

# REVIEW



# **Extended Dosing Regimens for Fungal Prophylaxis**

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**SUMMARY** Invasive fungal diseases carry high morbidity and mortality in patients undergoing chemotherapy for hematological malignancies or allogeneic hematopoietic stem cell transplantation. In order to prevent these life-threatening infections, antifungal chemoprophylaxis plays an important role in daily clinical practice. Broad-spectrum antifungal triazoles are widely used but exhibit disadvantages such as relevant drug-drug interactions. Therefore, amphotericin B products or echinocandins can be an alternative in selected patient populations. As these compounds are available as intravenous formulations only, there is growing interest in extended dosing regimens. Although not approved for these agents, this strategy is a rational option, as these compounds have properties suitable for this strategy, including dose-proportional pharmacokinetics, prolonged elimination half-life, and a large therapeutic window. As the use of extended dosing regimens in antifungal prophylaxis is expanding in clinical practice, we reviewed the pharmacokinetic and pharmacodynamic rationale for this strategy, animal model data, dose escalation studies, and clinical trials supporting this concept.

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Address correspondence to Thomas Lehrnbecher, Thomas.Lehrnbecher@kgu.de. Published 15 May 2019 **KEYWORDS** antifungal prophylaxis, intermittent dosing, amphotericin B, echinocandin

# **INTRODUCTION**

nvasive fungal diseases (IFD) have high morbidity and mortality in children and adults with acute lymphoblastic leukemia (ALL) or acute myeloid leukemia (AML) or who are undergoing hematopoietic stem cell transplantation (HSCT), as well as in solid organ transplant recipients and patients with primary immunodeficiencies like chronic granulomatous disease. As early diagnosis of IFD is difficult and early treatment is associated with better outcome, antifungal chemoprophylaxis, empirical antifungal therapy, and preemptive treatment are widely used strategies and play an important role in daily clinical practice (Fig. 1) (1–6).

Regarding prevention of IFD, the majority of clinical trials on antifungal prophylaxis investigated the use of triazoles, such as fluconazole, itraconazole, voriconazole, or posaconazole. All these agents are available as oral and intravenous formulations and are approved for the prophylactic setting. Unfortunately, antifungal triazoles exhibit numerous drug-drug interactions, and the concurrent use of several chemotherapeutic drugs, including but not limited to vincristine, a cornerstone in the treatment of patients with ALL, is contraindicated. In addition, due to considerable variability in gastrointestinal absorption and drug metabolism, plasma concentrations of itraconazole and voriconazole are unpredictable, which may impair clinical efficacy or increase the risk for toxicity. Therefore, therapeutic drug monitoring (TDM) is strongly recommended, which may also detect a low blood level in a patient with poor compliance with medication regimens (7). Unfortunately, TDM of antifungal drugs is not available in many clinical centers. Further issues associated with azole prophylaxis include long-term safety, the threat of resistance (8, 9), and limited treatment options in the case of breakthrough infections.

In contrast to antifungal triazoles, amphotericin B formulations and the class of echinocandins are available as intravenous formulation only and are administered on a once-daily (QD) basis. The daily intravenous administration may be of advantage for patients not tolerating or being unable to adhere to oral medication but, at the same time, is inconvenient and consumes resources. Extended dosing regimens of antifungal prophylaxis are not approved for amphotericin B formulations and echinocandins but are a rational option, as some of these compounds have properties suitable for extended dosing intervals. These properties include dose-proportional pharmacokinetics, exposure-dependent pharmacokinetic/pharmacodynamic relationships, a prolonged elimination half-life, and a large therapeutic window (Fig. 2) (10, 11). In contrast, a number of reasons speak against the use of oral or intravenous extended dosing regimens of azoles. For example, for compounds available for oral administration that have a long half-life, display linear pharmacokinetics, and are dosed once daily, such as fluconazole, there is no true advantage to using extended dosing intervals. Indeed, extending the dosing interval to 48 or 72 h may negatively impact upon patient compliance, as drug adherence is more difficult with extended dosing intervals than it is with a fixed daily dosing regimen. As it concerns the intravenous route, some of the antifungal azoles do not have stable linear pharmacokinetics, and there is a major concern of dose-dependent hepatic toxicity that is a class effect of the azoles and that is well documented, at least for itraconazole and voriconazole. In addition, TDM is recommended for most of the azoles, which further complicates administration at extended dosing intervals.

Since there is increasing interest in the concept of extended dosing regimens in antifungal prophylaxis and an expanding use of this strategy in daily practice, we aimed to review the pharmacokinetic properties of antifungal agents used for this approach, as well as the available preclinical and clinical data.

Strategy	Patient population	Invasive Fungal Disease*
Specific Therapy	Positive culture/ histology	Proven
Pre-emptive Therapy	Prolonged neutropenia+ fever+ lung infiltrates/ biomarker (GM, β-DG)	Probable
Empirical Therapy	Prolonged neutropenia+ fever	Possible
Prophylaxis	Prolonged neutropenia	No

\*in clinical practice, not according to EORTC/MSG criteria

 $GM = galactomannan; \beta - DG = \beta - D - glucan$ 



# PHARMACOKINETIC AND PHARMACODYNAMIC CONSIDERATIONS

# **Amphotericin B Products**

The currently licensed amphotericin B formulations (amphotericin B deoxycholate [generic; AmB-D], amphotericin B lipid complex [Abelcet], and the liposomal formulation of amphotericin B [LAMB; AmBisome]) are available as intravenous formulation only and exhibit activity against an extended spectrum of fungi. They are approved for first- or second-line treatment of IFD and, limited to AmB-D and LAMB, empirical antifungal therapy in persistently neutropenic children and adults. However, since the label has never been pursued by the respective manufacturers, they are not approved for the prophylactic setting.

Amphotericin B, as a compound, displays concentration-dependent fungicidal activity against susceptible *Candida* and *Aspergillus* spp. and prolonged postantifungal effects of up to 12 h duration in *Candida albicans* (12–16). In neutropenic mice with experimental disseminated candidiasis or pulmonary aspergillosis receiving amphoter-

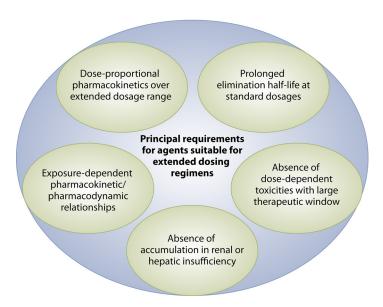


FIG 2 Principal requirements for agents suitable for extended dosing regimens.

icin B by the intraperitoneal route, the ratio of peak plasma concentration  $(C_{max})/MIC$ of the infecting isolate was the parameter that provided the best correlation with outcome, which was assessed by the residual fungal tissue burden in target organs (17, 18). Notably, the different amphotericin B formulations display different physicochemical and pharmacokinetic characteristics and demonstrate important differences in antifungal efficacy which depend on the agent, the dose, and the type and site of infection (16, 19, 20). However, only LAMB fulfills the criteria of dose-proportional, prolonged exposure with potentially therapeutic concentrations in plasma coupled with a large therapeutic window that allow for the administration of larger doses (Table 1) (16). Apart from a prolonged residence time in plasma, LAMB distributes into and remains up to weeks in various tissues in a dose-dependent manner at drug concentrations above the MIC for many fungi. The observation that antifungal efficacy in animals seems to correlate with drug tissue concentration and the long-term retention of bioactive LAMB in plasma and different tissues provides support for the concept that extended dosing intervals may be efficacious in the prophylaxis of invasive fungal infections (21, 22).

#### **Echinocandin Lipopeptides**

The echinocandins are a newer class of systemic antifungal agents with broadspectrum activity against Candida and Aspergillus. The compounds are available as intravenous formulation, display linear pharmacokinetics over a large dosage range, have a long half-life that allows for once-daily dosing, and are generally very well tolerated (Table 1). Among the currently licensed echinocandins anidulafungin, caspofungin, and micafungin, only the latter is licensed for prophylaxis against invasive infections by Candida spp. in the setting of prolonged neutropenia (23), but it is not licensed for prophylaxis against invasive mold infection. Interestingly, a retrospective observational study identified echinocandin prophylaxis as an independent risk factor for invasive fungal infection in patients receiving remission induction chemotherapy for AML (24), and the higher risk for breakthrough infection was seen for both yeast and molds. The reason for this observation is unclear, but the results have to be confirmed in future analyses before reconsidering the use of echinocandins in the prophylactic setting. It is also important to note that preclinical studies suggested that echinocandins are effective against Pneumocystis jirovecii, but the clinical relevance regarding the prophylactic setting is unclear to date (25).

*In vitro* studies demonstrated that the echinocandins are able to kill most *Candida* species. In contrast, when echinocandins are coincubated with *Aspergillus fumigatus*, microscopy shows concentration-dependent damage to the fungus, which is, however, able to recover in the absence of the antifungal compound (16, 20). For all three echinocandins, fungicidal activity for *Candida* spp. was mainly dependent on concentration and time; in addition, studies showed postantifungal effects for up to 12 h at concentrations above the MIC (26, 27).

In a model using persistently neutropenic rabbits which were inoculated with *Candida* spp., anidulafungin demonstrated highly predictable concentration-effect relationships which were not seen for an experimental pulmonary aspergillosis model (27). Murine kidney target models of disseminated candidiasis demonstrated that the area under the concentration-time curve (AUC)/MIC ratio is the pharmacodynamic parameter that predicts efficacy of all current echinocandins (11, 26, 28), whereas in mice with invasive pulmonary aspergillosis, the  $C_{max}$ /minimal effective concentration (MEC) ratio was the parameter which was closely associated with efficacy (26, 29). Using large data sets from two phase III trials of micafungin for invasive candidiasis, a significant relationship between the AUC/MIC ratio of micafungin and mycological response was found by population pharmacokinetics and regression analysis. Monte Carlo simulations revealed a lower AUC/MIC target for *C. parapsilosis* than for other *Candida* spp., which supported the concept of species-specific echinocandin susceptibility breakpoints (11, 26, 28).

Property	Liposomal amphotericin B	Anidulafungin	Caspofungin	Micafungin	Rezafungin
Formulation	i.v.	i.v.	i.v.	i.v.	i.v.
Dose linearity	Up to 10 mg/kg	Yes	Yes	Yes	Yes
Protein binding (%)	Not applicable	84	97	66	98
Vol of distribution at steady	0.22	0.7–0.9	Not available	0.24	0.4
state (liters/kg)					
Elimination half-life (h)	6–10	24–26	9–11	11-17	80–150
Substrate/inhibitor of CYP450	No	No	Poor substrate/weak inhibitor	Poor substrate/weak inhibitor	No
Metabolism	Not metabolized	Via chemical degradation to	Via peptide hydrolysis and	Via arylsulfatase and	Not metabolized
		inactive metabolites	N-acetylation	catecnol-U-metnyltransterase	
Elimination	Unchanged in feces and urine	Primarily in feces (10%	35% in feces, 41% in urine	40% in feces, <15% in urine	Primarily in feces (25%
	(<10% over 7 days)	unchanged); 1% in urine	(1.4% unchanged)	(<1% unchanged)	unchanged), <1% in urine
Dosage adjustment in renal impairment	No adjustment needed	No adjustment needed	No adjustment needed	No adjustment needed	No adjustment needed
Dosage adjustment in hepatic impairment	No adjustment needed	No adjustment needed	Child-Pugh: <7, no adjustment; 7–9, 35 mg maintenance; >9, no data	Child-Pugh: ≤9, no adjustment; >9, no data	Not yet examined
Pharmacodynamics <i>in vitro</i>	Concn-dependent fungicidal	Concn- and time-dependent	As described for	As described for anidulafungin	Concn- and time-dependent
(by time kill and PAFE)	activity; prolonged PAFEs against <i>Candida</i>	fungicidal activity against <i>Candida</i> ; inhibitory against <i>Aspergillus</i> , prolonged PAFEs against <i>Candida</i>	anidulafungin		fungicidal activity against Candida
Best pharmacodynamic parameter <i>in vivo<sup>b</sup></i>	C <sub>max</sub> /MIC	AUC/MIC ( <i>Candida</i> ); C <sub>max</sub> / MEC (Aspergillus)	As described for anidulafungin	As described for anidulafungin	AUC/MIC (Candida)

Based in part on data from references 81 to 83. i.v., intravenous; PAFE, postantifungal effect; IFD, invasive fungal disease; C<sub>max</sub>, peak plasma concentration; AUC, area under the concentration-time curve; MEC, minimal effective concentration. <sup>e</sup>Parameter best associated with efficacy in animal models/patients with IFD.

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Rezafungin (CD101) is a novel intravenous echinocandin which is structurally related to anidulafungin. Compared to other echinocandins, the compound has increased chemical stability and a long elimination half-life in plasma that provides the opportunity for extended dosing regimens (30, 31). Similar to other echinocandins, the compound has broad-spectrum fungicidal activity against *Candida* and inhibitory activity against *Aspergillus in vitro* (31–33), as well as potent dose-dependent antifungal efficacy in neutropenic animal models of invasive aspergillosis and candidiasis (34). Rezafungin showed dose-proportional plasma exposures, minor accumulation (30% to 55%), low clearance (<0.28 liter/h), long half-life (>80 h), and minimal renal excretion, enabling once-weekly dosing (31, 35, 36). Rezafungin has undergone phase I/II clinical trials, and the compound might be a candidate for prophylaxis of invasive *Candida* and *Aspergillus* infections.

# ANIMAL STUDIES EXPLORING EXTENDED DOSING REGIMENS

# Liposomal Amphotericin B

The prophylactic administration of LAMB at dosages of up to 90 mg/kg of body weight given daily or in an extended dosing regimen was investigated in a murine model (Table 2). Mice were inoculated with *Candida glabrata* at 1 to 7 days or with *C. albicans* at 3 or 6 weeks after the last administration of LAMB. Compared to the results for untreated controls, significantly lower or no fungal burden was detected in target tissues of the animals which had received LAMB prophylaxis (37). Similar results were observed when LAMB was given at a single prophylactic dose of up to 20 mg/kg in neutropenic mice challenged with *A. fumigatus* (38) or in immunocompetent and immunocompromised mice challenged with *Histoplasma capsulatum* or *C. albicans*, respectively (39). Notably, in a murine model, the highest concentrations of LAMB given at cumulative doses of up to 225 mg/kg were measured in spleen and liver. The concentrations of LAMB remained above the MIC for many fungal pathogens for up to 6 weeks in kidneys and spleen and for 1 week in lungs (37).

In addition to extended dosing regimens investigated for prophylaxis, extended dosing intervals of LAMB were successfully evaluated as a therapeutic option in IFD. For example, it has been demonstrated that extended dosing regimens using a maximum dose of 20 mg/kg LAMB administered three times a week were successful in treating neutropenic mice suffering from invasive *C. albicans* infection (40). Similar results were observed in preclinical studies assessing therapy with LAMB given in extended dosing intervals for coccidiomycosis, cryptococcosis, and histoplasmosis (21, 41–44).

#### Micafungin

One study in neutropenic mice demonstrated the potential utility of large doses of micafungin administered at infrequent intervals for prophylaxis against pulmonary aspergillosis. In this study, single intraperitoneal doses of micafungin at 5, 10, or 20 mg/kg administered 24 h prior to inoculation improved survival and suppressed the lung fungal burden relative to the burden in untreated controls (38).

In the therapeutic setting, the effect of micafungin dose scheduling was investigated in transiently neutropenic mice with disseminated *C. glabrata* infection. Doseranging studies demonstrated that single doses of  $\geq$ 50 mg/kg resulted in maximal fungal decline without regrowth at day 7. The dose associated with 50% of maximal kill (50% effective dose [ED<sub>50</sub>]) was then administered as a single dose at day 0, two equal doses at days 0 and 3.5, or 7 equal doses given daily, which all had equivalent day 7 effects. Pharmacokinetic/pharmacodynamic modeling using human pharmacokinetic data demonstrated that a single dose of micafungin of 1,400 mg would achieve maximal fungal decline in humans, which corresponds to a single dose of 100 mg/kg in the mouse model (45). The pharmacokinetics, efficacy, and safety of alternate dosing regimens of micafungin were further investigated in a subacute neutropenic disseminated *C. albicans* infection model in rabbits. Micafungin was given over 12 days at 1, 2, or 3 mg/kg every 24, 48, or 72 h, respectively. The pharmacokinetics of micafungin on day 7 were linear, and all treatment groups showed significantly higher clearance of *C*.

TABLE 2 Overview of preclinical stu	idies exploring lai	<b>IABLE 2</b> Overview of preclinical studies exploring large or extended dosing regimens for prophylaxis and treatment <sup>o</sup>	
Authors, yr (reference)	Compound	Animal model	Most relevant observations
Garcia et al., 2000 (39)	LAMB	Prophylaxis in normal and nonneutropenic mice against <i>C. albicans;</i> LAMB given 2–7 days prior to inoculation, response monitoring for 7 days	Prophylactic administration of single doses of LAMB at 5-20 mg/kg reduced the fungal burden in kidney tissue relative to that in untreated controls with a trend toward dose-dependent efficaev
Garcia et al., 2000 (39)	LAMB	Prophylaxis in normal and nonneutropenic mice against <i>H.</i> <i>capsulatum</i> ; LAMB given 2–7 days prior to inoculation, response monitoring for 10–24 days	Prophylactic administration of single doses of LAMB at 10–20 mg/kg prolonged survival with absence of Histophysma in suleen tissue
Lewis et al., 2008 (38)	LAMB	Prophylaxis in neutropenic mice against <i>A. fumigatus</i> ; LAMB given 24 h prior to inoculation, response monitoring for 7 days	Prophylaxis with single intraperitoneal doses of LAMB at $\geq$ 5 mg/kg improved animal survival and suppressed lung funaal burden
Smith et al., 2007 (37)	LAMB	Prophylaxis in neutropenic mice; LAMB at dosages up to 90 mg given 1–7 days prior to inoculation with C. <i>glabrata</i> or at a dosage of 60 mg/kg 3 or 6 weeks prior to inoculation with C. <i>albicans</i> ; response monitoring for 21 days, assessment of drug concor for 6 weeks	LAMB levels above the MIC for many fungi for 1 wk in lungs and for as long as 6 wks in kidneys and spleen; tissue fungal burden significantly reduced or undetectable
Adler-Moore et al., 2004 (40)	LAMB	Treatment of neutropenic mice with invasive candidiasis; doses of 4–20 mg/kg administered intermittently in various regimens starting 24–48 h after inoculation, response monitoring for up to 1 mo	Intermittent high-dose treatments with LAMB were able to clear <i>Candida</i> from the kidneys; single doses of LAMB at 4 mg/kg were as efficacious as four 1-mg/kg treatments
Clemons and Stevens, 1998 (42)	LAMB	Treatment of nonimmunocompromised mice with disseminated cryptococcosis; doses of up to 10 mg/kg given 3 times per wk for 2 wks starting 4 days after inoculation, response monitoring for 49 days	Intermittent treatment with LAMB at 5 or 10 mg/kg prolonged survival and reduced fungal tissue burdens in a dose-dependent manner
Graybill and Bocanegra, 1995 (43)	LAMB	Treatment of athymic mice with disseminated histoplasmosis; doses of up to 3 mg/kg given intermittently for up to 15 days after inoculation. response monitoring for 40 days	Intermittent treatment with LAMB at 1 and 3 mg/kg improved survival
Lewis et al., 2008 (38)	Micafungin	Prophylaxis of neutropenic mice against A. fumigatus; single doses of up to 20 mg/kg administered 24 h prior to inoculation, response monitoring for 7 davs	Prophylaxis with single doses of micafungin at $\gtrsim$ 5 mg/kg improved survival and suppressed lung fungal burden
Gumbo et al., 2007 (45)	Micafungin	Treatment of neuromonic mice with C. <i>glabrata</i> infection; single doses of up to 100 mg/kg administered 4 h after inoculation, response monitoring for 7 days.	Maximal fungal decline after single treatment doses of ${\simeq}50~{ m mg/kg}$ without regrowth at day 7
Petraitiene et al., 2015 (46)	Micafungin	Treatment of neutropenic rabbits with disseminated candidiasis; doses of 1 mg/kg q24h, 2 mg/kg q48h, and 3 mg/kg q72h beginning 24 h after inoculation, response monitoring for 12 days	All regimens showed similar exposures to micafungin and were equally safe and effective
Lepak et al., 2018 (47)	Rezafungin	Treatment of neutropenic mice with C. <i>albicans</i> , C. <i>glabrata</i> , or C. <i>parapsilosis</i> infection; single doses of up to 64 mg/kg given 2 h after inoculation, response monitoring for 7 days	Dose-dependent therapeutic efficacy; AUC/MIC correlated with efficacy
Bader et al., 2018 (48)	Rezafungin	PK-PD target attainment analyses using a human population PK model; Monte Carlo simulations of various 400-mg dose regimens	At the MIC <sub>90</sub> s for <i>C. albicans</i> and <i>C. glabrata</i> , a single 400-mg dose achieved PK-PD target attainment probabilities of $\geq$ 90% through week 3
LAMB, liposomal amphotericin B; q24h, ev	rery 24 h; AUC, area u	<sup>a</sup> LAMB, liposomal amphotericin B; q24h, every 24 h; AUC, area under the concentration-time curve; PK-PD, pharmacokinetics-pharmacodynamics.	

*albicans* from target tissues than was observed in untreated controls, indicating that less-fractionated regimens of micafungin were as effective as once-daily treatment (46).

Taken together, these studies in neutropenic animals demonstrated that extended dosing intervals have both preventive effects in invasive pulmonary aspergillosis and therapeutic effects in disseminated candidiasis. While these experimental data may be transferable to the other currently licensed echinocandins, no animal data on extended dosing regimens exist to date for anidulafungin and caspofungin, respectively.

#### Rezafungin

Whereas data on extended dosing intervals for the prophylactic administration of rezafungin are lacking to date, one preclinical study evaluated a single dose of rezafungin in mice infected with select *C. albicans*, *C. glabrata*, and *Candida parapsilosis* strains with a range of MICs. Assessing the residual fungal burden in kidneys after 7 days, dose-dependent activity was seen for each pathogen; the AUC/MIC ratio correlated well with efficacy and was numerically lower for all three species than were those of other echinocandins (47). In different pharmacokinetic/pharmacodynamic models of invasive *C. glabrata* infection, target attainment over 4 weeks of therapy was very likely after administration of a single-dose regimen at the MIC<sub>90</sub> of 0.06 mg/liter (48). These data suggest that rezafungin has great potential for treatment and prophylaxis of invasive *Candida* and *Aspergillus* infections with extended dosing regimens and in preventing emergence of resistance through enhanced potency.

#### **CLINICAL PHARMACOKINETICS AND SAFETY OF ESCALATED DOSAGES**

Data on the clinical pharmacokinetics and safety of escalated dosages of antifungal compounds are important in order to study these drugs given prophylactically in an extended dosing regimen. Notably, many of the available data were retrieved from studies performed in patients requiring empirical, preemptive, or targeted antifungal therapy, whereas there is much less information from studies evaluating escalated doses of antifungal compounds given as prophylaxis.

#### Liposomal Amphotericin B

Whereas there are no published data on the safety and pharmacokinetics of escalated doses of LAMB given as prophylaxis, LAMB as empirical antifungal therapy has been investigated in an open-label, sequential-dose-escalation, multidose pharmacokinetic study in persistently febrile neutropenic adults (Table 3). Daily doses of up to 7.5 mg/kg were well tolerated and followed dose-dependent pharmacokinetics with increases of the mean AUC on the first day of treatment, consistent with reticuloendothelial uptake and redistribution (49). In a subsequent phase I/II study, the maximum tolerated dosage (MTD) of the compound was investigated at escalating dosages of up to 15 mg/kg/day, concluding the MTD of LAMB to be at least 15 mg/kg/day. There was an increase of serum creatinine of two times above baseline in one-third of the patients, but this increase was not related to the dose. The mean AUC at 24 h revealed dose-related, nonlinear, saturation-like pharmacokinetics with maximum plasma exposure following administration of 10 mg/kg/day and decline in plasma exposure at 12.5 and 15 mg/kg/day (50).

In a similar study format, the safety and pharmacokinetics of LAMB was evaluated at daily dosages of up to 10 mg/kg in neutropenic children requiring empirical or targeted antifungal therapy. Infusion-related side effects occurred in 11% of 565 infusions, and serum creatinine levels increased significantly in cohorts receiving 5 and 10 mg/kg/day. Pharmacokinetic analyses revealed dose-dependent pharmacokinetics similar to observations in adults and support pediatric dosages similar to those in adults (51, 52).

Whereas the approved dosage range of LAMB is 3 to a maximum of 6 mg/kg/day, and current guidelines recommend 3 mg/kg/day for empirical therapy and for treatment of invasive candidiasis and aspergillosis (5, 6, 53, 54), these systematic phase II dose-escalation trials demonstrate dose-dependent exposure and safety of single daily

TABLE 3 Overview of clinical trials ex	ploring dose escalation o	of agents investigated for	extended dosing regimens <sup>a</sup>

Authors, yr (reference)	Compound, dose range, and patients	Most relevant observations
Walsh et al., 1998 (49)	LAMB at 1, 2.5, 5, or 7.5 mg/kg QD in 36 adults with fever and neutropenia	Dose-dependent pharmacokinetics with slightly more than dose-proportional increases in AUC; MTD criteria not reached
Walsh et al., 2001 (50)	LAMB at 7.5, 10, 12.5, or 15 mg/kg QD in 44 adults with possible, probable, or proven IFD	Dose-related nonlinear saturation-like pharmacokinetics with maximal exposure following administration of 10 mg/kg; MTD criteria not reached
Lestner et al., 2016 (52); Seibel et al., 2017 (51)	LAMB at 2.5, 5, 7.5, or 10 mg/kg QD in 35 pediatric patients requiring empirical or targeted therapy	Dose-dependent pharmacokinetics with slightly more than dose-proportional increases in AUC; MTD criteria not reached
Brüggemann et al., 2015 (55)	Anidulafungin as prophylaxis at 200 mg q48h or 300 mg q72h in 20 adult HSCT recipients	Similar exposures of both regimens relative to the 100-mg QD standard regimen as measured by the AUC <sub>0-144</sub> ; no safety issues at the higher dose
Cornely et al., 2011 (58); Würthwein et al., 2013 (59)	Caspofungin at 70, 100, 150, or 200 mg QD in 46 adults with probable/proven invasive aspergillosis	Linear pharmacokinetics with dose-proportional increases in AUC; MTD criteria not reached
Betts et al., 2009 (57)	Caspofungin at 50 mg QD vs 150 mg QD in 204 adults with invasive candidiasis	Not investigated; no safety issues at the higher dose
Hiemenz et al., 2005 (62)	Micafungin as prophylaxis at 12.5, 25, 50, 75, 100, 150, or 200 mg QD in 74 adult HSCT recipients	Linear pharmacokinetics with dose-proportional increases in AUC; MTD criteria not reached
Sirohi et al., 2006 (63)	Micafungin as prophylaxis at 3, 4, 6, or 8 mg/ kg QD in 36 adult HSCT recipients	Linear pharmacokinetics with dose proportional increases in AUC; MTD criteria not reached
Muilwijk et al., 2018 (64)	Micafungin as prophylaxis at 100 mg QD vs 300 mg twice wkly in 20 adults with hematological malignancies	Similar exposures of both regimens as measured by the $AUC_{0-168}$ ; no safety issues at the higher dose
Sandison 2017 (35)	Rezafungin at 50, 100, 200, or 400 mg in single dose/multiple once-wkly doses (maximum of 3) in 56 healthy adults	Linear pharmacokinetics with dose-proportional increases in AUC; no safety issues

<sup>a</sup>LAMB liposomal amphotericin B; QD, once daily; AUC, area under the concentration-time curve; IFD, invasive fungal disease; MTD, maximum tolerated dose; q48h, every 48 h; AUC<sub>0-144</sub>, area under the concentration-time curve from 0 to 144 h; HSCT, hematopoietic stem cell transplantation.

dosages of up to 10 mg/kg in both children and adults and the feasibility of administration of larger dosages within this dosage range in extended intervals. Of note, a population pharmacokinetic study of conventional (3 mg/kg/day) and intermittent (10 mg/kg on day 1 and 5 mg/kg on days 3 and 6) dosages of LAMB in adults revealed linear pharmacokinetics for both regimens, with body weight as a significant parameter of impact on clearance (10).

#### Anidulafungin

Whereas published formal dose-escalation or MTD studies are lacking, the principal feasibility of the administration of higher doses in an intermittent fashion has been shown in patients receiving anidulafungin prophylactically at 200 or 300 mg every 48 or 72 h, respectively, which demonstrated an AUC over a 6-day period comparable to that of the licensed regimen (55). Dosages explored in children resulted in exposure equivalent to that obtained with approved standard doses in adults (56). However, data on escalated dosages are lacking, and anidulafungin is not yet approved in the pediatric population.

# Caspofungin

Several trials in adults suffering from invasive candidiasis or from invasive aspergillosis evaluated the safety and pharmacokinetics of escalated dosages of caspofungin (57–59). The data from these clinical trials demonstrate that caspofungin at dosages of up to 200 mg/day is well tolerated and displays linear pharmacokinetics without dose-limiting toxicity.

Administration of a dosage of 70 mg/m<sup>2</sup>/day to 12 children in the initial dosefinding pharmacokinetic study and comparison to an adult dose of 70 mg/day showed that the pharmacokinetic parameters (AUC<sub>0-24</sub>;  $C_{max}$  and  $C_{min}$ ) at these higher doses were similar to the results from comparisons at the approved lower dose (pediatric dose, 50 mg/m<sup>2</sup>/day; adult dose, 50 mg/day) (60). These data and physiology-based pharmacokinetic modeling of caspofungin suggest that, in the absence of different safety target sensitivities, no fundamental differences are to be expected between children and adolescents upon dose escalation (61).

### Micafungin

In an early dose-escalation study, adult HSCT recipients received fluconazole (400 mg/day) and either saline or micafungin (12.5 to 200 mg/day) prophylactically for up to 4 weeks. The MTD of micafungin was not reached, and drug-related toxicities were rare (62). In a formal dose-escalation study in HSCT recipients requiring antifungal prophylaxis, participants received up to 8 mg/kg/day micafungin for a median of 18 days. The relationship of AUC to the micafungin dose was linear. Adverse events (AEs) were not different from those expected for this setting, and there was no evidence of dose-related toxicity. No patient had a grade 3/4 AE, and criteria for MTD of micafungin were not met (63). Finally, a recent study compared the pharmacokinetics of prophylactic micafungin at 300 mg given twice weekly versus 100 mg given daily. The exposure as measured by the AUC from 0 to 168 h (AUC<sub>0-168</sub>) was similar in both treatment arms, and Monte Carlo simulations also projected similar exposures for a weekly dose of 700 mg (64).

Collectively, the results of these studies imply that micafungin has linear pharmacokinetics at dosages of up to 8 mg/kg and that the MTD is 8 mg/kg/day or higher. Micafungin has linear pharmacokinetics in children and adolescents at dosages of up to 4 mg/kg (65). While the dosage approved for prophylaxis is 1 mg/kg/day, the approved dosage range in the treatment setting is 2 to 4 mg/kg/day, providing room for intermittent administration of larger dosages for prophylaxis. Moreover, supporting the overall safety of the compound in the pediatric population, dosages of up to 15 mg/kg QD have been safely administered to neonates, and a dosage of 10 mg/kg QD is within the label specifications of the European Medicines Agency for treatment of invasive candidiasis in neonates (66, 67).

#### Rezafungin

The pharmacokinetics and safety of rezafungin have been investigated in two randomized, double-blind, placebo-controlled, dose-escalation studies in healthy normal volunteers, who received dosages of up to 400 mg in single or multiple onceweekly doses. There were no serious or severe AEs, or no individual was withdrawn from the study due to an AE (35). However, further pharmacokinetic and pharmacodynamic evaluations and demonstration of efficacy and safety in patients with the target infections are required to develop the potential of this promising investigational antifungal agent.

# CLINICAL STUDIES OF EXTENDED DOSING REGIMENS IN FUNGAL PROPHYLAXIS Retrospective Data

**Liposomal amphotericin B.** A retrospective study analyzed the prophylactic use of LAMB (3 mg/kg once weekly) in 16 adult allogeneic HSCT recipients with graft-versushost disease (GvHD) and receiving at least 20 mg prednisone per day (Table 4) (68). The incidence of IFD did not differ between patients with intermittent LAMB prophylaxis in which 73 and 12 patients received antifungal prophylaxis with triazoles or an echinocandin, respectively. In none of the patients was LAMB prophylaxis prematurely discontinued due to an AE.

**Micafungin.** Extended dosing intervals of micafungin prophylaxis in adults were analyzed in a single-center, observational, 5-year study including 83 adult allogeneic HSCT recipients who received the compound as antifungal prophylaxis and 21 adult patients in whom micafungin was given as an antifungal treatment (69). All patients received at least five doses of micafungin at a dosage of 300 mg or higher twice or three times weekly. Breakthrough IFD occurred in five patients (6.0%) receiving micafungin prophylactically. None of the patients experienced an infusion-related reaction, and renal and liver function were not impaired by the antifungal compound.

			Most relevant observations	
Authors, yr (reference)	Compound and dosage	Study characteristics	Infection/outcome	Adverse event
Kelsey et al., 1999 (73)	LAMB at 2 mg/kg $3 \times$ /wk	Randomized, double blind, placebo controlled; 74 patients, 87 controls	No IFD in patients receiving LAMB, 3 IFD in placebo arm (NS); colonization in 20% versus 40% ( $P < 0.01$ )	Infusion-related AEs in 5 and 1 patients receiving LAMB and placebo, respectively; these patients discontinued study
Penack et al., 2006 (74)	LAMB at 50 mg every other day	Randomized, open label; 75 patients, 57 controls without prophylaxis	Proven/probable IFD in patients receiving LAMB at 4.6% versus 22.2% in patients receiving placebo ( $P < 0.01$ )	Early discontinuation of LAMB in 2.8% of patients; no grade III/IV toxicities in patients receiving LAMB
El-Cheickh et al., 2007 (72)	LAMB at 7.5 mg/kg 1 $ imes$ /wk	Prospective; 21 patients	IA in 1 patient 2 mo after discontinuation of the study drug	7 patients with premature discontinuation of LAMB (infusion related/nephrotoxicity)
Cordonnier et al., 2008 (71)	LAMB at 10 mg/kg $1 \times$ /wk	Prospective; 29 patients	Proven/probable IFD in 4 patients	6 patients discontinued study due to AEs (dyspnea, renal insufficiency, anuria)
Arrieta et al., 2010 (78)	LAMB at 5 mg/kg $1 \times$ /wk	Randomized, open label, placebo controlled, prophylaxis against <i>Candida</i> infection; 20 patients, 20 controls	No <i>Candida</i> infection in patients receiving LAMB, 1 in placebo group: colonization in 1 and 3 patients, respectively	No difference between groups regarding hypokalemia, nephrotoxicity, NEC, hemorrhage grade III/IV
Bochennek et al., 2011 (76)	Bochennek et al., 2011 (76) LAMB at 2.5 mg/kg 2×/wk	Prospective; 46 patients, 45 historical controls	No proven/probable IFD in patients receiving LAMB; significantly less than in historical controls (7 patients. $P = 0.01$ )	4 patients with early discontinuation of LAMB (13.5% of prophylactic episodes) due to hypokalemia of <3 mmol/liter
Chaftari et al., 2012 (75)	ABLC at 7.5 mg/kg 1×/wk	Randomized, open label; 19 patients, 21 controls receiving posaconazole	1 IFD among patients receiving ABLC, no IFD in patients receiving posaconazole	Nephrotoxicity higher in ABLC arm (53% versus 5%; $P = 0.001$ ), leading to premature discontinuation
Ginocchio et al., 2012 (77)	LAMB at 10 mg/kg 1×/wk	Prospective; 7 patients	2 failures (6 of the 7 patients suffered from possible IFD)	No premature discontinuation of LAMB, hypokalemia in 2 patients, premature stop of study due to low patient accrual
Annino et al., 2013 (70)	LAMB at 15 mg/kg once, eventually repeated after 15 days of neutropenia	Prospective; 48 patients	Proven IFD in 4 patients (2 IA, 1 <i>Mucor,</i> 1 Geotrichum)	Hypokalemia grade 3 in 6 patients, no other grade 3/4 AEs, 1 patient with early study discontinuation
Cornely et al., 2017 (1)	LAMB at 5 mg/kg 2×/wk	Randomized, double blind, placebo controlled; 288 patients, 111 controls	8/288 proven/probable IFD in LAMB group versus 13/111 proven/probable IFD in placebo group (NS)	8.4% severe AEs of any grade related to study drug (placebo group, 1.7%; $P = 0.02$ )
Bochennek et al., 2015 (79) Neofytos et al., 2015 (69)	Micafungin at 3 or 4 mg/kg $2 \times /wk^b$ Micafungin at $\ge 300$ mg 2 or $3 \times /wk^b$	Retrospective; 21 patients Retrospective; 83 patients	No proven/probable IFD 4 proven/probable IA cases, 1 <i>Rhodotorula</i> Phondetream infertion	No significant AEs No significant AEs
Luu Tran et al., 2016 (68)	LAMB at 3 mg/kg 1×/wk	Retrospective; 16 patients, 85 controls receiving triazoles or an echinocandin	Proven/probable IFD in patients receiving LAMB $(n = 0)$ , triazoles $(n = 1)$ , or echinocandins $(n = 2)$	No premature discontinuation of LAMB due to infusion reaction, nephrotoxicity, or electrolyte disturbances; no information on creatinine
<sup>a</sup> LAMB, liposomal amphote leukemia; ALL, acute lymph <sup>b</sup> Reason for strategy: intoler	"LAMB, liposomal amphotericin B; IFD, invasive fungal disease; NS, not signific leukemia; ALL, acute lymphoblastic leukemia; CML, chronic myeloid leukemia Reason for strategy: intolerance/contraindication for other antifungal agents.	LAMB, liposomal amphotericin B; IFD, invasive fungal disease; NS, not significant; AE, adverse event; NEC, necrotizing enterocolitis; ABLC, amphotericin B lipid leukemia; ALL, acute lymphoblastic leukemia; CML, chronic myeloid leukemia; HSCT, hematopoietic stem cell transplantation; GvHD, graft-versus-host disease. Reason for strategy: intolerance/contraindication for other antifungal agents.	<sup>1</sup> LAMB, liposomal amphotericin B; IFD, invasive fungal disease; NS, not significant; AE, adverse event; NEC, necrotizing enterocolitis; ABLC, amphotericin B lipid complex; IA invasive aspergillosis; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; CML, chronic myeloid leukemia; HSCT, hematopoietic stem cell transplantation; GvHD, graft-versus-host disease.	invasive aspergillosis;, AML, acute myeloid

# **Prospective Studies without Controls**

**Liposomal amphotericin B.** Three clinical trials prospectively evaluated extended dosing intervals of antifungal prophylaxis with LAMB. One study included 48 adults receiving induction chemotherapy for AML (70). LAMB was given at a dosage of 15 mg/kg once and was repeated in 5 patients after 15 days of persistent neutropenia. Proven IFD was diagnosed in 4 (8.3%) patients. The drug was prematurely discontinued in one patient due to infusion-related toxicity.

Prophylactic LAMB was given once weekly at a dosage of 10 mg/kg to adults with acute leukemia (n = 21) or receiving allogeneic HSCT (n = 8) (71). Proven/probable IFD occurred in 3 leukemia patients and in 1 HSCT recipient, respectively. Whereas no AE related to LAMB led to premature discontinuation in leukemia patients, antifungal prophylaxis was discontinued in 6 of the 8 HSCT patients due to AEs which were considered to be related to the study drug, such as lung and renal problems or anaphylactic shock. Due to the high frequency of grade 3/4 AEs, the study was prematurely stopped in the HSCT group.

Another trial used once-weekly LAMB at a dosage of 7.5 mg/kg as antifungal prophylaxis in 21 adult patients receiving more than 2 mg/kg/day of prednisone for acute GvHD therapy after allogeneic HSCT (72). In one patient, invasive aspergillosis occurred 2 months after discontinuation of the study drug. Seven patients discontinued LAMB prophylaxis due to study drug-related AEs, including hypotension, chest pain, arrhythmia, and elevated serum creatinine.

## **Randomized Trials**

**Liposomal amphotericin B.** Three clinical trials investigated extended dosing intervals in LAMB prophylaxis in a prospective randomized fashion (1, 73, 74). An early double-blind and placebo-controlled study evaluated prophylactic LAMB given three times weekly at a dosage of 2 mg/kg to patients receiving chemotherapy (n = 74) or undergoing HSCT (n = 87) (73). Prophylaxis started on day 1 of chemotherapy and was given until neutrophil recovery or until an infection was suspected. As the overall incidence of proven IFD was low (no IFD in patients receiving LAMB and three IFD in controls), no statistically significant difference between the two arms was detected. However, fungal colonization of at least one body site, which was one of the endpoints, was seen in significantly more patients in the placebo arm (15 versus 35 patients). The two study arms did not differ significantly regarding clinically significant AEs and laboratory values.

In an open-label study, 132 patients with hematological malignancies and prolonged neutropenia were randomized to receive either LAMB (50 mg every other day) or placebo (74). When looking at the first neutropenic episode of each patient or at all 219 neutropenic episodes, the incidence of proven/probable IFD was significantly lower in patients receiving LAMB prophylaxis (5 versus 20, P = 0.001, and 5 versus 22, P < 0.01, respectively). The results are clearly limited by the possibility of multiple patient reentries. Due to toxicity, LAMB was prematurely stopped in three patients.

A double-blind placebo-controlled trial enrolled adult patients receiving remission induction chemotherapy for newly diagnosed ALL in order to evaluate the efficacy of prophylactic LAMB given twice weekly at a dosage of 5 mg/kg (1). Eight of 288 patients (7.9%) receiving LAMB experienced a proven/probable IFD compared to 13 of 111 patients of the placebo group (11.7%), which was statistically not significant. The most common drug-related AEs were hypokalemia in 10.5% and increased creatinine in 3.5% of the patients receiving LAMB.

ABLC. In a prospective randomized study, prophylactic intravenous amphotericin B lipid complex (ABLC) at a dosage of 7.5 mg/kg once weekly was compared to posaconazole administered orally at a dosage of 200 mg three times a day in allogeneic HSCT recipients (75). A total of 19 and 21 adult HSCT recipients received ABLC or posaconazole, respectively, for up to 6 weeks. Definitive IFD occurred in one patient from the ABLC group and in none of the posaconazole group. Significantly more patients doubled their serum creatinine levels to abnormal ranges in the ABLC arm, and therefore, the study was prematurely stopped after an interim analysis.

### **Pediatric Data**

**Liposomal amphotericin B.** In a prospective study, LAMB was given as antifungal prophylaxis two times per week at a dosage of 2.5 mg/kg to 46 pediatric patients at high risk for IFD (76). In contrast to 7 children and adolescents of a historical control group who developed proven/probable IFD, no breakthrough IFD occurred in 46 study patients. Acute allergic reactions were seen in four patients, leading to early discontinuation of LAMB prophylaxis. Hypokalemia was observed in 25 of the 187 episodes (13.5%) in which LAMB prophylaxis was administered, whereas there were no significant changes between the baseline and end-of-treatment values of creatinine and liver enzymes.

Only one study investigated secondary antifungal prophylaxis in 7 children who received further immunosuppressive therapy after a diagnosis of deep organ IFD (six of them with possible pulmonary fungal infection) (77). LAMB was administered at a dosage of 10 mg/kg once weekly. Prophylaxis failed in 2 patients, and hypokalemia occurred in 3 patients. The study was prematurely stopped because of low patient accrual.

A randomized, placebo-controlled pediatric study on extended dosing intervals in antifungal prophylaxis outside the cancer setting investigated LAMB given once weekly at a dosage of 5 mg/kg to very low birth weight neonates (n = 40) (78). Enrolled were neonates <32 weeks of gestational age, younger than 7 days old, and with a birth weight of less than 1,500 g. Antifungal therapy was applied until 6 weeks after birth or until the discontinuation of high-risk treatments and invasive devices, whichever occurred first. Analysis was reported in a descriptive way without statistical analysis. Colonization defined as *Candida* spp. in any surface culture (primary endpoint) was detected in 1 (5%) and 3 (15%) patients in the LAMB and placebo group, respectively. No patient receiving LAMB developed invasive candidiasis, which occurred in 1 patient of the placebo group. No differences between groups were observed regarding the incidence of grade III/IV intraventricular hemorrhage, necrotizing enterocolitis, hypo-kalemia, and nephrotoxicity.

**Micafungin.** Two pediatric centers administered micafungin to 21 children and adolescents at a dosage between 3 and 4 mg/kg twice per week, because these patients did not tolerate or had contraindications to polyenes and triazoles (79). The analysis demonstrated that none of the children and adolescents suffered from proven or probable breakthrough IFD, and in none of the patients were significant clinical AEs observed. The authors assessed plasma trough concentrations in 11 randomly selected patients, 9 of whom had values above 150 ng/ml, a concentration which is thought to be effective for the prophylactic setting.

### SUMMARY AND FUTURE DIRECTIONS

There is increasing interest in the strategy of extended dosing regimens in antifungal prophylaxis, in particular in patients undergoing therapy for a hematological malignancy or undergoing allogeneic HSCT. In contrast, intermittent antifungal prophylaxis seems to be less attractive for solid organ transplant recipients or patients with primary immunodeficiencies, as these patients are able to tolerate oral antifungal compounds and usually do not receive medication which is contraindicated with the concurrent use of azoles. Data from both pharmacokinetic/pharmacodynamic analyses and animal studies clearly support this approach, and extended dosing intervals in antifungal prophylaxis using LAMB or micafungin have already been investigated in patients at risk to develop IFD. However, the results of the 15 clinical studies published to date are difficult to interpret, as the compounds were evaluated in various patient populations and at different dosages and schedules, and the few randomized studies had major limitations, such as few IFD in the control arm or the possibility of multiple patient reentries. Therefore, based on data derived by pharmacokinetic/pharmacodynamic modeling, experts should agree on specific doses and dosing schedules, which may then be investigated in sufficiently powered and financed studies. Future research in this area should also evaluate novel and promising compounds (80). Solid clinical data derived by rationally designed pharmacokinetic/pharmacodynamic analyses will help to optimize extended dosing intervals in antifungal prophylaxis, as this strategy might have important implications, in particular in the outpatient setting. For example, this strategy could potentially decrease costs and resource utilization and improve the quality of life of the patient.

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