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Adaptive Immune Resistance Emerges from Tumor-Initiating Stem Cells

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SUMMARY

Our bodies are equipped with powerful immune surveillance to clear cancerous cells as they emerge. How tumor-initiating stem cells (tSCs) that form and propagate cancers equip themselves to overcome this barrier remains poorly understood. To tackle this problem, we designed a skin cancer model for squamous cell carcinoma (SCC) that can be effectively challenged by adoptive cytotoxic T cell transfer (ACT)-based immunotherapy. Using single cell-RNA-seq and lineagetracing, we found that TGFβ-responding tSCs are superior at resisting ACT and form the root of tumor relapse. Probing mechanism, we discovered that during malignancy, tSCs selectively acquire CD80, a surface ligand previously identified on immune cells. Moreover, upon engaging cytotoxic T lymphocyte antigen-4 (CTLA4), CD80-expressing tSCs directly dampen cytotoxic T cell activity. Conversely, upon CTLA4 or TGFβ-blocking immunotherapies, or Cd80- ablation, tSCs become vulnerable, diminishing tumor relapse after ACT treatment. Our findings place tSCs at the crux of how immune checkpoint pathways are activated.

Graphical Abstract

DECLARATION OF FINANCIAL INTERESTS.

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AUTHOR CONTRIBUTIONS

Y.M. and E.F. conceptualized the study, designed the experiments, interpreted the data and wrote the manuscript. Y. M. performed and analyzed mouse tumor growth, ACT and immunology experiments, FACS, immunoblotting, T cell isolations, CRISPR/CAS9 and shRNA targeting studies. Y.M. and H. Y. performed and analyzed scRNA-seq. S.Y. and Y.M. performed and analyzed cell culture studies. M. R. provided OVA mice. B.S. provided human tumor samples. J.L. performed lentiviral injections. L.P. and M.S. performed tumor cell engraftments. All authors provided input on the final manuscript.

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The authors declare no competing financial interests.

In Brief

A subset of TGFβ-responsive squamous cell carcinoma stem cells is refractory to immunotherapy via expression of CD80 leading to dampening of cytotoxic T cell responses, and these tumor stem cells are competent to drive tumor relapse.

Keywords

Tumor stem cell; squamous cell carcinoma; immune-stem cell interactions; single cell RNAsequencing; lineage-tracing; immune evasion; adoptive T cell transfer therapy

INTRODUCTION

Increasing evidence suggests that cancers are fueled by specialized cell populations that resemble normal adult tissue stem cells in their ability to maintain and propagate homeostasis and regeneration (Batlle and Clevers, 2017; Kreso and Dick, 2014). While their molecular properties are often strikingly different from their tissue stem cell counterparts, tumor-initiating stem cells (tSCs) possess a potent capacity for selfrenewal and differentiation, and therefore, are often able at low cell number to fuel and sustain tumor growth. To achieve these feats, tSCs must first overcome the powerful immune surveillance barrier which otherwise clears cancerous cells as they emerge. The mechanisms that enable tSCs to escape anti-tumor immunity are largely unknown.

This problem has become increasingly important with the emergence of immunotherapies, revolutionary treatments for cancers that are based upon their ability to harness the power of the immune system to fight cancer cells (Mellman et al., 2011). A major current approach is Adoptive T cell Transfer (ACT), which includes increasingly used 'CAR-T therapy'. In this procedure, cytotoxic T cells from cancer patients are genetically engineered in vitro to recognize an antigen specific to the cancer, such that when they are activated and transferred

back to the patients, they can selectively attack the cancer (Restifo et al., 2012). Despite promising clinical outcomes, patients receiving ACT therapy often experience tumor relapse (Landsberg et al., 2012; Mackensen et al., 2006). Although direct experimental evidence is lacking, the ability of a regressed tumor to grow back implies that at least some tSCs of the cancer have acquired ability to resist enhanced anti-tumor immunity. A priori, surviving tumor cells may simply lose the targeted antigen, thus bypassing ACT therapy (DuPage et al., 2012; Khong and Restifo, 2002). Indeed, studies on normal tissue stem cells (SCs) have led to speculation that tSCs may maintain only limited antigen presentation levels, and hence are invisible to the immune system (Agudo et al., 2018). However, it is also possible that tSCs possess antigen-editing, independent molecular features that may endow them with the capacity to evade immune attack.

Squamous cell carcinomas (SCCs) provide an excellent tumor model to address whether tSCs are uniquely equipped to resist cancer immunotherapy. As a cohort, these cancers are the 6th most deadly world-wide, affecting a variety of epithelial tissues including skin, head and neck, esophagus, lung and cervix. In mice, skin SCCs originate from HRAS-driven mutations in epidermal and/or hair follicle SCs (Lapouge et al., 2011; Li et al., 2018; White et al., 2011). They go through a benign, papilloma state prior to progressing to malignant SCCs, where they can then metastasize to the lymph nodes and lung. The tSCs of these cancers have been identified and characterized. In limit-dilution orthotopic transplantation assays in host recipient mice, they initiate SCCs efficiently (Lapouge et al., 2012; Schober and Fuchs, 2011).

Skin SCC-tSCs mainly reside at the tumor-stroma interface, and are typified by their robust expression of Integrin α6, CD34, CD44, SOX2 and SOX9 (Schober and Fuchs, 2011) (Boumahdi et al., 2014; Oshimori et al., 2015). A subset of SCC-tSCs that reside near the tumor vasculature possess particularly potent tumorigenic activity (Beck et al., 2011; Oshimori et al., 2015). Responding to enriched $TGF\beta$ in the perivasculature, these tSCs become slower-cycling and invasive. In limited dilution transplantation assays, they are also more tumorigenic than tSCs more distant from blood vessels and which are not receiving a TGFβ stimulus (David et al., 2016; Oshimori et al., 2015). Moreover, these TGFβresponding tSCs are also the primary tumor cells that survive chemotherapy and are responsible for tumor relapse following treatment (Brown et al., 2017; Oshimori et al., 2015). Notably, external signaling ligands such as TGFβ are known to activate transcriptional cascades that can dramatically change a SC's behavior (David et al., 2016) by adjusting their metabolism, stress responses and cellular behavior, as well as their interactions with the microenvironment to better endure any damage brought by chemotherapies (Oshimori et al., 2015).

Here, we show that TGFβ-responding tSCs are also able to evade immune attack, and we dissect the underlying mechanisms involved. To do so, we devised a novel mouse skin papilloma/SCC model in which neoantigen expression is coupled to the oncogene activation, so that we could unearth novel resistance mechanisms to ACT immunotherapy that go beyond mere loss of the targeted antigen. Using lineage tracing, we track the fate of the ACT survivors and show that they are enriched for TGFβ-responding tSCs. Employing single cell analysis of molecular signatures, we show that these tSCs and their human counterparts

express CD80, a well-known immune cell surface ligand that is capable of interacting with T lymphocytes. Employing genetics and in vitro co-culture analyses, we show that tSCspecific CD80 is responsible for tumor relapse following ACT and that it acts directly on cytotoxic T cells to affect their ability to successfully mount a tumor attack.

RESULTS

A Model for ACT Immunotherapy and Tumor Cell Resistance.

To uncover possible immune evasion mechanisms that go beyond antigen editing, we began by designing a model in which at the onset of oncogenic HRASG12V activation, the transformed epidermal SCs are activated to concomitantly present a peptide (SIINFEKL) derived from ovalbumin antigen (OVA). Importantly, we used the same regulatory mechanism to drive both OVA (Rosenblum et al., 2011) and $HRAS^{G12V}$ (Chin et al., 1999), making it difficult for the tumor to lose the neoantigen by gene silencing (Figure 1A).

We used our powerful and highly selective *in utero* lentiviral delivery method at low titer to stably transduce a few skin keratinocytes specifically and exclusively within the single layer of epidermal progenitors that covers the surface of E9.5 living C57BL/6 mouse embryos (Beronja et al., 2013; Beronja et al., 2010). The lentivirus (LV) harbored PGK-rtTA3-P2AmCherry, encoding a Doxycline (Doxy)-inducible transactivator that can bind and activate TRE and a fluorescent reporter. By administering Doxy, we could then co-induce oncogenic $H RAS^{G12V}$ and OVA specifically in these clonal, transduced mCherry⁺ patches of skin epidermal cells (Figure 1A).

Upon activating oncogene expression at birth, mCherry+ tumors arose within one month (Figure 1B). Reflective of the methodology, (Beronja et al., 2010; Oshimori et al., 2015) skin tumors were exclusively epithelial in origin. Initial tumors were non-invasive and still well-differentiated, replete with eosinophilic keratin pearls displaying both epidermal and tumor markers (Figure 1B). Judged by immune landscape profiling, these early stage naïve tumors exhibited only limited infiltration of endogenous antitumor immune cells, e.g. $CD19⁺$ B lymphocytes, $CD8⁺$ cytotoxic T lymphocytes (CTLs), and NK1.1⁺ natural killer (NK) cells, but were enriched for suppressive immune cells, including $CD4^+$ Foxp 3^+ regulatory T (Treg) cells, CD11b⁺Ly6G⁺Ly6C^{lo} polymorphonuclear (PMN) or CD11b ⁺Ly6G−Ly6Chi monocytic (M)-myeloid-derived suppressor cells (MDSCs) and CD11b ⁺CD64+ tumor associated macrophages (TAMs) (Figures S1A–D).

For adoptive T cell transfer therapy, we first isolated cytotoxic T lymphocytes from the spleen of OT-I mice, whose CD8⁺ T cells express genetically engineered T cell receptors that specifically recognize OVA-derived peptide presented by class I MHC molecules (Figure 1A). Adapting a recently described ACT strategy to efficiently target established spontaneous human solid tumors (Tran et al., 2016), we next pre-treated our tumor-bearing mice with a low dose of cyclophosphamide to keep endogenous immune cells low/absent in the tumors (Figure S1B–D), and then injected the tail vein with OVA-recognizing CD8⁺ CTLs, following activation in vitro (Figure 1A). Paralleling human CAR-T therapy, this method provided several weeks for the injected T cells to attack the tumor in the absence of

counteracting endogenous immune cells, especially Treg cells, MDSCs and TAMs (Figure S1B–D).

As judged by flow cytometry and immunofluorescence, the injected OT-I CD8+ T cells infiltrated robustly into OVA+ tumors, when compared to their OVA− littermates (Figure 1C and 1D). During the first week post-injection, OT-I T cells exhibited robust activated/effector phenotypes (CD25, CD69 and CD44 upregulation, CD62L downregulation) (Figure S1E). Correspondingly, within four weeks, while control (OVA−) tumors had nearly doubled in size, most $OVA⁺$ tumors shrank to less than \sim 10% of their original size, with some macroscopically invisible (Figure 1E). However, as has been observed in human patients who receive ACT treatments, despite injecting $\sim 10^7$ cytotoxic T cells, $\sim 70\%$ of these regressed tumors began to relapse after several months.

As strategized, surviving tumor cells still displayed OVA-derived peptide bound to class I MHC molecules, indicating that the relapse was not a consequence of cells losing the neoantigen (Figure 1F). Instead, even though OT-I T cells were proliferative and granzymeproducing at the start of ACT, within two weeks, they began to dampen their antitumor activities and upregulate inhibitory receptors (CTLA4, PD1, Tim3 and LAG3) and by four weeks, T cell numbers had plummeted (Figures 1G, H).

We performed two experiments to determine if tumor equilibrium and regrowth merely reflected OT-I T cell exhaustion (Wherry and Kurachi, 2015). First, we administered CD8 antibody (Ab) to deplete any remaining OT-I T cells, should they exist (Figure 1I). Second, we administered a second ACT treatment $(10^7 \text{ OT-I T}$ cells plus cyclophosphamide), and after 4 weeks we again treated with CD8 Ab (Figure 1J). Interestingly, although the second ACT enhanced the efficacy of tumor elimination, many tumor cells that survived the first ACT treatment still persisted through the second ACT. Moreover, whether mice received one or two ACT treatments, the majority of these treated tumors regrew following OT-I T cell ablation. These results suggested that some tSCs might be refractory to ACT.

A Population of ACT Survivors with Stem Cell Characteristics.

To probe the molecular features of these enduring tumor cells, we waited until two weeks post-ACT when tumors had shrunk, and then used Fluorescence Activated Cell Sorting (FACS) to exclude immune cells, melanocytes, fibroblasts, other non-epithelial lineages and dead cells, and enrich for mCherry+ tumor cells (Figure S2A). We then transcriptionally profiled (Smartseq2) individual ACT-surviving epithelial tumor cells (Picelli et al., 2014) (Figure 2A).

Principle component (PC) analysis, t-distributed stochastic neighbor embedding (t-SNE), and unsupervised hierarchical clustering revealed four distinct populations (Figure 2A, S2B). Clusters 3 and 4 were enriched for genes encoding differentiation markers, and their low integrin expression further suggested that they were unlikely to contribute to tumor regrowth (Oshimori et al., 2015) (Figure S2C). Cluster 2 was the smallest population, and although C2 cells expressed integrins and a few tSC markers, they showed reduced features of bona fide tSCs, such as CD34.

By contrast, cluster 1 (C1) was enriched for *Itga6, Cd34* and *Cd44*, which from prior limitdilution orthotopic transplantation studies were known to have potent tumor-initiating capacity (Lapouge et al., 2012; Oshimori et al., 2015; Schober and Fuchs, 2011). Representing ~25% of post-ACT mCherry+ tumor cells, this cohort also expressed SCC-tSC genes Sox9 and Nrp2 (Beck et al., 2011; Lapouge et al., 2012; Lapouge et al., 2011; Oshimori et al., 2015; Schober and Fuchs, 2011) and Plau, Hmga2 and Pthlh, known to be regulated by SCC-tSC super-enhancers (Yang et al., 2015) (Figure 2B).

TGFβ**-Responding Stem Cells At the Root of Tumor Relapse**

Gene ontology (GO) analyses of our single cell RNA-seq data indicated that compared to the other clusters, C1 cells displayed signs of TGFβ-signaling, a hallmark of invasive SCC-tSCs (Figure 2C, Tables S1–S3) (Oshimori et al., 2015). Moreover, the pSMAD2/3-SMAD4 transcriptional program triggered by TGFβ-signaling has been shown to confer tSC resistance to chemotherapy (Brown et al., 2017; Oshimori et al., 2015).

To further test whether the C1 cells surviving ACT are indeed TGFβ-responding tSCs, we adapted our PGK-rtTA3 lentivirus by adding a pSMAD2/3 Binding Enhancer element (SBE) to drive mCherry and then initiated tumorigenesis as before (Figure 2D). As reported previously (Oshimori et al., 2015), TGFβ-reporter activity faithfully mirrored nuclear pSMAD2 and was mainly found in a subpopulation of integrin α 6⁺CD34⁺CD44⁺ tSCs basal cells (Figure 2D). Not detected under homeostatic conditions, reporter activity arose in HRASG12V-induced, integrin-rich cells within developing skin tumors.

When we transcriptionally profiled FACS-purified TGF- β reporter⁺ tSCs, and basal or suprabasal non-SCs within the tumor, it was clear that the α 6^{hi} TGF-β reporter^{hi} tSC signature overlapped with the C1 cluster which survived ACT (Figures 2E and S2D–S2G). Intriguingly, C1 cells also expressed lower levels of cytokines and chemokines that keratinocytes normally employ to stimulate immune responses, as well as reduced expression of genes associated with apoptosis (Figure 2C and Tables S1–S3).

To test the hypothesis that TGF-β-responding tSCs constitute the major population that survives ACT, we infused activated OT-1 CD8⁺ T cells into our tumor-bearing mice, and then monitored the temporal death of tumor cells. Interestingly, whereas most tumor cells underwent apoptosis within a week of ACT treatment, enzymatically active Caspase3 was mutually exclusive with TGFβ reporter⁺ tSCs (Figure 3A). Moreover, as quantified by flow cytometry, the percentage of integrin α 6^{hi} TGFβ reporter⁺ tSCs increased >10X, from only ~1–3% of total naïve tumor cells to >20% of post-ACT survivors (Figure 3B). We further confirmed by Ki67 immunofluorescence and EdU incorporation that these TGFβ reporter⁺ tSCs were not actively proliferating (Figures S3A, B). Therefore, the enrichment of these TGFβ reporter⁺ tSCs did not appear to result from SC expansion, but rather from a superior capacity to survive ACT.

To address whether these ACT-surviving cells are responsible for tumor relapse, we turned to lineage-tracing. For this purpose, we generated $Rosa26-Loxp-Stop-Loxp-tdTomato;TRE OVA$; TFIE-Hras^{G12V} mice, and transduced skin patches at E9.5 in utero with a lentivirus in which mCherry was swapped for tamoxifen-inducible CreER, so that Cre recombinase

activity would be restricted to TGFβ-responding tSCs (Figure 3C). We then induced tumor formation in postnatally and subjected the OVA-expressing tumors to OT-I CD8+ T cell treatment as before.

When the tumor size shrank following OVA-targeting T cell treatment, we injected tamoxifen to activate Cre recombinase activity selectively in TGFβ-responding cells (see Figure 3C schematic). Within several days, $>80\%$ of dtTomato⁺ cells were also integrin CD34+CD44+, establishing their identity as tSCs (Figure S3C). Moreover, upon tumor regrowth, >90% of relapsed tumor cells were tdTomato⁺ (Figure 3C).

Recent studies indicate that some differentiated cells can de-differentiate to become tSCs (Landsberg et al., 2012; Schwitalla et al., 2013). To determine whether in SCCs, tSCs that are present at the end of ACT arose from tSCs that had survived ACT treatment or rather tSC progeny that had dedifferentiated, we modified our lentiviral vector to drive both mCherry and CreER from the TGFβ-sensing enhancer, and transduced at low titer the skin of *Rosa26-Loxp-Stop-Loxp-YFP,TRE-OVA;TRE-Hras^{G12V}* mice (Figure S3D). This enabled us to selectively label TGFβ-responding mCherry+ tSCs with YFP prior to ACT, and subsequently check for mCherry⁺ tSCs that might arise from dedifferentiation of the YFPneg tSC progeny that were present in the tumor at the time we injected CTLs. As shown in Figure S3D, >98% of α 6^{Hi} CD34⁺CD44⁺mCherry⁺ cells after ACT were YFP⁺. These results suggested strongly that the TGFβ-responding tSCs were not generated de novo, but rather had survived ACT immunotherapy.

Most immune cells, including Tregs, were depleted by our cyclophosphamide-ACT regimen, but since some MDSCs and TAMs lingered (Figure S1C, D), we wondered if they might have created an immune privileged niche to protect the TGFβ-responding tSCs from the injected CTLs. However, even when we enhanced MDSC and TAM depletion with Gr1 and CSF1R blocking Abs (Figure S1C, D), TGFβ-responding tSCs still survived ACT (Figure S3E). Thus, we turned to the possibility that TGFβ-responding tSCs may be uniquely equipped with special molecular features that enable them to modulate T cell activity for self-protection.

CD80 as a Surprising Surface Protein Expressed by tSCs

tSCs still presented OVA antigen, ruling out antigen loss or defective antigen presentation as their means of ACT survival (Figure 4A). Therefore, we searched for a feature that might account for the ability of tSCs to be spotted by T cells, but resist their attack. PDL1 and CD47 are well-known immune modulatory factors that have been implicated in the ability of tumors to evade immune responses. However, PDL1 (Cd274) was not strongly expressed in our tumor model, and Cd47 was similarly distributed across all the tumor cells (Figure S3F). Turning to an unbiased approach that could provide insights into the superior ability of TGF- β responding tSCs to resist ACT treatment and regrow the tumor, we then analyzed single cell transcriptome of naïve skin tumors.

When $CD34^+CD44^+a6^+TGF\beta$ -reporter⁺ tSCs were compared to all the other epithelial cells within the naive tumors, $Cd80$ emerged as a top distinguishing feature, enriched by $>6X$ in naive tSCs as well as tSCs that survived ACT (Figures 4B–4D and Table S4). Although

CD80 (or B7–1) is known to be expressed by some immune cells (Carreno and Collins, 2002), we had gated out these cells in our FACS-purified tumor cells, and those genes (Cd45, Cd11b, Cd11c, Cd207) were not expressed in any of the profiled cells (Figures S2A, S2D and S2G). Rather, although not every *itga* β^{Hi} cell expressed *Cd80*, those that did also expressed other tSC markers, such as *Cd44, Cd34* and *Sox9* (Figures 4C, 4D and S2F).

Flow cytometry and rtPCR confirmed the presence of surface CD80 on CD45-negative, nonimmune tumor cells, >95% of which were integrin α 6^{hi} and TGFβ reporter⁺ (Figure 4E). Immunofluorescence further confirmed the specificity of surface CD80 protein in TGFβ reporter⁺ tSCs near or at the tumor-stromal interface, in both naive tumors as well as those that had relapsed after ACT (Figures 4F and S4A). Consistent with our single cell analyses of ACT survivors, ACT-refractory CD80+ tumor cells showed no signs of apoptosis (Figure 4G). CD80 was also detected in Keratin14⁺ pSMAD2/3⁺ progenitors from 7,12-Dimethylbenz[a]anthracene (DMBA) and 12-O-tetradecanoylphorbol-13-acetate (TPA) induced skin tumors (Figure S4B), $>$ 20% of which bear oncogenic mutations in *Kras, Trp53*, Hras1, Myh9 and/or Notch1 (Li et al., 2018; Nassar et al., 2015; Nicolas et al., 2003; Schramek et al., 2014) Moreover, the specific acquisition of CD80 appeared to be independent of immune selection, as it was seen even in progenitors from skin tumors that were generated by DMBA/TPA treatment of Rag2-null mice, which lack an adaptive immune system (Figure S4B).

Importantly, our findings also appeared to be relevant to human cancers, as CD80 was expressed in pSMAD2/3+Keratin14+ primary skin SCC progenitors directly collected from human patients (Figure 4H). We also detected CD80 both in xenograft-derived human skin SCCs grown on Severe Combined Immunodeficient (SCID) mice and also in skin SCCs that had metastasized to lung (Figure S4C). Taken together, these data suggest that TGFβresponding tSCs are a significant source of CD80 across a broad cohort of mouse and human tumors, including those relapsed from ACT.

Since CD80 occurred mainly on TGF-β responding tSCs, we wondered whether the two events might be linked. Notably, treatment with TGF-β blocking Ab significantly decreased CD80 surface expression on Integrin α 6^{Hi}CD34⁺CD44⁺ tSCs (Figure 4I). This result builds upon increasing evidence that TGF-β signaling can elicit immune suppression (Travis and Sheppard, 2014).

CD80 is Necessary for tSCs to Endure an Immune Attack

In ACT therapy, the endogenous immune system is suppressed. To interrogate the importance of tumor CD80 in withstanding a full-scale immune attack, we turned to an engrafted SCC model, where we could carefully control for the numbers of tumor-initiating cells, the rates of tumor growth and the differentiated status of developing tumors. We retained the oncogenic driver from our initial ACT experiments by choosing a wellcharacterized HRas^{G12V} SCC line (PDVC57) derived from DMBA-treated skin keratinocytes isolated from C57/BL6 mice (Bremner and Balmain, 1990). Importantly, this line could initiate SCCs even in adult, wild-type C57/BL6 mice, and although PCVC57 derived tumors were robustly infiltrated with anti-tumor immune cells, particularly cytotoxic T cells, SCCs grew and were lethal to mice.

With this line, we could address whether CD80 is an essential feature of tumor growth. To do so, we employed CRISPR/Cas gene editing to target Cd80 ablation in otherwise isogenic PDVC57 tumor cells (Figure 5A). In comparison to their unedited PDVC57 counterparts, Cd80-null PDVC57 tumor cells displayed blunted tumor growth (Figure 5B).

If the impaired tumor growth arises from an inability of Cd80-null PDVC57 tumor cells to efficiently withstand immune attack, then the difference in growth of $Cd80(+)$ (WT) and $CD80(-)$ tumors should be erased on the background of immunocompromised mice. Indeed, parental and Cd80-null PDVC57 cells grew SCCs at comparable rates in C57/BL6 Rag2 null mice, which lack an adaptive immune system (Figure 5C).

Since in ACT, CD8⁺ cytotoxic T cells are injected to combat the cancer, we next asked whether tumor-CD80 can specifically suppress the functions of these CD8⁺ T cells. Indeed, Ab depletion of CD8+ cells erased the diminished tumor progression caused by CD80 deficiency, indicating that cytotoxic T cells were involved in CD80's actions (Figure 5D). Moreover, CD8⁺ T cell infiltration, proliferation and anti-tumor activity (as judged by the production of Granzyme B, IFN- γ and TNF- α) became significantly enhanced when *Cd80* was ablated (Figures 5E, 5F and S5A). These findings were specific for Cd80 ablation, as similar effects were observed when shRNAs were used to knockdown *Cd80* in the same cell line (Figure S5 B–D).

Tumor Cell CD80 Suppresses Cytotoxic T Cell Activity in a CTLA4-Dependent Manner.

Since the majority of endogenous immune suppressive cells were eliminated prior to ACT with cytotoxic T cells, we surmised that tSCs have the capacity to directly suppress cytotoxic CD8 T cell functions, independent of possible priming of other suppressive immune cells, such as regulatory T cells. Thus, we concentrated our efforts on how CD80 on tSCs might attenuate cytotoxic T cell activities. In this regard, we were intrigued by studies on innate immune cells, whose CD80 can directly engage with CTLA4 on the surface of activated CTLs and silence their activity (Walker and Sansom, 2011).

To explore whether this immune effector might act analogously when present on tSCs, we designed an *in vitro* co-culture assay. We first purified CD8+ T cells from spleen, then prestimulated them with immobilized CD3/CD28-Abs, and finally exposed them to either cultured CD80(+) or CD80(−) PDVC57 SCC cells. Interestingly, CD80(+) SCC cells potently inhibited CTL proliferation and production of granzyme (Figures 6A, B). These suppressive effects were markedly attenuated with CD80(−) SCC cells, underscoring the importance of tumor CD80.

To further test the possibility that tSC CD80 acts through CTLA4 receptors on activated CTLs, we used CTLA4 blocking Abs and repeated the co-culture experiment. Indeed, this treatment significantly reinvigorated T cell activities in the presence of CD80(+) SCCs (Figures 6A, B). To test for specificity, we also stimulated naïve T cells by incubating OT-I splenocytes with OVA (257–264) peptide, and then used negative selection to remove all other immune cells from the mixture (see methods). CD80(+) or CD80(−) SCC cells were then added to the purified, OVA-stimulated CTLs (Figure S6A). In this system, CTLA4 blocking Abs still diminished the suppressive effects of CD80(+) SCC cells on activated

CTLs. Notably, however, CTLA4 Abs showed no added reinvigoration to T cell activity in CD80(−) SCC co-cultures, consistent with the notion that CTLA4 and CD80 interact in a common pathway (Figure S6B).

CTL receptor CD28 can also bind to innate immune cell CD80, where its effects on CTLs are stimulatory (Alegre et al., 2001). As expected, since activated OT-I CTLs are CTLA4^{Hi|}, we were not surprised to find that CD28 stimulatory Abs did not alter the suppressive effects of SCCs on OT-I CTL proliferation (Figure S6C). Interestingly, however, while CD28 blocking Abs (Papotto et al., 2017) on their own showed no effect on activated OT-I CTL proliferation, they did reverse the rescue effects that CTLA4 blocking Abs had on CD80(+) SCC-mediated suppression of CTL proliferation (Figure S6C). While modest, these effects were significant across six independent replicates. Placed in the framework of prior knowledge on innate immune cell CD80, our data suggest that tSC CD80 preferentially engages with CTLA4 on activated CTLs, but if this interaction is blocked, CD80 binds to CD28 and becomes stimulatory.

Finally, PDL1 is closely related to CD80, and in T cells and some cancer cells, it interacts with CTL PD1 receptor to activate an immune checkpoint and dampen lymphocyte targeting potency. Recently, it was shown that immune cell PDL1 can bind in Cis to CD80, where it can impair T cell proliferation (Chaudhri et al., 2018). While not expressed in the tSCs of our HRas $G12V$ skin SCC tumor model, PDL1 (Cd274) was expressed by PDVC57 SCC cells. However in these SCC cells, PDL1 acted parallel rather than linear to CD80, and the loss of CD80 restored CTL proliferation to a greater extent than PDL1 blocking Abs (Figure S6D). Although the focus of our study here was CD80, PDL1 blocking Abs did give a modest boost in combination to loss of CD80 alone in these assays.

Given the promise of our *in vitro* findings, we turned to addressing whether CTLA4 immunotherapy would be effective at counteracting in vivo tumor progression of PDVC57 derived SCCs, and whether eliminating CD80 from the cancer cells would dampen CTLA4 immunotherapy's potency. Indeed, while CTLA4 blocking Abs were effective in checking the growth of the CD80(+) parental SCCs, CD80-deficient SCCs were refractory (Figures 6C and S7A).

Direct Effects of tSC CD80 on Cytotoxic T Cells and tSC Survival In Vivo

Since Tregs are known to be depleted upon CTLA4 Ab treatment (Peggs et al., 2009) and since mice engrafted with PDVC57-SCC cells had their full immune regalia, we also examined the effects of tumor CD80 on Tregs. Interestingly, in untreated mice, the frequency and absolute numbers of Tregs were reduced in CD80(−) compared to CD80(+) SCCs (Figure 6D). This was consistent with the reduction in tumor growth upon Cd80 ablation, which was as potent as CTLA4 blocking Abs. However, when we transplanted CD80(+) and CD80(−) SCC cells into Foxp3DTR mice whose Tregs had been efficiently depleted by diphtheria toxin (DT), we found that CD80(+) tumors still grew faster compared to CD80(−) tumors (Figure 6E). Thus even when Tregs were absent, tSC CD80 still suppressed CTL functions.

Since Tregs are mostly depleted during the cyclophosphamide and tumor clearance phase of ACT (Figure S1B), and since tSC CD80 exerts an effect independent of Tregs, we concentrated our efforts on whether and how tumor CD80 promotes stem cell survival in ACT. To directly test this possibility in vivo, we silenced Cd80 selectively in the tSCs (Figure 7A). We achieved this goal by crossing our earlier ACT tumor model (*TRE-OVA X* TRE-Hras $G12V$) to Rosa26-LSL-Cas9-P2A-GFP mice, and then utilized in utero lentiviral delivery to generate clonal patches of skin epithelia transduced with three elements: a) PGK $rtTA$ to induce tumor formation and OVA upon Doxy; b) a pSMAD2/3 enhancer driving both mCherry and CreER; and c) CRISPR small guide (sg) RNAs targeting either a scramble control sequence (Scr) or *Cd80*. Prior to ACT, we administered tamoxifen to activate CAS9 and delete $Cd80$ specifically in the TGF β -responding tSCs of naïve tumors (Figure 7A).

Compared to control sgRNA tumors, OT-I T cells within tumors whose tSCs lacked CD80 were more proliferative, produced more anti-tumor granzyme, and displayed diminished expression of inhibitory receptors (Figure 7B). This delay in CTL exhaustion was also reflected by an increase in OT-I CTL frequency within tumors whose tSCs lacked CD80 (Figure 7C). Moreover, these tumor-suppressive effects of CD80 tSCs appeared to be mediated through CTLA4, since the consequences to ACT of ablating *Ctla4* in OT-I CTLs were similar to those of Cd80 ablation in tSCs (Figures S7B, C).

It was noteworthy that tSCs lacking CD80 were also more vulnerable to this influx of CTLs. This was evidenced by the marked increase in apoptotic tSCs and decline in tSC survival through ACT therapy (Figures 7D, E). CTLA4-deficient OT-I CTLs and CTLA4 blocking Abs administered during ACT had similar deleterious effects on tSC survival in established tumors (Figures 7F and S7D) and led to enhanced tumor clearance. Of additional note, lineage tracing again revealed that even when TGFβ-responding tSCs became susceptible to ACT, dedifferentiation did not appear to function as a means to replenish the depleted tSCs (Figure S7E).

Finally, we surveyed the impact of our findings on SCC therapy. First, although TGFβ blocking Abs alone caused a significant expansion of CD34+CD44+ tSCs, injecting OT-I CTLs into TGFβ blocking Ab-treated mice abolished this survival advantage (Figure 7G). Second, by ablating tSC-specific CD80, not only was the exhaustion program of injected OT-I CTLs delayed, but in addition, tumor relapse was diminished (Figure 7H). Third, in contrast to the immediate rescue of CD80(+) tumor growth upon depleting OT-I CTLs after ACT, many tumors failed to regrow in the absence of CD80 (Figure S7F). Even in the few Cd80-null tumors that eventually relapsed, tumor regrowth was significantly delayed (Figure S7G), underscoring the deleterious effects of losing CD80 on tSC survival. Of note, relapsed tumors arising after ACT therapy on tSC-Cd80 targeted tumors harbored CD80+ cells (Figure S7H), leaving open the possibility that some tSCs may not have been responding to TGFβ at the time ACT was initiated but became TGFβ-responsive and hence activated CD80 during tumor relapse.

Taken together, our data uncovered and highlighted a key role for tSC CD80 in orchestrating the exhaustion program of injected CTLs during ACT, thereby enabling survival of TGFβresponding tSCs throughout treatment and allowing for subsequent tumor relapse.

DISCUSSION

A Surprising New Player in Evading Targeted Cytotoxic T Cell Therapy and Driving Tumor Relapse.

It has been increasingly recognized that tSCs are endowed with unique molecular features to drive tumorigenesis, as well as to promote resistance to cancer therapies. By establishing an effective mouse ACT model in which we could track skin SCC-tSCs and directly test and compare their susceptibility to antigen-specific anti-tumor immune responses, we unearthed compelling evidence that TGFβ-responding tSCs show pronounced resistance to enhanced cytotoxic T cell responses. For SCCs, we further discovered that these TGFβ-responding tSCs are responsible for tumor recurrence following ACT therapy. To our surprise, we also found that within the tumor, these stem cells express an immune cell ligand, CD80, which when silenced, blunts their resistance to immune surveillance and dampens tumor relapse.

Both CTLA4 and CD28 are expressed by CD8⁺ cytotoxic T cells. CD80 is mostly considered to be stimulatory, as when expressed on immune cells, it can work through CD28 to activate T cells (Alegre et al., 2001). However, CD80's affinity is higher for CTLA4 than for CD28, and its interaction with CTLA4 can have an inhibitory effect (van der Merwe et al., 1997). Importantly, when cytotoxic T cells receive their activating stimulus in peripheral lymph nodes and then infiltrate a solid tumor, they display markedly elevated CTLA4 (Alegre et al., 2001). Thus, within the tumor, the CD80 on stem cells is more likely to engage CTLA4 than CD28, thereby dampening the effectiveness of cytotoxic T cells at attacking the tumor. Supporting this hypothesis, when CTLA4-mediated interactions with SCC cells were blocked in vitro, tumor-CD80 engaged instead with CD28 on activated CTLs (Figure S5).

The biochemical details of these various immune engagements with tumor-CD80 will be interesting to explore in the future. For the purposes here, we've concentrated on the discovery and physiological relevance of tSC-specific CD80. Overall, our findings, initially with ACT therapy, then interrogated through *in vitro* co-culture and with tumor transplantations on Treg-depleted mice and finally challenged in vivo with CD80-deficient SCC cells and CTLA4-deficient CTLs, all highlight that a direct interaction between CD80(+) tSCs and CTLA4(+) cytotoxic T cells acts as an important, hitherto unappreciated contributor to resistance to immune surveillance and tumor recurrence in cancer.

A Possible Role For tSC-CD80 in Priming Tregs

Although the major focus of the current manuscript was to investigate how tSCs persist through adaptive T cell transfer therapies involving cytotoxic T cells, our discovery of CD80 as an immune evasive ligand unique to tSCs prompted us to interrogate the effects of tSC-CD80 on Tregs, which like cytotoxic T cells express CTLA4. Indeed, tSC-derived CD80 also impacted Tregs, whose numbers declined upon tumor-specific Cd80 ablation. Since

regulatory T cells are themselves potent suppressors of cytotoxic T cells, this provides an additional means by which CD80 endows tumor stem cells with an ability to evade an immune attack, even when it is unleashed to its fullest extent. Although probing deeper into the mechanistic details of tSC-CD80 on Treg-mediated suppression of cytotoxic T cells is outside the scope of the current investigation, it is possible that, instead of engaging with CTLA4 on Tregs, tSC-CD80 might bind to CD28, which has been reported to be critical for the development of optimal suppressive Treg functions (Zhang et al., 2013).

Irrespective of the underlying mechanisms involved, an indirect suppression of cytotoxic T cells through tSC-CD80-mediated priming of Tregs might come into play when the host immune system begins to recover from ACT. Recovering MDSCs and TAMs may also provide sources of CD80 to further prime the function of Tregs at these later stages following ACT and curb an endogenous cytotoxic T cell attack. Thus, although we've focused on how a direct interaction between $CD80(+)$ tSCs and CTLA4hi cytotoxic T cells enables tSCs to survive ACT-mediated immunotherapy, indirect immune suppressive effects of tSCCD80, as well as the CD80 from other suppressive immune cells are likely to enter into play during the recovery phase of ACT or in natural tumor progression.

tSCs At the Core of Orchestrating a Tumor Immune Evasion Program

Our study revealed that tSCs are specifically equipped with surface CD80, endowing tumor stem cells with the powers to both actively orchestrate cytotoxic T cell exhaustion but also fuel their own tumor growth. Although future research will be needed to dissect the relative contributions of stem cell-derived CD80 on establishing immune suppression in various spontaneous tumor models, our studies on SCCs place so-called "cancer stem cells" at the crux of how immune evasion is established.

Interestingly, CD80 was only activated in the subset of tSCs that actively responded to TGFβ and its expression could be reduced by blocking TGFβ signaling. Since in SCCs, TGFβ arises primarily from the perivasculature, and the vasculature of a growing tumor is dynamic (Oshimori et al., 2015), this heterogeneity in the tumor microenvironment implies that the ability of SCC-tSCs to resist immunotherapy may change over time. The importance of TGFβ in protecting cancer cells from anti-tumor immunity has also been reported for bladder and colon cancer, in this case with conventional PDL1 immunotherapy (Ganesh and Massague, 2018; Mariathasan et al., 2018; Tauriello et al., 2018)

The depth of the arsenal of immune-evasive mechanisms endowed to tSCs is still in its infancy, as is the question of how TGFβ-signaling might activate CD80 and confer these tumor-evasive mechanisms to stem cells. We did nOT-Ice that the Cd80 expression was not completely abolished upon blocking TGFβ signals, suggesting that additional signaling might function in tSC Cd80 activation. Given the newfound importance of tSC CD80 in immune evasion, it will be important to uncover these pathways in the future.

In closing, our studies shed new light on the basis for immune resistance and tumor relapse from immunotherapy. We've not only unveiled a new player in the game of tumor immune resistance, but also raised the possibility that TGFβ-signaling may be at the nexus of immune evasion and malignancy of tSCs in other cancers as it is for SCCs. Clinically, our

findings also help to shape the underlying biological basis for the effectiveness of current immune checkpoint blockade drugs that target CTLA4. Moreover, given that TGFβresponding tSCs are also the major population of cancer cells that escape chemotherapies (Oshimori et al., 2015), our finding that they are also at the roots of immune evasion suggest that a combination of cisplatin, CTLA4 blocking Abs, adaptive T cell therapy and short-term TGFβ inhibitors might be particularly effective in future SCC therapeutics that target the tSCs at the core of immuno- and chemo- resistance.

STAR METHODS

CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Elaine Fuchs (fuchslb@rockefeller.edu).

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Animals—*TRE-OVA* (Rosenblum et al., 2011) and *TRE-Hras1^{G12V}* (Chin et al., 1999) mice have been described before. The original $\mathit{TRE-Hras}^{\Pi GIZV}$ mice were back bred 10 generations to C57BL/6J and then bred to TRE-OVA (B6, CD45.2) mice to generate the ACT tumor model. Wild type C57BL/6J, B6;129S6-*Gt(ROSA>^{26Sortm9(CAG-tdTomato)Hze*/J} $(R26\text{-}LSL\text{-}tdTomato)$, B6; 129-Gt($ROSA>26Sot^{tm1}(CAG\text{-}cas9\text{*,-EGFP}\text{-}Fezh/J$ ($R26\text{-}LSL\text{-}Cas9)$ (Platt et al., 2014), $B6(Cg)$ - $Rag2^{tm1.1Cgn/J}$ ($Rag2^{-/-}$), C57BL/6-Tg(TcraTcrb)1100Mjb/J (OT-I), B6.SJL-*Ptprc^a Pepc^b/BoyJ* (CD45.1), and *B6.129(Cg)-Foxp3^{tm3(DTR/GFP)Ayr*/J} (Foxp3-DTR) mice were obtained from The Jackson Laboratories. Animals were maintained in an Association for Assessment and Accreditation of Laboratory Animal Care (AALAC) accredited animal facility, and procedures were performed with Institutional Animal Care and Use Committee (IACUC)-approved protocols. The animals were maintained and bred under specific-pathogen free conditions at Comparative Bioscience Center at Rockefeller University and all the procedures are in accordance with the Guide for the Care and Use of Laboratory Animals. For ACT treatment, both female and male mice were used and the mean age of mice at enrollment was 60 ± 10 days. For tumor transplantation, only 8 weeks old female mice were used.

Cell lines—Mouse skin SCC line PDVC57 was cultured in E low calcium medium (contains 50mM calcium), made in house. Human skin SCC line A431 for tumor grafting and HEK293T cells for packaging lentivirus were cultured in Dulbecco's modified eagle medium (DMEM) in 10% FBS, 100 units/mL streptomycin and 100 mg/mL penicillin with 2mM glutamine. Sex of squamous cell carcinoma cell lines is not available.

Human tumor samples—Human skin SCC tumors originating from different body regions (e.g. leg, scalp, and forehead) were removed in the course of normal surgical procedures from cancer patients at Weill Cornell Medical College, in compliance with federal and state laws, and National Institute of Health guidelines. Small tissue samples normally discarded, were analyzed for CD80 and pSMAD2/3 expression.

METHOD DETAILS

Tumor formation and drug treatment—To induce tumor formation and OVA antigen expression, the TRE-Hras^{G12V} mice with or without TRE-OVA allele were fed with Doxy (2mg/kg) chow (Bioserv) to activate rtTA3 at postnatal day 1. To activate Cre in TGFβresponding tSCs, 3 or 5×100 μg of tamoxifen (Sigma) was introduced by intraperitoneal (i.p) injection. For tumor transplantation, 1×10^5 PDVC57 mice SCC cells were mixed with high concentration Matrigel membrane matrix (Corning) and injected subcutaneously in WT, $Rag2^{-/-}$ mice or $Foxp3^{DTR}$ mice. To deplete CD8 T cells or blocking CTLA4 during tumor grafting, three dose of 0.5 mg CD8 Ab (YTS 169.4) or 0.1 mg CTLA4 Ab (UC10–4F10–11) were injected intraperitonelly (i.p.) prior to the tumor transplantation. To block TGFβ signaling in the tumor-bearing mice, $0.1 \text{ mg TGF}\beta$ blocking ab (1D11.16.8) were injected i.p. every other day for 4 weeks. To deplete MDSCs or TAMs before ACT, 0.2 mg Gr1 (RB6–8C5) or CSF1R (AFS98) Ab were injected i.p. every other day for one week, prior ACT, then continue injection every other day after ACT for another week. Tregs were depleted in $F\alpha p\mathcal{P}^{T\text{R}}$ mice using modified protocol. Briefly, diphtheria toxin (DT, Sigma-Aldrich) was diluted to 2.5 μg/ml, and 200 μl (0.5 μg) was injected intraperitonelly (i.p.) into WT or Foxp3 DTR mice that had received PDVC57 SCC cells previously at Day 10, 12, 14 post tumor-transplantation and maintain by one dose of DT treatment (0.5 μg) every four days.

Adoptive T cell transfer—Mice were enrolled for ACT treatment when the tumor size on their back skin exceeded 10 mm. The mean age of mice at enrollment was 60 ± 10 days. 6 days prior ACT, the splenocytes were isolated from CD45.1 OT-I mice, and pulsed with OVA 257–264 (SIINFEKL) peptide (2 μg/ml) (InvivoGen) for 6 hours followed by washing and culturing in T cell medium containing β-mercaptoethanol (50 μM). Animals received one low dose (180 mg/kg) of cyclophosphamide at enrollment, and followed 6 hours later by intravenous infusion of 1×10^7 in vitro activated CD45.1 OT-I T cells. The ACT treated mice were then receiving recombinant hIL2 $(2\times10^4 \text{ IU}, i.p.)$ (PeproTech) every other day for 8 days, in order to promote survival and expansion of donor OT-I T cells.

In utero lentiviral transduction—The tetracycline element-regulated oncogene and OVA antigen expression was induced on the mice skin via the ultrasound-guided in utero injection of the lentivirus carrying rtTA3. Briefly, female mice were anesthetized with isoflurane (Hospira) at day 9.5 of gestation, and low titer lentivirus was injected into the amniOT-Ic sacs, in order to selectively transduce a small number of progenitors within the surface ectoderm. At E9.5, the embryo surface consists of a single layer of progenitors that will give rise to the skin epithelium (Beronja et al., 2010). With this method, lentivirus only infects this single layered epithelium, which is homogenous and consists of keratinocytes at this stage. Importantly, the injection procedure does not alter embryonic development or prompt an immune reaction, and once integrated $(\sim 24 \text{ hr} \text{ post-infection})$, the DNA harbored within the lentivirus is stably propagated to the epithelial progenitor progeny (Beronja et al., 2010). Postnatally, the skin contains clonal patches of transduced cells that can then induce tumorigenesis upon doxycycline administration.

Cell sorting and flow cytometry—To sort target tumor populations, tumors were dissected from mice skin at designated time points, minced and digested with 0.25% collagenase (Sigma) in HBSS (Gibco) for 20 minutes followed by Trypsin treatment for 10 minutes at 37°C. Single cells sus pension was prepared and stained with a cocktail Ab at predetermined concentration in staining buffer (PBS with 5% FBS and 1% HEPES): CD49f/ integrin α6-PerCP Cy5.5 (1:250, BD); CD34-FITC (1:100, eBiosciene); CD44-PE-Cy7 (1:100, BD); CD45-APC (1:500, Biolegend); CD31-APC (1:100, Biolegend); CD117-APC (1:100, Biolegend); CD140a-APC (1:100, Biolegend). DAPI was used to remove dead cells. The sortings were performed on FACSAria. To profile immune population and measure tumor-infiltrating T cell activity, the tumor was digested in Liberase (25 mg/ml) (Roche) for 60 minutes at 37°C with adapted protocol. After preparation of single cell suspension, lymphocytes were enriched via density gradient centrifugation in the Lymphoprep™ medium. The enriched lymphocytes were then either treated with Leukocyte Activation Cocktail with GolgiPlug[™] (BD Bioscience) for 4 hour at 37 \degree C or directly stained with LIVE/DEAD Fixable Dead Cell Stain (1:200 Thermo Fisher) for 30 minutes on ice, and then with a cocktail of Abs for surface antigens at pre-determined concentrations (CD45-AF700 1:200, Biolegend; TCRβ-PerCP Cy5.5 1:200 Biolegend; CD4 PE-Cy7 1:200, Biolegend; CD8b APC-Cy7 1:200 Biolegend; CD25-BV421 1:200, Biolegend; CD19-BV605 1:200, Biolegend; NK1.1-PE-Cy5.5 1:200, Biolegend). The cells were then fixed and permeabilized with Cytofix/Cytoperm[™] (BD Bioscience) or Foxp3 staining buffer set (eBioscience) and stained with Ab recognizing intracellular antigens (Granzyme-PE 1:100, Thermo Fisher; IFNγ-PE 1:200, Biolegend; TNFα-PE 1:100, Biolegend; Foxp3-FITC 1:100, Thermo Fisher). The stained cells were analyzed with LSR II Analyzer (BD Biosciences) and with FlowJo program.

Single cell cDNA synthesis and sequencing library preparation—Single cell RNA-seq was performed using Smart-seq2 protocol with modifications (Picelli et al., 2014) Briefly, single cells from target tumor population were sorted into 2µl lysis buffer (0.2%) Triton X-100, 20 U/μl SUPERase In RNAse inhibitor (Thermo Fisher), 0.25 μM oligodT30VN primer, and 1:8,000,000 ERCC spike-in RNAs control (Ambion)). The 96-well plates containing sorted cells were then heated at 72°C for 3 min to lyse the cells followed by reverse transcription with 4U/ml Maxima H-transcriptase (Thermo Fisher), template switching reaction and PCR pre-amplification (15 cycles) according to the protocol (Picelli et al., 2014). PCR products were then cleaned and purified with $0.8 \times$ AMPure XP beads and the quality of cDNA libraries was evaluated by qPCR amplifying housekeeping gene Ppib2 to exclude any empty wells or low quality libraries. 50–100 pg of cDNA library was then used to generating Illumina sequencing library using the Nextera XT DNA library preparation kits (Illumina). The pooled sequencing libraries were then sequenced with Illumina Nextseq 500 instrument using 75 bp paired-end-reads setting.

SCC tumor/T cell co-culture—CD8+ T cells were purified from the spleen of the WT C57/BL6 mice, through the use of a Mojo CD8 T cell Negative Selection kit. Naïve T cells were then labeled with 5 μM Alexa Fluor 488 conjugated Carboxyfluorescein succinimidyl ester (CFSE, Invitrogen) dye for 10 minutes at 37°C. The purified CD8 T cells were then activated by plate-coated or Dynabeads-coated CD3/CD28 Ab. In the meantime, CD80 (+)

or CD80(−) PDVC57 SCC cells were pre-treated with mitomycin c (4 μg/ml) for 2 hours to arrest their proliferation, and then added on Day 2 post T cell activation and added to T cells at 1:1 ratio in T cell medium.

T cell proliferation, a sign of successful activation, was measured by flow cytometry quantification of CFSE retention in T cells after 3 days co-culture. For tumor/OT-I T cell cocultures, splenocytes from OT-I mice were activated with OVA 257–264 (SIINFEKL) peptide (2 μg/ml) (InvivoGen) for 2 days, and then the CD8+ T cells were purified, labeled with CFSE, and added to CD80 (+) or CD80 (−) SCC cells at 1:1 ratio, together with 10 μg/ml CD28 agonist Ab (clone 37.51 or D665), 10 μg/ml CD28 antagonist Ab (mPEG PV1- Fab')(Papotto et al., 2017), 25 μg/ml CTLA4 blocking Ab (clone UC10–4F10–11), or 25 μg/ml PDL1 blocking Ab (clone 10F.9G2) and co-culture in T cell medium for 3 days

Immunofluorescence and imaging—The dissected tumor were fixed with 4% PFA in PBS for 1 hour at 4°C, washed then incubated in 30% sucrose in PBS O/N at 4°C, then embedded in OCT (Tissue Tek). Cryosections were permeabilized, blocked and stained with the following primary Ab: Integrin a6 (rat,1:2,000, BD), RFP/mCherry (Guinea Pigs, 1:5,000, Fuchs Lab), K5 (Guinea Pigs, 1:2,000, Fuchs Lab), K10 (Rabbit, 1:2,000, Fuchs Lab), K14 (Chicken, 1:1000, Covance), mCD80 (Goat, 1:50, R&D), hCD80 (Goat, 1:50, R&D), pSMAD2 (rabbit, 1:1000, Cell Signaling), cleaved Caspase-3 (rabbit, 1:500, Cell Signaling), CD8 (Rat, 1:100, Abcam). For pSMAD2 immuno-staining, the Tyramide $SuperBoost^{\text{TM}}$ kit (Thermo Fisher) kit were used to enhance the signal. The slides were then stained with secondary Abs conjugated with Alexa 488, 546, or 647 (Life Technologies) and imaged on Zeiss Axio Observer Z1 equipped with ApoTome.2. The images were collected using Zeiss ZEN software and analyzed using ImageJ Software.

RNA purification and qRT-PCR—The FACS-purified target tumor populations were sorted directly into TRI Reagent (Sigma) and the total RNA was purified using Direct-zol RNA MiniPrep Kit (Zymo Research) in accordance to manufacture's instructions. For realtime qRT-PCR analysis of any target genes, equal amount of RNA were reverse transcribed using SuperScript VILO cDNA synthesis kit (Life Technologies). cDNAs were then mixed with specific gene primes and SYBE green qPCT Master Mix (Sigma) and the qRT-PCR was performed on an Applied Biosystems 7900HT Fast Real-Time PCR system.

CRISPR mediated CD80 knockout—The Alt-R® CRISPR-Cas9 System (IDT) was used to generate the Cd80-null PDVC57 line. Briefly, a ribonucleoprotein (RNP) formed by an efficient sgRNA targeting Exon 3 of mouse CD80 (CATCAATACGACAATTTCCC, synthesized by IDT), an ATTO[™] 550 fluorescent dye conjugated transactivating crRNA (IDT), and a recombinant Cas9 endonuclease (IDT) was transfected into PDVC57 line using RNAiMax (Thermo Fisher). The transfected cells were FACS purified, and then each single cell was sorted into an individual well of a 96 well plate. Single cell colonies were then expanded, and clones deficient for CD80 were selected for experiments.

shRNA for Cd80 knockdown—Hairpin from Broad Institute's Mission TRC mouse library targeting mouse Cd80 (CCGAGTATAAGAACCGGACTT) were cloned into pLKO-H2B-GFP vector and transduced into PDVC57 cells in order to complement our CRISPR-

mediated Cd80 knockout, and thus ensure that any phenotype from the CRISPR-mediated procedure was not a result of non-specific targeting of the sgRNA.

OT-I-specific Ctla4 silencing—Hairpin targeting mouse Ctla4

(GGAGCATGAACAGAGAGCT) was cloned into a modified pMKO.1 vector (pMKO.1- Thy1.1). OT-I CTLs were isolated and stimulated (1 μg/ml CD3 antibody, 0.5 μg/ml CD28 antibody, and 100U/ml recombinant IL2) for 24 hours. The OT-I T cells were then spininfected with Thy1.1-Ct/a4 or Thy1.1-Scramble Control shRNA retrovirus (1000g 90 min) in the retronectin (20 μg/ml) coated plate. 48 hours later, the tranduced OT-I T cells were purified by positive selection using biotinylated Thy1.1 antibody (Biolegend) with magnetic nanobeads (R&D). The Thy1.1+ OT-I T cells were then mixed with irradiated splenocytes pulsed with OVA 257–264 (SIINFEKL) peptide (2 μg/ml). 5 days later, the expanded Thy1.1+ OT-I T cells were injected into OVA+ skin SCC for ACC.

QUANTIFICATION AND STATISTICAL ANALYSIS

Single-cell RNA-seq analysis—To analyze the sequencing data, the reads were first aligned to the mouse reference genome (Version mm10 from UCSC), together with the reads for ERCC spike-ins using Bowtie2 (version 2.2.9). Using RSEM (v 1.2.30), the expression level for each gene were quantified as TPM (Li and Dewey, 2011) which was then transformed to $log_2(TPM + 1)$. Any cells with less than 4000 genes detected or any genes expressed in less than 5 cells were removed. Genes with level of variation above the technical variation and FDR value less than 0.1 were considered as highly variable. The list of highly variable genes were analyzed with principle component analysis (PCA), in order to identify cell clusters. The significant PCs were applied to unsupervised hierarchical clustering using ward's method and Euclidean distance. The results of PCA were then used to perform t-distributed stochastic neighbor embedding (t-SNE), to present the high dimensional data in two-dimensional space, and the expression level of any interested genes was represented in the t-SNE plots. Additionally, to identify the gene signatures of each cluster, we normalized raw counts which were then applied to DEseq package (Anders and Huber, 2010) using R. Any genes with fold change between clusters >2 and FDR <0.1 or 0.3 were considered differentially expressed. Signature genes of each cluster were defined as genes that are $>2\times$ differentially expressed, and unique for each cluster. Gene Ontology (GO) analysis was performed with differentially expressed genes between clusters using DAVID v6.721,22. (Huang da et al., 2009) Pathways enriched with FDR<0.25 were considered to be significant.

Statistics—Statistics analysis (unpaired two-tailed student's t test, with a 95% confidence interval under the untested assumption of normality) was performed in Prism 6 (GraphPad). Data are presented as mean \pm SEM or mean \pm 95% confidence interval. Group size was determined by power analysis on the basis of preliminary experiment results. Experiments were not performed in a blinded manner, Significant difference between two groups were noted by asterisks (* p<0.01; ** p<0.005: *** p<0.001).

DATA AND SOFTWARE AVAILABILITY

Raw and analyzed data is available at NCBI and the accession number for the single cell RNA sequencing data reported in this paper are NCBI GEO: GSE108679

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights:

- **•** Tumor-initiating stem cells have enhanced resistance to T-cell immunotherapy
- **•** TGFβ-responding stem cells form the root of relapse after adoptive cell transfer
- **•** Tumor-initiating stem cells can acquire CD80 to modulate cytotoxic T cell attack
- **•** CTLA4 or TGF β-blocking antibodies can render stem cell susceptibility to treatment

Figure 1. A Murine Skin Tumor Model for Adoptive T Cell Transfer-Based Immunotherapy. See also Figure S1

(A) Schematic. Lentivirus harboring rtTA3 and mCherry, both under the control of a constitutive *phosphoglycerate kinase (PGK)* promoter. Sparse, selective transduction of surface ectoderm was achieved by *in utero* ultrasound-guided microinjection of low titer LV into the amniOT-Ic sacs of living E9.5 CD45.2 *TRE-Hras*^{G12V} TRE-OVA embryos. Doxyinduction of $H\text{Ras}^{G12V}$ concomitantly initiates tumorigenesis and OVA expression in these transduced clonal patches of skin epithelium. Cytotoxic T cells for ACT were prepared from the spleen of CD45.1 OT-I mice and then activated *in vitro* as shown. Activated CTLs were

then injected into tail veins of mice bearing OVA+ tumors. Mice were given cyclophosphamide 6 hrs prior to ACT to clear their endogenous immune repertoire. (B) Mice, H&E staining, and immunofluorescence (IMF) of skin tissue sections of a representative tumor induced as in (A). Integrin α6 demarcates tumor-stroma interface; keratin 5 (K5) marks progenitors and K10, K6 and loricrin confirm the well-differentiated nature of these early skin tumors.

(C) Flow cytometry analysis of OT-I CD8+ T cell infiltration into OVA− control or OVA⁺ tumors at one week post-ACT. CD45.1 distinguished donor from host immune cells. (D) IMF images of CD8+ T cells within tumors sampled before (naive) and one week after ACT.

(E) Changes in tumor size following ACT treatment of mice harboring OVA− control or OVA⁺ tumors. Tumor volumes were normalized to their original size. n=12; data are mean \pm SEM.

(F) Flow cytometry analysis of OVA antigen presentation on the surface of lineage-negative tumor cells ± ACT.

(G) Flow cytometry analyses of proliferation maker (Ki67), anti-tumor cytokine production (granzyme) and T cell exhaustion markers (CTLA4, PD1, Tim3 and LAG3) in OT-I T cells following ACT. Naïve CD8+ T cells from donor spleen were used as negative control.

(H) Decrease in tumor-infiltrating OT-I T cells following ACT treatment; data are mean \pm SEM.

(I, J) After one (I) and even two (J) ACT treatments, both active CTLs and tSCs still persist, since tumors regrow immediately following CTL depletion. Tumor volumes were normalized to their original size; the curves from different tumors are distinguished by different colors. data are mean ± SEM. All scale bars=50 μm.

Figure 2. Single Cell Transcriptome Analysis of ACT-Surviving Tumor Cells. See also Figure S2.

(A) Experimental scheme to characterize tumors 2 wk post-ACT by single cell RNA-seq (SmartSeq2). Principle component analyses (right) reveals four major clusters.

(B) C1 shows classical features of tSCs (top row) and stem cell-specific, super-enhancer regulated genes (bottom row). Note: C3 and C4 display signatures of suprabasal, differentiating tumor cells, while C2 likely represent early suprabasal tumor cells $(int \text{ga} 6^{\text{lo}} \text{Cd} 34^{\text{neg}})$ (Figure S2).

(C) Gene ontology analyses of C1 transcripts changed by ≥2X compared to other tumor cell clusters $(p<0.05)$.

(D) (Top) Schematic of the LV construct for marking and monitoring TGFβ-responding tSCs. (Bottom left) Representative image of a naïve skin tumor immunolabeled for TGFβreporter (red) and (top) integrin α6 (green) or (bottom) pSMAD2(green). (Bottom right) Flow cytometry quantification of CD34⁺CD44⁺ tSCs within the integrin α 6^{hi}TGFβ-reporter ⁺ cells. Scale bar, 50 μm

(E) Single cell transcriptome of TGFβ-reporter+ tSCs from skin tumors (described later) overlaps with C1 ACT survivors.

Figure 3. TGFβ**-Responding tSCs Persist and Form the Roots of Tumor Relapse Following ACT Treatment.**

See also Figure S3.

(A) TGFβ-responding tSCs are persistent after ACT. Representative image and quantification of immunolabeling for active Caspase3 (green) and TGFβ-reporter (red) in naïve tumor or tumor at 1 week post-ACT. Three tumors and >150 cells from each of two sagittal sections per tumor were analyzed for each time point.

(B) Flow cytometry quantification of integrin α 6^{hi} TGFβ-reporter⁺ tSCs at 1 week post-ACT. Normal back skin cells labeled with the same Ab cocktail were used as fluorescence minus one (FMO) negative control to set the gate for mCherry.

(C) Lineage-tracing of TGFβ-responding tSCs following ACT therapy. Experimental scheme (top), quantification (middle), and representative images (bottom) from lineagetraced tumor regrowth following ACT. Three tumors and >150 cells from each of two sagittal sections per tumor were analyzed for each time point. All scale bars=50 μm.

Figure 4. TGF-β**-Responding tSCs Express CD80.**

See also Figure S4.

(A) Flow cytometry analysis of OVA antigen presentation on the surface of Integrin α 6^{hi} TGFβ-reporter⁺ tSCs before and after ACT treatment.

(B) Heatmap of single cell transcriptome profiles of naïve tumors reveals genes differentially expressed between TGFβ-responding tSCs and other tumor cells (Integrin α 6^{hi or low}). Note that *Cd80* is among the most highly up-regulated transcripts in naïve tSCs.

(C) t-SNE plots showing that the high Cd80 expression is restricted to C1 cluster that represents the $TGFβ$ -reporter⁺ tSCs of the naïve tumor.

(D) t-SNE plots showing high Cd80 expression only in the C1 cluster of ACT survivors that represent the tSCs (Integrin α 6^{hi}).

(E) CD80 expression in skin tumors. (Upper) Flow cytometry analysis shows that integrin α6^{hi} TGFβ-reporter⁺ tSCs are the non-immune (CD45^{neg} cell) source of surface CD80.

(Lower left) qRT-PCR analysis of $Cd80$ in naïve tumor cells. Data are mean \pm SEM. (Lower Right) Flow cytometry analysis of CD80 protein different FACS-purified tumor populations. (F-H) IMF and quantifications of CD80 and integrin α 6^{hi} in TGFβ-reporter⁺ tSCs of mouse (F,G) and human (H) skin SCCs. Data are from 3 tumors and 2 sagittal sections (>150 cells each) for each tumor and time point. Scale bars, 50 μm.

(I) Flow cytometry shows that CD80 on Integrin a6+CD34+CD44+ tSCs was significantly reduced when TGFβ blocking Abs were administered to tumor bearing mice for one month.

Figure 5. CD80 Protects Tumor Stem Cells from Cytotoxic T Cells. See also Figure S5.

(A) Schematic of CRISPR/Cas9-mediated Cd80 ablation in the skin SCC line PDVC57. Immunoblots confirmed the status of CD80 in CD80(+) and CD80(−) isogenic PDVC57 SCC cells.

(B) Effect of CD80 on SCC growth in C57BL/6 mice (left: tumor volume; right: tumor size at week 5). Note that CD80 deficiency diminishes tumorigenesis on these immunocompetent mice. n=15; data are mean \pm SEM.

(C) Effect of CD80 on SCC growth in C57BL/6 mice lacking all B and T lymphocytes (left: tumor volume; right: tumor size at week 5). Note that CD80 deficiency does not diminish tumorigenesis when mice lack an adaptive immune system. $n=15$, data are mean \pm SEM. (D) Effect of CD80 on SCC growth in C57BL/6 mice immunodepleted for their CD8+ CTLs (left: tumor volume; right: tumor size at week 5). Note that CD80 deficiency does not diminish tumorigenesis when CD8 T cells are not present. $n=15$; data are mean \pm SEM. (E, F) Effect of CD80 on (E) CD8⁺ T cell infiltration in tumors (Left, percentage of total CD45+ cells; Right, exact T cell number per 0.1 mg tumor) and (F) CD8+ T cell production

of Granzyme, IFNγ and TNFα (percentage of total CD8+ T cells). Quantifications are by flow cytometry.

Figure 6. tSC-CD80 Attenuates Cytotoxic T Cell Activities Both by Direct Engagement and Indirectly by Elevating Treg Numbers.

See also Figure S6.

(A) Primary CD8+ T cells were activated by incubation with CD3/CD28 Abs and labeled with CFSE, a dye that is only diluted with subsequent cell divisions. These T cells were then co-cultured to measure the effects of CD80(+) and CD80(−) SCC cells on their dye dilution as a proxy for T cell activity. Note that CD80(+) SCC cells robustly impaired T cell activity, and that this effect was abrogated by CTLA4 blocking Abs. This combined effect was comparable to that of SCC cells lacking CD80. n=15; data are mean \pm SEM.

(B) Primary CD8+ T cells, activated as in (A), were exposed to IL2 and IL12 for 3d and then cocultured to measure the effects of CD80(+) or CD80(−) SCC cells on their granzyme production as a proxy for CTL activity. Note that CD80(+) SCC cells robustly impaired T cell cytokine production, and that this effect was abrogated by silencing CD80 or CTLA4 blocking Abs. Data are mean ± SEM.

(C) CD80(+) or CD80(−) PDVC57 SCC cells were transplanted into C57/BL6 mice treated with either isotype control or CTLA4 blocking Abs, and tumor progression was analyzed at times indicated. Note that the effects of CD80 loss are as potent as CTLA4 Abs. n=15; data are mean ± SEM.

(D) Flow cytometry quantifications of the $CD4+CD25^{\text{Hi}}$ Foxp3⁺ Treg cells that infiltrated CD80(+) and CD80(−) tumors (Left, percentage of total CD4 T cells; Right, exact Treg number per 0.1 mg tumor).

(E) CD80(+) or CD80(−) SCC tumors grown on $F\alpha p3^{DTR}$ mice treated with vehicle or with diphtheria toxin to eliminate $F\alpha p3^+$ Treg cells. n=20; data are mean \pm SEM.

See also Figure S7.

(A) (Left) Strategy to achieve TGFβ-responding tSC-specific silencing of Cd80 in a spontaneous $HRas^{G12V}$ -driven skin tumor. (Right) qPCR and representative IMF reveal efficient CD80 targeting and CD80 Ab efficacy.

(B) Flow cytometry analysis of proliferation (Ki67), cytokine production (granzyme) and T cell exhaustion markers (CTLA4, PD1, Tim3 and LAG3) in OT-I T cells that infiltrated Control (Scr-sg) or Cd80-null (Cd80-sg) tumors at 2 week post-ACT. The naïve CD8+ T cells from donor spleen was used as negative control.

(C) Flow cytometry analysis of T cell frequency in the control tumors or CD80 sgRNA transduced tumors at 2 week post-ACT.

(D) IMF and quantifications of active Caspase3 (green) and TGFβ-reporter (red) in OVA⁺ Scr sgRNA (left) or Cd80 sgRNA (right) transduced tumors at 1 week post-ACT. Five tumors with two slides from each tumor were analyzed; >150 cells were counted from each slide.

(E) Flow cytometry quantifications of integrin α 6^{hi} TGFβ-reporter⁺ tSCs in *Scr* sgRNA or Cd80 sgRNA transduced tumors at 1 week post-ACT showing that the enrichment of tSCs is dramatically reduced when Cd80 is silenced in tSCs.

(F) Flow cytometry quantifications of integrin α 6^{hi} TGFβ-reporter⁺ tSCs in mice treated with control or CTLA4 blocking Ab at 1 week post-ACT.

(G) Flow cytometry quantifications of integrin α 6^{hi} TGFβ-reporter⁺ tSCs in mice treated with control or TGFβ blocking Ab without ACT treatment or at 1 week post-ACT.

(H) Tracking Scr sgRNA or $Cd80$ sgRNA transduced tumors after ACT. n=15 for control;

n=21 for tSC-specific targeting of Cd80. All Scale bars=50 μm.

KEY RESOURCES TABLE

