter is terminated only at the end of *CUP1* gene (Figure 6A), allowing production of the *Act1-Cup1* chimera and enabling growth of both *cup1Δ* and *cup1Δ dbp2Δ* cells on copper (Figure 6B, no terminator). Insertion of *CYC1 TER* resulted in a copper-sensitive phenotype in both *cup1Δ* and *cup1Δ dbp2Δ*, consistent with termination in both strains prior to *CUP1* (Figure 6B, + *CYC1 TER*). In contrast, insertion of the *YOP1* 3' UTR prevented copper-resistant growth of *cup1Δ* cells but not *cup1Δ dbp2Δ* cells, indicating that the 3'UTR of *YOP1* is sufficient to elicit termination in wild-type cells and that this termination is *DBP2*-dependent (Figure 6B, + *YOP1* 3'UTR).

We then generated a structure prediction of the 3'UTR of *YOP1* in the absence of *DBP2* using DMS reactivity data and the ViennaRNA package 2.0 (Lorenz *et al.* 2011) (Figure 6C). Interestingly, UA/UG-rich (Figure 6B, boxes) motifs, which

are sequences recognized by *Nrd1* and *Nab3* (Schaughency *et al.* 2014), are present in predicted secondary structures. Based on the PAR-CLIP data of NNS factors (Creamer *et al.* 2011), *Nrd1* and *Nab3* cross-link to nucleotides 848 and 849, respectively, at the base of the 814–851 nt stem structure stem structure, whereas *Sen1* cross-links to nucleotides 773, 774, and 776 at the base of the 773–804 stem structure located upstream.

To test if the termination defect in *dbp2Δ* cells is dependent on the stability of RNA secondary structures within the *YOP1* 3'UTR, we mutated the sequences within the reporter to destabilize one or both structures (Figure 6, C and D). We then assayed growth in liquid culture over time to increase the sensitivity of the assay. Importantly, neither mutation enabled growth of *cup1Δ* cells in the presence of copper, indicating that these mutations do not alter termination efficiency in wild-type cells (Figure 6D, top panels). Consistent with our plate assay, cells lacking *DBP2* exhibited copper-resistant growth with the *YOP1* 3'UTR reporter. However, this resistance was reduced in both reporter mutants, indicative of increased termination (Figure 6D, bottom panels). Furthermore, the amount of secondary structure in the 3' UTR correlated with the requirement for *DBP2* in termination as evidenced by decreased growth of the *cup1Δ dbp2Δ* cells with the mut1 +2 reporter over mut1 alone, indicating that the secondary structure of a given 3'UTR determines the requirement for *Dbp2* in termination. This suggests that *Dbp2* may promote termination efficiency by remodeling RNA/RNP structure in certain protein-coding genes. Taken together, our data point to a model whereby *Dbp2* promotes efficient termination of RNPII transcription by modulating RNA/RNP structures to facilitate recruitment of NNS components. Future studies are necessary to determine the precise role of NNS complex members at the 3' ends of protein-coding genes (see *Discussion*).

Discussion

Termination of RNAPII in *S. cerevisiae* is executed predominantly by the CPF or NNS complex, which function on protein-coding genes or noncoding RNAs, respectively. This distribution of tasks allows coupling of termination with 3' processing steps that are appropriate for the given transcript, such as endonucleolytic cleavage and addition of a poly(A) tail to maturing mRNAs or 3' end trimming or decay of noncoding RNAs. Although the histone modification pattern of the transcribed gene, the phosphorylation status of RNAPII, and the sequence of the nascent transcript itself all dictate the mode and efficiency of termination (Proudfoot 2016), the contribution of RNA structure and role of RNA helicases in this process has not been fully explored. By aggregating the results of multiple genome-wide analyses, we show that the RNA helicase *Dbp2* promotes transcriptional termination and provide evidence that this mechanism likely involves

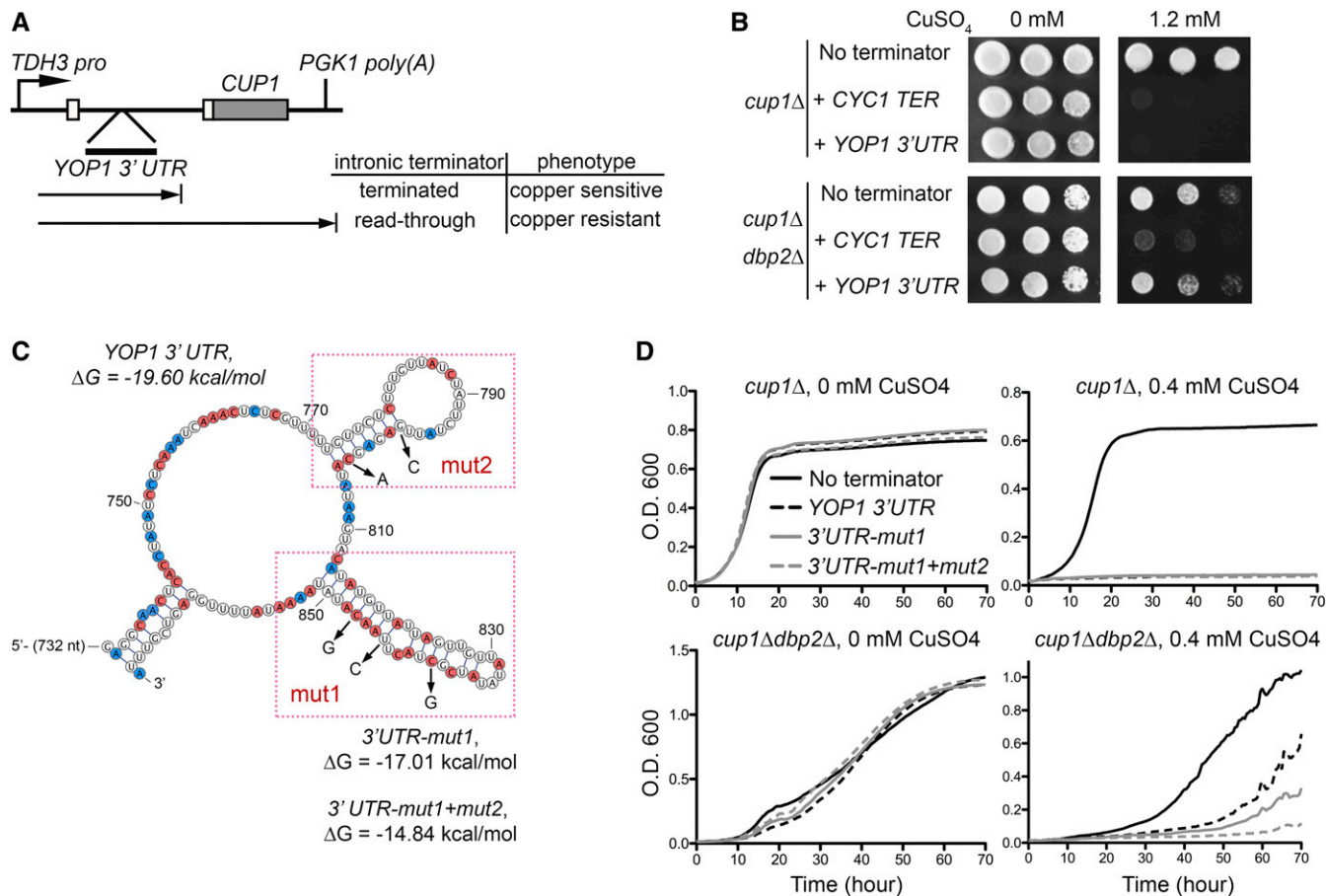


Figure 6 Secondary structure stability correlates with the requirement for *DBP2* in termination of protein-coding genes. (A) Schematic representation of the *YOP1* termination reporter. The *YOP1* 3' UTR region was cloned into the intron of the previously described *CUP1* termination reporter plasmid (Steinmetz and Brow 2003). (B) Insertion of the *YOP1* 3' UTR into the termination reporter confers *DBP2*-dependent copper sensitivity to *cup1Δ* cells. Serial dilution of *cup1Δ* (top) or *cup1Δ dbp2Δ* cells (bottom) transformed with the reporter without terminator insertion before *CUP1* (no terminator), with wild-type *CYC1* terminator (*CYC1 TER*), or with wild-type *YOP1* 3' UTR on nonselective media (0 mM) or media containing high concentrations (1.2 mM) of copper sulfate (CuSO_4). (C) Predicted secondary structure of the *YOP1* 3' UTR with structure-destabilizing mutations. The secondary structure of the 3' UTR of *YOP1* in *dbp2Δ* was predicted using DMS reactivity patterns and the ViennaRNA package (Lorenz et al. 2011). Adenosines and cytosines with reduced DMS reactivity in *dbp2Δ* are in red and those with increased reactivity are in blue. The mutated nucleotides for the reporter assay are indicated by arrows and the folding energy (ΔG) for the wild-type and mutant structures were predicted using ViennaRNA package 2.0 (temperature parameter = 30°) (Lorenz et al. 2011). (D) Destabilization of the *YOP1* 3' UTR bypasses requirement for *DBP2* in termination. A liquid growth assay of *cup1Δ* or *cup1Δ dbp2Δ* cells with the reporter without internal terminator insertion (no terminator), with the wild-type *YOP1* 3' UTR and with the destabilized *YOP1* 3' UTR containing one (3'UTR-mut1) or two (3'UTR-mut1+mut2) structural mutation sites in the presence or absence of 0.4 mM CuSO_4 . A reduced amount of CuSO_4 was necessary compared to C, as the cells showed enhanced sensitivity in liquid culture compared to plates.

remodeling of nascent transcripts and recruitment of *Nrd1* to targeted genes.

In budding yeast, NNS-dependent termination of noncoding genes is coupled to 3' end processing of nascent transcripts by nuclear exosome with the aid of the TRAMP complex, which adds short stretches of oligo(A) to the 3' ends of NNS products to promote 3' end maturation (Arndt and Reines 2015). The *Sen1* RNA-DNA helicase, a component of the NNS complex, is thought to facilitate termination by unwinding the RNA-DNA hybrid produced in the wake of RNAPII (Han et al. 2017; Leonaitė et al. 2017). Our results show that loss of *DBP2* results in a shift of RNAPII accumulation downstream of annotated snoRNA termination sites, well-characterized targets of the NNS complex, indicative of

a role for *Dbp2* in NNS-dependent termination. *Dbp2* may also facilitate termination-coupled processing steps, as evidenced by the isolation of *Dbp2*-bound snoRNA processing intermediates with nontemplated As. These processing intermediates are highly reminiscent of semi-mature snoRNA species produced by the distributive polyadenylation polymerase activity of TRAMP in conjunction with *Rrp6*-dependent processing (Grzechnik and Kufel 2008) and have also been isolated by *Nrd1* PAR-CLIP studies (Jamonnak et al. 2011). This role is also supported by synthetic lethal interactions between *RRP6* and *AIR2* with *DBP2* (Wilmes et al. 2008; Cloutier et al. 2012) and the fact that loss of *DBP2* results in upregulation and 3' extension of the *GAL10s* long, noncoding RNA (Cloutier et al. 2012) It is interesting that *Dbp2* accumulates

across the snoRNA body while promoting termination (Figure 1, B and C). A likely explanation is that *Dbp2* also functions in snoRNA-guided ribosomal RNA modification, a role that is supported by the nucleolar accumulation of *Dbp2* and its association with ribosome subunits (Cloutier *et al.* 2012; Martin *et al.* 2013).

In addition to snoRNAs, our results also define a role for *Dbp2* in termination of protein-coding genes. Although the predominant mechanism for termination of protein-coding genes involves the CPF complex, our results suggest that, like snoRNA genes, *Dbp2*-dependent termination of protein-coding genes may also involve the NNS complex. This is evidenced by enrichment of *Nrd1* and, to a lesser extent, *Nab3* consensus motifs as *Dbp2*-bound RNA targets (Figure 2C), *DBP2*-dependent binding of *Nrd1* to the 5' ends and 3' UTRs of targeted protein-coding genes (Figure 2E and Figure 4C), and the recapitulation of *DBP2*-dependent termination upon insertion of a *Nrd1*-targeted 3'UTR into a reporter construct (Figure 6). It should be noted, however, that although our results say that *Dbp2*-dependent termination is mediated through *Nrd1*, this does not mean that this mechanism is CPF-independent.

The decision of whether to terminate transcription using CPF or NNS is largely determined by the phosphorylation state of the C-terminal domain (CTD) of RNAPII, which is recognized by CTD-interacting domains (CID) in either *Pcf11* or *Nrd1*, respectively (Noble *et al.* 2005; Chinchilla *et al.* 2012; Eick and Geyer 2013). Specific phosphorylation patterns within the heptad repeat of the CTD mark the position of RNAPII during transcription, with high levels of serine 5 (Ser5) phosphorylation transitioning to serine 2 (Ser2) phosphorylation along the length of the gene (Harlen and Churchman 2017). *Nrd1* associates with Ser5 phosphorylated CTD whereas *Pcf11* associates with Ser2, confining *Nrd1* to termination of short transcripts and *Pcf11* to longer, protein-coding ones (Noble *et al.* 2005; Chinchilla *et al.* 2012; Eick and Geyer 2013). However, several genome-wide studies have revealed association of *Nrd1* and *Nab3* with the 3' ends of mRNA transcripts, in addition to canonical snoRNAs and CUTs (Creamer *et al.* 2011; Wlotzka *et al.* 2011; Webb *et al.* 2014). In some cases, this association serves as a form of quality control, with NNS-directed termination serving to direct exosome recruitment to mis-processed RNAs (Gudipati *et al.* 2012). In line with this is the observation that NNS-targeted protein-coding transcripts are frequently upregulated upon mutation of *nrd1* or components of the exosome (Gudipati *et al.* 2012; Webb *et al.* 2014). However, we observed no significant correlation between transcript upregulation and 3' extension in *dbp2Δ* cells (data not shown), suggesting that *DBP2*-dependent termination of protein-coding genes may not be coupled with decay. Interestingly, studies have shown that the *Pcf11* component of the CPF complex depends on initial binding and subsequent exchange with *Nrd1* (Grzechnik *et al.* 2015). Although this *Nrd1*-*Pcf11* "swap" was documented at the 5' ends of genes, consistent with the role of NNS on shorter transcripts, evidence suggests

that the converse may also occur. The most striking example is the characterization of a failsafe termination mechanism for RNAPII complexes that involves *Nrd1*/*Nab3* sites that are downstream of a polyadenylation site (Rondón *et al.* 2009). Interestingly, characterization of this form of failsafe termination utilized the *GAL10-GAL7* genes and associated intergenic region, which corresponds to the same region where *DBP2*-dependent termination of both protein-coding and noncoding genes was first described (Cloutier *et al.* 2012). Moreover, mounting evidence has found that the role of CTD phosphorylation in downstream processing steps may be more nuanced than the prevailing Ser5-Ser2 gradient model. Although the latter does hold true for the vast majority of genes in *S. cerevisiae* (Suh *et al.* 2016) gene-specific phosphorylation patterns and the link between Ser7 phosphorylation and *Nrd1* recruitment have also been described (Vasiljeva *et al.* 2008; Kim *et al.* 2010; Kubicek *et al.* 2012). One interesting possibility for the role of *Dbp2* stems from the observation that the *Nrd1* ortholog in fission yeast, *Seb1*, promotes pausing of RNAPII, in addition to termination site selection and 3' end processing (Lemay *et al.* 2016; Liu *et al.* 2017; Parsa *et al.* 2018). Although *S. pombe* does not use an NNS-like mechanism for termination of protein-coding or noncoding RNAs, with *Seb1* recruiting CPF machinery to both types of RNAPII-transcribed genes (Larochelle *et al.* 2018), the fact that loss of *DBP2* results in a change in RNAPII accumulation at *Nrd1* binding sites on individual genes (Figure 2 and Figure 4) that is strikingly similar to *seb1* mutation suggests that termination defects described here may be coupled to RNAPII progression. Future studies are necessary to determine if *DBP2* influences RNAPII kinetics and if the kinetics are affected by aberrant RNA structure or reduced binding of *Nrd1* in its absence.

Thirty years ago to date, the first DEAD-box RNA helicase was shown to have RNA duplex unwinding activity *in vitro* (Abramson *et al.* 1987; Hirling *et al.* 1989). Since this discovery, elegant biochemical and biophysical studies have provided an in-depth knowledge of how these enzymes function *in vitro* (Rudolph and Klostermeier 2015); however, their precise functions *in vivo* have remained elusive. Recently, the Jankowsky laboratory capitalized on the rapidly advancing technologies to view RNA-binding sites and RNA structure/composition genome-wide, providing the first evidence for widespread RNA remodeling by a DEAD-box RNA helicase that directly influences gene expression steps (Guenther *et al.* 2018). Although those studies provide a link between the DEAD-box RNA helicase *Ded1* and translation, results herein now extend the generality of RNA remodeling events catalyzed by these enzymes to nuclear gene expression steps.

Loss of *DBP2* results in decreased DMS reactivity, mostly in 3' UTRs (Figure 5 and Figure S5). Therefore, RNA/RNP structures may contribute to regulation of specific processes. It is likely that the altered mechanism of termination and extended length of the transcripts produced in *dbp2Δ* cells result in different compositions and/or stoichiometries of

RBPs that account for the 40–60 nt stretch of reduced DMS reactivity (Figure 5A). It has been established that efficient termination is a prerequisite for recruitment of mRNA export factors to mature mRNAs (Kessler *et al.* 1997; Hammell *et al.* 2002; Dunn *et al.* 2005) and our laboratory has shown that loss of *DBP2* reduces association of the nuclear poly(A) binding protein, *Nab2*, and mRNA export factor *Mex67* on poly(A)⁺ RNAs (Ma *et al.* 2013). Moreover, recent studies by the Struhl laboratory found that the protein composition of the 3' isoform produced is influenced by the length of the transcript (Moqtaderi *et al.* 2018). However, the fact that the 40–60 nt stretch is centered at the *Dbp2* binding site suggests that the altered folding and/or composition is directly due to loss of *Dbp2* activity. These remodeling events are likely to be important for multiple steps of gene expression as recent studies have shown that *Dbp2* couples translational efficiency of a given mRNA with the identity of the gene promoter (Espinar *et al.* 2018). Additionally, loss of this RNA remodeling activity may contribute to the cold-sensitive phenotype of *dbp2Δ* (Cloutier *et al.* 2012), due to the accumulation of stabilized, nonfunctional RNA structures as the temperature decreases in the absence of *DBP2*.

It is important to note that although our studies of the *YOP1* 3'UTR suggest that *DBP2*-dependent remodeling involves alteration of RNA secondary structure (Figure 6), remodeling includes both changes in RNA structure and RNA-binding protein composition that occurs either directly via RNA helicase activity or more indirectly by extending the RNA transcript length. It is well established that many RNA-binding proteins are inhibited by the presence of secondary structure in the targeted RNA, a phenomenon recently supported by genome-wide studies (Lambert *et al.* 2014). Consistently, formation of secondary structure has been shown to impair specific gene expression steps catalyzed by these RNA-binding proteins, including transcription termination (Chen and Wilusz 1998; Klasens *et al.* 1998; Mortimer *et al.* 2014). Because loss of *DBP2* reduces recruitment of *Nrd1* to individual genes and that destabilization of secondary structure in the 3'UTR of *YOP1* bypasses the requirement for *DBP2* in termination, our finding points to a model that *Dbp2* remodels RNA structure to provide a “landing pad” for *Nrd1*. The precise mechanism for how this elicits termination and if that termination involves *Nab3* and *Sen1* are yet to be determined.

Although we have not detected a direct interaction between *Dbp2* and *Nrd1* or *Nab3* to date, an interaction between *Dbp2* and *Sen1* has been reported along with a correlation of *Dbp2* RNA-binding sites with locations of R-loop formation (Tedeschi *et al.* 2018). If *Dbp2* enables recruitment and/or activation of the RNA-DNA helicase *Sen1*, this could explain prior observations that loss of *DBP2* results in accumulation of RNA-DNA hybrids *in vivo* despite reduced RNA-DNA duplex unwinding activity *in vitro* compared to pure RNA duplexes (Ma *et al.* 2013; Cloutier *et al.* 2016). While the NNS complex is not conserved in mammalian cells, *Sen1* does have an orthologous protein Senataxin that

functions in transcriptional termination and resolution of R-loops (Skourti-Stathaki *et al.* 2011). It will be interesting to determine if DDX5, the mammalian ortholog of *Dbp2*, interacts with Senataxin and/or the Integrator complex, the latter of which is functionally analogous to NNS (Baillat and Wagner 2015). The fact that ectopic expression of *DDX5* in *dbp2Δ* cells rescues defects in both growth and gene expression is highly suggestive of a conserved function in both fungi and human cells (Xing *et al.* 2017). Moreover, mounting evidence showing that aberrant mRNA structure may underlie select human pathophysiology (Wan *et al.* 2014; Corley *et al.* 2015), points to a pressing need to understand the precise biochemical function of the ~40 DEAD-box RNA helicases in mammalian cells (~25 in budding yeast) *in vivo* (Linder and Jankowsky 2011).

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