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Neur-ons and Neur-offs: Regulators of neural induction in vertebrate embryos and embryonic stem cells

Julie Gaulden and Jeremy F. Reiter*

Department of Biochemistry and Biophysics, Cardiovascular Research Institute, University of California, San Francisco, San Francisco, CA 94158-2324

Abstract

Although the spatial and temporal orchestration of early vertebrate embryogenesis is missing from cell culture systems, recent work suggests that many of the same signals affecting neural induction in vertebrate embryos also regulate embryonic stem (ES) cell neurogenesis. One key regulatory mechanism involved in both *in vivo* and *in vitro* neural induction is the inhibition of BMP signals. Wnts and FGFs represent additional regulatory influences which may affect the adoption of neural fates through both BMP-dependent and -independent mechanisms. Insights into neural induction *in vivo* help to guide paradigms for promoting neural differentiation by ES cells. Conversely, insights into the mechanisms by which ES cells adopt neural fates may provide an improved understanding of neural induction in the early embryo.

Keywords

BMP; TGF β ; Smad; FGF; MAPK; Wnt; Retinoic acid; Default model; Organizer

Introduction

It is a truism that ES cells hold tremendous promise as an inexhaustible source for novel cell-based therapies for diseases for which treatments are imperfect or nonexistent. Work over the past twenty-five years has aimed at understanding the properties of stem cells, especially the means to derive specific differentiated cell types from stem cells. One of the first lineages to be generated from ES cells was neural (1–4), and many subsequent studies have succeeded in promoting the differentiation of ES cells towards the neural lineage to create various kinds of neuronal and glial subtypes. This work reached an important milestone with the demonstration that neural cells derived from ES cells can be transplanted back into vertebrate embryos and integrate into many areas of the central nervous system (5, 6).

Beyond the therapeutic implications, neural differentiation by ES cells can also provide insights into the mechanisms of neural induction, the initial steps by which uncommitted cells begin differentiating along the neural lineage. Several recent reviews have focused on the properties of neural stem cells and neural differentiation along defined pathways (7–9).

*Correspondence should be addressed to J.R., Phone: (415) 502-8528, Fax: (415) 476-0526, jreiter@biochem.ucsf.edu.

Here, we contrast neural induction in vertebrate model organisms with neural induction in ES cells. Similarities and differences between *in vivo* and *in vitro* neural induction may lend insights into the molecular signals that direct neural induction in the embryo. Additionally, a better understanding of the signaling and tissue dynamics that underlie neural induction *in vivo* may lead to more efficient ways of deriving neural subtypes *in vitro*.

Neural induction through BMP antagonism

Neural induction has fascinated scientists since Spemann and Mangold established the presence of an organizer in amphibians capable of conferring neural identity to ectoderm (10). The presence of similar organizers in other species, such as the embryonic shield in teleosts, Hensen's node in birds, and the node in mice, suggests that the mechanisms by which the organizer imparts neural identity are at least partly conserved in vertebrates (11–13). The first clues to the molecular nature of the organizer's inductive influence came from studies of a receptor for TGF β superfamily members, and Noggin, a secreted factor expressed by the organizer (14–16). The ectodermal region of the early *Xenopus* embryo animal pole normally gives rise to both epidermis and neuroectoderm (Fig. 1A). However, if this region is physically isolated as an animal cap, it does not form neural tissue, though expression of either a dominant negative form of a TGF β receptor, or Noggin is sufficient to generate neural cells in *Xenopus* animal caps (14–16). Noggin, as well as other factors that can induce neural fates in animal caps such as Chordin and Follistatin, share the ability to bind to and inhibit the activity of Bone Morphogenetic Proteins (BMPs), TGF β superfamily members (17–19). The finding that these factors specify neural identity by inhibiting the repressive influence of BMPs suggests that it is the absence of repressive influences, and not an active promoting influence, that may be essential for neural induction (20). This so-called default model of neural induction is further supported by the finding that dissociated *Xenopus* animal caps, deprived of extensive intercellular contacts and communication, adopt neural fates (21–24) and that isolated animal caps of some amphibians differentiate into neural tissue (25).

Given the central role that inhibition of BMP plays in neural induction, it is somewhat surprising that mouse embryos mutant for *Chordin*, *Noggin*, or *Follistatin* do not display gross defects in neural plate formation (26–28). Indeed, individual loss of these BMP inhibitors, as well as others including *Twisted gastrulation*, *Gremlin* and *Cerberus-like*, does not dramatically affect neural allocation in mice (29–31). However, loss of multiple BMP antagonists, such as both Chordin and Noggin, results in neural deficits, indicating functional overlap among BMP inhibitors (30). Consistent with this interpretation, *Xenopus* or zebrafish embryos depleted of multiple BMPs, or mouse embryos lacking *BMPR1a*, a component of the principle BMP receptor during early embryonic development, display increased and early neural differentiation (32, 33). The similarity of these phenotypes suggests that inhibition of BMP activity through the overlapping functions of several BMP antagonists and the resulting BMP activity gradient play a critical role in vertebrate neural induction (Fig. 1).

Beyond the Default Model

The default model may be too simple to completely describe neural induction (34, 35). For example, additional influences, such as Wnt signals, have complex roles in promoting, inhibiting, and patterning neural tissue at discrete times during embryogenesis. *Xenopus* and zebrafish both require an initial activation of the β -catenin-dependent Wnt signaling pathway during the blastula stage for specification of the organizer, and therefore for subsequent BMP antagonism (36–39). In *Xenopus*, this early Wnt signaling depends on Wnt11 (40).

While this initial Wnt signaling is required early in embryo development for subsequent neural induction, both the Wnt and BMP pathways must be inhibited later in development for neural induction to occur, consistent with the central tenant of the default model. At gastrulation stages, Wnt signals collaborate with BMPs to ventralize frog and fish embryos and inhibit neural fates (Fig. 1) (41–44). Similarly, Wnt signaling inhibits neural fates in the chick epiblast, and inhibition of Wnt signaling is sufficient to induce neural differentiation in regions normally fated to become epidermis (45).

This inhibitory role for Wnts may be conserved in mammals, as genetic studies support an inhibitory function for Wnt signaling in mouse neural induction. Mouse mutants lacking effectors of β -catenin-dependent Wnt signaling, such as *Wnt3a*, display increased neural tissue and even ectopic neural tubes (46). Similarly, mutation of the Wnt co-receptors, *Lrp5* and *Lrp6*, results in an expansion of anterior neuroectoderm (47). Consistent with this negative regulatory role, loss of *Dickkopf (Dkk)*, a Wnt inhibitor, prevents forebrain development (48).

However, mammalian Wnt signaling is involved in both anteroposterior patterning and cell movement in the primitive streak, raising the possibility that the neural phenotypes of Wnt pathway mutants may reflect indirect consequences of dysregulated Wnt signaling. Indeed, ectopic neural tubes similar to those caused by loss of *Wnt3a* can result from defective cell movement in the primitive streak (49).

In addition to BMP inhibitors such as Chordin, Noggin, and Follistatin, the *Xenopus* organizer expresses Frzb-1, Crescent, SFRP2, Dkk1, Cerberus, and Lefty (Fig. 1), inhibitors of the Wnt and Nodal pathways (50). The production of so many signaling pathway inhibitors by the organizer substantiates the underlying supposition of the default model. So, is there no role for positive signaling in neural induction?

At least in non-mammalian vertebrates, there is substantial evidence that activation of the MAPK pathway promotes neural induction. For example, neural induction in isolated salamander animal caps depends on the MAPK pathway (51), and the members of one class of MAPK activators, Fibroblast Growth Factors (FGFs), induce early neural plate markers, such as Sox3 and ERNI (52–55). In support of the involvement of FGFs in neural induction, FGFs can act cooperatively with BMP inhibition to promote *Xenopus* neural induction (32). One possible molecular mechanism for this functional cooperation is through MAPK pathway convergence on BMP signaling through the differential phosphorylation of Smad1, an important BMP effector (56). GSK3, a component and inhibitor of the Wnt pathway,

promotes additional phosphorylation and degradation of Smad1 after a priming phosphorylation by MAPK, which may similarly explain the anti-neuralizing properties of Wnts (57). However, mutation of the MAPK phosphorylation site of Smad1 does not overtly abrogate neural induction in mice, suggesting that this interaction is not essential for the effects of FGFs on neural induction (58). Another possibility is that early FGF signals downregulate expression of BMPs in the prospective neural domain, allowing neural differentiation to proceed (59, 60). A third possibility is that early FGF signaling promotes neural fate through a parallel, BMP-independent mechanism (61, 62). One BMP-independent mechanism may involve Wnts which, as described above, can inhibit neural induction during gastrulation stages (45). However, addition of multiple FGFs, BMP antagonists, and Wnt antagonists to the chick embryonic epiblast is not sufficient to induce the expression of the neural marker Sox2, suggesting that still other pathways regulate neural induction (62).

Although these data from amphibians and birds suggest that FGFs are positive effectors of vertebrate neural induction, to date there has not been genetic confirmation in fish or mice. For example, mouse epiblast cells lacking the FGF receptor, *Fgfr1*, can adopt neural fates (49). Also, inhibition of FGF signaling in the pre-gastrula mouse embryo does not block neural induction (33). It is possible that the involvement of an early FGF signal in neural induction does not pertain to mammals, or may be redundant with other inputs into the MAPK pathway.

ES cells: “All cases are unique, and very similar to others”

Partially due to ease of manipulation, ES cells represent an attractive model for studying early embryonic differentiation in general, and neural induction in particular. ES cells can be differentiated spontaneously to embryoid bodies or along specific lineages by addition of extrinsic factors or by genetic manipulation.

The relevance of ES cell differentiation to *in vivo* events is often unclear. For example, retinoic acid is one of the most potent inducers of neural differentiation in both mouse and human embryonic stem cells (63, 64), but there is little evidence for a role for retinoic acid in embryonic neural induction. Nevertheless, in ES cells, retinoic acid has dosage dependent effects whereby high concentrations drive ES cells towards a neural lineage and low retinoic acid concentrations cause cardiac differentiation (65).

In contrast, other neural influences are conserved between ES cells and *in vivo* systems (Fig. 2). Given that mouse and human ES cells have markedly different growth factor requirements for maintaining pluripotency, it is interesting that they both respond relatively similarly to BMP and BMP inhibitors with regards to neural induction. In both mouse and human ES cells, high level BMP signaling blocks neural differentiation (66–70). For these reasons, most neural differentiation protocols require that ES cells be grown in low or no serum conditions to mitigate the effect of BMPs found in serum. Further evidence that BMPs inhibit ES cell neural induction comes from a recent study showing that mouse ES cells lacking Smad4 preferentially differentiate down the neural lineage (71). As Smad4 is required for all signaling by TGF β superfamily members, and not just by BMPs, it is

possible that this finding reflects inhibitory influences by Nodal or other TGF β superfamily members in addition to BMPs. Indeed, Nodal signaling may inhibit neural differentiation as mouse ES cells mutant for *Cripto*, a Nodal co-receptor, exhibit enhanced neural differentiation, and human ES cells overexpressing *Lefty* or a truncated version of *Cerberus*, Nodal pathway inhibitors, display increased neural induction (71–73). Also, mouse *Nodal* mutants show early and ectopic adoption of neural fates (74).

While it is clear that BMPs inhibit neural differentiation *in vitro*, it is ambiguous whether adding BMP inhibitors increases neural induction beyond that caused by serum starvation. For example, treatment of mouse ES cells with Noggin does not affect neural induction in ES cells differentiated as embryoid bodies or as an adherent monolayer (66, 68, 75, 76). Moreover, neither addition of Chordin nor Follistatin significantly affects ES cell neural differentiation (67, 77). On the other hand, Noggin increases the differentiation of mouse ES cells to neurospheres (69) and can enhance neural differentiation by mouse and human ES cells (77–80).

The requirement for BMPs in maintaining mouse ES cell pluripotency is not shared by human ES cells, for which even low level BMP signaling promotes trophoblast differentiation (70). These different requirements may reflect different cells of origin for mouse and human ES cells; most mouse ES cell lines are derived at the late inner cell mass stage, whereas human ES cell lines are derived at the blastocyst stage. Indeed, mouse ES cells that, like human ES cells, are derived from blastocysts require growth factors and display gene expression profiles similar to human ES cells (81, 82).

Like the BMPs, Wnts appear to affect neural induction by ES cells in ways similar to those observed *in vivo*. For example, activating the Wnt pathway in differentiating mouse ES cells, either with Wnt1 or with an inhibitor of GSK3, inhibits neural differentiation (83). Consistent with a negative role for Wnts in neural induction, genetic manipulations that increase Wnt signaling, such as loss of the extracellular Wnt inhibitor Dkk1, or both GSK3 α and GSK3 β , abrogate neural differentiation (84, 85). Conversely, treatment with Dkk1, or overexpression of another Wnt inhibitor, SFRP2, enhances mouse ES cell neural differentiation (83, 86). These data suggest that high level Wnt signaling prevents neural differentiation whereas the inhibition of Wnt signaling enhances neural differentiation, closely paralleling *in vivo* mouse models.

However, others have found that inducing Wnt signaling can increase neural differentiation in mouse ES cells (87). The discrepant effects of both Wnts and BMP inhibitors among various protocols may be attributable to differences in culture media, which can contain BMPs, Wnts and/or additional signaling inhibitors. Another possible explanation is that BMP inhibitors or Wnts may influence neural differentiation only under specific conditions, such as the presence of FGF signaling or the absence of Nodal signaling. A third possibility may reflect the complex involvement of these factors in both ES maintenance and differentiation. For example, in addition to inhibiting neural induction, intermediate levels of BMP signaling maintain mouse ES cell pluripotency (88). Similarly, Wnt signaling may promote ES cell pluripotency (89). Because some of the factors required for ES cell pluripotency and survival are the same factors that promote neural fates, it is difficult to

discern if these factors act primarily to induce neural differentiation or to simply enhance progenitor survival or proliferation. This uncertainty reflects the artificiality inherent in ES cell culture systems, as maintenance of pluripotency does not occur outside of the germline *in vivo*.

Given the diverse roles that FGFs play in regulating proliferation, self-renewal, survival, and differentiation, it is perhaps unsurprising that FGFs similarly have complex effects on ES cell neural induction. FGF2 and FGF4 are used in several neural differentiation protocols (68, 69), (90), but it is unclear whether they promote differentiation, proliferation and/or survival of neural precursor cells. This ambiguity is especially relevant to human ES cells, as FGF2 is used to maintain human ES cell pluripotency.

Consistent with a role for FGF signaling in promoting neural induction, treatment of differentiating mouse ES cells with a pharmacological inhibitor of FGF signaling, PD184352, arrests neural differentiation at an early stage (75). In contrast, treatment with a different pharmacological inhibitor of FGF signaling, SU5402, does not affect early neural differentiation (but may affect survival of neural precursors), and mouse ES cells lacking *Fgfr1* are able to differentiate down the neural lineage similarly to wild-type cells (78). PD184352 inhibits the activity of MEK, a component of the MAPK cascade, whereas SU5402 inhibits the tyrosine kinase activity of *Fgfr1*, raising the possibility that MAPK pathway activation through an *Fgfr1*-independent mechanism may be important for ES cell neural induction. Insulin-like growth factors (IGFs) can also activate the MAPK pathway and promote neural fates in *Xenopus* (91), raising the possibility that IGFs are the activators of the MAPK pathway relevant to neurogenesis in mammals.

Conclusions and perspectives

Two of the remarkable properties of ES cells are pluripotency and a capacity for genetic modification. The potential to use ES cells to create cell-based therapies has received much attention, and neural and glial derivatives of ES cells are likely to be important for treating traumatic injuries and degenerative diseases affecting the nervous system. The same remarkable properties are also valuable for creating cellular models of human diseases critical both for elucidating disease pathogenesis and for testing potential therapies. Neural and glial derivatives of ES cells are already providing insights into the molecular and cellular bases of neurodegenerative disorders such as amyotrophic lateral sclerosis (92). ES cells can also be used to create genetic models of human disease and to test the linkage between genes and associated disorders. For example, expression of alleles associated with Huntington's and Parkinson's diseases in ES cells has provided insights into underlying molecular pathogenesis (93, 94).

One important step toward these potential biomedical advances is the development of a better understanding of neural induction by ES cells. The study of neural induction has a long history that provides extensive knowledge of early neural differentiation in several different vertebrate embryos. Common themes have emerged from these developmental model organisms, suggesting that early low-level FGF signals, combined with protective influences from the dorsal organizer shielding the ectoderm from Wnt and BMP signals, are

critical for neural induction. Several of these influences are reflected in the requirements of ES cells for neural differentiation, although important differences between neural induction *in vivo* and *in vitro* are demonstrable. Better understanding of the regulatory influences that control neural induction, especially in mammals, may provide additional means of promoting neural induction and differentiation in ES cells. Conversely, better understanding of the molecular mechanisms by which ES cells adopt neural fates may provide new insights into the regulation of neural induction in the early vertebrate embryo.

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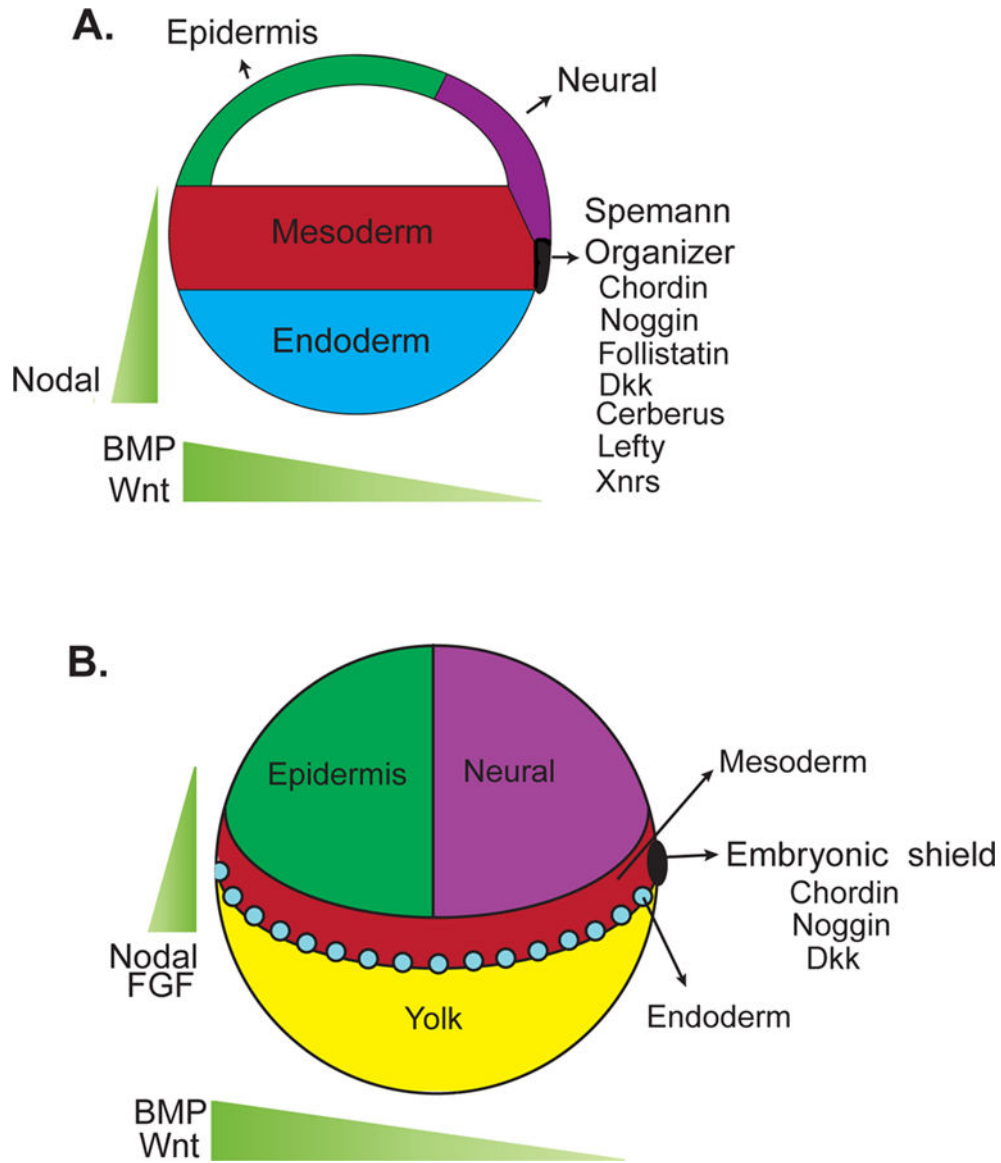


Figure 1. In vivo neural induction
 The dorsal organizer in *Xenopus* (A.) and similarly the shield in zebrafish (B.) express many factors that protect the ectodermal domain that will give rise to neural fates from activators of the BMP, Nodal, and Wnt pathways. The combined functions of secreted agonists of these pathways and the inhibitors produced by the dorsal organizer give rise to gradients that both pattern the embryo and allow for neural induction.

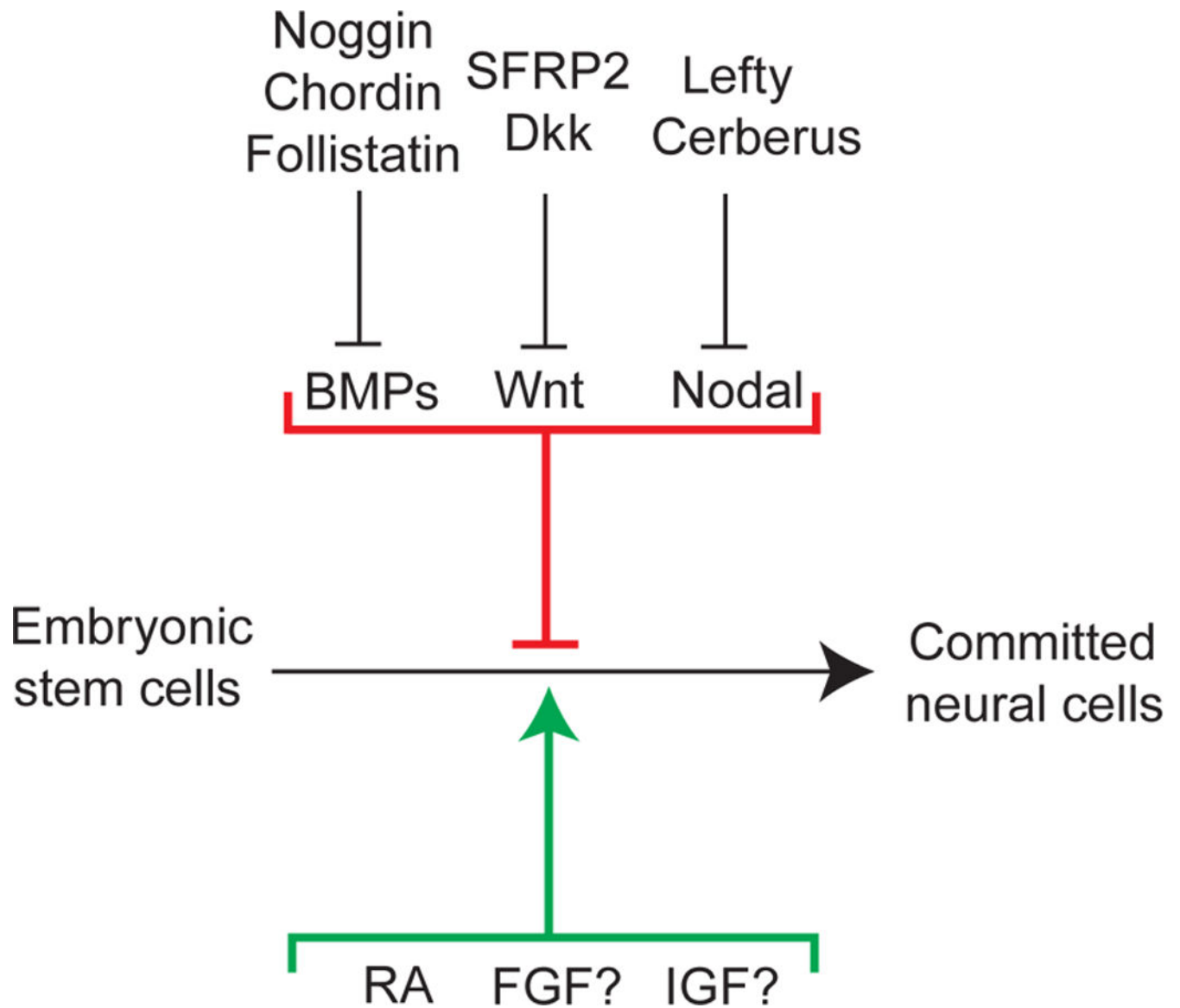


Figure 2. In vitro neural induction

Many in vivo neural inducers that act as inhibitors of BMPs, Nodal, and Wnt signaling also promote ES cell differentiation to committed neural cells. In contrast, RA, which promotes neural induction in ES cells, is not known to be important for neural induction in vivo.