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The Neonatal Fc Receptor: Key to Homeostatic Control of IgG and IgG-Related Biopharmaceuticals

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Abstract

IgG and albumin are the most abundant proteins in the circulation and have the longest half-lives. These properties are due to a unique receptor, the neonatal Fc receptor (FcRn). Although FcRn is named for its function of transferring IgG across the placenta from maternal to fetal circulation, FcRn functions throughout life to maintain IgG and albumin concentrations. FcRn protects IgG and albumin from intracellular degradation and recycles them back into the circulation. Clinical trials have confirmed that pathogenic antibodies can be depleted by blocking this homeostatic function of FcRn. Moreover, understanding the molecular interactions between IgG and FcRn has resulted in the design of therapeutic monoclonal antibodies with more efficacious pharmacokinetics. As a result of genetic engineering these monoclonals can be delivered at lower doses and at longer intervals. More recent findings have demonstrated that FcRn enhances phagocytosis by neutrophils, immune complex clearance by podocytes and antigen presentation by dendritic cells, macrophages and B cells. This minireview highlights the relevance of FcRn to transplantation.

Summary

The receptor Brambell correctly theorized to control catabolism of IgG as well as transport IgG across placental and mucosal barriers is now known to perform multiple other functions. More refined models of FcRn function have allowed the rational design of therapeutic biologics with longer or shorter half-lives. Various strategies to block the salvage of IgG by FcRn are in clinical trials for treatment of autoimmune diseases and could be applied to deplete alloantibodies in transplant recipients. Further research is needed to define the function of FcRn in phagocytosis of IgG opsonized pathogens, clearance of immune complexes by podocytes and presentation of antigen complexed to IgG.

Introduction

IgG has a long half-life compared to other immunoglobulin classes. Normally, IgG1, 2 and 4 subclasses have half-lives over 3 weeks in the circulation and interstitial fluids of humans. By comparison, IgA and IgM have half-lives of 5–7 days. The long half-life of IgG is

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dependent on a unique Fc receptor now known as Fc receptor neonate (FcRn). Among many functions, FcRn protects IgG from intracellular degradation and recycles it to the circulation. In the absence of FcRn catabolism of IgG is increased and the serum concentration of IgG decreases to levels similar to IgM or IgA (1). Understanding the functions of FcRn has manifold implications to transplantation. Translational applications include strategies of depleting pathological antibodies and the rational design of Fc-containing biologics with longer or shorter half-lives. More basic mechanistic considerations include the impact of FcRn on phagocytosis by neutrophils, immune complex clearance by podocytes and antigen presentation by dendritic cells, macrophages and B cells.

Discovery and Characterization of FcRn

The discovery of FcRn is noteworthy because 20 years before the receptor was isolated Rogers Brambell and his colleagues hypothesized the existence of an Fc receptor (FcR) dependent salvage pathway for IgG (Fig. 1). Brambell had already established that an FcR was responsible for transporting IgG across the placenta of rabbits and intestinal epithelium in rodents (2). First, he demonstrated that the transport of IgG across these tissues was saturable by excess quantities of IgG. Then, with the use of papain that had been newly reported in 1959 by Rodney Porter as a method to dissect the immunoglobulin structure (3), Brambell discovered the receptor bound the Fc domain of IgG. With these insights, he analyzed data published by John Fahey that related the concentration of IgG in the circulation to its half-life. Based on this analysis, Brambell, Hemmings and Morris proposed a model in which IgG molecules became “attached to specific receptors on, or in, the cells and are ultimately returned to the circulation, while the remainder are degraded” (4). For 20 years, this hypothetical receptor was known as the Brambell receptor or FcRB. Then in 1984, the transport receptor for IgG was isolated from rat intestinal epithelium (5), and in 1989, the transport receptor was cloned and the structure categorized in the family of MHC class I molecules (6). Unlike other FcR structures, FcRn is a heterodimer of β 2-microglobulin and a heavy chain homologous to MHC class I. In 1996, two groups of investigators demonstrated that IgG catabolism was increased in β 2-microglobulin deficient mice and concluded that the MHC class I related Fc receptor was responsible for protecting IgG (7, 8). Since then, mutations in β 2-microglobulin have been found in humans that result in decreased IgG levels (1).

Although studies with early β 2-microglobulin deficient mice and humans with mutations in β 2-microglobulin confirmed that FcRn was essential for the long half-life of IgG, this deficiency altered many additional immunological parameters related to MHC class I. The production of *fcgrt*^{-/-} mice with a specific deficiency in FcRn heavy chain provided more precise insights. Experiments on bone marrow chimeras between *fcgrt*^{-/-} and normal mice established that both hematopoietic and parenchymal cells contributed to the long half-life of IgG (9). More detailed studies on mice with the FcRn deficiency limited to endothelial cells demonstrated that vascular endothelium was responsible for about half of the IgG salvage (10). Conversely, overexpression of FcRn was found to prolong the half-life of IgG in mice. This latter construct has been exploited to produce higher titers of therapeutic antibodies in animals such as rabbit anti-thymocyte globulin (11).

Function of FcRn

FcRn differs from other Fc receptors not only in structure, but also in function. FcRn is expressed on most hematopoietic cells, endothelial cells and epithelial cells. Although FcRn is present on the plasma membrane of these cells, it does not bind IgG at pH7.4, but at acidic pH of 6 and lower. Therefore, FcRn is engaged after IgG is internalized along with other proteins by pinocytosis (Fig. 2). When the pH decreases to 6 in the endosomal compartments, two FcRn molecules bind and retain an IgG molecule while other proteins including excess IgG are shunted to lysosomes for degradation. The FcRn-bound IgG is shuttled back to the plasma membrane where at pH7.4 it is released. In addition to IgG, FcRn simultaneously salvages albumin. Importantly, the binding sites for IgG and albumin on FcRn are different and can be independently blocked (12, 13). This salvage mechanism accounts for the data Brambell analyzed and fulfills his hypothesis. Specifically, the finite number of receptors accounts for the saturation curves he calculated and the shorter half-life of IgG at higher concentrations.

Biologics that are Designed to Interact with FcRn

These general concepts of FcRn function provide the foundation for designing Fc fusion biologics such as CTLA4-Ig with long serum half-lives as well as very short acting Fab or F(ab')₂ products that lack Fc such as abciximab that is used to inhibit platelet aggregation (14). With greater definition of the interaction of FcRn and IgG, more refined adjustments to Fc-containing biologics have been integrated at the molecular level (15). Increased half-lives of therapeutic monoclonal antibodies have been achieved by increasing their binding to FcRn at pH6 while also maintaining or increasing release at pH7.4 (16). As an example, eculizumab (a recombinant humanized monoclonal antibody to human C5) has a relatively short half-life in the circulation of about 11 days. The introduction of 2 selected amino acid substitutions in the Fc region to increase the affinity to FcRn doubled the longevity of the antibody and permitted treatment intervals as long as every 12 weeks. The pharmacodynamics of these modified biologics can be developed with in vitro testing on cells that express FcRn and then validated in transgenic mice that express human FcRn (17–21). The half-lives of monoclonal antibodies currently in clinical use generally correlate with measurements of the affinity of their Fc for FcRn (15). As a result, FcRn binding characteristics are now routinely assessed to confirm biosimilarity of therapeutic monoclonal antibodies.

Nonetheless variations in half-lives of therapeutic monoclonal antibodies such as infliximab (anti-TNF α) and rituximab (anti-CD20) have been observed among different patient populations. In some cases, this has been attributed to variations in distribution of IgG allotypes among different ethnic populations (22). For example, infliximab has a shorter half-life in patients homozygous for G1m17,1 allotype of IgG. This difference is due to a higher affinity of G1m17,1 allotypes for FcRn. As a result, the endogenous IgG of these patients competes more effectively for recycling by FcRn and shortens the half-life of infliximab.

Polymorphisms in the promoter of the *fcgrt* gene have been associated with minor variations in the pharmacokinetics and compartmentalization of therapeutic antibodies (23–25). These polymorphisms have been demonstrated to alter binding capacities of FcRn on monocytes.

Additional pharmacodynamic considerations related to the partitioning of molecules during FcRn recycling include the release of antigens bound to the IgG. Antibodies that retain antigen bound to their Fab and are recycled into the circulation complexed with the antigen do not effectively clear the antigen. In contrast, antibodies that are engineered to release antigen in the acidic endosome result in antigen-free antibodies being recycled into the circulation. This allows an antibody to bind to its target antigen multiple times, and decreases the dose of therapeutic antibody required for treatment (20).

Other strategic modifications have been incorporated into therapeutic monoclonal antibodies to maintain long half-lives and avert activation of complement or interaction with cells that express Fc γ receptors (Fc γ R). These modifications are possible because FcRn binds to a site within the CH2-CH3 region of IgG that is distinct from the binding site for C1 or Fc γ R. This separation of binding sites has been exploited to engineer an antibody that will block CD154 but not induce thromboembolism by interacting with the Fc γ R on platelets (26).

Improved pharmacokinetics of biologics have also been achieved by exploiting the recycling of albumin by FcRn. This has been accomplished by linking the therapeutic agent either directly or indirectly to albumin (27, 28). An early example of this approach was the fusion of human soluble complement receptor type 1 to the albumin-binding domains from Streptococcal protein G (29).

Depletion of IgG by Blocking FcRn

More precise knowledge of FcRn structure also has been exploited to design biologics that can block FcRn function and increase the catabolism of endogenous pathological antibodies. A key feature of these biologics is high affinity for FcRn at pH 7.4 to preempt endogenous IgG binding. This has been accomplished by engineering small peptides, or the Fc or Fab regions of antibodies (Table 1). All of these strategies are effective in decreasing IgG levels and ameliorating pathology in models of antibody-mediated autoimmune diseases in rats and mice (17, 21). More recently, these strategies have been advanced to non-human primates and clinical trials in humans with promising results (18, 30–33).

Biologics that effectively block FcRn cause a prolonged (weeks to months) dose-dependent decrease in circulating IgG (18, 30–33). One human monoclonal antibody to FcRn (DX-2504) decreased serum IgG levels by 40–60% for 2 weeks when 2 doses of 5mg/kg were administered intravenously or subcutaneously to cynomolgus monkeys (32). Another humanized high-affinity monoclonal antibody to human FcRn, Rozanolixizumab, was even more effective. In a dose-escalation study of rozanolixizumab in humans, a single dose of 4–7mg/kg intravenously or subcutaneously decreased serum IgG levels by 50% for over 2 weeks (30). Blocking FcRn with these antibodies has no effect on IgM or IgA because these classes of immunoglobulin are not protected from degradation by FcRn. In addition, the recycling of albumin is not disrupted because the antibodies specifically block the IgG

binding site of FcRn. Finally, these antibodies are designed to avoid complement activation (Table 2).

Antibodies that bind FcRn with high affinity by their antigen binding sites are more efficient than unmodified intravenous immunoglobulin (IVIg) preparations that compete with endogenous IgG to bind FcRn by their Fc regions. For this reason, the doses of genetically engineered antibody required to block FcRn (4–20mg/kg) are much lower than the doses of unmodified IVIg (1–2 g/kg) that are needed to modulate pathogenic antibodies (34). However, biologics with Fc regions that are genetically engineered to bind FcRn with high affinity can decrease pathogenic antibodies effectively at lower doses (33, 35). Although IVIg has the potential to modulate immune responses by multiple mechanisms, in some diseases such as autoimmune blistering skin diseases IVIg functions primarily through FcRn (36).

The pharmacodynamics of the FcRn blocking agents in clinical trials are also superior to depleting Ig by plasmapheresis, a procedure that requires repeated interventions to counteract extravascular to intravascular re-equilibrations of IgG (37). The need for repeated invasive interventions to deplete Ig by plasmapheresis contrasts with the finding that at least some of the FcRn blocking biologics achieve prolonged depletion of IgG when administered safely by subcutaneous injections (Table 1). This property might permit FcRn blocking biologics to be combined effectively with plasmapheresis. For example, plasmapheresis could be used to achieve an immediate depletion of DSA in a sensitized patient and then an FcRn blocking antibody could be used to maintain the depletion over a longer time. The development of different monoclonal antibodies to block FcRn has the added advantage that these could be applied in succession in patients who develop neutralizing antibodies to a given biologic. Additional benefits for transplant recipients could be incorporated into monoclonal antibodies that are engineered to block FcRn via their Fc region. For example, the Fc region of monoclonal antibodies to CMV or other pathogens could be engineered to bind to FcRn in order to diminish the risk for infections in immunosuppressed patients during the period of hypogammaglobulinemia.

Although less invasive and more efficient than plasmapheresis, blocking FcRn function causes global depletion of IgG. In cynomolgus monkeys, DX-2504 did not interfere with the IgM antibody response to a primary challenge with keyhole limpet hemocyanin (KLH) or a secondary IgM immune response to tetanus toxoid, but did decrease the IgG responses to both antigens. These data support the premise that blocking FcRn would decrease preformed or de novo pathogenic IgG antibody responses. However, as the interactions of FcRn with more antibodies are investigated, new factors are discovered that influence the recycling mechanisms. For example, IgG3 binds to FcRn less avidly than other subclasses of IgG because IgG3 has an arginine instead of a histidine at position 435 that is unique among IgG subclasses (38). Other subtler factors include differences in the variable region of Fab that alter the charge of the antibody (39–41). These factors may cause blockade of the FcRn to be more effective in decreasing some pathogenic antibodies than others.

The risks of global IgG depletion have been recognized and strategies to circumvent this disadvantage are under development. One strategy to deplete antigen specific antibodies

through the FcRn pathway has been tested and has been named Seldeg (for selective degradation of antigen-specific antibodies). Seldeg employs chimeric molecules composed of a monomeric antigenic epitope linked to a dimeric IgG Fc region. The antigen acts as a lure to engage the specific antibody. In addition, the Fc is engineered to bind to FcRn with high affinity at neutral pH on the cell surface and be retained at acidic pH in endosomes. The FcRn delivers the bound antibody into lysosomes and then recycles to the cell surface. The monomeric antigen avoids crosslinking that could result in inflammatory immune responses. Test constructs of modified Fc fused to the encephalitic myelin oligodendrocyte glycoprotein or the tumor target HER2 have been demonstrated to deplete specific radiolabeled antibodies from the circulation of mice (42). Depletion of a polyclonal IgG response will require a cocktail of different antigenic epitopes fused to Fc molecules. This could be particularly problematic for designing reagents to treat sensitized patients, who have a wide range of antibodies to very polymorphic antigens such as HLA. Alternatively, depletion of a narrow range of antibodies may be sufficient to permit the transplantation of a partially matched organ.

Role of FcRn in Phagocytosis and Antigen Presentation

Although preclinical and clinical data have established that inhibition of FcRn depletes circulating IgG effectively, the potential consequences of modifying other functions of FcRn need to be considered including the impact of FcRn on phagocytosis by neutrophils, immune complex clearance by podocytes and antigen presentation by dendritic cells, macrophages and B cells.

FcRn is expressed at low levels on B and T lymphocytes, strongly on monocytes and at even higher levels on neutrophils (43, 44). Besides functioning as an IgG scavenger receptor in phagocytic cells, FcRn enhances phagocytic function. For this process, Fc γ R on the plasma membrane bind opsonized bacteria by the CH2 domain of IgG at neutral pH and initiate internalization. As the pH decreases in phagosomes, the opsonized bacteria are transferred from Fc γ R to FcRn that bind distinct residues within the CH2-CH3 region of IgG. The contribution of FcRn to these steps was documented using neutrophils from *fcgrt*^{-/-} mice. These *fcgrt*^{-/-} neutrophils bind opsonized bacteria by Fc γ R normally, but have impaired phagocytosis (44). This finding was confirmed by experiments using antibodies modified to prevent binding to FcRn, but retain binding to Fc γ R normally. Bacteria opsonized with these modified antibodies failed to engage FcRn and resulted in decreased phagocytosis. The possible risk of increased infection due to blocking this aspect of FcRn function has not been fully examined.

The transfer of immune complexes from Fc γ R to FcRn has also been found to be a critical component of the presentation of antigens complexed to IgG. This process is initiated by Fc γ R internalizing immune complexes and delivering them to acidified compartments within antigen presenting cells where the antibody and antigen are transferred to FcRn (45, 46). FcRn shields immune complexes from catabolism and directs antigen to processing for loading on MHC class II molecules and presentation to CD4 T cells (47). FcRn-directed intracellular sorting of IgG immune complexes has also been shown to be critical to cross-presentation of antigen on MHC class I in monocyte-derived dendritic cells (45). The

outcome of IgG immune complex internalization may depend on context. A recent report demonstrated that maternal IgG immune complexes induce regulatory T cells in neonates. This process requires FcRn on dendritic cells of the offspring. It is possible that blocking antigen presenting functions of FcRn might decrease antibody-directed recognition of antigen in presensitized patients.

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Abbreviations

FcRn	neonatal Fc receptor
FcγR	Fc γ receptors
IVIg	intravenous immunoglobulin
Seldeg	Selective degradation of antigen-specific antibodies

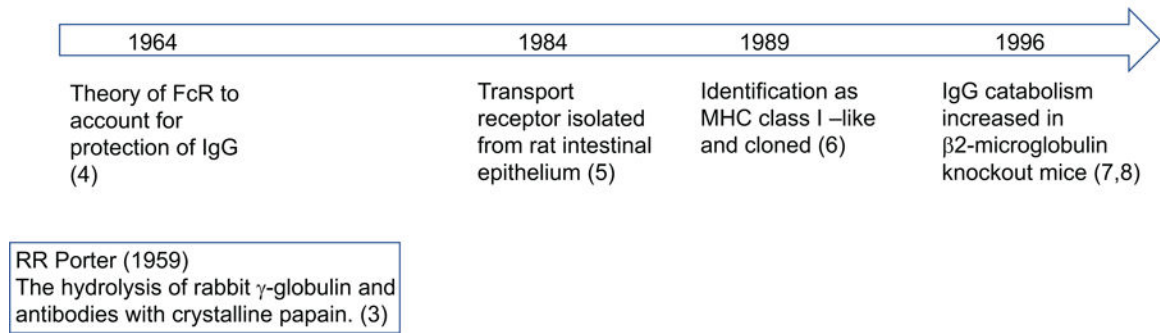
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Timeline of FcRn (FcRB, FcRp)

**Figure 1.**

Historical time line from the hypothesis of an FcRn to the isolation and characterization of FcRn.

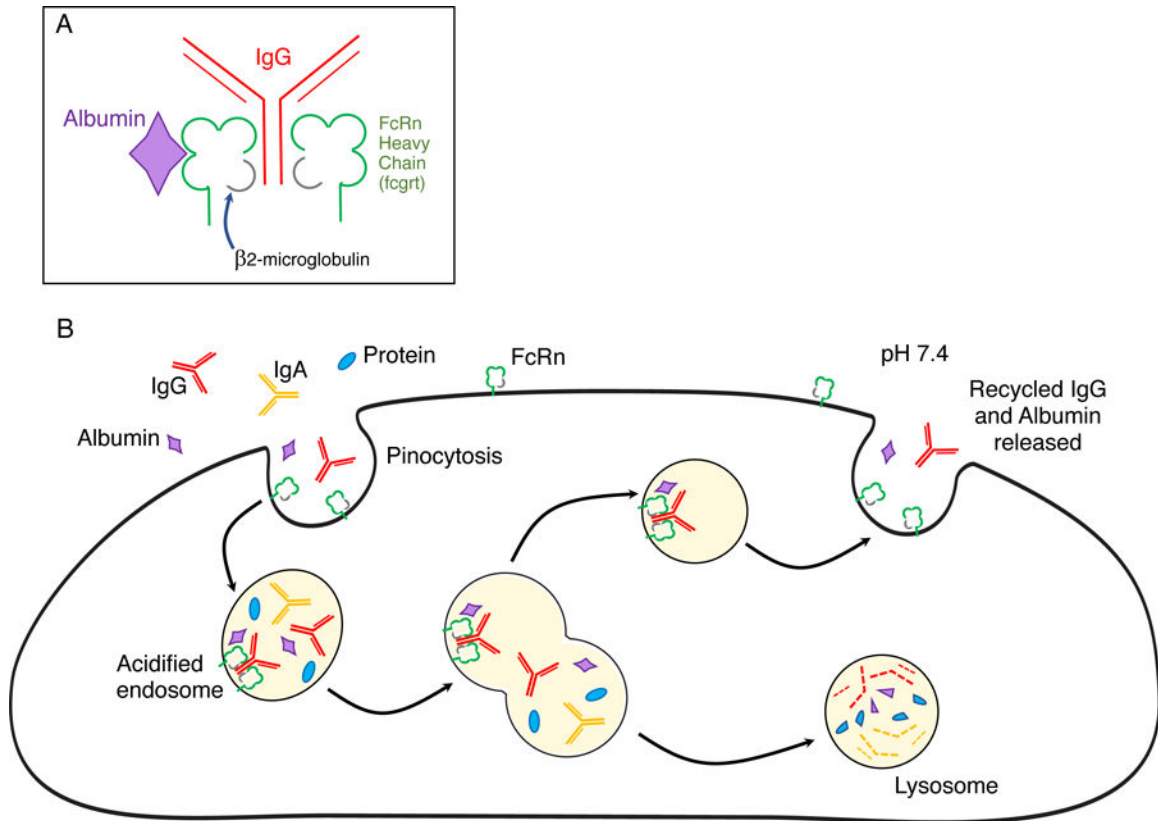


Figure 2.

(A) FcRn structure. FcRn consist of $\beta 2$ microglobulin associated with an MHC class I-like heavy chain encoded by the fcgrt gene. FcRn binds IgG in a 2:1 ratio. Albumin binds FcRn at a separate site. (B) FcRn salvage pathway for IgG. IgG, albumin and other serum proteins are ingested by pinocytosis. Pinocytotic vesicles fuse with acidic endosomes in which FcRn can bind IgG. Albumin binds a different site on FcRn. Excess unbound IgG and albumin as well as other proteins enter lysosomes and are degraded. Bound IgG and albumin are recycled and released by exocytosis. The MHC class I-related FcRn requires both $\beta 2$ -microglobulin (gray) and fcgrt encoded heavy chain (green) to function. Blocking the FcRn binding sites with small peptides, genetically engineered Fc regions or high affinity antibodies to FcRn causes more IgG to be shunted into lysosomal degradation.

Table 1:

Overview of monoclonal biologics that block FcRn in preclinical and clinical trials.

Biologic	Trial	Dose	Route	Decrease in IgG relative to baseline	Return to baseline IgG	Reference
Fully human monoclonal antibody to FcRn *	cynomolgus monkeys	5–20 mg/kg q7 days x 2 doses	i.v. or s.c.	60% at 4–14 days	>15 days	(32)
Humanized monoclonal antibody to FcRn **	cynomolgus monkeys	150 mg/kg q3 days x 4 weeks	i.v. or s.c.	80–90% at 7–35 days	70–77 days	(30)
Humanized monoclonal antibody to FcRn **	Humans	Single 7mg/kg	i.v. or s.c.	50% at 5–15 days	45–56 days	(30)
Fully human monoclonal antibody to FcRn ***	Humans	Single 30–60mg/kg	i.v.	75–80% at 7–21 days	>56 days	(31)
Fully human monoclonal antibody to FcRn ***	Humans	15 or 30 mg/kg q7days x 4 doses	i.v.	80% at 14–35 days	84–>98 days	(31)
Modified human Fc fragment ****	cynomolgus	Single 20mg/kg	i.v.	50% at 3–10 days	>15 days	(33)
Modified human Fc fragment ****	cynomolgus	20 mg/kg q4 days x 4 doses	i.v.	50% at 4–16 days	>20 days	(33)
Modified human Fc fragment ****	humans	Single 10mg/kg	i.v.	50% at 5–15 days	>28 days	(33)
Modified human Fc fragment ****	humans	10mg/kg q4 days x 6 doses	i.v.	50% at 5–40 days	63–77 days	(33)

* DX-2504 a fully human monoclonal antibody that binds with high affinity to human-FcRn at both neutral (pH 7.0) and acidic (pH 6.4) environments.

** Rozanolixizumab a humanized high-affinity IgG4P monoclonal antibody to FcRn.

*** M281 a fully human, effectorless monoclonal IgG1 antibody to FcRn antibody that binds with high affinity at both endosomal pH 6.0 and extracellular pH 7.6

**** Efgartigimod a human IgG1-derived Fc fragment modified to increase affinity for FcRn at both neutral and acidic pH, but with higher affinity at pH 6.0

Table 2:

Comparison of depletion of pathogenic antibodies by FcRn blockade, IVIg and plasmapheresis.

	FcRn Blockade	IVIg	Plasmapheresis
Administration	sc or iv injection	iv infusion	Catheterization of large vein
IgG effects	Global decrease *	Global decrease	Global decrease
IgM, IgA effects	No decrease	Global decrease **	Global decrease
Complement effects	No decrease	Variable effects	Consumption ***
Coagulation effects	No decrease	No decrease	Consumption ***
Treatment Parameters	Low dose (4–20 mg/kg) long acting (weeks)	High dose (1–2 g/kg)	Repeated treatments required to diminish re-compartmentalization

* Can be circumvented by Seldeg

** Dependent on IVIg composition (34)

*** Components of the complement and coagulation pathway can be activated and depleted by contact with bioincompatible surfaces.