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### **Integrated case-control and somatic-germline interaction analyses of melanoma susceptibility genes**

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#### **Abstract**

While a number of genes have been implicated in melanoma susceptibility, the role of proteincoding variation in melanoma development and progression remains underexplored. To better characterize the role of germline coding variation in melanoma, we conducted a whole-exome case-control and somatic-germline interaction study involving 322 skin cutaneous melanoma cases from The Cancer Genome Atlas and 3,607 controls of European ancestry. We controlled for crossplatform technological stratification using XPAT and conducted gene-based association tests using VAAST 2. Four established melanoma susceptibility genes achieved nominal statistical significance,  $MCIR$  (p = 0.0014),  $MITF$  (p = 0.0165) BRCA2 (p = 0.0206), and  $MTAP$  (p = 0.0393). We also observed a suggestive association for  $FANCA$  (p=0.002), a gene previously implicated in melanoma survival. The association signal for *BRCA2* was driven primarily by likely gene disrupting (LGD) variants, with an Odds Ratio (OR) of 5.62 (95% Confidence Interval (CI)  $1.03 - 30.1$ ). In contrast, the association signals for *MC1R* and *MITF* were driven primarily by predicted pathogenic non-LGD coding variants, with estimated ORs of 1.4 to 3.0 for MC1R and 4.1 for MITF. MTAP exhibited an excess of both LGD and predicted damaging non-LGD variants among cases, with ORs of 5.62 and 3.72, respectively, although neither category was significant. For individuals with known or predicted damaging variants, age of disease onset was significantly lower for two of the four genes,  $MCIR$  (p=0.005) and  $MTAP$  (p=0.035). In an analysis of germline carrier status and overlapping copy number alterations, we observed no

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Author contribution

CH conceived of the study; YY, HH, JC, FH, and CH conducted association analyses; JF, PS, YY, and CH conducted copy number alteration analyses; CH, YY, PS, and HZ interpreted results; YY prepared figures; and YY and CH prepared and wrote the manuscript. All authors approved submission of the manuscript in its present form.

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Competing interests

The authors declare that they have no competing interests.

#### **Keywords**

case-control study; whole exome association analysis; skin cutaneous melanoma; cancer susceptibility gene; somatic-germline interaction

#### **1. Introduction**

Despite the potential effectiveness of early detection efforts, cutaneous melanoma remains a deadly disease that continues to claim over 7,000 lives per year in the United States alone [1]. This juxtaposition highlights the growing need for improved identification of individuals at high risk of developing melanoma. Although the disease has a strong hereditary component, the preponderance of familial clustering in melanoma remains unexplained. Familial studies have identified multiple high-risk melanoma genes, including CDKN2A [2] and CDK4 [3], but the proportion of sporadic cases attributable to susceptibility genes identified in a familial context has been underexplored. A number of intermediate risk melanoma-susceptibility genes, with variants conferring a 2-fold to 8-fold increased risk, have been identified through a combination of case-control and familial studies, including  $MCIR [4, 5], MITF [6, 7], BRCA2 [8, 9], TERT [10], BAPI [11], POT1 [12], and MTAP$ [13]. The full spectrum of disease risk conferred by rare variants in these genes remains to be elucidated.

Detailed characterization of genomic alterations in melanoma has revealed four major tumor subtypes defined by the occurrence of somatic point mutations, mutant BRAF, mutant RAS, mutant NF1, and triple-WT (wild-type) [14]. Due to reduced DNA repair activity, BRAF, RAS, and NF1 subtypes are characterized by high-rates of mutation from ultraviolet (UV) radiation exposure, with over 90% of tumors harboring a UV mutational signature. In contrast, only 30% of triple-WT tumors are known to harbor a UV mutational signature. No prior study has evaluated the relationship between established melanoma risk genes, tumor subtypes, and tumor mutational patterns.

To evaluate the contribution of rare, protein-coding variation to melanoma risk, development, and progression, we conducted a gene-based whole-exome case-control study involving 322 cases from The Cancer Genome Atlas (TCGA) project and 3,607 controls of European ancestry. We then combined the case-control results with whole-exome sequencing data of tumor-derived DNA to test for patterns of somatic-germline interaction.

#### **2. Material and Methods**

#### **2.1 Data sources**

The initial set of cases consisted of 387 individuals diagnosed with skin cutaneous melanoma (SKCM) from The Cancer Genome Atlas (TCGA) project, with approval for the access to the Cancer Genomics Hub ([https://cghub.ucsc.edu/\)](https://cghub.ucsc.edu/). Controls consisted of 4,674 unaffected parents from autism parent-offspring trios in the Simons Simplex Collection (SSC) [15, 16], downloaded from National Database for Autism Research (NDAR). All germline variant calls were generated using sequencing data from blood derived DNA. Other than ASD status, phenotype information was unavailable for the controls and thus a small proportion may have been misclassified, although the potential misclassification bias would have only a modest influence on power and effect size estimates [17].

#### **2.2 Sequencing data processing and cross-platform quality control**

We downloaded raw read data in FASTQ format for all cases and controls, where available. If only BAM files were available, we extracted the sequencing reads from BAM files to generate FASTQ files for each individual. We conducted data alignment and variant calling steps using the XPAT pipeline [18], which included the following steps: (1) alignment of FASTQ data for each individual to the human reference genome (HG19) using BWA [19] (v0.7.9a), Samtools [20] (version 0.1.19), Picard (v1.118), sample level variant calling using GATK HaplotypeCaller[21] (v3.3) to generate gvcf files, (3) joint genotype calling of all samples from gvcf files using GATK HaplotypeCaller [21], and (4) variant recalibration using GATK Variant Recalibrator metrics with the tranche sensitivity score 99.9 for SNVs and 98.0 for INDELs. We converted variant calls with genotype quality scores less than five to missing genotypes.

We used the XQC module in XPAT to perform sample level and variant level quality control (QC) with default parameters [18], described briefly as follows: For sample level QC, we excluded samples with  $N<sub>C90</sub> > 0.05%$ , defined as the proportion of sites called in this individual among the subset of sites with 90% or greater call rates for each platform. Due to insufficient sample sizes, we also excluded any sample with ethnicity reported as Hispanic or Latino. For variant level QC, we excluded variants based on the default criteria in XPAT, which include the following: (1) allelic balance (proportion of reads supporting minor allele among all reads) < 20%, (2) missing genotype calling rate > 10% in any platform, (4) Hardy-Weinberg disequilibrium test with  $p < 10^{-6}$  in controls, (4)  $p < 0.05$  in a crossplatform differential missing genotype rate test among both cases and controls, and  $(5)$  p < 0.05 in a cross-platform differential allele frequency test within cases and controls.

#### **2.3 Case-control analyses**

To identify population outliers, we conducted an initial principal component analysis (PCA) using an external reference panel of 427 individuals from the 1000 Genomes project (phase3–20130502) [22]. We included a total of 19,053 SNPs that passed QC with minor allele frequency (MAF) > 10%, LD-pruned to  $r^2$  < 0.2. We projected cases and controls onto the PC space and selected samples that clustered with the European group to identify our final set of 322 cases and 3,607 controls (Supplementary Figure S1 and S2). We then

conducted a second PCA using the combined set of cases and controls, excluding the reference panel. We included a total of 15,071 SNPs that passed QC with MAF >10% among non-Finnish Europeans reported in ExAC database, LD-pruned to  $r^2$  < 0.2 [23] (Supplementary Figure S3).

We conducted gene-based association tests using VAAST 2 with the allele frequency among controls constrained to 0.1 or lower in the likelihood model (parameter:  $r = 0.1$ ). Variants were weighted by the conservation-controlled amino acid substitution matrix (CASM) scores, as previously described [24, 25]. VAAST 2 uses a one-sided burden test to test whether damaging variants are overrepresented in the cases versus controls. We used the covariate matrix from PCA with a biasedUrn sampling method [26] implemented in VAAST 2, with the first two PCs included as covariates. For genes with multiple isoforms, we calculated gene-based p-values using the Multiple Gene Isoform Test (MGIT) implemented in XPAT [18], which is a permutation-based test that jointly evaluates all isoforms in a given gene.

#### **2.4 Copy number alterations**

To detect somatic Copy Number Alterations (CNA), we downloaded Affymetrix SNP-6 CEL files from 474 tumor-normal pairs; we used the 318 of these pairs that overlapped with selected germline sequencing data in the subsequent analysis. We ran Birdsuite [27] on CEL files of all normal samples to get the reference SNP genotypes uninfluenced by somatic variation for the phasing. We then ran Birdsuite again on all CEL files of all samples together to obtain B allele frequencies and logR ratios for the tumors. As part of standard processing, we excluded probes from mitochondrial and Y chromosomes, as well as markers spanning the HLA region, which exhibits gene duplications. We applied Mach [28] to phase the samples in the germline set. We injected the normal phasing into each (paired) tumor sample file and applied hapLOH [29] to infer allelic imbalance and copy number alterations in all tumor samples. We reported regions of allelic imbalance where a posterior probability of 95% of imbalance was exceeded. We then combined all hapLOH CNA calls with copy number gain and loss events reported in cBioPortal [\(http://www.cbioportal.org/](http://www.cbioportal.org/)), with segment mean somatic event  $> log_2(2.5/2)$  for copy number gains and  $< log_2(1.5/2)$  for copy number losses.

#### **3. Results**

We conducted a gene-based case-control association analysis of protein-coding variants across the exome using the Variant Annotation, Analysis and Search Tool (VAAST 2, version 2.1) [24, 25]. The analysis considered both LGD (stop gained, stop lost, splicing region variants and frame-shift INDELs) and non-LGD (missense and inframe INDELs) variants, weighting each variant according to the estimated degree of protein dysfunction conferred based on the CASM score in VAAST [24]. The initial level of technological stratification between cases and controls was substantial, due to differences in target capture and sequencing protocols. However, after applying the cross-platform QC pipeline in XPAT [18], we observed no overall inflation in Type I error (Supplementary Figure S4). Although no gene reached genome-wide significance, the association analysis identified four genes

previously implicated in melanoma risk at a nominal significance level of 0.05 (Table 1):  $MCIR$  [4] (p = 0.0014),  $MITF$  [30] (p = 0.0165),  $BRCA2$  [9] (p = 0.0206), and  $MTAP$  [13]  $(p = 0.0393)$ , Supplementary Table 1 presents the full list of gene-based association results.

To assess whether the association signals in these genes were driven by known pathogenic variants or variants expected to disrupt protein expression, we excluded LGD variants and repeated the association analysis. MC1R and MITF exhibited no meaningful change in association signal, while  $BRCA2$  exhibited only a modest attenuation in signal, (Table 1), suggesting that missense variants with unconfirmed pathogenicity in these genes increase melanoma risk.

To estimate variant effect sizes, we calculated crude odds ratios (ORs) according to the classical method [31–33] and adjusted ORs with logistic regression, incorporating the first two PCs as covariates. Table 2 reports variant-specific ORs for variants with five or more allele counts among both cases and controls. For rare variants with MAF <0.005, we calculated collapsed gene-based effect sizes based on the following four functional categories: all coding variants, LGD variants, predicted damaging non-LGD variants  $(CASM score 1.0 and PolyPhen-2 score 0.909)$ , and predicted benign non-LGD variants (CASM score  $< 1.0$  and PolyPhen-2 score  $(0.446)$ , as shown in Figure 1 and Table 3.

To conduct somatic-germline interaction analyses, we first classified cases according to their carrier status for MC1R, MITF, BRCA2, and MTAP. Here, we defined a carrier as any individual with a germline variant which received a VAAST score > 1.0 in the case-control analysis. The VAAST score incorporates both the CASM score and case-control allele frequency information to identify variants predicted to increase disease risk.

We evaluated two-hit models of carcinogenesis by testing the hypothesis that, for a given gene, somatic mutational events were more frequent among carriers than non-carriers [34]. We considered four classes of mutational events: coding point mutations, copy number gains, copy number losses, and undefined CNAs. The waterfall plot in Figure 2 depicted the landscape of germline variants and somatic events in the four selected melanoma susceptibility genes. The undefined category represents allelic imbalance events that could only be detected by hapLOH; these include CNAs with copy neutral loss-of-heterozygosity as well as CNAs present at low frequencies in tumor subclones [29]. We also conducted additional tests evaluating copy number gain and loss separately. The analysis identified no significant associations between carrier status and somatic mutational events for any of the four genes (Supplemental Table S2). In general, somatic mutational events were proportionally represented among carriers and non-carriers in each gene.

To test for associations with UV mutational signatures, we considered a total of 258 samples with available UV signature, tumor subtype information, and germline data [14]. We tested the fractions of germline damaging variants carrier between samples with and without UV signatures for each subtype. We observed that 69% of triple WT samples with UV signatures were *MC1R* carriers, compared to only 33% of samples without UV signatures ( $p = 0.040$ , one-sided Fisher's exact test, see Figure 3A). The other three tumor subtypes were

characterized by a high rate of UV mutational signatures among both MC1R carriers and non-carriers, with no significant differences.

We also tested for potential relationships between germline susceptibility variants and age at disease onset using a one-sided Wilcoxon rank sum test. Both MTAP and MC1R carriers were diagnosed with melanoma at significantly younger ages, with  $p = 0.035$  and 0.005, respectively (see Figure 3B and 3C). The mean age at diagnosis was 35.5 among MTAP carriers, 53.9 among MC1R carriers, and 57.7 among non-carriers. We observed no significant association with age at diagnosis among BRCA2 or MITF carriers (Figure 3D) and 3E).

#### **4. Discussion**

Continued advances in next-generation sequencing technologies present growing opportunities to conduct large-scale sequence-based association studies by pooling data from multiple original sources. These studies can potentially have a major impact on precision medicine through more accurate effect size estimates for rare variants in known susceptibility genes as well as improved statistical power to detect new disease associations. However, technological stratification biases resulting from the heterogeneous nature of nextgeneration sequencing technologies have been a major barrier to pooled analysis efforts [35]. In this study, we initially employed a set of QC metrics typically applied in sequence-based association studies and observed substantial inflation in Type I error. However, after applying the cross-platform QC metrics in XPAT, we observed no Type I error inflation and thus were able to successfully control for technological stratification biases (Supplemental Figure S4). Our gene-based association analysis replicated four established melanoma susceptibility genes: MC1R, MITF, BRCA2, and MTAP. For variants in these genes with sufficient allele counts for individual effect size estimates, the odds ratios were broadly consistent with previous estimates (Figure 1B). We observed no evidence supporting a twohit model of carcinogenesis in any of the four genes, indicating that only one copy of an inherited predisposition allele at the cellular level was sufficient to help drive tumor initiation.

Gene-based association tests increase statistical power to identify disease associations by aggregating information from multiple variants. After a gene-level association has been identified, these tests generally provide limited information regarding the increase in risk conferred by any given variant. To overcome this problem, effect size estimates are often obtained by collapsing variants into specific functional categories based on a priori in silico predictions. Given the inherent imprecision of in silico functional variant prediction tools [24], such estimates are necessarily biased by misclassification errors, but nonetheless provide substantial insights into the degree of risk conferred by rare functional variants in a gene of interest. In this study, we considered three functional variant categories for genebased rare variant effect size estimates: LGD and known pathogenic variants, non-LGD variants predicted to be damaging by both CASM and PP2, and non-LGD variants predicted to be benign by both CASM and PP2. Although the confidence intervals were wide, LGD variants in BRCA2 and predicted damaging non-LGD variants in MITF all exhibited odds

ratios of greater than 1. In contrast, the odds ratio estimates for variants predicted to be benign ranged from 0 to 1.4 (Figure 1A, Table 3).

Missense variants in *MC1R* are associated with phenotypical features such as light skin pigmentation and red hair, in addition to an increased risk of cutaneous melanoma [36]. We identified four MC1R variants (rs1805006, rs1805007, rs1805008, rs1805009) with ORs significantly greater than 1 in this study (Table 2). All four variants are known melanoma risk factors and are strongly associated with red hair [37]. The OR estimates of these variants ranged from 1.4 to 3.0 and were consistent with previous reports (Table 2) [38]. The association between MC1R carrier status and increased UV mutational signatures in triple-WT tumors is likely due to an interaction between lighter skin pigmentation and UV exposure. The absence of an association with UV signatures and  $MCIR$  carrier status among BRAF, RAS, and NF1 tumor subtypes may result from the high rates of UV signatures among these subtypes. The modest but significant relationship between MCIR carrier status and younger age at melanoma diagnosis could be explained by age-specific increases in relative risk or by earlier detection due to recognized phenotypic risk factors.

MITF (melanogenesis associated transcription factor) encodes a transcription factor that regulates melanocyte development and is responsible for pigment cell-specific transcription of the melanogenesis enzyme genes. We identified an association between melanoma and a rare functional non-synonymous SNV (rs149617956), with an adjusted OR of 4.48 (95% CI: 1.57 to 12.78). Previous studies have reported somewhat higher OR estimates for this variant [6, 7], although the confidence intervals overlap (Figure 1B, Table 2). Based on a metaanalysis of our estimate and prior work, the estimated OR of this variant is 6.01 (95% CI: 2.81 to 12.89). This variant is also associated with a higher incidence of renal cancer, increased nevus count, and non-blue eye color [39]. Although the gene-based OR estimate for rare, predicted pathogenic non-LGD variants was significantly larger than 1.0, this association was driven solely by rs149617956.

MTAP (methylthioadenosine phosphorylase) encodes an enzyme that plays a major role in polyamine metabolism. Previous studies have reported multiple variants associated with occurrence of cutaneous melanoma [13, 40]. In this study, VAAST identified two additional potential risk variants in MTAP, one LGD and one non-LGD. Although only two MTAP carriers were identified in this study, the age at diagnosis was significantly different between carriers and non-carriers. Both MTAP carriers were diagnosed with melanoma before the age of 45, compared to an average age at diagnosis among all study participants of 56.7. To our knowledge, associations with  $MTAP$  susceptibility variants and early-onset melanoma have not been previously reported.

BRCA2 is a cancer susceptibility gene with a well-established pattern of dominant inheritance in several cancers, including melanoma. BRCA2 is also one of the thirteen genes involved in Fanconi anemia, a recessive disorder characterized by genomic instability and increased susceptibility to leukemia and cancer [41, 42]. The estimated effect size for LGD variants in BRCA2 was somewhat higher than previously reported, with a crude OR of 5.6 and an adjusted OR of 3.4. However, given the wide confidence intervals, these results are consistent with previous relative risk estimate of 2.6 (95% CI 1.3 to 5.2) for pathogenic

Notably, a second Fanconi anemia pathway gene, FANCA, exhibited a nominally significant association with melanoma risk ( $p = 0.0020$ ). Coding variants in both *FANCA* and *BRCA2* have been previously associated with overall survival of melanoma patients [44]. Our study also identified two additional candidate melanoma susceptibility genes with nominal p less than 0.05 that have been identified as susceptibility genes in other cancers (Supplementary Table S1):  $RAD50$  (p = 0.0387) and  $HNFIA$  (p = 0.0332). HNF1A was recently reported as a pancreatic cancer susceptibility locus in a genome-wide pleiotropy scan [45]. One of the targets of  $HNFIA$  is the melanoma inhibitory activity 2 ( $MIA2$ ) gene [46], the overexpression of which promotes metastatic behavior of malignant melanoma [47]. RAD50 is an established intermediate breast cancer risk gene [48–50], but has not been previously implicated in melanoma susceptibility. Note that FANCA, HNF1A, and RAD50 were not significant after multiple testing correction and are highlighted here based on *post hoc* exploratory analyses.

Taken together, our findings highlight the potential importance of damaging protein-coding variation in melanoma susceptibility. However, because this study was restricted to a relatively small sample of individuals of European ancestry, the statistical power to identify new melanoma-gene associations was limited and the relevance of our findings in other populations is uncertain. Additional sequencing studies with larger sample sizes involving multiple ancestry backgrounds are needed to more fully characterize the contribution of rare, protein-coding variation to melanoma development and progression.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Abbreviations**





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#### **Highlights**

- Rare, protein-coding variants in *MC1R, MITF, BRCA2*, and *MTAP* confer between a 1.4-fold to 6-fold increase in melanoma risk.
- Susceptibility variants within MC1R are associated with ultraviolet (UV) radiation mutational signatures in triple-wildtype tumors.
- Susceptibility variants in MC1R and MTAP are associated with earlier age of melanoma diagnosis.



**Figure 1. Odds ratio estimates for melanoma susceptibility genes and variants.**

We estimated the ORs of four established and replicated melanoma susceptibility genes with TCGA-SKCM cases and NDAR controls. (A) depicts the gene-based ORs for four particular classes of variants: (1) all variants in a given gene, (2) only LGD variants, (3) predicted pathogenic missense variants, and (4) predicted benign missense variants. (B) depicts the variant-based ORs of eight variants in MC1R, BRCA2 and MITF. See Table 2 for OR estimate details

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#### **Figure 2. Landscape of germline variants and somatic events in select genes.**

The main panel (middle) indicated the germline variants and somatic allelic imbalance events of four genes (i.e. MC1R, MITF, BRCA2, and MTAP), and somatic mutations of melanoma driver genes reported in the TCGA paper [14]. The top panel indicated the total number of somatic mutations for each individual. The left panel indicated the mutation burdens of each gene in germline and somatic samples. Germline variants include: likely gene-disrupting (LGD) variants (i.e. stop gained, stop lost, splicing region variants and frame-shift INDELs), and non-LGD variants but known as pathogenic ones according to the ClinVar database, and non-LGD variants with pathogenic potential according to CASM score from VAAST 2. Somatic allelic imbalance events include: copy number gain with segment mean >  $log2(2.5/2)$ , copy number loss with segment mean <  $log2(1.5/2)$ , and other allelic imbalance events provided by hapLOH only.

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#### **Figure 3. Associations of melanoma susceptibility genes with UV mutational signatures, sample subtypes, and ages at diagnosis.**

(A) depicts the proportion of  $MCIR$  carriers among samples with and without UV signatures among triple WT tumors and other tumor subtypes. Boxplots in (B-E) depict the distribution of age at diagnosis among carriers and non-carriers.

#### **Table 1.**

#### VAAST 2 analysis results of selected genes with reported melanoma risk.





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# **Table 2.**

Odds ratio estimates for coding variants in MC1R, MITF, and BRCA2 with five or more allele counts among cases and controls. Odds ratio estimates for coding variants in MC1R, MITF, and BRCA2 with five or more allele counts among cases and controls.



The OR in meta-analysis was calculated by combing the adjusted OR and reported OR from literature.

#### **Table 3.**

Odds ratio estimates and confidence internals for genes associated with melanoma cancer.

![](_page_19_Picture_393.jpeg)

\* OR was significantly greater than 1.0 with p value < 0.05.