

REVIEW

Expressional activation and functional roles of human endogenous retroviruses in cancers

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Summary

Human endogenous retroviruses (HERVs) are widely believed to be remnants of ancestral germ line infections by exogenous retroviruses. Although HERVs are deemed as “nonfunctional DNAs” due to loss of most of their viral protein coding capacity during evolution as part of the human genome, cumulative evidences are showing the expressional activation and potential roles of HERVs in diseases especially cancers. Work by other researchers and us has observed the dysregulation of HERVs in cancers, identified new HERV-related genes, and revealed their potential importance in cancer development. Here, we summarized the current knowledge on the mechanisms of the expressional activation and functional roles of HERVs, with a focus on the H family HERV (HERV-H), in carcinogenesis. HERV expression is regulated by external chemical or physical substances and exogenous virus infection, as well as host factors such as epigenetic DNA methylation, transcription factors, cytokines, and small RNAs. Diverse roles of HERVs have been proposed by acting in the forms of noncoding RNAs, proteins, and transcriptional regulators during carcinogenesis. However, much remains to be learnt about the contributions of HERVs to human cancers. More investigation is warranted to elucidate the functions of these “fossil remnants” yet important viral DNAs in the human genome.

KEYWORDS

aberrant expression, cancer, human endogenous retrovirus (HERV), long terminal repeat (LTR), noncoding RNA

Abbreviations: CSF1R, colony-stimulating factor 1 receptor; dsRNA, double-stranded RNA; GSDML, gasdermin-like protein; HERV-H, H family HERV; HERV-K, K family HERV; HERVs, human endogenous retroviruses; HSV-1, herpes simplex virus-1; IFN, interferon; IL, interleukin; LTR, long terminal repeat; MLV, murine leukemia virus; MMTV, mouse mammary tumor virus; MSRV, multiple sclerosis-associated retrovirus; ncRNA, noncoding RNA; ORF, open reading frame; PHA, phytohemagglutinin; PMA, phorbol-12-myristate-13-acetate; PSF, polypyrimidine tract-binding protein-associated splicing factor; SNP, single nucleotide polymorphism; TSERV, tumor-specific ERV

1 | INTRODUCTION

1.1 | Human endogenous retroviruses and their aberrant expressional activation

Human endogenous retroviruses (HERVs) originate from retroviral infections into the germ cell more than a million years ago.¹ They

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are estimated to comprise more than 8% of the human genome.² HERVs are classified into different groups or families based on sequence homology and similarity of their primer binding sites to host tRNAs. For example, H family HERV (HERV-H) is primed by tRNA^{His}, while HERV-F is primed by tRNA^{Phe}. HERVs share the same genomic structure as exogenous retroviruses: 5'LTR-*gag-pro-pol-env*-3'LTR, in which the four genes encode structural/functional proteins essential to a replication-competent retrovirus, and long terminal repeats (LTRs) contain transcriptional regulation sequences. The *gag* gene encodes the major structural polyprotein Gag, which functions in the assembly of noninfectious and immature viral-like particles. The *pro* gene encodes the viral protease that is responsible for facilitating the maturation of viral particles. Multiple products are encoded by the *pol* gene, including reverse transcriptase, RNase H, and integrase. The envelope protein encoded by *env*, consisting of the viral surface glycoprotein and transmembrane subunits, is responsible for mediating cellular receptor binding and membrane fusion. Evolution has adapted several thousand copies of HERV elements and even more LTRs to their present locations. However, most open reading frames (ORFs) of the coding genes in HERVs have been degraded by deletions or mutations during evolution as part of the human genome,³ and only very few of them retain all structural features necessary for viral replication.⁴ In contrast to the coding genes, many HERV LTRs retain their potential to regulate gene transcription with the viral promoter, enhancer, and polyadenylation signals.⁵

Physiological expression of HERV-H elements has been observed in embryonic stem cells.^{5,6} The placenta has some peculiarities in the expression of all kinds of HERVs,^{1,2} for which lower methylation content in the genome and some unique properties in epigenetic gene regulation may contribute to this phenomenon.⁴ However, in more circumstances, aberrant expression of HERVs is associated with a wide range of diseases, including cancers, fetal malformations, and autoimmune diseases.⁷⁻¹⁰ HERVs have been investigated in a large number of autoimmune disorders due to their role in innate and adaptive immunity based on molecular mimicry.¹¹ Multiple sclerosis-associated retrovirus (MSRV) might have originated from the recombination of different HERV-W transcripts,¹² which was associated with poor prognosis in patients with multiple sclerosis and a higher rate of clinical exacerbations.¹³⁻¹⁵ Syncytin-1, a HERV-W *env* protein, has been found to regulate neuroinflammation in multiple sclerosis.¹⁶ HERV-W *env* gene products have also been detected in plasma of schizophrenia and bipolar disorders patients.^{17,18} Recently, Levet et al demonstrated that HERV-W *env* expression was significantly upregulated in patients suffering from type 1 diabetes,¹⁹ suggesting new therapies for type 1 diabetes.²⁰ Besides HERV-W, single-nucleotide polymorphisms (SNPs) around HERV-Fc1 showed a highly significant association with multiple sclerosis.²¹ HERV-H/*env59* protein was found to be upregulated in patients with systemic lupus erythematosus and negatively correlated with pathogenetic factors of human autoimmune rheumatic diseases.²²

Aberrant expression of various HERVs has been demonstrated in human cancer cell lines and primary tumor tissues, such as HERV-H,²³ HERV-K,²⁴ HERV-F,²⁵ HERV-R,²⁶ and HERV-S.²⁷ Rooney et al systematically mapped the RNA sequencing data from 18 different types of solid tumors to 66 HERV family members and identified numerous HERVs to show enhanced transcription in tumor tissues as compared

with normal tissues.^{28,29} Among them, a conservative set of three tumor-specific endogenous retroviruses (HERVH-5, HERVH48-1, and HERVE-4) all showed minimal to undetectable expression in normal tissues and elevated expression in tumor tissues. Another array-based study demonstrated that numerous HERV family members exhibited lower levels of DNA methylation in head and neck cancers as compared with adjacent nontumor tissues, with the most pronounced changes in methylation levels observed in HERV-H, HERV-W, and HERV-K families.³⁰ Due to the hypomethylation status of CpG dinucleotides, high expression of HERV-K *gag* gene, LINE-1, and HERV-W was detected in ovarian cancer cell lines and tumor tissues.³¹⁻³³ Moreover, the methylation levels of HERV-K, HERV-E, and LINE-1 were found to be decreased during ovarian cancer progression.³⁴ However, the pathogenic roles and mechanisms of HERVs in cancers remain elusive. Further investigations on individual families of HERVs are also warranted.

1.2 | H family HERV

H family HERV (HERV-H) is one of the most abundant HERV families, with about 1000 members. Previous studies on the function of HERVs have been focused on the envelope protein encoded by the *env* gene as it might possess immunosuppressive properties and is suspected to be the most important gene of HERVs in disease.^{31,35,36} However, very few HERV-H family members have been shown to encode intact envelope proteins currently. Only three HERV-H integrations retain *env* ORFs, including HERV-H/*env62* (or HERV-H19), HERV-H/*env60*, and HERV-H/*env59*.^{36,37} HERV-H19, reported to be a coding competent member, contains a 1752-bp *env*-ORF that could produce a 77-kDa envelope protein during *in vitro* translation reactions.³⁸

The transcriptional analysis of specific HERV-H copies is challenging due to the high similarity among the 1000 members of the HERV-H family distributing throughout the human genome. However, there are still a variety of researches focusing on the expressional activation, especially the noncoding spliced transcripts, of HERV-H elements.

1.3 | Expression of HERV-H in cancers, especially colorectal cancer

HERV-H transcripts have been detected in cell lines of different cancer types, including teratocarcinoma, bladder carcinoma, testicular tumors, lung cancer, and colorectal cancer.³⁹ Expression of the HERV-H *env* gene was not detected in normal tissues of the brain, prostate, kidney, liver, and lung but was detected in cancer cells.^{40,41} Using massively parallel signature sequencing, Stauffer et al revealed preferential expression of HERV-H elements in cancerous tissues of the colon, small intestine, and stomach among various cancer types tested.⁴¹ Alves et al reported that a specific HERV-H element on X chromosome was selectively transcribed in 60% of colon cancers²³ and in a higher proportion of metastatic colon cancers. Wentzensen et al also reported a HERV-H RNA sequence overexpressing in a series of gastrointestinal cancers,⁴⁰ which was correlated to demethylation of the HERV-H LTR. Pérot et al detected colorectal cancer-specific HERV-H transcripts throughout the disease progression from adenoma to liver metastasis, with a significantly higher level in tumors

with lymph node invasion.⁴² Therefore, according to literature, the aberrant expression of HERV-H element is associated with cancers, with a preference in colorectal cancer.

Our team has been investigating HERV-Hs in cancers. In one of our studies searching for genes differentially expressed in colorectal tumors compared with adjacent nontumor tissues using microarray expression profiling, we identified and characterized a HERV-H element located at Xp22, designated as HERV-HX, which is specifically upregulated in colon tumor tissues. Further analysis and promoter activity assays on the 5' LTR of HERV-HX demonstrated that a 17-bp unique sequence within the 5' U3 region contributed pivotally to the transcriptional activity of HERV-HX.⁴³ Although no exact chromosomal locations could be obtained for the X-linked HERV-H elements reported by Alves et al¹⁹ and Wentzensen et al⁴⁰ we infer that these are the same one as HERV-HX according to the rough chromosomal location and expression pattern. The functional importance of HERV-HX, transcribing in a nonspliced format, needs further investigation.

In another study, we characterized the expression of noncoding spliced transcripts of HERV-H elements in colon cancer using a targeted PCR and cloning strategy.⁴⁴ We observed that many env-deleted HERV-Hs were transcriptionally active, with spliced noncoding RNAs actively transcribed in both tumorous and normal colon tissues, as well as in colon cancer cell lines. Nevertheless, the expression patterns of HERV-H spliced transcripts differ among colon cancer cell lines and could be affected by genomic DNA methylation levels. More importantly, besides the commonly accepted view of upregulation of HERV-H expression in colon tumor tissues, we found more active HERV-H loci in colon tumors as compared with adjacent normal tissues.

Besides the investigation of HERV-Hs in colon cancer, we have identified two other new HERV-H-related genes active in other cancer types, *psiTPTE22-HERV*⁴⁵ and *HERV-H4p15.2*.⁴⁶ *psiTPTE22-HERV* is located near the centromere of chromosome 22, adjacent to the gene *psiTPTE22*, and contains a HERV-H element. The expression of *psiTPTE22-HERV* is regulated by methylation of the CpG island promoter, while its transcription termination involves the transcription termination signal contained in the 3' LTR of the HERV-H element. Similarly, transcription of *HERV-H4p15.2* also terminates using the transcription termination signal contained in the 3' LTR of the HERV-H element involved, while its promoter region is an Alu element. Interestingly, the two HERV-H-related genes involving adjacent DNA sequences are differentially downregulated in tumor tissues compared with adjacent nontumorous tissues of multiple cancer types, including stomach and kidney. Interestingly, the noncoding RNA produced by *HERV-H4p15.2* is almost silenced in colon tumors but actively transcribed in nontumorous colon tissues, while the gene *psiTPTE22-HERV* shows no differential expression between colon tumor and adjacent non-tumor tissues; these are opposed to HERV-HX and the general view that HERV-Hs are upregulated in colon cancers. Further investigations are needed to elucidate how the expression of HERV-H family is regulated.

1.4 | Other HERV families in cancers

Besides HERV-H, expression of various HERVs has been demonstrated in human cancer cell lines and primary tumor tissues, such as HERV-

K,^{24,47,48} HERV-W,⁴⁷ HERV-F,²⁵ HERV-R,²⁶ and HERV-S.²⁷ Among these, the HERV-K family is remarkable since many of them still retain full-length coding genes, with their viral particles and env protein detected in various cancers. The two representative proteins of HERV-K, Np9 and Rec, which are encoded by *env* gene with the presence or absence of a 292-bp deletion, have been intensively studied for their possible role in human cancers.^{49,50} Both Np9 and Rec interact with the promyelocytic leukemia zinc finger protein (PLZF) tumor suppressor, abrogating the transcriptional repression of the *c-myc* proto-oncogene by PLZF and ultimately promoting cell proliferation.⁵¹ Zhao et al observed that the HERV-K env protein was expressed in the majority of primary breast tumors.⁵² Furthermore, HERV-K antibodies and mRNA are elevated in blood of patients at an early stage of breast cancer and further increased in patients who are at risk of developing metastasis.⁵³ An in vitro experiment showed that HERV-K env protein effectively activated the ERK1/2 pathway and induced epithelial-mesenchymal transition in a nontumorigenic human breast epithelial cell line, suggesting that this HERV-K env protein has oncogenic properties.⁵⁴ Moreover, HERV-K expression was significantly associated with poor outcome in hepatocellular carcinoma patients,⁵⁵ as well as cancer progression in soft tissue sarcoma.⁵⁶ Elevated HERV-K gag expression in PBMC was associated with prostate cancer diagnosis particularly in older men and smokers who tend to develop a more aggressive disease.⁵⁷ Recently, HERV-K *env* expression was detected in pancreatic cancer cell lines and in 80% of pancreatic cancer patient biopsies, but not in normal pancreatic cell lines or uninvolved normal tissues.⁵⁸ The significantly elevated expression of numerous HERV elements in various cancers has accelerated their use as biomarkers for cancer aggressiveness and malignancy.

2 | REGULATORY MECHANISMS OF HERV EXPRESSION

The human host has developed a long-standing relationship with HERVs since their insertion into the human genome over a million years ago. Activation of HERVs occurs under certain circumstances and plays pivotal roles in certain cellular processes and disease development including cancer. Mechanisms have been proposed to explain the activation of HERVs, including regulation by external factors and host factors (Figure 1).

2.1 | Activation of HERVs by external factors

There is substantial evidence showing that HERV expression can be upregulated by environmental factors, such as external chemical substances, physical agents, and viral infections. Treatment of normal peripheral T-cells with phytohemagglutinin (PHA) alone or in combination with phorbol-12-myristate-13-acetate (PMA) induced HERV-H transcription.⁵⁹ Increased expressions of HERV-W, HERV-K, and HERV-H in primary macrophages and monocytoic cells were found to be induced by PMA treatment.⁶⁰ Moreover, physical agents, such as UVB irradiation and X-rays, have also been shown to act as transducers for HERV expression.^{61,62}

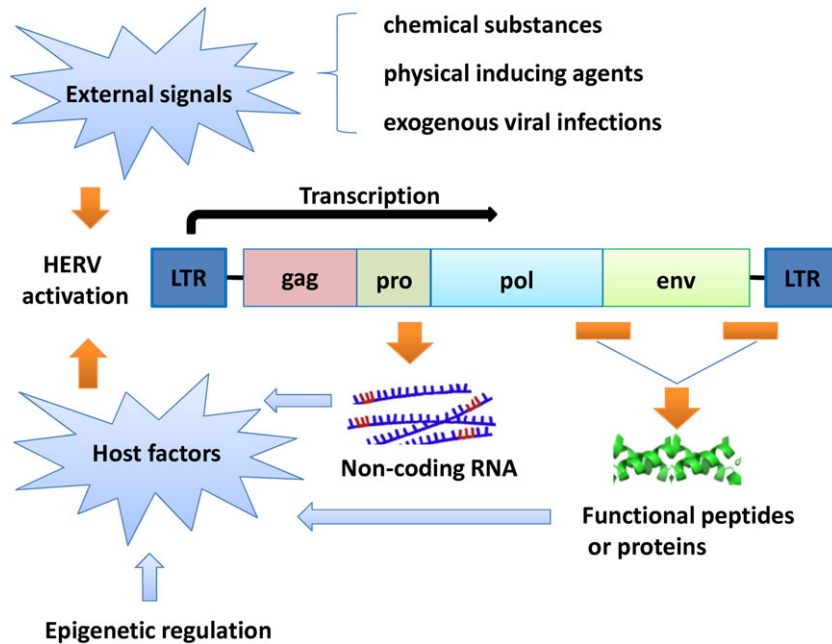


FIGURE 1 Proposed model for HERV activation. HERV expression can be induced by environmental factors and host factors

Besides chemical and physical agents, HERVs can also be reactivated by exogenous viral infections. Brudek et al demonstrated that herpes virus antigens activated HERVs, as measured by reverse transcriptase activity, which subsequently contributed to the synergistic immune responses in multiple sclerosis patients.⁶³ Expression of HERVs has also been shown to be induced by exogenous retroviruses such as HIV-1 in vitro, and higher levels of HERV expression were also observed in HIV-1 patients.^{64,65} HERV LTRs have been observed to be activated by the Tax protein of human T-lymphotropic virus 1.⁶⁴ Herpes simplex virus-1 (HSV-1) infection induced transcription of HERV-K, which was transactivated by the HSV-1 immediate-early protein ICPO.⁶⁶ Remarkably, Frank et al observed an increased transcriptional activity of HERVs in neuroepithelial cells infected with the protozoan parasite *Toxoplasma gondii*.⁶⁷ Therefore, HERV expression may be activated not only by viruses but also by other infectious agents. However, the specific microbial species affecting HERV expression and the relevant mechanism need to be elucidated in further studies.

2.2 | Activation of HERVs by host factors

Besides the activation by external factors, HERV expression is also regulated by host factors during cellular processes. Epigenetic mechanisms including DNA methylation and histone modifications,⁶⁸ regulatory proteins such as transcription factors,⁶⁹ cytokines,⁷⁰ and small RNAs⁷¹⁻⁷³ are involved in the activation of HERVs.

DNA methylation and histone modifications are the most common epigenetic mechanisms that influence HERVs activity. A landmark genome-wide methylation study reported that some HERVs were demethylated in human embryonic stem cells as compared with fetal lung fibroblasts, and this was associated with transcriptional activity.⁷⁴ Recent studies have demonstrated the general trend of HERV demethylation in cancers. Some HERVs can be affected by global DNA

demethylation and such DNA demethylation-mediated HERV expression has functional effects on tumor progression; this may mainly contribute to the increased expression of some full-length HERVs in cancers as compared with normal tissues.^{75,76} By analyzing the DNA methylation profiles of tissue samples obtained from patients with head and neck squamous carcinoma, it was found that all HERV families appeared to be heavily methylated in normal tissues, while HERV-H and HERV-17 families exhibited apparent loss of DNA methylation in tumors than adjacent nontumor tissues and normal tissues.³⁰ Similarly, other DNA methylation profiling analyses also showed aberrant demethylation of certain HERV families in testicular cancer, ovarian carcinoma, and urothelial and renal cell carcinomas.^{77,78} These findings support a general trend of aberrant demethylation, which may result in aberrant expressional activation, of HERVs during carcinogenesis.

The epigenetic signatures associated with changes to histone tails are far more complex than DNA methylation. Current knowledge on how histone modifications specifically regulate HERVs in the human genome is limited. Nevertheless, studies on mouse embryonic stem cells demonstrated an important role for histone methylation (including H3K9me3 and H4K20me3) in silencing active ERVs during embryogenesis.⁷⁹⁻⁸¹ On the other hand, treatment with histone deacetylase inhibitors suggests that histone acetylation is not crucial for controlling HERV expression in experimental cell lines and human T cells.⁸² Therefore, the regulatory effects of different types of histone modifications on HERV expression need further studies to elucidate.

HERV expression can be regulated in a tissue-specific manner by transcription factors. Transcription of HERV is usually initiated by a TATA box motif and other key promoter elements. Fuchs et al found the HERV-K LTR acted as a TATA-less promoter, and their transcription activation was mediated by the transcription factors Sp1 and Sp3.⁶⁹ HERV-K promoter activity was significantly reduced after knockdown Sp1 or Sp3 by RNA interference in teratocarcinoma cells and melanoma cells.

Some cytokines and small RNAs also affect the expression of HERVs. It has been reported that the expression of HERV-R was significantly upregulated by treatment with tumor necrosis factor- α , interleukin (IL)-1 α , and IL-1 β and downregulated by treatment with interferon (IFN)- γ .⁷⁰ The important role for small RNAs (such as Piwi-interacting small RNA) in silencing ERVs via homology-dependent mechanisms has been confirmed in mouse.^{73,83} However, the role of small RNAs in regulating the expression of HERVs in human needs further investigation.

3 | FUNCTIONAL ROLES OF HERVs IN CARCINOGENESIS

The aberrant expression of HERVs has inferred potential roles in the development of cancers. Although the functional roles of HERVs in carcinogenesis remain not comprehensively conclusive, diverse roles of HERVs have been reported by acting in the forms of noncoding RNAs, proteins, transcriptional regulators, and so on (Figure 2).

3.1 | Noncoding RNA transcripts

Due to the accumulated deletions and mutations in the viral protein ORFs during evolution as parts of the human genome, most of the HERVs are transcriptionally silenced or may only produce noncoding RNA (ncRNA) transcripts. Kelley and Rinn found a close association between HERVs and ncRNAs and identified 127 ncRNAs originating

from HERVs.⁸⁴ They believed that HERV-Hs were responsible for the birth of new ncRNAs by inserting active promoters into previously inactive genomic regions. The long ncRNA ROR, with emerging roles being found in human cancers,^{85,86} was one of the ncRNAs promoted by a HERV-H element.⁸⁷

The ncRNAs from HERVs have various potential to affect genome functions; some of the effects may benefit the host, while others may be pathogenic.^{88,89} The protein PSF (polypyrimidine tract-binding protein-associated splicing factor) functions to repress proto-oncogene transcription.⁹⁰ The ncRNA produced by a HERV-K11 provirus binds to PSF directly, reversing the PSF-mediated repression of proto-oncogene transcription and subsequently driving transformation and tumorigenesis.^{90,91} Lv and Zhao also observed that LINE-1 RNA specifically bound to PSF and released its target gene, proto-oncogene G antigen 6 (GAGE6), from the DNA-binding domain of PSF, consequently promoting cell proliferation and colony formation of hepatocellular carcinoma cells.⁹² In this regard, HERV transcription and proto-oncogene activation can be connected. Besides such HERV-related ncRNAs with oncogenic function, others with tumor-suppressive potential have also been identified. The intronic RNAs arising from LTRs of ERV-9 are expressed as both sense and antisense transcripts. It has been reported that a lower level of the antisense transcript of ERV-9 LTR was expressed in malignant cells than normal cells.⁹³ This antisense RNA was found to physically bind to key transcription factors for cellular proliferation, including nuclear factor Y (NF-Y), p53, and sp1, which may ultimately inhibit cancer cell growth via functioning as decoy targets or traps for NF-Y.⁹⁴

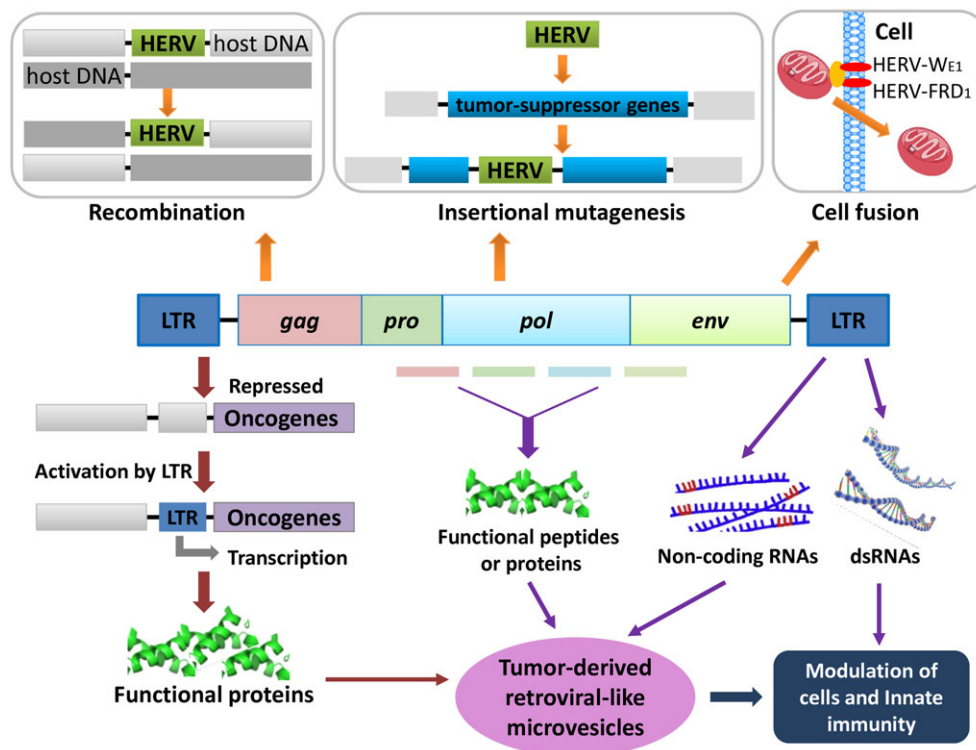


FIGURE 2 Potential mechanisms of HERV-mediated oncogenesis. HERV-mediated homologous recombination leads to chromosomal re-arrangements. HERV integrations may disrupt the tumor-suppressor gene. HERV-LTR can function as the alternative promoters for the downstream oncogenes. Noncoding RNAs and functional peptides produced by HERV may have effect on tumorigenesis. Certain tumor-derived retroviral-like microvesicles may also be involved in tumor growth and metastasis. dsRNA transcripts from HERV-LTRs, which could be induced by DNA methylation inhibitors or specific genes, may activate innate immunity such as interferon response in tumor cells

3.2 | Transcriptional regulation of adjacent genes by LTR

The majority (90%) of LTR elements exists as solitary LTRs due to recombination between the 5' and 3' LTRs.²⁴ HERV-LTRs regulate the transcriptional activity of HERVs, with the 5' LTR containing enhancer and promoter sequences to provide signals that can be recognized by the cellular machinery for transcription initiation and the 3' LTR providing a polyadenylation signal for transcription termination. Solitary LTRs, as well as some HERV-LTRs, may function in transcriptional regulation of adjacent cellular genes. There are a number of examples for LTRs as transcriptional control elements for cellular genes, such as *ZNF80*,⁹⁵ *PLA2L*,⁹⁶ *PTN*,⁹⁷ and two of the HERV-related genes (*psiTPTE22-HERV* and *HERV-H4p15.2*) identified by us.^{45,46}

There are two ways for LTRs to regulate gene expression. The most widely studied way is to function as alternative promoters, which may result in different functional effects. Different protein isoforms or transcription patterns produced by alternative LTR promoters have been reported.^{87,98,99} In some cases, transcriptional activation of HERV-LTRs leads to activation of cancer-related genes. The myeloid-specific proto-oncogene *CSF1R* (*colony-stimulating factor 1 receptor*) was observed to be driven by an aberrantly activated LTR promoter to express in Hodgkin lymphoma,¹⁰⁰ suggesting that activation of the usually silenced repeat elements may play a role in the pathogenesis of human malignancies. An LTR element of HERV-H with reverse orientation has been identified as an alternative promoter of the *GSDML* (*gasdermin-like protein*) gene,¹⁰¹ which is located near an oncogenomic recombination hotspot.¹⁰² The *GSDML* transcripts derived from this LTR promoter were widely distributed in various human tissues and cancer cells.

The other way for LTRs to regulate cellular gene expression is to facilitate gene transcription by donating binding sites for transcription factors or other regulatory proteins, especially for activation of oncogenes. p53 binding sites within HERV-LTRs have been found to lead to the transcriptional activation of p53 downstream genes in human cancer cells.¹⁰³ Importantly, all the p53-containing LTRs are primate-specific.¹⁰⁴ Therefore, LTR elements are involved in regulating the primate-specific transcriptional network of p53-related genes. A genome-wide analysis demonstrated that the binding sites for the transcription factors CTCF, TP53, Pou5F1, Sox2 and ESR1 are enriched within individual LTRs and are also present in LTR consensus sequences.¹⁰⁵ The binding sites for Myb protein and Sp1 family proteins have been identified in the LTRs of the HERV-H.^{106,107} HERV-H LTRs also appeared to function as enhancers for pluripotency-related genes.⁶ Therefore, by serving as promoters or providing transcriptional regulatory sites, LTRs participate in regulating cellular gene expression and may affect carcinogenesis when cancer-related genes are regulated.

3.3 | HERV-mediated nonallelic homologous recombination

HERV families contain a large number of long and highly homogeneous copies, providing an ideal substrate for nonallelic homologous

recombination between members that are located on the same or different chromosomes. A systematic phylogenetic sequence analysis on the most recently active HERV members showed evidence for HERV-mediated genomic rearrangements during primate evolution; at least 16% of these elements had undergone apparent rearrangements.¹⁰⁸ HERV elements throughout the genome have been shown to serve as substrates for genomic instability and mediate human copy-number variation.¹⁰⁹ Nonallelic homologous recombination may result in chromosomal anomalies that are ubiquitous in cancers, including translocations, duplications, deletions, inversions, and rearrangements. Tomlins et al¹¹⁰ found a fusion between a HERV-K provirus and a dormant oncogene of the E26 transformation-specific family in human prostate cancer. A recurrent translocation was identified to be mediated by homologous recombination between HERV-H elements on chromosomes 4 and 18 in children with intellectual disability.¹¹¹ In one of the most serious radiological accidents occurred at a radiation therapy unit in Brazil, nonallelic recombination between two HERVs, caused by exposure to ionizing radiations, contributed to chromosomal rearrangements in the AZF region in patients.¹¹² More systematic analyses are needed to elucidate the importance of HERV-mediated rearrangements during carcinogenesis.

3.4 | HERV-mediated insertional mutagenesis

Exogenous infectious retroviruses-mediated insertional mutagenesis can lead to the activation of cellular oncogenes or disruption of tumor-suppressor genes.¹¹³ Some ERVs retaining infectivity may share the transforming potential. The role of infectious copies of mouse mammary tumor virus (MMTV) or murine leukemia virus (MLV) has been well studied in the insertional activation of oncogenes (*Wnt1* and *Notch4*) and disruption of tumor suppressor genes in mice.¹¹⁴ The strong link between MMTV infection and mammary tumorigenesis in mice leads to a hypothesis for retroviruses in causing human cancers. Some researchers have succeeded in reconstituting the human-specific active HERV-K provirus,^{115,116} indicating that human cells still have the potential to produce infectious retroviruses. Although these reconstituted viral particles are not highly infectious and no infectious HERV-derived retrovirus has been discovered in vivo until now, these studies support the hypothesis regarding the potential oncogenic mechanism of insertional mutagenesis by an infectious HERV.

3.5 | HERV-mediated cell fusion

The most well-known physiological role of HERV is that of the Syncytin-1 protein, encoded by HERV-W, in mediating trophoblast fusion.¹¹⁷ Syncytin-1 expression on the surface of cytotrophoblasts and syncytiotrophoblasts mediates fusion; this cell-cell fusion is essential for normal placental development.¹¹⁸ Recently, another HERV-FRD-derived protein, syncytin-2, was identified and shown to be sufficient for mediating cell fusion.^{119,120}

Besides mediating trophoblast fusion, the HERV protein syncytins have recently been found to mediate cell-to-cell transfer of mitochondria. Mitochondria are crucial organelles that regulate not only the

energy metabolism but also the survival and fate of eukaryotic cells. Mitochondria were recently discovered to be able to translocate from one cell to another. This phenomenon was observed *in vitro* and *in vivo*, both in physiological and pathophysiological conditions including tissue injury and cancer. Mitochondrial transfer from donor cells to cells of injured tissues is a promising cell-based therapy that effectively brings about the recovery of tissue bioenergetics. Syncytin-1/syncytin-2 also function to mediate the cell-to-cell transfer of mitochondria, and this could be blocked by anti-syncytin-1 and anti-syncytin-2 antibodies.¹²¹

Huang et al demonstrated that Env proteins encoded by HERV-K could mediate intercellular fusion of melanoma cells,¹²² which may lead to syncytia and drive genetic changes such as loss of heterozygosity. This may ultimately contribute to the malignant growth of tumor cells, thus promoting tumor progression and treatment resistance. Function of other HERV proteins in mediating cell fusion is rarely observed and is worthy of attention.

3.6 | Tumor-derived retroviral-like microvesicles

Living cells can release a variety of vesicles into the extracellular fluids, such as blood, urine, and breast milk, acting as important intercellular communicators. Microvesicles are one subtype of extracellular vesicles, deriving from the plasma membrane. Microvesicles carry RNA (mRNA, miRNA, and ncRNA), genomic sequences, and a large component of proteins and lipids.¹²³ Their contents vary according to the cellular composition and physiologic state. Retroviral-like microvesicles have been found in patients with cancers, including lymphomas,¹²⁴ breast cancer,¹²⁵ melanoma,¹²⁶ and teratomas.¹²⁷ The levels of reverse transcriptase and retroviral-like microvesicles drop dramatically with cancer treatment, suggesting that these retroviral-like microvesicles are tumor-derived. More interestingly, HERV RNA transcripts were found to be particularly enriched in tumor-derived microvesicles, and these tumor-derived HERV RNAs could be transferred to other cells via microvesicles.¹²⁸ Therefore, tumor cells may modulate neighboring or distant normal cells and microenvironment via microvesicles containing HERV RNAs to stimulate tumor growth and metastasis.

3.7 | Regulation of innate immunity by HERVs

The ability of HERVs in regulating the immune system may have opposite effects on cancer development, relevant to both oncogenic processes and anticancer defenses. Some HERV-env proteins have been shown to exert immunosuppressive properties, such as the human syncytin-2.¹²⁹ Cianciolo et al also reported that some ERV-env proteins have an immunosuppressive domain, which may contribute to cancer progression by promoting the escape of tumor cells from immune surveillance.¹³⁰ Infection of murine ERV-env expression vector presenting the envelope immunosuppressive domain into cancer cells promoted tumor growth *in vivo* by preventing the activity of innate immune system that clears tumors.¹³¹ The immunosuppressive peptide H17, deriving from HERV-H, was recently identified to induce

epithelial-mesenchymal transition and stimulate expression of the chemokine CCL19 in tumor cells.¹³²

In recent years, epigenetic therapies are under active investigation in diverse solid tumors, inducing immune responses and reactivating silenced tumor suppressors.^{133,134} It has been shown that low doses of DNA methylation inhibitors 5-aza-2'-deoxycytidine (decitabine) or CDK4/6 inhibitors can induce the transcription of HERV-derived double-stranded RNAs (dsRNAs) and IFN-response genes in tumor cells.¹³⁵⁻¹³⁸ Moreover, the upregulation of IFN-response genes was observed in breast cancer and colorectal cancer patients treated with low-dose DNA methylation inhibitors. Recent findings suggest that HERV-derived dsRNAs exhibit antitumor effects by leading to a "viral mimicry" state in human tumors, which subsequently activate the responses including TLR3, MAVS, MDA5, IRF7, and IFNs.^{139,140} A major trigger of the DNA methylation inhibitor-induced "viral mimicry" appears to be bidirectional transcription of HERVs that are known to fold into dsRNA secondary structures.¹⁴⁰ Chuong et al also found that HERVs played a crucial role in the transcriptional network underlying IFN response and induced innate immunity, and the expression of IFN-induced genes was significantly decreased after the CRISPR-Cas9 deletion of these HERV elements in human genome.¹⁴¹

Besides the expression of HERVs induced by DNA methylation inhibitors, some specific genes were identified to be associated with the induction of HERV-derived dsRNAs. Cañadas et al found that expression of a novel subclass of ERVs was triggered in mesenchymal tumor subpopulations with high AXL/MET expression and low EZH2 levels when exposed to IFN- γ .¹⁴² Expression of such ERVs promoted MHC class 1 upregulation, T-cell infiltration, activation of immune checkpoints, and myeloid infiltration, thus promoting tumor immune suppression. On the other hand, Sheng et al demonstrated that expression of ERVs was increased following ablation of the histone demethylase LSD1 in cancer cells, which led to dsRNA stress and activation of type 1 IFNs, stimulated antitumor T-cell immunity, and restrained tumor growth in return.¹⁴³ Further studies are still warranted to elucidate the detailed role of HERVs in regulating immunity.

4 | SUMMARY AND PROSPECTS

Increasing evidences demonstrate that HERVs are upregulated in a variety of cancers, such as HERV-Hs in colorectal cancer. However, their potential effects on the stability of host genomes and cancer progression are still poorly understood. Here, we summarize the potential consequences of HERV deregulation in cancers by mechanisms such as noncoding transcripts, transcriptional regulation, and HERV-mediated genomic rearrangements, which are shared by HERV-H and other HERV groups. Firstly, we discuss the activation of HERVs induced by host factors and external signals. Then we focus on the tumorigenic mechanisms of HERVs. Upregulation of HERVs may result in oncogenic effects through transcribing noncoding RNAs or acting as regulators of oncogenes. HERV-LTRs can serve as alternative promoters or binding sites of oncogenic transcription factors. HERV-mediated recombination and insertional mutagenesis (activation of oncogenes or disruption of tumor suppressor genes) may also contribute to tumorigenesis. Tumor-derived HERV RNAs/proteins can be

transferred to normal cells through retroviral-like microvesicles, the effects of which on tumor growth and metastasis still need to be further studied. Moreover, HERVs may also regulate immunity, such as by activating IFN response. However, much remains to be learnt about the connections between HERVs and human cancers. Nevertheless, the effects of HERV-produced RNAs/proteins and HERV-induced transcriptional enhancement of oncogenes or interference of tumor suppressor genes on tumor initiation and progression may provide us new insights into the management of cancers.

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