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## Mechanisms how innate immunity affects progression of allergic airway disease

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### Abstract

**Introduction:** Activation of antigen-independent inflammation [a.k.a. the “innate” immune response(IIR)] plays a complex role in allergic asthma (AA). The mechanisms how the IIR promotes allergic sensitization, structural remodeling and altered epithelial signaling are not understood.

**Areas covered:** This manuscript overviews: 1. Studies identifying how allergens and viral patterns activate the IIR; 2. Research that reveals how specialized bronchiolar epithelial cells trigger inflammation; 3. Reports describing how the IIR causes mucosal cell-state change and barrier disruption; and, 4. Observations linking mucosal mesenchymal transition with expansion of the myofibroblast population.

**Expert commentary:** Luminal allergens and viruses activate TLR signaling in key sentinel cells producing epithelial cell state transition and expand the pulmonary myofibroblast population. These signals are transduced through a common NF $\kappa$ B/RelA -bromodomain containing 4 (BRD4) pathway, an epigenetic remodeling complex reprogramming the genome. Through the actions of this pathway, the pulmonary IIR is a major modifier of adaptive immunity, AA and acute exacerbation-induced remodeling.

### Keywords

airway remodeling; bromodomain containing protein 4 (BRD4); mesenchymal transition; myofibroblast; epigenetics

### 1. Introduction:

Allergic Asthma (AA) affects ~ 8% of the US population, now > 25 million (M) in number (1,2). This disease is characterized by reversible airway obstruction and Th2 lymphocytic inflammation (1). Currently we know that AA begins early in childhood and has a predominant allergic component characterized by increased Th2 cells and eosinophils in the airway mucosa, with secretion of Th2 mediators (IL5, IL13) and production IgE (3). AA is the result of complex genome-environmental interactions, accelerated by upper respiratory tract infection. Early in the course of disease, enhanced production of ECM in the *lamina reticularis* is observed associated with epithelial injury and repair. Persistent injury-repair may contribute to ongoing airway remodeling, a process that contributes to a gradual decline in pulmonary function in a subset of patients.

Although AA has been thought to be a disease of adaptive immunity caused by an imbalance of the Th lymphocytes (1), the Th hypothesis is challenged to explain how respiratory viral infections are linked to the initiation and exacerbations of disease, why Th2 directed therapies are not uniformly effective for treating AA, and how innate signatures are observed in subtypes of asthmatics (4, 5). Emerging evidence indicates that antigen-independent, “innate”, responses play important roles in the etiology and progression of the disease. In both the normal and asthmatic airway, innate immune responses (IIRs) are triggered by viral infections and/or exposure to common aeroallergens. In addition, the IIR to viral pathogens is modified by pre-existing atopy, and similarly viral infections predispose to the development of atopy (6, 7). A broader view of the dysregulation of innate immunity in AA should therefore be considered.

### 1.1 The IIR is a modifier of AA.

Early life exposures to microbes and microbial patterns remodel the airway and subsequently shape the immunological defenses. In immunologically naïve lungs, microbial patterns trigger antigen-independent responses mediated by germline-encoded pattern recognition receptors (8). Pattern recognition receptors recognize molecules produced by replicating organisms triggering a intracellular signaling pathways resulting in robust inflammatory and interferon (IFN) response. In addition, the IIR plays an important role in shaping the susceptibility to atopy and AA. For example, the “hygiene hypothesis” links early life exposures to microbial products to protection from allergic disease, including AA (9). A seminal study comparing two genetically similar human populations has provided potential mechanisms how high microbial exposure may be protective from AA. This study involved a natural experiment involving two communities in the US Midwest with striking differences in the incidence of asthma (10). Here, the Hutterite and Amish communities are geographically separate farming communities, with Hutterite having similar rates of AA as the US population and Amish being paradoxically protected. Investigating potential environmental causes, house dust collected from the Amish community was found to have high microbial-derived lipopolysaccharide content; aerosol delivery of this dust was protective of experimental asthma in a rodent model. Amish children had higher levels of circulating immature neutrophils with activated TNF and IRF7 gene signatures. Mechanistically, the protective effect was shown in rodent model of AA to be dependent on TLR4 signaling adapters, MyD88 and Trif (10). One interpretation of these findings is that these early aerosol exposures to LPS from environmental gram-negative bacteria activate the IIR, whose effects shape development of pulmonary adaptive immunity from an allergic Th2 phenotype to an AA-protective Th1 response.

By contrast, other activators of the IIR promotes progression of AA through production of acute exacerbations and airway remodeling. Acute exacerbations are episodes of clinical decompensation produced by acute inflammation in response to viral respiratory infections. Prospective observational studies of children in high risk families found that the number of clinically apparent wheezing episodes produced by rhinovirus infection are highly predictive for the diagnosis of asthma later (11, 12). Similarly, viral lower respiratory tract infections (LRTIs) in early life, is associated with reduced lung function and increased airway reactivity (wheezing) that persists for as much as a decade after the infection (13–16). A 20-

year follow-up study in Finland concluded that an RSV LRTI in infancy was an independent risk factor for decreased lung mechanics (17). These essential findings have been replicated in an 18 year follow-up study in Swedish cohort (6, 18), as well as the Dutch ALSPAC study (13). Although post-infectious wheezing has not been consistently shown to be durable after 10 years after resolution of RSV- associated LRTI (6, 17–20), the persistence of reduced pulmonary function is highly significant. The Tucson Children's Respiratory Study identified reduced pulmonary function in children at school age who had bronchiolitis before the age of 3 (20). Long term follow-up studies of reduced lung function in childhood are predictive of adult COPD and asthma-COPD overlap syndrome (21). Collective interpretation of these findings suggest that the type of innate activation, its frequency or timing shape the pulmonary adaptive IIR, producing distinct outcomes.

## 1.2 Epithelial injury-repair is a driver of airway remodeling.

The findings that frequent exacerbations are associated with reduced pulmonary function (specifically, reduced expiratory flow rates) suggests that acute exacerbations are associated with structural remodeling of the airways (Figure 1). Remodeling is a collective term that refers to structural changes in the airways resulting in enhanced collagen deposition in the subepithelial basement membrane (lamina reticularis), disruption of the epithelial barrier, epithelial cell-state change (mucous metaplasia and/or mesenchymal transition), and smooth muscle hypertrophy (22, 23). Enhanced mucus production from expansion of submucosal goblet cell population and hypertrophy of airway smooth muscle layers enhances small airway obstruction, reducing lung compliance and producing hyperreactivity to methacholine (22). Importantly, remodeling is thought to be a progressive, irreversible process (22).

Epithelial injury and cell-state changes associated with AA may be an important driving force in structural remodeling. Epithelial injury disrupts the semi-impermeable epithelial barrier, enhancing mucosal permeability. Barrier disruption may account, in part, for susceptibility to virus and enhanced allergen penetration and atopy. Barrier disruption may also explain, in part, the clinically recognized progression of allergic rhinitis to AA (24). Allergic rhinitis precedes AA in 2/3 of cases and is associated with nonspecific AHR (25).

## 1.3 Specialized epithelial cells in the tracheobronchiolar segment are key sentinel cells that trigger the pulmonary innate response.

Specific cell types in the airways are responsible for detecting the presence of microbial pathogens and triggering the pulmonary IIR; these cells are referred to as “sentinel” cells. Although the cell types that play these roles depend on the route of delivery and type of molecular pattern, the airway epithelium plays a central role in initiating viral infection and aeroallergen-provoked IIRs (26). Airway epithelial cells express cell-surface localized TLR receptors, whose activation produces acute oxidative response, producing cytokine and chemokine production (Figure 2), resulting in disruption of barrier function and neutrophil influx (27, 28). The details of these intracellular signaling pathways have been extensively reviewed previously (8, 29, 30). The consequences of innate activation results in global cellular genomic and proteomic responses (31, 32).

Recent studies indicate that the epithelial-driven mucosal IIR is dictated by the anatomic location in the airway. The airway epithelium can be functionally divided into three anatomically distinct regions: trachea, bronchioles and alveoli (52). Pseudostratified columnar epithelium from the trachea provide innate defense by muco-ciliary escalator activity and secretion of high molecular weight mucin glycoproteins that bind pathogens and facilitate their clearance. By contrast, cuboidal bronchiolar cells provide innate defenses by synthesizing and secreting over 400 proteins as free and membrane-bound nanoparticles (exosomes)(31). Well-described proteins in the IIR include CXC and CC-type chemokines, type I and III IFNs, as well as IFN-stimulated genes (31). Of relevance to the pathogenesis of Th2 inflammation in AA, bronchiolar-derived airway epithelial cells produce more Th2-polarizing chemokines, such as MIP1 $\alpha$ , MCP, TSLP, CCL20 and IL6 than do tracheal epithelial cells (7, 33, 34). Alveolar epithelial cells produce surfactants, proteins that, in addition to maintaining alveolar patency, also function as anti-microbial agents (8).

Recent studies using tissue-specific gene knockouts have provided new insights into the identity of airway sentinels in response to luminal virus. An interesting epithelial subpopulation found in the bronchiolar alveolar junction is responsible for repopulating the distal bronchioles in response to injury (35). These cells come from progenitors that express both secretoglobin (Scgb1a1) and surfactant. Selective depletion of the NF $\kappa$ B subunit, RelA, in these cells by tissue-specific expression of the Cre recombinase have provided definitive proof that these cells are the major functionally important innate sensors of viral infection (36, 37). Mice with deletion of NF $\kappa$ B/RelA in the Scgb1a1 progenitor-derived population have significantly reduced leukocytic inflammation and obstruction in response to RSV infection (37). Similarly, TLR3-driven viral inflammation is also mediated by the same bronchiolar-derived epithelial cells. Similar to the findings with RSV infection, mice depleted of NF $\kappa$ B/RelA in the Scgb1a1 progenitor cells respond to TLR3 agonists with reduced neutrophilia, epithelial-dependent chemokine expression and myofibroblast expansion (36). Previous work also indicated that the Scgb1a1 –derived bronchiolar cell mediates inflammation, AHR and remodeling via the canonical NF $\kappa$ B/RelA pathway in response to the house dust mite allergen (38). Collectively these data are consistent that this unique bronchiolar progenitor epithelial cell population secretes unique Th2 polarizing cytokines and remodeling factors, and activation is required for innate inflammatory response in the airway via chemokine-induced neutrophil recruitment.

#### 1.4 Repetitive (chronic) innate activation produces airway remodeling.

In addition to viral patterns, common aeroallergens, derived from plant (e.g., ragweed pollen) or animal sources (e.g., cat dander) are TLR ligands in airway epithelial cells, and produce a robust intracellular IIR (27, 39). Of these aeroallergens, cat dander has been particularly important because of population studies, such as the NHANES, have identified this allergen as one of the most prevalent indoor house allergens associated with asthma in a significant number of patients (40). Recent mechanistic studies have shown that cat dander produces acute oxidative injury, epithelial CXCL2 secretion and neutrophilia downstream of the Myd88-NF $\kappa$ B/RelA pathway (41). In a recent publication, the exciting link between innate signaling and airway remodeling was produced, where repetitive cat dander exposure triggered ECM production, epithelial cell state transition, myofibroblast transition and

mucous metaplasia (42). These characteristic features of airway remodeling required NF $\kappa$ B/RelA signaling and association with the chromatin remodeling factor, bromodomain containing protein 4 (BRD4).

### **1.5 Mechanisms how the NF $\kappa$ B-BRD4 drives epithelial cell-state transition and remodeling.**

In TLR3-activated cells, RelA binds to the BRD4 coactivator, promoting genomic reprogramming (43). This pathway has been elucidated in some detail. TLR3-induced intracellular ROS activate Ribosomal S6 kinases to phosphorylate RelA on Ser 276. Phospho-276 RelA is rapidly acetylated by p300/CBP and is bound by BRD4 through bromodomain (BD) interactions with the newly acetylated Lys side chains (44, 45). Through its site-specific DNA binding activity, RelA repositions BRD4 to the promoters of immediate-early cytokine genes, where it phosphorylates Ser 2 of the carboxyl terminus of RNA Pol II. Phospho Ser 2 licenses RNA Pol II to produce full-length mRNA transcripts (43, 46). This transcriptional elongation step enables the rapid elicitation of the IIR.

### **1.6 How innate signaling produces epithelial cell state changes (mesenchymal transition).**

A consistent finding from TLR exposures in mice is that these pathways induce mucosal changes associated with epithelial de-differentiation and mesenchymal transition (42, 51, 56). Mesenchymal transition involves a series of cell-state changes (57) driven by master transcription factors and BRD4-mediated reprogramming (58) resulting in the expression of core mesenchymal regulatory factors, including the Snail family transcriptional repressor (SNAIL1) and Zinc Finger E-Box Binding Homeobox (ZEB1). These transcription factors silence epithelial differentiation markers, such as CDH1 (59). Not only limited to rodent models, enhanced TGF $\beta$  signaling and mesenchymal transition is found in the airways of humans with AA (60).

Interestingly, although acutely NF $\kappa$ B activation produces chemokine secretion and neutrophilic inflammation, persistent activation triggers reprogramming of fibrogenic genes and the core transcriptional regulators of epithelial cell state transition (36, 42, 43). With repetitive stimulation, activated NF $\kappa$ B/RelA repositions BRD4 to the promoters of fibrogenic mesenchymal genes (48, 51). In addition, RelA binding induces the atypical histone acetyltransferase (HAT) activity of BRD4, acetylating histone H3 on Lys (K) 122, a modification that destabilizes nucleosomes, enhancing transcription through chromatin-compacted gene bodies (47, 48). Through this epigenetic reprogramming mechanism, persistent NF $\kappa$ B/RelA activation from a variety of TLR agonists induces mesenchymal cell state transition and ECM remodeling associated with airway fibrosis (Figure 3).

Systems levels studies of the mesenchymal transition in normal airway epithelial cells has shown that NF $\kappa$ B/RelA is a “master” transcription factor. Here the term master transcription factor refers to a specific class of transcription factors that autoregulate as well as regulate the expression of downstream drivers of the transition. Next generation sequencing studies of NF $\kappa$ B/RelA-depleted Sgbc1a1 expressing progenitor cells shows that not only does NF $\kappa$ B/RelA regulate its own expression, but that it controls the expression of rate-limiting

genes in at least three key EMT autoregulatory pathways: 1) the WNT/ $\beta$ -catenin morphogen pathway, 2) the JUN transcription factor, and 3) the SNAI1-ZEB1 amplification loop (61,62). Through these activities NF $\kappa$ B/RelA controls key autoregulatory loops involved in committed cell fate decision from partial mesenchymal state to a fully committed mesenchymal state (61, 62). These findings suggest translational approaches to inhibit NF $\kappa$ B signaling may reverse mesenchymal transition, restore disrupted barrier function, and reduce the atopic march from AR to AA.

### 1.7 Altered mucosal IIRs in remodeling.

In naïve epithelial cells, the IIR results in the activation of pro-inflammatory and anti-viral signaling. There is evidence to suggest that the balance between inflammatory and anti-viral signaling is altered by the Th2 milieu in AA. Humans with AA challenged intranasally with RV exhibit a rapid oxidative response, associated with epithelial-derived chemokine secretion (IL-33), clinical symptoms and Th2 cell inflammation, including delayed eosinophilia (7, 33, 66). These studies consistently have found that the airways of AA elicit more a robust oxidative response, chemokine expression and clinical symptoms than seen in normal controls.

By contrast there is evidence that patients with severe AA have defects protective mucosal IFN production in response to respiratory virus infections. Studies in response to the “wheezogenic” paramyxovirus RSV have shown that nasal epithelial cells in children with a history of viral wheeze and/or atopy have decreased mucosal IFN secretion and increased viral shedding (63). Additionally, impaired mucosal IFN response and epithelial apoptosis to RV infection has been observed in patients with severe asthma (64,65). One potential mechanism has been recently elucidated by examining the anti-viral response of mesenchymal transitioned cells, characteristic of the mucosa in patients with severe asthma. This study identified defective inducible interferon regulatory factor 1 (IRF1) expression (Figure 4). In naïve cells, IRF1 is highly induced by NF $\kappa$ B/RelA and IRF3 transcription factors, whereas in mesenchymal transitioned cells, IRF1 expression is defective. Defective IRF1 expression is the result of an epigenetic modification, producing occlusion of the innate signals RelA and IRF3 from binding the IRF1 promoter. IRF1 is necessary for the expression of type III IFNs (IFNLs 1 and 2/3). Induced by the EMT, ZEB1 binds to- and silences the IRF1 promoter. ZEB1 silences IRF1 through the catalytic activity of the enhancer of zeste 2 polycomb repressive complex 2 subunit (EZH2), forming repressive H3K27(me3) marks (67). These detailed mechanistic studies reveal the complex relationship of how cell-state transition from airway remodeling produces defective mucosal antiviral responses through ZEB1-initiated epigenetic silencing.

Mucosal remodeling does not only affect the IRF1-IFN pathway. Other systems level studies have shown that the mesenchymal cell state change produces distinct coupling of the I $\kappa$ B kinase -NF $\kappa$ B and Jak-STAT pathways as well (49, 50, 68). This rewiring of intracellular signaling pathways is due to global changes in kinase and phosphatase expression in the setting of epigenomic reprogramming, and suggests that the diseased mucosa responds to inflammatory signals in distinct ways.



## 1.8 Mesenchymal transition is coupled to myofibroblast expansion

$\alpha$ SMA<sup>+</sup>/COL1<sup>+</sup>- coexpressing myofibroblasts are a secretory phenotype of lung stromal mesenchymal cells that are major producers of ECM proteins and matrix metalloproteinases that contribute to *lamina reticularis* expansion (69), an early and consistent finding in AA (70). Expanded myofibroblast populations have been observed in acute asthma, fatal severe asthma and refractory asthma (71, 72). Mesenchymal cell state changes are associated with secretion of paracrine growth factors that expand and sustain the subepithelial myofibroblast population. Mechanistically, repetitive allergen exposures activate the epithelial expression of IL6, a growth factor that coordinates myofibroblast expansion. IL6 triggers  $\alpha$ -SMA expression, autocrine TGF $\beta$  stimulation and extracellular matrix production in fibroblasts (73). These factors mediate a mechanistic link between mucosal cell-state transition and myofibroblast transdifferentiation (Figure 3).

## 2. Expert commentary.

Although AA has been thought to be a disease of adaptive immunity caused by an imbalance of the Th lymphocytes, the Th hypothesis is challenged to explain clinical evidence how acute exacerbations produced by respiratory viral infections are linked to the initiation and exacerbations of AA, and why innate signatures are observed in subtypes of asthmatics (4, 5).

Innate immunity has a complex interaction with the adaptive immunity, controlling the genesis and progression of AA. Early life exposures to aerosolized bacterial LPS has a profound impact on shaping the pulmonary adaptive immune response, protecting from Th2 polarization and AA. By contrast, childhood exposures to certain wheezogenic viruses are highly associated with AA; a body of evidence indicates that this relationship is causal.

Viruses and allergens are responsible for the majority of acute exacerbations in AA, events linked to declines in pulmonary function through remodeling (74, 75). Some key mechanistic studies have begun to provide understanding of this relationship. Both viruses and allergens trigger a robust IIR through TLRs. Repetitive TLR activation produces cell-state transition, epithelial barrier disruption, expansion of the pulmonary myofibroblast population, and consequent fibrosis.

The presence of chronic inflammation affects mucosal innate responses in some substantial ways through global rewiring intracellular signaling pathways that affect the type and kinetics of the IIR. These effects should be taken into account in developing therapeutic interventions in the diseased airway.

## 3. Five year view

Further work on dissecting the temporal importance and type of innate activation in pulmonary adaptive immunity and structural remodeling will help to clarify the situations when innate inflammation is protective or pathogenic. In situations where innate immunity is pathogenic, short term suppression of its activity may be an effective therapeutic strategy. Recent advances showing that the NF $\kappa$ B/RelA-BRD4 complex mediates both virus and

allergen-induced epithelial barrier dysfunction and remodeling, validates this pathway for therapeutic development. Recent mechanistic studies implicating epigenomic remodeling in epithelial cell-state changes, disruption of the anti-viral IFN response, reprogramming innate signaling indicate that epigenomic modifiers may also be an approach to restore epithelial injury-repair process back to normal.

#### 4. Key Issues

Airway epithelial cells are phenotypically heterogeneous by their location in the respiratory tract, and play distinct roles in mediating the innate pulmonary host defense. In particular, Scgb1a1-expressing progenitor cells of the bronchiolar-alveolar junction play central roles in innate inflammation in response to viruses and allergen exposures.

Acute viral infections and aero-allergen exposures activate NF $\kappa$ B/RelA, a common TLR effector pathway, whose binding indirectly induces BRD4 HAT activity. Acutely, NF $\kappa$ B-BRD4 mediates neutrophilic inflammation.

Chronic innate activation produces epithelial barrier disruption, cell-state transition, and reprogramming fibrotic response. Downstream the myofibroblast population dynamically increases, collectively resulting in enhanced ECM deposition, fibrosis and functional defects in pulmonary function.

Cat dander is a ubiquitous aeroallergen that activates mucosal TLR4-NF $\kappa$ B signaling producing innate inflammation repositions the atypical histone acetyltransferase, BRD4, to reprogram fibrogenic genes whose expression result in cell state transition,

Mesenchymal transition is coupled to myofibroblast transdifferentiation and ECM remodeling through paracrine cellular signaling.

The regulatory factors controlling cell-state transition modify the mucosal IFN response. Mesenchymal transition disrupts IRF1 expression, a key factor controlling the anti-viral mucosal IFN response and production of type III IFNs.

The mechanisms and consequences of global rewiring intracellular signaling pathways in remodeling mucosa may modify therapeutic responses.

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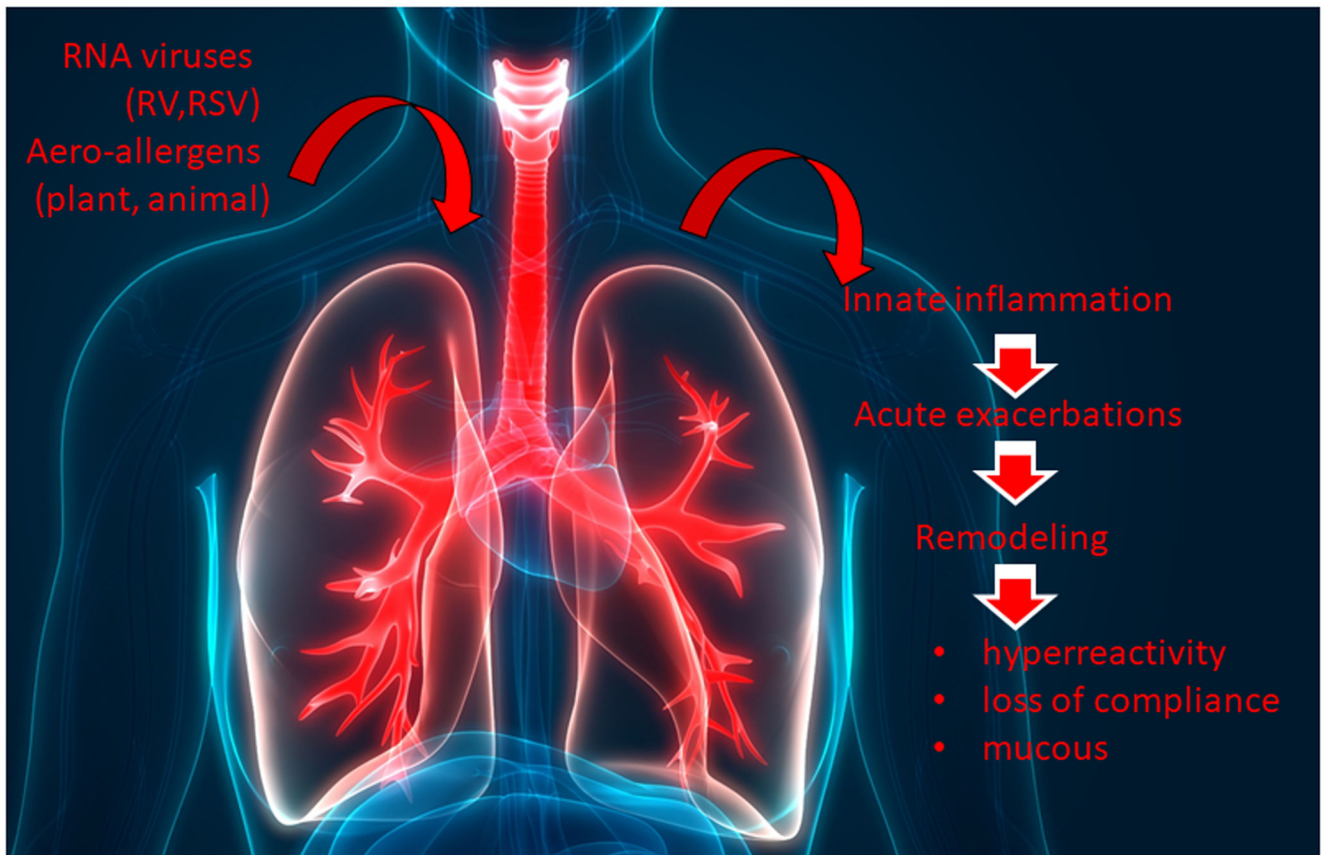
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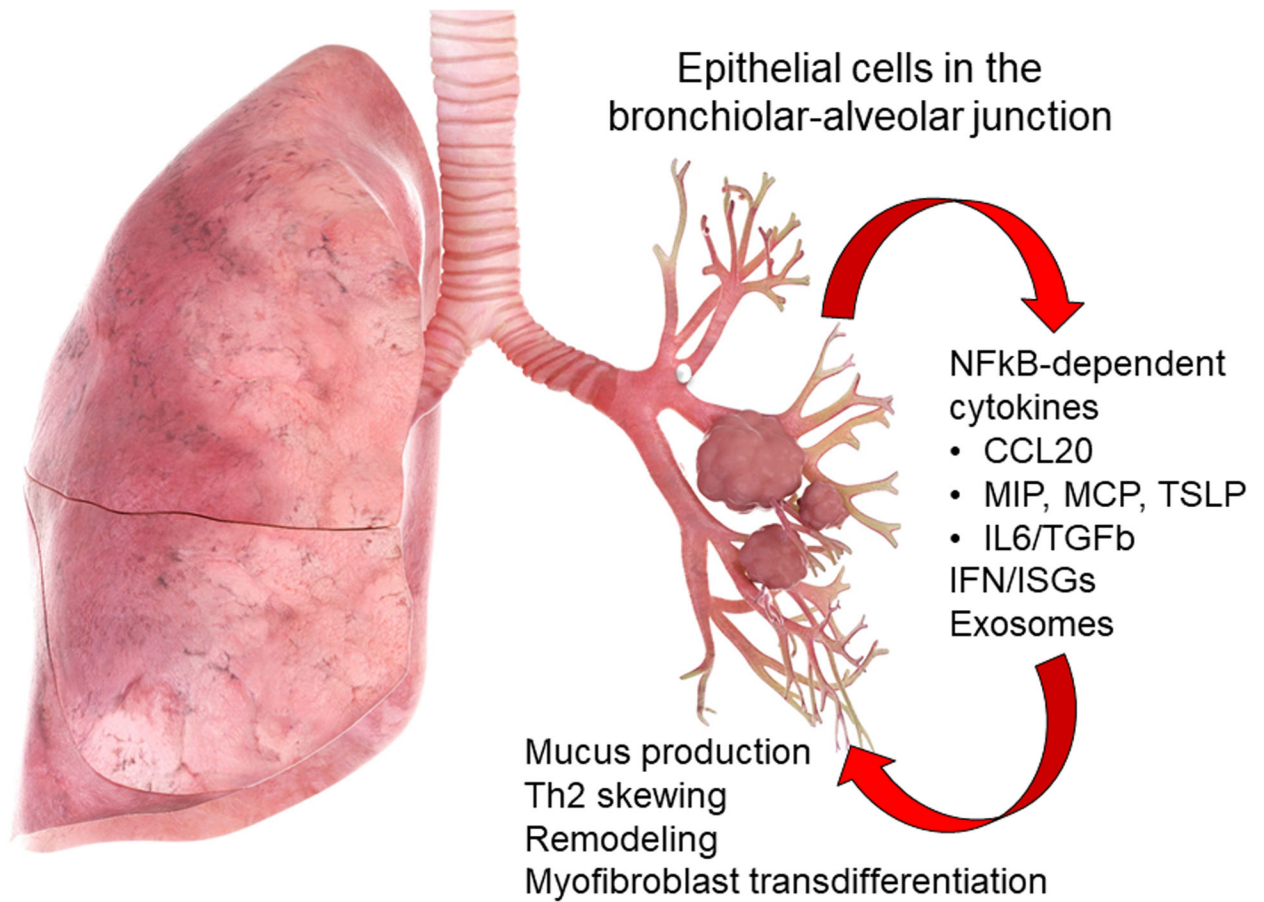




**Figure 1.**

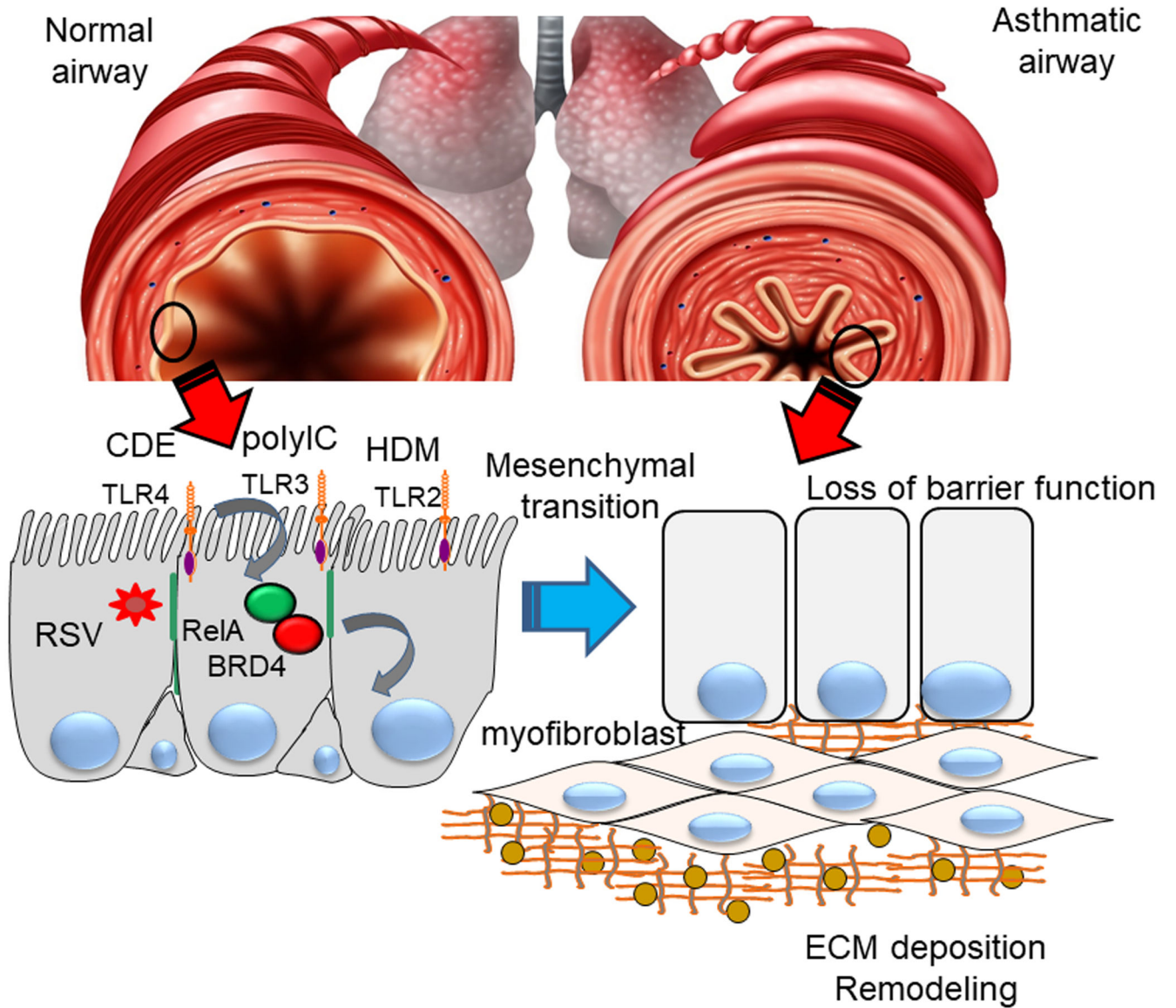
Role of innate immunity in response to environmental triggers of asthma. A schematic diagram of the relationship of respiratory virus infection and aeroallergen exposures on activation of the innate mucosal response and relationship of downstream events, including clinical (acute) exacerbation of disease, remodeling, and chronic alterations in lung function. Abbreviations are AHR, airway hyperreactivity; RV, rhinovirus; RSV, respiratory syncytial virus.



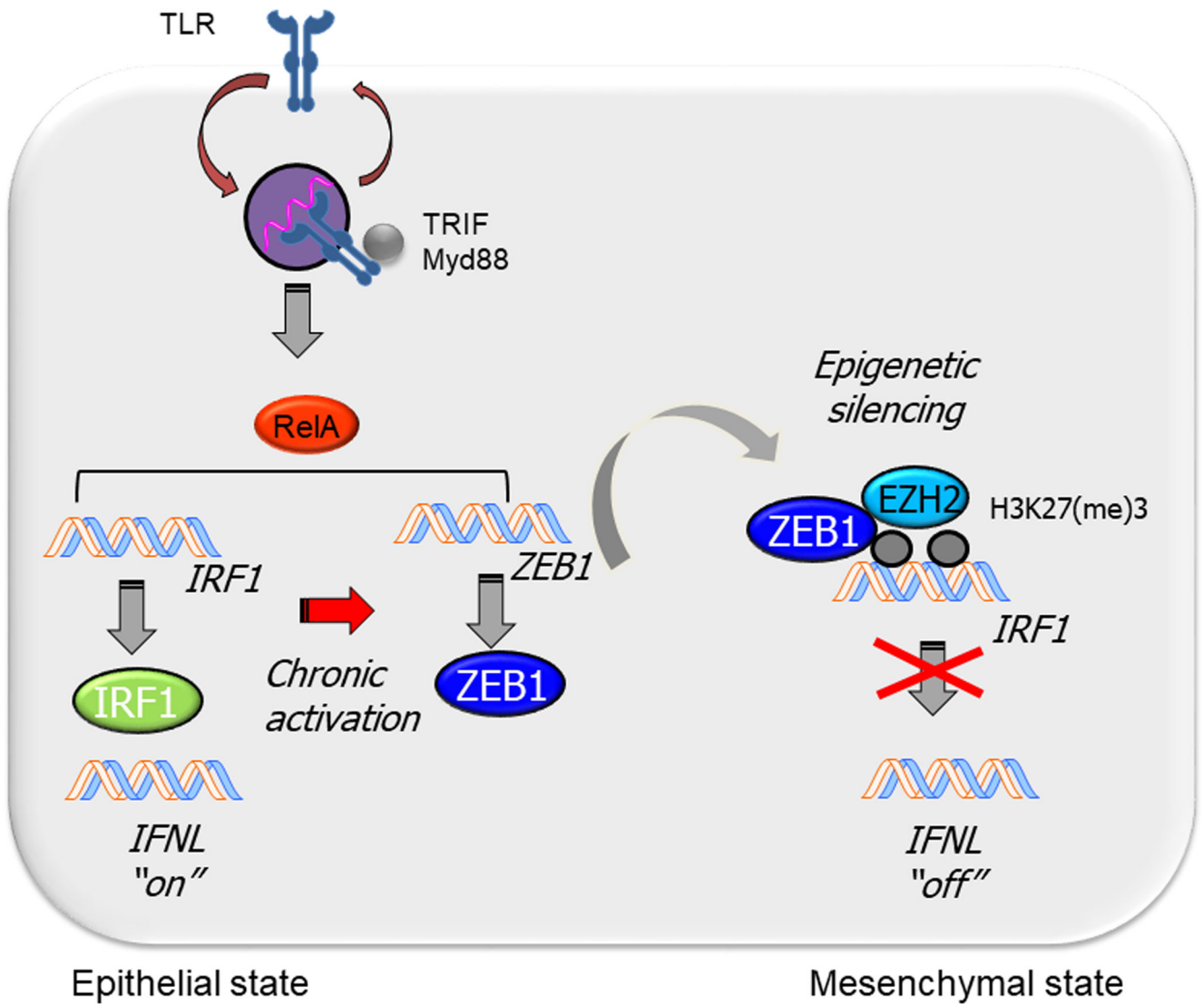


**Figure 2.**

Role of the tracheobronchiolar epithelial cell in innate inflammation and remodeling. Schematic view of the lung with actions of the Sgb1a1-derived tracheobronchiolar cell in mediating viral and allergen induced innate responses. Innate activated distal small airway preferentially elaborate cytokines associated with Th2 polarization and airway remodeling. These include CCL20, a mucin inducing cytokine, thymic stromal lymphopoietin (TSLP), a chemokine involved in Th2 polarization, and growth factors IL6 and transforming growth factor  $\beta$  (TGF $\beta$ ). The role of the tracheobronchiolar cell may participate in the linkage between childhood viral bronchiolitis and asthma.



**Figure 3.** Linkage of innate inflammation with airway remodeling and mesenchymal transition. Top panel schematic view of normal bronchiole (left) and asthmatic bronchiole (right) with structural remodeling. Bottom panel, mechanistic processes. Repetitive activation of toll like receptor (TLR) signaling by respiratory virus or aero-allergens activates mucosal NFkB/RelA to complex with the BRD4 coactivator in the airway epithelial cells. Subsequently, mesenchymal transition occurs with loss of epithelial adherens junctions (green), resulting in disruption of epithelial barrier function. Production of fibrogenic cytokines induces airway remodeling including myofibroblast transdifferentiation, and extracellular matrix formation. CD, cat dander; Col1, collagen; FN1, fibronectin 1; HDM, house dust mite allergen.



**Figure 4.** Epigenetic reprogramming of the innate anti-viral interferon response (IFN). Relationship of chronic activation of the TLR pathway with suppression of the interferon response factor-1 (IRF1) and type III IFN (IFNL) pathway. Acute activation of TLR signaling results in dramatic upregulation of the IRF1 transcription factor resulting in high levels of IFNL expression in the epithelial state. Repetitive activation of TLR-NFκB/RelA signaling triggers expression of the core mesenchymal regulator, Zinc Finger E-Box Binding Homeobox 1 (ZEB1). ZEB1 recruits a silencing histone methyltransferase EZH2 to inhibit IRF1 gene expression, resulting in reduced IFNL expression in mesenchymal state produced in airway remodeling. TLR, toll like receptor; TRIF, TIR domain containing adaptor inducing IFNβ; Myd88, Myeloid Differentiation Primary Response 88.