



# A major role for noncoding regulatory mutations in the evolution of enzyme activity

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The quantitative evolution of protein activity is a common phenomenon, yet we know little about any general mechanistic tendencies that underlie it. For example, an increase (or decrease) in enzyme activity may evolve from changes in protein sequence that alter specific activity, or from changes in gene expression that alter the amount of protein produced. The latter in turn could arise via mutations that affect gene transcription, posttranscriptional processes, or copy number. Here, to determine the types of genetic changes underlying the quantitative evolution of protein activity, we dissected the basis of ecologically relevant differences in *Alcohol dehydrogenase (Adh)* enzyme activity between and within several *Drosophila* species. By using recombinant *Adh* transgenes to map the functional divergence of ADH enzyme activity *in vivo*, we find that amino acid substitutions explain only a minority (0 to 25%) of between- and within-species differences in enzyme activity. Instead, noncoding substitutions that occur across many parts of the gene (enhancer, promoter, and 5' and 3' untranslated regions) account for the majority of activity differences. Surprisingly, one substitution in a transcriptional Initiator element has occurred in parallel in two species, indicating that core promoters can be an important natural source of the tuning of gene activity. Furthermore, we show that both regulatory and coding substitutions contribute to fitness (resistance to ethanol toxicity). Although qualitative changes in protein specificity necessarily derive from coding mutations, these results suggest that regulatory mutations may be the primary source of quantitative changes in protein activity, a possibility overlooked in most analyses of protein evolution.

evolution | enzyme | regulatory | *Drosophila* | alcohol dehydrogenase

A central goal of evolutionary biology is to identify the precise genetic and molecular basis of phenotypic evolution. Enormous efforts have been made to elucidate the mechanisms of change in a wide variety of traits. There is now a large body of empirical studies of the evolution of particular characters, and of the genes and proteins that specify them (1–6). Beyond the particulars of individual cases, however, it is crucial to understand whether there are general genetic rules or tendencies to certain kinds of evolutionary changes. One such general principle that has emerged from empirical studies and theoretical considerations is that the evolution of morphological traits in animals largely occurs through mutations within *cis*-regulatory sequences of developmental regulatory genes and the target loci they control (3, 4, 7–10).

In contrast, the genetic and molecular factors governing the evolution of protein function are not so sharply circumscribed. Much research has been focused on the evolution of qualitatively distinct protein activities, and there is massive empirical evidence that important functional differences between species have resulted from changes in the primary sequences of proteins directly involved in, for example, animal vision (11), respiration (12), digestion (13), host defense (14), and other physiological processes. Quantitative differences in protein activity, on the other hand, are widespread in populations and between species, yet we know little about the precise genetic basis of real-world cases of adaptation among such traits (4, 15).

Obviously, the overall activity of a protein is a product of its specific activity and the amount that is produced. Specific activity

is determined by the amino acid sequence. However, protein level may be affected by many different facets of gene expression and structure (16), including (*i*) the rate of transcription as determined by the strength of enhancers and promoters; (*ii*) post-transcriptional processes such as RNA splicing; (*iii*) translational efficiency which may be influenced by 5' and 3' untranslated regions (UTRs), mRNA secondary structure, and codon usage; and (*iv*) the activity of *trans*-acting factors that mediate these processes.

It follows that mutations within any part of a gene could potentially affect protein activity. Indeed, mutations that affect gene expression levels have been found in virtually every part of metazoan gene structure in standing genetic variation (17, 18). However, it is not yet clear how each has actually contributed to adaptive levels of protein activity in nature and across evolutionary history (8). To sort among the possible contributors to protein activity differences, we need a better grasp of the patterns of causative substitutions that contribute to adaptive evolution.

Here, we sought a model trait whose evolution could be attributed to a particular protein and where functional divergence was plausibly the result of adaptation. The *Alcohol dehydrogenase (Adh)* gene of *Drosophila* is a classic evolutionary and molecular genetic model that meets these criteria (19–21). The typical *Drosophila* fly species feeds

## Significance

This study investigates how evolutionary changes in enzyme activity occur. Multiple species of *Drosophila* flies have adapted to food with different levels of alcohol. This study uncovers genetic changes responsible for these repeated adaptive events, focusing on the main enzyme responsible for alcohol metabolism, Alcohol dehydrogenase. Better alcohol metabolism could be achieved either through changes to the enzyme itself or through changes in DNA regulatory sequences that affect how many enzyme molecules are produced. In four different cases, it was found that regulatory changes were the most frequent contributors to evolution. These findings have important implications because most studies of enzyme evolution focus exclusively on changes to protein sequence, and thus a significant source of adaptive changes may be overlooked.

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on fermenting fruit and various species have independently adapted to different levels of alcohol in their diet (21, 22). Some lineages have switched to lower-alcohol habitats such as fresh fruit or fungi, while others now inhabit high-alcohol habitats such as breweries and wine cellars (22). The *Adh* gene is critical for alcohol metabolism, and the quantity of ADH enzymatic activity (which is the product of the amount of proteins made and their specific activity) in a fly species is correlated both with the presence of alcohol in the breeding habitat and with flies' tolerance of alcohol (22). In addition, *Adh* is a convenient experimental model for the study of adaptation because, unlike many other gene-level traits, its activity can be measured with a direct biochemical assay (NAD<sup>+</sup>-dependent ethanol oxidation) that works across species.

We use genetic mapping to determine which part(s) of the *Adh* gene contribute to quantitative activity differences in four pairs of *Drosophila* lineages. We find that multiple parts of the gene contribute to activity differences, but with only a relatively minor contribution from protein coding changes. We raise the possibility that regulatory mutations could play an underappreciated role in the evolution of quantitative biochemical traits.

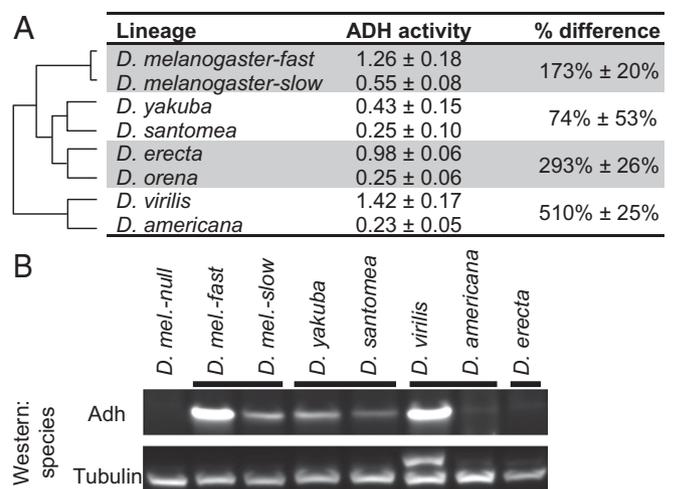
## Results

**ADH Activity and Expression Differ Between and Within Species.** The total level of ADH activity, as measured in crude extracts of adult flies, differs among several pairs of *Drosophila* species as well as within *Drosophila melanogaster* (Fig. 1) (detailed methods are presented in *SI Appendix*). Specifically, flies of *D. melanogaster* strain Florida-9 (*fast* allele) showed 173% (2.7-fold) higher ADH activity than flies of strain Canton-S (*slow* allele). *Drosophila yakuba* flies showed 74% higher activity than those from its sister species *Drosophila santomea*, *Drosophila virilis* flies had 510% higher activity than those from its sister species *Drosophila americana*, and *Drosophila erecta* had 293% higher activity than those from its sister species *Drosophila oreana*.

These large differences in enzyme activity prompted us to determine their underlying mechanistic causes. In principle, higher activity could be the consequence of greater enzyme specific activity, the production of more enzyme, or both. To determine whether the differences observed might be due to the production of different amounts of Adh protein, we used Western blots with an anti-Adh antibody to examine the relative amounts of Adh protein in whole-fly extracts. In three cases, the species or strain with higher ADH activity produced more Adh protein, indicating that differences in protein expression level are at least partly responsible [Fig. 1*B*; Adh protein was not detected in the *D. erecta/D. oreana* pair, likely due to amino acid divergence in the epitopes against which the antibody was raised (*SI Appendix*, Fig. S2)].

**ADH Activity Evolution Originates Primarily from the *Adh* Gene.** Differences in ADH activity could be due to substitutions at the *Adh* locus and/or to *trans*-acting factors outside of the locus. To determine if ADH activity differences originated from substitutions within the *Adh* gene, we cloned the *Adh* alleles from each species or strain and then transformed them back into a specific *D. melanogaster* attP-PhiC31 genomic landing site in a uniform *Adh* null genetic background (24). Cloned loci were ~8 kb with identical boundaries in each pair, containing all known sequences required for adult expression (*SI Appendix*, *Methods*). In each case, the transgenic *Adh* alleles largely recapitulated the between- and within-species differences in *Adh* activity (Fig. 2*A* and *B*). A similar pattern of relative differences in protein level was seen in Western blots (Fig. 2*C*). We could therefore use *Adh* transgenes to determine the contribution of protein coding versus noncoding substitutions to evolutionary differences in ADH activity.

**Amino Acid Replacements Account for only a Minor Fraction of Activity Evolution.** To directly determine the relative contribution of protein coding sequences to overall activity differences, we made a set of

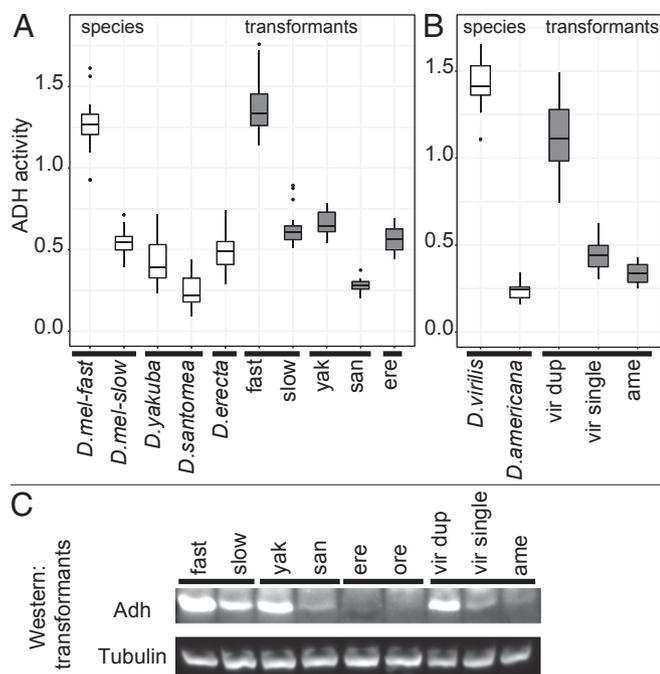


**Fig. 1.** ADH activity and protein level differ among pairs of *Drosophila* alleles and species. (A) Cladogram shows relationships between species. Data from ref. 23. ADH activity is in units of  $\Delta\text{Abs}_{340}$  per minutes per milligram soluble protein (mean  $\pm$  SD). Percent difference = [(activity(high)/activity(low) - 1)  $\times$  100%], mean  $\pm$  SD. Different assay conditions were used for the *D. erecta/D. oreana* pair and for the *D. virilis/D. americana* pair (*SI Appendix*, *Methods*), so the means of, for example, *D. santomea* and *D. oreana*, should not be compared. These data are also presented in different form in Fig. 2*A* and *B* and *SI Appendix*, Fig. S1. (B) Differences in ADH protein levels between pairs of strains and species are also apparent in Western blot. Differences in band intensity among pairs (but not within pairs) are potentially affected by sequence divergence in the region to which the antibody was raised (*SI Appendix*, Fig. S2). The additional band in *D. virilis* in the anti-tubulin blot is likely the result of cross-reactivity with the polyclonal antibody.

constructs that substituted the amino acid sequence from one species or strain into the allele from the other species or strain, leaving all noncoding substitutions unchanged. In these experiments, it was critical to be able to reliably detect small increments of differences between transgenic flies. To do so, we scaled up the sensitive ADH activity assay, measuring multiple batches of flies from multiple transgenic lines. We estimate that we could detect activity differences of around 4 to 8% after correcting for multiple testing (*SI Appendix*, *Methods*).

The number of amino acid replacements between strains or species was small. Just one amino acid difference separates the *slow* and *fast* *D. melanogaster* alleles (a lysine-to-threonine substitution at position 192; K192T), while three and four amino acid differences distinguish the *santomea/yakuba* and *oreana/erecta* alleles, respectively (*SI Appendix*, Fig. S2). To measure any potential difference between the *D. virilis* and *D. americana* coding regions, we first had to consider the tandem duplication of the entire *Adh* gene and flanking region that occurs in *D. virilis*. The tandem copies are identical except for three substitutions in the 3' noncoding region that have been shown to not affect activity (25). This allowed us to delete one duplicate from the construct, resulting in a single copy that had orthologous synteny with *D. americana*. We could then substitute the one amino acid change (*virilis*: L51, *americana*: I51) into this single-copy *virilis* *Adh* locus and determine if it contributed significantly to the species difference.

We found that the swapping of amino acid residues had the effect of changing ADH activity by at most 22% (the *D. melanogaster* K192T substitution) (Fig. 3 and Table 1, percent difference). In the case of *D. virilis*-*D. americana*, the single amino acid substitution had no significant effect [ $P = 0.09$  (Table 1); after correction for multiple pairwise comparisons,  $P = 0.26$  (Fig. 3*D*)]. Thus, amino acid replacements within the ADH protein contributed 0 to 25% of the overall difference in ADH activity between the



**Fig. 2.** ADH enzyme activity and protein level differ between and within species. (A) ADH enzyme activity of *Drosophila* strains and species (white box plots) is largely recapitulated when cloned *Adh* loci are transformed into *D. melanogaster* (gray box plots). (B) Transformants of *D. virilis* and *D. americana* *Adh* loci also largely recapitulate the species difference and show a major contribution from tandem duplication. Data from ref. 25. (C) Relative differences in levels of ADH protein between pairs of transformants are also apparent in Western blot. Low signal intensity in *ere* and *ore* is plausibly due to sequence divergence in the region to which the antibody was raised (*SI Appendix*, Fig. S2).

loci we compared (Table 1, percent of total). It follows that 75 to 100% of ADH activity differences are the result of noncoding substitutions.

**Multiple Parts of the *Adh* Gene Contribute to Activity Differences.** Since the amino acid sequence contributed only a small portion of the observed differences in activity, we next sought to determine what part or parts of the *Adh* gene were contributing to activity divergence. We divided the gene into five parts: 5' flanking, 5' UTR, coding sequence (including introns), 3' UTR, and 3' flanking (based on gene coordinates from Flybase Release 5; *SI Appendix, Methods*). Recombinant constructs were then engineered *in vitro* where the left half of one allele was fused to the right half of another at the precise junctions between regions (Fig. 4A).

In general, we observed that multiple regions contributed to enzyme activity differences within or between species (Fig. 4, Table 1, and *SI Appendix, Fig. S3*). For the *D. melanogaster* allelic polymorphism, four of the five regions were found to contribute to the overall enzyme activity difference (Fig. 4B, Top). The largest effect came from the 5' UTR, representing a 49% difference in activity and 50% of the total difference between wild-type *Adh-fast* and *Adh-slow* alleles (Fig. 4B and Table 1). The coding region contributed a significant 18% difference in activity, similar to the 22% difference observed from the amino acid swap construct where the K192T substitution is engineered into the *Adh-slow* allele (Table 1). The 3'-flanking region contributed no significant difference in activity ( $P = 0.95$ ).

The same four regions contributed to ADH activity divergence among species. All regions except the 3'-flanking region contributed significantly to activity differences between *D. yakuba* and *D. santomea* as well as between *D. erecta* and *D. orena* (Fig. 4 and Table 1). In *D.*

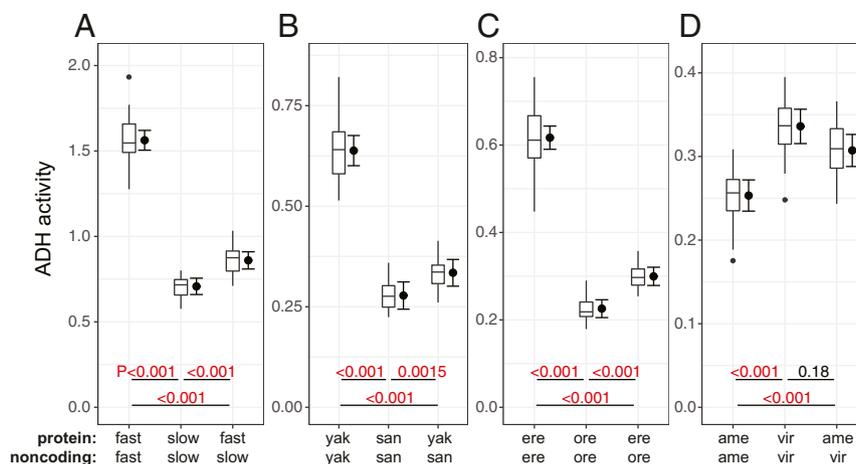
*virilis* and *D. americana*, apart from the tandem duplication, the two UTRs were the only regions that showed significant contributions to the activity difference. However, additional recombination mapping conducted within the 5'-flanking region of *D. virilis* and *D. americana* uncovered two segments with significant but opposite effects on activity (*SI Appendix, Fig. S3F*; compare *ame*, *rec1*, *rec1b*, and *rec1c*). Thus, regions that did not contribute a net difference may still have evolved activity-altering substitutions. Together, these results show that multiple, noncoding parts of the *Adh* gene have repeatedly made the majority contribution to enzyme activity evolution.

Repeated contribution from the same gene regions could be the result of parallel evolution, where the same nucleotide changes occur in each species. If this were the case, it would mean that quantitative evolution is constrained to a few mutational paths. Instead, the magnitude of change originating from the four regions was different in most instances (Fig. 4 and Table 1). First, the 5' UTR is the predominant contributor in *D. melanogaster*, while the 5'-flanking region is the primary contributor in both the *D. erecta*–*D. orena* and the *D. yakuba*–*D. santomea* comparisons. Second, the 3' UTR in the *D. erecta*–*D. orena* comparison contributes in the opposite direction than in the other species. Third, the 57% contribution from the coding region in *D. erecta*–*D. orena* is only partially explained by a 17% contribution from swapping amino acid substitutions, revealing a regulatory contribution from the coding sequence and/or its introns (Table 1). Finally, gene duplication is the main contributor to the *D. virilis*–*D. americana* activity difference. Duplication constitutes a larger single effect (more than twofold) than any region or mutation in any of the other species. These results are not consistent with a highly constrained set of causative sites but rather with quantitative differences in ADH activity originating from unique sets of multiple substitutions in each lineage.

**The *D. melanogaster* Alleles Differ by Six Causative Substitutions.** To better understand the distribution and effect sizes of mutations contributing to this trait, we next determined the causative nucleotide substitutions underlying the *D. melanogaster* *Adh-fast* and *Adh-slow* activity difference. Previous studies had identified two causative substitutions in the 5'-UTR intron and in the coding region (26, 27). The 3' UTR was also implicated as a causative region but the causative site(s) were not mapped (28). Our five-region map additionally implicated the 5'-noncoding region. We therefore attempted to verify the two previously ascertained sites and determine the specific nucleotide changes behind the other unknown sites.

Fine-scale mapping of the *D. melanogaster* alleles confirmed the two known sites and uncovered four additional causative sites (Fig. 5 and *SI Appendix, Fig. S4*). These six sites must be nonequivalent in molecular function, as one causative site is in the 5'-noncoding region, three are in the 5' UTR, one is an amino acid change in the coding region, and (at least) one is in the 3' UTR. A detailed description of mapping results is presented in *SI Appendix*. Three observations are worth note. First, all six higher-activity variants appear to be derived (*SI Appendix, Fig. S6*), suggesting a history of directional selection. Second, three causative substitutions in the 5' UTR occur within 100 bp, suggesting a previously undescribed regulatory element. Finally, the causative substitution in the 5'-noncoding region occurs in the core promoter. The causative C/T substitution is in a binding site for Initiator, a transcription factor that positions RNA polymerase II (29). Remarkably, a parallel C/T substitution at this site distinguishes the *D. yakuba* sequence from the *D. santomea* sequence (*SI Appendix, Fig. S6*).

**Evolution of *Adh* Activity Affects Resistance to Ethanol.** Our observation that six causative mutations affect activity in the same direction is consistent with possible directional selection on each site. This raises the question of whether small increments of ADH activity (i.e., 1.1- to 1.2-fold) are subject to selection. Although a proposed role for ADH in protecting against ethanol toxicity has



**Fig. 3.** ADH activity differences are mostly *cis*-regulatory. (A–D) Activity is shown for transformant lines carrying either unmodified *Adh* alleles or swap alleles, which have the amino acid sequence of one allele and the noncoding sequence of the other. Noncoding sequence predicts ADH activity substantially better than protein sequence does. Activity data shown in Figs. 3 and 4 were collected simultaneously and are presented in full in *SI Appendix*, Fig. S3. *P* values shown here were adjusted for all pairwise comparisons with DF 18–22. Box plots show the distribution of data, while error bars show 95% confidence intervals of the mean.

been debated for decades, the influence of naturally occurring *Adh* sequence divergence on flies' resistance to ethanol has not been clearly established (20, 30–32). The transgenic lines developed for this study allowed us to directly test the hypothesis that ADH activity level affects resistance to ethanol. We exposed adult flies from four different *melanogaster* recombinant genotypes (A, Q, S, and G in Fig. 5) that differ in steps of ~20% in ADH activity to varying concentrations of ethanol. The proportion of flies that were incapacitated or dead after 24 h increased logarithmically with ethanol dose (*SI Appendix*, Fig. S7). This allowed us to quantify ethanol resistance as the incapacitating concentration (IC<sub>50</sub>) at which 50% of flies were unable to right themselves after 24 h of ethanol exposure.

Ethanol resistance showed a significant positive correlation with ADH activity (Pearson product-moment correlation,  $r = 0.91$ ,  $n = 16$  lines,  $P < 0.005$ ) (Fig. 6). In pairwise comparisons, both coding and noncoding substitutions were found to contribute to resistance. Genotypes S and G differ by the K192T coding substitution, with the higher-activity S genotype showing significantly higher resistance (sequential comparison of IC<sub>50</sub> from binomial glmm,  $n = 4$  lines per construct,  $P = 0.017$ ). Genotypes A, Q, and S differ by noncoding substitutions in the first intron (Fig. 5). Genotype Q showed significantly higher resistance than S ( $P = 0.017$ ), while the resistance of genotypes A and Q was not sig-

nificantly different from one another ( $P = 0.109$ ), although the mean difference was in the expected direction (Fig. 6). These results show that both coding and noncoding substitutions that affect ADH activity directly contribute to the ecologically relevant trait of ethanol resistance.

## Discussion

We dissected the genetic bases of differences in ADH protein activity among several *Drosophila* species. Our results demonstrate that substitutions in both coding and noncoding sequences, as well as gene duplication, contribute to activity divergence, and that fairly small increments of ADH activity (1.1- to 1.2-fold) measurably affect organismal fitness (ethanol resistance). However, amino acid substitutions account for only a minority (0 to 25%) of between- and within-species differences in enzyme activity, with the majority of activity differences resulting from noncoding substitutions within various regulatory sequences (enhancer, promoter, and 5' and 3' UTRs). These findings raise general issues concerning the relative contribution of amino acid versus regulatory substitutions in the evolution of protein activity under natural selection. They also raise questions about the expected effect sizes of coding substitutions, regulatory substitutions, and gene duplication.

**Table 1.** Contribution of gene regions to four cases of ADH activity divergence

Construct	Parameter	Whole locus, %	Amino acid swap	5'-Flanking	5' UTR	Coding	3' UTR	3'-Flanking
mel fast/slow	% difference	121	<b>22%</b>	<b>15%</b>	<b>49%</b>	<b>18%</b>	<b>11%</b>	-1%
	% of total		<b>25%</b>	<b>17%</b>	<b>50%</b>	<b>21%</b>	<b>13%</b>	-2%
	<i>P</i>		**	**	**	**	**	0.95
yak/san	% difference	130	<b>20%</b>	<b>52%</b>	<b>12%</b>	<b>8%</b>	<b>23%</b>	2%
	% of total		<b>22%</b>	<b>50%</b>	<b>14%</b>	<b>9%</b>	<b>24%</b>	2%
	<i>P</i>		<b>0.0016</b>	**	**	<b>0.031</b>	**	0.90
ere/ore	% difference	173	<b>17%</b>	<b>76%</b>	<b>14%</b>	<b>57%</b>	<b>-18%</b>	6%
	% of total		<b>16%</b>	<b>56%</b>	<b>13%</b>	<b>45%</b>	<b>-19%</b>	6%
	<i>P</i>		**	**	**	**	**	0.085
vir/ame (single)	% difference	33	10%	7%	<b>24%</b>	-4%	<b>10%</b>	-5%
	% of total		32%	24%	<b>75%</b>	-15%	<b>34%</b>	-18%
	<i>P</i>		0.090	0.28	**	0.63	<b>0.027</b>	0.43

Percent difference ( $(\text{fold\_change}(\text{region}) - 1) \times 100\%$ ) denotes the net difference in ADH activity observed by substituting the "high" allele for the "low" allele at that region. Percent of total ( $(\log_{10} \text{fold\_change}(\text{region}) / \log_{10} \text{fold\_change}(\text{total}))$ ) denotes the geometric contribution of each region to the total. *P* values are from sequential multiple comparisons (mvt method) with degrees of freedom (Satterthwaite method) between 18 and 22. Significant values ( $P < 0.05$ ) are shown in bold. \*\* $P < 0.001$ . These data are presented in different forms in Figs. 3 and 4 and *SI Appendix*, Fig. S3.





measurable effects on organismal phenotype. Changes in gene expression are often discussed in terms of fold changes, yet we were able to measure clear phenotypic consequences from <20% differences in gene activity. A direct relationship between ethanol resistance and ADH activity has also been seen for *Adh* alleles engineered to carry rare codons (31). These observations are consistent with the hypothesis that changes in ADH enzyme activity provided a selective advantage accompanying habitat shifts to high-alcohol food sources (22).

**Conclusion: The Evolution of More Versus Different.** The idea that an enzyme's activity is the product of its concentration and its structure is as old as the description of enzyme kinetic laws themselves (42). Qualitative differences in enzyme activity, that is, shifts in substrate specificity, almost certainly require changes to the protein structure. Similarly, null mutations that abolish enzyme activity appear to generally require coding mutations (4). Quantitative differences, in contrast, derive from both protein structure and protein level. Our results suggest that this regulatory dimension is the primary mode of quantitative evolution. This pattern makes sense in light of our growing understanding of gene structure and evolution, and in particular the sprawling regulatory architecture of higher eukaryotic genes. Thus, the many demonstrated cases of amino acid changes with functional effects may point to an even larger number of quantitative regulatory substitutions just below the surface.

## Methods

We investigated the genetic basis of *Adh* enzyme activity divergence in *Drosophila* using transgenes. *Adh* alleles consisting of 7 to 8 kb of genomic sequence were PCR-amplified, cloned, and sequenced (GenBank accession nos. MH614199–MH614205 and KU559568.1). *Adh* transgenes were inserted into *Adh*-null *D. melanogaster* flies using the PhiC31-attP system as described in *SI Appendix, Methods*. This approach facilitates identification of small differences in enzyme activity because genetic variants are inserted into the same chromosomal site in identical genetic background. ADH enzyme activity was measured from homogenates of whole flies using a high-throughput protocol described in *SI Appendix, Methods*. The experimental design had a nested structure: ADH activity was measured from a large number of fly samples from a small number (i.e., two to four) of replicate transgenic lines and was therefore analyzed using a mixed-effects model. Ethanol resistance was measured as survival after 24-h exposure to X% ethanol of 4-d-old males from recombinant transgenic lines. Resistance experiments also had a nested structure and were analyzed using a generalized linear mixed model as described in *SI Appendix, Methods*.

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