

Zic-Proteins Are Repressors of Dopaminergic Forebrain Fate in Mice and *C. elegans*

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In the postnatal forebrain regionalized neural stem cells along the ventricular walls produce olfactory bulb (OB) interneurons with varying neurotransmitter phenotypes and positions. To understand the molecular basis of this region-specific variability we analyzed gene expression in the postnatal dorsal and lateral lineages in mice of both sexes from stem cells to neurons. We show that both lineages maintain transcription factor signatures of their embryonic site of origin, the pallium and subpallium. However, additional factors, including Zic1 and Zic2, are postnatally expressed in the dorsal stem cell compartment and maintained in the lineage that generates calretinin-positive GABAergic neurons for the OB. Functionally, we show that Zic1 and Zic2 induce the generation of calretinin-positive neurons while suppressing dopaminergic fate in the postnatal dorsal lineage. We investigated the evolutionary conservation of the dopaminergic repressor function of Zic proteins and show that it is already present in *C. elegans*.

Key words: neural stem cells; olfactory bulb; transcription factor

Significance Statement

The vertebrate brain generates thousands of different neuron types. In this work we investigate the molecular mechanisms underlying this variability. Using a genomics approach we identify the transcription factor signatures of defined neural stem cells and neuron populations. Based thereon we show that two related transcription factors, Zic1 and Zic2, are essential to control the balance between two defined neuron types in the postnatal brain. We show that this mechanism is conserved in evolutionary very distant species.

Introduction

A key question in developmental neurobiology concerns the molecular mechanisms that control the specification of stem cells

to produce neurons with defined neurotransmitter phenotype, positions, and connectivity. Combinatorial expression of transcription factors, highly controlled in space and time, has been shown to underlie the determination events that lead to neuronal diversity in the developing embryo (Guillemot, 2007). Moreover, in defined regions of the mammalian brain neurogenesis continues into postnatal and adult stages, making the control of stem cell determination a lifelong requirement.

Postnatal neurogenesis is particularly prominent in the olfactory system of rodents, where neuronal stem cells in ventricular and subventricular zone (V/SVZ) lining the walls of the lateral ventricles generate continuously new interneurons for the olfactory bulb (OB; Alvarez-Buylla and Garcia-Verdugo, 2002; Alvarez-Buylla et al., 2008). After migration via the rostral migratory stream (RMS) these precursors differentiate into OB periglomerular cells (PGCs) or granule cells (GCs) and use GABA, dopamine, and glutamate as their neurotransmitters. The heterogeneity of neuron types in the OB is based on the existence of predetermined neural stem cells organized in subregions of the postnatal ventricular walls (Merkle et al., 2007, 2014). Recent work indicated that this regionalization of the postnatal and adult stem cell

Received Dec. 20, 2016; revised Sept. 7, 2017; accepted Sept. 15, 2017.

Author contributions: M.-C.T., C.B., S.M., S.W., N.C., A.B., V.B., and H.C. designed research; M.-C.T., C.B., S.M., A.A., J.M., N.C., and A.d.C. performed research; S.W., E.H., and A.B. contributed unpublished reagents/analytic tools; M.-C.T., C.B., S.M., S.W., A.A., N.C., V.B., and H.C. analyzed data; M.-C.T., C.B., V.B., and H.C. wrote the paper.

This work was supported by the Agence National pour la Recherche (ANR), Fondation de France (FDF), and France Parkinson to H.C., the Fondation pour la Recherche sur le Cerveau (FRC) to H.C. and V.B., the European Commission MSC Program (IAPP Dopanew) to H.C. and A.B., the CNRS ATIP program to V.B.; work in the laboratory of E.H. is supported by the following Grants: Prometeo 2012-005, BFU2010-16563, and ERC2011-StG201001109. We thank P. Follert for help with microdissection, L. Miranda for technical assistance, C. Couillaud for help with the *C. elegans* injections, Aude Barani from the Regional Flow Cytometry Platform for Microbiology (PRECYM) of the Mediterranean Institute of Oceanography (MIO) for FACS, R. Segal for ZIC-specific antibody, the France-Biomedicine/PICs infrastructure (ANR-10-INSB-04-01) and IBDM animal facilities, and the Caenorhabditis Genetics Center, M. Barr, J. Dent, and S. Alper for *C. elegans* strains and plasmids.

The authors declare no competing financial interests.

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DOI:10.1523/JNEUROSCI.3888-16.2017

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pools is already established during early time points of embryonic forebrain development, starting at E11.5, when subpopulations of embryonic progenitor cells in the developing cortex, striatum, and septum are set aside to be reactivated for OB neurogenesis after birth (Fuentealba et al., 2015).

These observations suggest that developmental mechanisms that are used to generate defined type of neurons in the embryo are maintained and needed for the specification of neuronal phenotype in the postnatal OB. In agreement with this idea, glutamatergic neurons for the embryonic cortex are generated in the dorsal telencephalon (Hevner et al., 2006), a region that gives rise to the postnatal dorsal V/SVZ which later generates the glutamatergic interneurons for the OB (Anderson et al., 1997b; Brill et al., 2009; Winpenny et al., 2011). Similarly, GABAergic interneurons destined for the developing cortex are generated by the ganglionic eminences of the subpallium (Anderson et al., 1997a), a region that postnatally generates GABAergic neurons for the OB (Merkle et al., 2007).

However, the precise situation is more complex. For example the dorsal V/SVZ, which in the embryo generates exclusively glutamatergic neurons, produces in the postnatal brain only low numbers of this neurotransmitter type, but predominantly GABAergic and dopaminergic (DA) neurons (Fiorelli et al., 2015). At the molecular level this indicates that additional regulatory mechanisms have to be activated postnatally, inducing this new diversity. Moreover, fate decisions are not confined to the stem cell compartment, since expression of new transcription factor combinations to produce specific neuronal phenotypes can occur at the postmitotic stage (Brill et al., 2008; de Chevigny et al., 2012b). Thus, to understand the regulatory mechanisms that control neuronal diversity we have to investigate molecular determinants in the postnatal neurogenic system in space (in different stem cell compartments), but also in time (from stem cells to neurons).

We performed an *in vivo* screen that allowed following gene expression in the dorsal (cortical) and lateral (striatal) lineages of OB neurogenesis. We show that both lineages maintain transcription factor signatures corresponding to their sites of origin, the pallium and subpallium. Focusing on the dorsal compartment we demonstrate that additional factors are induced, that can account for the *de novo* potential of this stem cell pool. We demonstrate that the zinc-finger transcription factors Zic1 and Zic2 are expressed postnatally in a subset of dorsal stem and progenitor cells where they repress dopaminergic fate and induce the production of calretinin (CR)-positive GABAergic interneurons.

In parallel, we investigated the conservation of the DA repressor function of Zic proteins using the invertebrate *Caenorhabditis elegans*. We demonstrate that the unique *C. elegans* Zic protein REF-2 represses the dopaminergic pathway in the nematode dorsal head, comparable to the situation in vertebrates.

Materials and Methods

Mice. All animals were treated according to protocols approved by the French Ethical Committee. CD1 (RjOrl:SWISS) mice of both sexes (Janvier Laboratories), held under standard conditions, were used for expression pattern analyses and *in vivo* electroporation. *In vivo* functional studies were performed through P1 animal electroporation (Boutin et al., 2008). When only two conditions were tested, one litter (~15 animals) was used. When more than two conditions were tested in parallel in a same experiment, two litters were used.

Plasmids and *in vivo* electroporation. Overexpression experiments: mouse Zic1 cDNA was amplified from total RNA extracted from P1 pups dorsal SVZ tissue. Briefly, RNA was reverse transcribed using Superscript

III (ThermoFisher Scientific, 12574-030) and a nested-PCR was performed on the reverse transcription product using PrimeSTAR polymerase (Ozyme, TAKR050Q) and the two couples of primers:

PCR1: Zic1 FW (TCCTGATCTTCCCTCCTTGG) and Zic1 REV (ACGGCGTATATACGTGTGTG)

PCR2: Zic1 FW_SpeI (TCACTAGTTGCAGCCACGATGCTCCTGG) and Zic1 REV_EI (TCGAATTCTAAATAGGGGGTCCGGCATGT). PCR product was subsequently subcloned behind the CAG promoter into the pCX-MCS2 vector (Morin et al., 2007), or behind the BLBP promoter (Schmid et al., 2006). The pCAGGS-human Zic2 plasmid was described by García-Frigola et al. (2008). Downregulation experiments: the shZic1 clone, which was subsequently used for the *in vivo* experiments, was selected among a set of 5 shZic1 clones provided by Sigma-Aldrich. For this selection step, Zic1 cDNA was subcloned into the pGFP-C1 vector (Clontech, GenBank: U55763.1) to fuse Zic1 and eGFP proteins. This Zic1-eGFP construct was cotransfected in mycoplasma free HeLa cells together with a Zic1 shRNA and a pCAGGS-tomato expression vector (all 3 plasmids in equal ratio) using Lipofectamin2000 (ThermoFisher Scientific, 11668019) following the manufacturer's instructions. Two days after transfection images in fluorescence (GFP and Tomato) were randomly acquired in all transfected wells. Using ImageJ software GFP and tomato fluorescences were measured on all images and GFP/tomato ratios were used to evaluate the efficiency of each Zic1 shRNA to target the mouse Zic1 sequence. shZic1 targeting the sequence CCAGCGCTGCTTCAATTCTA was finally chosen as it induced a 95% decrease in GFP fluorescence. The same sequence has been independently identified, selected, and successfully used in another study (Urban et al., 2015). The mouse shZic2 was described by Escalante et al. (2013).

For all *in vivo* functional experiments overexpression vectors and shRNA plasmids were electroporated in P1 pups, as described by Boutin et al. (2008), together with a pCX-eGFP vector (Morin et al., 2007) in a 2:1 molecular ratio to label the electroporated cells. For triple electroporations, a 2:2:1 ratio was applied (1 dose of pCX-eGFP monitor, 2 doses of gain/loss of function vector, and/or empty pCX plasmid).

Microarrays. The design of the microarray analysis performed to determine the time course of genes expression in the dorsal and lateral lineages in the SVZ-RMS-OB postnatal neurogenic system was previously described (de Chevigny et al., 2012b). Briefly, lateral and dorsal SVZ stem cells were *in vivo* electroporated with a pCX-eGFP plasmid. At various time points after electroporation tissues of interest were microdissected [SVZ tissues at 8 h post-electroporation (hpe) 1 and 2 d post-electroporation (dpe); RMS tissues at 4 dpe; whole bulb at 7 and 14 dpe] and dissociated by trypsin/DNase digestion. GFP-expressing neuronal cells were subsequently sorted by flow cytometry (MoFlow, Beckman-Coulter). Numbers of FACS isolated cells and experimental repetitions are listed in Table 1. Ten to 15 mice were used per condition.

RNA was extracted, amplified and hybridized (2 colors protocol, each color corresponding to a targeted region, dorsal or lateral wall) on a G4122F Whole Mouse Genome (4 × 44K) Oligo Microarrays (Agilent). Microarray results (referenced in GEO as GSE69693) were analyzed using R software (RRID:SCR_001905) and Limma package (RRID:SCR_010943; Gentleman et al., 2004). Briefly, data were first normalized

Table 1. Cell number and repetitions for microarray analyses

	Electroporation target	GFP + cells per animal	No. of repetitions
8 hpe	Lateral SVZ	150	2
	Dorsal SVZ	250	2
1 dpe	Lateral SVZ	358	3
	Dorsal SVZ	1091	3
2 dpe	Lateral SVZ	1066	2
	Dorsal SVZ	1590	2
4 dpe	Lateral SVZ	903	3
	Dorsal SVZ	213	3
7 dpe	Lateral SVZ	500	3
	Dorsal SVZ	371	3
14 dpe	Lateral SVZ	73	4
	Dorsal SVZ	53	4

using quantile normalization. Differential gene expression was analyzed at each time-point using a moderated *t* test applied on a filtered subset of data. The filtering selected genes for which the average of dorsal/lateral intensity ratio (\log_2) was >2 . A FDR corrected *p* value was then calculated for each gene. A corrected *p* < 0.05 was considered significant.

In Figure 1C–E the genes for which the time course of the dorsal/lateral intensity ratios is shown were generally selected based on a significant differential expression for at least one time point (except for Nr4f1/COUP-TF1, which did not match this criterion but showed consistent dorsal predominance).

qRT-PCR. RNAs were extracted using miRNeasy mini kit (Qiagen, 217004). cDNAs were prepared using superscript-III (ThermoFisher Scientific, 12574-030) following manufacturer instructions. QPCRs were performed on a Bio-Rad CFX system using SYBR-GreenER qPCR Super-Mix (ThermoFisher Scientific, 11762100). β -Actin was used as reference gene. Primers used for mRNA detection are the following: Beta Actin FORseq_ CTAAGGCCAACCGTGAAAAG and REVseq_ ACCAGAGGCATACAGGGACA; Zic1 FOR seq_ AACCTCAAGATCCACAAAA GGA and REVseq_ CCTCGAAGCTCGCACTTGAA; Zic2 FORseq_ GATCCACAAAAGAACTCATACAGG and REVseq_ CTCTTCTCTGTCGCTGCTGT; Nurr1 FORseq_ CAGTCTCGATTCTTAACTCCAG and REVseq_ AGGGGCATTTGGTACAAGCAA.

Sample preparation and immunohistochemistry. Animals were deeply anesthetized with an overdose of xylazine/ketamine then intracardially perfused with 4% paraformaldehyde (w/v; PFA) in PBS. The brain were dissected out and further fixed overnight at 4°C in the same fixative. For light tissue fixation required for Zic immunohistochemistry, animals were first perfused with PBS (10 ml/10 g) then with 4% PFA (10 ml/10 g). Brains were dissected out, further fixed for 1 h. Fifty micrometer floating sections were processed as described previously (Boutin et al., 2010), blocked in PBS supplemented with 0.3% Triton and 5% fetal bovine serum for 1 h at room temperature and incubated at 4°C overnight in the blocking solution supplemented with primary antibody. After washing, sections were incubated for 2 h at room temperature in the blocking solution supplemented with a fluorophore-conjugated secondary antibody. After staining of cell nuclei with Hoechst 33258, sections were mounted onto Superfrost Plus slides with Mowiol.

The primary antibodies we used were designed against pan-Zic (rabbit IgG, 1:1000; generous gift from Rosalind Segal; Borghesani et al., 2002), Zic2 (rabbit IgG, 1:1000; Brown et al., 2003), GFP (rabbit IgG, 1:1000; ThermoFisher Scientific, Catalog #PA1–28521, RRID:AB_1956473; or chicken IgY, 1:1000; Aves Labs, Catalog #GFP-1010, RRID:AB_2307313), KI67 (mouse IgG₁; BD Biosciences, Catalog #550609, RRID:AB_393778; 1:200), CR (mouse IgG₁, 1:2000; Synaptic Systems, Catalog #214 111C3 RRID:AB_2619906); and tyrosine hydroxylase (TH; chicken IgY, 1:1000; Aves Labs, Catalog #TYH RRID:AB_10013440). The adapted secondary antibodies were provided by ThermoFisher Scientific.

C. elegans. For *ref-2* ubiquitous overexpression in *C. elegans*, a construct containing the *ref-2* cDNA under the control of a heat shock promoter (Alper and Kenyon, 2002) was injected at 20 ng/ μ l with 80 ng/ μ l *his::his:mCherry* coinjection marker to generate the *vbaEx113* extra-chromosomal array; (*vbaEx113*; *vtIs1*) embryos or (*vtIs1*) control embryos were then mounted on slides at the two-cell stage, incubated 250 min at 20°C (before the birth of the dopaminergic neurons), and then shifted to 33°C for 30 min and put back to 20°C until analysis at the L1 larval stage.

Quantification and statistics. For mice experiments both sexes were used. Quantification of CR or TH-expressing cells among the GFP electroporated periglomerular neurons at 14 and 21 dpe was assessed on photomicrographs taken on an Apotome M2 microscope (Zeiss). The number of independent experiments, animals, and counted cells is detailed in the figure legends. For the characterization of the dorsally originated periglomerular cells at 21 dpe, the percentage of TH⁺ and CR⁺ cells represent the mean value of four independent experiments comprising in total 20 animals (CR) and five independent experiments comprising 26 animals (TH); SEM are given.

For all *in vivo* experiments in mouse, statistically significant differences between groups of animals were assessed by the 2K-permutation test, on pooled experimental repetitions using R software (RRID:

SCR_001905). This test is a nonparametric test for comparing two populations of samples in conditions where the normality of distribution cannot be assumed or assessed (May and Hunter, 1993). *C. elegans* experiments were performed on hermaphrodite animals. For Figure 6, statistically significant differences between proportions were assessed by a Fisher's exact test, two-tailed.

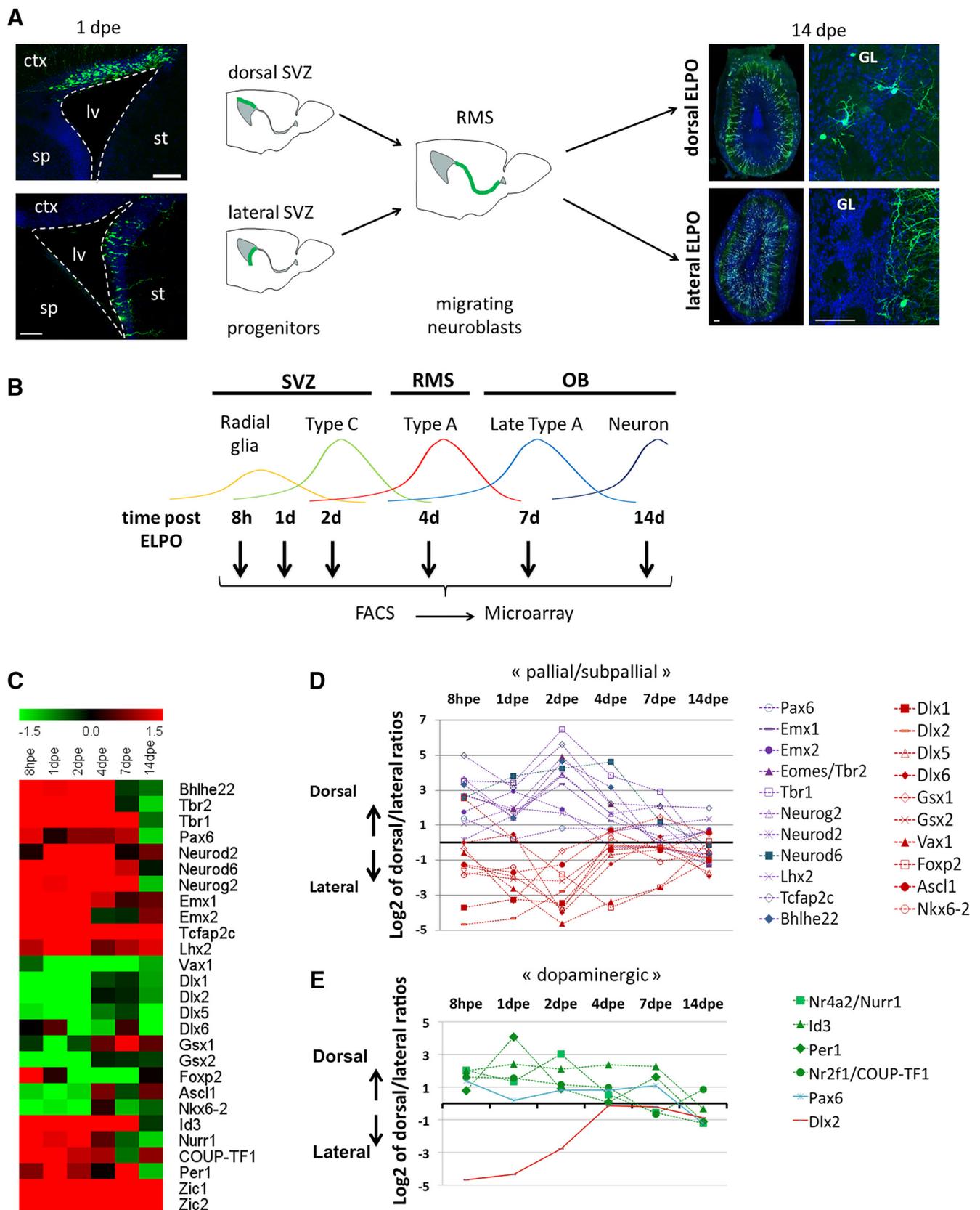
Results

Gene expression in the postnatal neurogenic forebrain in space and time

We aimed at comparing gene expression between different postnatal stem cell compartments during the entire neurogenic process in the V/SVZ-RMS-OB system of the mouse. Targeted forebrain electroporation allowed the specific labeling of dorsal (cortical) and lateral (striatal) stem cell pools with GFP (Fig. 1A; de Chevigny et al., 2012a,b). After electroporation, a labeled cell cohort passed through the different neurogenic steps to finally differentiate and integrate into the OB (Fig. 1A; Boutin et al., 2008). Twenty-one days post-electroporation labeled GFP⁺ neurons of dorsal origin were predominantly localized in peripheral layers of the OB, the glomerular layer (GL), and the superficial GC layer (GCL; Fig. 1A). In the GL this population comprised 22.1% \pm 0.7% TH-expressing dopaminergic neurons and 24.9 \pm 1.6% CR-expressing GABAergic neurons. The remaining GFP-positive cells did not express known markers of OB interneuron subtypes, except for a small number of Tbr2-expressing glutamatergic neurons (<2%). Neurons targeted by lateral electroporation were in the OB generally confined to deep layers of the GCL (Fig. 1A). Among the laterally electroporated neurons <3% expressed TH or CR. Thus, targeted *in vivo* brain electroporation labeled two different heterogeneous stem cell pools that generated neurons that occupied distinct spatial positions in the OB layers and different relative proportions of dopaminergic and GABAergic neurons.

We compared the gene expression programs in the dorsal and lateral lineages from the radial glia (RG) stage to integrated neurons. Using the postnatal brain electroporation approach we labeled specifically either the dorsal or the lateral walls with GFP and performed FACS to isolate fluorescent cells 8 hpe (representing RG), 1 dpe (mainly representing RG and transit amplifying type C cells), 2 dpe (mainly type C cells and migrating neuroblasts in the SVZ), 4 dpe (tangentially migrating neuroblasts in the RMS), 7 dpe (radially migrating neuroblasts in the OB), and 14 dpe (integrating neurons in the OB; Boutin et al., 2008). Subsequent microarray analyses followed by bioinformatics comparison of the different samples provided a high-resolution matrix of gene expression in the forebrain neurogenic system in space (dorsal versus lateral lineages) and time (from stem cells to neurons; Fig. 1B; de Chevigny et al., 2012b). This resource is accessible under GEO reference GSE69693.

Recent work suggested that the postnatal neuronal lineages are specified early in the developing cortical and striatal ventricular walls and maintained in a quiescent state for postnatal use in OB neurogenesis (Fuentealba et al., 2015). We asked whether this spatial relationship is detectable at the molecular level in the postnatal OB neurogenic lineages. Comparison of the expression ratio in the dorsal versus lateral lineages revealed that key transcription factors (TFs) implicated in cortex regionalization, cortical layer specification or glutamatergic differentiation were strongly overrepresented in the dorsally generated postnatal OB lineage (heat map: Fig. 1C; space/time diagram: Fig. 1D). These factors included *Emx1*, *Emx2*, *Pax6*, *Tbr1*, *Tbr2*, *NeuroD2*, *NeuroD6*, *Neurog2*, *Lhx2*, *Tcfap2c*, and *Bhlhe22* (Bishop et al., 2002;



Englund et al., 2005; Britz et al., 2006; Joshi et al., 2008; Pinto et al., 2009; Bormuth et al., 2013; Chou and O'Leary, 2013; Dixit et al., 2014; Zembrzycki et al., 2015). In contrast, factors implicated in regionalization and specification of the subpallium, including *Dlx1*, *Dlx2*, *Dlx5*, *Dlx6*, *Gsx1*, *Gsx2*, *Vax1*, *FoxP2*, *Ascl1*, and *Nkx6.2* (Guillemot et al., 1993; Hallonet et al., 1999; Takahashi et al., 2003; Cobos et al., 2006; Sousa et al., 2009; Wang et al., 2010; Pei et al., 2011) were predominant in the laterally generated lineage (Fig. 1C,D). Thus, molecular signatures of dorsal and lateral OB lineages reflected the cortical or subcortical origin of the respective embryonic precursor pools.

The above results indicate that the same transcriptional programs that control forebrain development in the embryo are maintained and underlie, at least in part, the generation of OB interneurons. However, during corticogenesis the dorsal telencephalon in rodents generates exclusively glutamatergic projection neurons, while in the postnatal and adult brain the cortical stem cell pool generates predominantly dopaminergic and GABAergic neurons, and only very few glutamatergic cells. This suggests that additional mechanisms must be activated in the postnatal stem cell compartments, likely in the form of additional transcription factors, which suppress or override the glutamatergic program and induce these alternative fates.

In line with this notion, we found that the orphan nuclear receptor *Nurr1*, a regulator of TH (Sakurada et al., 1999), was overrepresented in dorsal progenitors that generate the majority of OB DA neurons (Fig. 1C,E). Other TF that have been implicated in dopaminergic differentiation in non-midbrain neurons showed also clear dorsal predominance. These included *Coup-TF1*, a regulator of activity-dependent TH expression (Bovetti et al., 2013), *Per1*, which controls DA neurons implicated in circadian rhythm (Huang et al., 2015), and *Id3*, which has been implicated in DA neuron maturation in zebrafish (Li et al., 2010). The expression patterns of *Pax6* and *Dlx2*, two postnatal prodopaminergic factors (Hack et al., 2005; Kohwi et al., 2005; Brill et al., 2008), also illustrate the evolution of neuronal progenitors that occurs after birth. *Pax6*, whose expression in the embryonic pallium is confined exclusively to early progenitor stages, becomes permanently expressed in the postnatal dorsal lineage (Fig. 1E; de Chevigny et al., 2012b). *Dlx2*, which in the embryo is expressed in the subpallial stem cell compartment, is postnatally induced during migratory stages in the dorsal/pallial lineage where it interacts with *Pax6* to induce dopaminergic fate (Fig. 1E; Brill et al., 2008; de Chevigny et al., 2012b). In contrast, *Lmx1a*, *Lmx1b*, and *Foxa2*, three key factors that cooperatively specify midbrain dopaminergic neurons (Lin et al., 2009; Nakatani et al., 2010), were not detected in our expression studies, underlining that forebrain DA neurons are specified by alternative, forebrain-specific pathways.

Zic1 and Zic2 are repressors of dopaminergic fate in the postnatal forebrain

In the postnatal dorsal lineage we also identified genes that were not so far implicated in cortical neurogenesis or related to dopaminergic fate determination. In particular the zinc-finger transcription

factor *Zic1* (Ali et al., 2012) was strongly and consistently expressed in the dorsal isolates from the stem cell stage to neurons (Figs. 1C, 2A,B). *Zic2* displayed comparable expression dynamics (Figs. 1C, 2A) at a lower level (Fig. 2B), whereas the other members of the *Zic*-gene family (*Zic3–5*) showed no significant expression, except for low signal for *Zic4* at 14 dpe (Fig. 2B). qRT-PCR analyses of microdissected periventricular tissue from neonate (P2) and adult (≥ 3 months) animals demonstrated that restriction of *Zic1* and *Zic2* expression to the dorsal compartment was maintained in adult stages (Fig. 2C).

We used a pan-Zic antibody (Borghesani et al., 2002) to investigate expression at the protein level. At P2 *Zic*-immunoreactivity was strongest along the medial wall in agreement with previous observations (Inoue et al., 2007; Merkle et al., 2014; Fig. 2D, D₁). In the dorsal aspect *Zic*-immunoreactivity was also present but at lower levels (Fig. 2D, D₂). Expression was absent from the lateral ventricular wall (Fig. 2D, D₃). Immunostaining of P2 tissue using a *Zic2*-specific antibody (Brown et al., 2003) showed a highly comparable pattern to pan-Zic along the ventricular walls (Fig. 2E). In the OB, pan-Zic-positive cells were predominantly found in the GL and the superficial GCL (Fig. 2F).

We aimed at characterizing the cell types that expressed *Zic*-protein in the dorsal wall. *Zic*-protein in this compartment was found in cells with radial glia morphology in agreement with our gene expression data (compare Figs. 3A, 1C). Moreover, at P2 8.2% of *Zic*-positive cells in the dorsal V/SVZ were Ki67-positive (Fig. 3B). In the RMS, when both dorsal and lateral precursors migrate together toward the OB, only neuroblasts that were labeled by dorsal electroporation showed *Zic* expression (Fig. 3C). After arrival in the GL of the OB, 35.0% of the dorsally labeled neurons expressed *Zic*-protein (Fig. 3D). Less than 1% of these expressed TH (Fig. 3D,E; Merkle et al., 2014). However, 77.9% of the *Zic*⁺ population expressed CR, therefore representing a subclass of GABAergic neurons (Fig. 3D,F). Thus, based on the combined use of gene expression data and immunohistochemical analyses we conclude that *Zic1* and *Zic2* proteins are expressed in a subpopulation of the dorsal neurogenic lineage from stem cells to neurons. In the OB, both proteins are present in the majority of dorsally labeled CR GABAergic interneurons, but absent from labeled TH-expressing cells.

This pattern prompted us to investigate the function of *Zic* proteins in the regulation of dopaminergic versus CR-GABAergic identity of dorsally generated OB interneurons. We used targeted *in vivo* brain electroporation to introduce a *Zic1* expression plasmid based on the constitutively active β -actin promoter (CAGGS-*Zic1*) into the dorsal stem cell compartment (together with a GFP reporter plasmid). At 14 dpe, when transfected cells arrived in the OB and started their differentiation, the number of CR⁺GFP⁺ PGC was significantly increased (Fig. 4A,G). In contrast, shRNA-mediated knockdown of *Zic1* (*shZic1*) induced a significant loss of CR⁺GFP⁺ interneurons (Fig. 4B,G). In the next step we investigated the impact of *Zic1* alteration on dopaminergic differentiation. Dorsal electroporation of CAGGS-*Zic1* induced a significant loss of TH-expressing neurons in the OB at both, 14 dpe and 21 dpe (Fig. 4C,G). Moreover, *Zic1* loss-of-function significantly increased the amount of dopaminergic neurons at 21 dpe (Fig. 4D,G).

We asked whether *Zic1* induced repression of dopaminergic fate could be detected at an earlier stage of neurogenic progression. As mentioned above the nuclear receptor *Nurr1* is a regulator of TH expression and has been implicated in dopaminergic differentiation in OB neurons (Vergaño-Vera et al., 2015). We performed *Zic1* gain-of-function via dorsal electroporation of CAGGS-*Zic1* and isolated transfected cells from the RMS at 6dpe

←

(Figure legend continued.) gene expression signatures are maintained in the postnatal dorsal (cortical) and lateral (striatal) lineages. E, Factors implicated in dopaminergic pathways are predominant in the dorsal lineage. Note the progressive induction of expression of the subpallial gene *Dlx2* in the dorsal lineage. D, E, The values plotted in graphs represent for each time point the log₂ average of dorsal/lateral intensity value of all different experimental repetitions. ctx, Cortex; lv, lateral ventricle; sp, septum; st, striatum. Scale bars, 150 μ m.

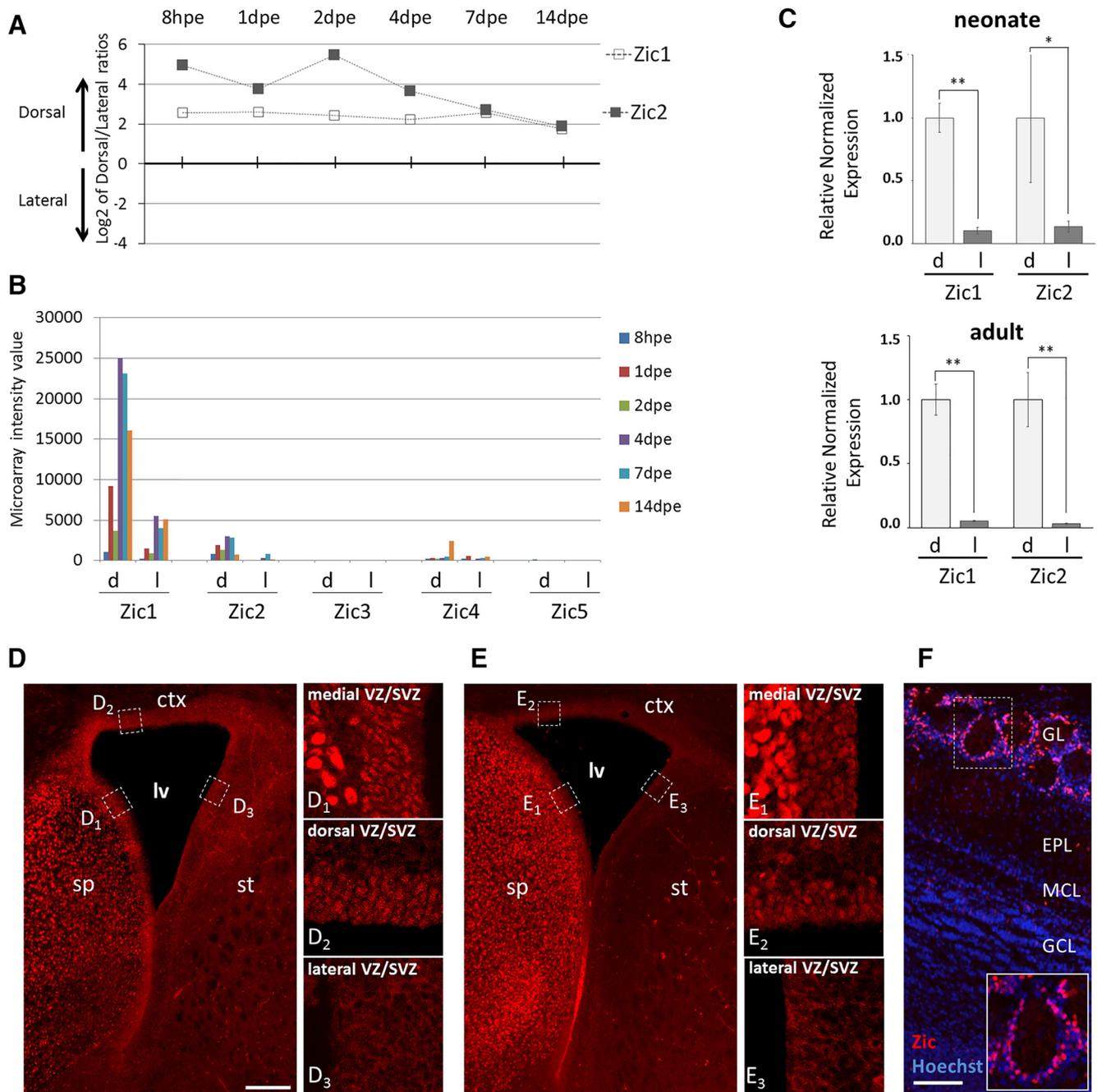


Figure 2. Expression of Zic1 and Zic2 in the mouse forebrain neurogenic system. **A**, Time course of gene expression ratios for Zic1 and Zic2 showing predominance in the dorsal lineage from stem cells to neurons. The values plotted in graphs represent for each time point the log₂ average of dorsal/lateral intensity value of all different experimental repetitions. **B**, Microarray fluorescence intensity value at different time points of postnatal OB neurogenesis in the dorsal (d) and lateral (l) lineages for all five members of the Zic family. **C**, qRT-PCR analysis for Zic1 and Zic2 expression in microdissected tissue isolated from neonate and adult brains. This validated the dorsal over lateral predominance of both Zic transcripts is maintained at adult stages. Error bars represent the variation between samples (neonate: $n = 4$, 2 animals/sample, $p_{Zic1} = 0.0102$, $p_{Zic2} = 0.0318$; adult: $n = 5$, 1 animal/sample, $p_{Zic1} = 0.0047$, $p_{Zic2} = 0.0083$). **D**, **E**, Immunohistochemistry using anti-pan Zic (**D**) and anti-Zic2 antibody (**E**) on coronal neonate (P2) brain sections. Comparable reactivity for both antibodies is detected in the medial (D_1 , E_1) and dorsal (D_2 , E_2) stem cell compartment lining the lateral ventricle (lv) but absent from the lateral wall (D_3 , E_3). **F**, Anti pan-Zic immunohistochemistry on a P4 OB section. Strongly pan-Zic-positive cells are abundant in the GL (boxed area) but absent from the inner OB layers. ctx, cortex; sp, septum; st, striatum; EPL, external plexiform layer; MCL: mitral cell layer. Scale bars: **D**, **E**, 150 μm for left panel and 60 μm for right panels; **F**, 300 μm and 200 μm in inset. Error bars represent SEM. * $p \leq 0.05$, ** $p \leq 0.01$.

by microdissection and FACS. qRT-PCR analyses showed an almost 40% reduction in Nurr1 expression at the mRNA level (Fig. 4H; two independent biological replicates). This suggests that an early action of Zic1 underlies repression.

In line with this idea we asked whether transitory expression of Zic1 exclusively at early stages was sufficient to promote the CR at the expense of the TH fate. A fragment of the BLBP promoter has

been shown to be strongly and transiently expressed in RG cells but not in neurons (Schmid et al., 2006). Electroporation of an expression plasmid driving Zic1 under the control of this BLBP promoter increased the generation of CR neurons and decreased the production of TH neurons in the OB GL (Fig. 4E–G), comparable to the change observed with the constitutive actin-promoter based construct (Fig. 4A, C).

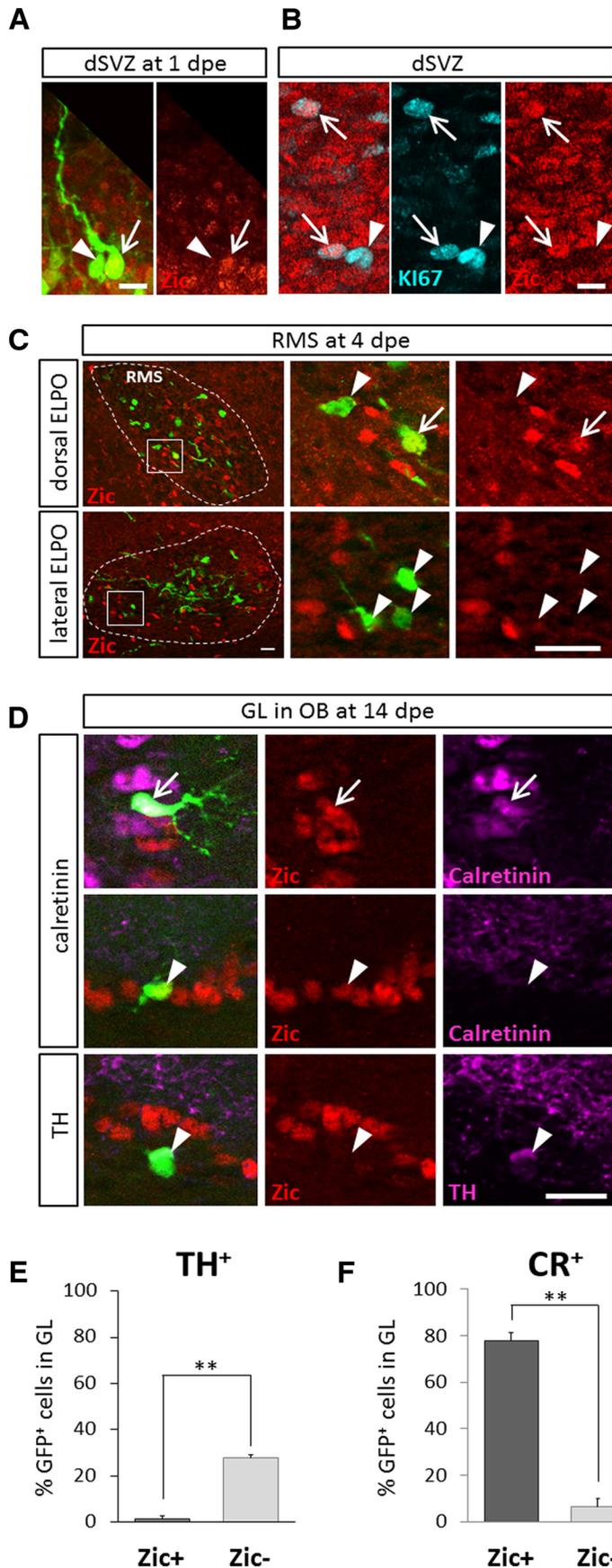


Figure 3. Zic-protein is expressed in the CR-positive GABAergic lineage but absent from dopaminergic neurons. **A, B**, In the dorsal V/SVZ, Zic protein is expressed in GFP-electroporated radial glia (**A**) at 1 dpe and in KI67⁺ dividing progenitors (**B**) at P2. **C**, In the RMS at 4dpe a subpopulation of GFP⁺ cells showed Zic immunoreactivity after dorsal electroporation. Lateral

Thus, based on the combined use of gain- and loss-of-function approaches we conclude that Zic1 is an early-active neuronal fate determinant that favors generation of CR-GABAergic neurons while inhibiting generation of dopaminergic neurons on the OB.

In the next step we tested whether Zic2 had also a phenotype determining function. Gain-of-function of Zic2 significantly increased the amount of CR-expressing neurons while inhibiting dopaminergic differentiation at 21 dpe (Fig. 5A,B,D). We used a well characterized shRNA targeting Zic2 (Escalante et al., 2013) to investigate the consequences of Zic2 knockdown. However, Zic2 loss-of-function induced an accumulation of transfected cells in the SVZ/RMS with only few cells arriving in the OB precluding the analysis of neuronal phenotype (data not shown).

The gain-of-function results indicated that Zic2 and Zic1 have overlapping functions in CR versus TH fate decisions in PGCs. To demonstrate this parallel roles we performed electroporation experiments, combining the Zic1 knockdown and the Zic2 overexpression vectors. We observed that Zic2 expression significantly reduced the increased TH levels observed after Zic1 knockdown (Fig. 5C,D). Together these results demonstrate that Zic1 and Zic2 are both capable to induce CR-GABAergic fate while repressing the generation of dopaminergic neurons.

The Zic factor REF-2 represses dopaminergic fate in *C. elegans*

To investigate the evolutionary conservation of Zic proteins as repressors of dopaminergic fate and to circumvent the problem of redundancy between Zic factors in mice we switched to the nematode *C. elegans*. *C. elegans* has only one transcription factor of the Zic family, called REF-2. We have previously observed

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electroporation did not lead to labeled Zic⁺ cells in the RMS. **D**, In the GL of the OB, 14 d after dorsal electroporation the majority of Zic⁺ PGC coexpressed CR (top lane). However, some Zic⁺ cells were negative for CR (middle lane) but Zic-immunoreactivity was never found in TH-expressing PGC (bottom lane). **A–D**, Arrows indicate double- and arrowheads single-labeled cells. **E, F**, Quantification of TH and CR expression among Zic⁺ or Zic⁻ GFP cells of the GL at 21 dpe obtained in two independent experiments. Histograms represent the mean per section calculated over randomly selected sections (TH: *n* = 4, *p* = 0.0094; CR: *n* = 5, *p* = 0.0047; 2–3 sections per animal). Scale bars: **A, B**, 15 μm; **C, D**, 20 μm. Error bars represent SEM of intersection variations. ***p* ≤ 0.01.

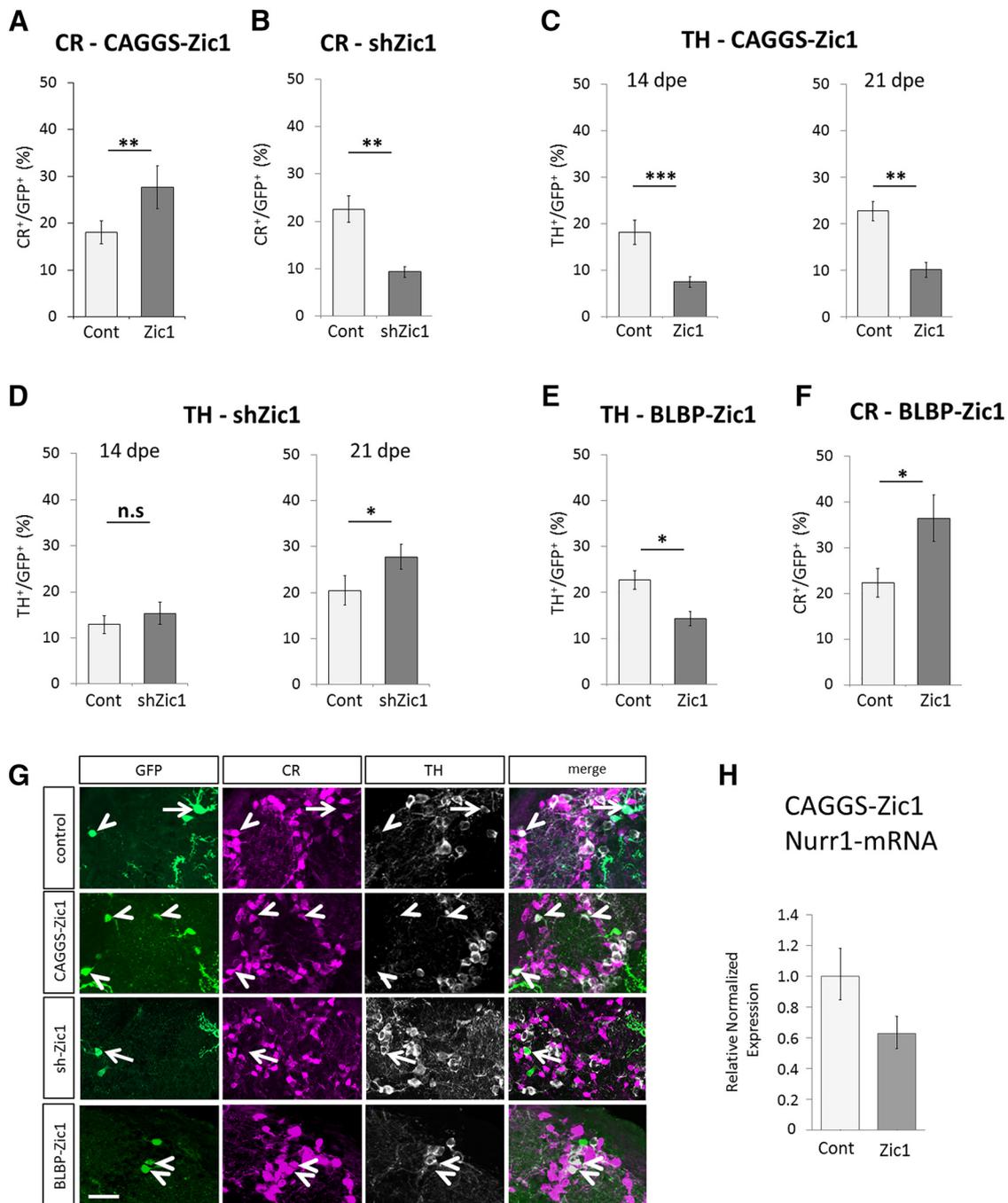


Figure 4. *In vivo* functional analyses of Zic1. **A**, Zic1 overexpression via *in vivo* brain electroporation increases the amount of CR GABAergic-expressing neurons in the OB at 14 dpe (3 independent experiments; animals: $n_{\text{control}} = 17$, $n_{\text{CAGGS-Zic1}} = 17$; cells: $n_{\text{control}} = 1432$, $n_{\text{CAGGS-Zic1}} = 907$; $p = 0.0055$). **B**, shRNA-induced Zic1 knockdown significantly reduces CR neurons in the OB at 14 dpe (2 independent experiments, animals: $n_{\text{control}} = 7$, $n_{\text{shZic1}} = 8$; cells: $n_{\text{control}} = 537$, $n_{\text{shZic1}} = 573$; $p = 0.0056$). **C**, Overexpression of Zic1 in the dorsal compartment decreases dopaminergic neurons at 14 and 21 dpe (14 dpe: 2 independent experiments, animals: $n_{\text{control}} = 14$, $n_{\text{CAGGS-Zic1}} = 15$; cells: $n_{\text{control}} = 918$, $n_{\text{CAGGS-Zic1}} = 656$, $p = 0.0005$; 21 dpe: 1 experiment, animals: $n_{\text{control}} = 6$, $n_{\text{CAGGS-Zic1}} = 5$; cells: $n_{\text{control}} = 418$, $n_{\text{CAGGS-Zic1}} = 388$, $p = 0.0077$). **D**, shRNA-induced knockdown increases dopaminergic neurons in the OB at 21 dpe but not at 14 dpe (14 dpe: 2 experiments, animals: $n_{\text{control}} = 11$, $n_{\text{shZic1}} = 9$; cells: $n_{\text{control}} = 813$, $n_{\text{shZic1}} = 459$, $p = 0.4341$; 21 dpe: 1 experiment, animals: $n_{\text{control}} = 7$, $n_{\text{shZic1}} = 7$; cells: $n_{\text{control}} = 330$, $n_{\text{shZic1}} = 330$, $p = 0.0351$). **E, F**, Overexpression of Zic1 under the control of the BLBP promoter reduces TH-expressing neurons in the OB at 21 dpe and increases the amount of CR + GABAergic neurons (1 experiment, animals: $n_{\text{control}} = 6$, $n_{\text{BLBP-Zic1}} = 6$; cells: $n_{\text{control}} = 448$, $n_{\text{BLBP-Zic1}} = 392$; p for TH = 0.0358; p for CR = 0.0291). n.s.: $p > 0.05$, * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$. Error bars represent SEM. **G**, Representative images of TH and CR-expressing cells among the GFP electroporated GFP⁺ cells in the GL at 21 dpe for electroporation with the control vector, pCAGGS-Zic1, pU6-shZic1, and pBLBP-Zic1 vectors. **H**, qRT-PCR analysis of Nurr1 expression performed on mRNA isolated from FACS sorted SVZ cells 6 d after Zic1 overexpression. RNA samples are issued from the dissection of 10 animals. Error bars represent the variation between four technical repetitions. Arrows: CR-expressing GFP⁺ neurons. Arrowheads: TH-expressing GFP⁺ neurons. Scale bars, 20 μm .

that, in a null mutant for *ref-2*, the global organization of the nervous system is normal (Bertrand and Hobert, 2009). In addition, although a few neuronal subtypes were lost (for example the AIY cholinergic interneurons), most of the neuro-

nal subtypes tested were unaffected. Dopaminergic neurons were not analyzed in this study.

Dopaminergic neurons are defined by the expression of a battery of terminal differentiation genes required for the synthesis,

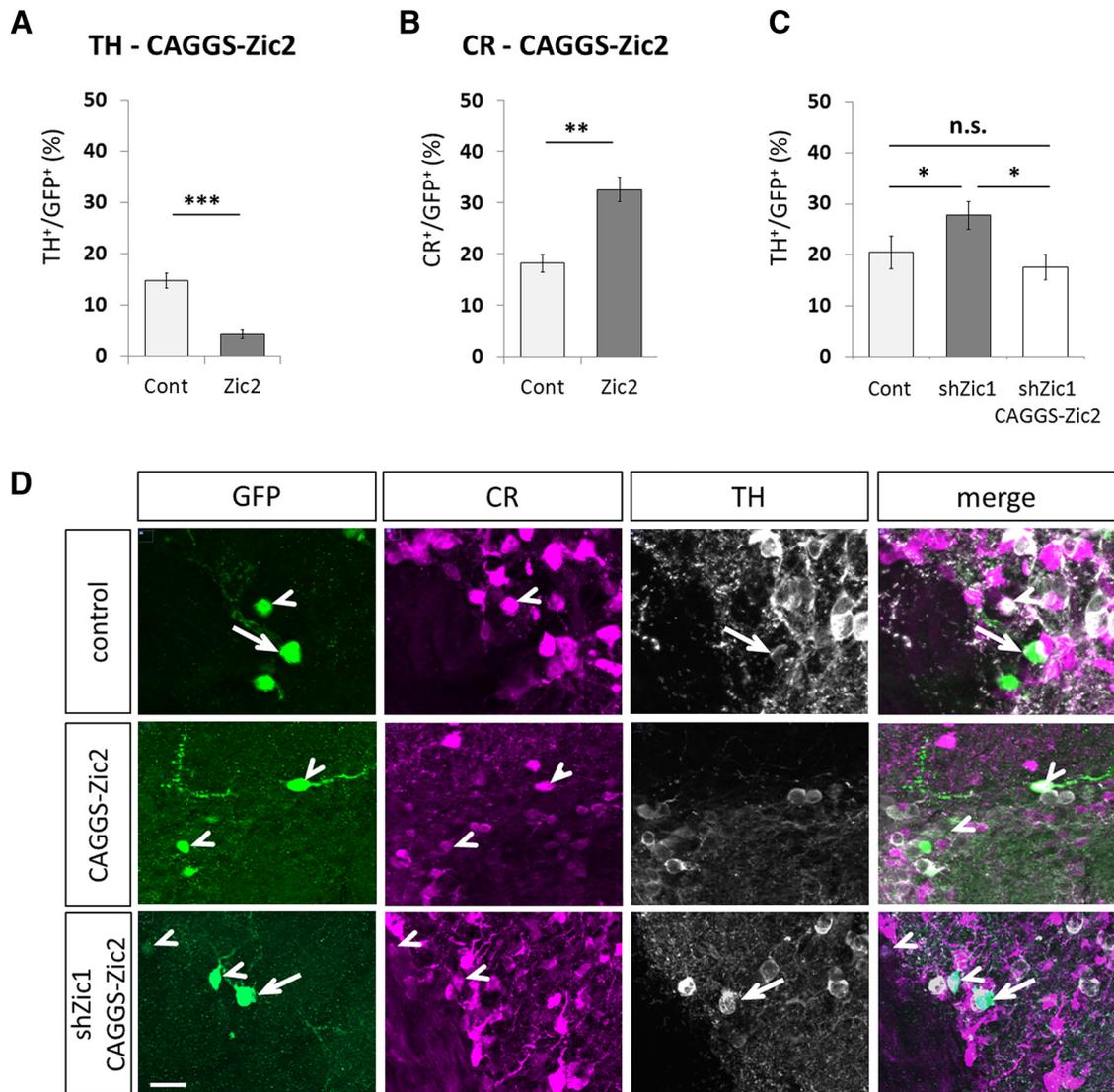


Figure 5. *In vivo* functional analyses of Zic2. **A, B**, Overexpression of Zic2 by *in vivo* brain electroporation does not significantly change the quantity of CR neurons (**A**) but does not significantly change the quantity of CR neurons (**B**); 1 experiment, animals: $n_{\text{control}} = 8$, $n_{\text{CAGGS-Zic2}} = 8$; cells: $n_{\text{control}} = 568$, $n_{\text{CAGGS-Zic2}} = 568$; p for CR = 0.00084; p for TH = 0.0023). **C**, Increase in TH neurons in the OB at 21 dpe after Zic1 knockdown was rescued by overexpression of Zic2 (1 experiment, animals: $n_{\text{control}} = 7$, $n_{\text{shZic1}} = 7$, $n_{\text{shZic1+CAGGS-Zic2}} = 8$; cells: $n_{\text{control}} = 330$, $n_{\text{shZic1}} = 330$, $n_{\text{shZic1+CAGGS-Zic2}} = 290$; p value Cont vs shZic1 = 0.0351, p value shZic1 vs shZic1+CAGGS-Zic2 = 0.0127). n.s.: $p > 0.05$, $*p \leq 0.05$, $**p \leq 0.01$, $***p \leq 0.001$. Error bars represent SEM. **D**, Representative images of TH and CR-expressing cells among the GFP electroporated GFP⁺ cells in the GL at 21 dpe, for electroporation with the control vector, pCAGGS-Zic2 vector, and pU6-shZic1 together with pCAGGS-Zic2. Arrows: CR-expressing GFP⁺ neurons. Arrowheads: TH-expressing GFP⁺ neurons. Scale bar, 20 μm .

packaging and transport of dopamine. This includes *dat-1* (dopamine transporter), *cat-4* (GTP cyclohydrolase), and *cat-2* (TH). To analyze the role of *ref-2* in dopaminergic neuron specification, we first used a loss-of-function approach. Wild-type *C. elegans* larvae have six dopaminergic neurons in the head (2 ADE neurons and 4 CEP neurons), which are generated during embryogenesis. Importantly, in a null mutant for *ref-2*, one or two additional neurons expressing a dopaminergic marker were observed in the dorsal part of the head (Fig. 6A). These neurons expressed the dopaminergic markers *dat-1* and *cat-4*, but not *cat-2* (Fig. 6A–C), suggesting that they acquire only a partial dopaminergic fate. In addition, ubiquitous overexpression of *ref-2* during embryogenesis using a heat shock promoter strongly inhibited the formation of dopaminergic neurons in the head (Fig. 6D). Thus, like in mice, a Zic protein represses dopaminergic fate in *C. elegans*.

We then investigated the origin of the ectopic “dopaminergic-like” (DA-like) neurons observed in *ref-2* loss of function

mutants. As the dopaminergic neuron lineages in the wild-type contain cell death events, we first tested whether the ectopic DA-like neurons in *ref-2* mutants resulted from survival of cells that normally die. When cell death was blocked, using a mutation in the cell death gene *ced-4*, ectopic DA-like neurons were also observed, but in this case they were always located in a distinct, ventral, region of the head. Moreover, the effect of *ref-2* and *ced-4* mutants was additive, with the appearance of ectopic DA-like neurons in both, the dorsal and ventral head regions (Fig. 6E), altogether indicating that the appearance of ectopic DA-like neurons observed in *ref-2* mutants was not a result of a reduced cell death.

Next, we investigated whether the appearance of the ectopic DA-like neurons was the result of a conversion of some non-dopaminergic neurons to a dopaminergic fate. The ectopic DA-like neurons observed in *ref-2* mutants are located just posterior to the pairs of cholinergic neurons IL2 dorsal and URA dorsal

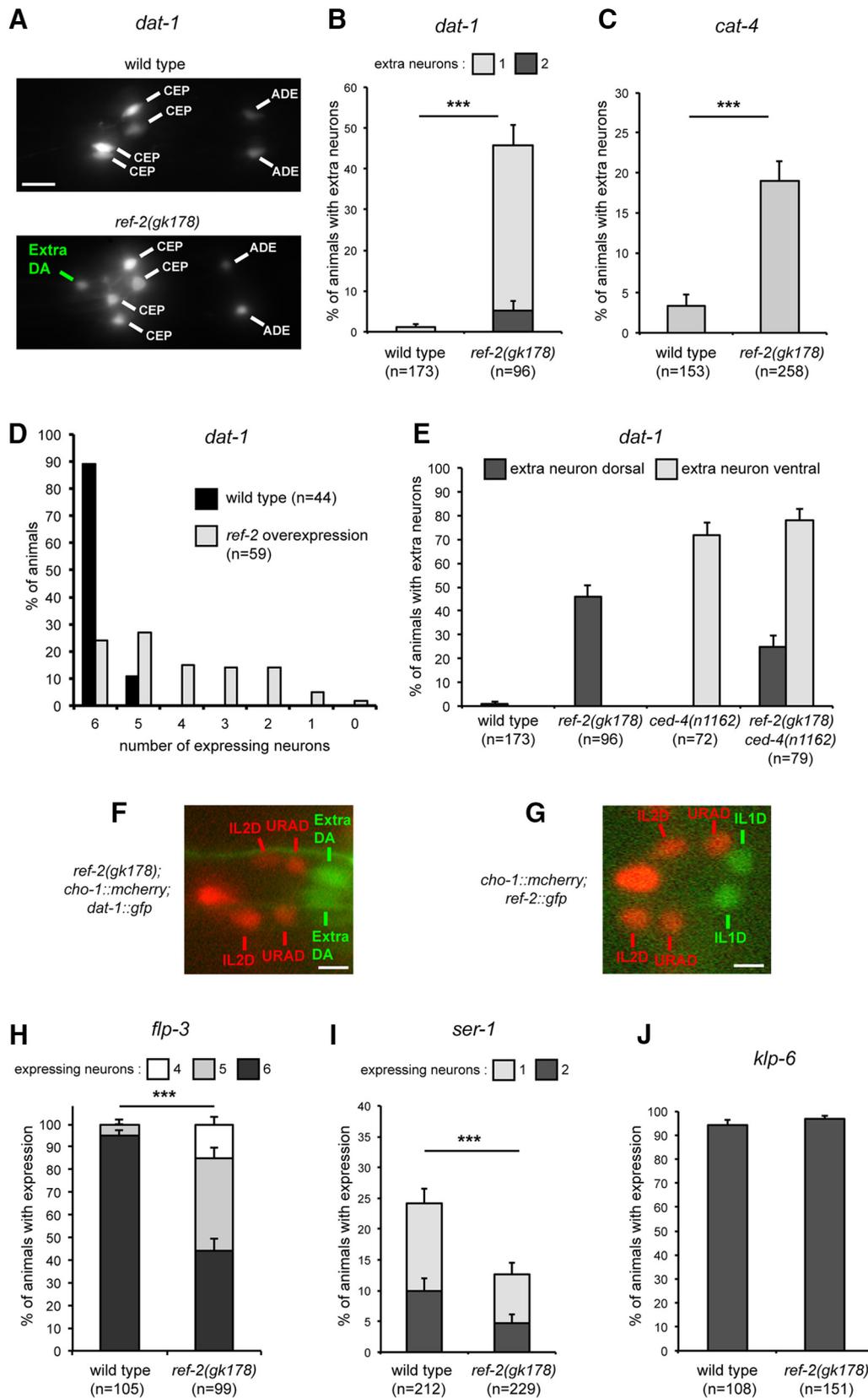


Figure 6. Effect of the *C. elegans* Zic protein REF-2 on dopaminergic fate. **A**, In the head of wild-type *C. elegans* larvae six dopaminergic neurons are present (4 CEP and 2 ADE), in *ref-2(gk178)* mutants extra dopaminergic neurons are observed (marker of dopaminergic neurons used: *dat-1::gfp* (*vtIs1*); head of L1 larvae, lateral view, anterior is left, dorsal is up). Scale bar, 5 μ m. **B**, Percentage of L1 larvae displaying one or two extra neurons expressing *dat-1::gfp* (n = number of animals, error bars show standard error of proportion; *** p = 3.9×10^{-21} , Fisher's exact test). **C**, Percentage of L1 larvae displaying one extra neuron expressing *cat-4::gfp* (*ots225*) in the dorsal region (n = number of animals, error bars show standard error of proportion; *** p = 1.4×10^{-6} , Fisher's exact test). **D**, Percentage of L1 larvae expressing *dat-1::gfp* in 6, 5, ..., 1, or 0 dopaminergic neurons in absence (black bar) or presence (gray bar) of *ref-2* overexpression (n = number of animals). *ref-2* Overexpression does not block all neuronal differentiation as it does not affect the expression of *klp-6*, a marker of the unrelated neuronal (*Figure legend continues*.)

(Fig. 6F). This corresponds to the location, in wild-type animals, of the pairs of glutamatergic neurons IL1 dorsal and URY dorsal. In addition, in *ref-2* mutants, we observed a loss of the URY neuron marker *ser-1* and the IL1 neuron marker *flp-3* (Fig. 6H,I), whereas the expression of the IL2 neuron marker *klp-6* was unaffected (Fig. 6I). Finally, *ref-2* is expressed in the URY and IL1 lineages but not in the dopaminergic lineages during embryogenesis (Murray et al., 2012), and we observed that during larval and adult stages *ref-2* expression in the head is restricted to only six neurons, corresponding to the six IL1 neurons (2 dorsal, 2 lateral, and 2 ventral; Fig. 6G and data not shown). Together, these data suggest that the ectopic DA-like neurons observed in *ref-2* mutants were a result of a cell fate switch of the dorsal IL1 and/or dorsal URY neurons from a non-dopaminergic to a dopaminergic phenotype.

In conclusion, in mice and *C. elegans* a Zic protein represses dopaminergic fate in defined non-dopaminergic neurons.

Discussion

Based on a high-resolution gene expression screen in space and time during postnatal OB neurogenesis we show here that the dorsal and lateral lineages that generate interneurons for the OB during postnatal stages maintain molecular signatures of the embryonic pallium and subpallium. In the postnatal dorsal lineages Zic1 and Zic2 are superposed over the existing program and control neuronal phenotype by repressing dopaminergic fate and favoring the generation of CR GABAergic neurons. This anti-dopaminergic function of Zic-proteins is evolutionary conserved and also present in *C. elegans*.

Our gene expression screen resulted in the first two-dimensional gene-expression matrix that includes the spatial and the temporal components of the postnatal neurogenic system. So far such studies addressed gene expression either in static situations or during individual steps in the temporal sequence that leads to new OB neurons. Pennartz et al. (2004) investigated gene expression in migratory type A cells isolated from the RMS on the basis of PSA-NCAM expression. In another study neuronal precursors and mature neurons from the periglomerular neuron lineage were isolated and analyzed by microarray (Boutin et al., 2010). Other, more recent transcriptomic studies focused on the first steps in the neurogenic process and the switch from silent to activated neural stem cells at the population (Beckervordersand-

forth et al., 2010; Codega et al., 2014) and at the single cell levels (Llorens-Bobadilla et al., 2015). Only recently, work addressed transcriptomic differences between spatially separated stem cell and progenitor pools (Azim et al., 2015).

Our study provides the first systematic insight into gene expression changes during all steps of the neurogenic sequence, from stem cells in the V/SVZ over amplification and migratory stages to neurons. In addition, at each of these steps we compare two stem cell pools and their lineages that generate different types of OB neurons. This provides a unique resource for the identification of regulators and molecular cascades that control stem cell identity, their activation, and their progression toward functional neurons. Moreover, this resource allows the identification of fate determinants that are active at the stem cell level, but also those that act at the post-stem cell stage. Finally, a major advantage of our electroporation-based approach is that functional studies aiming at determining the *in vivo* function of identified candidates are based on the same technology, and therefore targeting the same cell types and differentiation steps, that we used for the screening process. This is well illustrated by our work on Zic1 and Zic2.

Neuronal diversity in the postnatal OB is based on the existence of predetermined neural stem cells organized in subregions of the postnatal ventricular walls (Merkle and Alvarez-Buylla, 2006; Merkle et al., 2007, 2014). This regionalization is already established during early time points of forebrain development (Fuentelba et al., 2015), when subsets of stem cells appear to be set aside in the cortex, striatum and septum. After birth these cells enter the neurogenic cycle and produce new neurons that migrate into the OB and integrate. Of particular interest in this context is the dorsal aspect of the postnatal neurogenic compartment, which is mainly derived from the developing cortex (Fuentelba et al., 2015). Our lineage studies in this compartment clearly identify a cortical signature with most major players in place, including Pax6, Tbr1/2, Emx1/2, and Ngn1/2. This is in agreement with a cortical descent. Whereas the lateral (striatal) and medial (septal) walls generate exclusively GABAergic neurons, the dorsal aspect shows a high degree of heterogeneity. Although still generating low amounts of glutamatergic neurons, it produces mainly large numbers of GABAergic and dopaminergic neurons. Thus, it can be expected that new combinations of transcription factors occur in this compartment, superposed over the pre-existing cortical program, that allow the acquisition of new phenotypic traits.

One such example is Dlx2, a distal-less homeodomain protein that is expressed in the ventrolateral forebrain, the subpallial ganglionic eminences, and that in the embryo is involved in the generation of cortical interneurons (Anderson et al., 1999). However, at postnatal stages Dlx2 is expressed in the dopaminergic lineage of the OB GL, and is interacting with Pax6 to induce this neurotransmitter phenotype (Brill et al., 2008; de Chevigny et al., 2012b). Thus, introduction of a subpallial gene into the pallial lineage is necessary for the appearance of a new neuron type for the postnatal OB.

The situation shows parallels to what we find for Zic-proteins. Like Dlx2, Zic-proteins are expressed in the Pax6-expressing dorsal stem cell compartment and control neuronal fate in OB PGC. Within this population the majority of Zic-positive neurons were of the CR⁺ GABAergic phenotype, whereas Zic-proteins were absent from dopaminergic neurons. Our functional work clearly demonstrates that Zic1 and Zic2 are able to repress dopaminergic fate and to induce the second major population derived from the dorsal aspect, GABAergic CR-expressing neurons. We conclude

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(Figure legend continued.) class IL2 (without *ref-2* ectopic expression 20/20 animals express *klp-6::gfp* (*mys13*) in the six IL2 neurons; with *ref-2* ectopic expression 25/25 animals express *klp-6::gfp* in the six IL2 neurons). **E**, Percentage of L1 larvae displaying extra neurons expressing *dat-1::gfp* (*vtls1*) in the dorsal region (dark gray) or ventral region (light gray; *n* = number of animals, error bars show standard error of proportion). The effect of *ref-2* and *ced-4* mutations is additive suggesting that the extra neurons expressing *dat-1::gfp* observed in *ref-2* mutants do not come from a loss of cell death. **F**, The two extra dopaminergic neurons observed in *ref-2*(*gk178*) mutants (extra DA labeled with *dat-1::gfp*) are located just posterior to the pairs of cholinergic neurons IL2 dorsal (IL2D) and URA dorsal [URAD; labeled with *cho-1::mcherry* (*otls544*)]. Dorsal view of the head of a L1 larva, anterior is left. Scale bar, 2 μ m. **G**, Expression of *ref-2::gfp* (fosmid translational reporter, *wgls520*) in the pair of IL1D glutamatergic neurons, located just posterior to the pairs of cholinergic neurons IL2D and URAD [labeled with *cho-1::mcherry* (*otls544*)]. Dorsal view of the head of a L1 larva, anterior is left. Scale bar, 2 μ m. **H**, Percentage of L1 larvae expressing the IL1 neuron marker *flp-3* (*flp-3::gfp*, *ynls21*) in 6, 5, or 4 IL1 neurons (*n* = number of animals, error bars show standard error of proportion; ****p* = 1.1×10^{-16} , Fisher's exact test). **I**, Percentage of L1 larvae expressing the URYD neuron marker *ser-1* (*ser-1::gfp*, *vuEx172*) in one or both URYD neurons (*n* = number of animals, error bars show standard error of proportion; ****p* = 0.002, Fisher's exact test). **J**, Percentage of L1 larvae expressing the IL2 neuron marker *klp-6* (*klp-6::gfp*, *mys13*) in every IL2 neurons (*n* = number of animals, error bars show standard error of proportion).

that Zic1 and Zic2 are used in the postnatal dorsal stem cell compartment to induce new phenotypic traits in the form of CR⁺ GABAergic PGC, comparable to the introduction of the subpallial factor Dlx2 in the dorsal dopaminergic lineage.

There is a deep phylogenetic conservation of the gene regulatory networks specifying the dopaminergic fate between mice and *C. elegans*. The dopamine biosynthetic pathway in both species is very similar (Flames and Hobert, 2011) and nematode mutants can be rescued by introducing the corresponding human ortholog (Duerr et al., 1999). In addition, dopaminergic neurons in *C. elegans* and in the mouse olfactory bulb express similar combinations of transcription factors, including Ets, Dlx, and Pbx proteins (Flames and Hobert, 2009; Doitsidou et al., 2013). Our finding that Zic proteins function as repressors of dopaminergic fate in worm and mouse represents further support for the deep conservation of the gene regulatory networks specifying this neurotransmitter phenotype. It will be important in the future to characterize the biochemical mechanism by which Zic proteins repress dopaminergic fate in both organisms.

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