

Semisynthetic Sphingolipids Prevent Protein Kinase C Translocation and Neuronal Damage in the Perifocal Area following a Photochemically Induced Thrombotic Brain Cortical Lesion

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A vascular thrombotic lesion localized to the rat sensorimotor cortex was produced following intravenous injection of the photosensitive dye rose bengal, and its activation with a small beam of high-intensity white light focused to the skull overlaying the sensorimotor cortex. In the sensorimotor cortex at various times after the triggering event, two contiguous brain regions with different degree(s) of neuronal damage can be distinguished: (1) a primary thrombotic ischemic core where the majority of cells are dead and (2) a penumbra region surrounding the core lesion in which a slower progressive neuronal degeneration is occurring. Importantly, in both brain regions the neuronal degeneration is associated with the activation and persistent translocation of protein kinase C (PKC) as indicated by an increase in 4- β -³H-phorbol-12,13-dibutyrate (³H-PDBu) binding. Moreover, the demonstration that in the area penumbra the neuronal degeneration and the persistent translocation of PKC can be inhibited by a pretreatment with dizocilpine (i.e., MK-801) indicates that the dynamics of the progression of the neuronal degeneration are maintained by glutamate accumulating in the extraneuronal fluids. MK-801 additionally prevents the transcriptional activation of several immediate-early genes (IEGs) (e.g., *c-fos*) and their cognate third nuclear messenger (i.e., *c-Fos*) expression present in the hemisphere ipsilateral to the lesion. On the other hand, LIGA4 and LIGA20 derivatives of GM1 lysoganglioside reduce the membrane translocation of PKC and the neuronal damage in the penumbra area, but fail to change the increase of IEG expression in the cortex ipsilateral to the lesion.

[Key words: focal ischemia, gangliosides, protein kinase C, excitotoxicity, *c-Fos*, neuronal damage]

Acute focal brain ischemia is an unexpected, sudden event, the preventive treatment of which is virtually impossible. However, one can attempt to reduce pharmacologically the consequences of a focal ischemic/hypoxic brain insult by targeting drugs to events restricted to the area penumbra. This area often lacks precise boundaries, yet surrounds the primary infarcted core and is characterized by edema, reduced blood flow, and reduced glucose utilization and includes damaged neurons at risk of

delayed death (Astrup et al., 1981; Dietrich et al., 1986, 1987; Siesjo et al., 1989). Many factors are thought to participate in the induction of neuronal degeneration and death in the area penumbra including reduced oxygen availability, destabilization of free intraneuronal Ca²⁺ homeostasis, activation of Ca²⁺-dependent lipases and proteases, excessive production of arachidonic acid metabolites and free radicals, nitric oxide formation (Rothman and Olney, 1987; Choi, 1988; Ginsberg and Busto, 1989; Siesjo and Bengtsson, 1989; Siesjo et al., 1989; Bredt and Snyder, 1992), and, very important, an increase in the interstitial fluid concentration of glutamate and other depolarizing excitotoxins (Benveniste et al., 1984; Rothman and Olney, 1986; Choi and Rothman, 1990).

Glutamate is the major excitatory neurotransmitter in mammalian brain, and during neuronal firing, quanta of this neurotransmitter are released intermittently from nerve terminals (Fonnum, 1991) where they open Ca²⁺ cationic channels, which in turn results in rapid oscillations of free cytosolic calcium ([Ca²⁺]_i) in the postsynaptic neuron (Connor, 1992; Miller, 1992). These [Ca²⁺]_i oscillations are generally the consequence of glutamate binding to ionotropic receptors permeable to Ca²⁺ and Na⁺ or metabotropic receptors coupled to phospholipases, adenylate, and guanylate cyclase (for review, see Manev et al., 1990a). If, however, quanta of glutamate are released from nerve terminals with high frequency and repetitively, such as during an epileptic seizure, the oscillatory behavior of [Ca²⁺]_i in the activated dendritic region of the postsynaptic neuron becomes impaired, and as a result [Ca²⁺]_i tends to accumulate within this postsynaptic region (Muller and Connor, 1991; Connor, 1992; Miller, 1992). One of the first events triggered by a sustained accumulation of intraneuronal [Ca²⁺]_i is the coordinated expression of immediate-early genes (IEGs) (Szekely et al., 1987, 1990). IEGs encode transcription activating factors, which act as nuclear third messengers and initiate the expression of a variety of nerve growth factors [i.e., brain-derived nerve growth factor (BDNF), NGF] (Dal Toso et al., 1988; Cheng and Mattson, 1991; Comelli et al., 1992) operative in neurotrophic and neuroplastic events, possibly including the modification in the profile of mRNAs encoding for ionotropic receptors expressed in the neurons (Memo et al., 1991).

However, if ionotropic glutamate receptors are continuously stimulated by high concentrations of interstitial glutamate, as it occurs in the area penumbra during focal brain ischemia, then Ca²⁺ continues to enter the neurons and diffuse from dendrites to soma (Miller, 1992) where it may contribute to an irreversible destabilization of [Ca²⁺]_i homeostasis, which in turn shuts off the coordinate expression of IEG, and accelerates a chain of

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pathological biochemical events that may ultimately lead to irreversible structural neuronal damage and death (Rothman and Olney, 1986; Choi, 1988; Siesjo et al., 1989; Manev et al., 1990a). One pathological event, which presumably is triggered by the influx of $[Ca^{2+}]_i$, elicited by abusive glutamate receptor stimulation and contributes to the sustained, protracted, and irreversible destabilization of $[Ca^{2+}]_i$ homeostasis in neurons, is an exaggerated and prolonged membrane translocation and activation of protein kinase C (PKC) (Vacarino et al., 1987; Manev et al., 1989, 1990a; Favaron et al., 1990; Mattson, 1991).

Amelioration of glutamate-induced neuronal damage, including a reduction of $[Ca^{2+}]_i$ homeostasis destabilization, has been observed following (1) an acute pretreatment of neurons *in vitro* with staurosporine and H7, two substrate competitive PKC inhibitors (Mattson, 1991; Candeo et al., 1992); (2) treatment for 24 hr with phorbol-12-myristate-13-acetic ester that downregulates the expression of PKC in neurons (Favaron et al., 1990); or (3) pretreatment with gangliosides that reduce the duration of the pathologically persistent translocation of PKC from cytosol to the neuronal membrane (Favaron et al., 1988; Manev et al., 1990a,b). Moreover, H7, staurosporine, or gangliosides protect neurons in culture from damage elicited by increased protein phosphorylation induced by okadaic acid, a potent blocker of phosphoprotein phosphatases (Candeo et al., 1992).

The action of gangliosides is of considerable interest because in primary neuronal cultures prepared from newborn rats, either natural gangliosides (GM1, GD1a, GT1b) or, more potently and efficiently, two semisynthetic GM1 lysogangliosides (LIGA4 or LIGA20) prevent glutamate neurotoxicity without blocking the glutamate activation of ionotropic or metabotropic receptors (Favaron et al., 1988, 1990; Manev et al., 1990b). This protective effect may be related to the ability of glycosphingolipids to shorten the protracted translocation of PKC and facilitate $[Ca^{2+}]_i$ homeostasis stabilization (Hannun and Bell, 1987; Manev et al., 1989, 1990b; De Erausquin et al., 1990). Although the mechanism whereby PKC affects the regulation of $[Ca^{2+}]_i$, has not yet been identified, it is hypothesized that the PKC-mediated phosphorylation may affect directly or indirectly the function of plasma membrane enzymes, such as Ca^{2+} -dependent ATPase, the Na^+/Ca^{2+} exchanger and the Na^+/K^+ ATPase, which collectively may participate in the extrusion of Ca^{2+} from neurons.

The neuroprotective action of the ganglioside GM1 has been documented in several *in vivo* models of brain ischemia (Greenberg et al., 1987; Karpiak et al., 1990; Leon et al., 1990; Carolei et al., 1991), and although the translocation of PKC to the neuronal membranes has been observed in many of these models (Louis et al., 1988; Joo et al., 1989; Onodera et al., 1989; Cardell et al., 1990; Crumrine et al., 1990; Olah et al., 1990; Zivin et al., 1990; Nabeshima et al., 1991; Ohno et al., 1991; Domanska-Janik and Zaleska, 1992), in only one model, that is, in fetal rat brain after global ischemia, has it been shown that the increase in PKC can be blocked by pretreatment of the pregnant mothers with GM1 (Magal et al., 1990). To establish whether the pathologically persistent translocation of PKC in response to excitotoxins play a role *in vivo* in the area penumbra, we have selected as a model of focal brain ischemia the photochemically induced focal thrombosis of the rat cerebral cortex (Watson et al., 1985; De Ryck, 1990). With this model we provide evidence that in the area penumbra the neurotoxic action of glutamate can be reduced by downregulating PKC with LIGA4 and LIGA20. We have used these semisynthetic GM1 lysoganglioside derivatives instead of the natural ganglioside

GM1 for two reasons: (1) we have previously demonstrated that LIGA4 and LIGA20 are more potent, faster-acting, and longer-lasting antiexcitotoxic agents than GM1 (Manev et al., 1990b), and (2) LIGA4 and LIGA20 penetrate the blood-brain barrier significantly better than the natural gangliosides and are metabolized at a much slower rate (half-life, 2–3 d) (Polo et al., 1992). In the present study, we have compared the action of LIGA4 and LIGA20, both of which do not block glutamate receptors (Manev, 1990b), with that of a selective allosteric antagonist of NMDA-selective glutamate ionotropic receptors such as dizocilpine (MK-801) (Wong et al., 1986; Kemp et al., 1987), as well as an isosteric antagonist of α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) and kainate-selective glutamate ionotropic receptors such as 2,3-dihydroxy-6-nitro-7-sulfamoyl-benzo(f)quinoxaline (NBQX) (Sheardown et al., 1990; Honore et al., 1991).

Materials and Methods

Photochemical-thrombotic lesion. Male Sprague-Dawley rats weighing 260–300 gm were used throughout these experiments. Our procedure for producing the ischemic lesion is largely based upon that described by Watson et al. (1985) with minor modifications. In brief, rats were deeply anesthetized following intraperitoneal injection of 360 mg/kg of chloral hydrate (Sigma, St. Louis, MO) and placed securely in a stereotaxic apparatus. Care was taken to position the head directly under the vertically mounted light source. The light source itself consisted of the power supply (single output rated, 15 V DC, 8.4–10.4 A), fan, dichroic halogen bulb with parabolic reflector (12 V, 100 W; wavelength, 400–1200 nm with peak energy at 1000 nm; 3400°K), focal lens, and optical diaphragm. The scalp was retracted and the center of the light was stereotaxically positioned on the exposed flat skull, 1.8 mm posterior to bregma and 2.8 mm left of the midline. In this position the underlying brain area corresponds to the left parietal sensorimotor neocortex (Paxinos and Watson, 1982). After injection into the tail vein of the photosensitive dye rose bengal (disodium 4,5,6,7-tetrachloro-2',4',5',7'-tetraiodofluorescein; Sigma, St. Louis, MO) (80 mg/kg), the focused light was turned on for 10 min. Following light irradiation animals were left to recover from anesthesia at 37°C in a temperature-regulated chamber. Thereafter, the rats were returned to their cages until killed, following survival times of 1, 3, 6, 12, and 24 hr. The lesioned animals could be broadly divided into a nontreated and a treated group, with the latter receiving either antagonists of glutamate receptors MK-801 (Merck, West Point, PA), NBQX (Novo-Nordisk, Malov, Denmark), or the semisynthetic gangliosides LIGA4 and LIGA20 (Fidia, Abano Terme, Italy). In order to evaluate the specificity of the photochemically induced thrombotic ischemia, several control experiments also were performed and consisted of (1) intravenous injection of saline in the absence of rose bengal and then exposing the rats to light for 10 min; (2) intravenous injection of rats with rose bengal but without exposure to the light; (3) injecting rats intravenously with MK-801 (8.3 μ mol/kg), LIGA4, or LIGA20 (35 μ mol/kg) but in the absence of dye injection or exposure to light; and (4) placing rats in stereotaxic holder but in the absence of drugs, dye injection, or exposure to light.

Tissue preparation. Following appropriate survival times rats were anesthetized with chloral hydrate and killed by cardiac perfusion with 0.9% saline in 0.05 M phosphate buffer (PB) followed by 4% buffered paraformaldehyde (250 ml). Alternatively, some rats were not perfused but were killed by exsanguination. In both instances the brains were removed and placed in the fixative for 24–48 hr. Following fixation, brains were placed in 30% buffered sucrose and subsequently sectioned on a sliding microtome to a thickness of 40 μ m. In most instances the brains were sectioned in a coronal plane throughout the rostrocaudal extent of the lesion. Alternatively, some brains were sectioned in a sagittal or horizontal plane. All tissue sections were collected in 24-well culture dishes and stored at -20°C in a cryoprotectant solution consisting of ethylene glycol/glycerol/phosphate buffer.

Autoradiography. Autoradiographic studies using 4- β - ^3H -phorbol-12,13-dibutyrate (^3H -PDBu) were carried out as described by Worley et al. (1986) with minor modifications. In brief, free-floating tissue sections adjacent to those used for immunocytochemistry were rinsed 4 \times 5 min in 0.05 M PB. Tissue sections were then incubated for 1 hr at

33°C in a solution of 50 mM Tris-HCl (pH 7.7), 100 mM NaCl, 1 mM CaCl₂, 0.1% fatty acid-free bovine serum albumin, and 1, 2.5, 5, 10, or 20 nM ³H-PDBu (18.6 Ci/mmol; New England Nuclear, Boston, MA). Nonspecific binding was assessed by adding 1 μM "cold" PDBu to the incubation solution. Following incubation, sections were washed 2 × 2 min in ice-cold 0.05 M PB, rinsed in ice-cold 50 mM Tris-HCl (pH 7.7), mounted onto gelatin-coated glass slides, and dried under a stream of cold air. Autoradiograms were obtained by exposing slides with the labeled tissue to ³H-hyperfilm (Amersham) for 7 d at 4°C, after which the film was developed. Tritium-calibrated, polymer-coated scales (Amersham Microscales) were exposed in parallel with tissue sections for quantitation. For each animal at least six individual tissue sections were assayed. The autoradiograms were analyzed using an SAMBA-4000 computerized imaging system (for detail, see Marlier et al., 1991). The quantitative analysis consisted of the determination of the mean optical density (OD per pixel in a linear 0–255 range) within a 2-mm-diameter circle that was positioned within the perifocal region of the sensorimotor cortex. Density measurements were compared with measurements within an equal circle positioned within the same region of cortex on the contralateral (i.e., intact) side. Data are represented as the mean of at least 18 measurements (i.e., 3 animals × 6 sections) for each time point. Lesioned and nonlesioned values were compared using a Duncan multiple-range test.

Prior to our data analysis we performed experiments in which we compared the ability of ³H-PDBu to bind to PKC in nonfixed frozen brain tissue versus brain tissue fixed with 4% paraformaldehyde. Importantly, the degree of resolution was higher in the fixed brain sections; therefore, this procedure was used in all of the experiments reported.

Immunocytochemistry. The avidin-biotin immunocytochemical labeling procedure was adopted from the method of Hsu and Raine (1981) and Armstrong et al. (1987), and consists of the following series of steps: (1) treatment for 30 min in 0.6% hydrogen peroxide (nonperfused tissue only); (2) 30 min incubation with 3% normal rabbit or goat serum diluted in 0.1 M Tris-buffered saline (TBS) containing 0.25% Triton X-100; (3) overnight incubation with antibodies against c-Fos diluted 1:2000 with TBS containing 0.25% Triton X-100 and 1% normal serum; (4) incubation for 1 hr with either rabbit anti-sheep or goat anti-rabbit biotinylated secondary IgG diluted 1:200 in TBS containing 1% normal serum; (5) incubation for 1 hr with avidin biotinylated-peroxidase complex diluted 1:100 with TBS; (6) treatment for 10 min with 0.05% solution of 3,3'-diaminobenzidine, 0.01% hydrogen peroxide, and 0.04% nickel chloride in 0.1 M Tris buffer. Immunolabeled sections were mounted onto gelatin-coated glass slides, air dried, and covered with Permount and glass coverslips.

Specificity of our immunocytochemical labeling procedure was assessed following substitution of the primary antibody with either non-immune serum or with M-peptide antigen for c-Fos rabbit antibody. Both procedures resulted in the absence of any peroxidase reaction product. In the present study we employed two polyclonal antibodies against c-Fos. The first consisted of a polyclonal antibody raised in sheep and was obtained commercially from Cambridge Research Biochemicals. This antibody was generated by injecting sheep with the 16 amino acid synthetic c-Fos fragment MFSGFNADYEASSSR. The peptide was derived from a conserved region of both mouse and human c-Fos and was conjugated to keyhole limpet hemocyanin via the C-terminal cysteine. The second c-Fos antibody was generously provided by Dr. M. J. Iadarola, National Institutes of Health. This polyclonal antibody was raised in rabbits against the synthetic peptide KVEQLS-PEEEKRRIRIRNKMAAA and was affinity purified against the same antigen (Earnest et al., 1990).

In situ hybridization. Rat *c-fos* riboprobe was labeled with ³⁵S-CTP (>1000 Ci/mmol; Amersham, Arlington Heights, IL) at a specific activity of 2–3 × 10⁸ cpm/μg for both "sense" and "antisense" probes. For details of the transcription reaction, see the protocol provided by Promega. The *c-fos* probe was generously provided by Dr. Dennis Grayson (FGIN, Georgetown University) and represents the 160 nucleotide antisense runoff transcript of the 3' untranslated region of the mRNA with Sp6 RNA polymerase following linearization with EcoRI. For the *in situ* hybridization reaction, "free-floating" tissue sections adjacent to those employed for immunocytochemical or autoradiography studies were treated 2 × 3 min in 0.1 M glycine/PB; 15 min in Triton X-100/PB; 30 min in 1 μg/ml proteinase K (37°C); and 5 min in 4% paraformaldehyde/PB. Following each step tissue sections were rinsed in 0.1 M NaPO₄ buffer (pH 7.4). Prehybridization was carried out for at least 1 hr at 55°C in 1.67 × SSPE (1 × = 0.18 M NaCl/10 mM NaPO₄, pH

7.4/1 mM EDTA), 50% deionized formamide, 1 × Denhart's, 25 mM dithiothreitol (DTT), 10% PEG-8000, 0.1% SDS, and 100 μg/ml denatured sheared herring sperm DNA. Tissue was hybridized for 16–18 hr at 55°C in prehybridization solution to which 10 μl of denatured probe (4 min at 95°C) was added to obtain a total volume equal to 0.25 ml (8–10 × 10⁶ cpm/section). Following hybridization, tissue sections were washed at 55°C in 2 × SSC (1 × = 0.15 M NaCl/0.015 M Na-citrate, pH 7.0) plus 10 mM DTT for 40 min; 25 μg/ml RNase A in 0.5 M NaCl/TE (10 mM Tris/1 mM EDTA, pH 8.0) for 40 min; 2 × SSC plus 5 mM DTT for 2 × 20 min; and 0.1 × SSC plus 5 mM DTT for 2 × 20 min.

Tissue sections were mounted onto glass slides and exposed to DuPont Cronex 4 x-ray film for 3 d. After developing the film the slides were dehydrated through graded alcohol, air dried, and dipped in undiluted Kodak NTB-2 photographic emulsion. The slides were developed 10 d later in D-19 and fixed in F-5 fixative, counterstained for Nissl substance, dehydrated through graded alcohol, coverslipped with DPX mountant, and examined under the light microscope using bright-field optics.

Cytology. Alterations in neuronal density within the area penumbra and the neuroprotective effect of treatment with gangliosides or MK-801 were assessed by counting Nissl-stained cells. Stained cells were counted in photomicrographs of a region immediately adjacent to the lesion and in an equivalent brain area in the contralateral (i.e., intact) hemisphere. Each of the photomicrographs were divided evenly into four regions measuring 125 μm × 300 μm and designated region 1, 2, 3, or 4 such that region 1 was most medial and closest to the lesion and region 4 was most lateral. Within each of these four regions, cell counts were performed by two independent investigators blind to the treatment. The data are expressed as the mean number of cells within a given region. Individual regions were compared within animals and across animal groups using Duncan multiple-range test.

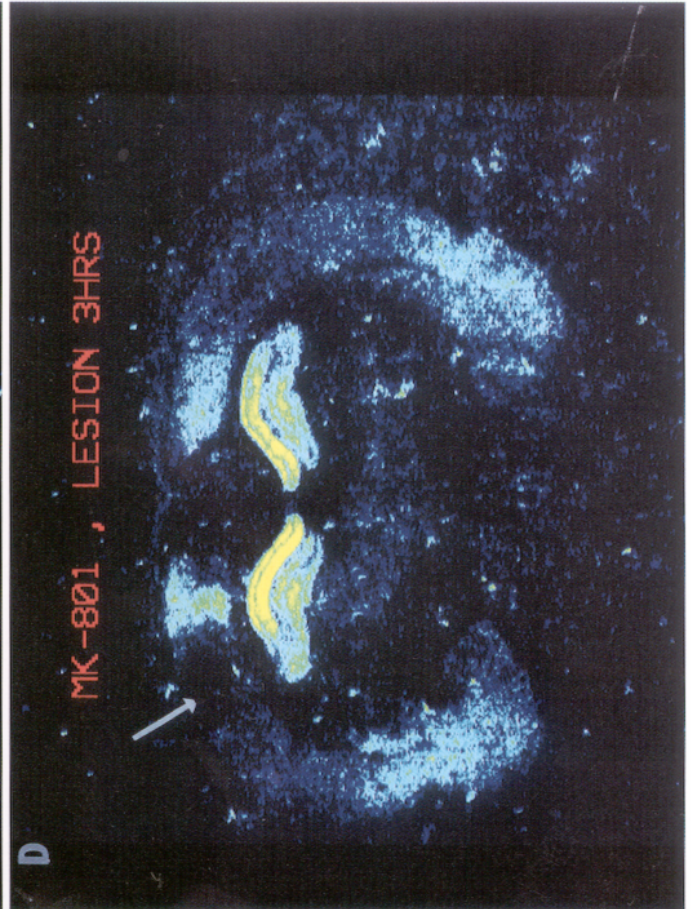
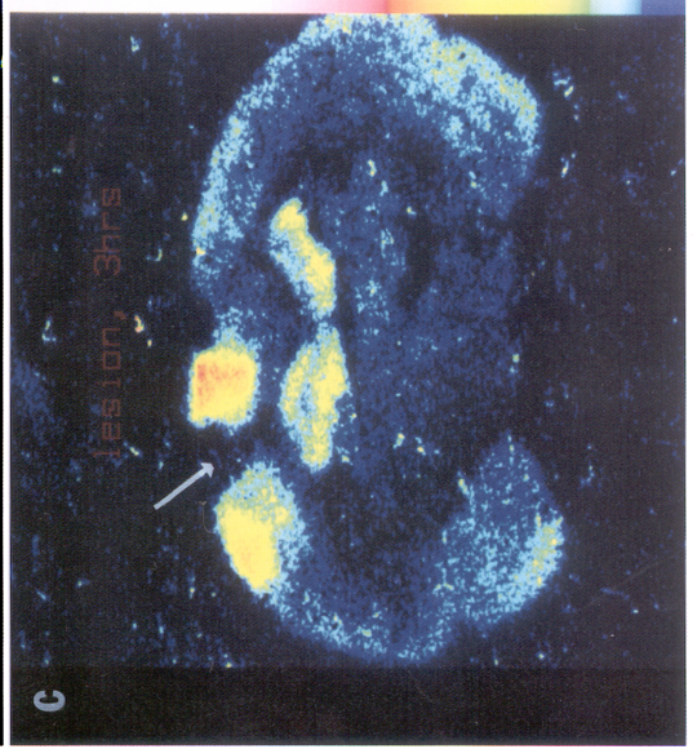
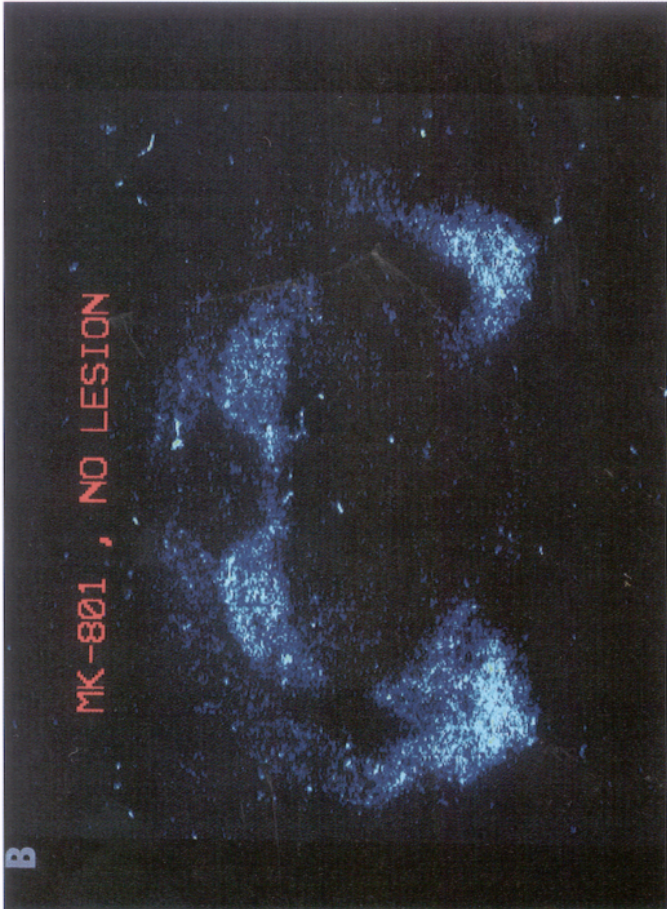
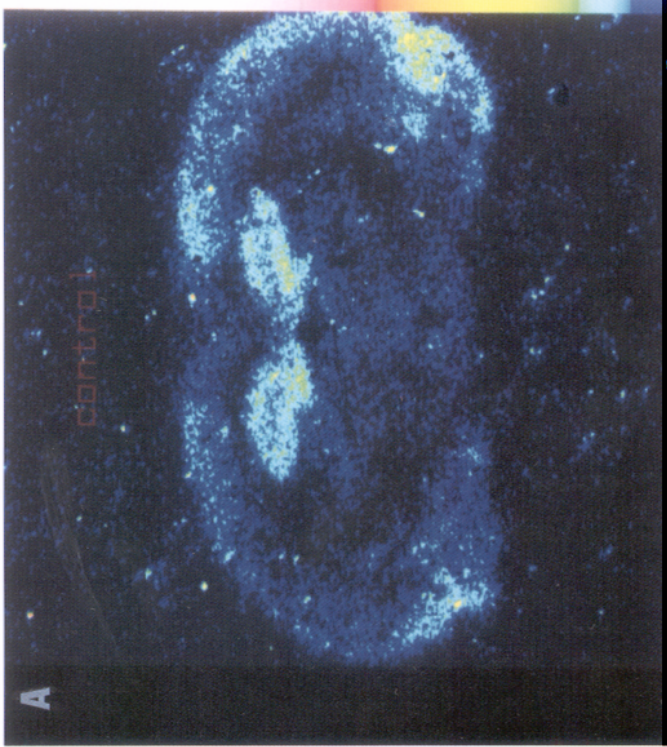
Results

Autoradiography of ³H-PDBu binding

In brains of sham-operated rats (i.e., receiving rose bengal under chloral hydrate anesthesia but no irradiation with light) the distribution of ³H-PDBu binding—a ligand for PKC (Worley et al., 1986)—is heterogeneous with the highest density occurring in the CA1 and CA3 subfields of the hippocampus; next highest in the cerebral cortex, particularly within the entorhinal cortex; followed by a relatively low density in the hippocampal dentate gyrus (Fig. 1A). ³H-PDBu binding is barely detectable in the diencephalon and virtually absent in the cerebellum (data not shown). MK-801 (8.3 μmol/kg, i.v.), injected 4 hr prior to death, decreases the overall intensity of ³H-PDBu binding throughout all brain regions including the hippocampus (Fig. 1B). The distribution of ³H-PDBu binding is virtually identical in the brains of the sham-operated and normal rats.

In the brains of animals with a unilateral photochemical-thrombotic lesion in the sensorimotor parietal cortex, ³H-PDBu binding is maximally increased in the perifocal area (Fig. 1C) and to a lesser extent throughout much of the cerebral hemispheres ipsilateral and contralateral to the lesion, including the cingulate cortex, entorhinal cortex, and hippocampus (Fig. 1C). The intensity of ³H-PDBu binding in the perifocal area is maximum 3 hr after the photochemical lesion and thereafter slowly declines toward basal values (Fig. 2). In all brain areas ³H-PDBu binding can be abolished by incubating the tissue slices with an excess of cold PDBu.

In order to determine whether the increase in binding in the lesioned brain reflected alterations in the affinity, or rather changes in the number of PDBu binding sites, we incubated coronal brain sections with 1, 2.5, 10, and 20 nM ³H-PDBu. The lower concentrations (i.e., 1 and 2.5 nM) were selected because they were close to the apparent *K_d* value of ³H-PDBu to its receptor in tissue, whereas the higher concentrations (i.e., 10 and 20 nM) more closely approximated saturating concentra-



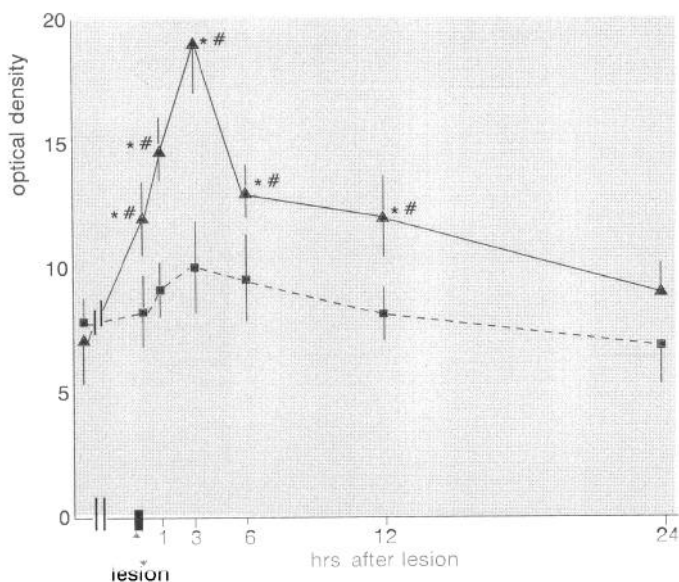


Figure 2. Time-dependent changes in the density of ^3H -PDBu binding in a region of the cingulate cortex adjacent to the infarcted zone and in a comparable region in the contralateral (i.e., nonlesioned) cingulate cortex. For the quantitative analysis, optical densities of color-coded images were analyzed as described in Materials and Methods. The lesion is located in the parietal cortex as indicated in Figure 1. *Solid line* indicates changes of ^3H -PDBu binding in the cingulate cortex adjacent to the infarcted area ipsilateral to the lesion. *Dashed line* indicates changes of ^3H -PDBu binding in the corresponding cingulate cortex contralateral to the lesion. Each point represents the mean \pm SEM of six to eight animals. *Arrows* indicate start and finish of the light illumination (10 min). *, $p < 0.05$ when compared with control group (brains without lesion); #, $p < 0.05$ when the side ipsilateral to the lesion was compared with the contralateral side.

tions for the binding sites (Worley et al., 1986). Despite an increase in the overall background level of binding, the higher concentrations of tritiated ligand yields no difference in the relative increase in ^3H -PDBu binding compared to brain incubated with 1 or 2.5 nM ^3H -PDBu. These results suggest that in the lesioned animals the increased binding likely reflects an increase in the density (B_{max}) and not in the affinity (K_d) for the radio-labeled ligand.

Following pretreatment with MK-801 (8.3 $\mu\text{mol}/\text{kg}$, i.v., 1 hr prior to the lesion), the increase of ^3H -PDBu binding in the perifocal area and in the dentate gyrus of the hippocampus is considerably reduced (Fig. 1D). The MK-801-mediated inhibition of the increase in ^3H -PDBu binding in the perifocal area lasts less than 5–7 hr, after which the ^3H -PDBu binding in the perifocal area rises to an intensity comparable to that observed in rats with photochemical lesion but untreated with MK-801. The increase of ^3H -PDBu binding within the perifocal area also is reduced in rats pretreated 1 hr prior to surgery with 35 $\mu\text{mol}/\text{kg}$ (i.v.) of LIGA4 or LIGA20, or 89.2 $\mu\text{mol}/\text{kg}$ of the non-NMDA glutamate receptor antagonist NBQX (Fig. 3). The data with MK-801 and NBQX indicate that at least in the perifocal

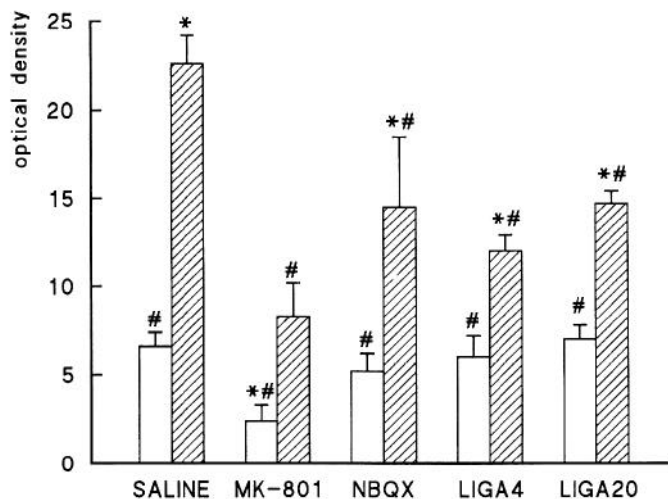


Figure 3. MK-801, NBQX, LIGA4, and LIGA20 reduce the increase of ^3H -PDBu binding in the cingulate cortex adjacent to the infarcted zone (*hatched bars*). The lesion is located in the left parietal cortex as indicated in Figure 1. MK-801 but not NBQX, LIGA4, and LIGA20 decrease ^3H -PDBu binding in cingulate cortex of sham-operated rats (*open bars*). Optical density was calculated as described in Materials and Methods. *, $p < 0.05$ when compared with the sham-operated group treated with saline; #, $p < 0.05$ when compared with the lesioned group treated with saline.

area the rise in ^3H -PDBu binding is linked to the overstimulation of NMDA- and non-NMDA-selective glutamate receptors and is mediated by an excess of free glutamate in the interstitial fluid. Since LIGA20 and LIGA4, injected in sham-operated rats, fail to change the basal ^3H -PDBu binding (Fig. 3), and fail to inhibit glutamate channel gating of NMDA- and non-NMDA-glutamate ionotropic receptor families (Manev et al., 1990b), one has to assume that the inhibition of (the expectant increase) ^3H -PDBu binding elicited by these two semi-synthetic lysogangliosides is due to an action on specific processes resulting from the paroxysmal and continuous stimulation of receptors by the glutamate present in high concentrations in extraneuronal fluids.

c-fos mRNA and c-Fos-like immunoreactivity

Following the focal photochemical-thrombotic lesion we observed a marked increase in c-Fos-like immunoreactivity (c-Fos-Li) as well as *c-fos* mRNA throughout the entire cortex ipsilateral to the lesion (Figs. 4, 5). The increase in c-Fos-Li is evident within 1 hr after the lesion, peaks at 3–6 hr postlesion, begins to decline 12 hr postlesion, and by 24 hr following the lesion is barely detectable. c-Fos-Li is observed within most cortical layers with the exception of layer I and within the pyramidal neurons of layer V where it is conspicuously absent. Importantly, c-Fos-Li is distributed throughout all regions of the cortex ipsilateral to the lesion with particularly high concentrations within the entorhinal and pyriform cortices and is not observed in the hippocampus, basal ganglia, thalamus, hy-

Figure 1. MK-801 blocks the photochemical-thrombotic lesion-induced increase of ^3H -PDBu binding in the left parietal cortical hemisphere (sensorimotor area) of rat brain: computer-generated color-coded images of ^3H -PDBu binding distribution. Color bar shows the calibration (optical density) of ^3H -PDBu binding for these images. *A*, Coronal section at the level of dorsal hippocampus of sham-operated rats. *B*, Sham-operated rats treated with MK-801 (8.3 $\mu\text{mol}/\text{kg}$, i.v., 4 hr before death). *C*, Section 3 hr after unilateral photochemical-thrombotic lesion; *arrow* indicates infarcted area. *D*, Same as *C* but rats were pretreated with MK-801 (8.3 $\mu\text{mol}/\text{kg}$, i.v., 4 hr before death, 1 hr before lesion).

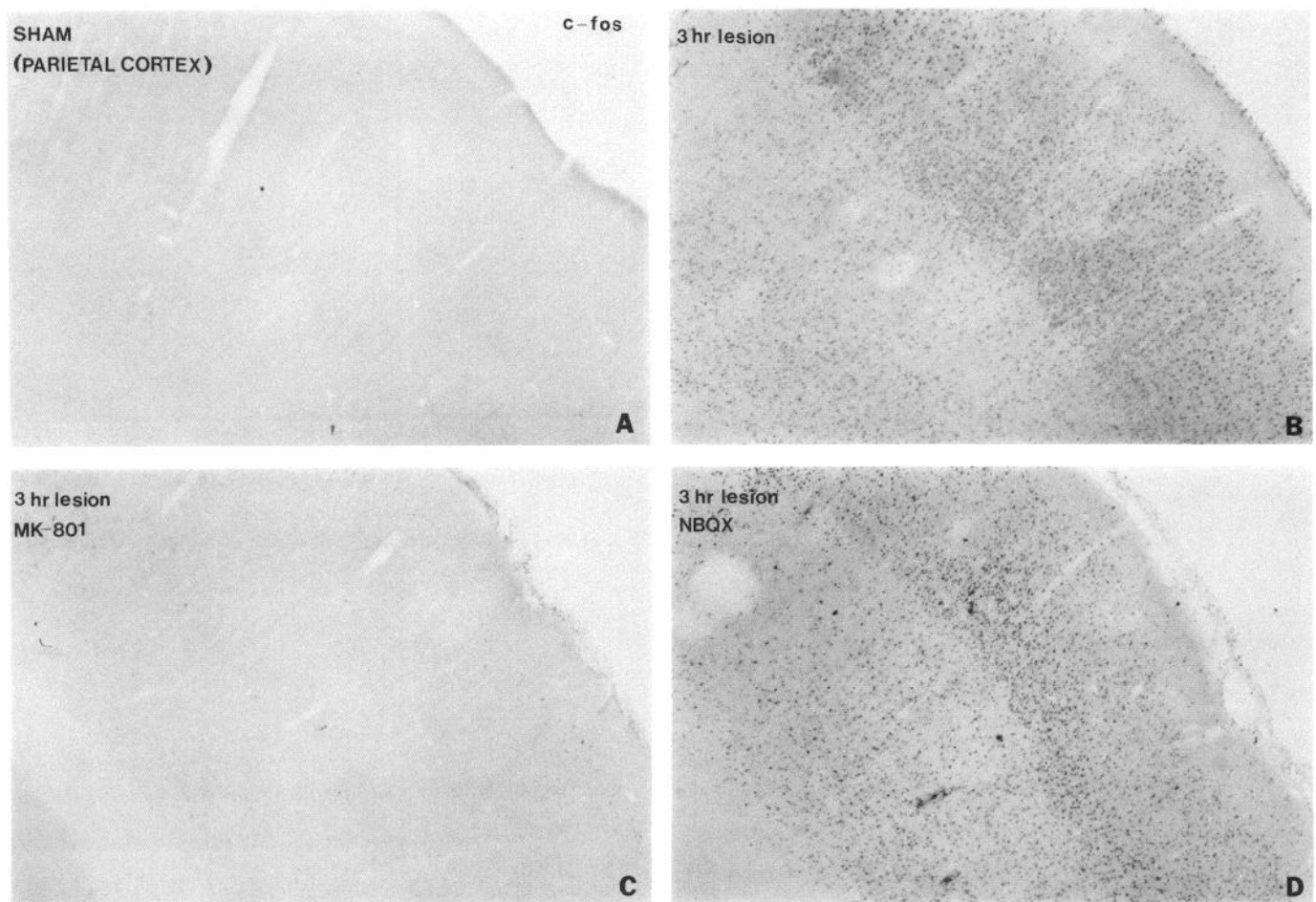


Figure 4. Effect of MK-801 and NBQX on the increase of c-Fos-like immunoreactivity in the cortical hemisphere ipsilateral to the site of the photochemical-induced lesion. Photomicrographs from the area of parietal cortex adjacent to the infarcted zone 3 hr after the lesion (*B–D*) or from the corresponding area of parietal cortex of sham-operated animals (*A*). *A*, In sham-operated rats virtually no c-Fos-Li was observed. *B*, Following ischemic insult c-Fos-Li was dramatically increased. *C*, c-Fos-Li was inhibited in rats receiving MK-801 (8.3 $\mu\text{mol/kg}$, i.v., 4 hr before death). *D*, In contrast, rats receiving NBQX (89.2 $\mu\text{mol/kg}$, i.v., 4 hr before death) displayed a small reduction in c-Fos-Li.

pothalamus, or cerebellum. c-Fos-Li is also conspicuously absent from the infarcted area itself. The widespread distribution of c-Fos-Li throughout the ipsilateral cortex is particularly apparent in sagittal and horizontal sections where c-Fos-Li can be observed extending from the frontal to the occipital poles of the brain. Similarly, the photochemical lesion induces a marked rise in *c-fos* mRNA throughout the ipsilateral cortex in a manner that parallels the expression of the protein (Fig. 5). In contrast, in the contralateral (i.e., nonlesioned) cortex *c-fos* mRNA and c-Fos-Li are expressed with relatively low intensity and do not appear at any time to differ from the basal levels observed in nonlesioned control animals.

The increase of c-Fos-Li as well as *c-fos* mRNA is substantially blocked following pretreatment of rats with MK-801 (8.3 $\mu\text{mol/kg}$, i.v.) (Figs. 4*C*, 5*B*). In contrast, NBQX, even when administered in large doses (89.2 $\mu\text{mol/kg}$, i.v.), marginally prevents the rise in c-Fos-Li and virtually fails to block *c-fos* mRNA expression (Figs. 4*D*, 5*C*). The blocking effect of MK-801, however, is transient, and following a single intravenous injection of the drug, the expression of c-Fos-Li is suppressed for only 5–7 hr after which the protein is once again elevated throughout the ipsilateral (i.e., lesioned) cortex. Importantly, a second administration of MK-801, 4 hr following the initial injection,

helps to maintain c-Fos-Li at near-basal levels even several hours following the lesion. Interestingly, MK-801 induces a slight increase of c-Fos-Li in the contralateral (i.e., nonlesioned) cortex. In contrast, when rats were treated with LIGA4 and LIGA20, in doses that are known to reduce the lesion-induced rise of ^3H -PDBu binding, the increased expression of *c-fos* mRNA (Fig. 5) or c-Fos-Li (not shown) is not suppressed. In control experiments, c-Fos-Li content is not increased by the chloral hydrate anesthesia, or following rose bengal administration in the absence of irradiation, or following administration of LIGA4 or LIGA20 in the absence of any further treatment.

Cytological changes

In Nissl-stained tissue sections we analyzed the cytological changes occurring in the area penumbra adjacent to the infarcted area 3 hr after the lesion. The infarcted area, which is parabolic in shape and approximately 3 mm in diameter, is characterized in Nissl-stained sections by complete neuronal loss and evidence of several pial and parenchymal thrombotic vessels (Fig. 6*C*). In contrast, the cortical regions adjacent to the infarct (i.e., penumbra areas) do not contain thrombotic vessels, yet display a reduction in the number of Nissl-stained neurons. Moreover, many of the remaining neurons in the area penumbra appear

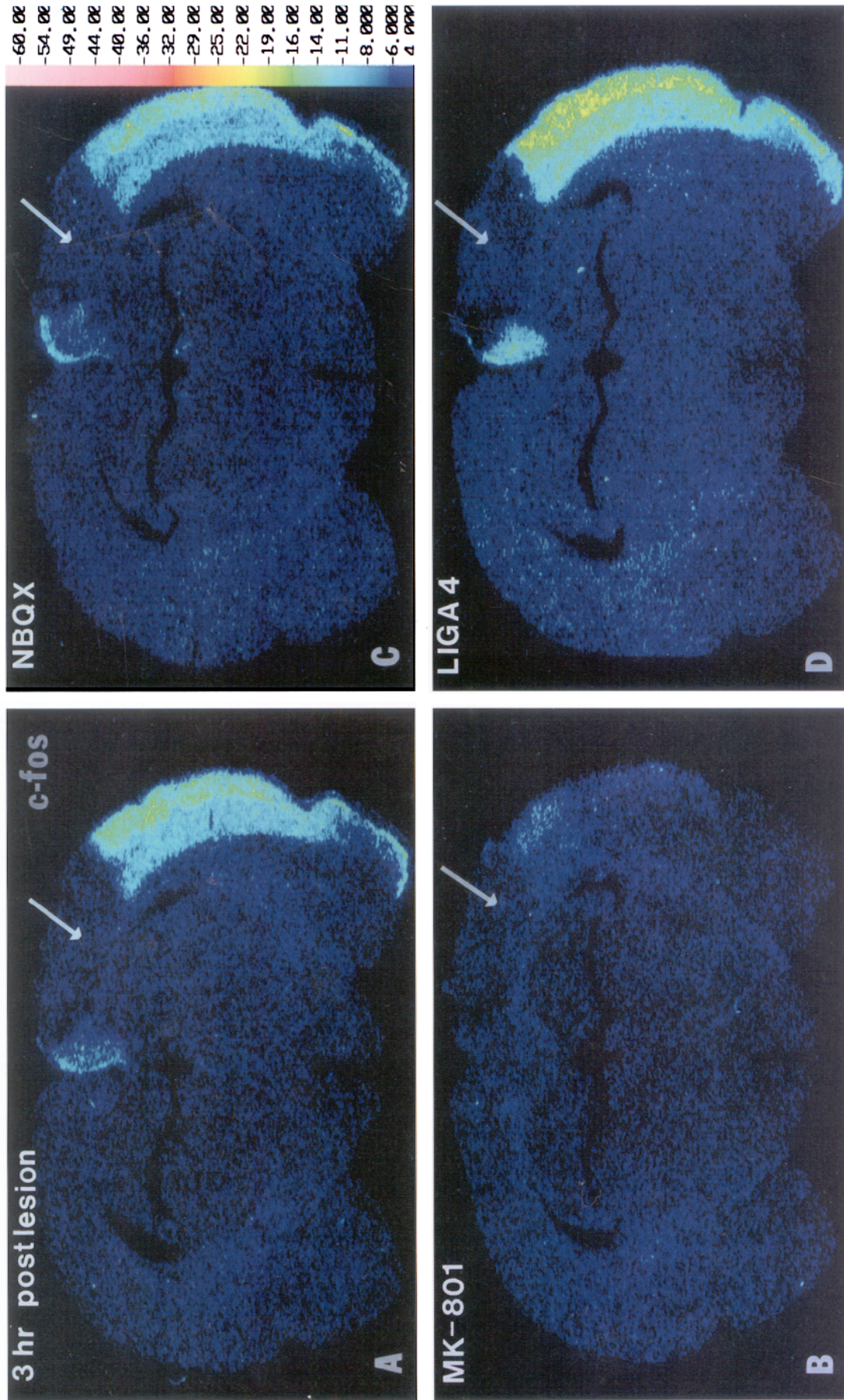


Figure 5. MK-801, but not NBQX or LIGA4, prevents the increase of *c-fos* mRNA expression in the cortical hemisphere ipsilateral to the site of the photochemical-thrombotic lesion. **A**, Computer-generated images of *c-fos* mRNA expression 3 hr after lesion. **Yellow-green areas** correspond to regions of high *c-fos* mRNA expression. **Arrow** indicates the infarcted area. **B**, Rats treated with MK-801 (8.3 $\mu\text{mol/kg}$, i.v., 1 hr before the lesion) and killed 3 hr after the lesion display marked reduction in *c-fos* expression. **C** and **D**, Rats treated with NBQX (89.2 $\mu\text{mol/kg}$, i.v.) (**C**) or LIGA4 (35 $\mu\text{mol/kg}$, i.v.) (**D**) 1 hr before the lesion and killed 3 hr after lesion continue to express *c-fos* mRNA.

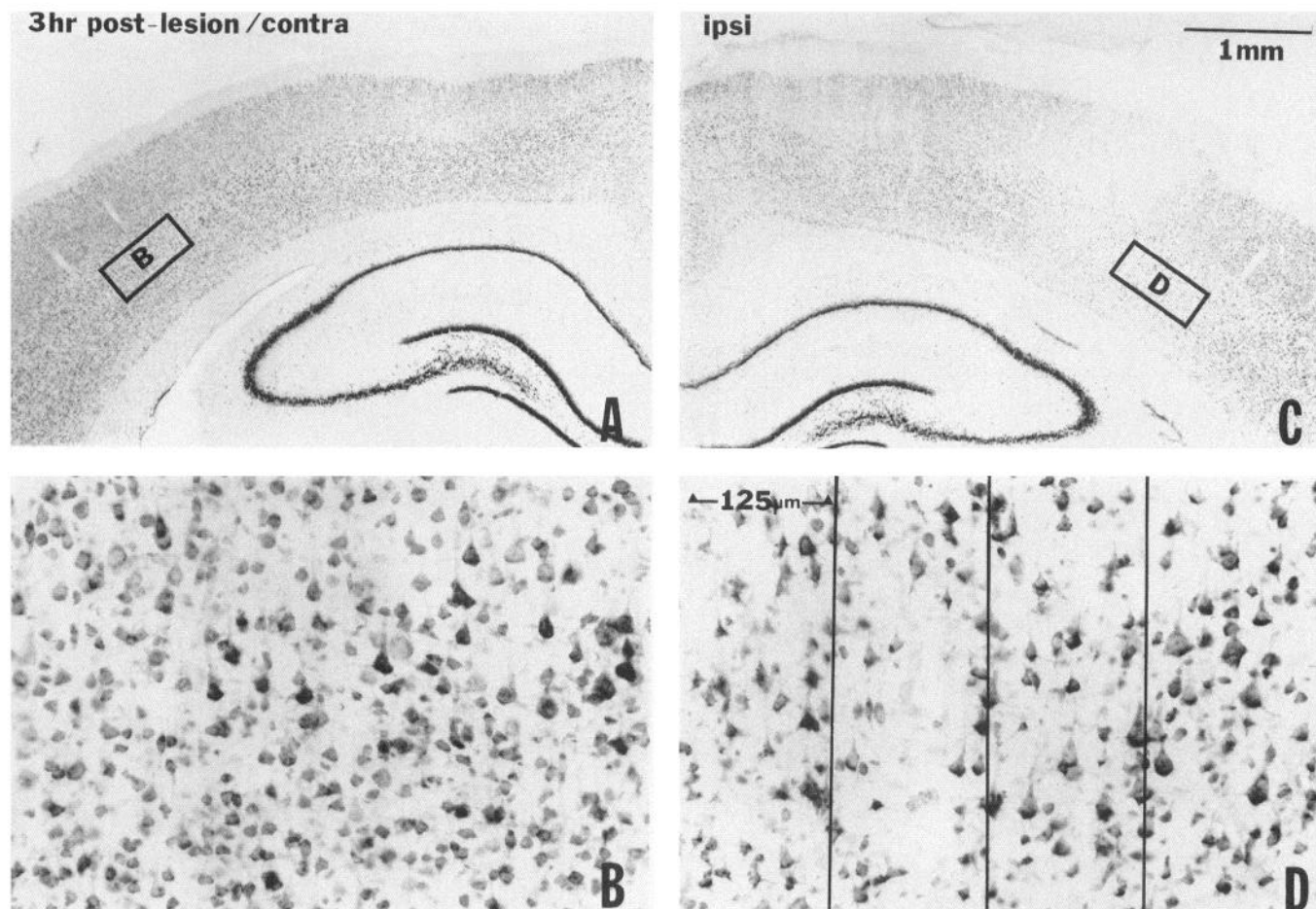


Figure 6. Photomicrographs of Nissl-stained coronal brain hemisections of rats killed 3 hr after lesion, demonstrating evidence of neuronal death in the penumbra area adjacent to the focal infarcted area. *A* and *B*, Photomicrographs showing low- (*A*) and high- (*B*) power magnifications of Nissl-stained tissue section, contralateral to the infarct. *C* and *D*, Low- (*C*) and high- (*D*) power photomicrographs of Nissl-stained tissue section of infarcted parietal cortex. The infarcted area appears pale. Box *B* in photomicrograph *A* and box *D* in photomicrograph *C* correspond to the higher-magnification photomicrographs *B* and *D*. Cell counts were obtained from an area immediately adjacent to the periinfarcted area that corresponds largely to layer V (box *D*). This region, for purposes of cell counting, was divided into four zones, each measuring 0.125 mm × 0.300 mm. Neuronal loss was most evident in the more medial regions. Statistical analysis of seven experiments in which the number of Nissl-positive cells is counted in the penumbra area and in the contralateral side is reported in Table 1.

either swollen or present with a distorted profile (Fig. 6*D*). When MK-801 is administered 1 hr before the lesion, it reduces the loss of Nissl-stained neurons in the penumbra area when examined 3 hr after the lesion (Table 1). In the present study quantitative analysis was restricted to layer V neurons, but our qualitative impression suggested that the neuroprotective action of MK-801 likely extends beyond this region and includes all cortical layers. Although the neuronal damage and neuronal loss appear to progress for at least 24 hr following the lesion, resulting in marked disorganization of the cortical cytoarchitectonics in the area penumbra, we have selected to measure the protective action of MK-801 at 3 hr after the lesion largely because of the short half-life of MK-801 (3–4 hr), which prevents accurate assessment of the neuroprotective action of this compound at later times (i.e., 12 or 24 hr). Importantly, LIGA4 (35 μmol/kg, i.v., injected 1 hr before lesion), although ineffective in reducing the size of the infarcted area, protects Nissl-positive neurons in layer V of the perifocal cortical area against loss and damage (Fig. 7*D*, Table 1). Although the neuroprotective actions of MK-801 and LIGA4 were observed throughout all regions

assayed, the effect was most dramatic in regions most proximal to the lesion, reflecting in part the pronounced cell loss within these regions of saline-treated rats (Table 1). Results similar to those obtained with LIGA4 were obtained by administering an equimolar amount of LIGA20 (data not shown). The protection afforded by administration of LIGA4 and LIGA20, contrary to that of MK-801, is still evident 24 hr after the lesion.

Discussion

In the present study, we produced a focal ischemic lesion in the sensorimotor cortex of rat to be used as a model of microthrombotic brain infarct. The infarct was induced by photochemical-thrombotic occlusion of the intrinsic vessels of this region (Watson and Dietrich, 1990). In the lesioned sensorimotor cortex area, one can recognize two regions: (1) the infarcted core characterized by thrombotic vessels and widespread neuronal death, the latter presumably due to an insufficient blood supply; and (2) the penumbra area, which receives some blood supply from nonoccluded vessels but where edema (Astrup et al., 1981; Pierpaoli et al., 1992), reduced blood flow (Dietrich et al., 1987)

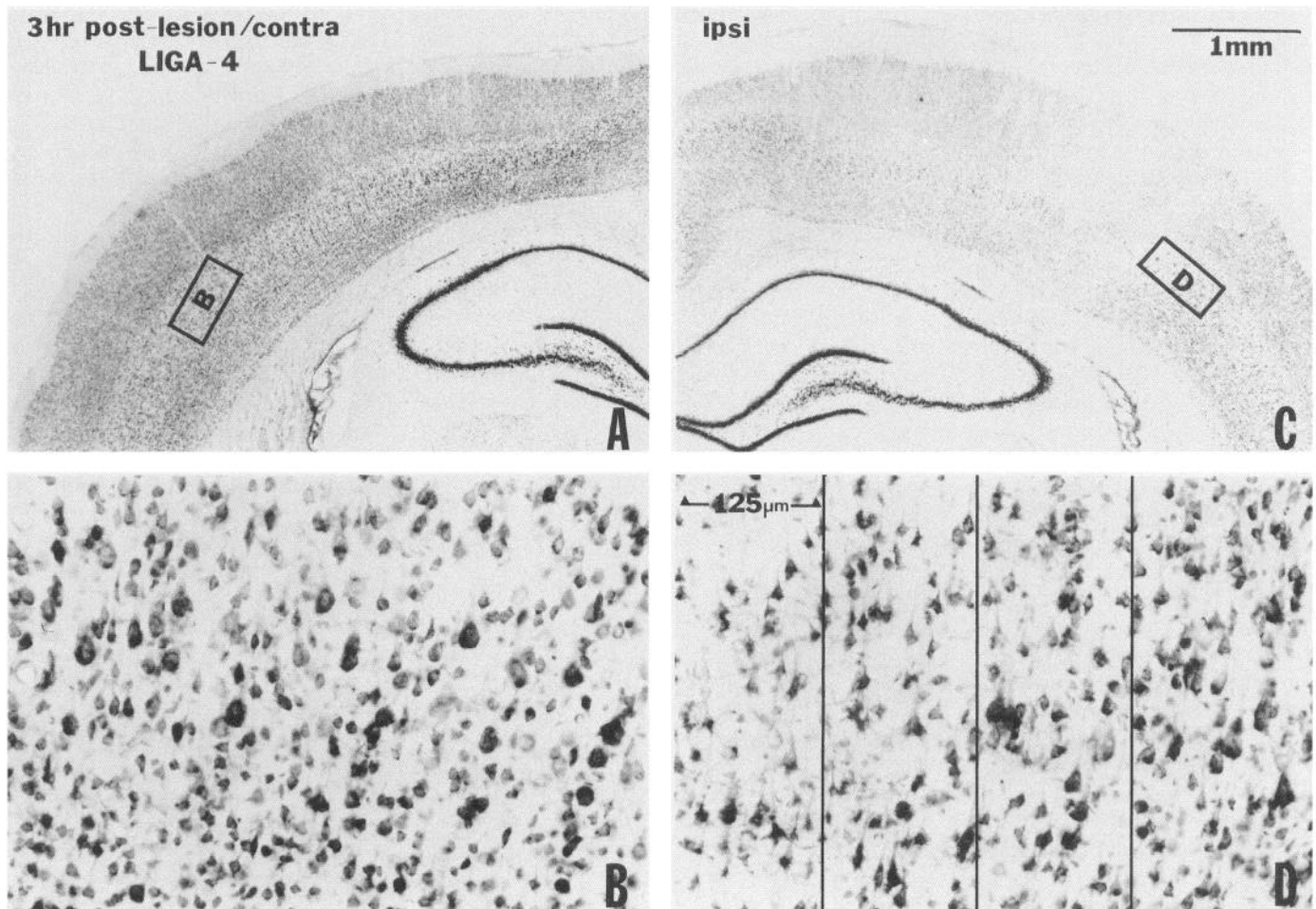


Figure 7. LIGA4 (35 $\mu\text{mol/kg}$, i.v.) provided neuroprotection in the penumbra area adjacent to the lesion. The experimental conditions and the presentation of the results are identical to that of Figure 6. LIGA4 was injected 1 hr before the lesion. Although cells are reduced compared to the contralateral side, the LIGA4-treated animals, nevertheless, display considerable neuroprotection compared to the nontreated rats (compare Figs. 6D, 7D). Statistical analysis of seven experiments in which the number of Nissl-positive cells is counted in the penumbra area and in the contralateral side is reported in Table 1.

and, as proposed here, increased glutamate content in the interstitial fluid may collectively contribute to neuronal damage and probably death.

An assessment of neuronal damage in the penumbra area was established by counting Nissl-positive neurons in the cortical region adjacent to the infarcted area and comparing neuronal density to that found in an identical cortical region in the contralateral hemisphere. In untreated rats the neuronal damage in the penumbra area was already impressive 3 hr after the termination of the lesion, and yet it continued to evolve until 24

hr when this region was characterized by irreversible alterations in cortical cytoarchitecture. The extent of this damage was decreased by pretreating rats with the NMDA-specific glutamate receptor antagonist MK-801 (Table 1).

The neuronal damage in the area penumbra is paralleled by a dramatic increase of ^3H -PDBu binding, which peaks 3 hr after the lesion (Fig. 2). This increase does not occur in the infarcted zone, yet is maximal in the penumbra area, and unlike the increase of *c-fos* or *c-Fos-Li*, which is observed throughout the cortex ipsilateral to the lesion, the rise in ^3H -PDBu is marginal

Table 1. MK-801 and LIGA4 reduce the cell loss in the penumbra area

Treatment ($\mu\text{mol/kg}$, i.v.)	Ipsilateral to the lesion					Contralateral to the lesion				
	Total	Zone 1	Zone 2	Zone 3	Zone 4	Total	Zone 1	Zone 2	Zone 3	Zone 4
Saline	215 \pm 8.0	14 \pm 1.0	18 \pm 1.1	19 \pm 1.0	21 \pm 1.0	311 \pm 12*	25 \pm 1.2*	27 \pm 1.2*	26 \pm 1.1*	25 \pm 1.3*
MK-801 (8.3)	330 \pm 10*	21 \pm 1.2*	26 \pm 1.0*	27 \pm 1.3*	31 \pm 1.0*	345 \pm 13*	30 \pm 1.6*	33 \pm 1.4*	32 \pm 1.2*	33 \pm 1.5*
LIGA4 (35.0)	297 \pm 14*	22 \pm 1.6*	24 \pm 1.3*	26 \pm 1.1*	27 \pm 1.1*	333 \pm 10*	27 \pm 1.2*	29 \pm 1.3*	28 \pm 1.0*	27 \pm 1.0*

Values are mean \pm SEM and correspond to number of Nissl-stained cells. Each zone equals an area 0.125 mm \times 0.300 mm. Zone 1 is most proximal to the lesion, while Zone 4 is most distal. Total area equals the sum of four (i.e., 0.500 mm \times 0.300 mm).

* $p < 0.05$ when compared with lesioned side of saline-treated group within the same region.

in the cortical regions distal to the lesion (compare Figs. 1, 5). A single administration of either MK-801 or NBQX, 1 hr before the lesion, reduces the increase of ^3H -PDBu binding for a period of approximately 6 hr (Fig. 3). Thus, the protection from neuronal loss and the reduction of the increase of ^3H -PDBu binding observed during the first 3 hr in the area penumbra of rats pretreated with MK-801 or NBQX suggest that high levels of glutamate in the interstitial fluids are a major factor contributing to the increase of ^3H -PDBu binding and to the neuronal damage in this area.

PDBu is a phorbol ester with high affinity (nM) for various PKC isoenzymes and is known to be a selective ligand and a potent activator for the regulatory subunit of these isoenzymes (Worley et al., 1986; Nishizuka et al., 1991). Following glutamate receptor stimulation of primary cultures of rat cerebellar and cerebral cortical neurons, changes in the number of binding sites for ^3H -PDBu were associated with activation and translocation of PKC molecules from the cytosol to the neuronal membrane (Favaron et al., 1990; Manev et al., 1990a). Similarly, following brain ischemia in rat and gerbil, changes in PDBu binding have been positively correlated with the translocation of PKC from the cytosol to the neuronal membranes (Onodera et al., 1989; Cardell et al., 1990; Zivin et al., 1990; Domanska-Janik and Zalewska, 1992). It is therefore possible to infer that an increase of ^3H -PDBu binding observed in the area penumbra in our focal ischemic model likely reflects a glutamate-induced increase in PKC catalytic activity due to activation and translocation of the enzyme from the cytosol to the neuronal membrane.

The translocation of cytosolic PKC to neuronal membranes following physiological intermittent glutamate receptor stimulation is generally a fast, reversible process but it can become a slowly reversible event in the case of pathological and persistent stimulation of glutamate receptors (Manev et al., 1990a; Mattson, 1991). Thus, under the latter conditions the pathological translocation of PKC may result in an abnormal phosphorylation of various neuronal proteins operative in $[\text{Ca}^{2+}]$, extrusion and therefore can be an important factor in destabilizing $[\text{Ca}^{2+}]$, homeostasis. A consequence of a destabilized $[\text{Ca}^{2+}]$, homeostasis is the accumulation of high levels of free $[\text{Ca}^{2+}]$, which in turn may activate neuronal proteases and lipases or activate neuronal free radical formation and induce neuronal damage and neuronal death (Manev et al., 1990a; Mattson, 1991).

Importantly, *in vitro* studies demonstrate that both natural gangliosides (GM1, GT1B) and the semisynthetic lysoganglioside derivatives of GM1 (LIGA4 and LIGA20) reduce the protracted destabilization of $[\text{Ca}^{2+}]$, homeostasis and the protracted and massive translocation of PKC to the neuronal membranes, both elicited by abusive stimulation of glutamate receptors (Manev et al., 1990a,b). Gangliosides and the semisynthetic lysogangliosides perform this action without blocking the glutamate ionotropic or metabotropic receptor function and the glutamate-induced expression of IEG (Manev et al., 1990a,b). Although the precise mechanism by which natural gangliosides and the semisynthetic lysoganglioside derivatives of GM1 produce their neuroprotective effect is unclear, it is thought that the action of gangliosides depends on their ability to reduce the pathological PKC-dependent phosphorylation of membrane proteins operative in $[\text{Ca}^{2+}]$, homeostasis regulation.

Pretreatment of pregnant rodents with the natural ganglioside GM1 prevents the protracted, pathological translocation of PKC

induced in the brain of fetal rats after global ischemia (Magal et al., 1990). Recently GM1 has been reported to reverse the increased levels of PKC induced in rat spinal cord by peripheral nerve injury (Mao et al., 1992). In line with these data, we have demonstrated *in vivo* a long-lasting reduction in the increase of ^3H -PDBu binding in the area penumbra, when LIGA4 and LIGA20 are given before the photochemical-thrombotic lesion. The pretreatment with LIGA4 and LIGA20 allows these semisynthetic lysoganglioside derivatives of GM1 to insert in the neuronal membranes, where they are slowly metabolized, the metabolism of LIGA20 having a half-life of 2–3 d (Polo et al., 1992). However, unlike MK-801, LIGA4 and LIGA20 do not reduce the glutamate-mediated elevation of *c-fos* expression and *c-Fos*-Li (see Figs. 4, 5). The latter occurs maximally in cerebral cortical areas ipsilateral to the focal lesion, including areas where there is virtually no cytological evidence of neuronal death or damage, and is paralleled by concurrent large increases of *jun-B*, *zif/269*, and *nur/77* expression (R. Hayes, unpublished observations). Thus, these data support the concept that the induction of IEG expression is a nontoxic immediate response to glutamate receptor stimulation. Moreover, that LIGA4 and LIGA20 fail to reduce the lesion-induced increase in IEG expression indicates that gangliosides do not block the glutamate gating of ionotropic receptors, thus leaving intact glutamatergic transmission in brain areas that are not affected by pathology.

The evidence that gangliosides fail to block the glutamate-induced increase in IEG expression as well as fail to prevent the MK-801-sensitive increase of BDNF in the cortical hemisphere ipsilateral to the photochemical-thrombotic lesion (Comelli et al., 1992) corroborates the hypothesis that the proteins expressed by IEG activation are unrelated to the cascade of biochemical interactions participating in glutamate-induced neuronal death; rather, they may act as nuclear third messengers initiating the expression of molecular processes (i.e., BDNF) operative in neurotrophic or neuroplastic events.

Collectively, the protective action of MK-801 on neuronal loss and in suppressing the increase of ^3H -PDBu strongly suggests that in this brain lesion model, glutamate is released in excess and for several hours into the interstitial space within the area penumbra adjacent to the infarcted area. It is important to note that glutamate may act on neurons by translocating PKC and by destabilizing $[\text{Ca}^{2+}]$, homeostasis, both of which are likely contributors of neuronal damage. However, glutamate activation may also result in an IEG response, which may in turn trigger various plastic compensatory actions. If indeed glutamate is dual in its function, then MK-801 may well abolish both the neurotoxic and the plastic compensatory action of glutamate, while gangliosides likely abate only the glutamate neurotoxicity while opening the transcription-activating action of glutamate that may operate in neuronal plasticity. This inference implies that the activation of IEG is not part of the mechanism of glutamate neurotoxicity as inferred by the protective action of gangliosides and MK-801, two drugs that protect equally well from neurotoxicity, yet act differentially on the IEG response.

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