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Depression in Youth With Autism Spectrum Disorder

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Introduction

For several decades, the focus on understanding autism spectrum disorder (ASD) seemed to leave little room for research on co-occurring affective disorders. Additionally, diagnostic overshadowing, or the tendency for clinicians to overlook or dismiss depressive symptoms or behaviors as attributes of ASD, largely obscured clinical awareness of depression in youth with ASD. Pioneering work^{5,6} raised awareness of the syndrome of depression in ASD, and over the past decade, there has been a sharp increase in the number of research publications on this topic. The number of youth presenting with co-occurring ASD and depression often

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exceeds the clinical capacity to intervene in many (possibly the majority of) regions throughout North American, as well as globally. Stakeholders and funding agencies are swiftly coming to realize the importance and urgency of research on depression in ASD. Here we provide an overview of the prevalence, impact, presentation, and risk factors associated with co-occurring depression in children and adolescents with autism spectrum disorder (ASD). Clinical guidelines for the assessment and treatment of depression in the ASD population are also provided, with the caveat that these are emerging fields in which research is ongoing.

Prevalence and impact of depression in ASD

Establishing accurate and reliable prevalence rates of depression in ASD is challenging due to method variance (e.g., population-based versus convenience sample estimates; self-report versus caregiver report of depressive symptoms). However, the literature suggests that depression commonly co-occurs with ASD, with prevalence estimates that exceed estimates from the general population and comparable subpopulations, such as those with Intellectual Disability (ID).⁷ In samples of children and adolescents with ASD, current rates of depression generally fall in the range of 1–10% as diagnosed by parent report; these same studies tend to report elevated subsyndromal depression rates for an additional 10–15% of their samples.^{8,9} In a convenience sample of 1272 youth with ASD enrolled in the Autism Speaks Autism Treatment Network (ATN), parents reported depression diagnoses for 20.2% of adolescents aged 13–17.¹⁰ By approximate comparison, 8.4% of typically developing early adolescents (13–14 year olds) had major depressive disorder or dysthymia in a large U.S. representative study.¹¹

A recent meta-analysis¹ found that individuals with ASD are approximately four times more likely to experience depression compared to the general population when age ranges are pooled. Significantly elevated lifetime depression rates in ASD are associated with:

- Increasing age (40.2% in adult samples vs 7.7% in samples < 18 years old)
- Average to above average IQ (52.8% vs 12.2% when mean IQ is below average)
- Structured interviews to assess depression (28.5% vs 6.7% for other assessment instruments)
- Self-report (48.6% vs 14.4% via caregiver report)

Co-occurring depression has significant emotional, social, and behavioral consequences for individuals with ASD, including:

- Exacerbated impairments associated with ASD (e.g., diminished social motivation and adaptive functioning)^{5,12,13}
- Diminished quality of life, and increased caregiver burden, medication and service use^{2,3}
- Heightened physical (e.g., gastrointestinal problems, seizures), emotional (e.g., anxiety), and behavioral (e.g., aggression, inattention) comorbidities^{10,14}

- Increased suicidality in a population with elevated rates of suicidal ideation and attempts compared to the general population^{15,16}

Phenomenology of depression in ASD

Individuals with ASD exhibit traditional DSM-5¹⁷ depressive symptoms (e.g. sadness, decreased pleasure in most activities, cognitive and somatic symptoms, and suicidality). As shown in Table 1, they may also exhibit more atypical presentations of depression^{18,19,13} that focus on changes in engagement of special interests or other repetitive behaviors, and decreases in adaptive behavior skills and self-care. Within the heterogeneous autism spectrum, depression presentation likely depends on a variety of factors, several covered below in the section on vulnerability factors. While we lack prototypical benchmarks, we offer hypothetical “snapshots” of cases here:

- Cognitively-able and socially-motivated youth with ASD may experience sadness, increased irritability, anhedonia, sleep disturbance, diminished appetite, self-deprecatory thoughts, coupled with an exacerbation of their ASD symptoms (e.g., more intense circumscribed interests and increased rigidity).
- Youth with ASD and intellectual disability may present with increased crying, self-injury, aggression, perseveration, weight gain or loss, and toileting accidents.

ASD and depression have overlapping features in several key areas (see Figure 1), most notably more constricted or flat affect and social withdrawal. Historically, this has led to diagnostic overshadowing, which has hindered awareness, characterization, and diagnostic precision of depression in ASD samples.

Little is known about the course of depression in children and adolescents with ASD, though preliminary data indicate that depression may persist longitudinally. Specifically, girls may show a steeper increase in depressive symptoms throughout adolescence, on par with typically developing girls, whereas boys with ASD may have elevated symptoms in school-age years (compared to typically developing children and to girls with ASD) that persist into adulthood.²¹ Depressive symptoms may also be more likely to persist in children who are experiencing bullying or greater social communication difficulties.²²

Risk Factors

Researchers have posited several potential vulnerability factors for depression in ASD. Most of these come from independent studies of ASD and of typically developing depressed samples, with no direct comparison of the two clinical populations. Very few studies have employed longitudinal designs to capture the interplay between depressed mood and ASD symptoms over time. Below is a summary of data on potential candidate vulnerability factors associated with ASD and/or depression that may further our understanding of their co-occurrence.

Genetic/Neurobiological

- Higher familial rates of affective disorders have been reported in family members of individuals with autism, even prior to having a child with a developmental disability.²³
- ASD, major depressive disorder, and other mental health conditions have been found to share common genetic variance.²⁴ Serotonin and dopamine gene variants have been linked to more severe depressive symptoms in children with ASD.¹⁴
- Atypical neural processes related to serotonin,²⁵ microglia (indicating inflammatory processes),²⁶ amygdala anatomy and function,²⁷ and other functional or connectivity disruptions²⁰ have been associated with both depression and ASD in independent samples.

Demographic and individual characteristics

- Age: Evidence suggests that the risk for depression in ASD increases in adolescence,^{1,21,22} similar to patterns observed in the general population.¹¹ Adult ASD depression rates are significantly higher still than child rates in ASD¹ (several studies report lifetime depression rates ranging from 50% to 77% in adults with ASD^{28,29}), which provides context for the importance of recognizing and treating this issue at younger ages.
- Sex/gender: It is still unclear whether or not females with ASD are at a greater risk for depression than are males, in line with general population findings.³⁰ Studies suggest that girls with ASD are at equal, greater, or less risk of developing depression than boys with ASD.^{14,20} With emerging data on more frequent non-binary interpretations of gender in youth with ASD, it will also be important to study how gender identity influences mood in this special population.³¹
- Intellectual and verbal ability: Individuals with lower ASD severity, and average to above average IQ are at greater risk for depressive symptoms and suicidality¹ This finding suggests that greater insight into their social difficulties might confer risk for depression, and/or depressive symptoms are more easily overlooked in individuals with lower verbal ability and intellectual disability.²⁰
- Poor emotion regulation, and maladaptive coping strategies and/or thought patterns:
 - Children, adolescents, and transition-age youth with ASD have been reported to exhibit higher rates of negative self-perceptions (e.g., guilt, shame, feelings of worthlessness), maladaptive coping strategies (e.g., repetitive negative thinking), and perceived stress and inability to cope, all of which are associated with depression in the general population.²⁰
 - Children with ASD who employ adaptive coping strategies (i.e., problem-solving, seeking social support) compared to those who

engage in rumination and other maladaptive coping strategies appear to be at lower risk of depression.³²

- Other psychiatric comorbidities: Depression is associated with the presence of additional psychiatric comorbidities, such as anxiety, in children and adolescents with ASD.³³
- Social motivation: A desire to make meaningful connections paired with social communication impairments and negative social feedback in ASD could increase risk for depression.^{34,35} In adults with ASD as a proxy, greater social interest was associated with loneliness³⁶, and loneliness in turn has been associated with higher rates of depression and suicidality in individuals with ASD.^{36–38}

External variables that may function as vulnerability factors for depression in ASD

- Socioeconomic status (SES): The limited research on SES and depression risk in ASD is inconclusive, with findings of no relationship or a significant positive relationship.^{39–41}
- Social support: Adults with ASD who perceived greater social support and acceptance reported lower depressive symptoms,⁴² whereas lower perceived social support and social satisfaction has been associated with elevated depressive symptoms.³⁸ We do not know of equivalent data in children, but this suggested pathway may reasonably apply across the lifespan.
- Life stress/Trauma: People with ASD tend to have higher rates of stressful life experiences including stigma, bullying, and poor prospects for independence, employment, and romantic fulfillment.⁴³ Bullying and other stressful life experiences that were considered traumatic have been associated with depression in transition age youth and young adults with ASD in independent studies.^{22,44}

Diagnostic evaluation of depression

Multi-method multi-informant approach

As diagnostic instruments for assessing depression in ASD have not yet been psychometrically validated, and given the diagnostic complexity due to symptom overlap, a multi-method, multi-informant approach is strongly recommended to assess symptoms across multiple contexts. This includes gathering information from the individual with ASD, parents, teachers, and other professionals. The psychiatric evaluation includes but it is not limited to assessing the following domains:

- Current and past psychiatric history, onset and phenotype of depressive symptoms, typical and atypical presentations, teasing apart overlapping symptoms between depression and ASD, presence of other co-occurring conditions
- Family history of affective disorders and other psychopathology
- Developmental history and current level of functioning

- Educational placement and supports
- Psychosocial history which includes family functioning, trauma, and current stressful life events (e.g., recent traumatic experiences, bullying, changes in the home environment, social support)
- Psychological and interpersonal functioning (e.g., social interests, friendships, self-awareness of disability, isolation, recreational activities, ego strengths, self-attitude)
- Assessment of baseline behavior to determine recent behavioral changes and impact on functioning
- Medical history to rule out other conditions that may be contributing to depression (e.g., anemia, hypothyroidism)
- Suicidal risk assessment
- Mental status examination

Special diagnostic considerations in ASD

While conducting these assessments, clinicians are encouraged to keep in mind several factors that are unique to the diagnostic assessment in the ASD population. These include the following:

- Assessing symptom overlap between mood problems and autism (e.g., irritability, sleep and eating problems, inconsistent eye contact, constricted affect, and social isolation) (see Figure 1). Some symptoms may be part of the ASD, depression, or both. It is therefore important to carefully assess whether symptoms are new or are an exacerbation of baseline symptoms.
- Determining the validity of self-report: Social-communication deficits and inability to recognize and label emotions (i.e., alexithymia)⁴⁵ may prevent individuals on the spectrum from identifying and expressing emotional states, causing depressive symptoms to be overlooked by family and clinicians.
- Using depression measures with caution: At this time, there is not enough evidence to determine if instruments designed for the general population may be valid to assess depressed mood in ASD.⁴⁶
- Assessing for atypical presentations of depression in ASD (see Table 1).
- Evaluate for other comorbidities, particularly anxiety, gastrointestinal problems, seizures, and others known to co-occur with depression in ASD.¹⁴
- Screening for suicidality at every visit: Individuals with ASD are at high risk for suicidal thoughts and behaviors.⁴⁷ In addition to well-established suicidality assessment practices, it is important to gauge impulsivity and the repetitive nature of thinking about death or self-harm in patients with ASD.

Treatment of Depression in ASD

Development of evidence-based practices for treating depression in ASD is an ongoing and emerging area of research. Preliminary evidence indicates the effectiveness of adapted psychotherapeutic interventions from the general population to the ASD population. Medications for depression in typically developing youth can be also considered although data are lacking for their use in ASD.

Clinicians are encouraged to consider the following when making treatment decisions:

- Use a multi-modal approach that tailors the intervention to the patient's needs and interests (the authors refer readers to these detailed case studies²⁰)
- Employ a multi-disciplinary care team with coordination of services across relevant systems (i.e., home, social, educational, and vocational environments)
- Coordinate treatment modality with patient's level of cognitive functioning and social-emotional insight

Figure 2 presents therapeutic options with consideration of the patient's cognitive and verbal abilities and social-emotional insight. As noted in the bottom band of Figure 2, all patients may benefit from healthy living behaviors that have been shown to ameliorate and prevent depressive symptoms for the general population. Approaches that rely less on meta-cognitive skills (e.g., behavioral activation) may be better initial choices for minimally verbal or intellectually disabled patients with depression. Finally, cognitive behavioral and interpersonal therapies may be more appropriate for individuals with requisite cognitive, social, and emotional insight. Importantly, these treatment options are not equivalently evidenced-based and must be considered of potential utility on a case-by-case basis.

Psychotherapeutic treatments

Recent evidence suggests that *cognitive behavioral therapy* (CBT) can be effective in treating children and adolescents with comorbid ASD and depression.^{48,49} CBT approaches focus on helping clients identify and change common unhelpful thoughts and behaviors to encourage improvement in mood and overall functioning⁵⁰. Modifications to CBT protocols for ASD populations (see Kerns et al.⁵¹) include incorporating or considering:

- Psychoeducation to increase the individual's understanding of the depression diagnosis as a descriptor for maladaptive emotional symptoms (e.g., prolonged sadness), physical symptoms (e.g., fatigue, aberrant sleep patterns), and social consequences (e.g., social withdrawal and isolation), which helps to identify core skills for symptom improvement
- Hands-on interactive activities (e.g., role-playing, games)
- Visual analogue scales (e.g., fear thermometer)
- Technology (e.g., using phone applications to monitor daily mood)
- Parent and family involvement

- Group therapy to foster a community of social support and accountability, and to help the adolescent transition from family-centered support to peer support

The well-validated depression treatment protocol known as *behavioral activation* (BA)⁵² also may benefit youth on the spectrum. People with depression tend to isolate themselves and withdraw from pleasant activities. Using BA, individuals work on modifying behavior to increase opportunities for rewarding and positive experiences, thus improving mood over time. With less emphasis on insight and cognitive work, BA might be considered a first-line treatment for patients with co-occurring intellectual disability and depression.

Additionally, BA may be particularly effective in the following circumstances:

- During transition periods (e.g., moving, changing schools, transitioning into adulthood), as it provides structured activities to promote goal setting, attainment of goals, and mitigates tendencies for social withdrawal and isolation.⁵¹
- Patients with high levels of negative affect and minimal motivation for change. Increasing patient's access to rewarding experiences facilitates initial improvement in affect to provide hope and readiness to engage in other psychosocial interventions (e.g., CBT).

Finally, some studies have provided support for *mindfulness-based interventions* in decreasing depressive symptoms in adults with ASD,^{53,54} so we await results of child research on this modality. This approach is defined as being aware of thoughts, feelings, physical sensations and experiences in the present moment, without judgement.⁵⁵ Through meditation exercises (e.g., breathing, guided imagery, relaxation methods), patients learn to accept their feelings as a temporary state of mind, without over-analyzing the causes of their thoughts and emotions. Mindfulness interventions reduce maladaptive coping strategies, such as rumination, which is seen in ASD and in depressed individuals in the general population.^{56,57} Though research is limited, mindfulness remains a promising treatment for reducing depression in ASD.

Psychopharmacological treatments

Despite pharmacological evidence supporting the use of selective serotonin reuptake inhibitors (SSRIs) for depression in typically developing youth,⁵⁸ evidence for their efficacy in children and adolescents with ASD are lacking. In fact, to date, there are no randomized controlled trials of antidepressant medications for the treatment of depression in children and adolescents with ASD. Yet, SSRIs are one of the most commonly prescribed class of medications in individuals on the spectrum.⁵⁹ Existing studies examining the efficacy of SSRIs for other conditions (e.g., repetitive behaviors) in youth ASD indicate high rates of behavioral activation (e.g., impulsivity, aggression, disinhibition⁶⁰; note that this is different from behavioral activation mentioned above as a therapeutic modality). Therefore, these medications should be prescribed cautiously for depression in youth with ASD with careful analysis of the risk/benefit ratio and close monitoring. Particular considerations when prescribing SSRIs include:

- Obtaining consent from the parent and from the individual with ASD if possible
- Eliciting a family history of bipolar disorder

- Starting with low doses and titrating slowly
- Routine monitoring of side effects making every effort to elicit information from both the caregiver and individual with ASD
- Psychoeducation about medication side effects, with particular attention to providing parents with a clear plan about how to address behavioral activation and risk of mania should this occur
- Identifying objective treatment targets that can be tracked over time
- Establishing a timeline for assessing treatment efficacy, with a plan to taper and discontinue the medication if there is no benefit

Summary and Future directions

Depression is common in youth with ASD, particularly for adolescents and those individuals with average or greater cognitive ability. Depression is associated with several negative outcomes, including functional impairments beyond those associated with autism itself and significant burden on the family system. Accurate screening and assessment of depression in people with ASD is complicated by uncertain validity of self-report, alexithymia and poor insight common to ASD, and overlapping symptoms between ASD and depression.

Research is needed to elucidate the presentation of depression in people with ASD across age, gender, and ability ranges, in order to refine assessment practices for this commonly co-occurring disorder. In addition, identifying specific pathways to mood problems in this population will be important to understanding risk factors and contributing mechanisms, potentially informing targets for more precise and effective intervention.

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KEY POINTS

- Depression is a commonly co-occurring disorder in individuals with autism spectrum disorder (ASD), with lifetime rates approximately four times greater than the general population when pooled across age ranges (7.7% in child ASD samples; 40.2% in adults with ASD).¹
- Depression in ASD compromises adaptive functioning and quality of life, and is associated with increased risk of medication and service use, suicidality, other forms of self-injury, and caregiver burden.²⁻⁵
- Assessment and diagnosis of depression in young people with ASD is challenging due to symptom overlap between the disorders and lack of validated psychometric instruments for assessing depressive symptoms in ASD.
- Evidence for effective treatment of depression in youth with autism spectrum disorder is limited, but adapted psychotherapies show some promise.

SYNOPSIS

Depression is both common and impactful in youth with autism spectrum disorder (ASD), and is swiftly growing in recognition as a major public health concern within the autism community. This article is intended to provide a brief overview of the prevalence, impact, presentation, and risk factors associated with co-occurring depression in children and adolescents with ASD. Clinical guidelines for the assessment and treatment of depression in the ASD population are offered in line with the small existing evidence base.

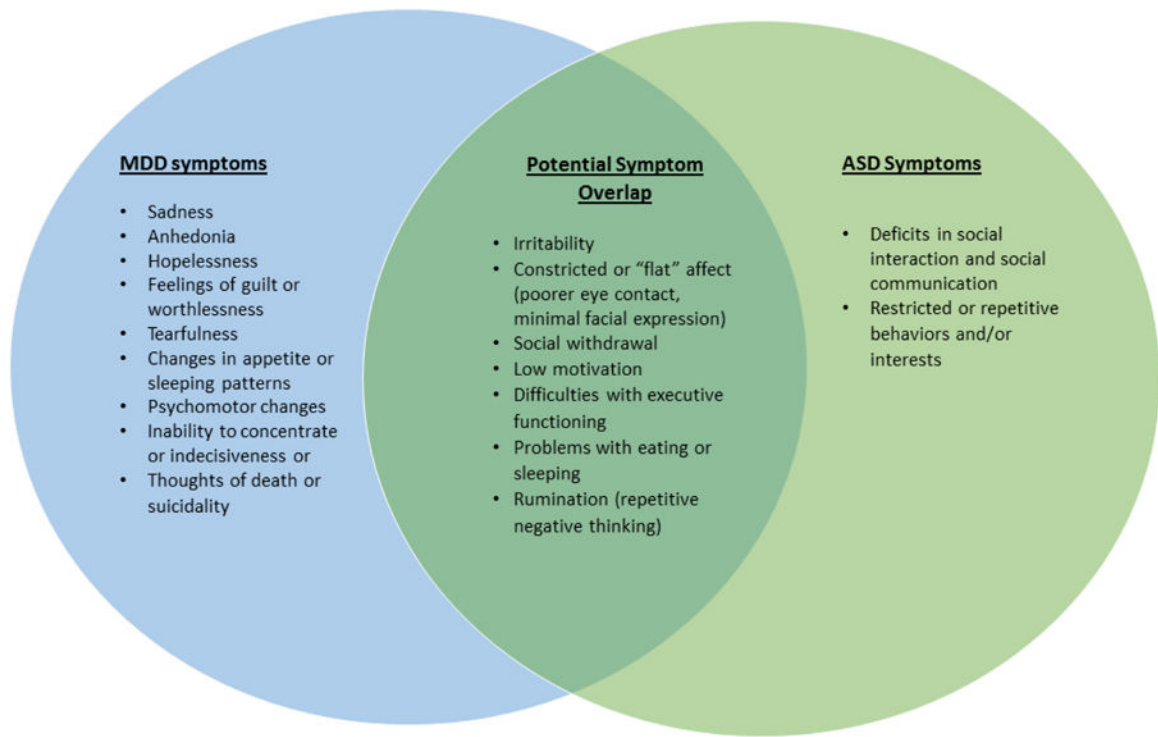


Figure 1.
Potential symptom overlap in Major Depressive Disorder and Autism Spectrum Disorder.

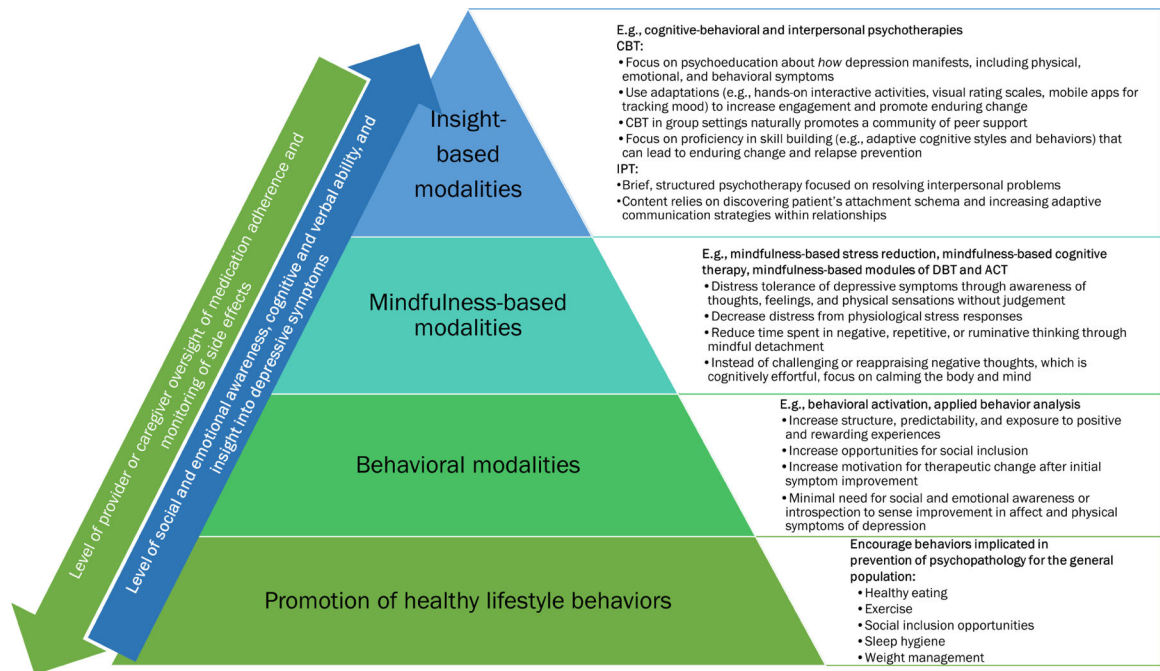


Figure 2. Considerations in potential treatments for depression in ASD. These treatment modalities are neither equivalently nor thoroughly evidenced-based at this point in time, particularly with regard to *youth* with ASD, and must be considered of potential utility on a case-by-case basis.

Table 1.

Symptom presentation of depression in Autism Spectrum Disorder (ASD)

Prototypical depression symptoms that commonly mark depression in ASD	Depression symptoms that may be more specific to ASD
<ul style="list-style-type: none"> • Depressed and/or irritable mood • Loss of pleasure in previously enjoyed activities • Hopelessness and tearfulness • Negative beliefs about oneself • Feelings of failure or worthlessness • Constricted affect • (Increased) Social withdrawal • Change in appetite (increased or decreased) • (Increased) Sleep problems • Poor concentration abilities • Lack of motivation • Thoughts about death or suicidal ideation 	<ul style="list-style-type: none"> • Increased irritability • Changes in circumscribed interests (CI): <ul style="list-style-type: none"> ■ Decreased pleasure in ■ Increased intensity ■ Change to darker/morbid content • Increased repetitive behaviors • Increased anxiety or insistence on sameness • Increase in aggression or self-injury • Regressive behavior • Decline in self-care

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