

# The Effects of Ovariectomy and Estrogen Replacement on *trkA* and Choline Acetyltransferase mRNA Expression in the Basal Forebrain of the Adult Female Sprague–Dawley Rat

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Cognitive deficits associated with aging and with neurodegenerative diseases such as Alzheimer's disease have been attributed to degeneration of cholinergic neurons in the basal forebrain. Estrogen is known to provide trophic support to cholinergic neurons, although the mechanisms underlying the actions of estrogen have yet to be determined. Because cholinergic neurons require neurotrophic growth factors for their survival, it is possible that the trophic effects of estrogen on basal forebrain systems are caused by enhanced expression of neurotrophins or their receptors. To begin to examine this hypothesis, we used *in situ* hybridization analysis to determine the effects of ovariectomy (ovx) and estrogen replacement on *trkA* mRNA levels in the rat basal forebrain. Ten days of estrogen deprivation after ovx resulted in significant decreases in *trkA* mRNA levels in the horizontal limb of the diagonal band of

Broca and the nucleus basalis of Meynert. Short-term estrogen replacement therapy restored *trkA* mRNA expression to a level comparable with ovary-intact animals. No changes in *trkA* mRNA levels were observed in the vertical limb of the diagonal band of Broca after ovx or estrogen replacement. To assess the functional status of cholinergic neurons in the absence and presence of estrogen, the effects of ovx and estrogen replacement on ChAT mRNA levels were also examined and found to reflect the changes observed in *trkA* mRNA expression. These studies suggest that the trophic effects of estrogen on basal forebrain cholinergic systems may be mediated, in part, through the signaling of neurotrophic growth factors through their receptors.

**Key words:** estrogen; neurotrophins; *trkA*; ChAT; cholinergic neurons; basal forebrain

Neurotrophins are responsible for the development and maintenance of basal forebrain cholinergic neurons. Members of the neurotrophin family include nerve growth factor (NGF), brain-derived neurotrophic factor (BDNF), and neurotrophins 3 and 4/5 (NT-3 and NT-4/5). Neurotrophins transduce their signals by binding to members of the *trk* receptor tyrosine kinase family, consisting of *trkA* (NGF), *trkB* (BDNF and NT-4/5), and *trkC* (NT-3). Binding to the *trk* receptors initiates a cascade of signal transduction events resulting in the stimulation of mechanisms necessary for survival, neurite growth, and functions related to transmitter production and release.

Cholinergic neurons of the basal forebrain, including those in the nucleus basalis of Meynert (nBM) and the vertical and horizontal limbs of the diagonal band of Broca (VDB and HDB, respectively), project to the cortex and hippocampus and have been implicated in learning and memory. Loss of cholinergic neurons may be partially responsible for the cognitive decline that is associated with aging and with neurodegenerative diseases such as Alzheimer's disease (Luine et al., 1986; Decker and McGaugh, 1991). Studies indicate that administration of exogenous NGF can reverse cholinergic degeneration and improve performance in

memory-dependent tasks (Fischer et al., 1987; Dekker et al., 1992; Lapchak et al., 1993). However, because of its inability to cross the blood–brain barrier, an effective means of delivering NGF to target cells in the brain has been difficult to develop. Therefore, alternative mechanisms that promote the survival of cholinergic neurons must be studied.

Studies indicate that estrogen may modulate cholinergic transmission in the brain. For example, ovariectomy (ovx) of female rats results in a decrease in high-affinity choline uptake, choline acetyltransferase (ChAT) activity, and ChAT mRNA levels, an effect that can be reversed by treatment with estrogen (Luine et al., 1975; Luine and McEwen, 1983; Luine et al., 1986; Gibbs et al., 1994; Singh et al., 1994). In addition, estrogen has been shown to influence the expression of the neurotrophins and their receptors. Singh et al. (1994) have reported decreased levels of NGF and BDNF mRNAs in the rat cortex and hippocampus in response to ovx. Expression of these mRNAs increases after estrogen replacement (Singh et al., 1993, 1995). Estrogen treatment also has been shown to enhance *trkA* receptor mRNA expression in the rat dorsal root ganglion (DRG) and in PC12 cells (Sohrabji et al., 1994a,b). Because a number of cholinergic neurons in the basal forebrain seem to coexpress the *trkA* receptor and the estrogen receptor (Toran Allerand et al., 1992), it is possible that estrogen may influence cholinergic function by altering *trkA* expression. This study begins to examine the hypothesis that the trophic effects of estrogen on basal forebrain systems are partially mediated through the signaling of neurotrophins through their receptors. To test this hypothesis, *in situ* hybridization studies were used to determine the effects of short-term ovx and estrogen

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replacement on trkA and ChAT mRNA levels in the basal forebrain.

## MATERIALS AND METHODS

**Animals.** Adult (3-month-old) female Sprague–Dawley rats (Simonson Laboratory, Gilroy, CA) were maintained on a 12 hr light/dark cycle with unlimited access to food and water according to the guidelines set in the National Institutes of Health Guide for the Care and Use of Laboratory Animals. All procedures performed on animals were approved by the VAMC Animal Care Committee before the initiation of this study. Two-thirds of the rats underwent bilateral ovx under Metafane anesthesia, and the remaining rats were left intact. One week after surgery, half of the rats in the ovx group were injected subcutaneously with estradiol benzoate (10  $\mu$ g/d in 0.1 ml of sesame oil) for 3 d, whereas the remaining rats were injected with vehicle. Twenty-four hours after the final injection, all rats were killed by decapitation. Brains were removed, rapidly frozen on dry ice, and stored at  $-80^{\circ}\text{C}$  until sectioning. Twenty micrometer coronal sections were cut on a cryostat and mounted on RNase-free gelatin-coated slides and stored at  $-80^{\circ}\text{C}$ .

**Probes.** The trkA probe used in this study is a 582 bp fragment containing the 5'-untranslated region (UTR) and the first 521 bp of the coding region of a rat trkA cDNA isolated from PC12 cells (a gift from Dr. Mark Bothwell, University of Washington, Seattle, WA) subcloned into the *Xba*I and *Hind*III sites of the pGEM7Z plasmid. For transcription of the antisense (complementary) riboprobe, the plasmid was linearized with *Xba*I and transcribed with SP6 RNA polymerase. The ChAT probe used in this study is a 532 bp fragment from the 3'-UTR of a rat spinal cord cDNA (a gift from Dr. Hidemi Misawa, Tokyo Metropolitan Institute for Neuroscience, Tokyo, Japan) subcloned into the *Eco*RI and *Bst*XI sites of the pGEM7Z plasmid. This cDNA has been shown previously to cross-react with brain-derived ChAT (Ishii et al., 1990). For transcription of the ChAT antisense riboprobe, the plasmid was linearized with *Nsi*I and transcribed with T3 RNA polymerase. Transcription reactions were carried out using the Riboprobe Gemini System (Promega, Madison, WI) according to the manufacturer's instructions in the presence of 10  $\mu\text{M}$  [ $^{35}\text{S}$ ]uridine triphosphate. Riboprobes were purified by phenol/chloroform extraction and ethanol precipitation and resuspended in TED buffer (0.1 M Tris, 1 mM EDTA, and 0.1 M dithiothreitol). The specificity of these probes was determined previously by hybridization with  $^{35}\text{S}$ -labeled sense (control) riboprobes (data not shown).

**Preparation of tissue and in situ hybridization.** Tissue preparation and *in situ* hybridization procedures were performed as described previously (Shughrue et al., 1992). Briefly, section-mounted slides were post-fixed in 4% paraformaldehyde, treated with acetic anhydride (0.25% in 0.1 M triethanolamine), and delipidated and dehydrated through a graded series of ethanol and chloroform. Section-mounted slides were hybridized overnight under saturating conditions with  $^{35}\text{S}$ -labeled antisense trkA or ChAT riboprobes (0.1 pmol/slide) at  $58^{\circ}\text{C}$  (trkA) or  $68^{\circ}\text{C}$  (ChAT). After hybridization, slides were washed in  $1\times$  SSC (150 mM NaCl and 15 mM Na-citrate) at room temperature for 30 min, treated with RNase buffer (10 mM Tris, pH 8, 0.5 M NaCl, 1 mM EDTA, pH 8, and 20  $\mu\text{g}/\text{ml}$  RNase A) at  $37^{\circ}\text{C}$  for 30 min, and rinsed in  $1\times$  SSC at room temperature for 30 min. Slides were then washed for 1 hr in three changes of  $0.1\times$  SSC at  $65^{\circ}\text{C}$  (trkA) or  $75^{\circ}\text{C}$  (ChAT) followed by a final wash in the same solution at room temperature. Slides were then dehydrated through a graded series of alcohols containing ammonium acetate and air-dried. Finally, section-mounted slides were dipped in Kodak NTB2 Nuclear Track emulsion (diluted 1:1 in 0.6 M ammonium acetate) and stored in dry, light-tight boxes at  $4^{\circ}\text{C}$  for 3 weeks (trkA) or 10 d (ChAT). The slides were photographically processed, stained with cresyl violet acetate, and mounted with coverslips.

**Data analysis.** Analysis of grain counting was performed using a MicroComputer Imaging Device (Imaging Research, St. Catharines, Ontario, Canada). The relative levels of trkA and ChAT mRNAs in the nBM, HDB, and VDB (see Fig. 1) were compared in intact, ovx, and estrogen-replaced (ovx + E) rats. Before data were analyzed, a double threshold was set that clearly distinguished the target from background. This threshold selected targets falling within a specific density range. All pixels lying within this density range were regarded by the computer as a target, and all other pixels were regarded as background. To improve the accuracy of the counts, a mean target size was determined so that the number of targets in a cluster of cells could be estimated. Care was taken throughout the analysis to ensure that lighting and background correction were constant for all sections analyzed within a given region. To ensure that our method of background correction was valid, we also analyzed the

data by manually reading a region of the brain that did not contain signal and then subtracting this value from the values obtained by reading labeled cells. We obtained the same results with both methods of analysis (data not shown).

In the nBM, groups of three cells (designated a cell profile) were identified under high power ( $40\times$ ) using bright-field microscopy. The number of silver grains overlying each labeled cell profile was then counted under dark-field microscopy. Cells in the nBM were analyzed in this manner because it was difficult to find multiple cases in which silver grains of individually labeled cells did not overlap with the grains of neighboring labeled cells. The number of positively labeled cells was obtained from bilateral readings of five cell profiles from two sections for each animal.

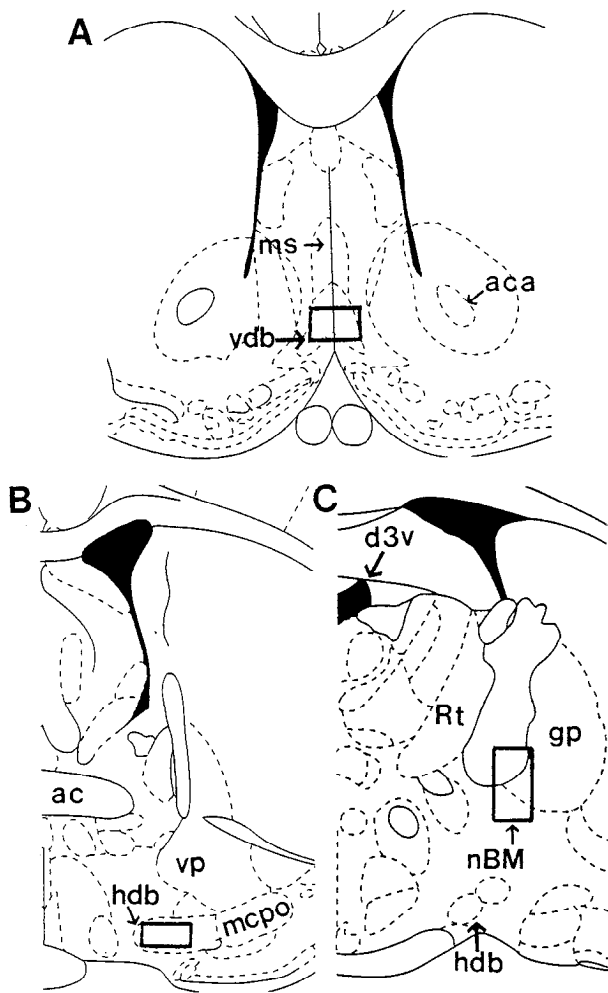
In the HDB and VDB, cells were densely clustered together and it was not possible to assign grains to individually labeled cells; therefore, relative regional rather than cellular levels of trkA and ChAT mRNAs were quantified at  $10\times$  magnification in these areas. A target acceptance criterion was used in which the target area was defined as an area greater than the mean target size. Using this criterion, the densely clustered cells in the HDB and VDB were treated as clusters of smaller targets of a given size rather than as one large target. Data are presented as grains per region, and the locations of the regions selected for analysis are depicted in Figure 1. The number of grains per region was obtained from bilateral readings from two sections for each animal.

**Statistical analysis.** Data are represented as mean  $\pm$  SEM. Data were analyzed by a two-way ANOVA to test for differences in trkA or ChAT mRNA levels among the intact, ovx, and ovx + E groups in each of the three regions investigated. Post-hoc comparisons were made using a Fisher or Scheffe test.

## RESULTS

To begin testing the possibility that neurotrophins are involved in mediating the trophic effects of estrogen on basal forebrain systems, the effects of estrogen on the expression of the NGF receptor trkA were examined. Representative low-power dark-field micrographs depicting the effects of short-term ovx and estrogen replacement on the relative levels of trkA mRNA in the nBM, HDB, and VDB are shown in Figure 2 and are graphically summarized in Figure 3. Ten days of estrogen deprivation after ovx resulted in significant decreases in trkA mRNA levels in two of the basal forebrain regions examined. TrkA mRNA levels were reduced by 34% in the nBM and by 56% in the HDB compared with intact animals. Three days of estrogen replacement restored trkA mRNA expression to a level comparable with intact animals. In contrast, ovx and estrogen replacement had no significant effects on trkA mRNA levels in the VDB.

ChAT expression has been shown to be sensitive to estrogen treatment in the basal forebrain (Luine et al., 1975, 1986; Luine and McEwen, 1983; Gibbs et al., 1994; Singh et al., 1994). Because this enzyme is critically involved in the biosynthesis of acetylcholine, changes in ChAT mRNA expression can be used as an indirect measurement of the functional status of cholinergic neurons. To determine whether the decreased expression of trkA mRNA as a result of ovx was accompanied by changes in ChAT mRNA expression, the effects of ovx and estrogen replacement on ChAT mRNA levels were also examined. As reported previously by Gibbs et al. (1994), the pattern of distribution of ChAT mRNA-containing cells in the nBM, HDB, and VDB was similar to that observed for trkA mRNA (data not shown). The results of ovx and estrogen replacement on the relative levels of ChAT mRNA are summarized in Figure 4. Ovx resulted in significant decreases in the levels of ChAT mRNA in the same basal forebrain regions in which trkA mRNA was reduced (a decrease of 35 and 38% in the nBM and HDB, respectively). Similar to its effects on trkA expression, estrogen replacement was able to restore ChAT mRNA expression to the levels observed in intact animals. In addition, ovx and estrogen replacement failed to influence



**Figure 1.** Schematic drawing depicting the regions in which *trkA* and ChAT hybridization signals were evaluated (boxed regions) in the vertical diagonal band (*A*), horizontal diagonal band (*B*), and nucleus basalis of Meynert (*C*). *ac*, Anterior commissure; *aca*, anterior commissure, anterior region; *d3v*, dorsal third ventricle; *gp*, globus pallidus; *hdb*, horizontal limb of the diagonal band of Broca; *mcpo*, magnocellular preoptic nucleus; *ms*, medial septum; *nBM*, nucleus basalis of Meynert; *Rt*, reticular thalamus; *vdb*, vertical limb of the diagonal band of Broca; *vp*, ventral pallidum. Drawings are modified from Paxinos and Watson (1986).

ChAT mRNA levels in the VDB, further mirroring the effects of estrogen on *trkA* expression.

## DISCUSSION

The data presented above suggest that withdrawal of circulating levels of ovarian steroids results in a reduction in *trkA* mRNA levels in specific basal forebrain cholinergic neurons. This decrease in *trkA* gene expression is accompanied by a decrease in ChAT gene expression, suggesting a possible decline in cholinergic function. A 3 d estrogen replacement regimen reverses these effects and restores *trkA* and ChAT mRNA levels to normal. These results are similar to those observed by Sohrabji et al. (1994b) in the rat DRG. This study demonstrated that the hormonal conditions existing at proestrus (high estrogen levels) up-regulated *trkA* and p75 receptor mRNA levels threefold compared with animals that had been ovariectomized (10 d ovx). In addition, comparison of *trkA* gene expression in the DRG of ovx animals and of ovx animals treated with a single 10  $\mu$ g injection of

estradiol benzoate demonstrated a twofold increase in *trkA* receptor mRNA levels 4 hr after estrogen treatment and a threefold increase 52 hr after injection. In contrast, p75 was transiently downregulated under these conditions. This differential regulation of *trkA* and p75 was also observed *in vitro* in PC12 cells (Sohrabji et al., 1994a). Singh et al. (1995) offer further support for the possible interaction between estrogen and neurotrophins. These investigators found that long-term estrogen deprivation (28 weeks ovx) resulted in significant reductions in BDNF mRNA levels in the cortex and hippocampus. Chronic administration of estrogen (28 weeks) was effective in elevating BDNF mRNA levels in the hippocampus but was without effect in the cortex, suggesting a regional specificity in the ability of ovarian steroids to influence the expression of BDNF. Similar studies on NGF expression revealed a 45% decline in the levels of its mRNA in the frontal cortex after ovx, which was partially reversed by administration of estrogen (Singh et al., 1993).

It is important to note that in our study, intact animals were not cycled before killing. Therefore, it is possible that the animals studied may have different endogenous estrogen levels. It has been reported recently by Gibbs (1995) that the relative levels of ChAT mRNA fluctuate during the course of the estrous cycle. Although no data are currently available concerning the relative levels of *trkA* during the estrous cycle, it seems likely that the levels of this mRNA will also fluctuate.

The molecular mechanisms by which estrogen may regulate *trkA* gene expression are currently unknown. The presence of a putative estrogen response element (ERE) in the 5'-flanking region of the *trkA* gene suggests that estrogen may directly regulate *trkA* gene transcription via the classical ligand-activated steroid receptor mechanism. Regulation of neurotrophin gene expression by this mechanism is not without precedent. Using gel-shift assays, Sohrabji et al. (1994c) found that nuclear protein extract from estrogen-treated MCF-7 cells resulted in a shift in the migration of the gene encoding BDNF. This shift was a result of the binding of the estrogen receptor-ligand complex to an ERE-containing fragment of the DNA, strongly suggesting that estrogen may regulate transcription of the BDNF gene. Alternatively, estrogen could regulate *trkA* gene expression by regulating the stability of the *trkA* mRNA. It has been known for some time that estrogen can regulate the turnover rates of some mRNAs. For example, estrogen is known to alter dramatically the stability of the vitellogenin mRNA, increasing the half-life of this message from 16 to 480 hr (Brock and Shapiro, 1983). Alterations in mRNA stability as a means of regulating gene expression have been demonstrated previously in the neurotrophin family. For example, regulation of the NGF gene by okadaic acid is at least partially caused by a change in NGF mRNA stability (Pshenichkin and Wise, 1995). The abundance of a 140 kDa protein that specifically binds an adenosine uridine (AU)-rich region of the 3'-UTR of the NGF mRNA increases after okadaic acid treatment in a time course consistent with increased stability of the NGF mRNA. Additional studies will be required to determine whether regulation of *trkA* gene expression by estrogen occurs at a transcriptional or post-transcriptional level.

Interestingly, not all studies indicate a positive correlation between estrogen and neurotrophin expression. Gibbs et al. (1994) examined the effects of estrogen replacement on *trkA*, NGF, and ChAT mRNA levels in the basal forebrain and hippocampal formation of ovx rats. In this study, estrogen replacement resulted in significant decreases in the levels of NGF mRNA in the hippocampus and of *trkA* mRNA in the medial septum (MS) and

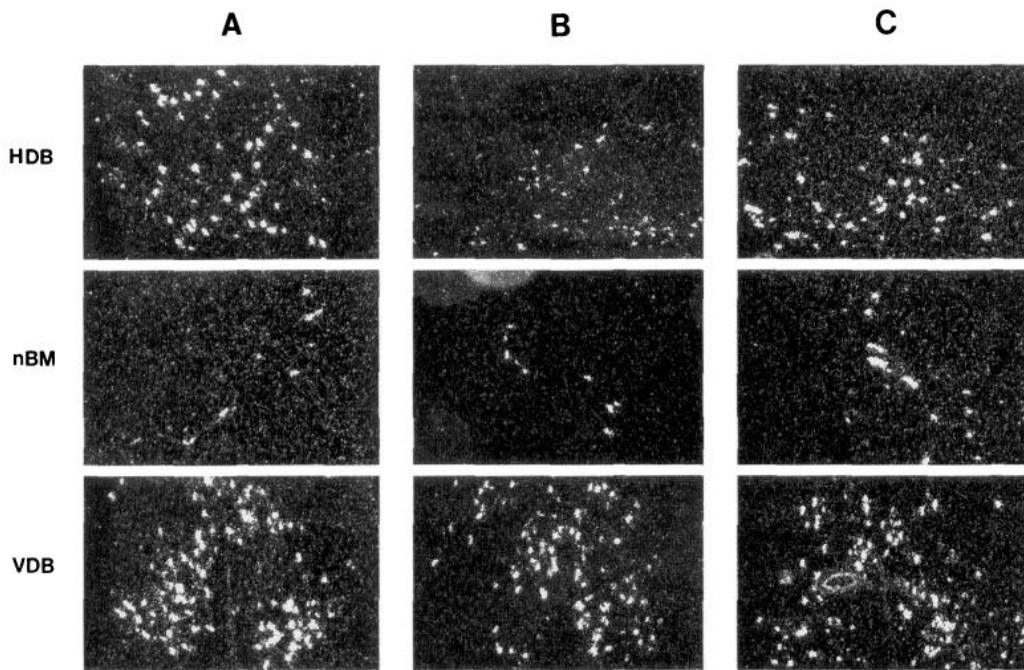


Figure 2. Representative dark-field photomicrographs (10 $\times$  magnification) showing the distribution of trkA mRNA-containing cells (represented by clusters of silver grains overlying labeled cells) detected by *in situ* hybridization in the HDB and VDB of the nBM of intact (A), ovariectomized (B), and estrogen-replaced (C) female rats.

nBM but not in the HDB. In contrast, estrogen replacement significantly increased the levels of ChAT mRNA in the nBM, in agreement with the present study, and also enhanced levels in the MS. However, in contrast to the present study, estrogen replacement failed to alter ChAT mRNA levels in the HDB. The investigators suggest that the time course of these actions indicates that estrogen may first exert a direct effect on ChAT and NGF expression, followed by an indirect effect on trkA expression. These results seem to contradict the effects of estrogen on trkA gene expression reported in this study. However, a difference in the dose and time course in which estrogen replacement was given could explain these conflicting results. Gibbs et al. (1994) observed a decrease in trkA mRNA expression in the nBM and MS when ovx rats were implanted for 2 weeks with estrogen-

containing SILASTIC capsules. In our study, we observed an increase in trkA mRNA expression in the nBM and HDB when ovx rats were injected with 10  $\mu$ g of estrogen daily for 3 d. Our method of estrogen replacement may have resulted in fluctuating levels of circulating estrogen throughout the time course of the estrogen treatment, which is less likely to have occurred with SILASTIC implants. However, Gibbs et al. (1994) failed to observe an increase in trkA mRNA in the nBM and HDB of ovx rats after 2 d of subcutaneous estrogen injections. It is possible that the duration of estrogen replacement in this case was not sufficient to observe this effect. Taken together, these data suggest that estrogen may produce a transient upregulation of trkA mRNA, followed by a downregulation after more prolonged treatment, as reported by Gibbs et al. (1994).

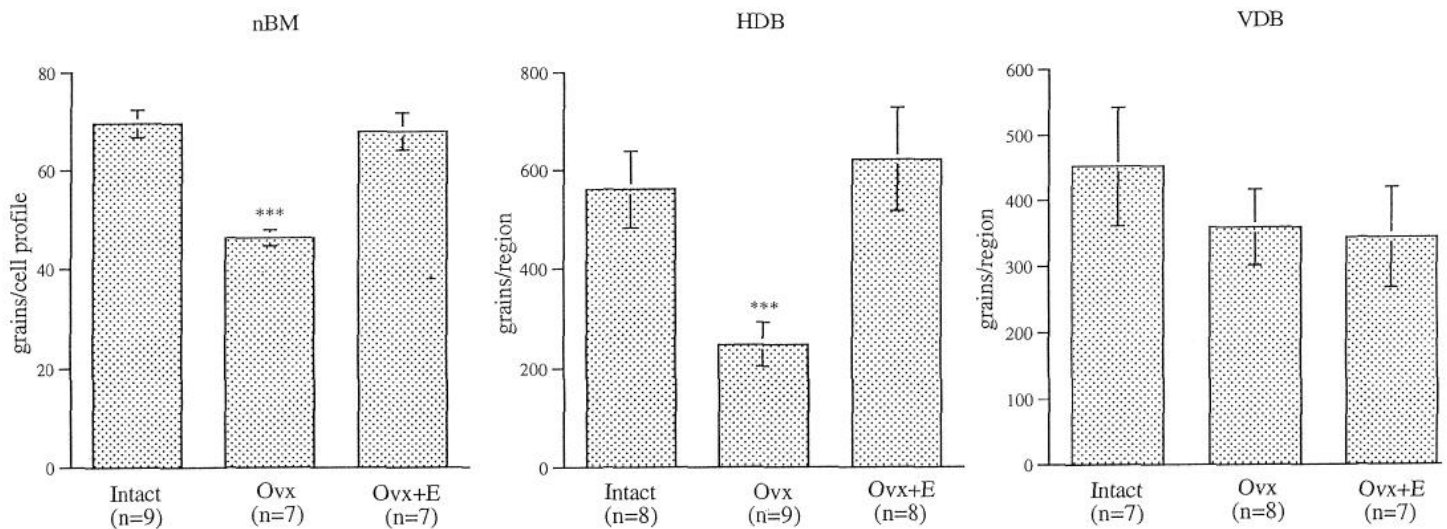
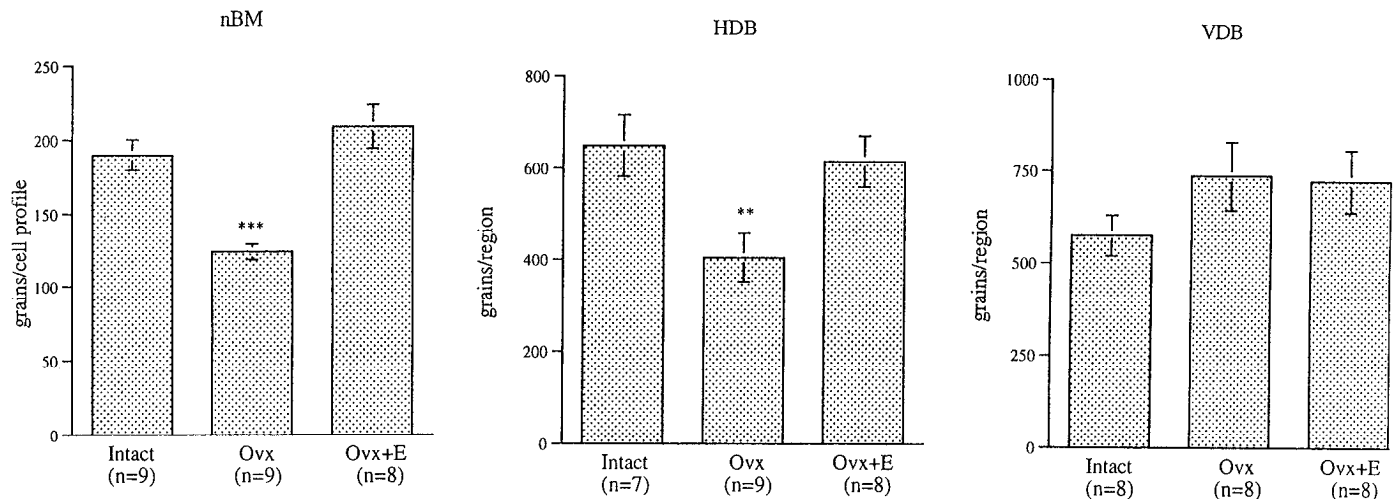


Figure 3. Graphical representation of the effects of ovariectomy (Ovx) and estrogen replacement (Ovx + E) on trkA mRNA levels in the nBM and the HDB and VDB detected by *in situ* hybridization. The specificity of the trkA riboprobe used in this assay was verified previously by hybridization with a  $^{35}$ S-labeled sense control riboprobe, which failed to demonstrate labeling (data not shown). Each bar represents the mean  $\pm$  SEM of seven to nine animals. The hybridization signal for each animal was obtained from bilateral readings from two sections; therefore, the value for each animal is an average of four readings. Analysis of cells in the nBM was performed at 40 $\times$  magnification, and analysis of cells in the HDB and VDB was performed at 10 $\times$  magnification (see Materials and Methods). \*\*\* $p$  < 0.001 versus Intact or Ovx + E.



**Figure 4.** Graphical representation of the effects of ovariectomy (*Ovx*) and estrogen replacement (*Ovx + E*) on ChAT mRNA levels in the *nBM* and the *HDB* and *VDB* detected by *in situ* hybridization. The specificity of the ChAT riboprobe used in this assay was verified previously by hybridization with a  $^{35}\text{S}$ -labeled sense control riboprobe, which failed to demonstrate labeling (data not shown). Each bar represents the mean  $\pm$  SEM of seven to nine animals. The hybridization signal for each animal was obtained from bilateral readings from two sections; therefore, the value for each animal is an average of four readings. \*\*\* $p < 0.001$  versus *Intact* or *Ovx + E*; \*\* $p < 0.01$  versus *Intact* or *Ovx + E*.

Aging in both females and males results in a decline in the secretion of estrogen or of androgens that can be aromatized to estrogen. Given the present data, a decrease in the trophic influence of circulating estrogen could be partially responsible for the degeneration of basal forebrain cholinergic neurons and the decline in cognitive function that is associated with aging and with certain neurodegenerative diseases. This possibility is supported by recent reports indicating that postmenopausal women who receive estrogen replacement therapy exhibit a lower incidence of Alzheimer's disease than women receiving no treatment (Paganini-Hill and Henderson, 1994). The ability of estrogen to upregulate the neurotrophins and their receptors could potentially underlie these trophic effects. NGF increases ChAT mRNA levels, enhances ChAT activity, and increases ACh release (Cavicchioli et al., 1991; Lorenzi et al., 1992; Rylett et al., 1993). Therefore, an increase in *trkA* expression in response to estrogen could result in an enhancement of NGF signaling through the *trkA* receptor, resulting in elevated ChAT mRNA levels and ChAT activity and an enhancement of cholinergic function. The fact that estrogen can also increase the expression of NGF and BDNF makes it an even more attractive therapeutic agent because there is evidence suggesting that neurotrophic factors may act synergistically when administered in combination. It is important to note from the study by Gibbs et al. (1994) that estrogen may have very different effects on neurotrophin gene expression depending on the dose and duration of treatment. However, in postmenopausal estrogen-replacement therapy, estrogen is usually administered daily as an oral supplement. Therefore, the daily subcutaneous injections of estrogen used in our study may more closely mimic estrogen replacement therapy in humans.

In conclusion, these data show that estrogen can significantly enhance *trkA* mRNA expression in specific regions of the basal forebrain. The data also confirm previous reports on the effects of estrogen on ChAT mRNA levels in the *nBM*, but differ with respect to the effects of estrogen on ChAT mRNA expression in other cholinergic cell populations. These studies support the hypothesis that the trophic effects of estrogen on cholinergic systems may be mediated in part via the signaling of neurotrophins through their receptors. In addition, the data support the need for

further investigation into the use of estrogen as a therapeutic tool for treatment of neurodegenerative diseases such as Alzheimer's disease.

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