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## **Association between change in brain gray matter volume, cognition, and depression severity: pre- and postantidepressant pharmacotherapy for late-life depression**

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## **Abstract**

Late-life depression (LLD) is associated with cognitive impairments and reduced gray matter volume (GMV); however the mechanisms underlying this association are not well understood. The goal of this study was to characterize changes in depression severity, cognitive function, and brain structure associated with pharmacologic antidepressant treatment for LLD. We administered a detailed neurocognitive battery and conducted structural magnetic resonance imaging (MRI) on 26 individuals with LLD, pre-/post- a 12-week treatment trial with venlafaxine. After calculating changes in cognitive performance, GMV, and depression severity, we calculated Pearson's correlations, performed permutation testing, and false discovery rate correction. We found that loss of GMV over 12 weeks in the superior orbital frontal gyrus was associated with less improvement in depression severity and that increased GMV in the same was associated with greater improvement in depression severity. We detected no associations between changes in cognitive performance and improvements in either depressive symptoms or changes in GMV.

## **Keywords**

late-life depression; MRI; cognition

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## **Introduction**

Late-life depression (LLD), defined as major depression in individuals over age 65, affects 5–8% of adults 65 and older (Buchtemann et al., 2012; Panza et al., 2010), is associated with functional disability, medical and psychiatric hospitalizations followed by institutionalization, worsened medical comorbidities, and increases in all-cause mortality. LLD also doubles the risk of developing dementia (Diniz et al., 2013), and is linked with cerebral dysfunction in several frontal and limbic regions (Alvarez and Emory, 2006; Bouckaert et al., 2016; Drevets, 2000; Mackin et al., 2014; Vasic et al., 2008), and investigation of these associations may lead to improved understanding and care. Previous work has indicated that greater cognitive performance correlates with lower depression severity, however this relationship is complex and indirect (Arvanitakis et al., 2016; Butters et al., 2011; Mulsant et al., 2006). Other evidence indicates an association between greater gray matter volume (GMV) and greater cognitive performance (Papenberg et al., 2016; Shimoda et al., 2015; Smagula et al., 2016).

Imaging techniques, including functional MRI (fMRI), have identified an association between depression severity in LLD and structural volumes as well as functional brain activation (Diniz et al., 2015; Khalaf et al., 2015). Some older adults treated for depression show better performance on cognitive measures, which in turn has been associated with greater gray matter volume (GMV) (Diniz et al., 2015; Manard et al., 2016). Voxel-based morphometry studies have consistently observed lower GMV in frontal and limbic regions associated with poorer performance on executive function tasks (Li et al., 2010; Rao et al., 2007). Other studies have shown greater gray matter volume following successful electroconvulsive therapy (Bouckaert et al., 2016).

Another consistent finding is low hippocampal volumes in individuals with depression, which is supported by the glucocorticoid hypothesis in depression (Andreescu et al., 2008; Butters et al., 2008; Gerritsen et al., 2011; Hickie et al., 2005; Janssen et al., 2007; Lloyd et al., 2004; Sawyer et al., 2012). It states that depression is neurotoxic to the brain and memory capacity may be affected through an overactive hypothalamic-pituitary-adrenal (HPA) activation, which can lead to chronic glucocorticoid exposure (the hippocampus being acutely sensitive to this effect). It is thought that this effect is also more prevalent in late-onset depression compared to early onset depression (Andreescu et al., 2008; Hickie et al., 2005; Lloyd et al., 2004).

We aimed to investigate associations between intervention-related changes in GMV, depression severity, and cognition. Understanding these longitudinal relationships may provide additional insight into the neurophysiological processes underlying the relationship between depression treatment response variability and the risk of neurocognitive decline in late life.

## **Methods**

## **Study Design and Participants**

All participants (N=26) were > 60 years old and met criteria for an episode of major depression that was diagnosed according to DSM-IV criteria and scored at least 15 on the Montgomery-Asberg Depression rating scale (MADRS) (Montgomery and Asberg, 1979). The methods of the study have been described previously (Karim et al., 2016). All participants had a 12-week, open-label trial of venlafaxine, with MRI scans prior to and after treatment. The University of Pittsburgh Institutional Review Board approved this study and all participants provided written informed consent prior to scanning. Years lived with depression was defined as current age minus age of first lifetime episode of major depression. Participants on antidepressant medication underwent a washout period of 2 weeks prior to starting treatment (6 weeks if on fluoxetine).

#### **Exclusion Criteria**

Participants were excluded if they met any of the following criteria: history of mania or psychosis, alcohol or substance abuse within the last 3 months, dementia, stroke, neurodegenerative disease (e.g., Parkinson's Disease, multiple sclerosis), or unstable medical conditions which could complicate treatment response (e.g., uncontrolled severe hypertension). Five MRI scans were performed following informed consent, however only two were used in this analysis: baseline and end of the trial (12 weeks). A total of 37 participants signed consent but some were excluded due to venlafaxine side effects  $(N=2)$ , non-adherence to protocol  $(N=1)$ , an inaccurate diagnosis of major depressive disorder  $(N=1)$ , or did not complete both scans and/or neurocognitive assessments (N=7). Participants (N=26) were classified as responders if they had a change in MADRS greater than or equal to 50 percent.

#### **Dosage Information and Group Classification**

Venlafaxine was started at 37.5mg and was titrated in 37.5mg increments every 3 days, to a target of 150mg/day. At approximately 6 weeks, non-responders had their dose increased in 37.5–75mg increments to a target dose of 300mg/day.

#### **Neurocognitive Assessments**

Along with the Mini-Mental State Examination (MMSE), neurocognitive performance was assessed using the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS)(Randolph et al., 1998) as well as selected subtests of the Delis-Kaplan Executive Function System (DKEFS) (Delis, 2001) at baseline and after 12 weeks of treatment with venlafaxine. Participants were excluded if they had an MMSE less < 25.

The RBANS measures performance and aptitude in attention, language, visuospatial functioning, and delayed memory. All raw scores are standardized to index scores based on age. The subtests from the RBANS included in this analysis were: (1) Coding task to measure sustained attention and information speed; (2) List and Story recall to measure delayed recall of verbal information; (3) Figure recall to measure delayed recall of visuospatial information.

Three subtests were used from the D-KEFS to measure set shifting (Trail Making), response inhibition (Color-Word Interference test), and combined inhibition and set shifting (Color-Word Interference test: inhibition component). Raw scores of this assessment were standardized based on their respective tests' age-based norms.

#### **MRI data acquisition**

MRI data was collected (at baseline and 12 weeks) using a 3T Siemens Trio TIM scanner with a 12-channel head coil. An axial whole-brain high-resolution T1-weighted MPRAGE sequence was collected (repetition time=2300 ms, inversion time=900 ms, flip angle=9°) with a field of view 256×224 and 176 slices.

#### **Region of Interest (ROI) selection**

ROIs were selected due to evidence supporting associations between grey matter atrophy across all frontal regions and the limbic system as well as limbic system-associated regions (Vasic et al., 2008; Wu et al., 2006).

The Automated Anatomical Labeling (AAL) template was used to define twelve ROI's: bilateral amygdala, hippocampus, parahippocampus, and inferior, middle, and superior frontal gyrus (each separated into operculum, triangular, and orbital aspects). These were based on previous findings from VBM and AAL studies that suggest a relationship between worse atrophy in frontal and limbic regions and greater depression severity (Amico et al., 2011; Li et al., 2010; Rao et al., 2007).

#### **Calculating ROI Volumes**

We used the automated labeling pipeline (ALP), which has been described in detail (Wu et al., 2006). After the structural image was skull-stripped automatically with FSL's Brain Extraction Tool using the default parameters, trained students in the lab manually corrected it in ITK-SNAP (Insight Toolkit (Yoo, 2004)). This method starts with a grid-based piecewise linear registration and then uses a demons (deformable image registration algorithm) registration algorithm as a fine-tuning procedure for a voxel-level spatial deformation (registration library in ITK (Yoo, 2004)). The fully deformable registration allows for a high degree of spatial deformation, which seems to give it a particular advantage over other standard registration packages.

Following this coregistration, we computed the size of each ROI and divided this by the total size of the brain (intracranial volume, ICV), which accounts for differences in total size. The brain was manually (by trained students in ITK-SNAP) skull-stripped (which generates a mask of gray/white matter and cerebrospinal fluid) and the total volume of this mask was the ICV. To measure changes in and associations between depression severity, GMV, and cognitive performance, we computed change scores by calculating percent change from baseline [(baseline - post treatment)/baseline\*100]. Positive changes in MADRS and in cognition indicated improvement while in GMV positive change indicated increased volume. This was done for all seven cognitive variables, twelve ROI's, and MADRS.

#### **Statistical Analysis**

This was a hypothesis generating (exploratory) data analysis in which we measured changes in and associations between depression severity, GMV, and cognition. Descriptive statistics are presented in Table 1 (as median and interquartile range). To test differences between responders and non-responders Wilcoxon's rank sum was used for continuous measures Fisher's exact test for categorical or binary measures. Pearson's correlation was used to test the association between our variables of interest (103 possible associations). Due to the small sample size, permutation testing (5000 iterations) was performed to generate a distribution for each association and to compute a p-value. To control for multiple comparisons we used false discovery rate (FDR) correction with  $\alpha$  < 0.05 (Benjamini and Hochberg, 1995). Bootstrapping (5000 iterations) was used to estimate 95% confidence intervals, which were not corrected for multiple comparisons. We anticipated that many correlations would not survive FDR correction but wished to provide information about which larger associations could be of interest in more adequately powered studies.

## **Results**

#### **GMV Changes and Improvement in Depression Severity**

After correcting for multiple comparisons (via FDR), only one association was significant. We found that a decrease of GMV (maximum decrease of  $0.74 \text{cm}^3$ ) in the superior orbital frontal gyrus (SFG Orbital, average volume of 8.1cm<sup>3</sup> with [min, max]= $[6.02 \text{cm}^3, 9.69 \text{cm}^3]$ ) was associated with less improvement in depression severity  $r(25)=0.636$ ,  $p<0.001$  and a 95% confidence interval (CI<sub>unc</sub>) equal to [0.35,0.80] (see Fig 1). Increased GMV (maximum increase of  $0.63 \text{cm}^3$ ) in this region was associated with high improvement in depression symptoms. Change in GMV was not associated with serum venla/desvenla (or dosage) or years lived with depression (age minus age of first depressive episode), however the changes in superior frontal gyrus (SFG) were associated with years lived with depression  $r(21)=$  $-0.52$ , p< $0.05$ .

## **Effects Requiring Further Study**

Considering the relevance of this dataset for informing future longitudinal research, and the small sample size we report the strength of these associations as measured by Pearson's correlation even though they did not reach the statistical significance after controlling for multiple comparisons, in order to foster further testing in adequately powered studies. The correlation and respective 95% CI<sub>unc</sub> are presented for all the investigated regions. Only variables that had CI<sub>unc</sub> that did not include zero are reported.

Change in Amygdala GMV was associated with changes in depression severity  $r(25)=0.465$  $[0.04, 0.76]$ , which seemed to also be associated with years lived with depression  $r(21)$ = −0.51, p<0.05. Even after considering uncorrected associations, we found that changes in depression severity were not directly associated with changes in neurocognitive test performance. Change in inferior frontal gyrus (triangular) GMV was positively associated with improvement in figure recall (visuospatial and constructional competence)  $r(25)=0.366$ [0.01,0.64]. Middle frontal gyrus GMV was negatively associated with color-word interference test (inhibition) r(25)=0.442 [−0.74,−0.06], which was also associated with

years lived with depression r(21)=−0.45, p<0.05. Inferior frontal gyrus (orbital) GMV was negatively associated with improvement in difference in set-shifting (adjusted for motor speed) r(25)=0.421 [-0.76,-0.02].

## **Discussion**

We found that the change in superior frontal gyrus GMV was positively associated with improvement in depression severity (as measured by MADRS). While all participants improved in MADRS, those who had the smallest improvement also had the greatest atrophy in this region. We did not detect a significant association between improvement in neurocognitive performance with either improvement in depressive symptoms or changes in GMV.

Previous studies have shown altered orbitofrontal frontal cortex connectivity in major depressive disorder (Tadayonnejad et al., 2014). Greater superior frontal volumes (Marano et al., 2015) as well as greater (Ballmaier et al., 2004; Lai et al., 2000) and lower (Lai et al., 2000; Taylor et al., 2007) volumes in orbitofrontal regions have also been associated with late life depression. We also found that those with the largest improvement in depression severity had increased GMV in this region. This is consistent with previous studies that have found associations between depression severity and volumetric changes in superior orbital frontal areas (Hou et al., 2016). Lower GMV in this region may be interpreted as persistent phenomena where continued depressive symptoms slightly reduce GMV while improvement of those symptoms may increase those volumes, which may be more consistent with findings that the orbitofrontal regions have lower volumes in depressed individuals.

Some associations (while not surviving multiple comparisons correction) showed effects that require further study to determine their clinical significance. We found that the amygdala gray matter volume seemed to have a similar association with depression severity. Improvement in depression severity was not significantly associated with improvement in cognitive test performance, even after considering uncorrected associations. This is supported by previous findings that identify depression as not being directly related with cognitive performance (Gallassi et al., 2001; Lichtenberg et al., 1995). This is not surprising given our previous findings that cognitive impairment in LLD is trait-like and persists beyond the depressive episode (Koenig et al., 2015).

While cognitive scores were not directly associated with changes in depression severity, there were some associations with GMV. Specifically, we found that increased GMV in the inferior frontal gyrus (triangular) was associated with better performance on figure recall (visuospatial memory). Increased middle frontal gyrus GMV was associated with worse inhibition. A similar association was found between the inferior frontal gyrus (orbital) and difference in set shifting. These results require further confirmatory longitudinal studies.

Years lived with depression (age minus age of first depressive episode) was significantly associated with changes in amygdala volume, middle frontal gyrus (orbital), and superior frontal gyrus, but was not associated with change in SFG (orbital) GMV. This further supports the neurotoxic effects of depression on the development and plasticity of the brain,

where a greater number of years lived with depression seem to be a factor in determining changes in GMV. However, we found that SFG (orbital) volume changes were associated with changes in depression severity in the trial and not to years lived with depression (although SFG volume was associated with years lived with depression), which suggests that there exists some neurobiological mechanism that drives these changes. A possible mechanism is an increase in glial density in the OFC that may occur after successful pharmacotherapy, which continues on its intended track in those that experience a less successful treatment course. Past studies have indicated differences in glial density (Rajkowska et al., 1999) and it is possible that glial density increases following pharmacotherapy (though that is currently speculative). The OFC is a critical region that is involved in emotion regulation (Goldin et al., 2008) and structural changes in this region (either through aging related changes in volume or increased white matter lesions) may both predispose individuals to depression (low cognitive control of emotions) as well as affect their responsiveness to pharmacotherapy.

These data contribute to the growing body of research that supports the relationship between LLD severity, GMV atrophy, and cognitive impairments. Previous findings have established a relationship between depression severity and GMV across all cortices and limbic structures (Alvarez and Emory, 2006; Bouckaert et al., 2016; Drevets, 2000; Vasic et al., 2008). The literature has shown that while there is a slight improvement in cognition in late life coinciding with improvement in depression severity, although this relationship is more complex and is indirect (Arvanitakis et al., 2016; Butters et al., 2011; Mackin et al., 2014; Mulsant et al., 2006). However, there is evidence that directly correlates higher GMV and improved cognitive performance (Papenberg et al., 2016; Shimoda et al., 2015; Smagula et al., 2016) and our previous work suggests that depression is associated with accelerated molecular brain aging and persistent cognitive impairment (Diniz et al., 2017; Diniz et al., 2015).

The current study is longitudinal and analyses are all bidirectional correlation analyses, thus causal relationships are not specifically tested. The present results should be viewed in light of several limitations. This study has a relatively small sample size  $(N=26)$ , thus further investigation is required in a larger sample to determine the clinical significance of these associations. This study was conducted in a late-life sample and so these results cannot be generalized to mid-life depression. There is no control group, so we cannot compare these treatment-related changes in a clinical sample to a non-depressed group. Past studies have shown changes in GMV in the hippocampus following acute exercise interventions (Erickson et al., 2011), in the visual and frontal cortex following juggling (Draganski et al., 2004) (even after only 7 days of training (Driemeyer et al., 2008)), and even in Heschl's gyrus following musical training (Hyde et al., 2009), however it is possible that some of this variance is likely accounted for by artifact, motion across time, and even the toolbox used to analyze the data. While we have previously shown that reproducibility within repeated measures designs is higher (Tudorascu et al., 2016) and much of the variability between studies is likely due to different toolboxes (or different acquisitions), considering the large number of studies that report such changes, it is unlikely that all the variance is due solely to artifacts or motion and that there is an underlying neuroplastic mechanism in the brain that drives such changes.

There are several such mechanisms that may increase GMV: tissue volume changes could be due to synaptic changes (though this may be an unlikely mechanism in late-life), glial changes (which are thought to be the most dominant mechanism), and/or increases in dendritic length. Each of these changes could increase or decrease observed GMV, however several other mechanisms involve changes in fluid volume, where past studies have shown changes in pulsatility and other tissue properties that may affect volume (Desmidt et al., 2017).

Using pre- and post- treatment data, this project attempted to provide an integrated view of the relationships among changes in GMV, cognition, and depressive symptoms during opentrial pharmacotherapy of older adults with major depression. Further understanding of these processes may provide additional insight into the risk for dementia posed by depression, the benefits of successful treatment with antidepressants for brain structure and function, and may elucidate cases in which depression is a prodromal expression of dementia, rather than a true risk factor.

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## **Figure 1.**

The association between changes in superior frontal gyrus (orbital) GMV and changes in MADRS (depression severity). Note that all participants improved (some less than others) – none worsened during treatment. Also, there are both increases and decreases in GMV. Responders are labeled in blue, while non-responders are labeled in red. Note: No tests comparing responders and non-responders were conducted.

## **Table 1.**

Descriptive statistics for this sample. Age, Education, and Years lived with depression are measured in years (W=Wilcoxon's rank sum). Years lived with depression was defined as current age minus age of first lifetime episode of major depression. Median and interquartile range (IQR) is reported when Wilcoxon's rank sum test is done. Frequencies are reported when using Fisher's exact p. MMSE – Mini-mental state examination; MADRS – Montgomery-Asberg Depression Rating Scale, MMSE – Mini-mental state examination.

