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# **Socially assistive robots for helping pediatric distress and pain: A review of current evidence and recommendations for future research and practice**

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# **Abstract**

**Objectives:** Interacting with socially assistive robots (SAR) has been shown to influence human behaviors and emotions. This study sought to review the literature on SAR intervention for reducing pediatric distress and pain in medical settings.

**Methods:** Databases (PubMed, Cochrane Library, CINAHL, PsycINFO, ERIC, Web of Science, Engineering Village, Scopus, Google Scholar, IEEE Xplore) were searched from database inception to January 2018 with the aid of a medical librarian. Included studies examined any SAR intervention for reducing pain or improving emotional well-being in children related to physical or psychiatric care, with outcomes assessed by some quantitative measure. Study quality was assessed using the modified Downs and Black checklist (max score 28). The review is registered in PROSPERO (CRD42016043018).

**Results:** Eight studies met eligibility criteria and represented 206 children. Of the two studies using Wong-Baker's FACES scale, one study claimed to be effective at reducing pain (Cohen's  $d =$ 0.49–0.62), while the other appeared effective only when parents and child interacted with SAR together. Distress was evaluated using validated measures in four studies, three of which showed reduction in distress while one showed no difference. Satisfaction surveys from four studies showed that children were interested in using SAR again. Quality scores ranged from 8–26.

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Dr. Trost coordinated and conducted article review, drafted the initial manuscript, and approved the final manuscript as submitted. Mr. Ford also coordinated and conducted article review, co-drafted the initial manuscript, and approved the final manuscript as submitted.

Ms. Kysh created and supervised the article search strategy, wrote and edited the methods section of the manuscript, and approved the final manuscript as submitted.

Dr. Gold critically reviewed the edited the manuscript, and approved the final manuscript as submitted.

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**Conclusions:** There is limited evidence suggesting that SAR interventions may reduce distress and no clear evidence showing reduction in pain for children in medical settings. Engineers are conducting interventions using SAR in pediatric populations. Healthcare providers should be engaged in technology research related to children to facilitate testing and improve effectiveness of these systems.

#### **Keywords**

robots; pain; anxiety

# **Introduction:**

The distress and pain experienced by children undergoing health care treatments has been linked to post-traumatic stress disorder, avoidance of medical care as adults, and increased sensitivity to future painful stimuli [1–4]. In order to mitigate such pain and distress, anesthetic or anxiolytic medications are often used, but administration of the drugs themselves may cause pain, distress, or side-effects [5, 6]. Non-pharmacologic techniques are gaining in popularity, and evidence shows that psychological interventions including distraction, behavioral feedback, or coping exercises can be as effective in reducing distress [7–9]. Recent advances in technology have allowed the development of highly distracting and engaging tablet games, robotics, and virtual reality that can be tools to enhance and deepen such interventions. For instance, virtual reality has shown reduced pain intensity during phlebotomy[10] and IV placement compared to control [11] and tablet distraction has reduced pain in pediatric burn patients undergoing hydrotherapy [12].

Socially Assistive Robotics (SAR) represents another promising opportunity for mitigating distress during painful medical procedures. SAR systems establish communication and create a shared relationship without touching the human, by utilizing embodied interaction that may involve expressiveness, personality, dialog, empathy, and adaptation skills. It is not yet known what mechanisms produce human physiologic and behavior change resulting from such interaction, but the human-robot relationship has been used to promote healthy outcomes. In adult health settings, SAR systems have been explored extensively for various uses with the elderly, including for improving cognitive skills in Alzheimer's patients [13, 14], reducing feelings of loneliness and depression [15], and increasing function following stroke [16], among numerous others. Relevant to pediatrics, SAR systems have been extensively explored in the context of autism diagnosis, intervention and therapy, and have been shown to increase verbalization, socialization, and emotional expression, among other desired effects [17]. In non-autistic children, SAR systems have been used to promote child learning, provide therapy for depression, and to interview children about sensitive topics, among other uses. [18–21]

The explosion of computer science research and development has brought about numerous technologies that may be applicable to the future world of pain management. Artificial intelligence and machine learning are already being used to automate and personalize therapy [22], automated emotion recognition in video and audio is in use in commercial and research systems [19], and various machine learning methods are being developed to

personalize human-machine interactions in all spheres of life. At the same time, wearable sensors and signal processing algorithms are being developed to utilize a variety of physiologic data (body temperature, vagal tone, blood pressure, heart rate, galvanic skin response, etc.); these are being explored for pain recognition and management [23] These promising systems can be used together with SAR systems to allow a more nuanced humanrobot interaction.

Despite the very large body of publications on SAR in various domains, no review has been performed to establish the current scope of SAR interventions for lessening child pain and distress. Therefore, we sought to review the available literature to assess the impact of SAR interaction on pain and distress in children undergoing health-care related treatment.

## **Methods**

# **Search Strategy**

In February 2016 a librarian at the University of Southern California Norris Medical Library (Los Angeles, CA) conducted searches in the following databases using a combination of controlled vocabulary (when possible) and all fields keywords: MEDLINE (see **Appendix 1, Supplemental Digital Content 3**, [http://links.lww.com/CJP/A560\)](http://links.lww.com/CJP/A560), Embase (see **Appendix 2, Supplemental Digital Content 3**, [http://links.lww.com/CJP/A560\)](http://links.lww.com/CJP/A560), Cochrane Library (see **Appendix 3, Supplemental Digital Content 3**,<http://links.lww.com/CJP/A560>), CINAHL (see **Appendix 4, Supplemental Digital Content 3**, [http://links.lww.com/CJP/](http://links.lww.com/CJP/A560) [A560](http://links.lww.com/CJP/A560)), PsycINFO (see **Appendix 5**), ERIC (see **Appendix 6, Supplemental Digital Content 3**, [http://links.lww.com/CJP/A560\)](http://links.lww.com/CJP/A560), Web of Science (see **Appendix 7, Supplemental Digital Content 3**, [http://links.lww.com/CJP/A560\)](http://links.lww.com/CJP/A560), Scopus (see **Appendix 8, Supplemental Digital Content 3**, [http://links.lww.com/CJP/A560\)](http://links.lww.com/CJP/A560), Engineering Village (see **Appendix 9, Supplemental Digital Content 3**,<http://links.lww.com/CJP/A560>), IEEE Xplore (see **Appendix 10, Supplemental Digital Content 3**, [http://links.lww.com/CJP/](http://links.lww.com/CJP/A560) [A560](http://links.lww.com/CJP/A560)), and Google Scholar (see **Appendix 11, Supplemental Digital Content 3**, [http://](http://links.lww.com/CJP/A560) [links.lww.com/CJP/A560](http://links.lww.com/CJP/A560)). Proceedings of the Association for Computing Machinery CHI Conference on Human Factors in Computing Systems and Conference on Human-Robot Interaction were identified as relevant and manually searched. References for included studies were screened for additional unique citations. The searches were re-performed and additional citations were retrieved on January 23, 2018 in order to include the most up-todate studies.

#### **Inclusion criteria**

Two investigators independently reviewed abstracts and selected those that met inclusion criteria:

- **1.** Included children age <18 who were receiving some health care treatment;
- **2.** Intervention was SAR applied towards the reduction of pain or distress;
- **3.** Outcomes were measured and reported.

Health care treatment was broadly defined as referral to physical (including dental) or mental care for any reason. Distress encompassed any measures of depression, anxiety, stress, mood, or maladaptive coping. Studies not meeting inclusion criteria were excluded. Non-English studies were included.

#### **Article selection and data extraction**

Two authors (MT, AF) independently reviewed and screened all studies for inclusion using a screening tool to increase reproducibility. The following information was extracted and documented from studies that met inclusion criteria: study participant characteristics and setting, description of SAR system studied, duration and frequency of treatment, outcomes (pain, distress, satisfaction with intervention), and any adverse events.

Study quality was assessed using a modified Downs-Black checklist, which has a maximum score of 28 [24, 25]. This tool evaluates methodologic quality for both randomized and nonrandomized interventional studies on the basis of reporting, internal and external validity, bias, and power. Two authors (MT, AF) completed quality assessments of all studies meeting inclusion criteria with consensus achieved via review of all authors. For study inclusion and study quality respectively, there was good inter-rater reliability between reviewers (kappa=0.922, 0.864). Disagreements were resolved using a modified Delphi process. The review is registered in PROSPERO (CRD42016043018).

# **Results:**

The study selection process is detailed in Figure 1. Full text evaluation eliminated 23 studies; the majority of these were excluded because they detailed design and development of SAR systems intended for use in populations of interest but without results to date (Supplemental Table 1, **Supplemental Digital Content 1**, [http://links.lww.com/CJP/A558\)](http://links.lww.com/CJP/A558). Eight studies met eligibility criteria and represented 206 unique children; only 3 of 8 (37.5%) were referenced in PubMed and the remainder came from engineering literature  $[26–33]$ . Study designs included single group intervention with post-exposure survey  $(n=2)$ , non-randomized controlled (n=3), randomized controlled (n=2), and a mixed-methods analysis of a randomized controlled study  $(n=1)$ . Three studies (37.5%) used the humanoid robot Nao (Aldebaran Robotics, France), two studies (25%) used the soft seal-like robot PARO (AIST, Japan), two studies (25%) used commercially available dog or cat-type robots [AIBO (Sony, Japan), NeCoRo (Omron, Japan)], and one study used iRobi (Yujin Robot, South Korea) a humanoid robot primarily developed for early childhood education (Figure 2). The clinical settings and populations studied varied from hospitalized inpatients (n=3), general pediatric outpatient (n=1; two studies referenced same population), pediatric oncology clinic (n=1), adoption clinic (n=1), and dental clinic (n=1). There was similarly wide variability in the duration of intervention (from 15 minutes once to 8 one-hour sessions), the outcome measures used, and the quality of the studies (Table 1).

#### **Outcomes related to pain**

Although four studies used a "face" type scale, only two studies used Wong-Baker's FACES pain scale while the other two applied the "face" scale to child mood/distress so no meta-

analysis was performed. Of the studies using Wong-Baker, one claimed to be effective at reducing child pain (Cohen's  $d = 0.49-0.62$ ), while the other reported efficacy only when parents and child interacted with the robot together (mean change  $2.11$ ,  $p<0.001$ ) [26, 27].

Two studies attempted to use heart rate to measure pain, although this is not a reliable proxy for pain and rather a signal indicating general physiologic arousal. Kimura and colleagues abandoned the attempt and reported concern that vital signs were confounded by medication use, however no attempt to control for confounding was made nor was raw data reported [29]. Yasemin et al. also used heart rates as a pain outcome and reported that the majority of children (68%) in the robot intervention arm had the same or reduced heart rate compared to 29% in the control group, however no statistics were performed [33]. In addition, the Yasemin study, which was based in a dental clinic, used topical anesthetic in the intervention arm but not the control arm, which seriously limits any conclusions drawn from this measure.

#### **Outcomes related to distress**

Broadly, the concept of *distress* was evaluated using validated measures in four studies, three of which showed reduction in distress and one compared a robotic dog to a real dog and showed no difference. Specifically, anxiety was reduced in the Alemi study (Multidimensional Anxiety Childrens Scale, p=0.002), and in the Okita study reduced anxiety was seen when parent and child both interacted with the robot (State-trait anxiety index,  $p<0.01$ , only children >9 years old tested); in the Trujillo study there was no reduction (Revised Childhood Manifest Anxiety Scale 2, p=0.67) [26, 31, 32]. Only one study measured distress, applying the Behavior Approach-Avoidance Distress Scale to video tapes of the interaction, which showed good inter-rater reliability and significant distress reduction when interacting with the Nao robot (kappa=0.78, 0.89 and  $p<0.05$ )[27]. One study included outcome measures for anger and depression and found improvement in those scales after interacting with the robot (effect size 0.52, 0.56 respectively, p<0.05)[32]. The three previously mentioned studies using non-validated "face" scales to judge mood all claimed to show a positive effect in the robot condition but no statistics were reported [29, 30, 33].

#### **Outcomes related to satisfaction**

Although child, parent, or medical provider satisfaction was not an outcome measure that defined our article selection, most (n=6, 75%) of included studies performed either quantitative survey or qualitative analysis to evaluate attitudes towards the robot interventions. Satisfaction surveys post-intervention were performed in four studies, all showing that children seemed to like the SAR system and were interested in using it again [27, 30, 31, 33]. One study included a qualitative analysis of comments parents made after their children participated in an interaction attempting to reduce distress associated with vaccines. Although the paper lacked important methodologic details (i.e., it is unclear if all participants gave comments or just some), the comments clustered around three themes: a desire to have the robot the next time, neutral statements, or empowering statements [28]. Another study included comments from nursing who observed the interaction, but did not systematically collect or analyze them; the quotes provided were generally positive [29].

#### **Study quality assessment**

Study quality scores based on our assessment ranged from 8–26, on a scale of 1 to 28. The three studies receiving poor (<10) scores suffered from reporting bias (characteristics of patients and/or confounders not clearly described), problems with external validity (nonrepresentative sampling), and issues with internal validity (appropriate statistics not used, unclear patient tracking, see Supplemental Table 2, **Supplemental Digital Content 2**, [http://](http://links.lww.com/CJP/A559) [links.lww.com/CJP/A559](http://links.lww.com/CJP/A559)). Only two studies fell into the highest quality category  $(>20)$ ; these studies represented 2 of the only 3 that were randomized. Only the top-scored study explicitly calculated and achieved appropriate sample size, and this same study was the only one to report that the data were interpreted by researchers blinded to the aims of the project [27].

# **Discussion**

In this scoping review, we identified eight studies related to use of socially assistive robots as an intervention for reducing distress and pain in children undergoing health care treatment. Seven of the studies (87.5%) were interpreted by their authors as showing benefit of the SAR experience. Our analysis revealed methodologic problems in several studies. However, the highest quality study showed significant reduction in distress when comparing SAR to control. Based on this review, SAR intervention cannot at this time be recommended for use over the many other well-established non-pharmacological strategies for managing procedural pain and distress. As the associated technologies that constitute SAR systems become more available and affordable, and interdisciplinary teams work together, better informed studies in more realistic environments will become more frequent, as will comparisons to other advanced technologies and matched controls, and randomization to minimize confounding and allow for better comparisons. In addition, more accurate measures of pain will be utilized; for example, some reviewed studies used the Wong-Baker pain scale, whose research validity is questionable [34–36].

Perhaps more interesting than the ultimate conclusions of these studies is that most were published outside of the medical literature. Although SAR as a field is still nascent, there are many potential benefits to applying SAR in pediatric health settings. Mental health researchers have already postulated the idea of SAR as practitioner extenders in areas where there is poor mental health coverage [37]. Similarly, many children are cared for outside of specialized children's hospitals and could benefit from tools specifically designed to assuage child pain and distress. SAR does not aim to replace providers, but could serve as a way to augment therapies. Finally, SAR has already been identified as a promising therapeutic tool for autism spectrum disorders and related social and developmental disorders [38]. It would be beneficial for physicians and other health-care professionals at academic centers to become familiar with relevant research in departments of computer science and engineering, and to foster partnerships that can evolve into translational research teams.

What would these ideal physician-engineer designed SAR systems ultimately look like? Our literature review gives some insight into how the human-robot interactions are currently designed and where there are opportunities for improvement. SAR methods used in the pain management context can be improved by incorporating pain medicine and psychology tools

such as empathy, biofeedback, or coping strategies. For example, in the Beran study the SAR acted as a distraction by giving high-fives and waving to children, but it also blew bubbles with the children because blowing (to simulate deep breathing) is a known coping mechanism. In addition the Okita study identified more success when parent and child engaged with the SAR together as opposed to the parent present but disengaged, which the authors attributed to parental modeling but may indicate that group interactions work better for some children.

Novel and engaging technologies are not necessarily harmless or linked to improved health outcomes. The AAP recommends limited screen time for children due to concerns that excessive use is linked with developmental delays[39]. However, SAR systems are not screens, and many do not include screens at all; instead, SAR systems are embodied like human companions, involving mutual interaction. As noted earlier, in the world of autism therapy, SAR systems have been shown to increase socialization and communication more than similar interactions with other technologies, without significant harms [40]. Three studies in our review made an effort to report adverse events (child cruelty, crying, and disinterest) and found difference in attention spans based on who interacted with the robots (as previously discussed) but no other harms. Possibly, this is because harms were not appropriately selected and measured; SAR-relevant methods for such measurement should be developed and included in studies whenever possible. Potential harms will need to be carefully assessed as rapidly changing SAR technology may create unintended negative effects. In addition, future studies should attempt to evaluate repeated or long-term exposure to SAR-systems, which may have the potential to become less effective as they become less novel.

Even when highly effective SAR systems are developed, more work is needed to determine how they can best be implemented. Although robotics has historically been an expensive field, 3D printing, new materials, commercialization and rapid expansion of consumer market robots, and open-source software have made production of SAR systems less costly. Efforts to make SAR systems sufficiently robust to be fully autonomous will increase their widespread adoption.

# **Conclusions**

Socially assistive robotics is a burgeoning field at the intersection of engineering, cognitive science, social science, and medicine, with numerous potential applications for child health. This scoping review provides some evidence showing future promise of SAR systems reducing distress in children in medical settings, but there is an insufficient evidence base to support any current clinical recommendations. Although the authors of several papers suggested a reduction in pain, better methodology and measures are needed to draw conclusions. Collaborations between health care experts (including physicians, child-life specialists, psychologists, etc.) and engineers early in the development process should be nurtured in order to apply medical principles to the design and operation of such promising technology. In addition, patient and family partners could contribute to user-centered design that may lead to more effective interventions.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Trost et al. Page 11



**Figure 1:**  PRISMA Flow Diagram



# **Figure 2:**

Images of the socially assistive robots used in some of the included studies, which show the wide range of shapes and styles. (A) iRobi (B) Paro (C) AIBO (D) Nao



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**Table 1:**

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> Data extracted from Studies Data extracted from Studies



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# $\stackrel{*}{\text{This study was a mixed methods analysis of the other Beran 2013 study}}$ This study was a mixed methods analysis of the other Beran 2013 study

N/A indicates no measures applicable to this domain included in the study. Adverse events not reported for all studies. N/A indicates no measures applicable to this domain included in the study. Adverse events not reported for all studies.