GDF-15 in Pulmonary and Critical Care Medicine

Fien M. Verhamme¹, Christine M. Freeman^{2,3,4}, Guy G. Brusselle^{1,5,6}, Ken R. Bracke¹, and Jeffrey L. Curtis^{2,3,4}

¹Department of Respiratory Medicine, Laboratory for Translational Research in Obstructive Pulmonary Diseases, Ghent University
Hospital, Ghent, Belgium; ²Division of Pulmonary and Critical Care Medicine, Department of and ⁵Department of Epidemiology and ⁶Department of Respiratory Medicine, Erasmus Medical Center Rotterdam, Rotterdam, the **Netherlands**

ORCID IDs: [0000-0003-3548-8133](http://orcid.org/0000-0003-3548-8133) (C.M.F.); [0000-0001-5191-4847](http://orcid.org/0000-0001-5191-4847) (J.L.C.).

Abstract

GDF-15 (growth differentiation factor 15) acts both as a stressinduced cytokine with diverse actions at different body sites and as a cell-autonomous regulator linked to cellular senescence and apoptosis. For multiple reasons, this divergent transforming growth $factor-\beta$ molecular superfamily member should be better known to pulmonary researchers and clinicians. In ambulatory individuals, GDF-15 concentrations in peripheral blood are an established predictive biomarker of all-cause mortality and of adverse cardiovascular events. Concentrations upon admission of critically ill patients (without or with sepsis) correlate with organ dysfunction and independently predict short- and long-term mortality risk. GDF-15 is a major downstream mediator of p53 activation, but it can also be induced independently of p53, notably by nonsteroidal antiinflammatory agents. GDF-15 blood concentrations are markedly elevated in adults and children with pulmonary

hypertension. Concentrations are also increased in chronic obstructive pulmonary disease, in which they contribute to mucus hypersecretion, airway epithelial cell senescence, and impaired antiviral defenses, which together with murine data support a role for GDF-15 in chronic obstructive pulmonary disease pathogenesis and progression. This review summarizes biological and clinical data on GDF-15 relevant to pulmonary and critical care medicine. We highlight the recent discovery of a central nervous system receptor for GDF-15, GFRAL (glial cell line–derived neurotrophic factor family $receptor-\alpha$ –like), an important advance with potential for novel treatments for obesity and cachexia. We also describe limitations and controversies in the existing literature, and we delineate research questions that must be addressed to determine whether GDF-15 can be therapeutically manipulated in other clinical settings.

Keywords: pulmonary disease; human; mice; biomarkers/blood; cytokine

Discovered over 20 years ago and linked to such crucial biological processes as cachexia, erythropoiesis, and cell survival, GDF-15 (growth differentiation factor 15) nonetheless remains unknown to most pulmonary clinicians and researchers. This status is undeserved because blood concentrations of GDF-15 provide independent prognostic information of all-cause and disease-specific mortality and are increasingly incorporated into algorithms for cardiovascular (CV) disease management. GDF-15 acts both as a cell-autonomous regulatory molecule linked to senescence and as a pleomorphic cytokine. It serves broad-ranging homeostatic roles to

integrate the response to cellular stress, especially within the vascular system. GDF-15 has been the topic of several comprehensive reviews (1–4). Our goal in this translational review is to highlight its relevance to pulmonary and critical care medicine.

Key Aspects of GDF-15 Biology

Genetics and Regulation of Expression

GDF-15 is a highly divergent member of the transforming growth factor $(TGF)-\beta$ molecular superfamily. Its remarkably low

sequence conservation with other superfamily members (15–29%) (5) suggests unique biological roles. The GDF-15 gene was independently cloned almost simultaneously by six different research groups. The varied strategies they employed led to multiple names (Table 1) (5–12), an early indication of the many organs and processes impacted by GDF-15. Familiarity with these alternative names is useful because several continue to be used even in recent literature. The gene for human GDF-15 (Gene ID 9518; Online Mendelian Inheritance in Man accession no. 605312) resides on chromosomes 19p12–19p13.1. In

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Correspondence and requests for reprints should be addressed to Jeffrey L. Curtis, M.D., Pulmonary and Critical Care Medicine Section (506/111G), VA Ann Arbor Healthcare System, 2215 Fuller Road, Ann Arbor, MI 48105-2303. E-mail: jlcurtis@umich.edu.

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Table 1. GDF-15 Synonyms

Definition of abbreviation: TGF- β = transforming growth factor- β .

genome-wide association studies, polymorphisms mapping to this region contribute significantly (27.4%) to variation in circulating GDF-15 concentrations (13). The human gene comprises two exons of 309 and 891 bp, respectively, separated by a single intron of 1,800 bp within the preprodomain of the corresponding peptides $(5, 6)$. The 5'-flanking region of GDF-15 contains one or more binding sites for the transcription factors AP-1 (activator protein 1), AP-2, Nkx-2, p53, Sp1 (specificity protein 1), and Sp3 (6, 8, 14, 15).

In the basal state in humans, GDF-15 transcripts are expressed in virtually all tissues but are highly prevalent in only a few (Table 2). A study that used deep RNA sequencing to examine tissue-specific expression of transcripts in 27 different organs designated GDF-15 as a "mixed high" gene because it was detected at greater than 10 fragments per kilobase of transcript per million mapped reads (FPKM) in all tissues in which it was present (16). Concentrations were highest in placenta, prostate, colon, kidney, and liver, but they were significant $(>1$ FPKM) in 14 other tissues, including lung. By contrast, concentrations less than 0.5 FPKM were found in lymph node, testis, brain, bone marrow, heart, and skin.

The GDF-15 protein shares properties with other $TGF- β superfamily members$ (17). First, it is synthesized as an inactive precursor containing an N-terminal propeptide and a C-terminal mature domain that undergo disulfide‐linked dimerization in the endoplasmic reticulum. The propeptide is essential to monitor correct protein folding, the first example of such a quality control function for the propeptide of a secreted protein (18). Second, like TGF-β, GDF-15 requires proteolytic processing, although unlike TGF-b, that process occurs in the Golgi apparatus, where the dimeric precursor is

cleaved at a conserved RXXR site by a furin-like protease, releasing the bioactive 25 kD disulfide-linked dimer (5, 12, 18). Matrix metalloproteinase (MMP)-26 (also known as matrilysin 2), but not the structurally similar molecule MMP-7, mediates GDF-15 cleavage in placental trophoblast cells (19). How this intracellular processing step occurs in other cell types is unreported. The U937 macrophage cell line releases unprocessed propeptide, which, by binding to extracellular matrix, might provide latent GDF-15 stores that could contribute to circulating concentrations of mature GDF-15 if subsequently processed extracellularly (20). Third, sequence alignment demonstrates that the C-terminal domain of GDF-15 contains a "cysteine knot," a structural hallmark of the TGF-b superfamily produced by its eight intrachain disulfide bonds. However, X-ray diffraction data recently revealed a disulfide bonding configuration (1→2, 3→7) in GDF-15 not previously observed in TGF- β family members (21), another mark of its divergence.

Importantly, GDF-15 can be induced, especially in macrophages; during injury, inflammation, and oxidative stress; and in cancer (2). Known induction stimuli include IL-1 β , TNF- α , macrophage colonystimulating factor, angiotensin II, and TGF- β . In healthy individuals, GDF-15 concentrations in serum range between 200 and 1,150 pg/ml (22) and increase with age (23). Aside from pregnancy, where GDF-15 concentrations are high, and reductions predicting miscarriage (24, 25), chronic elevations correlate with adverse clinical outcomes. Moreover, GDF-15 is an established or potential biomarker in multiple conditions relevant to pulmonary and critical care medicine.

GDF-15 is strongly induced by p53, a transcription factor that regulates cell

cycle progression and cellular survival. Depending on the cellular context, GDF-15 can mediate either pro- or antiapoptotic functions (15, 26–28). GDF-15 protected pulmonary endothelial and epithelial cell lines against hyperoxia in a p53-dependent fashion (29), but whether it does so in vivo is unstudied. The induction of GDF-15 in human umbilical vein endothelial cells (HUVEC) by high glucose concentrations was also p53 dependent and protective. However, GDF-15 can be induced independently of p53; the best-known example is by nonsteroidal antiinflammatory agents (8). GDF-15 production can also be induced in hepatocytes by the unfolded protein response via direct binding of the transcription factor C/EBP (CCAAT/enhancer binding protein) homologous protein to its promoter (30). Studies using gene-targeted mice also showed p53 independence of GDF-15 induction in both neonatal and adult injury models (31).

GDF-15 Effects, Receptors, and **Signaling**

The effects of GDF-15, both homeostatic and detrimental, involve multiple organ systems (Table 2). GDF-15 regulates neutrophil arrest and platelet aggregation under flow conditions by modulating the affinity of integrins (β_1 , β_2 , and β_1 , β_3 , respectively) (32–34), the first instance of such action by a cytokine. GDF-15 is highly upregulated within atherosclerotic plaques, where it localizes to infiltrating macrophages. GDF-15 also suppresses hepcidin, a master regulator of iron homeostasis, in primary human hepatocytes (a finding not confirmed in mice) (35, 36). GDF-15 concentrations are increased in disorders involving ineffective erythropoiesis, and its production by erythroblasts is essential for normal erythrocyte maturation (37). Subcutaneous implantation of GDF-15 in rats induced

Table 2. Notable Sources and Sites of Action of GDF-15

Definition of abbreviations: GDF-15 = growth differentiation factor 15; GFRAL = glial cell line-derived neurotrophic factor family receptor- α -like; HIF-1 α = hypoxia-inducible factor 1α ; HUVEC = human umbilical vein endothelial cells.

cartilage and bone formation (10). This diversity of actions is one reason why a unifying understanding of the regulation and role of GDF-15 remains elusive.

Another significant reason why the knowledge base needed before targeting GDF-15 therapeutically is lacking in most conditions is that the receptors and downstream mediators of its signaling in most tissues have not yet been identified. The sole exception is the newly identified glial cell line–derived neurotrophic factor family receptor- α –like (GFRAL) receptor (21, 38–41). GFRAL, acting with the receptor tyrosine kinase RET, is a bona fide GDF-15 receptor unrelated to any known TGF- β receptors (21, 40). GFRAL specifically binds GDF-15, reducing food intake. Via this hormone-like central action, GDF-15 contributes to hyperemesis of pregnancy and to cachexia of malignancy. The converse possibility, that modified forms of GDF-15 or small-molecule agonists of GFRAL could be developed as therapeutic agents to reduce obesity, is discussed below. Significantly, however, the exclusive expression of GFRAL within the hindbrain confirmed in these studies implies that it does not mediate GDF-15 actions elsewhere in the body.

Considerable research has investigated whether GDF-15 signal transduction mirrors that of other $TGF- β superfamily$ members. TGF- β ligands generally signal via heterodimer complexes of type I and type II serine/threonine kinase receptors to activate either Smad-dependent (canonical) or Smad-independent (noncanonical) events (21). Several publications have implicated the ALK5 and TGF- β type 2 receptors in GDF-15 signaling, whereas the use of the TGF- β type 1 receptor remains a subject of debate (34, 39, 42–44) and might be cell type specific. Although purified GDF-15 did not bind to cell lines transfected with a large number of receptors for TGF- β and related molecules (21, 41), it remains possible that these systems do not capture the full complexity of ligand-receptor interactions in vivo. Further downstream,

involvement of Smad1, but not Smad2, has been demonstrated in cardiomyocytes (21), but non–Smad-mediated signaling of GDF-15 via PI3K, Akt, ERK (extracellular signal-regulated kinase), and mTOR has been reported more frequently (27, 39, 40, 43, 44). Of these, the PI3K and Akt pathways appear particularly important in GDF-15's antiapoptotic effects after p53 activation (27, 45). Importantly, however, the recent observation that TGF- β contaminates multiple commercially available sources of GDF-15 (46) mandates circumspection about much of this earlier literature. Hence, elucidating the receptors and signaling pathways by which GDF-15 impacts organs other than the brain is a crucial unmet research goal.

GDF-15 as a Biomarker of **Mortality**

Multiple recent studies have confirmed the prognostic value of GDF-15 testing to

predict all-cause mortality and particularly CV events (47). In patients with heart failure, GDF-15 concentrations are increased relative to those of healthy control subjects (48, 49); such elevation has been implicated as causally related to progression (50). In a multicenter study of 646 patients presenting to emergency departments with acute chest pain, GDF-15 concentrations were higher in those with acute myocardial infarction relative to those with other diagnoses, and they predicted 1-year all-cause mortality (51). In a prospective cohort study of 847 patients with acute myocardial infarction, GDF-15 was 1 of 2 biomarkers (of 92) most strongly linked to all-cause mortality (52). That study, the Västmanland Myocardial Infarction Study, followed patients for a median of 7 years and identified GDF-15 and TRAIL-R2 (TNF-related apoptosisinducing ligand receptor 2) as independent predictors of all-cause mortality after adjusting for age, sex, diabetes, previous myocardial infarction, stroke, heart failure, hypertension, smoking, and other factors (52). Similarly, the RE-LY (Randomized Evaluation of Long-Term Anticoagulation Therapy) study measured GDF-15 at randomization of participants ($n = 8,474$) with atrial fibrillation, with a median 2-year follow-up. In models adjusted for other biomarkers, GDF-15 remained significantly associated with all-cause mortality and major bleeding but not with stroke (53). Increased GDF-15 concentrations are also associated with subclinical coronary artery atherosclerosis, assessed by coronary artery calcium score, both in middle-aged adults in the general population (54) and in smokers with chronic obstructive pulmonary disease (COPD) (55). In two different cohorts of patients with peripheral artery disease, the JUVENTAS (Intraarterial Infusion of Autologous Bone Marrow Mononuclear Cells in Patients with Chronic Critical Limb Ischemia) trial ($n = 160$) and the Athero-Express Biobank Study ($n = 386$), high GDF-15 concentrations were associated with an increased risk of major amputation and allcause mortality (56).

GDF-15 also predicts mortality in other conditions. Measurements in critically ill patients (with and without sepsis) upon ICU admission showed a strong association between GDF-15 concentration and organ dysfunction and independently predicted short- and long-term mortality risk (57). Interestingly, in elderly communitydwelling individuals, changes in serum

concentrations of GDF-15 over 5 years independently predicted all-cause mortality, which was only partially explained by CV risk factors (58). GDF-15 was recently identified as having the strongest association with chronological aging $(P = 7.49 \times 10^{-56})$ among 1,301 plasma proteins measured using the SOMAscan assay (SomaLogic) in 240 healthy men and women (59). Collectively, these findings support the value of GDF-15 concentrations to identify patients at high risk of clinically relevant events or death. No current clinical guidelines have incorporated GDF-15 for this purpose.

GDF-15 in Lung Diseases

GDF-15 in Pulmonary Vascular Disease

GDF-15 has been linked to acute and chronic pulmonary vascular diseases, including pulmonary embolism and pulmonary hypertension, in which some of the highest concentrations are observed. Peripheral blood concentrations of GDF-15 at diagnosis of pulmonary embolism predict 30-day outcome risk (60) and mortality (61). GDF-15 is also elevated in adults with pulmonary arterial hypertension (PAH), either idiopathic (62) or systemic sclerosis associated (63), as well as in children with PAH secondary to congenital heart disease, compared with children with congenital heart disease without PAH (64).

An important research question is whether GDF-15 is not only a biomarker of acute or chronic vascular stress but instead might also contribute to PAH progression (63, 65). GDF-15 production by endothelial cells in vitro is stimulated by shear stress. The cytokine was upregulated in areas of active vascular remodeling in PAH, was expressed by pulmonary vascular endothelial cells, and improved these cells' proliferation and survival in culture (65). Because GDF-15 increased HUVEC proliferation and promoted the formation of functional vessels (44), it is possible that it is elevated in PAH due to pressure overload. An alternative, not mutually exclusive, explanation is that GDF-15 serves a potentially protective role for endothelial cells by promoting the activation and nuclear translocation of HIF-1 α (hypoxia-inducible factor-1 α), as has been shown in hypoxic human

pulmonary microvascular endothelial cells (65) and HUVEC (66). These findings are in apparent disagreement with another study showing that, in HUVEC but not in fibroblasts, GDF-15 blocked the proangiogenic activity of connective tissue growth factor (CCN)-2 to induce vascular tube formation by inhibiting $\alpha_{v}\beta_{3}$ -integrin clustering and consequent focal adhesion kinase activation in HUEVC but not in fibroblasts (67). These disparate findings may relate to the different experimental systems examined, but they merit validation in other types of primary human endothelial cells. Importantly, the effect of GDF-15 on endothelial cells in vitro showed dose dependence, stimulating their proliferation at 5 ng/ml but inhibiting it at 50 ng/ml (68).

GDF-15 in COPD

GDF-15 has been examined both as a potential biomarker of COPD severity and prognosis, and as a possible etiologic factor in COPD progression. In stable COPD, circulating GDF-15 concentrations are increased compared with those in healthy control individuals (49, 69). Similarly, GDF-15 was increased locally in the airways and lung tissue, at both mRNA and protein concentrations, as well as in quadriceps muscle biopsies of patients with COPD compared with control individuals (69–71). In a cross-sectional analysis of subjects with COPD free of clinical CV disease in the COPDGene cohort ($n = 694$), plasma GDF-15 concentrations correlated independently with subclinical coronary atherosclerosis, as measured by coronary artery calcium scores, whereas no correlation was found with common markers of COPD severity (55). In contrast, high concentrations of GDF-15 upon entry to the Bergen COPD study $(n = 413)$ associated with higher annual exacerbation rate, increased mortality, and faster decline in lung function ($FEV₁$ and FVC) over 3 years of follow-up (72). This disparity likely relates to the increased statistical power imparted by the longitudinal design of the Bergen COPD study. Elevated GDF-15 has been demonstrated during COPD exacerbations, both in comparison with stable subjects with COPD and control subjects (73), and more tellingly, in paired within-subject comparisons (74). Increased GDF-15 upon hospital admission for COPD exacerbation correlated with adverse outcomes such as the need for endotracheal intubation or inotropic support and 30-day mortality (75). Collectively, these data

support investigation of GDF-15 as a prognostic biomarker in COPD.

Relative to clinical data, experimental evidence in preclinical models of COPD is less extensive. GDF-15 expression in human small airway epithelial cells was increased by exposure to cigarette smoke extract (71). Using air–liquid interface cultures of human tracheobronchial epithelial cells, Wu and colleagues demonstrated that cigarette smoke exposure upregulated GDF-15 to stimulate MUC5AC expression via the PI3K pathway, but also to induce senescence via the Smad1 pathway (76, 77). Recently, the same group showed that GDF-15 overproduction promoted human rhinovirus infection and lung inflammation by inhibiting IFN- λ 1 (also known as IL-29) (78). We confirmed that exposure to cigarette smoke induces GDF-15 in human airway epithelial cells and in the airways and lungs of a murine model of COPD (70). Collectively, these findings support the potential involvement of GDF-15 in the pathogenesis of COPD progression and exacerbations.

As with other TGF- β superfamily members, there is no consensus on whether GDF-15 dampens or aggravates inflammatory processes, as indicated by contradictory results in different disease models, ranging from CV disease to cancer (1). Our studies show that deletion of the GDF-15 gene in mice leads to reduced lung inflammation after cigarette smoke exposure, suggesting a net harmful effect of the cytokine in this situation (70). Importantly, data from animal models suggest that GDF-15 may also contribute to muscle and adipose tissue wasting in COPD and thus to respiratory cachexia (69, 70). Some of this effect appears to result from appetite suppression (79), but GDF-15 also directly induced myotube atrophy in the C2C12 murine myoblast cell line (80).

GDF-15 in Metabolism and Regulation of Body Weight

GDF-15 concentrations are elevated in obesity; they decrease after bariatric surgery and are also independently raised in type 2 diabetes (4). GDF-15 has been termed an adipokine because it is secreted by white adipose tissue and has multiple effects that reduce adiposity. Chief among such effects is appetite reduction mediated via GFRAL expression in the hindbrain. The very circumscribed anatomic distribution of

However, GDF-15 also alters thermogenesis (81) and increases insulin sensitivity, implying that, like other adipokines such as leptin and adiponectin, it has key systemic actions that regulate body weight and composition. Supporting the potential for these effects to be used to combat the metabolic syndrome, male transgenic mice overexpressing human GDF-15 were resistant to both genetic and diet-induced obesity, showed greater insulin sensitivity and oxidative metabolism, and exhibited lower inflammation than wild-type control animals (81–83). Intriguingly, in contrast to the wealth of data indicating an adverse effect of elevated GDF-15 concentrations (outside of pregnancy) on survival in humans, Kaplan-Meier analysis demonstrated that the median lifespans of female mice from two founder lines overexpressing the human gene were significantly longer than control mice. This difference was greater on a high-fat diet (60% fat vs. 10% fat) and was associated with decreased signaling through the mTOR pathway, but not with differences in hepatic expression of sirtuin 1 or sirtuin 6 (84). Although it might be tempting to dismiss such improved survival after global overexpression of the human transgene as related to species differences, congruent metabolic effects were observed by a separate group that produced transgenic mice overexpressing the murine gene in a macrophage-specific manner using a modified c-fms promoter sequence (39, 85).

Because the native GDF-15 molecule poses challenges to use as a recombinant protein (due to its complex tertiary structure and low circulating half-life), several Fc fusion molecules with extended half-lives and potent efficacy were recently developed. In studies in mice and obese cynomolgus monkeys, these agents delayed gastric emptying, changed food preference, reduced caloric intake, and activated neurons in the area postrema (86). Hence, it is likely that these agents will move toward human testing as a weight reduction therapy, which raises questions about collateral toxicity (based on the senescence-inducing effects of GDF-15) and possible tachyphylaxis of the anorectic effect (given the association of obesity with elevated concentrations of GDF-15, analogous to the situation with the adipokine leptin).

Considerations for Animal Models

A key research consideration for development of novel therapeutics to target GDF-15 is how faithfully animal data will translate to humans. Most evidence suggests that mouse models will be useful for this purpose. The murine gene for GDF-15 (Gene ID 23886) resides on chromosome 8 in a region showing synteny to the location of the human gene. Indeed, in both species, its nearest protein-encoding neighboring genes—Lrrc25, Pgpep1, and Ssbp4—show similar relationships to GDF-15 in the two species, implying the likelihood of conserved cis-regulatory elements. By compositional matrix adjustment using BLASTP $2.8.1 +$ (87, 88), the molecule displays a high degree of amino acid homology (62% identity, 76% positive, 5% gaps) in the two species. Expression of GDF-15 in various murine tissues in the basal state (89) also parallels that observed in humans (16). These data all support exploiting the convenience and power of transgenic murine models, especially those employing tissue-specific conditional knockouts, to aid in defining the complex biology of GDF-15 expression. In addition to the examples already cited, transgenic mice lacking functional GDF-15 have proven useful in neuropsychiatric research because they exhibit progressive loss of motor neurons and distinctive behavioral patterns (90–92).

One factor potentially complicating translation of murine results to humans is the existence of a microRNA, miR-3189, within the intron of the GDF-15 gene of primates but not of other mammals. In tumor cell lines derived from humans, miR-3189 displayed proapoptotic effects that were partially p53 independent, including upregulation of GDF-15 itself (93).

Future Directions (Remaining Research Questions)

Currently, it is not possible to devise a single unifying explanation for all the varied effects of GDF-15 in health and disease, limiting progress in advancing GDF-15 from a prognostic biomarker to a potential therapeutic target. One reason could be that the binding of GDF-15 to cell surface

receptors and/or the signaling pathways distal to those receptors might differ between cell types. Confirming the nature and role of GDF-15 receptors in multiple lung parenchymal and inflammatory cell types is a crucial research goal. Future studies should address whether the anorectic effect of GDF-15 accounts entirely for its role in cachexia and examine possible direct contributions to muscle weakness in critical illness (80).

Another unsettled area relates to proteolytic processing of GDF-15. Is MMP-26 the only protease involved in all cell types? Does matrix binding of unprocessed GDF-15 propeptide occur in vivo? If so, does it contribute significantly to local or systemic actions of the cytokine, and how is extracellular processing of GDF-15 propeptide mediated and regulated?

Arguably, the most essential question for development of novel therapies is whether the protective actions of GDF-15 can be dissociated from the generally deleterious effects of sustained high concentrations. Beneficial effects of GDF-15 appear to relate to highly regulated secretion, likely at relatively low concentrations, and as suggested by cell culture experiments, to cellautonomous actions. It will be important for future studies to investigate thoroughly issues of dose and timing. Opposite effects of GDF-15 ablation have been observed by different laboratories, not only in the in vitro models cited above but also in murine models of atherosclerosis (42, 94), suggesting that chronic therapeutic targeting of GDF-15 may be difficult, aside perhaps from small molecules specifically targeting GFRAL, as discussed above.

Conclusions

GDF-15 is emerging as a uniquely central homeostatic molecule that, particularly in its role as a circulating cytokine, appears to reflect an integrated attempt by the organism to reduce tissue injury. Whether that attempt ultimately succeeds is highly contextual. Because GDF-15 is well established as an informative prognostic biomarker of mortality and CV outcomes, baseline measurement of GDF-15 should be included in the design of clinical trials in pulmonary and critical care medicine looking at those endpoints. Additional translational studies are warranted to determine whether manipulation of GDF-15 can be beneficial in specific clinical settings. \blacksquare

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