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## **Oxygen-Sensitive MRI Assessment of Tumor Response to Hypoxic Gas Breathing Challenge\***

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## **Abstract**

Oxygen-sensitive MRI has been extensively used to investigate tumor oxygenation based on the response ( $R_2^*$  and/or  $R_1$ ) to a gas breathing challenge. Most studies have reported response to hyperoxic gas indicating potential biomarkers of hypoxia. Few studies have examined hypoxic gas breathing and we have now evaluated acute dynamic changes in rat breast tumors.

Rats bearing syngeneic subcutaneous ( $n = 15$ ) or orthotopic ( $n = 7$ ) 13762NF breast tumors were exposed to a 16% O<sub>2</sub> gas breathing challenge and monitored using blood oxygen level dependent (BOLD)  $R_2^*$  and tissue oxygen level dependent (TOLD)  $T_1$ -weighted measurements at 4.7 T. As a control, we used a traditional hyperoxic gas breathing challenge with  $100\%$  O<sub>2</sub> on a subset of the subcutaneous tumor bearing rats ( $n = 6$ ). Tumor subregions identified as responsive on the basis of  $R_2^*$  dynamics coincided with the viable tumor area as judged by subsequent H&E staining. As expected,  $R_2^*$  decreased and  $T_1$ -weighted signal increased in response to 100% O<sub>2</sub> breathing challenge. Meanwhile, 16%  $O_2$  breathing elicited an increase in  $R_2^*$ , but divergent response (increase or decrease) in  $T_1$ -weighted signal. The  $T_1$ -weighted signal increase may signify a dominating BOLD effect triggered by  $16\%$  O<sub>2</sub> in the relatively more hypoxic tumors, whereby the influence of increased paramagnetic deoxyhemoglobin outweighs decreased  $pO<sub>2</sub>$ . The results emphasize the importance of combined BOLD and TOLD measurements for the correct interpretation of tumor oxygenation properties.

## **Graphical Abstract**

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Oxygen-sensitive MRI of 13762NF breast tumors growing subcutaneously or orthotopically in syngeneic rats showed expected opposite  $R_2^*$  (BOLD) responses to 16%  $O_2$  and 100%  $O_2$ breathing challenges.  $T_1$ -weighted signal (TOLD) was consistent with 100%  $O_2$  but showed divergent response (increase or decrease) in response to 16%  $O_2$ . In light of  $R_2^*$  responses as well as pimonidazole staining, we attribute the apparent anomaly to the influence of deoxyhemoglobin on  $R_1$  under hypoxia conditions generating a caveat for interpretation of oxygen-sensitive data.

#### **Keywords**

Oxygen; Hypoxic Gas; Hypoxia; BOLD; TOLD; Tumor; Intratumoral Heterogeneity; Rat

## **INTRODUCTION**

Hypoxia has become a key target in cancer therapy development (1). Hypoxia is associated with tumor aggressiveness and resistance to therapy (2–4). Oxygen-sensitive MRI presents potential for characterizing tumor hypoxia and predicting therapeutic efficacy non-invasively (3,5–8). Oxygen-sensitive MRI studies are typically conducted with a hyperoxic gas breathing challenge, such as air to oxygen or carbogen (7–33), especially because alleviating tumor hypoxia via hyperoxic gas breathing has proven beneficial to radiotherapy (7,17,26,34,35). During the time course of a gas breathing challenge, MRI metrics based on spin–lattice relaxation rate constant  $(R_1)$  and/or transverse relaxation rate constant  $(R_2^*)$  are measured to reveal the changes in tumor oxygenation. In a classical scenario, tumor  $R_1$  (or  $T_1$ -weighted,  $T_1$ w, signal) increases in response to hyperoxic gas breathing, consistent with increased concentration of paramagnetic oxygen molecules and hence oxygen partial pressure  $(pO<sub>2</sub>)$  in tissue: the tissue oxygen level dependent (TOLD) effect. On the other hand, a decrease in tumor  $R_2^*$  (or an increase in  $T_2^*$ -weighted signal) indicates the conversion of deoxy- to oxyhemoglobin and increased blood oxygen saturation  $(sO<sub>2</sub>)$ : the blood oxygen level dependent (BOLD) effect. Distinct relationships have been reported between R2\* and tumor oxygenation based on polarographic electrodes, fiber optic probes,  $19$ F MRI and immunohistochemistry assays (36–38), but while specific correlates have been observed there are often trends rather than direct correlations. Indeed, vasoactive agents may

alter vascular volume and hence the amount of deoxyhemoglobin within a tumor (more specifically imaging voxel), causing apparently contrary response in  $R_2^*$  (11).

 $R<sub>1</sub>$  in solution is linearly dependent on the concentration of free oxygen molecules and hence  $pO_2$  (18,27,39,40). In well-defined media such as vitreous humor and cerebrospinal fluid  $R_1$  may reliably measure  $pO_2$ , but many additional factors influence relaxation including macromolecules,  $pH$  and temperature. Nonetheless a change in  $R_1$  with respect to an acute intervention such as oxygen breathing challenge is expected to indicate change in  $pO_2$ . However, apparently contradictory  $R_1$  responses to hyperoxic gas breathing have been reported, *i.e.*, decrease or minimal change in  $R_1$  (or  $T_1w$  signal) (7,8,30,41,42). This apparently contradictory  $R_1$  response is denoted as the "alternative" TOLD response in the following context. The most popular hypothesized mechanism underlying this alternative TOLD response is the infiltration of a blood oxygenation effect on  $R_1$ , since deoxyhemoglobin is itself paramagnetic (7,8,30,41,42). Indeed, in vascular regions the conversion of deoxyhemoglobin to oxyhemoglobin may reduce  $R_1$ , while ultimately,  $O_2$  is released into the tissue enhancing  $R_1$ . The direction of  $R_1$  response in a voxel depends on the balance of these two processes. This effect has been thoroughly investigated in blood samples (43–45). We have now sought to better characterize this seemingly contradictory MRI behavior in tumors by examining their acute response to a hypoxic gas breathing challenge.

To better characterize the apparently contradictory TOLD response, we evaluated tumor response to a hypoxic gas  $(16\% O_2)$  breathing challenge using oxygen-sensitive MRI, and compared it to the classical 100%  $O_2$  breathing challenge. We hypothesized that by reducing the fraction of inspired oxygen, tumors would exhibit this alternative TOLD response. Therefore, MR metrics accompanying hypoxic gas breathing should not simply "mirror" the effect of hyperoxic gas breathing. There have been few previous reports of MRI with respect to hypoxic gas  $\left( \frac{21\%}{9} \right)$  challenge, predominantly in the brain or brain tumors (27,46–49) and recently placenta (28) and abdominal tissues (50) and apparently none comparing dynamic BOLD and TOLD response in tumors.

## **EXPERIMENTAL**

Investigations were approved by the Institutional Animal Care and Use Committee.

#### **Animal preparation**

Rat mammary adenocarcinoma 13762NF tissue (originally obtained from the Division of Cancer Treatment and Diagnosis, National Cancer Institute, Bethesda, MD, USA) was implanted subcutaneously in the right thigh  $(n = 15)$  or orthotopically in the lower right mammary fat pad  $(n=7)$  of adult female Fischer 344 rats (~125–200 g; Charles River Laboratories, Wilmington, MA, USA). Briefly, a donor rat was anaesthetized via inhalation of a mixture of isoflurane (2–3%) and oxygen and the right hind limb was shaved. A thawed piece of frozen 13762NF tissue, measuring approximately  $2 \times 2 \times 2$  mm<sup>3</sup>, was inserted subcutaneously into a small incision (approximately 0.5–1 cm long) on the outer thigh of the right hind limb. The skin was closed using wound clips (9 mm). After 7 to 10 days, the incision site had healed and the clips were removed. The donor tumor was allowed to grow

to 1–1.5 cm in diameter before it was excised and pieces implanted subcutaneously into the thigh or in the orthotopic mammary fat pad of no more than four recipient rats.

Subcutaneous (SC) tumors were allowed to grow to small  $(0.2-1.5 \text{ cm}^3)$  and large (2.5–6 cm<sup>3</sup>) volumes for MRI experiments. A subset of SC tumors (3 small and 3 large) was subjected to a hyperoxic gas breathing challenge using  $100\%$  O<sub>2</sub> (gbc100). On the following day, all SC tumors (8 small and 7 large) were subjected to a hypoxic gas breathing challenge using 16%  $O_2$  (gbc16). Meanwhile, orthotopic (OT) tumors grew faster so only large (2.5–6  $\text{cm}^3$ ) volumes were available for MRI experiments upon the release of rats from surgery recovery. The OT tumors were subjected to only gbc16. On the following day, ten SC tumors (5 small and 5 large) and four OT tumors were excised for histology. The procedures performed for each tumor are specified in Table 1.

#### **MRI with gas breathing challenge**

MRI was performed using a horizontal bore 4.7 T system (Agilent/Varian, Santa Clara, CA, USA). Each tumor-bearing rat was anesthetized using isoflurane (typically, 2.5% for induction and at a specific concentration in the range 1.75–2.25% during MRI, with constant breathing gas flow rate at 2 L/min). Isoflurane was chosen since it is reported to have minimal effect on  $pO<sub>2</sub>$  and BOLD MRI signal in tumors and skeletal muscles (51). Warm air and a circulating water blanket were used to maintain the body temperature at 36–37 °C. For SC tumors, the tumor-bearing limb was placed inside a lab-made, single-turn volume solenoid radiofrequency coil (25-mm diameter). For OT tumors, the rat was placed inside a Litzcage coil (Model DSI-1366, Doty Scientific, Columbia, SC, USA). Physiological parameters, including body (rectal) temperature, respiration rate, and peripheral oxygen saturation ( $s_pO_2$ ; measured on the forearm), were recorded during MRI using a monitoring system (Model 1030; Small Animal Instruments, Stony Brook, NY, USA). To minimize potential effects of varying environmental factors on rat physiology, isoflurane concentration was kept constant throughout the MRI session for each rat. Breathing gas flow rate (2 L/ min), circulating water temperature (45 °C), and rat placement on the MRI platform (including position, orientation, taping location and tightness) were kept consistent across different MRI sessions. Medical air  $(21\% O<sub>2</sub>)$  was used during baseline measurements, and then the breathing gas was switched to either 100% or 16%  $O_2$  (balance N<sub>2</sub>). Each gas was delivered via a nose cone directly from a commercial container (Airgas, Radnor Township, PA, USA) without further mixing except with isoflurane.

Two  $T_2$ -weighted  $(T_2w)$  anatomical scans were acquired using a fast spin-echo sequence. The first scan covered the whole tumor volume with 15 to 35 slices without gap, depending on tumor size (transaxial view; slice thickness: 1 mm for SC tumors; 2 mm for OT tumors), which was used for image planning and tumor volume calculation. The second scan selected a target slice that captured the largest cross section of the tumor with 2 mm thickness. Other parameters were: TR = 3 s, echo train length of 8, effective TE = 64 ms, k-space size  $128 \times$ 128, field of view  $25 \times 25$  or  $30 \times 30$  mm<sup>2</sup> for SC tumors and  $70 \times 70$  mm<sup>2</sup> for OT tumors, 4 averages, and scan time of 3 min 12 s. The spatial planning of this target slice was transferred onto all following measurements.

Images for  $R_2$ ,  $R_1$ ,  $R_2^*$  (BOLD), and  $T_1$ -weighted (TOLD) measurements were acquired with k-space size  $128 \times 64$ , generating raw in-plane resolution of  $0.20 \times 0.40$  or  $0.23 \times 0.46$  $mm<sup>2</sup>$  for SC tumors and  $0.55 \times 1.1$  mm<sup>2</sup> for OT tumors, depending on the field of view. Zero-filling was applied to the phase-encoding direction, which resulted in a k-space size of  $128 \times 128$  and the corresponding in-plane resolution was  $0.20 \times 0.20$  or  $0.23 \times 0.23$  mm<sup>2</sup> for SC tumors and  $0.55 \times 0.55$  mm<sup>2</sup> for OT tumors. R<sub>2</sub> measurements used a Carr-Purcell-Meiboom-Gill (CPMG) sequence with  $TR = 2$  s, 15 TEs ranging from 20 to 300 ms in increments of 20 ms, 2 averages, 20 dummy scans, and scan time of 4 min 56 s. R<sub>1</sub> measurements used the modified fast inversion-recovery (MFIR) method (52) integrated with a slice-selective inversion pulse and a segmented turboFLASH acquisition (53). The scan parameters were: inversion-recovery TR =  $6 \text{ s}$ , TI = 0.01, 0.35, 1.4, 3.1, 5.5 s (quadratically spaced (54)), 4 averages, and scan time of 8 min. During each inversionrecovery TR, 16 k-space lines were acquired with the following parameters: 10° flip angle, acquisition  $TR = 10$  ms, and  $TE = 5$  ms.

BOLD and TOLD measurements were interleaved, with 20 acquisitions during baseline and 20 accompanying challenge gas. BOLD measurements were acquired as  $R_2^*$  maps using a multi-gradient-echo sequence with transverse-magnetization spoiling ( $TR = 150$  ms,  $TE$ ) ranging from 5 to 100 ms for SC tumors and 5 to 80 ms for OT tumors in increments of 5 ms, 20° flip angle, 4 averages, 20 dummy scans, and scan time of 41 s). TOLD measurements were acquired as  $T_1$ -weighted  $(T_1w)$  images using a gradient-echo sequence with transverse-magnetization spoiling (TR = 30 ms, TE = 5 ms,  $45^{\circ}$  flip angle, 8 averages, 20 dummy scans, and scan time of 16 s). Including the systematic time lag between two sequential scans, the total scan time of the interleaved BOLD and TOLD session was approximately 49 min.

During the anatomical scans and  $R_2$  measurement, the rat typically reached a stable state with body temperature of 37 °C and respiration rate of 40–60 breaths per min. When a rat needed a longer stabilization period (0–30 min), additional  $R_2$  and  $R_1$  maps were acquired. Once the physiology became stable, an  $R_1$  measurement for baseline was acquired and then the interleaved BOLD/TOLD session was initiated. Immediately after BOLD/TOLD scan set #20 (the last baseline acquisition), the breathing gas was switched to the challenge gas. After the completion of BOLD/TOLD scan set #40, another  $R_1$  measurement for challenge gas was acquired.

#### **Histology and immunohistochemistry**

Immunohistochemical assessment of hypoxia was conducted in 14 tumors (specified in Table 1). Within 24 hr post MRI, the tumor-bearing rats received intravenous infusion of pimonidazole (60 mg/kg; Hypoxyprobe™-1 Plus Kit; Hypoxyprobe Inc., Burlington, MA, USA). From 20 min before to 45 min after the injection of pimonidazole, the rats were kept awake in a gas chamber supplying air ( $n = 10$ ) or 16% O<sub>2</sub> ( $n = 4$ ). Then, the tumors were excised from anesthetized rats and cut in half at the location replicating the MRI target slice. The tumor specimens were rapidly immersed in 4% paraformaldehyde with overnight fixation followed by a series of hydrations within 24 hr, before they were submitted for routine paraffin embedding, sectioning, and H&E staining (Histo Pathology Core, UT

Southwestern). Pimonidazole was stained in 5  $\mu$ m paraffin sections using a Hypoxyprobe™-1 Plus Kit according to the manufacturer's protocol for paraffin-embedded tissue. Whole mount images were obtained using a Zeiss Axio Scan.Z1 (Zeiss, Peabody, MA, USA).

#### **Data analysis**

MRI data were processed using MATLAB R2017a (MathWorks, Natick, MA, USA). Regions of interest (ROIs) were selected based on the  $T_2w$  anatomical images (Fig. 1a). Tumor volume was calculated from the multislice anatomical scan.  $R_1$  maps were generated by fitting the inversion-recovery data set (signal intensity, SI, vs. TI) on a voxel-by-voxel basis to a three-parameter, mono-exponential model:

$$
SI(TI) = A - B \cdot exp(-TI \cdot R_1). \quad [1]
$$

 $R_2$  (from CPMG measurement) and  $R_2^*$  (from BOLD measurement) maps were generated by fitting the transverse-relaxation data set (SI vs. TE) on a voxel-by-voxel basis to a twoparameter, mono-exponential model:

$$
SI(TE) = A \cdot exp(-TE \cdot R), \quad [2]
$$

where R represents  $R_2$  or  $R_2^*$ .

The  $T_1$ -weighted signal intensity ( $T_1w$  SI) from TOLD measurements was corrected to remove  $T^*_2$ -weighted signal decay as follows:

$$
SI = SIraw/exp(-R2* · TE), [3]
$$

where  $SI<sup>raw</sup>$  is the raw signal intensity prior to correction,  $TE = 5$  ms for all TOLD measurements, and  $R_2^*$  was determined voxel-by-voxel from the BOLD measurement preceding each TOLD measurement.

To present dynamic parameter changes,  $R_2^*$  and  $T_1w$  SI at each time point were referenced to their corresponding baseline means:

$$
\Delta R_2^*(t) \equiv R_2^*(t) - R_2^*(\text{baseline}), \quad [4a]
$$

$$
\Delta SI(t) \equiv \frac{SI(t) - SI(baseline)}{SI(baseline)} \times 100\% \quad , \quad [4b]
$$

where *t* denotes the time of measurement, and  $R_2^*$  (baseline) and SI(baseline) are the temporal means in each voxel during the designated baseline interval (Eq. 5).

$$
P(\text{period}) = \sum_{t \in \text{period}} \frac{P(t)}{n_{\text{period}}}.
$$
 [5]

(period = baseline or challenge)

In Eq. 5, P denotes the parameter of interest ( $R_2^*$  or  $T_1w$  SI) and  $n_{period}$  is the number of time points included in a given period interval. In order to allow for physiological stabilization, especially after the change of breathing gas, the baseline and challenge intervals each included the final 60% of time points during the respective gas breathing period (i.e., BOLD/TOLD scan sets 9 to 20 for baseline, and sets 29 to 40 for challenge). In 5 out of 28 MRI experiments, the baseline interval was slightly adjusted to exclude time points acquired when the rats' physiological parameters were unstable, maintaining at least 8 time points for baseline.

When presented without a time index (t),  $R_2^*$  and  $T_1w$  SI denote the difference between the (temporal) means for the gas breathing periods (Eq. 5):

$$
\Delta R_2^* \equiv R_2^*(\text{challenge}) - R_2^*(\text{baseline}) \quad \text{[6a]}
$$

$$
\Delta SI \equiv \frac{SI(challenge) - SI(baseline)}{SI(baseline)} \times 100\% .
$$
 [6b]

In the analysis of intratumoral heterogeneity, to characterize tumor response to a challenge gas, the following voxel-by-voxel classification was performed for  $R_2^*$  and  $T_1w$  SI, respectively:

$$
|\Delta P| \begin{cases} > 2 \times SD_{\text{temporal}}(\text{baseline}) & : \text{response voxel} \\ \leq 2 \times SD_{\text{temporal}}(\text{baseline}) & : \text{nonresponse voxel}, \end{cases} \tag{7}
$$

where P denotes  $R_2^*$  or  $T_1w$  SI as defined in Eq. 6, and SD<sub>temporal</sub>(baseline) is the temporal standard deviation (SD) for P over the baseline interval. Based on this criterion, each tumor voxel was classified as responsive or nonresponsive (Fig. 2a–e). The responsive fraction was calculated by dividing the number of responsive voxels by that of all tumor voxels. The derived response class assignments were then used to calculate the class-specific  $R_2^*$  and  $T_1w$  SI (Figs. 4–6).

 $SI \gg 2 \times \mu_{\text{background}}$ , [8]

where SI denotes signal intensity in each voxel and *μ*<sub>background</sub> is the mean signal intensity in

a representative background area that did not enclose any subject or apparent artefact (manually outlined). This criterion recognizes that MRI magnitude signal distribution (Rician) deviates from Gaussian-like to Rayleigh distribution under noisy conditions (SNR < 2) (55). In practice, Eq. 8 corresponds approximately to SNR  $2.5 (\mu_{\text{background}} \approx \sigma \sqrt{\pi/2} \text{ for }$ background area;  $\sigma$  being the standard deviation of Gaussian noise (55)). For R<sub>1</sub> measurements, the fitting result for a voxel was passed on to further analysis only if the inversion-recovery data set (signal intensity, SI vs. TI) in that voxel had at least 3 (out of 5) data points above the SNR threshold (Eq. 8). For  $R_2$  and  $R_2^*$  measurements, the transverserelaxation data set (SI vs. TE) in each voxel was truncated at the first data point that fell below the SNR threshold (Eq. 8) and only the data points with TE shorter than the cutoff point were used for fitting. The  $R_2$  or  $R_2^*$  fitting results for a voxel were passed on to further analysis only if the number of included data points was 3 or larger. For TOLD measurements, in each voxel, the dynamic time points that did not meet Eq. 8 were excluded from further analysis.

The goodness of fit was evaluated using the Pearson correlation coefficient  $(r)$  between data and model with the P value for testing the hypothesis that there was no relationship between data and model. The goodness-of-fit threshold was: for SC tumors,  $r^2$  0.95 with  $p < 0.05$ (for R<sub>1</sub> and R<sub>2</sub> measurements) or  $r^2$  0.9 with  $p < 0.05$  (for R<sub>2</sub>\* measurements due to relatively lower SNR in BOLD data sets); for OT tumors,  $r^2$  0.75 for R<sub>1</sub>, R<sub>2</sub>, and R<sub>2</sub><sup>\*</sup> measurements (due to relatively lower SNR in OT data sets). For BOLD measurements, fitting result for a voxel was passed on to further analysis only if the data and fit met both the SNR and goodness-of-fit criteria.

Histological images were processed in the Fiji distribution of ImageJ (56). Stain separation was achieved using the Color Deconvolution plugin for ImageJ (57). For the H&E staining, regions of viable tumor and necrotic tissue were identified (Fig. 2f and h). Viable tumor fraction was calculated by dividing the viable tumor area by the whole tumor area. Pimonidazole stained regions within the viable tumor regions were identified (Fig. 2g and i). The hypoxic fraction was calculated by dividing the pimonidazole stained area by the viable tumor area.

Student's t-tests (two-tailed, paired or unpaired, as appropriate) were performed for relevant statistical analyses with significance level  $p < 0.05$ , unless otherwise specified.

## **RESULTS**

Two weeks after implantation, the volume of SC 13762NF tumors ranged from 0.2 to 1.3  $\text{cm}^3$ , and reached 2.6 to 5.1 cm<sup>3</sup> during the third week (except for tumor #8, only reaching a volume of 1.4 cm<sup>3</sup> during the third week; Table 1). MRI and histology are shown for a representative tumor  $(\#12)$  in Figures 1 and 2. A large necrotic region was identified by H&E staining (Fig. 2f). The center of the necrotic region had visually smaller baseline (air breathing)  $R_1$ ,  $R_2$ , and  $R_2^*$  values compared to viable tumor regions in the periphery (Fig. 1b–d). Baseline parameter histograms showed extensive overlap between viable and necrotic tumor regions (Fig. 1b–e; right panel), while tumor and muscle were well separated by  $R_2$ (Fig. 1c). Within the viable tumor region, pimonidazole staining indicated a hypoxic fraction of 31%, while the tumor-bearing rat was breathing air (Fig. 2g and i). The tumor BOLD response map based on  $R_2^*$  time course (Fig. 2d) had a spatial pattern matching the tissue type map based on H&E staining (Fig. 2h): responsive voxels resided predominantly in the viable tumor periphery, whereas the nonresponsive voxels were located primarily in the necrotic tumor center. The TOLD response map revealed fewer responsive voxels compared to BOLD with responsive fractions 30% and 53%, respectively, Fig. 2e and d).

Representative time courses of BOLD and TOLD parameters ( $R_2*(t)$  and  $T_1w \cdot SI(t)$ , respectively) during gbc100 and gbc16 are shown in Figure 3 together with respiration rate and  $s<sub>p</sub>O<sub>2</sub>$  (tumor #7). Both rat and tumor physiology appeared stable during the air breathing baseline (Fig. 3). Intervention generated rapid response in  $s_pO_2$  generally yielding a new stable value within 1 min. For this rat gbc100 caused  $s_pO_2$  to increase from 83  $\pm$  3 % to 96  $\pm$  3 % ( $p$  < 0.001; values representing the highlighted periods in Fig 3), while the respiration rate initially decreased and then returned to the same level as air breathing  $(42 \pm 2$  bpm for air breathing;  $42 \pm 3$  bpm for oxygen breathing;  $p = 0.32$ ; Fig. 3a). For gbc16, s<sub>p</sub>O<sub>2</sub> decreased from 83  $\pm$  4 % to 56  $\pm$  4 % ( $p$  < 0.001), while the respiration rate remained constant (52 ± 2 bpm for air breathing;  $51 \pm 2$  bpm for 16% O<sub>2</sub> breathing;  $p = 0.15$ ; Fig. 3b). Temperature was stable within 36–37 °C (gbc100:  $36.3 \pm 0.0$  °C for air breathing *vs.* 36.8  $\pm$  0.1 °C for oxygen breathing,  $p < 0.001$ ; gbc16: 36.1  $\pm$  0.1 °C for air breathing *vs.* 35.9  $\pm$  0.1 °C for 16% O<sub>2</sub> breathing,  $p < 0.001$ ). Characteristics and MRI parameters for individual tumors are presented in Table 1.

BOLD responsive area was found to correlate with the viable tumor area identified by H&E stain in SC tumors (Fig. 4a). Both the BOLD responsive fraction and the viable tumor fraction decreased with increasing tumor volume (Fig. 4b). Based on the pimonidazole staining, hypoxic fraction within the viable tumor regions of the SC tumors was  $36 \pm 11\%$  (*n*  $= 6$ ) for air breathing, and significantly greater (58  $\pm$  17%; n = 4; p < 0.05) for the rats breathing 16%  $O_2$ . A correlation was observed between the T<sub>1</sub>w SI for gbc16 and the pimonidazole-based hypoxic fraction for tumors harvested while rats breathed 16% O<sub>2</sub> ( $t^2$  > 0.9; Supplementary Fig. S1). There was no correlation for those harvested while rats breathed air. Pimonidazole staining was found to be highly consistent between nearby slices (Supplementary Fig. S2 and Table S1).

Recognizing the association of BOLD responsive voxels to the viable tumor regions (Figs. 2 and 4a), parameter responses were classified as presented in Figure 5 and Table 1. Upon

respiratory challenge with 16% O<sub>2</sub> the BOLD responsive voxels showed significant R<sub>2</sub><sup>\*</sup> increase in all tumors ( $n=15$ ) and significant change in T<sub>1</sub>w SI in 9 of 15 tumors (Table 1). With respect to the oxygen breathing challenge, the BOLD responsive voxels showed significant  $R_2^*$  decrease and  $T_1w$  SI increase in all tumors ( $n = 6$ ) (Table 1). For each volume category (small and large), the parameter changes triggered by 16% and 100%  $O<sub>2</sub>$ were significantly different ( $p < 0.05$ ; Fig. 5). In the BOLD responsive regions mean  $R_2^*$ increased for gbc16 (small tumors:  $+4.4 \pm 1.7$  s<sup>-1</sup>; large tumors:  $+4.1 \pm 1.4$  s<sup>-1</sup>) and decreased for gbc100 (small tumors:  $-2.4 \pm 1.5$  s<sup>-1</sup>; large tumors:  $-4.3 \pm 3.8$  s<sup>-1</sup>). The T<sub>1</sub>w SI increased for gbc100 (small tumors:  $+4.2 \pm 1.8\%$ ; large tumors:  $+6.3 \pm 4.2\%$ ). Remarkably, gbc16 triggered a binary  $T_1w$  response: decreased  $T_1w$  SI in small tumors  $(-1.1 \pm 1.5\%; e.g., Fig. 3b)$ , but increased T<sub>1</sub>w SI in large tumors  $(+1.4 \pm 2.4\%)$ . Histograms demonstrating the voxel-by-voxel  $T_1w$  response for individual tumors are shown in Supplementary Figure S3. A typical time course of BOLD and TOLD parameters for a tumor exhibiting apparently contradictory  $T_1w$  response with gbc16 is shown in Supplementary Figure S4. In this particular tumor (#10), there was some initial instability, which settled after about 12 min and then all parameters remained stable until the switch of breathing gas to 16%  $O_2$ .  $R_2^*$  responded rapidly appearing similar to the tumor in Figure 3, while the T<sub>1</sub>w signal increased gradually, but significantly ( $p < 0.001$ ). Responses of R<sub>2</sub><sup>\*</sup> and  $T_1w$  SI in individual tumors to the gas challenges are compared in Figure 6, together with the proposed contributing factors. For gbc100, tumor responses were confined to the upper-left quadrant  $(R_2^*$  decreased;  $T_1w$  SI increased). For gbc16, the responses spread across both upper-right and lower-right quadrants ( $R_2^*$  increased; binary response in T<sub>1</sub>w SI, sensitive to tumor volume).

To extend the study with more biological relevance to breast cancer, we also examined a cohort of 13762NF tumors growing in the lower mammary fat pad. The OT tumors grew faster reaching a mean volume of 3.9  $\pm$ 1.3 cm<sup>3</sup> in 15 days, compared with 0.8  $\pm$  0.4 cm<sup>3</sup> in 15 days and  $3.4 \pm 0.9$  cm<sup>3</sup> in 21 days for the SC tumors. Extensive central necrosis was observed for both tumor sites (Supplementary Fig. S5). The OT tumors developed a necrotic fraction of 46  $\pm$  10 % (n = 4), similar to the SC (30  $\pm$  15 %; n = 10). MRI parametric images also showed intratumoral heterogeneity for OT tumors (Supplementary Fig. S5), similar to SC tumors. Baseline  $R_1$  and  $R_2$  in OT tumors were significantly greater than SC ( $p < 0.05$ ). The OT and SC tumors shared a similar pattern with respect to the BOLD and TOLD responses to hypoxic gas breathing, with larger magnitude of responses for OT tumors (Fig. 6).

 $R_1$  measurements required relatively long scan time and were implemented mainly for validating the T<sub>1</sub>w results. A strong correlation was found between the responses of T<sub>1</sub>w SI and  $R_1$  to gas breathing challenge (Supplementary Fig. S6) when analyzing the closest TOLD time points to the adjacent  $R_1$  measurements. The binary response to gbc16 was also observed for  $R_1$  data (Table 1), although  $R_1$  data may be affected baseline drifting in some tumors (Supplementary Fig. S4). While we focused on the acute response to gas breathing challenge, longitudinal stability over a longer time frame may also be important. For those SC tumors undergoing both gbc100 and gbc16 we compared the air-breathing baseline measurements on consecutive days. There were no significant differences in mean values for the groups of tumors:  $R_1(\text{day 1}) = 0.49 \pm 0.04 \text{ s}^{-1}$  vs.  $R_1(\text{day 2}) = 0.52 \pm 0.08 \text{ s}^{-1}$ 

 $(p = 0.40$ , unpaired *t*-test;  $p = 0.29$ , paired *t*-test) and R<sub>2</sub>\*(day 1) = 44.0 ± 8.4 s<sup>-1</sup> vs.  $R_2*(day 2) = 47.8 \pm 11.6 \text{ s}^{-1}$  ( $p = 0.53$ , unpaired *t*-test;  $p = 0.33$ , paired *t*-test). A distinct correlation was observed between  $R_1$  with respect to gbc100 *vs.* gbc16 ( $r^2 = 0.71$ ).  $R_2^*$ showed no similar correlation ( $t^2 = 0.09$ ), but if a single measurement (tumor #9) was eliminated as outlier then  $r^2 = 0.77$ .

## **DISCUSSION**

The primary goal of this study was to explore the oxygen-sensitive MRI response of tumors to hypoxic gas breathing challenge. BOLD and TOLD measurements were successfully performed on both SC and OT rat 13762NF breast tumors. BOLD response showed increased  $R_2^*$  consistent with conversion of oxy- to deoxyhemoglobin accompanying 16%  $O_2$  breathing challenge, which mirrored the response to 100%  $O_2$  breathing challenge. Meanwhile, the TOLD response showed the expected increase in  $T_1w$  signal (and  $R_1$ ) with 100%  $O_2$ , but binary behavior with 16%  $O_2$ , whereby  $T_1w$  signal decreased in some tumors but increased in others.

In common with previous reports, the rat 13762NF tumor model was found to develop extensive central necrosis (36,58–60), which replicates the pathological feature in many clinical cases of primary breast cancer (61). Intratumoral heterogeneity is an important factor to be considered in the analysis and interpretation of tumor MRI data. Subregionbased parameters may provide a more comprehensive and accurate representation of tumor characteristics compared to whole-tumor average parameters (8,27,62–65). Manually drawn ROIs may attempt to differentiate the viable and necrotic regions (based on the visual contrast provided by the static parameter maps; Fig. 1b–e, left panel), but the histograms of these regions overlapped (Fig. 1b–e, right panel). An alternative approach examined the intratumoral heterogeneity in oxygenation response by classifying voxel-wise time courses of BOLD and TOLD parameters (Fig. 2b–e). The response classification based on the BOLD contrast (Fig. 2d) showed similarity with H&E staining (Fig. 2h; Fig. 4a). If a voxel was classified as BOLD responsive, it indicates that the voxel had access to vascular perfusion. On the other hand, the response classification based on the TOLD contrast is subject to the net balance between local oxygen supply and consumption (5,18,30). Indeed, the TOLD responsive area (Fig. 2e) was smaller than the BOLD responsive area (Fig. 2d, e). The correlation between the BOLD responsive area and the viable tumor area identified by H&E staining (Fig. 4a) shows the connection between the (vascular) functional heterogeneity and the biological/pathological heterogeneity, consistent with a recent report regarding rat subcutaneous C6 gliomas (63). Based on this correlation, the BOLD response map was used as a filter to select the perfused responsive tumor regions. Both the BOLD responsive fraction and viable tumor fraction decreased with increasing tumor volume (Fig. 4b).

Necrotic regions have been identified using diffusion methods (ADC and IVIM) based on the intrinsic tissue water properties (66,67) or DCE MRI based on the intravenous infusion of gadolinium contrast agents (8,68,69). BOLD contrast has been compared to the nonmodel-based DCE parameters and showed similar capability of imaging vasculature (48) and revealing intratumoral heterogeneity (63,70). Potential advantages of using BOLD response

to classify intratumoral heterogeneity include: 1) BOLD response relates directly to tumor oxygenation (36); 2) BOLD measurements can be interleaved with TOLD measurements for a single gas breathing intervention, avoiding the need for an additional scanning sequence such as DCE or IVIM; 3) avoiding the need for exogenous gadolinium contrast agents, which have become controversial for routine use (71).

Tumors were found to be distinctly heterogeneous with regional differences in MR parameters at baseline (Fig. 1). Local heterogeneity was also observed with respect to gas breathing challenge: some regions (voxels) showed significant response to intervention whereas others remained essentially unchanged (Fig. 2). When voxels were clustered based on parametric response to each intervention (Figs. 3 and S1),  $R_2^*$  showed a biphasic response to each gas with an initial rapid component over the first minute, reflecting well perfused arteriolar components, followed by a slower phase which continued for several minutes (Fig. 3). This is similar to the observation in this tumor type based on near-infrared spectroscopy of hemoglobin saturation accompanying a gas breathing challenge (67,72). The magnitude of  $R_2^*$  response for gbc16 was generally larger than that for gbc100 (Figs. 3, 5, and 6), although gbc16 made a relatively smaller change in the inspired oxygen fraction ( $f<sub>i</sub>O<sub>2</sub>$ ). This "asymmetrical"  $R<sub>2</sub>$ \* response has also been reported for rat abdominal organs (50), mouse placenta and fetus (28). Due to the nonlinear (sigmoidal) shape of the oxygenhemoglobin dissociation curve, the change in local deoxyhemoglobin concentration ([dHb]) in the blood depends not only on the magnitude of change in  $f<sub>i</sub>O<sub>2</sub>$  but also on the local, baseline oxygenation state  $(i.e.,$  the location on the dissociation curve). A "downhill" move across the steep portion of the dissociation curve, even triggered by a relatively small change in f<sub>i</sub>O<sub>2</sub>, may result in a larger change in local [dHb] (and hence  $R_2^*$ ) than an "uphill" move towards the plateau portion of the curve (illustrated in Fig. 1 of (8)). This may be particularly relevant to tumors due to poor oxygen delivery and large oxygen consumption.  $T_1$ w signal also showed a biphasic response (Fig. 3).

In this study, the hyperoxic gas breathing challenge (gbc100) served as a reference for the hypoxic gas breathing challenge (gbc16). The BOLD and TOLD responses to gbc100 (Fig. 5) agree with the "classical" observations: increased blood oxygenation (decreased  $R_2^*$ ) and increased tissue oxygenation (increased  $T_1w$  SI) (7,8,18,19,22–24,26,27,31). For gbc16, the BOLD response mirrored that observed for gbc100 (Fig. 5a): decreased blood oxygenation (increased  $\mathbb{R}_2^*$ ). A similar trend of BOLD response to hypoxic gas breathing was reported for normal abdominal organs (50), placenta (28), and the brain (46). Remarkably, for gbc16,  $T_1$ w SI decreased in small SC tumors, but increased in larger SC tumors (Figs. 5b and 6), demonstrating a binary response associated with tumor volume. The basis for TOLD measurements is the dependence of tissue  $R_1$  (and hence  $T_1w$  SI) on the concentration of molecular oxygen (an endogenous paramagnetic contrast agent) dissolved in the tissue fluid, [O<sub>2</sub>] (5). For the hyperoxic gas breathing challenges, the dominant factor underlying an R<sub>1</sub> increase in tumor is typically the increased tissue  $pO_2$  (proportional to  $[O_2]$ ) (7,8,18,26,27,30) — the classical TOLD effect. Yet, under certain circumstances, the vascular components may also have an observable impact on  $R_1$  in a tumor voxel. Assuming constant hematocrit within the experimental time period,  $R_1$  can be altered by a change in the concentration of the paramagnetic deoxyhemoglobin, which can be considered another facet of BOLD contrast (43–45). This study employed a hypoxic gas breathing challenge, so

that the impact of [dHb] on  $R_1$  may be enlarged in the presence of decreased blood  $sO_2$ (increased [dHb]; Figs 5 and 6). For gbc16, the "classical" TOLD response should be decreased  $T_1w$  SI (the lower-right quadrant in Fig. 6), signifying decreased pO<sub>2</sub>. By contrast, the alternative TOLD response to gbc16 would be increased  $T_1w$  SI (the upperright quadrant in Fig. 6). This alternative response should not be attributed to a hypothetical "increased  $pO_2$ ", because the oxygen supply was globally reduced (Fig. 4b) and pimonidazole staining verified increased hypoxic fraction from air breathing (36  $\pm$  11%; n = 6) to 16% O<sub>2</sub> breathing (58  $\pm$  17%; n = 4). These data indicate the importance of combining BOLD and TOLD measurements for the correct interpretation of tumor oxygenation properties.

Rapidly interleaved BOLD and TOLD measurements allowed monitoring of baseline stability and filtering out the highly unstable portion of baseline for data analysis. Some animals showed initial instability of both systemic physiological and BOLD and TOLD parameters, but once the baseline parameters stabilized they remained consistent until the intervention (Fig. S4). In 5 out of 28 MRI sessions, the baseline interval for BOLD and TOLD data analysis was slightly adjusted to exclude time points acquired when the rats' physiology was unstable, maintaining at least 8 time points for baseline. While inversionrecovery measurement of  $R_1$  potentially provides higher precision, any artifacts due to baseline instability during the 8-min  $R_1$  measurement could not be readily identified and eliminated. Moreover, any significant drift in  $R_1$  is immediately apparent in the dynamic  $T_1$ w time course, but is not obvious when compared with  $R_1$  measured several minutes apart. Therefore,  $R_1$  data were mainly used for validating  $T_1$ w measurements in this study (Fig. S6).

In a strict sense, the R<sub>1</sub> and T<sub>1</sub>w SI we report could be considered  $R_1^*$  (apparent R<sub>1</sub>) and  $T_1$ <sup>\*</sup>w SI due to the "in-flow" effect (73). Change in blood flow and volume can potentially alter the apparent R1 (11,73,74). This factor is often neglected in the simplified modeling of oxygen-sensitive parameters (5). To systematically evaluate the impact of blood flow and volume during gas breathing challenge, one would need to implement perfusion-sensitive methods (75) or flow suppression control (76), which was beyond the scope of this study.  $R_1$ is also sensitive to changes in temperature ( $\sim$ 3% per <sup>o</sup>C *vs.* 0.1% per Torr (18,42)) and thus it is crucial to maintain stable animal temperature. Here most animals showed stable rectal temperature within  $1 \degree C$  over the 50 min experimental time course. Five TI values were used for  $R_1$  measurements in order to limit the scan time. If a longer scan time were feasible, biexponential modeling of the inversion-recovery data set could be attempted by acquiring more TI values ( $e.g., 15–64$  TIs (77,78)). Bi-exponential modeling may potentially reveal subtle biophysical factors ( $e.g.,$  magnetization transfer (77) and intercompartmental water exchange (78)), compared to an apparent  $R_1$  based on mono-exponential modeling, although the correct interpretation of bi-exponential components is often challenging.

## **CONCLUSION**

To explore tumor response to hypoxic gas breathing, oxygen-sensitive MRI was conducted in subcutaneous and orthotopic rat 13762NF tumors. The subcutaneous cohort served as a benchmark for investigating factors contributing to the MRI observations, which included

the use of hyperoxic gas as the control. The orthotopic cohort was included to expand the study with more biological relevance to breast cancer. Despite the difference in growth rate, both tumor sites were associated with similar patterns regarding necrosis formation and MRI responses to hypoxic gas breathing. BOLD responses behaved as expected with both hyperoxic and hypoxic gas breathing challenges, whereas TOLD responses were apparently contradictory in many tumors undergoing hypoxic gas breathing challenge (increased, instead of decreased,  $T_1w$  signal), attributable to the increase in paramagnetic deoxyhemoglobin exceeding the loss of paramagnetic oxygen. The current results provide a caveat for interpreting oxygen-sensitive MRI and indicate the importance of combining BOLD and TOLD approaches.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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**Figure 1. MRI parameter maps and histograms at baseline (air breathing) for a representative subcutaneous 13762NF tumor (#12).**

a:  $T_2$ w anatomical image with the transaxial view of the tumor-bearing thigh. ROIs were manually selected. Muscle ROI (yellow) was selected excluding the thigh bone region. b–e: maps of baseline  $R_1$ ,  $R_2$ ,  $R_2^*$ , and  $T_1w$  SI, respectively (left panel) and the corresponding histograms (right panel). To illustrate the viable and necrotic tumor regions, respectively, the red and blue ROIs (a) were drawn based on the visual contrast in the parameter maps (b–e) with reference to the H&E staining (Fig. 2f).



#### **Figure 2. Data processing procedure (illustrated for the same tumor as in Fig. 1).**

a:  $T<sub>2</sub>w$  anatomical image of the tumor. Two representative voxels were chosen (red and blue squares) to demonstrate the procedure of voxel-by-voxel response classification (for better visualization, the square is 8 times larger than the actual voxel). The red voxel was classified as responsive based on the  $R_2^*$  (b) or the T<sub>1</sub>w SI (c) time course, while the blue voxel was classified as nonresponsive. The maps of (d) BOLD and (e) TOLD response were derived using the (b)  $R_2^*$  and (c)  $T_1w$  SI classifications, respectively. Histological images (f and g) were converted to tissue type map (h; viable tumor in magenta; necrotic tissue in pink) and hypoxia map (i; pimonidazole stained regions in yellow) based on color deconvolution. Pimonidazole stain in the necrotic regions (g) was excluded (based on the tissue type map; h) from the hypoxia map (i). For this tumor, pimonidazole was administered while the rat breathed air (Table 1).

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#### **Figure 3. Time courses of oxygen-sensitive parameters with respect to gas breathing challenge using (a) 100% O2 and (b) 16% O2 (subcutaneous tumor #7).**

Top row: physiological parameters (respiration rate (green); peripheral arterial oxygen saturation,  $s_pO_2$ , on the forearm (purple)); middle row:  $R_2*(t)$  (Eq. 4a); bottom row:  $T_1w$  $\text{SI}(t)$  expressed as percentage of baseline (Eq. 4b). The time courses for responsive (red) and nonresponsive (blue) classes are shown separately (mean  $\pm$  SEM at each time point), based on the BOLD and TOLD classification, respectively (Eq. 7; Fig. 2). The designated

baseline and challenge intervals (Eq. 5) are highlighted in yellow. Data within these intervals were used to calculate the mean parameter changes (Eq. 6; Figs. 5 and 6). Switch of gas is marked by the gray vertical lines.

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a: Significant correlation was observed between the viable tumor area identified by H&E stain and BOLD responsive area ( $r^2 = 0.78$ ;  $p < 0.001$ ). The plot shows the BOLD results from gbc16 experiment performed the day before histology ( $n = 10$ ; Table 1). b: Significant correlations were observed between viable tumor fraction (filled circles;  $r^2 = 0.43$ ;  $p < 0.05$ ) or BOLD responsive fraction (open circles;  $r^2 = 0.27$ ;  $p < 0.05$ ) and tumor volume. BOLD responsive fractions include those determined with gbc16 ( $n = 15$ ) and gbc100 ( $n = 6$ ).



**Figure 5. Mean**  $R_2^*$  (a) and  $T_1w$   $S_I$  (b) associated with the BOLD responsive regions in **subcutaneous tumors.**

Values are shown as group mean  $\pm$  SD, according to the type of challenge and volume category. Asterisks mark significant difference ( $p < 0.05$ ) between groups.

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#### **Figure 6. Two-parameter oxygenation profile for individual tumors.**

Each tumor is located according to the  $R_2^*$  and  $T_1w$  SI values (mean  $\pm$  SEM) associated with the BOLD responsive voxels. Data points are labeled according to tumor location and challenge type: open circles for small SC tumors; filled circles for large SC tumors; red circles for gbc16; green circles for gbc100; black triangles for OT tumors in the lower mammary fat pad (gbc16). Proposed contributing factors ([dHb] and  $pO<sub>2</sub>$ ) underlying the tumor responses are given in the corresponding quadrants. The solid connecting lines indicate the dominating factor, while the dashed connection lines indicate the minor factor.



**Table 1.**

MR parameters (mean ± SEM, unless otherwise specified).

MR parameters (mean  $\pm$  SEM, unless otherwise specified).

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 $\!^d$  .<br>The rat breathed air with respect to pinnonidazole injection. : The rat breathed air with respect to pimonidazole injection.

 $\stackrel{b}{\text{.}}$  The rat breathed 16% O2 with respect to pimonidazole injection. : The rat breathed 16% O2 with respect to pimonidazole injection.

 $\sigma_{\rm c}$ **:** Unpaired t-tests (challenge vs. baseline) for each tumor.

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 $p < 0.001$ .