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### **Genome-wide DNA methylation investigation of glucocorticoid exposure within buccal samples**

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### **Abstract**

**Aim:** Glucocorticoids play a major role in regulating the stress response, and an imbalance of glucocorticoids has been implicated in stress-related disorders. Within mouse models, CpGs across the genome have been shown to be differentially methylated in response to glucocorticoid treatment, and using the Infinium 27K array, it was shown that humans given synthetic

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GS planned the study, assembled the study team, and oversaw the entire study process. GS, JBP, BWH, ACC, KMS, and PRB designed the study. MTS and SS headed the subject enrollment. KY, MJK, SSJ, LNG, JR, JTH, EMC, GND, and YS acquired and processed the samples from the dental cohort. YN, LNC, BJD, MAH, and HK performed the neurosurgical resections and provided tissue samples. PRB performed the methylome assays and analyzed the data. PRB, GS, and JBP wrote the manuscript. All authors read and approved the final manuscript.

Within the Psychiatry and Clinical Neurosciences journal, this manuscript belongs in the field of molecular psychiatry and psychobiology.

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glucocorticoids had DNA methylation (DNAm) changes in blood. However, further investigation of the extent to which glucocorticoids affect DNAm across a larger proportion of the genome is needed.

**Methods:** Buccal samples were collected before and after synthetic glucocorticoid treatment in the context of dental procedure. This included 30 tooth extraction surgery patients who received 10 mg of dexamethasone. Genome-wide DNAm was assessed with the Infinium HumanMethylationEPIC array.

**Results:** Five CpGs showed genome-wide significant DNAm changes that was >10%. These differentially methylated CpGs were in or nearest the following genes: *ZNF438*, *KLHDC10*, miR-544 or *CRABP1*, *DPH5*, and *WDFY2*. Using previously published datasets of human blood gene expression changes following dexamethasone exposure, a significant proportion of genes with FDR-adjusted significant CpGs were also differentially expressed. A pathway analysis of the genes with FDR-adjusted significant CpGs revealed significant enrichment of olfactory transduction, pentose and glucuronate interconversions, ascorbate and aldarate metabolism, and steroid hormone biosynthesis pathways.

**Conclusion:** High-dose synthetic glucocorticoid administration in the setting of dental procedure was significantly associated with DNAm changes within buccal samples. These findings are consistent with prior findings of an influence of glucocorticoids on DNAm in humans.

### **Keywords**

DNA methylation; Epigenomics; Glucocorticoids; Stress (Psychological); Dexamethasone

### **Introduction**

Stress is a major contributing factor in the development of psychiatric disorders  $1, 2$ . Major depressive disorder (MDD) has been linked to stress  $1, 3$ , with the incidence of a depressive episode increased with a major stressful event <sup>4</sup>. Approximately 17% of the population is affected by MDD and about 30% of patients do not undergo remission and suffer from chronic depression despite treatment <sup>5, 6</sup>. Post-traumatic stress disorder (PTSD) is precipitated by a traumatic event, and it affects  $5-10\%$  of the population  $^7$ .

The hypothalamic-pituitary-adrenal (HPA) axis plays an essential role in mediating the stress response, which ultimately results in the release of glucocorticoids (GCs) from the adrenal cortex that regulate tissues throughout the body through the binding of GCs to the glucocorticoid receptor  $(GR)$ <sup>8</sup>. Dysregulation of this system is often seen with chronic stress and in psychiatric disorders <sup>9, 10</sup>. PTSD has been associated with suppressed cortisol levels, which can lead to hypersensitivity of the GR and enhanced negative feedback inhibition 11. In contrast, in MDD, blunted cortisol and adrenocorticotropic hormone responses are often present 12. These alterations in GC feedback on the HPA axis constitute one of the most consistent biomarkers for MDD and PTSD 13. The contribution of GCs to psychiatric disorders is further evidenced in a large epidemiological study of individuals given GC treatment for a range of inflammatory disorders 14. Individuals treated with GCs had an elevated risk of suicide or suicide attempt, depression, mania, delirium, and panic

disorder 14. This is consistent with the hypothesis that the impact of stress on psychiatric disorders is mediated in part by GC exposure.

MDD, PTSD, and stressful life events have all been associated with changes in DNA methylation (DNAm)  $15-17$ . To tease out the role of GCs themselves, rodent models of GC exposure have been employed. One study reported DNAm changes in *Fkbp5*, the cochaperone of the GR, within the hippocampus  $^{18}$ , which was later found to correlate partially with similar changes in blood <sup>19</sup>. A genome-wide investigation of GC exposure in mice found differentially methylated regions (DMRs) across the genome, and 209 DMRs that mapped to the same genomic coordinates in the hippocampus and blood were significantly changed with GC exposure in the same direction within both tissues  $20$ .

Only one study, to our knowledge, has previously investigated genome-wide DNAm changes in response to synthetic glucocorticoid administration in humans. In that study, investigators examined patients with chronic obstructive pulmonary disease (COPD) and made a DNAm comparison using blood samples from those who were given glucocorticoid treatment and those who were not. The study was performed with the Illumina  $27K$  methylation array  $21$ . A total of 511 sites were significantly differentially methylated, and an enrichment of corresponding genes involved in membrane components, hemostasis and coagulation, cellular ion homeostasis, leukocyte and lymphocyte activation, and protein transport was found  $21$ .

To better understand the impact of GC exposure on genome-wide methylation within humans, we investigated DNAm changes by comparing buccal samples taken before and after individuals underwent tooth extraction and were given a synthetic GC, dexamethasone, prior to the procedure. We employed the Illumina EPIC array, which assays >850,000 CpG sites, and we thus substantially expanded the coverage of the genomic landscape compared to earlier work.

### **Methods**

### **Participants and sample collection**

Two cohorts of individuals exposed to synthetic glucocorticoids were used and both were approved by the University of Iowa's Human Subjects Research Institutional Review Board. Written informed consent was obtained. The first cohort included individuals recruited from the dental clinic (DC). These subjects underwent tooth extraction procedure, and 10 mg of dexamethasone was administered prior to surgery. From 30 individuals, buccal tissue was collected with swabs (Puritan, 25–1506 1PF TT MC) before and after dental surgery. Buccal samples were chosen because they are more easily obtained than blood samples, and the dental procedure patients had difficulty producing saliva for sampling. Subject characteristics from the DC cohort are in Table 1. Depressive symptoms and childhood adversity were measured with the Quick Inventory of Depressive Symptomatology Self-Report (QIDS-SR-16) scale and the Adverse Childhood Experiences (ACE) questionnaires, respectively.

The second cohort (NSG) included twenty-one subjects with medically intractable epilepsy undergoing neurosurgery who were recruited for a separate study between March 2014 and April 2017 at the University of Iowa Hospitals and Clinics. Before and after dexamethasone exposure, whole blood samples were collected in EDTA tubes, saliva samples with the Oragene DISCOVER™ kit (DNA Genotek Inc., OGR-500), and buccal tissue with swabs (Puritan, 25–1506 1PF TT MC). Resected brain tissue samples were taken within the operating room before dexamethasone exposure and between 30 minutes to 3 hours after. In total, both pre- and post-samples were collected for blood ( $n = 18$ ), buccal tissue ( $n = 13$ ), saliva ( $n = 21$ ), and brain ( $n = 10$ ). Samples were immediately stored and transported on dry ice and a portion of each brain region was sent to pathology to exclude tissues with malignancy. All samples were stored at −80°C. NSG sample characteristics are in Table 2.

### **Methylome assays**

Genomic DNA was extracted from whole blood, buccal, saliva, and brain tissues with the MasterPure™ DNA extraction kit (Epicenter, MCD85201) following the respective protocols for each tissue type. DNA quality was assessed with NanoDrop spectrometry and quantified with the Qubit™ dsDNA Broad Range Assay Kit (ThermoFisher Scientific, Q32850). For each sample, 500 ng of DNA was bisulfite converted with the EZ DNA Methylation™ Kit (Zymo Research, D5002). The Infinium HumanMethylationEPIC BeadChip™ Kit (Illumina, WG-317–1002) was used to analyze genome-wide DNAm in all brain, blood, saliva, and buccal samples. The arrays were scanned with the Illumina iScan platform.

The methylation data were processed with the R packages Minfi and RnBeads 22–24. The two cohorts were analyzed separately. Background correction was performed with the Noob method in Minfi 23, 25. Using RnBeads, probes were filtered out if they: 1) overlapped within 5 bp of a SNP (DC: 21,414; NSG: 21,358 probes), 2) had a detection  $P$ -value  $> 0.01$  or were considered unreliable measures based on RnBeads's greedy-cut algorithm (DC: 26,065; NSG: 18,864 probes), or 3) were context-specific sites (DC: 2,825; NSG: 2,873 probes). After filtering, 816,532 probes remained in the DC cohort and 822,996 in the NSG cohort. Samples were normalized with beta mixture quantile dilation (BMIQ)  $^{26}$ . Samples from the same individual were verified by generating a heatmap cluster of the 65 SNP probes on the array.

### **Statistical analysis**

All statistical analyses were performed in  $R^{27}$ . Differential methylation was assessed with RnBeads using the limma method with a paired analysis by subject  $24, 28$ . A hierarchical linear model was fitted with the empirical Bayes approach. Covariates included age, sex, and surrogate variables estimated with the "be" method  $24, 29$ . Fisher's exact test was performed for the enrichment of glucocorticoid expressed genes. The R package missMethyl was used for pathway analysis with KEGG-defined pathways, and it employs a hypergeometric test taking into account the number of probes per gene 30. For the comparison of DNAm from buccal and brain tissues, the correlation coefficient and associated p-value were calculated with Spearman's test.

### **Results**

### **Genome-wide analysis of buccal samples from dental procedure patients**

Thirty individuals were recruited from the dental clinic, and buccal samples were taken before and after tooth extraction procedure. Subject characteristics can be found in Table 1. Average age was  $25.6 \pm 7.6$  years old. The QIDS-SR depressive symptom score average was 7.6  $\pm$  4.9, and the ACE average score was 2.3  $\pm$  2.3, indicating a relatively young and healthy population. DNA samples were run on the Illumina EPIC array, and DNAm differences between pre- and post-dexamethasone samples were analyzed with the limma method in RnBeads, with a paired analysis adjusted for age, sex, and estimated surrogate variables.

For the analysis of individual CpGs, we set a Bonferroni genome-wide significance threshold for this experiment at  $p < 6.12 \times 10^{-8}$  based on the 816,532 probes left after preprocessing, and we further only considered CpG changes meaningful if they showed DNAm differences greater than 10%. Figure 1 shows the distribution of individual CpG differences and their corresponding raw p-value. Five CpGs were genome-wide significant with differences greater than 10%, and all five also showed a decrease in methylation after dexamethasone exposure (Table 3). The top finding is an intergenic CpG closest to the zinc finger protein 438 (ZNF438; average DNAm: pre-steroid 68%, post-steroid 54%; p-value =  $3.21 \times 10^{-9}$ ). Subsequent top findings that surpassed the Bonferroni threshold included CpGs in or near the following genes: KLHDC10 (average DNAm: pre-steroid 85%, poststeroid 74%; p-value =  $1.11 \times 10^{-8}$ ), miR-544 or *CRABP1* (average DNAm: pre-steroid 82%, post-steroid 70%; p-value =  $1.56 \times 10^{-8}$ ), DPH5 (average DNAm: pre-steroid 73%, post-steroid 58%; p-value =  $3.66 \times 10^{-8}$ ), and WDFY2 (average DNAm: pre-steroid 92%, post-steroid 81%; p-value =  $4.36 \times 10^{-8}$ ). For a more comprehensive list of the top differential methylation results, Supplemental Table 1 contains all the CpGs with the less conservative FDR-adjusted p-value of 0.01 and differences greater than 10%.

We further investigated whether psychiatric risk genes showed evidence for differential methylation from dexamethasone administration. In this analysis, CpGs were considered significant if their FDR-adjusted p-value was  $< 0.05$  and they had differences greater than 10%. Among the genes considered (FK506 binding protein 5 [FKBP5], the glucocorticoid receptor [NR3C1], brain-derived neurotrophic factor [BDNF], the serotonin transporter  $[\mathcal{SLC}6A4]$ , and corticotropin-releasing hormone  $[\mathcal{CR}H]$ ), there were three significant CpGs in FKBP5, five in NR3C1, and one in BDNF (Table 4).

Additionally, the genes implicated in GWASs of schizophrenia, bipolar disorder, and MDD (N=366) were analyzed (Supplemental Table  $2^{31-33}$ . 108 of the genes had at least one CpG that was differentially methylated, and 28 genes had at least one CpG that was differentially methylated and showed correlation between buccal and brain tissue DNAm. This included 240 individual CpGs that were differentially methylated, and 35 that were differentially methylated and correlated (Supplemental Table 3).

### **Pathway analysis**

A pathway analysis was performed with KEGG-defined pathways using the missMethyl R package to correct for the different numbers of probes per gene on the array <sup>30</sup>. Input for the analysis included CpGs with differences greater than 10% and FDR-adjusted p-values < 0.01 ( $N = 2,587$ ). Top pathways included olfactory transduction (FDR-adjusted p-value =  $1.15 \times 10^{-3}$ ), pentose and glucuronate interconversions (FDR-adjusted p-value = 4.31  $\times$ 10<sup>-3</sup>), ascorbate and aldarate metabolism (FDR-adjusted p-value =  $4.31 \times 10^{-3}$ ), steroid hormone biosynthesis (FDR-adjusted p-value =  $4.31 \times 10^{-3}$ ), and retinol metabolism (FDRadjusted p-value  $= 0.11$ ; Table 5).

### **Overlap with genes differentially expressed following GC exposure**

Differential gene expression within blood samples following administration of 1.5 mg dexamethasone has been investigated in two human studies 34, 35. These studies yielded 1,052 genes that were differentially expressed in response to dexamethasone across both studies and that are covered on the Illumina EPIC array. As with the pathway analysis, genes that were included had at least one CpG that was differentially methylated in our data at FDR-adjusted p-value < 0.01 and a DNAm difference > 10%. Of these, 154 genes (15%) also showed a significant proportion with differential methylation after dexamethasone exposure within our study (Fisher's exact p-value =  $8.63 \times 10^{-4}$ ; Supplemental Table 4).

### **Analysis of dexamethasone exposure in neurosurgery cohort**

We performed an additional study in a separate cohort of patients given dexamethasone. In this cohort, patients undergoing neurosurgical brain resection for treatment refractory epilepsy were administered dexamethasone during surgery. Blood, buccal, saliva, and brain samples were taken before and after dexamethasone exposure. No genome-wide significant changes in DNAm were found from any of the tissues, though the top differentially methylated CpGs are listed in Supplemental Table 5. Of the CpGs with FDR-adjusted pvalue < 0.01 and differences > 10% from the dental procedure cohort, a subset replicated in additional tissues with differences greater than 10% and at nominal significance. This included 13 CpGs identified in samples from blood, 5 from buccal tissue, 58 from saliva, and 6 from brain (Supplemental Table 6). One CpG in the gene regulating synaptic membrane exocytosis 2 (*RIMS2*) was significant in all three peripheral tissues.

### **Correlation of buccal DNA methylation of top findings to brain tissue DNA methylation**

With the NSG cohort in this paper, a separate analysis was performed of the five genomeside significant results, assessing the degree of correlation between DNAm of buccal and brain tissues ( $n = 21$ ), using a web-based resource we created, IMAGE-CpG ([https://han](https://han-lab.org/methylation/default/imageCpG)[lab.org/methylation/default/imageCpG\)](https://han-lab.org/methylation/default/imageCpG)  $36$ . We observed non-significant moderate correlation between brain and buccal DNAm for the CpG in  $WDFY2$  (cg06335209; rho = 0.5, p-value = 0.07), and low-moderate correlation for the CpG in  $KLHDC10$  (cg01119284;  $rho = 0.3$ , p-value = 0.27).

### **Discussion**

This study assessed the impact of synthetic glucocorticoids on DNAm within humans. Studies in mice have demonstrated that chronic glucocorticoid exposure evokes DNAm changes across the genome in hippocampal and blood tissues  $2<sup>0</sup>$ . In a DNAm comparison of COPD patients with and without glucocorticoid treatment DNAm differences across 511 CpGs were found in blood samples using the Illumina  $27K$  array  $2<sup>1</sup>$ . Individuals with Cushing's syndrome have sustained elevated glucocorticoid levels, and in a case-control Illumina 450K array study, additional DNAm differences were found to be associated with this disorder  $37$ . Our study builds upon these reports by demonstrating across a larger portion of the genome DNAm changes that occur within individuals exposed to a single high dose of glucocorticoids.

Among the top differentially methylated CpGs in this study was one whose nearest gene is ZNF438, a gene implicated in transcriptional inhibition and in regulation of the immune system  $38, 39$ , and both transcriptional control and the immune system are regulated by glucocorticoids. A decrease in methylation was also significant in a CpG within the gene that encodes the cellular retinoic acid binding protein 1 (CRABP1), a protein involved in retinoic acid-mediated proliferation and differentiation 40. CRABP1 binds to and catalyzes the destruction of retinoic acid 41. Of interest, in rats exposed to chronic unpredictable mild stress, *Crabp1* was decreased in the hypothalamus  $42$ . Though not FDR-significant, retinol metabolism was also one of the top pathways enriched from the dexamethasone-induced DNAm findings in this study. Retinoic acid regulates gene transcription and is important in processes including the development of the central nervous system  $43$  and neuronal plasticity 44–46. Hyperactivity of the HPA axis along with anxious and depressive behaviors have been seen in rats treated with retinoic acid <sup>47</sup>.

Intriguingly, steroid hormone biosynthesis was also among the top pathways, indicating that high dose glucocorticoid treatment may influence epigenetic regulation of the HPA axis. This is also supported by the differential methylation seen in FKBP5 and NR3C1. In addition, steroid hormone biosynthesis involves the production of other cholesterol-derived hormones in addition to cortisol, including estradiol, aldosterone, and testosterone, all of which interact with and mediate the stress response  $48-54$ . The enrichment of steroid hormone biosynthesis genes suggests these genes may be regulated by dexamethasoneinduced DNAm changes.

A major limitation of this study is the potential confounding of cell-specific DNAm effects. Buccal samples were used, and although they are primarily epithelial cells, contamination from saliva, which predominantly includes white blood cells, could impact methylation levels. Additionally, the sample size was limited  $(n = 30)$ , and a replication of the findings within the same dental procedure context was not possible. Therefore, we included the additional NSG analysis that involves the analysis of three peripheral tissues and resected brain tissue. However, this cohort was also limited in sample size (blood  $(n = 18)$ , buccal  $(n$  $= 13$ ), saliva (n = 21), and brain samples (n = 10), and differences with the DC cohort include different dexamethasone doses and timing of the post-sample collection. Furthermore, the brain regions resected were not uniform among all the subjects. Future

Our study investigated DNAm differences across the genome with the Illumina EPIC array in individuals given synthetic glucocorticoids. Significant DNAm changes were observed in genes involved in transcriptional inhibition, the immune system, cellular proliferation, and retinoic acid metabolism. High doses of synthetic glucocorticoid exposure may also impact the HPA axis through epigenetic modifications in steroid hormone biosynthesis genes.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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### **Figure 1.**

The degree of significance of all individual CpGs represented on the Illumina EPIC array and their methylation differences after dexamethasone exposure in buccal samples from individuals who underwent tooth extraction  $(n = 30)$ .

### **Table 1.**

Clinical characteristics of the dental procedure study participants  $(n = 30)$ 



# **Table 2.**

# Subject and sample characteristics of the neurosurgery study participants. **Subject and sample characteristics of the neurosurgery study participants.**

Sample tissues available for each individual varied. Details of analyzed samples are shown. Timing of when peripheral tissues were obtained are listed as Sample tissues available for each individual varied. Details of analyzed samples are shown. Timing of when peripheral tissues were obtained are listed as administration of the synthetic glucocorticoid and when the second (post) brain sample was resected was recorded. Abbreviations. GC: glucocorticoid, "days". Day 0 is indicative of samples taken the day of surgery, after surgery is completed. For brain samples analyzed, the time (in minutes) between "days". Day 0 is indicative of samples taken the day of surgery, after surgery is completed. For brain samples analyzed, the time (in minutes) between administration of the synthetic glucocorticoid and when the second (post) brain sample was resected was recorded. Abbreviations. GC: glucocorticoid, FCD: focal cortical dysplasia. FCD: focal cortical dysplasia.



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# **Table 3.**

Top differentially methylated CpGs associated with dexamethasone exposure in buccal samples from the dental procedure cohort. Top differentially methylated CpGs associated with dexamethasone exposure in buccal samples from the dental procedure cohort.



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# **Table 4.**

Methylation of pre- and post-dexamethasone buccal samples of CpGs within candidate genes from psychiatric disorders which were significant at FDR-Methylation of pre- and post-dexamethasone buccal samples of CpGs within candidate genes from psychiatric disorders which were significant at FDRadjusted p-value < 0.05 and with differences greater than 10% before and after dexamethasone exposure in the dental procedure cohort. adjusted p-value < 0.05 and with differences greater than 10% before and after dexamethasone exposure in the dental procedure cohort.



### **Table 5.**

### **Significance of top KEGG-defined pathways enriched for genes with CpGs differentially methylated after dexamethasone exposure in buccal samples from individuals who underwent tooth extraction.**

 $N =$  number of genes in the pathway. DM = number of genes with differentially methylated CpGs within the associated pathway.

