



Pathway of 5-hydroxymethyl-2-furaldehyde formation in honey

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Revised: 1 March 2019 / Accepted: 6 March 2019 / Published online: 6 April 2019
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Abstract 5-hydroxymethyl-2-furaldehyde (5-HMF) is an important substance that affect quality of honey and shows toxicity for humans and honey bees. The pathway of 5-HMF formation in honey is still unknown. In this study, we tested the effect of thermal treatment (at 90 °C for 4 h) on the formulation of 5-HMF formulation in rapeseed with varied honey composition. 5-HMF content of honey increased at higher water content, Ca²⁺ and Mg²⁺ content and lower pH. However, the formation of 5-HMF was not significantly influenced by glucose, fructose, Na⁺, or K⁺ contents. Furthermore, different content of proline, the most abundant amino acid in honey (a substance in Maillard reaction), had no effect on 5-HMF formation. Free acids in honey can catalyze fructose and glucose to form 5-HMF. These results suggest that dehydration of glucose or fructose, instead of the Maillard reaction, is the main pathway of 5-HMF formation in honey. This study gives new insights for the mechanisms of 5-HMF formation and provides method for reducing 5-HMF formation during honey processing.

Keywords 5-hydroxymethyl-2-furaldehyde (5-HMF) · Formation pathway · Thermal treatment · Hexose dehydration · Maillard reaction

Introduction

Honey is a natural sweet substance, transformed from nectar or honeydew via dehydration and breakdown of sucrose to simple sugars by enzymatic reactions (Ball 2007). Honey has been used as a traditional medicine for its antioxidant and antimicrobial activity, and for immunity-improving and antitumor activity (Fukuda et al. 2010). From a chemical point of view, honey contains about 80% sugars (glucose, fructose, sucrose, maltose and higher sugars), 19% water (Majtan 2014) and 1% other components. pH values of honey ranges from 3.4 to 6.1. In honey, the most abundant amino acid is proline, counting for around 70% of the total amino acids (Rückriemen et al. 2015). The total amino acid content accounts for about 1/1000 of the dry matter (Pätzold and Brückner 2006). Furthermore, various flavor compounds and pigments are also present (da Silva et al. 2016).

A well-known heterocyclic compound in honey, 5-hydroxymethyl-2-furaldehyde (5-HMF), is usually formed during long time storage or after exposing high temperature or both. Formation of 5-HMF has been proven as one of the most important factors that reduce the quality of honey and produce the precursor of polymer such as pigment (Aslanova et al. 2010). 5-HMF formation in honey was thought to be due to the Maillard reaction whereby acids catalyze degradation of reducing sugars (Capuano and Fogliano 2011). This was inferred from 5-HMF formation in sugar solution with organic or amino acids. But there is

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no data in honey directly, so the exact formation pathway of 5-HMF in honey is probably still unknown.

5-hydroxymethyl-2-furaldehyde is a potential toxin, mutagen, and carcinogen for humans (Michail et al. 2007) and is highly toxic to honey bees (LeBlanc et al. 2009). 5-HMF increases the incidence of aberrant crypt foci in rat colon, skin papillomas in mice, lipomatous tumors in rat kidney (Capuano and Fogliano 2011), small intestine adenomas in mice (Svendson et al. 2009), hepatocellular adenomas in female mice (NTP 2010), and has mutagenic effects on *S. typhimurium* (Lee et al. 1995). 5-HMF can be catalyzed by sulfotransferase to 5-sulphoxymethylfurfural (SMF), which is genotoxic (Severin et al. 2010), and nephrotoxic (Bakhiya et al. 2009). SMF also increases small intestine adenomas potential in mice (Svendson et al. 2009) and regeneration and atypical hyperplasia of tubules and hepatotoxic effects and serositis of peritoneal tissues (Bauer-Marinovic et al. 2012). Humans may be more sensitive to 5-HMF because sulfotransferase, which can transform 5-HMF into SMF, is expressed in extrahepatic tissues at higher levels than rodents (Teubner et al. 2007). The estimated daily intake of 5-HMF for humans is approximately 2.5 mg/kg body weight as 5-HMF is also present in dried fruits, coffee, cereals, and baked products (Capuano and Fogliano 2011). It is thus important to reduce 5-HMF levels in honey so that total intake of 5-HMF can be reduced for humans.

5-hydroxymethyl-2-furaldehyde formation is influenced by processing temperature and duration, types of honey, storage condition and other factors. 5-HMF contents in honey was less than 24.87 mg/kg after 6 months storage but increased to 1131.6 mg/kg after 24 months storage (Khalil et al. 2010). 5-HMF contents in different types of honey varied widely after thermal treatment at the same temperature and duration (Lu et al. 2006; Singh and Bath 1997, 1998), which was correlated with their chemical characteristics such as: pH, free acids, total acidity and lactones (Fallico et al. 2004) and metal cations (Fe, Mg, Mn and Zn or mixture) (Anam and Dart 1995). However, for the same type of honey, how do different components of honey affect 5-HMF formation has not yet been investigated. It is unknown that which one of the Maillard Reaction and acids catalyze degradation of reducing sugars is the dominant pathway of 5-HMF formation during thermal treatment or longtime storage. In order to investigate the primary and secondary factors affecting 5-HMF formation and the dominant pathway of 5-HMF formation, in the present study how could pH, and amount of water, glucose, fructose, proline, initial 5-HMF, K^+ , Na^+ , Ca^{2+} and Mg^{2+} contents differently affect 5-HMF formation under the same thermal treatment, and then investigated the possible mechanism of the formation of 5-HMF in honey.

Materials and methods

Reagents

Standards for 5-HMF, glucose and fructose (analytical reagent, AR grade) were purchased from Sigma-Aldrich Com. HPLC grade methanol and acetonitrile and other chemicals (AR grade) were purchased from SCRC (Sino-pharm Chemical Reagent Co., Ltd, China).

Honey samples

Rapeseed honey (*Brassica napus* L.) was bought from an apiary in Yunnan province, China. Fresh honey samples were packaged in different plastic bottles (2L) and placed at 4 °C before component modification and thermal treatment. Pre-treatment was carried out in a water bath at 50 °C until crystallization was liquefied. All measurements were carried out in triplicates (n = 3). Sample analyses were carried out within the later four months.

Measurements of pH and moisture, glucose, fructose, proline, 5-HMF, K^+ , Na^+ , Ca^{2+} , and Mg^{2+} contents in honey samples

pH

Honey samples (10 g) were diluted to 75 mL distilled water to measure pH at 20 °C using a pH-meter (PHS-3C, INESA Scientific Instrument Co., Ltd) (Terrab et al. 2004).

Water content

Water content of honey was measured by an Abbe Refractometer (WYA-2 W, INESA Scientific Instrument Co., Ltd, China) set at 40 °C with water tube connected to a water bath (de Almeida-Muradian et al. 2013).

Glucose, fructose and proline contents

Glucose and fructose contents were determined by HPLC (LC-20A, Shimadzu, Japan) with an InertsilNH₂ column (5 µm, 4.6 × 250 mm, Shimadzu-GL) and RID-10A detector (Shimadzu) after it was filtered through a Millex-HN nylon clarification kit (0.45 µm pore size, Tianjin Jinteng Experiment Equipment Co., Ltd, China) (de Almeida-Muradian et al. 2013).

The proline content of honey was determined by using a color comparison according to a method using proline standard curve with a UV Spectrophotometer (T6, Beijing Purkinje General Instrument Co., Ltd, China) (Meda et al. 2005).

Contents of potassium, sodium, calcium and magnesium cations

Honey samples (500 mg) were dissolved with 20 times of reverse osmosis (RO) water (w:w) and filtered by a 0.22 µm filter (Tianjin Jinteng Experiment Equipment Co., Ltd, China). The content of potassium (K⁺), sodium (Na⁺), calcium (Ca²⁺) and magnesium (Mg²⁺) was determined by the standard curve method with ion chromatography (Dionex ICS-2100; Thermo Scientific) (de Caland et al. 2012).

HPLC analysis of 5-HMF

Honey samples (0.1 g) were dissolved in 10 mL water. The solution (10 g/L) was filtered with a Millex-HN nylon clarification kit (0.45 µm pore size) for analysis by an HPLC–PDA system (LC-20A, Shimadzu, Japan) with a WondaSilC18-WR column (5 µm, 4.6 × 250 mm, Shimadzu-GL) and PDA detector (Shimadzu). The injection volume was 20 µL. The mobile phase was 100% water for 0–10 min, then a linear gradient of 100% water to 100% methanol, from 10 to 55 min, and 100% methanol from 55 to 65 min at a flow rate of 0.5 mL/min. Column temperature was set at 25 °C. Spectral data from all peaks were accumulated in the range of 200–800 nm, and chromatograms were recorded at 284 nm for 5-HMF (Ajlouni and Sujirapinyokul 2010).

Adjustments of honey compositions and thermal treatment

The moisture contents in honey samples were modified to 21.12%, 23.12%, 25.12% and 27.12% using RO water. Nacitrate or citric acid solution (1 M) was added to modify sample pH to 3.44, 3.95, 4.36, 4.87 and 5.48. Glucose powder was added to change its contents in honey to 35.05, 36.05, 37.05, 38.05 and 39.05%. Fructose contents was also adjusted to 38.40, 39.40, 40.40, 41.40 and 42.40% (w/v).

Mental cations contents were modified by adding KCl, NaCl, CaCl₂ and MgCl₂ to increase the content of K⁺, Na⁺, Ca²⁺ and Mg²⁺ for 10, 20, 40 and 80 ppm of each cation in the honey.

Proline solution (0.1 g/ml) and RO water were added in order to adjust the final proline contents in honey as 254.3 to 654.3 mg/kg at 100 mg increments.

Initial 5-HMF content was obtained by adding 5-HMF standard to honey, with the final contents as 15 ± 0.31, 30 ± 0.14, 45 ± 0.21 and 60 ± 0.26 mg/kg.

After these adjustments, honey samples were heated at 90 °C for 4 h. These heated honey samples (10 g) were taken out and cooled by ice-water. After that the samples were stored at 4 °C before further tests within 72 h. 5-HMF contents in heated honey were then determined by HPLC.

Statistical analysis

The results are reported as mean ± standard error (± SE). The relationships between 5-HMF content and water, fructose, glucose, proline, initial 5-HMF, K⁺, Na⁺, Ca²⁺ and Mg²⁺ contents and pH were determined by regression. All analyses were done using StatView for Windows (Version 5.0.1, SAS Institute Inc. 1992–1998, NC, USA).

Results and discussion

Honey composition

Rapeseed honey (N = 3 determinations for all parameters) pH was 3.95 ± 0.02 and contents of water, glucose (retention time, RT = 11.3 min), fructose (RT = 9.2 min) and proline in rapeseed honey sample were 19.12 ± 0.01%, 35.05 ± 0.03%, 38.40 ± 0.02% and 0.025 ± 0.001%, respectively. The water, proline, glucose and fructose contents in samples were close to a previous report of rapeseed honey in the same province (Chen et al. 2010).

During the HPLC analysis to measure 5-HMF contents, the linear range was 0.73–14.6 µg/mL (RT: 14.15 min). 5-HMF was not detected in pre-treated honey (N = 3) with a detection limit of 0.64–14.67 mg/kg, which means there were no effect of pretreatment on 5-HMF in samples.

The contents of K⁺, Na⁺, Ca²⁺ and Mg²⁺, which RT were 5.83, 4.19, 11.58 and 9.37 min, in pre-treated honey samples were 514.12 ± 22.49, 72.80 ± 2.10, 111.91 ± 2.77 and 29.87 ± 0.88 mg/L, respectively (N = 3 per measurement). The contents of Mg²⁺ in rapeseed honey sample were close to coffee honey in South Yunnan province, China (Wei et al. 2016). Other iron contents were higher than other species honey South Yunnan province (Wei et al. 2016), which may due to different nectar plant (Nanda et al. 2003) and geographical origin (Tuzen et al. 2007).

5-HMF contents in heated honey

5-HMF content in honey with different moisture contents

5-hydroxymethyl-2-furaldehyde formation was significantly influenced by water content. Water content and 5-HMF concentration showed a direct and linear relationship (Fig. 1; F_{1, 14} = 93.91, P < 0.0001). The regression equation between water content (X) and 5-HMF yield (Y) is Y = 5.565X (R² = 0.998). The R² decreased to 0.88 (Y = 4.862X + 16.489) if a “zero” intercept was not chosen, the SE of intercept is 11.72 (not significantly different from 0, P = 0.183). Previous studies yielded conflicting results, but those studies did not use honey directly

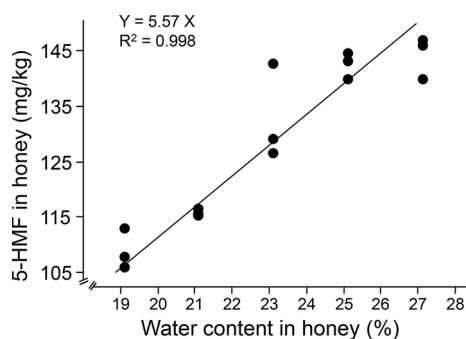


Fig. 1 The correlation between initial water contents (19.12, 21.12, 23.12, 25.12 and 27.12%) and 5-HMF formation in honey heated at 90 °C for 4 h. Each data point was based on one independent experiment

(Sun et al. 2011; Kong et al. 2013; Li and Yang 2014; Cao et al. 2015). Higher moisture content caused higher 5-HMF content in a tetrahydrofuran solution system (Sun et al. 2011; Kong et al. 2013; Cao et al. 2015). 5-HMF yields increased with increasing moisture contents from 60 to 90% (Sun et al. 2011; Kong et al. 2013) in reaction systems of acid and fructose, which is in line with present results (Fig. 1). However, in another study, water content had a negative effect on the reaction system of fructose and sucrose to 5-HMF with proline-derived ionic liquids under thermal conditions at 90 °C for 60 min (Li and Yang 2014). The 5-HMF formation in honey is in line with 5-HMF in reaction systems of acid and fructose. So we can conclude that 5-HMF is transformed from the dehydration of fructose and glucose in honey.

5-HMF content of honey at varied pH

5-hydroxymethyl-2-furaldehyde contents were remarkably affected by pH of honey. There was a multiple regression relationship between 5-HMF content and pH (Fig. 2; $F_{2, 12} = 42.98$, $P < 0.0001$). The regression equation between pH (X) and 5-HMF yield (Y) is $Y = 9712.248 - 6171.84 X + 1315.882 X^2 - 93.279 X^3$ ($R^2 = 0.96$), the SE of intercept is 1653.848 (significantly different from 0, $P = 0.0001$). 5-HMF content was significantly higher when honey pH was at 3.44 in this work. This result was in line with previous report (Singh and Bath 1997), which showed that 5-HMF formation in the *Trifolium* honey (pH 4.1) was higher than other two types of honey (pH 4.76 or 4.25) under the same thermal treatment condition even though these later honeys have higher water content. 5-HMF was also related to pH of four types of honey (Fallico et al. 2004). There was the same results in other reaction systems of acid and fructose solutions. In $AlCl_3-H_2O/THF$ biphasic medium, the introduction of HCl, formic, acetic or lactic acids enhanced the reaction rate of fructose dehydration to 5-HMF (de Souza et al. 2012). Low

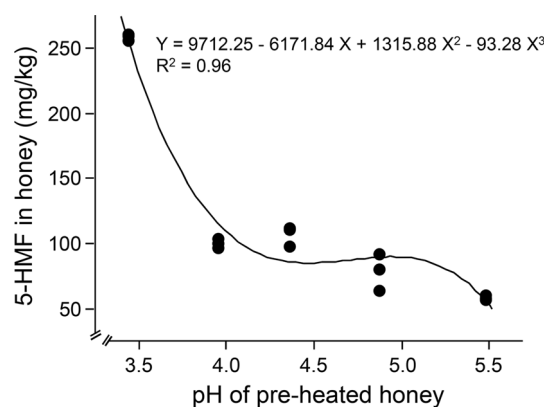


Fig. 2 The correlation between initial pH (3.44, 3.95, 4.36, 4.87 and 5.48) and 5-HMF formation in honey heated at 90 °C for 4 h. Each data point was based on one independent experiment

pH enhanced 5-HMF formation in HCl-catalyzed fructose solution (27 wt%) treated with microwave at 130 °C for 5 min (Hansen et al. 2009). Higher 5-HMF development was also measured in lower pH in aqueous solutions ranging from 7 to 1.5 (de Souza et al. 2012). There are organic acids (such as: gluconic, formic, butyric, malic, succinic, lactic and pyroglutamic acids) (Stinson and Subers 1960; Suarez-Luque et al. 2002) and phenolic acids (such as: ellagic, *p*-hydroxybenzoic, syringic, *o*-coumaric and gallic acids) (Andrade et al. 1997) which can enhance the reaction rate of fructose dehydration to 5-HMF. But there is no effect of pH on 5-HMF formation in a solution with glucose and amino acids (Ajandouz and Puigserver 1999). So we can conclude that 5-HMF formation pathway was the dehydration of fructose and glucose but glucose and amino acids model system (Maillard reaction).

5-HMF content in honey with different glucose and fructose contents

5-hydroxymethyl-2-furaldehyde contents were not influenced by the initial glucose ($F_{1, 13} = 3.32$, $P = 0.09$) and fructose ($F_{1, 13} = 0.56$, $P = 0.47$) contents. The regression equation between glucose content (X) and 5-HMF yield (Y) is $Y = 320.575 - 5.259X$ ($R^2 = 0.203$), the SE of intercept is 320.575 (significantly different from 0, $P = 0.0103$). The regression equation between fructose content (X) and 5-HMF yield (Y) is $Y = 199.013 - 1.672X$ ($R^2 = 0.041$), the SE of intercept is 199.013 (significantly different from 0, $P = 0.0469$). Previous studies generated two views about 5-HMF formation in other reaction systems. These results are puzzling. On one hand, the formation of 5-HMF from fructose was 31.2 times higher than from glucose over 22 days when their concentrations were 0.5 M in 0.05 M citric acid at pH 3.5 (Kuster 1990). On the other hand, enolization of hexose into enediol is the rate-limiting step for 5-HMF

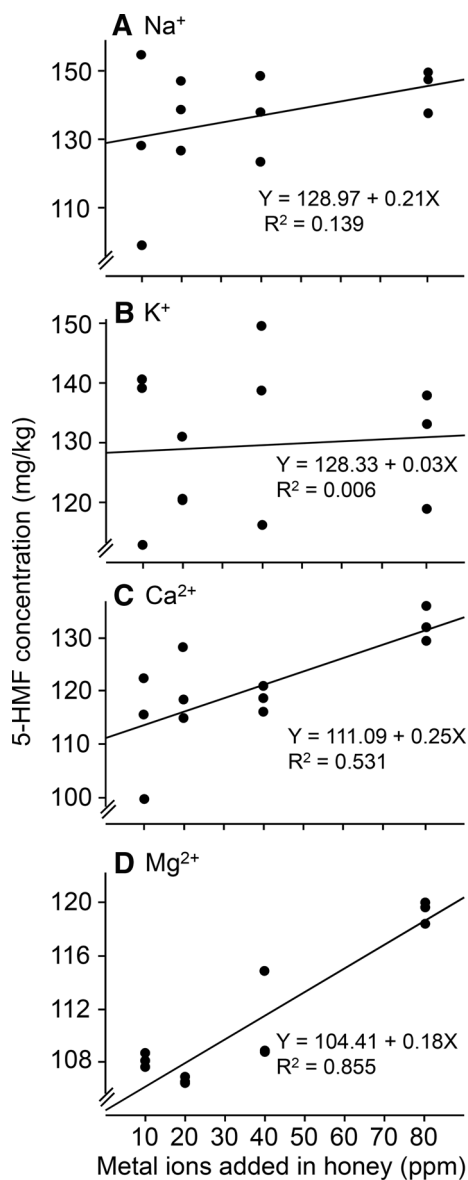


Fig. 3 The relationship between 5-HMF formation and different initial amounts of metal cations Na⁺ (a), K⁺ (b), Ca²⁺ (c) and Mg²⁺ (d) on in honey heated at 90 °C for 4 h. Each data point was based on one independent experiment

formation (Kuster 1990). Enolization rate of glucose is lower than that of fructose because glucose can form a very stable ring structure. We can deduce that higher fructose content in pre-treated honey should result in higher 5-HMF content. But present results showed that there was no effect of fructose content on 5-HMF formation. The results in this work seemed to be against 5-HMF formation via the dehydration of fructose and glucose, which may be due to enough fructose (more than 30.9% in honey) (Ball 2007) to take part in the dehydration and enolization reaction for 5-HMF development.

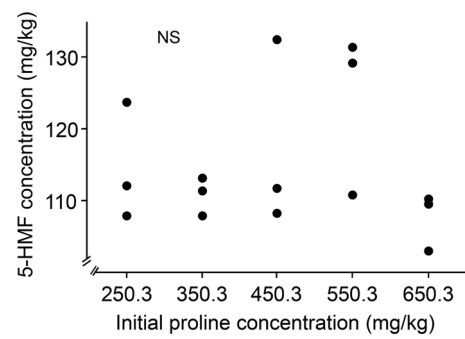


Fig. 4 No correlation between initial proline contents (254.3, 354.3, 454.3, 554.3 and 654.3 mg/kg) and 5-HMF formation in honey heated at 90 °C for 4 h. Each data point was based on one independent experiment

5-HMF development in honey with different initial contents of Mg²⁺, Ca²⁺, K⁺ and Na⁺ cations

5-hydroxymethyl-2-furaldehyde formation was enhanced by increasing the initial contents of Ca²⁺ (Fig. 3; F_{1, 11} = 11.32, P = 0.0072, R² = 0.53, Y = 111.09 + 0.25X, X denotes Ca²⁺ content and Y 5-HMF yield, the SE of intercept is 3.46 (significantly different from 0, P < 0.0001)) and Mg²⁺ (Fig. 3; F_{1, 11} = 59.13, P < 0.0001, R² = 0.855; Y = 104.41 + 0.18X, X presents Mg²⁺ content and Y 5-HMF yield, the SE of intercept is 1.06 (significantly different from 0, P < 0.0001)). But 5-HMF development were not affected by different initial contents of K⁺ (Fig. 3; F_{1, 11} = 0.06, P = 0.81, R² = 0.006, Y = 128.33 + 0.03X, X denotes K⁺ content and Y 5-HMF yield, the SE of intercept is 6.09 (significantly different from 0, P < 0.0001)) and Na⁺ (Fig. 3; F_{1, 11} = 1.61, P = 0.23, R² = 0.14, Y = 128.97 + 0.21X, X means Na⁺ content and Y 5-HMF yield, the SE of

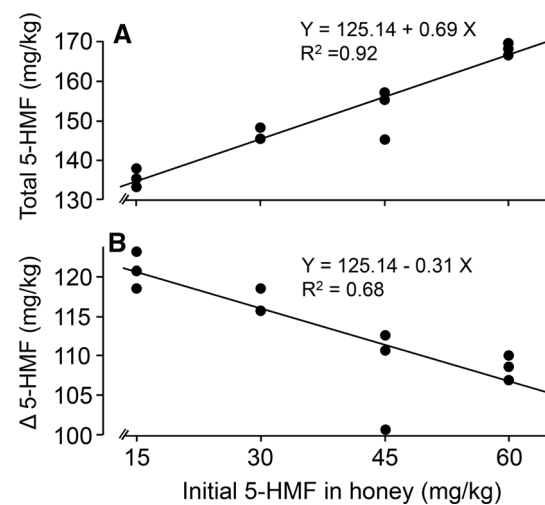


Fig. 5 The correlation between different initial 5-HMF amounts (15, 30, 45 and 60 mg/kg) and final 5-HMF content (a) or 5-HMF formation (b) in honey heated at 90 °C for 4 h. Each data point was based on one independent experiment

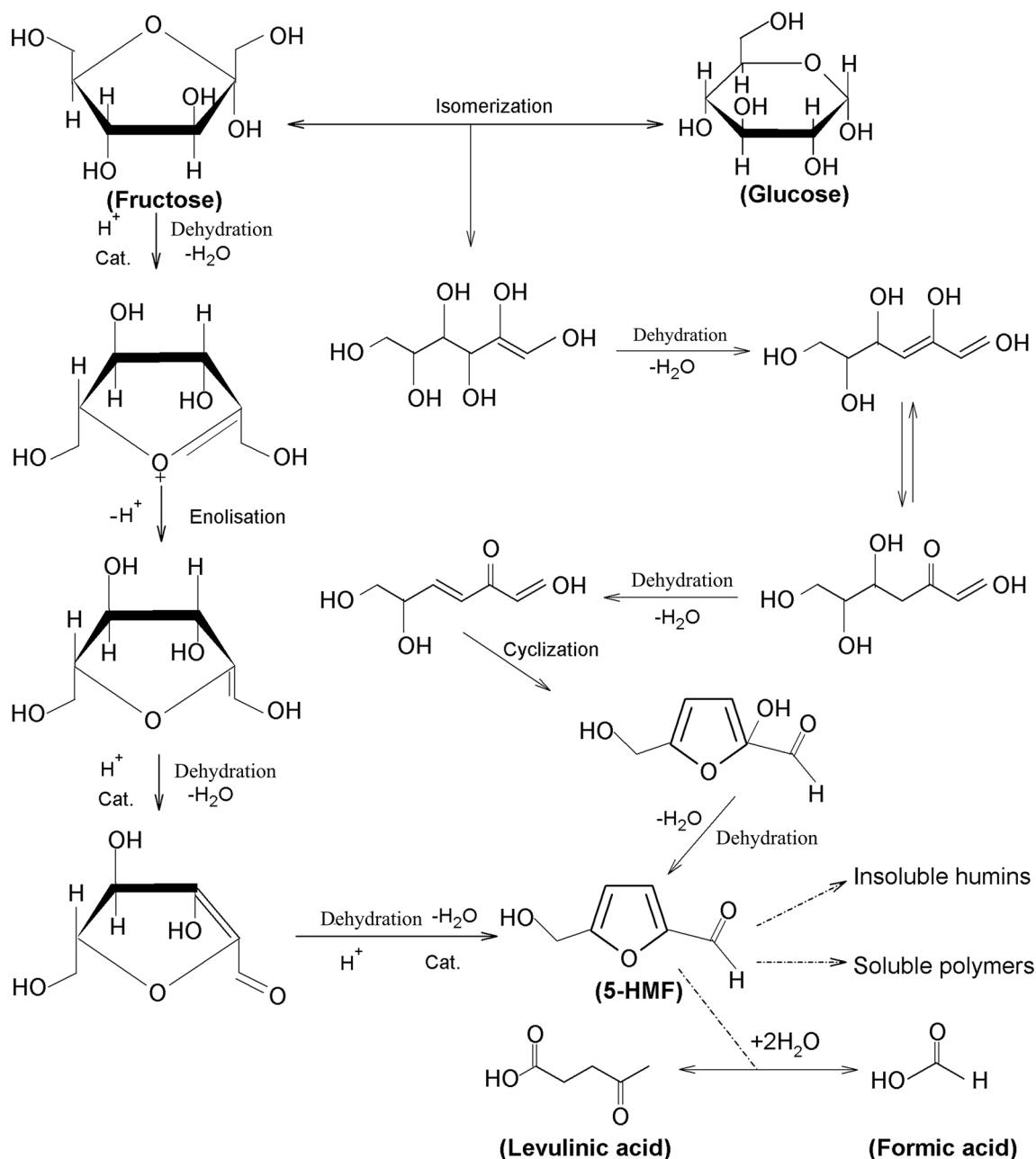


Fig. 6 Proposed mechanism for catalytic dehydration of fructose and glucose to 5-HMF and its degradation products (Adapted from Li and Yang 2014; Capuano and Fogliano 2011; Perez Locas and Yaylayan

2008). Solid arrows indicate formation pathway of 5-HMF and broken arrows indicate its degradation

intercept is 7.47 (significantly different from 0, $P < 0.0001$). Previous reports showed that 5-HMF content was significantly increased as the higher amount of cations, especially Ca^{2+} and Mg^{2+} in glucose or fructose solution (Gökmen and Şenyuva 2007). Sodium chloride accelerated the formation of 5-HMF in the processing of model biscuits (Fiore et al. 2012) and thermal treatment of fructose solution (Gomes et al. 2015). This suggests that Ca^{2+} and Mg^{2+}

function similarly in both honey and sugar solutions in enhancing 5-HMF production, but the effect of Na^+ varies depending on the reaction system. This may be due to the higher catalytic reaction rate and stronger interaction between hexose and metal cations due to the surface charge increases and the ionic radius decreases (Seri et al. 2001; Marcus 1994; Anam and Dart 1995) in aqueous solutions.

5-HMF development in honey with different initial proline contents

Initial proline content had no influence on the formation of 5-HMF in heated honey (Fig. 4; $F_{1, 14} = 0.003$, $P = 0.96$, $R^2 = 0.0002$, $Y = 115.23 - 0.001X$ (X denotes proline content and Y 5-HMF yield, the SE of intercept is 4.40 (significantly different from 0, $P < 0.0001$))). It has been reported that glucose and amino acids were combined to form 5-HMF in the Maillard reaction (Fiore et al. 2012). Proline is the predominant amino acid in honey, which accounts for 70% of the total free amino acids (Rückriemen et al. 2015). Given that proline content did not enhance 5-HMF production, present results do not support that glucose-proline model system (Maillard reaction) is the main pathway of 5-HMF formation in honey.

5-HMF development in honey with different initial 5-HMF contents

The total 5-HMF contents was increased with the increase in the initial 5-HMF contents after 4 h treatment at 90 °C (Fig. 5 A; $F_{1, 11} = 107.57$, $P < 0.0001$, $R^2 = 0.92$, $Y = 125.14 + 0.694X$, X denotes initial 5-HMF content and Y 5-HMF yield, the SE of intercept is 2.75 (significantly different from 0, $P < 0.0001$)). However, if we only consider the net 5-HMF formation (subtracting the initial 5-HMF from the total), the latter would decrease with increasing the initial 5-HMF contents (Fig. 5 B; $F_{1, 11} = 20.97$, $P = 0.001$, $R^2 = 0.68$, $Y = 125.14 - 0.306X$, X denotes initial 5-HMF content and Y net 5-HMF formation, the SE of intercept is 2.75 ($P < 0.0001$)). More initial 5-HMF may enhance its transformation to other compounds such as: levulinic acid (LA), formic acid (FA) and humins in acidic solution (Girisuta et al. 2006). Because the color of honey became darker than pretreated honey, which is also observed and due to the increase of certain polyphenols or pigments (Karabagias et al. 2018).

In conclusion, a significant increase of 5-HMF content was observed in honey with higher water content, lower pH and higher Ca^{2+} and Mg^{2+} contents. Initial 5-HMF content decreased its formation. Fructose, glucose, K^+ , Na^+ and proline contents had no effect on 5-HMF formation. Higher water content may provide more activity of reactant and accelerates the formation of 5-HMF in honey (present study). pH of honey ranged from 3.4 to 6.1 due to gluconic, formic, butyric, malic, succinic, lactic and pyroglutamic acids (Stinson and Subers 1960; Suarez-Luque et al. 2002; Andrade et al. 1997). These acids can catalyze fructose and glucose to form 5-HMF. 5-HMF formation rate from fructose was 31.2 times higher than glucose (Kuster 1990). However, different amounts of proline, a substance in Maillard reaction, had no effect on 5-HMF formation. We

therefore conclude that dehydration of fructose or glucose, especially fructose, is the main pathway of 5-HMF formation in honey (Fig. 6, the mechanism of dehydration of fructose or glucose to 5-HMF; Adapted from Li and Yang 2014; Capuano and Fogliano 2011; Perez Locas and Yaylayan 2008.), while it was traditionally thought that 5-HMF formation in honey is due to the Maillard reaction pathway via the rearrangement of Amadori compounds. In order to liquefy crystallized honey, delay crystallization, destroy the microorganisms in honey or facilitate processing, thermal treatment condition should be considered to control 5-HMF according to pH, water content and metal ions contents in honey.

Acknowledgements We thank Hailong Li, Yinghua Wang, Yiru Yin, Qishuang Li, Xinsheng Zhang for their help performing some experiments. This study was supported by the earmarked fund for Modern Agro-industry Technology Research System (CARS-45-KXJ19) and Education and Scientific Research Project of Middle and Youth Teachers in Fujian (JA15151).

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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