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[Intervention Review]

Automated weaning and spontaneous breathing trial systems versus non-automated weaning strategies for discontinuation time in invasively ventilated postoperative adults

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ABSTRACT

Background

Automated systems use closed-loop control to enable ventilators to perform basic and advanced functions while supporting respiration. Selected automated systems can now not only measure selected respiratory variables and adapt ventilator output to individual patient needs by operationalizing predetermined algorithms but also automate the conduct of spontaneous breathing trials (SBTs).

Objectives

To summarize the evidence comparing automated weaning and SBT systems to non-automated mechanical ventilation strategies on time to mechanical ventilation discontinuation in adult postoperative patients. In secondary objectives we ascertained differences between automated weaning and SBT systems and non-automated mechanical ventilation discontinuation strategies on clinical outcomes (time to successful extubation, time to first SBT and first successful SBT, mortality, total duration of ventilation, intensive care unit (ICU) and hospital lengths of stay, use of non-invasive ventilation (NIV) following extubation, and adverse events).

Search methods

We searched CENTRAL (*The Cochrane Library* 2013, Issue 5); MEDLINE (OvidSP) (1966 to May 2013); EMBASE (OvidSP) (1988 to May 2013); CINAHL (EBSCOhost) (1982 to May 2013), Evidence Based Medicine Reviews and Ovid Health Star (1999 to May 2013), conference proceedings, trial registration websites, and contacted authors and content experts to identify potentially eligible trials.

Selection criteria

Randomized and quasi-randomized trials comparing automated weaning and SBT systems to non-automated mechanical ventilation discontinuation strategies in intubated adults in the postoperative setting.

Data collection and analysis

Two review authors independently assessed trial quality and abstracted data according to prespecified criteria. Sensitivity and subgroup analyses were planned to assess the impact of the type of (i) clinician primarily involved in implementing the automated weaning and SBT systems, (ii) intensive care unit (ICU), and (iii) non-automated discontinuation (control) strategy utilized on selected outcomes.

Main results

We identified one randomized controlled trial of high quality, involving 300 patients, comparing SmartCare™ to a written protocol. In this trial, SmartCare™ had no effect on discontinuation time. While SmartCare™ significantly reduced the time to the first SBT (mean difference (MD) -0.34 days, 95% CI -0.60 to -0.08; $P = 0.01$) it did not reduce the time to the first successful SBT (MD -0.25 days, 95% CI -0.55 to 0.05; $P = 0.10$) and other clinically important outcomes. SmartCare™ did not demonstrate beneficial effects on most clinically important outcomes including time to successful extubation, total duration of mechanical ventilation, ICU and hospital lengths of stay, and the requirement for tracheostomy. Moreover, SmartCare™ did not favourably impact reintubation, mortality, self-extubation, and the proportion of patients undergoing protracted mechanical ventilation, with a small numbers of events in this single trial.

Authors' conclusions

There is a paucity of evidence from randomized controlled trials to support or refute use of automated weaning and SBT systems in discontinuing invasive mechanical ventilation in adult postoperative patients. In a single large trial of high methodologic quality, while the use of SmartCare™ to adjust ventilator settings and conduct SBTs shortened the time to undergoing the first SBT, it did not reduce the time to the first successful SBT or the rate of tracheostomy compared to a written protocol implemented by physicians. SmartCare™ did not demonstrate beneficial effects on clinically important outcomes including time to mechanical ventilation discontinuation, time to successful discontinuation, total duration of mechanical ventilation, and ICU and hospital lengths of stay. Additional well-designed, adequately powered randomized controlled trials are needed to clarify the role for SmartCare™ on important outcomes in patients who predominantly require short term ventilation and in specific postoperative patient populations.

PLAIN LANGUAGE SUMMARY

Use of SmartCare™ to aid discontinuing mechanical ventilation of adults in the postoperative period

We searched the medical literature databases to May 2013. In a single large trial of high methodologic quality that involved 300 patients, use of a system (SmartCare™) that automatically adjusted ventilator settings and conducted tests of patients' ability to breathe spontaneously there was no clear benefit of SmartCare™. While it reduced the time to undergo the first test of spontaneous breathing, it did not reduce the time to the first successful spontaneous breathing test compared to a written weaning protocol applied by physicians. There was no clear benefit of SmartCare™ on other clinically important outcomes including the time to successful discontinuation of artificial ventilation, the total duration of mechanical ventilation, the time spent in the intensive care unit and hospital, and the requirement for tracheostomy (an airway inserted into the trachea). Further studies are needed to clarify the role of SmartCare™ in the postoperative setting, in general, and in specific patient populations.

BACKGROUND

Description of the condition

Postoperative patients consist of several different populations including those who are otherwise healthy, the acutely ill and the chronically ill, and each population has different needs. Otherwise healthy patients are typically extubated following surgery unless an unexpected event occurs (Price 1999). These events include difficult intubation, a prolonged operation, excessive fluid administration, a protracted intraoperative course, requirement for administration of large amounts of sedative or paralytic agents, concerns regarding airway oedema, and unanticipated intraoperative events. Under these circumstances, otherwise healthy patients may require postoperative ventilation. Acutely ill patients may enter the operating room from the emergency department, a hospital ward, or an intensive care unit (ICU). Similar to acutely ill patients, chronically ill patients may be intubated and mechanically ventilated at the time of presentation to the operating room, or may undergo intubation in the operating room. However, chronically ill patients frequently have underlying conditions that may render extubation after surgery challenging or inadvisable.

Several conditions and predisposing factors are recognized to complicate successful extubation following surgery. These conditions include chronic lung disease (Barisone 1997), cardiovascular disease, neuromuscular disorders (Witt 1991), age (Mircea 1982), sex (Barisone 1997), and obesity (Mircea 1982). Surgical procedures in the thorax and upper abdomen are more likely to cause splinting of respiration, resulting in atelectasis and predisposition to postoperative pulmonary complications. Surgery has been shown to reduce peak flows, forced vital capacity by 50%, and functional residual volume by up to 70% (Barisone 1997; Dureuil 1986). Moreover, upper abdominal surgery impairs diaphragmatic function due to reflexes from the peritoneum and chest wall that impede phrenic nerve function (Dureuil 1986). Factors including postoperative use of nasogastric intubation and a longer duration of the surgery have been shown to significantly increase postoperative pulmonary complications (acute bronchitis, bronchospasm, atelectasis, pneumonia, adult respiratory distress syndrome, pleural effusion, pneumothorax, prolonged mechanical ventilation, or death secondary to acute respiratory failure) (Mitchell 1998). Finally, metabolic derangements or medications may result in respiratory depression by altering serum levels of paralytic agents, up regulating acetylcholine receptors at motor end-plates (Lee 1995), blocking sodium channels on acetylcholine receptors (Bowman 1993), potentiating the action of neuromuscular blockers (Viby-Mogensen 1981), and interfering with muscle conductance (Argov 1979) or synaptic function (Price 1999).

Description of the intervention

If patients cannot be extubated postoperatively, a mode of ventilation must be chosen to support respiration until the factors precipitating respiratory compromise can be addressed. Several modes of mechanical ventilation are available and their choice, either as an initial mode of support or to transition patients to extubation, depends upon the patient's ability to breath spontaneously, underlying comorbid illnesses, and the clinical circumstances. Not all patients require formal weaning, or

transitioning the work of breathing from the ventilator back to the patient. In elective surgical populations the majority of patients have no lung pathology prior to surgery and may only require a strategy to discontinue support once the effects of the anaesthetic agents have abated.

With volume controlled ventilation (VCV), clinicians set the tidal volume (V_T), respiratory rate, peak flow rate, flow pattern, fractional concentration of oxygen (FiO_2), and the positive end-expiratory pressure (PEEP) delivered; inspiration terminates after delivery of the preset V_T . Synchronized intermittent mechanical ventilation (SIMV) and assist control (AC) are two commonly used modes of volume-limited ventilation. Patients can increase their minute ventilation (V_E) by initiating spontaneous breaths with variable V_T (SIMV) or by triggering additional breaths delivered at a preset V_T (AC).

With pressure-controlled ventilation (PCV), clinicians set an inspiratory time (or inspiratory to expiratory ratio), inspiratory pressure level, respiratory rate, FiO_2 and PEEP. Inspiration ends after a set inspiratory pressure is delivered for a set time inspiratory time. With PCV, tidal volumes vary according to airway resistance, compliance, endotracheal tube resistance, set inspiratory pressure and end-expiratory alveolar pressure. Compared to VCV, PCV limits maximal inspiratory pressure.

With pressure support (PS), breaths triggered by patients are supported up to a predetermined inspiratory pressure level. Unlike PCV, the ventilator cycles into expiration after inspiratory flow has decreased to a predetermined level. PS is thus a spontaneous mode of ventilation where all breaths are initiated by the patient and supported by a preset pressure. This preset pressure can be titrated up or down by the clinician according to the respiratory status of the patient. PS can be used in combination with SIMV (SIMV + PS) such that breaths triggered in the spontaneous period are supported by a preselected PS level (Banner 1997). With SIMV + PS the end of the inspiratory period occurs either after a set time for an SIMV breath or following a predetermined decrease in flow after a PS breath. SIMV can provide a range of ventilatory supports. With SIMV, patients can increase their minute ventilation by triggering a mandatory breath (in the SIMV period) or a spontaneous breath (if triggering occurs earlier in a spontaneous period) prior to the next mandatory breath.

Early attempts were made to enable interaction between patients and the ventilator adapted SIMV and PS (Strickland 1991; Strickland 1993). More recently investigators have conducted pilot trials (Bouadma 2005) and retrospective studies (Kataoka 2007) of automated systems that adapt PS alone. Automated systems use closed-loop control to enable ventilators to perform basic and advanced functions while supporting respiration. Closed-loop systems adapt the ventilator output by comparing measured and targeted values of selected respiratory variables and either minimizing, equilibrating (negative feedback) or amplifying (positive feedback) the differences between these values (Burns 2008). Automated modes of mechanical ventilation use more sophisticated closed-loop systems to enable interaction between patients and the ventilator.

How the intervention might work

Several closed-loop, automated systems are currently marketed. Mandatory minute ventilation (MMV) (Evita 4, Draeger Medical Inc, Lübeck, Germany) combines features of controlled ventilation with mandatory and spontaneous breaths as does VCV + PS or SIMV + PS. Clinicians can set V_T , the mandatory breath rate, the level of PS provided during spontaneous breaths and a target V_E . After considering the patient's spontaneous respiratory rate, MMV adapts the mandatory respiratory rate to achieve the target V_E . Adaptive support ventilation (ASV) (Galileo, Raphael and Hamilton-G5, Hamilton Medical AG, Rhaezuens, Switzerland) is an automated system that adapts inspiratory pressure in PCV or PS mode to achieve a target V_T . ASV targets a desired V_E , set as a percentage of normal ventilation, and seeks the optimal V_T and respiratory rate (least energy expenditure) to achieve this V_E using the Otis equation. Neither MMV nor ASV automate the conduct of SBTs. Conversely, SmartCare™ (Draeger Medical Inc, Lübeck, Germany) measures selected respiratory variables, adapts ventilator output by operationalizing predetermined algorithms, and automates the conduct of spontaneous breathing trials (SBTs) (Burns 2008). To initiate SmartCare™, end-users enter the patient's weight, the presence or absence of chronic obstructive pulmonary disease (COPD) or a central neurologic disorder, the type of airway prosthesis (tracheostomy or oro-nasal endotracheal tube), and the type of humidification (heated humidification (HH) or heat and moisture exchanger (HME)) in use. The first three variables establish limits for respiratory rate, V_T , and partial pressure of end-tidal carbon dioxide (PETCO₂), and the latter two items determine the threshold to cycle into a SBT (ranging from 5 to 12 cm H₂O). SmartCare™ categorizes patients into one of eight diagnostic categories based on average measurements of these variables that are made every two to five minutes (Burns 2008). With SmartCare™, patients may have a respiratory rate ranging from 15 to 30 breaths/min (RR min), alternatively 34 breaths/min for patients with neurologic disease (RR max), a V_T above a minimum threshold (V_T Min = 250 mL if weight < 55 kg, or V_T Min = 300 mL if weight > 55 kg) and a PETCO₂ below a maximum threshold (max PETCO₂ = 55 mmHg or max PETCO₂ = 65 mm Hg for COPD patients). SmartCare™ ascribes a state of normal ventilation when a patient's ventilatory measurements fall within these constraints. If the patient's measured values fall outside of the constraints, an alternate diagnosis is made and the system adjusts the level of PS provided, up or down, to achieve these targets.

SmartCare™ automatically initiates a SBT (observation period) when predetermined PS thresholds are reached, provided that the patient is in a state of normal ventilation and their PEEP is \leq 5 cm H₂O. The 'observation period' varies from 30 minutes to two hours in duration. Upon successful completion of an SBT, the ventilator issues a directive stating that the patient is 'ready for separation from ventilator'. Clinicians must ensure that patients meet criteria to proceed with extubation. In the SmartCare™ system, clinicians control titration of the FiO₂ and PEEP. Consequently, if PEEP is not titrated to \leq 5 cm H₂O an SBT will not be conducted. Clinicians can specify whether the automated algorithms are applied during the day only or continuously.

Why it is important to do this review

Regardless of the mode of ventilation selected to support patients in the postoperative period, limiting the duration of invasive ventilation and identifying the optimal time for discontinuation in order to limit development of postoperative complications, especially pulmonary complications, is an important goal in providing care for postoperative patients. Systems that automate weaning and SBTs obviate the need for clinicians to recognize and manually adjust ventilator settings to wean and conduct SBTs. Consequently, with these systems liberation is unencumbered by limited clinician availability in the busy intensive care unit (ICU) setting. In this review, we will identify, critically appraise and synthesize the best current evidence comparing automated weaning and SBT systems to non-automated systems to discontinue mechanical support in invasively ventilated adults in the postoperative setting.

OBJECTIVES

To summarize the evidence comparing automated weaning and SBT systems to non-automated mechanical ventilation strategies on time to mechanical ventilation discontinuation in adult postoperative patients. In secondary objectives we ascertained differences between automated weaning and SBT systems and non-automated mechanical ventilation discontinuation strategies on clinical outcomes (time to successful extubation, time to first SBT and first successful SBT, mortality, total duration of ventilation, intensive care unit (ICU) and hospital length of stay, use of non-invasive (NIV) ventilation following extubation, and adverse events).

METHODS

Criteria for considering studies for this review

Types of studies

We included randomized controlled trials (RCTs) and quasi-randomized trials comparing automated weaning and SBT systems to non-automated mechanical ventilation discontinuation strategies. Whereas an RCT was defined as a study that generates an unpredictable sequence to allocate participants to study groups (for example, a random number table, computer-generated random numbers, shuffling of envelopes or throwing dice) (Higgins 2011), a quasi-randomized trial was defined as trials where participants are allocated to treatment arms by alternate or predictable assignment.

Types of participants

We included trials investigating alternative discontinuation strategies in adults in the postoperative setting. We used authors' definitions of adults as criteria for admission to adult ICUs may vary internationally. We did not restrict the participants to specific population characteristics, including sex, age, race or the presence of selected risk factors. We excluded trials wherein the majority of patients required more protracted ventilation (that is, weaning as opposed to planned short term ventilation) or that involved exclusively tracheostomized patients.

Types of interventions

We included RCTs and quasi-randomized trials that compared automated weaning and SBT systems to non-automated

discontinuation strategies. Non-automated strategies included usual care, standard care, protocolized care or other strategies (as defined by the study authors) but did not involve the use of a nearly fully automated system. We excluded modes that are not usually used for discontinuation of mechanical ventilation (for example, AutoFlow (Draeger Medical Incorporated)) and pressure-regulated volume control (Maquet Dynamed, Tyco), nearly fully automated systems (for example, ASV (Hamilton Medical)) and modes that switch from pressure control (PC) to pressure support (PS), that is, Automode (Maquet Dynamed, Tyco Healthcare) and strategies in which modifications of PS were linked to inspiratory flow (automatic tube compensation). Non-automated discontinuation strategies included usual care, standard care, protocolized care or other strategies (as defined by the study authors) but did not involve the use of a closed-loop system. We excluded studies that: (i) compared the alternative strategies as weaning strategies (that is, weaning as opposed to planned short term ventilation for the majority of patients), (ii) explored the use of non-invasive ventilation (NIV) in this regard (that is, extubation to NIV), (iii) evaluated exclusively tracheostomized patients, or (iv) explored the use of a nearly fully automated closed-loop system (applied invasively or non-invasively) in the control arm.

Types of outcome measures

Primary outcomes

The primary outcome was time to mechanical ventilation discontinuation (from randomization to extubation) as defined by the study authors.

Secondary outcomes

Our secondary outcomes included:

1. time to successful extubation (time from randomization to successful extubation, as defined by study authors);
2. time to first SBT and first successful SBT (times from randomization to first SBT and first successful SBT, as defined by study authors);
3. mortality (the most protracted and at times reported by study authors);
4. total duration of ventilation (time from invasive ventilation initiation to extubation, as defined by the study authors);
5. ICU length of stay;
6. use of non-invasive ventilation (NIV) following extubation;
7. adverse events (including reintubation, self-extubation, requirement for tracheostomy and prolonged ventilation, as defined by the study authors);
8. hospital length of stay.

To be included, studies had to report at least one of the aforementioned primary or secondary outcomes.

Search methods for identification of studies

Electronic searches

We used database specific search strategies to search the Cochrane Central Register of Controlled Trials (CENTRAL) (*The Cochrane Library* 2013, Issue 5); MEDLINE (OvidSP) (1966 to May 2013); EMBASE (OvidSP) (1988 to May 2013); CINAHL (EBSCOhost) (1982 to May 2013), Evidence Based Medicine Reviews and Ovid Health Star (1999 to May 2013) to identify potentially eligible trials. We based

our search strategies on the optimally sensitive search strategies of The Cochrane Collaboration in order to identify randomized trials in MEDLINE and EMBASE (Dickerson 1994; Lefebvre 2001; Robinson 2002). We combined our subject search terms in MEDLINE with the Cochrane highly sensitive search strategy for identifying RCTs as contained in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). We adapted our MEDLINE search strategy to other databases (see Appendix 1: CENTRAL; Appendix 2: MEDLINE; Appendix 3: EMBASE; Appendix 4: CINAHL; Appendix 5: Evidence based Medicine Reviews; and Appendix 6: Ovid Healthstar). We did not limit our search by language or publication status.

Searching other resources

We contacted the first authors of all included studies and content experts to obtain additional information on unpublished trials or trials in progress. We searched the bibliographies of all retrieved trials and review papers for potentially relevant trials. Additionally, we handsearched conference proceedings from five scientific meetings (Annual Congress of the European Society of Intensive Care Medicine (2001 to 2011), College of Chest Physicians (2003 to 2011), American Thoracic Society (2004 to 2011), the International Symposium of Intensive Care and Emergency Medicine (2004 to 2011), and Critical Care Medicine (2004 to 2012)) to identify abstracts of randomized trials meeting our inclusion criteria. Finally, we searched for ongoing trials on the following websites: www.controlled-trials.com, www.clinicalstudyresults.org and <http://clinicaltrials.gov>.

Data collection and analysis

Trial identification

We utilized the methods of the Cochrane Anaesthesia Review Group. Two authors (KB, FL) independently screened titles and abstracts identified by electronic and manual searches. Two authors (KB, JF) retrieved and evaluated the full text versions of potentially relevant trials.

Selection of studies

Two authors (KB, JF) independently selected trials meeting the study inclusion criteria using a checklist developed for this purpose (Appendix 7). We resolved disagreements first through discussion then in consultation with a third review author (ML) if agreement could not be achieved. We recorded reasons for study exclusion in the table 'Characteristics of excluded studies'. One author (JF) handsearched conference proceedings.

Data extraction and management

Two authors (KB, JF) independently extracted data using a standardized data collection form (Appendix 7) that included information regarding the name of the first author, year of publication, study design, study population and study setting. In addition to information pertaining to patient characteristics, study inclusion and exclusion criteria, details of the interventions compared, clinicians involved in implementing the discontinuation strategies and study outcomes, we extracted information regarding study methodology. This included the method of randomization, allocation concealment, frequency and handling of withdrawals, selective outcomes reporting, stopping early for benefit, and adherence to the intention-to-treat principle. We attempted to contact the first authors of included trials to obtain missing data

or to clarify study design features, where necessary. We resolved disagreements through discussion and in consultation with a third review author (ML) as required. We did not blind reviewers to the names of the study authors, investigators, institutions, nor the study results.

Assessment of risk of bias in included studies

The quality of all included trials was assessed by two authors (KB, JF), independently and in duplicate. We judged study quality on the basis of the following (Higgins 2011).

1. Was sequence generation truly random?

Adequate sequence generation included reference to a random number table, use of a computer random number generator, coin tossing, shuffling cards or envelopes, throwing dice, drawing lots, or minimization.

2. Was allocation adequately concealed?

Adequate allocation concealment included central randomization (for example, allocation by a central office unaware of participant characteristics unless based on stratification); an on-site computer system combined with the allocation sequence being kept in a locked unreadable computer file accessed only after the characteristics of an enrolled participant were entered; sequentially numbered, sealed, opaque envelopes; or other similar approaches that ensured the person generating the allocation sequence did not administer it.

3. Was knowledge of the allocated interventions adequately prevented during the study?

Blinding of study participants and personnel from study intervention allocation after inclusion of participants is not feasible, however, we judged whether outcome assessors were separate from the individuals administering or supervising the assigned interventions.

4. Were withdrawals described and did they occur with similar frequency between the intervention and control groups?

5. Were reports of the study free of suggestion of selective outcome reporting?

6. Did the trial stop early for benefit? What was the impact of stopping of the trial early, if applicable?

7. Were participants analysed according to the intervention to which they were allocated, whether they received it or not?

Within studies, we described what was reported for each domain and contacted study authors for further information.

We assigned a judgement related to the risk of bias for each domain as follows:

'Yes', criteria appropriately applied and described in the report or acknowledged from the primary author of the study;

'Unclear', criteria not described or impossible to acquire from the author;

'No', criteria inappropriately applied.

A judgement of 'Yes' indicated a low risk of bias, 'No' indicated a high risk of bias, and 'Unclear' indicated an unknown or unclear risk of bias. For example, low risk of bias was assigned when allocation concealment was adequate (including central

randomization such as allocation by a central office unaware of participant characteristics; on-site computer system combined with allocation kept in a locked unreadable computer file that could be accessed only after the characteristics of an enrolled participant had been entered; sequentially numbered, sealed, opaque envelopes or other similar approaches that ensured the person who generated the allocation scheme did not administer it). We assigned an unclear risk of bias when allocation concealment was unclear or when the authors did not clearly report their approach; and a high risk of bias when allocation concealment was not applied. We evaluated the impact of methodologic quality (low or unclear versus high risk of bias) on discontinuation time. We planned to construct a 'Risk of bias' (RoB) table to depict the results. Two authors (KB, JF) entered data into Review Manager (RevMan 5.1) for statistical analysis.

We used the principles of the GRADE system (Guyatt 2008) to assess the quality of the body of evidence in our review associated with specific outcomes (discontinuation time, time to successful extubation, time to first SBT and first successful SBT, mortality, total duration of mechanical ventilation, ICU length of stay, and reintubation) and constructed a 'Summary of findings' (SoF) table using the GRADE software. The GRADE approach appraises the quality of a body of evidence based on the extent to which one can be confident that an estimate of effect or association reflects the item being assessed. The quality of a body of evidence considered: within study risk of bias (methodologic quality), the directness of the evidence, heterogeneity of the data, precision of effect estimates, and risk of publication bias.

Measures of treatment effect

We summarized the treatment effect using risk ratio (RR) and the mean difference (MD) for binary and continuous outcomes, respectively.

Unit of analysis issues

We used proportions for binary outcomes and preferentially used mean and standard deviation, where reported or available through correspondence with authors, in pooled analyses. Summary estimates constitute the units of analysis in this review.

Dealing with missing data

For published reports with insufficient or ambiguous information, we contacted investigators, where feasible, to clarify study methods and inquire about missing data.

Assessment of heterogeneity

We assessed clinical heterogeneity by judging, qualitatively, the differences between studies with regard to the populations enrolled, implementation of the discontinuation strategies, and outcomes reporting. We conducted statistical tests of heterogeneity and assessed the impact of heterogeneity for each outcome using the I^2 statistic. This statistic describes the percentage of total variance across studies that can be attributed to heterogeneity rather than chance (Higgins 2003). We considered I^2 statistic thresholds of 0% to 40%, 30% to 60%, 50% to 90% and $\geq 75%$ to represent between study heterogeneity that might not be important, moderate, substantial or considerable, respectively (Higgins 2011). In the absence of appreciable heterogeneity that precluded pooling, we performed meta-analyses using random-

effects models (RE) and reported summary estimates with their associated 95% confidence intervals (CI).

Assessment of reporting biases

Publication bias occurs when published trials are not fully representative of all completed trials, as positive trials (large and small) tend to be published more often than negative trials, especially small, negative trials. We examined funnel plots (a graphical display) for asymmetry and the size of the treatment effect (primary outcome) against trial precision (1/standard error) to assess for publication bias, if a sufficient number (at least 10) of studies were identified (Egger 1997).

In the included studies, interventions were continuously applied and outcomes were reported at multiple time points. We recognized that the conduct of multiple analyses increases the chance of spurious positive findings. While many statistical approaches have been developed to adjust for multiple testing, there is no consensus regarding when multiplicity should be taken into consideration. Further, adjustments for multiple testing are not routinely conducted in systematic reviews. Consequently, a priori, we proposed to highlight the primary outcome and the first five secondary outcomes in this protocol as key outcomes featured in the SoF table. Additionally, we emphasized estimation of intervention effects rather than tests for them and considered subgroup analyses as exploratory in nature.

Data synthesis

We used RE models to pool data quantitatively, using Review Manager 5.1 software (RevMan 5.1), when studies were overall clinically similar.

Subgroup analysis and investigation of heterogeneity

A priori, we planned to perform subgroup analyses to assess the impact of the following study design features on discontinuation time, ICU length of stay, mortality, use of NIV, and reintubation:

1. the type of clinician principally involved in implementing the automated discontinuation strategy (i.e., registered respiratory

therapist (RRT) versus other, including mixed clinicians), as defined by the study authors;

2. the type of ICU (i.e., medical and surgical, and purely surgical versus purely medical, including coronary care units), as defined by the study authors;
3. the type of non-automated (control) discontinuation strategy utilized (predominantly protocolized versus predominantly non-protocolized care, or other), as defined by the study authors.

We anticipated that subgroup analyses would be underpowered. We viewed subgroup analyses as exploratory given their tendency to generate misleading conclusions (Oxman 1992; Yusuf 1991).

Sensitivity analysis

A sensitivity analysis was planned to assess the impact on discontinuation time of excluding studies with a high risk of bias.

RESULTS

Description of studies

We identified 12 randomized trials (Beale 2007; Bifulco 2008; Burns 2012; Jiang 2006; Lellouche 2006; Ma 2010; Papirov 2007; Reardon 2011; Rose 2008; Schadler 2012; Stahl 2009; Wong 2008) comparing SmartCare™ to a non-automated strategy and potentially meeting our study inclusion criteria, including one quasi-randomized trial (Jiang 2006). We did not identify other automated weaning and SBT systems other than SmartCare™. Through correspondence, one author confirmed that the trial never started (Beale 2007); another acknowledged that their trial was stopped due to slow recruitment after enrolment of three patients (Wong 2008); and a final author confirmed that his trial included exclusively tracheostomized patients and stopped prematurely due to a need to return the study ventilators (Papirov 2007). All three trials (Beale 2007; Papirov 2007; Wong 2008) were identified on trial registration websites.

Results of the search

We screened 2560 citations to identify 18 articles that potentially met our inclusion criteria (Figure 1).

Figure 1. Study flow diagram.

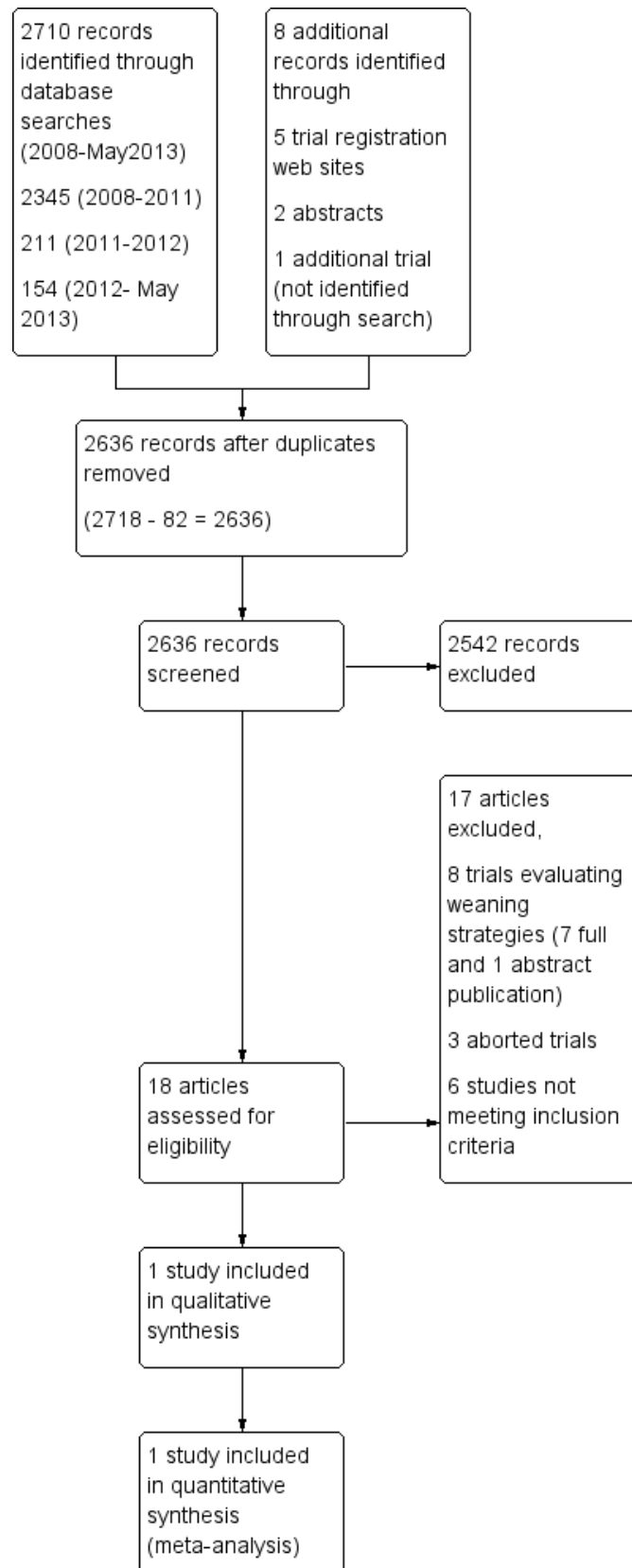


Figure 1. (Continued)

(meta-analysis)

Included studies

Only one trial (Schadler 2012), published in full, evaluated SmartCare™ as a discontinuation strategy in a postoperative population requiring short term ventilation. Full details of the participants, interventions and outcomes for this trial (Schadler 2012) are provided in the Characteristics of included studies table.

Excluded studies

Eight trials (Bifulco 2008; Burns 2012; Jiang 2006; Lellouche 2006; Ma 2010; Reardon 2011; Rose 2008; Stahl 2009) evaluated patients who received mechanical ventilation after approximately 24 hours of invasive ventilation and were deemed weaning trials and were excluded. These trials are summarized in a separate review (Burns 2010b). Additionally, we excluded three aborted trials (Beale 2007; Papirov 2007; Wong 2008) and six studies (Chen 2008; Donglemans 2007; Jolliet 2006; Jouviet 2007; Kataoka 2007; Taniguchi 2009) not meeting the study inclusion criteria (Characteristics of excluded studies). The two review authors (KB, JF) achieved complete agreement on study selection.

In a randomized, open label study conducted at three ICUs in a university medical centre in Germany, Schadler and coworkers (Schadler 2012) included, under deferred consent, all patients who were mechanically ventilated in the postoperative period for longer than nine hours after ICU admission and who did not meet any of the following exclusion criteria: (i) cerebral surgery or trauma, (ii) < 18 years of age, (iii) had a do not resuscitate order, (iv) duration of mechanical ventilation (MV) > 24 hours, or (v) already a study participant. Patients or their legal representatives were approached for written consent before or during the 36 hours following study inclusion and randomization.

In this trial (Schadler 2012), patients from three surgical ICUs (cardiovascular, interdisciplinary and surgical) serving all surgical disciplines were randomly assigned, using an electronically generated system, to either the SmartCare™ or control groups (standardized, written protocol implemented by physicians). Allocation was concealed but not stratified. All patients were ventilated with Evita XL respirators (software version 6.0, Draeger Medical, Lübeck, Germany) equipped with SmartCare (version 1.1).

Following study inclusion, mechanical ventilation was continued as set prior to randomization and: (i) FiO₂ and PEEP were set to achieve a peripheral oxygen saturation (SpO₂) of > 95%, (ii) inspiratory pressure and the level of PS were set to achieve a V_T of 6 to 8 ml/kg predicted body weight, and (iii) the partial pressure of carbon dioxide (CO₂) was kept between 35 and 50 mm Hg. During the study, the flow trigger was adjusted to 2 L/min and a heat and moisture exchanger (HME) was recommended. In addition, an end tidal CO₂ sensor was used and automatic tube compensation (ATC) was not permitted. Analgesia was maintained using a continuous infusion of sufentanil (range 0.1 to 0.4 µg/kg/hour) according to individual patient requirements and sedation was achieved using a continuous infusion of propofol (maximum 4 mg/kg/hour) in the 24 hour period after study inclusion. Thereafter, patients received

boluses of midazolam titrated to achieve a Ramsay Score of R2 (cooperative, oriented, tolerant of mechanical ventilation).

If patients were haemodynamically stable, with (up to a maximum of 0.01 mg/kg/hour) or without epinephrine and norepinephrine, a post-randomization PS trial (on PS of 15 to 30 cm H₂O with identical FiO₂ and PEEP) was conducted for 30 minutes by the responsible ICU physician or during study visits when clinically indicated. The PS test was considered successful when the respiratory rate was < 35 breaths/min, V_T ≥ 6 cc/kg predicted body weight within the permitted PS range, SpO₂ ≥ 90% and the patient remained clinically stable. Once the patient successfully completed the PS test, the allocated study group assignment was commenced.

Control discontinuation strategy

Ventilator adjustments were made to the level of PS to keep the respiratory rate < 35 breaths/minute with good clinical tolerance. The investigators aimed to decrease PS at least three times daily by 2 or 3 cm H₂O and in response to tachypnoea (respiratory rate > 35 breaths/minute for longer than three minutes). An SBT of 30 minutes duration was initiated when PS ≤ 12 cm H₂O, PEEP ≤ 5 cm H₂O and FiO₂ ≤ 0.50. The SBT was deemed successful if the respiratory rate was < 35 breaths/min, SpO₂ ≥ 90% and the patient remained clinically stable. Following a failed SBT, the SBT was re-initiated at least once during the next 24 hour period when the starting criteria were met.

SmartCare™ discontinuation strategy

The investigators deactivated the night rest and ATC settings and reported use of HME. An SBT was automatically initiated once the applied PS level was equal to or lower than the target PS level, the patient remained stable in the 'respiratory comfort zone' and PEEP was ≤ 5 cm H₂O.

In both treatment groups, controlled ventilation was permitted if respiratory rate < 6 breaths/min, induction of general anaesthesia, and when a maximum PS > 35 cm H₂O and respiratory rate > 35 breaths/min for longer than three minutes occurred in the absence of another cause (pain, anxiety, endotracheal tube obstruction). FiO₂ and PEEP were set based on the ratio of the arterial partial pressure of oxygen to FiO₂. FiO₂ was preferentially reduced stepwise to 0.4 and subsequently PEEP was decreased by steps not exceeding 3 cm H₂O to a final target value of 5 cm H₂O. Readiness for extubation was indicated by either a proposal of separation by the SmartCare™ system or successful SBT completion in the control group. Extubation or disconnection (tracheostomized patients) was performed when PaO₂/FiO₂ > 200, GCS > 8 or the patient was awake or deemed able to protect their airway, the patient coughed effectively and there was no surgical contraindication.

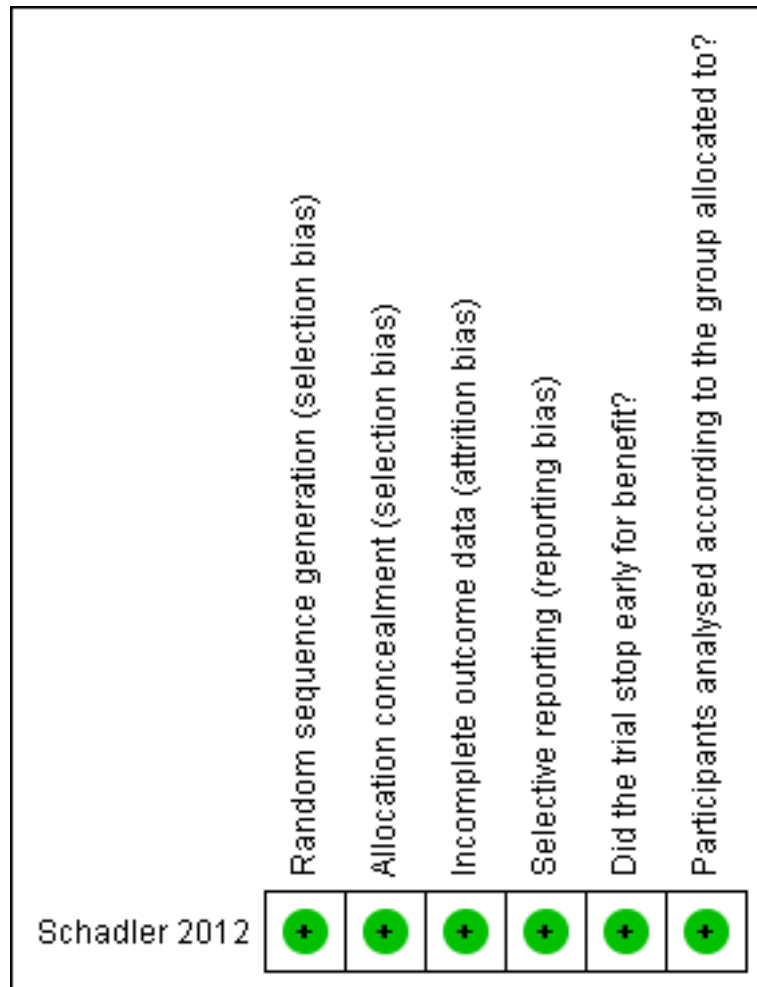
A priori, the authors (Schadler 2012) planned to examine: (i) cardiac surgery, (ii) septic and (iii) COPD patients in subgroup analyses. The primary endpoint was ventilation time during the ICU stay defined as the requirement for invasive or non-invasive support over the

28 day study period. Secondary endpoints included time in the respiratory comfort zone, the number of ventilator manipulations and alarms during invasive ventilation, ICU and hospital lengths of stay, 28 and 90 day mortality.

Risk of bias in included studies

The included trial was at low risk of bias (Figure 2) (Characteristics of included studies). The study was, by necessity, not blinded.

Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.



Effects of interventions

The single trial (Schadler 2012) involving 300 adult postoperative patients evaluated the following outcomes.

1.1 Discontinuation time (randomization to extubation)

The results of this trial showed a non-significant effect of SmartCare™ versus a standardized written protocol implemented by physicians (MD -0.09 days, 95% CI -0.35 to 0.17; P = 0.50) (Analysis 1.1).

1.2 Time to successful extubation

We did not find evidence of an effect of SmartCare™ compared to a control discontinuation strategy on time to successful extubation (MD -0.29 days, 95% CI -0.79 to 0.21; P = 0.25) in this trial (Schadler 2012) (Analysis 2.1).

1.3 and 1.4 Time to first SBT and first successful SBT

SmartCare™ significantly decreased the time to first SBT (MD -0.34 days, 95% CI -0.60 to -0.08; P = 0.01) (Analysis 3.1) but did not reduce the time to first successful SBT (MD -0.25 days, 95% CI -0.55 to 0.05; P = 0.10) (Analysis 4.1) compared to the control discontinuation strategy in this trial.

1.5 Most protracted measure of mortality

At 90 days, there was no difference in mortality with SmartCare™ compared to a written, standardized control discontinuation strategy implemented by physicians (RR 1.13, 95% CI 0.74 to 1.71; P = 0.58) in 300 patients with 36 and 32 events in the SmartCare™ and control arms, respectively (Analysis 5.1).

1.6 Total duration of mechanical ventilation

This trial showed a non-significant difference between SmartCare™ and the control discontinuation strategy (MD -1.00 days, 95% CI -2.46 to 0.46; P = 0.18) on total duration of mechanical ventilation (Analysis 6.1).

1.7 Intensive care unit (ICU) length of stay

We did not find evidence of an effect of SmartCare™ compared to a standardized control discontinuation strategy on ICU length of stay (MD 0.40 days, 95% CI -1.08 to 1.88; $P = 0.60$) (Analysis 7.1).

1.8 Use of non-invasive ventilation following extubation

Following extubation, SmartCare™ did not significantly reduce the proportion of patients who received non-invasive ventilation (RR 0.89, 95% CI 0.47 to 1.68; $P = 0.72$) (Analysis 8.1) with 16 and 18 events in the SmartCare™ and control arms, respectively.

1.9 to 1.13 Adverse events (reintubation, self-extubation, tracheostomy, protracted ventilation for more than 14 and 21 days)

SmartCare™ compared to the control discontinuation strategy did not reduce the proportion of patients requiring reintubation (RR 0.88, 95% CI 0.59 to 1.30; $P = 0.51$) (Analysis 9.1) or undergoing prolonged ventilation for more than 14 (RR 0.76, 95% CI 0.39 to 1.52; $P = 0.44$) (Analysis 10.1) and 21 days (RR 0.88, 95% CI 0.33 to 2.35; $P = 0.79$) (Analysis 11.1). SmartCare™ did not reduce the proportion of patients undergoing tracheostomy (RR 0.61, 95% CI 0.35 to 1.06; $P = 0.08$) with 17 and 28 events in the SmartCare™ and control arms, respectively (Analysis 12.1). With few events in the SmartCare™ ($n = 4$) and control arms ($n = 1$) and wide confidence intervals, the rate of self-extubation was not different between SmartCare™ and the control discontinuation strategy (RR 4.00, 95% CI 0.45 to 35.37; $P = 0.21$) (Analysis 13.1).

1.14 Hospital length of stay

We did not find evidence of an effect of SmartCare™ compared to a standardized control discontinuation strategy on hospital length of stay (MD -1.10 days, 95% CI -5.49 to 3.29; $P = 0.62$) (Analysis 14.1).

Heterogeneity assessments and subgroup and sensitivity analyses

Assessments of heterogeneity and planned subgroup and sensitivity analyses were not possible given that only one trial met our inclusion criteria.

Publication bias

We did not assess for publication bias.

DISCUSSION

Summary of main results

We identified a single, large, high quality, randomized controlled trial involving 300 patients that compared SmartCare™ to a written discontinuation protocol implemented by physicians in the postoperative period. In this trial, SmartCare™ had no effect on discontinuation time (Analysis 1.1). While SmartCare™ significantly reduced the time to the first SBT (Analysis 3.1), it did not reduce the time to the first successful SBT (Analysis 4.1), time to successful extubation (Analysis 2.1), total duration of mechanical ventilation (Analysis 6.1), ICU and hospital lengths of stay (Analysis 7.1; Analysis 14.1) and the requirement for tracheostomy (Analysis 12.1). Moreover, there was no benefit of SmartCare™ on reintubation, mortality, rates of non-invasive ventilation (NIV) use and self-extubation, or the proportion of patients undergoing protracted mechanical ventilation (Analysis 9.1; Analysis 5.1; Analysis 8.1;

Analysis 13.1; Analysis 10.1; Analysis 11.1) amidst the small numbers of events in this trial.

Overall completeness and applicability of evidence

We found significant differences in one large trial of high methodologic quality demonstrating an effect of SmartCare™ compared to a written discontinuation protocol directed by physicians in reducing the time to first SBT. However, SmartCare™ did not reduce the time to successful completion of a first SBT or the proportion of patients receiving a tracheostomy. Moreover, we did not find evidence of a beneficial effect of SmartCare™ on other clinically important outcomes including discontinuation time, the total duration of mechanical ventilation, ICU and hospital lengths of stay, and rates of NIV use following extubation or self-extubation. Despite reducing the time to first SBT, SmartCare™ had no effect on ICU and hospital mortality or the incidence of ventilator associated pneumonia (VAP). Unlike the non-invasive approach to weaning (Burns 2010a), which has been shown to significantly reduce mortality and VAP compared to continued invasive weaning, SmartCare™, despite reducing the time to first SBT, did not favourably impact upon mortality and VAP rates. This may be related to the fact that unlike NIV, SmartCare™ requires an indwelling airway. In addition, the duration of discontinuation was shorter, measured in hours in postoperative patients, leaving a small time window for SmartCare™ to impact upon measures related to the duration of ventilation and to influence intubation and mechanical ventilation related complications. In a parallel SmartCare™ weaning systematic review (Burns 2010b), wherein we included studies of patients mechanically ventilated for at least 24 hours or studies with an average duration of ventilation prior to randomization of at least 24 hours, we found that SmartCare™ significantly reduced weaning time and the total duration of mechanical ventilation. Consequently, the benefits of SmartCare™ may be best realized in circumstances where the time trajectory for mechanical support is more protracted or in specific patient populations (that is, the cardiac surgery subgroup in the Schadler trial) (Burns 2010b; Schadler 2012).

Quality of the evidence

The risk of bias in this trial was low given the use of an electronic randomization system, concealed allocation, absence of selective outcome reporting, adequacy of follow-up, absence of stopping early for benefit, and adherence to the intention-to-treat principle. The analysis was based on 300 randomized patients for which consent was obtained. The authors used a hybrid approach to obtaining consent including a priori patient and substitute decision maker (SDM) consent as well as deferred consent wherein consent from patients or SDMs could be sought following randomization. Consequently, in 17 randomized patients deferred or after-the-fact consent was not obtained. Data could therefore only be reported in 300 of the 317 randomized patients. While intended to facilitate enrolment, use of deferred consent and the inability to secure patient and SDM assent following randomization did not allow the authors to report outcomes for these patients. We do not know whether the patients for whom consent could not be obtained differed between groups or differed systematically from included patients in some manner (that is, patients were sicker). While the impact of these patients on continuous outcomes (where each patient contributes an outcome) remains unknown, it is unlikely that inclusion of these patients would have influenced binary event rates in an important manner.

Potential biases in the review process

This review was strengthened by an extensive search for relevant trials. We conducted duplicate, independent citation screening and data abstraction and corresponded with the principal study investigator to clarify study methods, where needed. To distinguish the impact of SmartCare™ in patients receiving invasive ventilation for shorter and longer time periods, we considered this trial separately because most, but not all, patients underwent extubation following short term ventilation. Notwithstanding, we acknowledge that 26% of SmartCare™ and 31% of control patients received ventilation for more than four days, with approximately 10% of the study population ventilated for more than 14 days. An individual patient meta-analysis would be necessary to assess the effect of the alternative strategies based on actual duration of ventilation and was beyond the scope of this systematic review.

Agreements and disagreements with other studies or reviews

This is the first systematic review and meta-analysis comparing the effect of SmartCare™ to non-automated control discontinuation strategies on important clinical outcomes in the postoperative period. The rationale for using SmartCare™ in the comparison, as opposed to all automated (closed-loop) weaning strategies, was the recognition that unlike other closed-loop systems SmartCare™ integrates several strategies (use of a weaning protocol, conduct of SBTs, and use of PS mode) that have been demonstrated in randomized trials to be of benefit in weaning. We excluded trials involving patients who required more formal weaning (following more protracted invasive ventilation) in an effort to evaluate the effect of SmartCare™ in a homogeneous patient population. Notwithstanding, the strength of the conclusions that can be made from our review are limited by the identification of only one large, well-conducted trial evaluating SmartCare™ as a discontinuation strategy in a heterogenous cohort of surgical patients. Summary estimates from this trial suggest that SmartCare™ reduces the time to first SBT but did not favorably impact upon other clinically important outcomes, likely due to the small time trajectory in which it can act in patients who predominantly require discontinuation.

AUTHORS' CONCLUSIONS

Implications for practice

There is a paucity of evidence from randomized trials to support or refute use of SmartCare™ in discontinuing invasive mechanical ventilation in adult postoperative patients. In a single large trial of high methodologic quality, use of an automated system to adjust ventilator settings and conduct SBTs did not favorably influence discontinuation time. While SmartCare™ significantly reduced the time to undergoing a first SBT compared to a written protocol implemented by physicians, there was no clear evidence of benefit on clinically important outcomes including the time to first successful SBT, successful extubation, total duration of mechanical ventilation, ICU and hospital lengths of stay, and the requirement for a tracheostomy.

Implications for research

Additional well-designed, adequately powered randomized controlled trials are needed to assess the impact of SmartCare™ on beneficial and clinically important outcomes in patients who require short term ventilation and in specific postoperative populations (for example, cardiac surgical).

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* Indicates the major publication for the study

CHARACTERISTICS OF STUDIES
Characteristics of included studies [ordered by study ID]

Schadler 2012

Methods	
Participants	Patients ventilated for > 9 hours at 9:00 am in three ICUs (cardiovascular, interdisciplinary, surgical) serving all surgical disciplines in an academic tertiary hospital. Most patients were included following elective or emergency surgery with small numbers of patients included preoperatively or for non-surgical reasons. In subgroup analysis, they examined patients who underwent cardiac surgery (n=132), and with sepsis (n=44) or chronic obstructive pulmonary disease (n=41)
Interventions	SmartCare™ versus weaning based on standardized written protocol
Outcomes	Discontinuation time (time from randomization to extubation) Time to successful extubation Time to first SBT Time to first successful SBT Total duration of mechanical ventilation (initiation to extubation) ICU length of stay Hospital length of stay Mortality - 28 day Mortality - 90 day

Schadler 2012 (Continued)

Use of non-invasive ventilation following extubation

Adverse event - reintubation

Adverse event - self-extubation

Adverse event - tracheostomy

Prolonged mechanical ventilation > 14 days

Prolonged mechanical ventilation > 21 days

Notes

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	An electronic randomization system was used to generate randomization lists
Allocation concealment (selection bias)	Low risk	Allocation reported to be concealed and allocation was disclosed to investigators by sealed envelopes containing patient numbers such that patient one received study envelope one
Incomplete outcome data (attrition bias) All outcomes	Low risk	The authors randomized 317 patients for which consent could not be obtained in 17 patients. Reported analyses included all 300 randomized patients for which consent was obtained. Withdrawals by treatment group were not reported
Selective reporting (reporting bias)	Low risk	No evidence of selective outcome reporting
Did the trial stop early for benefit?	Low risk	Trial did not stop early for benefit
Participants analysed according to the group allocated to?	Low risk	Yes

Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Beale 2007	Through correspondence, the principal investigator confirmed that this trial was never initiated
Bifulco 2008	This randomized trial comparing SmartCare™ to conventional weaning (used in their ICU) enrolled patients that were ventilated for at least 24 hours
Burns 2012	This randomized trial comparing SmartCare™ to a paper-based weaning protocol enrolled patients that were ventilated for more than 24 hours
Chen 2008	This non-randomized trial compared 109 patients who were treated with adaptive support ventilation to 110 patients managed by a respiratory therapist driven protocol

Study	Reason for exclusion
Donglemans 2007	This trial compared adaptive support ventilation with pressure control/pressure support in 122 fast track coronary artery bypass surgery patients. The trial did not evaluate SmartCare
Jiang 2006	This pseudo-randomized trial compared SmartCare™ weaning to weaning with SBTs. Although no time period was specified among their inclusion criteria, to be included COPD patients had to be haemodynamically stable with a PaO ₂ to FiO ₂ ratio above 200 with PEEP ≤ 5 cm H ₂ O and capable of spontaneous breathing. Their average results indicate that the mean times to extubation were 8.54 and 13.32 days in the SmartCare™ and SBT groups, respectively. We adjudicated this to represent a weaning trial, as opposed to a discontinuation trial, based on this information
Jolliet 2006	This feasibility study was non-randomized and reported on the use of SmartCare™ during non-invasive ventilation in patients with acute respiratory failure
Jouvet 2007	This randomized single centre trial evaluated SmartCare™ in a paediatric population
Kataoka 2007	This retrospective study reported on a single centre's experience using SmartCare™ after off-pump coronary artery bypass, for early extubation
Lellouche 2006	This randomized trial comparing SmartCare™ to usual care and enrolled patients that were ventilated for at least 24 hours using an assisted mode
Lim 2012	This single centre trial included patients between the ages of 21 and 85 in a coronary care unit on an assisted mode of mechanical ventilation for > 24 hours and compared SmartCare™ to usual care
Liu 2013	This randomized trial compared computer-driven weaning with SmartCare™ to physician-controlled local practice in 48 patients who failed an initial SBT (identified using daily screening) and on average included patients ventilated for 3 to 5 days prior to randomization
Ma 2010	This randomized trial comparing SmartCare™ to a strategy including SIMV, PS and T-piece trials enrolled patients that were ventilated for 48 hours
Papirov 2007	This 60 patient pilot RCT was designed to compare computer driven weaning with SmartCare™ to physician directed weaning in elderly patients at a geriatric rehabilitation hospital and regional weaning centre (non-ICU setting). To be included patients had to have stabilization of the acute health problems that prompted admission to the referral hospital. Through correspondence, the principal investigator confirmed that his trial included exclusively tracheostomized patients and stopped prematurely due to a need to return the study ventilators (Papirov 2007)
Reardon 2011	This trial, comparing SmartCare to an evidence based standard of care for mechanical ventilation discontinuation, was excluded because participants were ventilated for more than 48 hours at inclusion
Rose 2008	This randomized trial comparing SmartCare™ to usual care included patients on mechanical ventilation with volume or pressure targeted mandatory modes for more than 24 hours
Stahl 2009	We excluded this randomized trial comparing SmartCare™ to physician directed weaning as one of the criteria for inclusion was invasive ventilation for at least 24 hours through an endotracheal tube or tracheostomy tube
Taniguchi 2009	This trial compared manual versus automatic reduction in pressure support in a randomized trial of 106 postoperative patients. The automated system used mandatory rate ventilation with a Taema-Horus Ventialtor (Air Liquid, France)
Wong 2008	Through e-mail correspondence, we clarified that this trial was stopped due to slow recruitment, after enrolment of three patients

DATA AND ANALYSES

Comparison 1. Discontinuation time (randomization to extubation)

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Discontinuation time (randomization to extubation)	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 1.1. Comparison 1 Discontinuation time (randomization to extubation), Outcome 1 Discontinuation time (randomization to extubation).

Study or subgroup	Experimental		Control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Schadler 2012	150	0.3 (0.8)	150	0.4 (1.4)		-0.09[-0.35,0.17]

Favours experimental -5 -2.5 0 2.5 5 Favours control

Comparison 2. Time to successful extubation

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Time to successful extubation	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 2.1. Comparison 2 Time to successful extubation, Outcome 1 Time to successful extubation.

Study or subgroup	SmartCare		Control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Schadler 2012	150	0.4 (1.8)	150	0.7 (2.6)		-0.29[-0.79,0.21]

Favours SmartCare -100 -50 0 50 100 Favours control

Comparison 3. Time to first spontaneous breathing trial

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Time to first spontaneous breathing trial	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 3.1. Comparison 3 Time to first spontaneous breathing trial, Outcome 1 Time to first spontaneous breathing trial.

Study or subgroup	Experimental		Control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Schadler 2012	150	0 (0.5)	150	0.4 (1.6)		-0.34[-0.6,-0.08]

Favours experimental -100 -50 0 50 100 Favours control

Comparison 4. Time to first successful spontaneous breathing trial

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Time to first successful spontaneous breathing trial	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 4.1. Comparison 4 Time to first successful spontaneous breathing trial, Outcome 1 Time to first successful spontaneous breathing trial.

Study or subgroup	Experimental		Control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Schadler 2012	150	0.1 (1)	150	0.4 (1.6)		-0.25[-0.55,0.05]

Favours experimental -100 -50 0 50 100 Favours control

Comparison 5. Most protracted measure of mortality

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Most protracted measure of mortality	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

Analysis 5.1. Comparison 5 Most protracted measure of mortality, Outcome 1 Most protracted measure of mortality.

Study or subgroup	Experimental	Control	Risk Ratio M-H, Random, 95% CI	Risk Ratio M-H, Random, 95% CI
	n/N	n/N		
Schadler 2012	36/150	32/150		1.13[0.74,1.71]

Favours experimental 0.01 0.1 1 10 100 Favours control

Comparison 6. Total duration of mechanical ventilation

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Total duration of mechanical ventilation	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 6.1. Comparison 6 Total duration of mechanical ventilation, Outcome 1 Total duration of mechanical ventilation.

Study or subgroup	Experimental		Control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Schadler 2012	150	3.9 (6)	150	4.9 (6.9)		-1[-2.46,0.46]

Favours experimental -100 -50 0 50 100 Favours control

Comparison 7. Intensive care unit length of stay

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Intensive care unit length of stay	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 7.1. Comparison 7 Intensive care unit length of stay, Outcome 1 Intensive care unit length of stay.

Study or subgroup	Experimental		Control		Mean Difference Random, 95% CI	Mean Difference Random, 95% CI
	N	Mean(SD)	N	Mean(SD)		
Schadler 2012	150	3.8 (5.2)	150	3.4 (7.7)		0.4[-1.08,1.88]

Favours experimental -100 -50 0 50 100 Favours control

Comparison 8. Use of non-invasive ventilation following extubation

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Use of noninvasive ventilation following extubation	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

Analysis 8.1. Comparison 8 Use of non-invasive ventilation following extubation, Outcome 1 Use of noninvasive ventilation following extubation.

Study or subgroup	Experimental n/N	Control n/N	Risk Ratio M-H, Random, 95% CI	Risk Ratio M-H, Random, 95% CI
Schadler 2012	16/150	18/150		0.89[0.47,1.68]

Comparison 9. Adverse event: reintubation

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Adverse event: reintubation	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

Analysis 9.1. Comparison 9 Adverse event: reintubation, Outcome 1 Adverse event: reintubation.

Study or subgroup	Experimental n/N	Control n/N	Risk Ratio M-H, Random, 95% CI	Risk Ratio M-H, Random, 95% CI
Schadler 2012	35/150	40/150		0.88[0.59,1.3]

Comparison 10. Prolonged mechanical ventilation (> 14 days)

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Prolonged mechanical ventilation (> 14 days)	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

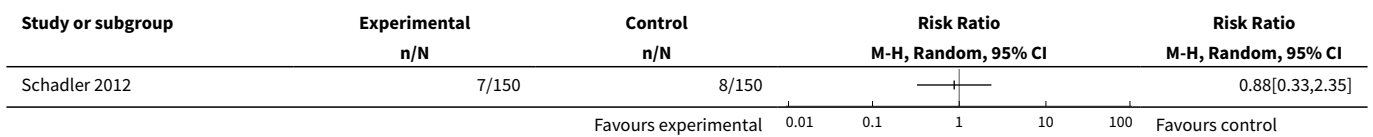
Analysis 10.1. Comparison 10 Prolonged mechanical ventilation (> 14 days), Outcome 1 Prolonged mechanical ventilation (> 14 days).

Study or subgroup	Experimental n/N	Control n/N	Risk Ratio M-H, Random, 95% CI	Risk Ratio M-H, Random, 95% CI
Schadler 2012	13/150	17/150		0.76[0.39,1.52]

Comparison 11. Prolonged mechanical ventilation (> 21 days)

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Prolonged mechanical ventilation (> 21 days)	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

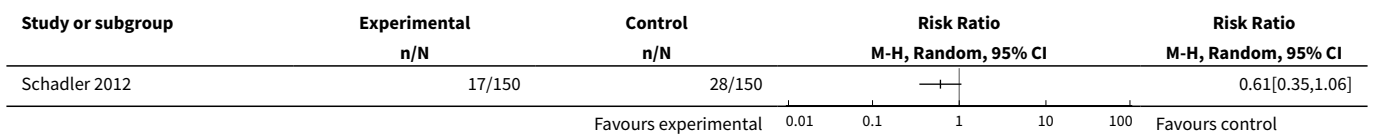
Analysis 11.1. Comparison 11 Prolonged mechanical ventilation (> 21 days), Outcome 1 Prolonged mechanical ventilation (> 21 days).



Comparison 12. Adverse event: tracheostomy

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Adverse event: tracheostomy	1		Risk Ratio (M-H, Random, 95% CI)	Totals not selected

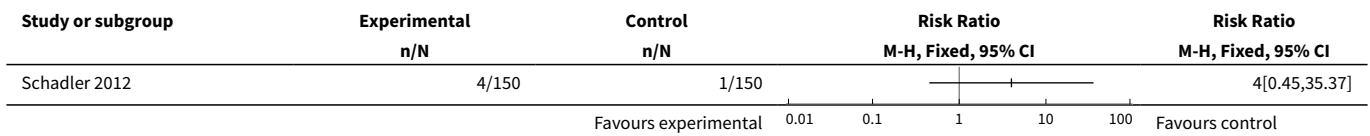
Analysis 12.1. Comparison 12 Adverse event: tracheostomy, Outcome 1 Adverse event: tracheostomy.



Comparison 13. Adverse event: self-extubation

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Adverse event: self-extubation	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

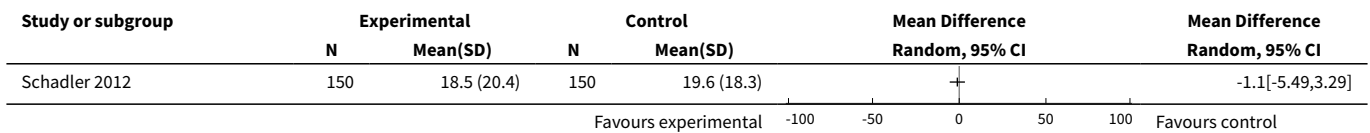
Analysis 13.1. Comparison 13 Adverse event: self-extubation, Outcome 1 Adverse event: self-extubation.



Comparison 14. Hospital length of stay

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Hospital length of stay	1		Mean Difference (IV, Random, 95% CI)	Totals not selected

Analysis 14.1. Comparison 14 Hospital length of stay, Outcome 1 Hospital length of stay.



APPENDICES

Appendix 1. CENTRAL search strategy

- #1MeSH descriptor Therapy, Computer-Assisted explode all trees
- #2automat* near system*
- #3smartcare or (smart near care)
- #4computer near assist*
- #5(#1 OR #2 OR #3 OR #4)
- #6MeSH descriptor Postoperative Period explode all trees
- #7MeSH descriptor Ventilator Weaning explode all trees
- #8MeSH descriptor Ventilators, Negative-Pressure explode all trees
- #9(ventilat* or wean*):ti,ab
- #10(invasive near ventil*) or (artificial near respirat*)
- #11MeSH descriptor Ventilators, Mechanical explode all trees
- #12postoperative near (setting* or period)
- #13(#6 OR #7 OR #8 OR #9 OR #10 OR #11 OR #12)
- #14(#5 AND #13)

Appendix 2. MEDLINE (OvidSP) search strategy

1. exp ventilators, mechanical/ or exp ventilator weaning/ or exp ventilators, negative-pressure/ or Postoperative Complications/ or exp Postoperative Period/ or (postoperative adj3 (setting* or period)).mp. or ventilat\$.mp. or (invasive adj3 ventil*).mp. or wean*.mp. or (artificial adj3 respirat*).mp.
- 2.exp "Therapy, Computer-Assisted"/ or (automat* adj3 system*).mp. or (smartcare or (smart adj3 care)).mp. or (computer adj3 assist*).mp.
3. 1 and 2
4. (randomised controlled trial.pt. or controlled clinical trial.pt.or randomised.ab. or placebo.ab. or clinical trials as topic.sh. or randomly.ab. or trial.ti.) not (animals.sh not (humans.sh and animals.sh))
5. 3 and 4

6. smartcare.mp.
7. 6 or 5

Appendix 3. EMBASE (OvidSP) search strategy

1. exp Ventilator/ or (ventilat\$ or wean\$).mp
2. (artificial adj3 respirat*).mp. or exp Artificial Ventilation/ or exp postoperative period/ or postoperative care/
3. ((mechanical or invasive) adj3 ventil*).mp. or (postoperative adj3 (setting* or period)).mp.
4. 1 or 2 or 3
5. exp Computer System/ or (computer adj3 assist*).mp. or (automat* adj3 system*).mp.
6. SmartCare.mp. or (Smart adj3 care).mp.
7. 4 and (or/5-6)
8. (((singl* or doubl* or tripl*) adj3 blind) or crossover).ti,ab. or multicenter.ab. or placebo.sh. or controlled study.ab. or random*.ti,ab. or trial*.ti,ab.) not (animals not (humans and animals)).sh.
9. 8 and 7
10. SmartCare.mp.
11. 9 or 10

Appendix 4. CINAHL (via EBSCOhost) search strategy

- S1. TX ventilator or (MM "Ventilators, Mechanical") or (MM "Pressure Support Ventilation") or (MH "Ventilation, High Frequency+") or (MH "Postoperative Complications") or (MM "Postoperative Period") or (Postoperative N3 period) or (Postoperative N3 setting*)
- S2. TX computer assisted or (MH "Decision Making, Computer Assisted+") or (MH "Computers and Computerization+")
- S3. S1 and S2
- S4. TX smartcare or TX smart care
- S5. (MM "Random Assignment" or MH "Clinical Trials+" or MM "Placebos" or ((MM "Single-Blind Studies") or (MM "Triple-Blind Studies"))) or MM "Multi center Studies") or (MM "Crossover Design" or TI (random* or placebo* or multi?center or crossover) or AB (random* or placebo* or multi?center or crossover) or TI trial* or AB (controlled and study))
- S6. S5 and (S4 or S3)

Appendix 5. All EBM reviews

We will use the same strategy as per the Cochrane Database of Systematic Reviews to search other Evidence Based Medicine Reviews including ACP Journal Club, DARE, CCTR, CMR, HTA and NHSEED.

1. ventilator\$.mp. or ventilation.mp.
2. Artificial respirat\$.mp. [mp=ti, ot, ab, tx, kw, ct, sh, hw]
3. 1 or 2
4. computer assisted.mp.
5. SmartCare.mp.
6. 4 or 5
7. 3 and 6

Appendix 6. Ovid Healthstar search strategy

1999 to date

1. exp ventilator, mechanical/ or exp ventilator weaning/ or exp ventilators, negative-pressure/ or ventilat\$.mp.
- 2.*"Therapy, Computer-Assisted"/ and ventilat\$.mp.
3. (smartcare or (smart adj1 care)).mp.
4. 1 and (2 or 3)

Appendix 7. Data extraction form

Smartcare Discontinuation Systematic Review and Meta-analysis

Name of data abstractor (first, last) _____

1. Study ID

First Author Surname, Year of Publication _____

Is this a duplicate publication?

No

Yes, please provide details _____

2. Study Eligibility

a. Study design

Is the study clearly randomized? Yes Unclear No

Is the study pseudo-randomized? Yes Unclear No

b. Study Participants

Are the participants adults? Yes Unclear No

Are the participants invasively ventilated? Yes Unclear No

c. Study Interventions

Did one group undergo discontinuation Yes Unclear No

using Smartcare?

Did another group undergo discontinuation Yes Unclear No

using a non-automated weaning strategy (i.e., not involving a closed-loop system)?

d. Study Outcomes

Did the study report any of the following outcomes?

Time from randomization to extubation Yes Unclear No

Time to successful extubation Yes Unclear No

Time to first spontaneous breathing trial Yes Unclear No

Time to first successful SBT Yes Unclear No

Mortality, specify time point(s) _____ Yes Unclear No

Mortality, specify time point(s) _____ Yes Unclear No

Ventilator associated pneumonia Yes Unclear No

Total duration of mechanical ventilation Yes Unclear No

Intensive care unit length of stay Yes Unclear No

Hospital length of stay Yes Unclear No

Use of NIV following extubation Yes Unclear No

Adverse events (including but not limited to reintubation, self-extubation, tracheostomy, prolonged ventilation, or other adverse event) Yes Unclear No

Exclusion Criteria

Did the author report on a study in which the:

Majority of patients require long term ventilation Yes Unclear No

Study explores use of NIV in discontin/weaning Yes Unclear No

Study evaluates exclusively tracheostomized patients Yes Unclear No

Does the study meet all of the above criteria and meet none of the exclusion criteria? Yes No

If yes, please proceed to page 2.

Decision Include Exclude, reason_____

Additional information is required before a decision can be made.

3. Information source

How was the article/abstract identified?

Search of electronic databases? Yes No

Search of trials registries? Yes No

Manual searches of conference proceedings? Yes No

Unpublished data? Yes No

4. Potential Sources of Bias

Adequate/Yes (criteria appropriately applied and described in the report or acknowledged from the primary author of the study);
Unclear (criteria not described or impossible to acquire from the author);
Inadequate/No (criteria inappropriately applied)

Selection bias

Method of randomization?

Describe the method used to generate the allocation sequence. Specify_____

Check grade. Adequate Unclear Inadequate

Time of randomization

(e.g., admission, upon meeting criteria) Specify_____

Allocation concealment

Describe the method used to conceal the random allocation Specify_____

sequence. Check grade. Adequate Unclear Inadequate Not used

Detection bias

Outcome assessor blinding?

Were outcomes assessors separate from individuals

administering or supervising the assigned interventions? Specify _____

Check ONE. Yes Unclear No

Attrition bias
Drop outs/withdrawals?

Were any withdrawals/drop outs described? (Check ONE) Yes Unclear No

Did they occur with similar frequency between study groups? (Check ONE) Yes No

Intention to treat analysis?

Were all patients analysed according to the group they were initially assigned to whether they received it or not?

Check ONE.

All participants entered into trial (indicate 1 of 2 below)

1. 15% or fewer excluded

2. more than 15% excluded

Unclear

Not analysed as intention to treat

Overall quality classification

Overall summary (assign one category) **All criteria met** **One or more criteria unclear** **One or more criteria not applied**

5. Setting

Country/countries _____

Number of participating ICUs _____

Type of ICU(s) Medical Surgical Medical Surgical Cardiac surgical

(check all that apply) Coronary care unit Other, specify _____

6. Participants

Criterion	SmartCare group (n=)	Control group 1 (n=)	Control group 2 (n=)
No. randomized			
No analysed			
Reasons for differences			

(Continued)
(if any)

Inclusion criteria

Exclusion criteria

7. Study interventions

Did the study include readiness to discontinue MV criteria? Yes Unclear No

(If yes, please list)

Did the study screen daily for these criteria? Yes Unclear No

Did the study include an SBT? Yes Unclear No

If yes, what technique was used for the SBT?

(e.g. PS, T-tube, CPAP, other, not specified)

(Continued)

If yes, what was the duration of SBT?

- Yes Unclear No

If yes, criteria for SBT failure provided?

If yes, please list criteria:

Control arm discontinuation strategy

Control strategy described?

- Yes Unclear No

If yes, how was discontinuation guided in the control arm?

- Protocol Usual practice (clinician discretion)

Other, please specify _____

If yes, what mode or technique was used in the control arm?

- SIMV PS
- Daily T-piece Intermittent (multiple daily) T-piece
- Combination of the above, please specify

Other, please specify _____

Type of clinician responsible for implementing the control strategy? (check ALL that apply)

- Physician Nurse Respiratory Therapist

Kinesiotherapist

Other, specify _____

Mixed, specify _____

SmartCare discontinuation arm

Was SmartCare used in the intervention arm?

- Yes Unclear No

(Continued)

Type of clinician responsible for implementing SmartCare strategy? (check ALL that apply)

- Physician Nurse Respiratory Therapist
- Kinesiotherapist
- Other, specify _____
- Mixed, specify _____

8. Study outcomes

Discontinuation time (time from randomization to extubation)	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Time to successful extubation	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Time to first SBT	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Time to first successful SBT	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Mortality time point #1 _____	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
time point #2 _____	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
time point #3 _____			
Total duration of mechanical ventilation (initiation to extubation)	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
ICU length of stay	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Hospital length of stay	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Use of non-invasive ventilation following extubation	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
Adverse events: (please check)	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
reintubation	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
self-extubation	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
requirement for tracheostomy	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
prolonged mechanical ventilation _____ days	<input type="checkbox"/> Yes	<input type="checkbox"/> Unclear	<input type="checkbox"/> No
other (specify) _____			

Continuous outcomes

Outcomes	Unit of measurement	Intervention Group			Control Group			95% CI or additional information
		n	Mean (SD)	Median (IQR)	n	Mean (SD)	Median (IQR)	
Discontinuation time (time from randomization to extubation)								
Time to successful extubation								
Time to first SBT								
Time to first successful SBT								
Total duration of mechanical ventilation (initiation to extubation)								
ICU length of stay								
Hospital length of stay								
Other, please specify_____								

Dichotomous outcomes

Outcomes	Intervention Group (n =)	Control Group (n =)	P-value	Additional information
Mortality time point #1				
Mortality time point #2				
Mortality time point #3				
Use of non-invasive ventilation following extubation				
Adverse events: - reintubation - self-extubation - requirement for tracheostomy - prolonged mechanical ventilation _____ days other (specify) _____				
Other outcome, please specify				

Please specify the numerator and denominator for each outcome.

Other information which you feel is relevant to the results:

Please provide data obtained from the primary author, additional results extrapolated from graphs, figures etc. in the space provided below

Additional concerns/points to be clarified?

WHAT'S NEW

Date	Event	Description
17 December 2018	Amended	Editorial team changed to Cochrane Emergency and Critical Care

HISTORY

Protocol first published: Issue 8, 2010

Review first published: Issue 2, 2014

Date	Event	Description
7 March 2011	Amended	Contact details updated.

CONTRIBUTIONS OF AUTHORS

Conceiving the review: KB

Co-ordinating the review: KB

Undertaking manual searches: JF

Screening search results: KB, FL

Organizing retrieval of papers: KB

Screening retrieved papers against inclusion criteria: KB, FL

Adjudicating disagreements regarding trials for inclusion: ML

Appraising quality of papers: KB, JF

Abstracting data from papers: KB, JF

Adjudicating disagreements on study quality and methods: ML

Writing to authors of papers for additional information: KB

Providing additional data about papers: KB

Obtaining and screening data on unpublished studies: KB, FL

Data management for the review: KB

Entering data into Review Manager ([RevMan 5.1](#)): KB

RevMan statistical data: KB, RN

Other statistical analysis not using RevMan: RN

Double entry of data: KB, JF

Interpretation of data: KB,

Statistical inferences: KB, RN

Writing the review: KB, FL, ML, JF

Securing funding for the review: not applicable

Performing previous work that was the foundation of the present study: not applicable

Guarantor for the review (one author): KB

Person responsible for reading and checking review before submission: KB, FL, RN, ML, JF

DECLARATIONS OF INTEREST

Drs Burns and Lellouche hold a \$5000 CDN travel bursary from Draeger Medical Inc (Canada) for the purpose of conducting site visits to participating centres in the WEAN Study. The WEAN Study is an investigator-initiated trial comparing SmartCare™ and protocolized weaning for which the co-principal investigators (Drs Burns and Lellouche) obtained peer-review funding to implement. Draeger Medical Inc provided ventilators and ventilator upgrades for the WEAN Study and a central randomization system using electronic mail correspondence (Draeger Medical, Germany). Draeger Medical was not involved in any aspects of the study design and oversight, data management or data analysis.

Drs Burns, Lellouche and Lessard have self-identified as investigators of trials that apply the intervention in question. However, the methods used in conducting this review do not allow bias in the selection, data extraction or risk of bias assessment of any included or excluded studies from these authors.

Drs Friedrich and Nisenbaum have no conflicts of interest to declare.

SOURCES OF SUPPORT

Internal sources

- No sources of support supplied

External sources

- Canadian Institutes of Health Research, Canada.

Drs. Burns and Friedrich hold a CIHR Clinician Scientist - Phase 2 Award

DIFFERENCES BETWEEN PROTOCOL AND REVIEW

The title of the review has changed. It was formerly "SmartCare™ versus non-automated mechanical ventilation strategies on discontinuation time for adults in the postoperative period" (Burns 2010c).

Dr Bronagh Blackwood is not an author on the review. Her active participation in the review was precluded by identification of only one trial.

NOTES

In future iterations of the review, we will consider including other nearly fully automated (closed-loop) systems that automate both alterations in the level of support provided and the conduct of SBTs.

INDEX TERMS

Medical Subject Headings (MeSH)

*Respiration; Postoperative Care [methods]; Randomized Controlled Trials as Topic; Time Factors; Ventilator Weaning [*methods]

MeSH check words

Adult; Humans