Development/Plasticity/Repair

# Genome Stability by DNA Polymerase $\beta$ in Neural Progenitors Contributes to Neuronal Differentiation in Cortical Development

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DNA repair is crucial for genome stability in the developing cortex, as somatic *de novo* mutations cause neurological disorders. However, how DNA repair contributes to neuronal development is largely unknown. To address this issue, we studied the spatiotemporal roles of DNA polymerase  $\beta$  (Pol $\beta$ ), a key enzyme in DNA base excision repair pathway, in the developing cortex using distinct forebrain-specific conditional knock-out mice,  $Emx1-Cre/Pol\beta^{fl/fl}$  and  $Nex-Cre/Pol\beta^{fl/fl}$  mice. Pol $\beta$  expression was absent in both neural progenitors and postmitotic neurons in  $Emx1-Cre/Pol\beta^{fl/fl}$  mice, whereas only postmitotic neurons lacked Pol $\beta$  expression in  $Nex-Cre/Pol\beta^{fl/fl}$  mice. We found that DNA double-strand breaks (DSBs) were frequently detected during replication in cortical progenitors of  $Emx1-Cre/Pol\beta^{fl/fl}$  mice. Increased DSBs remained in postmitotic cells, which resulted in p53-mediated neuronal apoptosis. This neuronal apoptosis caused thinning of the cortical plate, although laminar structure was normal. In addition, accumulated DSBs also affected growth of corticofugal axons but not commissural axons. These phenotypes were not observed in  $Nex-Cre/Pol\beta^{fl/fl}$  mice. Moreover, cultured Pol $\beta$ -deficient neural progenitors exhibited higher sensitivity to the base-damaging agent methylmethanesulfonate, resulting in enhanced DSB formation. Similar damage was found by vitamin C treatment, which induces TET1-mediated DNA demethylation via 5-hydroxymethylcytosine. Together, genome stability mediated by Pol $\beta$ -dependent base excision repair is crucial for the competence of neural progenitors, thereby contributing to neuronal differentiation in cortical development.

Key words: cortical development; DNA demethylation; DNA double-strand break; DNA repair; neuronal apoptosis; neuronal differentiation

#### Significance Statement

DNA repair is crucial for development of the nervous system. However, how DNA polymerase  $\beta$  (Pol $\beta$ )-dependent DNA base excision repair pathway contributes to the process is still unknown. We found that loss of Pol $\beta$  in cortical progenitors rather than postmitotic neurons led to catastrophic DNA double-strand breaks (DSBs) during replication and p53-mediated neuronal apoptosis, which resulted in thinning of the cortical plate. The DSBs also affected corticofugal axon growth in surviving neurons. Moreover, induction of base damage and DNA demethylation intermediates in the genome increased DSBs in cultured Pol $\beta$ -deficient neural progenitors. Thus, genome stability by Pol $\beta$ -dependent base excision repair in neural progenitors is required for the viability and differentiation of daughter neurons in the developing nervous system.

#### Introduction

Cortical developmental disorders, such as autism spectrum disorders, are attributable to multiple gene mutations (Poduri et al.,

2013). Recent whole-genome sequence technology revealed that CNS neurons have somatic *de novo* mutations involving single nucleotide variation, copy number variation, and retrotranspo-

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sition (Poduri et al., 2012; McConnell et al., 2013; Bundo et al., 2014). Further, increasing evidence suggests that even a very small number of cortical neurons with mutations can disrupt the function of cortical circuits (Poduri et al., 2013). Thus, genome stability is essential for normal cortical development and function.

DNA repair is necessary to maintain genome stability. Human genetic diseases caused by DNA repair defects include immune dysfunction and cancer predisposition (Lindahl and Wood, 1999). In the nervous system, DNA repair deficiency can result in microcephaly, ataxia, mental retardation, and neurodegeneration (Caldecott, 2008; McKinnon, 2013). It is likely that these disorders are attributable to neuronal cells with extensive DNA damage; indeed, mice deficient in several DNA repair enzymes exhibit neuronal apoptosis and structural abnormalities in the developing brain (Gao et al., 1998; Deans et al., 2000; Gu et al., 2000; Sugo et al., 2000). An intriguing question is how these DNA repair enzymes function in normal brain development.

DNA polymerase  $\beta$  (Pol $\beta$ ), a core component of the base excision repair (BER) pathway, has been shown to be involved in development of the nervous system rather than that of other organs (Sobol et al., 1996; Sugo et al., 2000; Wilson et al., 2000; Niimi et al., 2005). The most characteristic aspect has been shown in the developing cortex of Pol $\beta$ -deficient mice ( $Pol\beta^{-/-}$ ); extensive apoptosis occurs in the intermediate zone (IZ) and cortical plate (CP) rather than the ventricular zone (VZ) (Sugo et al., 2000, 2004). Because it is known that Pol $\beta$ -dependent BER can remove spontaneous apurinic/apyrimidic sites and reactive oxygen species-induced oxidative DNA base damage in aerobic cells (Lindahl, 1993; Sobol et al., 1996), such DNA damage likely increases susceptibility to genome instability in Polβ-deficient cortical cells. In addition to the accidental and pathological damage, physiological damage may also be repaired by the Polβ-dependent BER machinery. Indeed, the BER pathway is involved in active DNA demethylation process, which is necessary for epigenetic regulation of gene expression (Bhutani et al., 2011). However, how Polβ-dependent BER contributes to the development of the nervous system remains unknown.

In the present study, we investigated the roles of  $\operatorname{Pol}\beta$  in the developing cortex using forebrain-specific conditional knockout mice. We found that  $\operatorname{Pol}\beta$  deficiency led to increased DNA double-strand breaks (DSBs) during S phase in neural progenitors, and subsequently p53-mediated neuronal apoptosis. The DSBs remained unrepaired in surviving postmitotic neurons, causing corticofugal axon growth defects. Induction of pathological base damage and epigenetic base modification markedly enhanced DSB formation in cultured  $\operatorname{Pol}\beta$ -deficient neural progenitors. These findings demonstrate that genome stability mediated by  $\operatorname{Pol}\beta$ -dependent BER suppresses DSB formation in neural progenitors and underlies neuronal differentiation in cortical development.

#### **Materials and Methods**

Mice. To generate  $Pol\beta^{del/del}$  mice,  $Pol\beta^{fl/fl}$  mice (Gu et al., 1994) were crossed with Sycp1-Cre mice (Noguchi et al., 2009), and then Sycp1- $Cre/Pol\beta^{fl/fl}$  male mice were crossed with C57BL/6J background female mice. p53-deficient mice (Tsukada et al., 1993) were also crossed with  $Pol\beta^{del/+}$  mice. To generate Emx1- $Cre/Pol\beta^{fl/fl}$  mice and Nex- $Cre/Pol\beta^{fl/fl}$  mice, we crossed  $Pol\beta^{fl/fl}$  mice with Emx1-Cre (Iwasato et al., 2000) and Nex-Cre mice (Goebbels et al., 2006), respectively. Noon of the day on which the vaginal plug was detected was designated embryonic day 0.5 (E0.5). All experiments were conducted under the guidelines for laboratory animals of the Graduate School of Frontier Biosciences, Osaka University. The protocol was approved by the Animal Care and Use

Committee of the Graduate School of Frontier Biosciences, Osaka University.

In situ *hybridization*. cDNA fragment encoding Pol $\beta$  (NM\_011130.2, nucleotides 31-1079) and Pax6 (NM\_013627.1, nucleotides 161-1559) was subcloned into pGEM-T Easy vector (Promega). The preparation of RNA probes and *in situ* hybridization were performed as described previously (Zhong et al., 2004).

In utero *electroporation*. pCAGGS-EGFP plasmid DNA was purified with the PureLink HiPure Plasmid Maxiprep Kit (Invitrogen), then dissolved in PBS. *In utero* electroporation was performed on E13.5 embryos (Fukuchi-Shimogori and Grove, 2001). Pregnant mice were deeply anesthetized with isoflurane (Wako) by using inhalation anesthesia equipment (KN-1071-1, Natume). A total of 1  $\mu$ g of plasmid solution was injected to the lateral ventricle with a glass micropipette connected to an injector (IM-30, Narishige). Electric pulses were delivered with tweezers electrodes (LF650P1, BEX) connected to an electroporator (CUY21, BEX). Five 35 V pulses of 50 ms duration were applied at intervals of 100 ms.

Immunostaining. The brains were fixed with 2 or 4% PFA in 0.1 M sodium phosphate buffer, pH 7.4, equilibrated with 25% sucrose-PBS, frozen in OCT compound (Sakura Finetech), and cut into 4, 10, or 20  $\mu$ m sections using a cryostat (CM1850, Leica). The sections were permeabilized and blocked for 1 h at room temperature in buffer G (0.1% or 1.0% Triton X-100, 5% normal goat serum, Vector Laboratories in PBS) or buffer D (0.1% or 1.0% Triton X-100, 2% normal donkey serum, Jackson ImmunoResearch Laboratories in PBS). The sections were incubated overnight at 4°C with the following antibodies in buffer G or buffer D: rabbit polyclonal anticleaved caspase-3 (Asp175) (9661S, Cell Signaling Technology) at 1:250, mouse monoclonal anti–neuron-specific  $\beta$ -III tubulin (Tuj1) (MAB1195, R&D Systems) at 1:1000, goat polyclonal anti-Nurr1 (AF2156, R&D Systems) at 1:50, rabbit polyclonal anti-Tbr1 (ab31940, Abcam) at 1:500, rat monoclonal anti-Ctip2 (ab18465, Abcam) at 1:800, rabbit polyclonal anti-CDP (Cux1) (sc-13024, Santa Cruz Biotechnology) at 1:200, rat monoclonal anti-GFP (04404-84, Nacalai Tesque) at 1:1000, goat polyclonal anti-Contaction-2 (TAG-1) (AF4439, R&D Systems) at 1:200, rabbit polyclonal anti-histone H2AX phosphor Ser139 (yH2AX) (39117, Active Motif) at 1:200, rabbit monoclonal anti-Ki67 (RM-9106-S0, Thermo Scientific) at 1:200, or rabbit polyclonal anti-53BP1 (GTX102595, GeneTex) at 1:100. For Pol\(\beta\) immunostaining, the sections were fixed with 2% PFA in PBS for 5 min at room temperature, then washed with PBS for 10 min three times. The sections were treated with 1.0% SDS in PBS for 10 min at room temperature, then washed with PBS for 10 min three times. The sections were incubated with 10% normal goat serum in PBS for 1 h at room temperature, then incubated overnight at 4°C with rabbit polyclonal anti-DNA polymerase β antibody (ab26343, Abcam) diluted in Can Get Signal immunostain solution A (Toyobo) at 1:500. Primary antibodies were detected by incubation with Alexa488-conjugated antimouse IgG (A-11029, Invitrogen), Alexa488-conjugated antirabbit IgG (A-11034, Invitrogen), Alexa488conjugated antirat IgG (A-11006, Invitrogen), Cy3-conjugated antimouse IgG (AP192C, Millipore), Cy3-conjugated antirabbit IgG (AP182C, Millipore), Cy3-conjugated antirat IgG (AP136C, Millipore), or Cy3-conjugated antigoat IgG (705-165-147, Jackson ImmunoResearch Laboratories), in all cases at 1:400 in buffer G or buffer D for 2 h at room temperature. The sections were washed with PBS for 10 min three times. Nuclei were stained with 0.1% DAPI (Sigma) in a mounting medium containing 50% glycerol and 2.3% 1,4-diazobicyclo[2.2.2]octane (Sigma) in 50 mm Tris-HCl, pH 8.0.

Cultured cells were fixed with 4% PFA in PBS for 10 min at room temperature. The cells were permeabilized and blocked for 1 h in buffer G at room temperature. They were incubated with rabbit polyclonal anti- $\gamma$ H2AX antibody at 1:200, rabbit polyclonal anti- $\gamma$ 53BP1 antibody at 1:100, or mouse monoclonal anti-nestin antibody (MAB353, Millipore) at 1:200 in buffer G overnight at 4°C. Primary antibodies were visualized by incubation with Alexa488-conjugated antimouse IgG, Alexa488-conjugated antirabbit IgG, and Cy3-conjugated antimouse IgG at 1:400 in buffer G for 2 h at room temperature. The cells were mounted with DAPI-containing medium as above.

5-Ethynyl-2'-deoxyuridine (EdU) labeling. Pregnant mice were injected intraperitoneally with 150  $\mu$ l of EdU (2.5 mg/ml in PBS, Invitro-

gen), and embryos were harvested at 30 min after the injection or at E18.5. EdU was visualized using a Click-iT EdU AlexaFluor-555 imaging kit (Invitrogen) according to the manufacturer's recommendations.

Quantitative RT-PCR. Total RNA was extracted from E14.5 Pol\(\beta^{fl/fl}\) and Emx1-Cre/ Polβ<sup>fl/fl</sup> cortices using RNeasy Plus Mini Kit (QIAGEN) following the manufacturer's procedure. cDNA synthesis was performed using Transcriptor First Strand cDNA Synthesis Kit (Roche). Quantitative RT-PCR was performed with Universal ProbeLibrary (UPL, Roche). Specific probes and intron-spanning primer pairs were designed using Universal ProbeLibrary Assay Design Center (https://qpcr. probefinder.com/organism.jsp). The following probes and primer pairs were used in each gene. Gap43, UPL probe 63, 5'cggagactgcagaaagcag-3' and 5'-ggtttggcttcgtcta cagc-3'; Apc, UPL probe 70, 5'-gtgcgccagctttta cagt-3' and 5'-catgcctgctctgagatgac-3'; Tubb6, UPL probe 55, 5'-ctacgtgggcgactcagc-3' and 5'agccctgggtacgtacttctt-3'; and Rplp1, UPL probe 67, 5'-ttctggcctggcttgttt-3' and 5'-atggagcagcacc accag-3'. GAPD gene was used as an endogenous control (UPL Mouse GAPD Gene Assay, Roche).

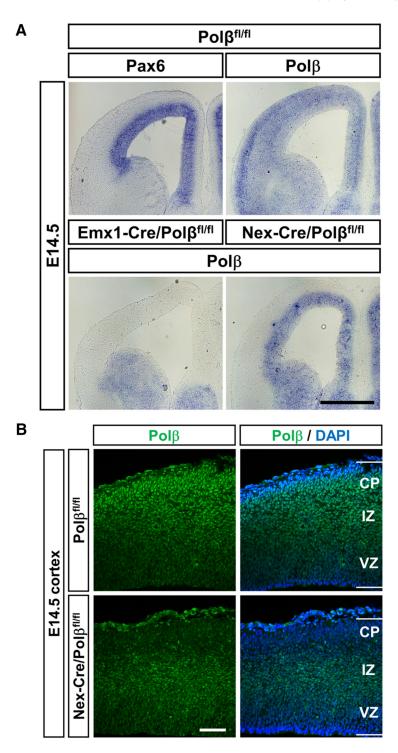
Cell culture. Pregnant mice were deeply anesthetized with pentobarbital (50 mg/kg, i.p.). E14.5 cortices were collected from the embryos in ice-cold Hanks' solution and then minced with fine scissors in PBS. The minced tissues were incubated with 0.125% trypsin and 0.02% EDTA in PBS for 5 min at 37°C, and then dissociated by pipetting. After centrifugation, the cells were resuspended in a mixture of DMEM and F12 (Invitrogen), 10% FBS (Hyclone), 10 ng/ml mouse FGF (Peprotech), and 20 ng/ml mouse EGF (Peprotech). A suspension containing  $1.5-2.0 \times 10^5$  cells was plated with culture medium on a 12 mm microcoverglass (Matsunami) in a Nunc 4-well dish (Thermo Scientific); alternatively,  $6.5-7.5 \times 10^5$  cells were plated on 35 mm cell culture dishes (Falcon) coated with 0.1 mg/ml poly-L-ornithine (Sigma). The cultures were maintained at 37°C in an environment of 5% CO2 and humidified 95% air.

To label DNA with EdU, the cells were incubated with culture medium containing 20  $\mu$ M EdU (Invitrogen) for 15 min. To induce base damage, the cells were treated with culture medium containing 1 mM methylmethanesulfonate (MMS) (Sigma) for 1 h, then fixed for 10 min.

To induce DNA demethylation, the cells were incubated with culture medium containing 100  $\mu$ g/ml L-ascorbic acid 2-phosphate (vitamin C, Sigma) for 24 h, and then fixed for 10 min.

Immunoblot analysis. Genomic DNA was extracted using DNeasy Blood and Tissue

kit (QIAGEN) or MagExtractor-Genome-kit (Toyobo). Isolated DNA was denatured in 0.1 M NaOH for 5 min at 95°C and then chilled on ice. For immunoblot analysis of 5-methylcytosine (5mC), serially diluted DNA samples (200  $\mu$ l) were loaded on a positively charged nylon membrane (Millipore) using Bio-Dot SF Microfiltration Apparatus (Bio-Rad). For immunoblot analysis of 5-hydroxymethylcytosine (5hmC), aliquots (1  $\mu$ l) of serially diluted DNA samples were spotted on a positively charged nylon membrane (Millipore). The blotted membrane



**Figure 1.** Forebrain-specific CRE-mediated recombination occurs in Pol $\beta$  conditional mutant mice. **A**, In situ hybridization of Pax6 and Pol $\beta$  was performed in E14.5  $Pol\beta^{n/n}$ ,  $Enx1-Cre/Pol\beta^{n/n}$ , and  $Enx1-Cre/Pol\beta^{n/n}$  forebrain. Scale bar, 500  $\mu$ m. **B**, Immunohistochemistry was performed with anti-Pol $\beta$  antibody in E14.5 wild-type and  $Enx1-Cre/Pol\beta^{n/n}$  cortex. Nuclei were stained with DAPI. Scale bar, 100  $\mu$ m.

was air-dried and UV cross-linked using a CL-1000 Ultraviolet Cross-linker. The membrane was washed with Tris-buffered saline containing 0.1% Tween 20 (TBS-T) for 30 min and then blocked in TBS-T containing 5% skim milk (Cell Signaling Technology) for 1 h at room temperature. The membrane was incubated with mouse monoclonal anti-5mC antibody (39649, Active Motif) at 1:2000 or rabbit polyclonal anti-5hmC antibody (39769, Active Motif) at 1:5000 in TBS-T containing 5% skim milk overnight at 4°C. The membrane was washed with TBS-T for 10 min three times and then incubated with antimouse IgG HRP conjugate (115-

Table 1. Genotyping of  $Pol\beta^{del/+}$  intercrosses

Stage	Pol $eta^{ extit{del}/+} imes F$	Total no.		
	$Pol\beta^{+/+}$	Pol $eta^{del/+}$	$Pol\beta^{del/del}$	(no. of litters)
E14.5	16	28	11	55 (9)
Weaned	35	78	0	113 (23)

035-146, Jackson ImmunoResearch Laboratories) or -rabbit IgG HRP conjugate (711-035-152, Jackson ImmunoResearch Laboratories) at 1:20,000 in TBS-T containing 5% skim milk for 2 h at room temperature. The membrane was washed with TBS-T for 10 min three times and visualized by chemiluminescence with ECL Select Western Blotting Detection Reagent (GE Healthcare), and images were captured with an LAS-3000 UV mini (Fujifilm).

Image analysis. Digital images were obtained by confocal microscopy (ECLIPSE FN with EZ-C1; Nikon) with  $10 \times /0.3$ ,  $20 \times /0.75$ ,  $40 \times /0.95$ , and  $100 \times /1.40$  objective lenses (Nikon). The images were imported into Photoshop 6.0 (Adobe Systems) and ImageJ to adjust brightness and contrast.

For the quantitative analysis of Pol $\beta$  protein levels, fluorescence intensities of Pol $\beta$  in the nucleus were quantified using ImageJ. The position of the nucleus was judged by DAPI staining. The fluorescence intensities of Pol $\beta$  in the CP neurons of *Emx1-Cre/Pol\beta<sup>fl/fl</sup>* mice were measured as background intensity. The average intensities were calculated from 10 cells in a section. The ratio was calculated from three independent experiments from two embryonic mice, respectively.

Experimental design and statistical analysis. Mice in both sexes were used for all experiments. In statistical analysis, the analyzed number of samples was described in each experiment. Significant differences were determined with Student's t test or Mann–Whitney U test. All statistical values are presented as mean value  $\pm$  SD or mean value  $\pm$  SEM. All data were analyzed using Excel 2013 (Microsoft).

#### Results

## Pol $\beta$ deficiency in neural progenitors induces neuronal apoptosis in the developing cortex

To dissect  $Pol\beta$  functions in neural progenitors and postmitotic neurons, Pol\(\beta^{fl/fl}\) mice (Gu et al., 1994) were crossed with Cre driver lines Emx1-Cre and Nex-Cre. Emx1-Cre-mediated recombination occurs in neural progenitors restricted to the dorsal telencephalon (Iwasato et al., 2000), whereas Nex-Cre-mediated recombination occurs in postmitotic excitatory neurons (Goebbels et al., 2006). In addition, to generate mice lacking  $Pol\beta$  in the whole-body ( $Pol\beta^{del/del}$ ), which correspond to conventional  $Pol\beta^{-/-}$  knock-out mice,  $Pol\beta^{fl/fl}$  mice were crossed with mice from a testis-specific Cre driver line, Sycp1-Cre (Noguchi et al., 2009). To confirm CRE-mediated recombination of the floxed Pol $\beta$  allele, *in situ* hybridization were performed on these mutant cortices (Fig. 1A). As expected, Pol\beta expression disappeared in both neural progenitors in the VZ and postmitotic neurons in the CP of Emx1- $Cre/Pol\beta^{fl/fl}$  mice, whereas only postmitotic neurons lacked expression of Pol\(\beta\) in Nex-Cre/Pol\(\beta^{\frac{1}{1}/fl}\) mice. Consistent with this result, immunohistochemistry further showed that Pol $\beta$  signal in Nex-Cre/Pol $\beta$ <sup>fl/fl</sup> mice was almost absent in CP cells (the signal intensity in CP cells was  $14 \pm 3\%$  of that in  $Pol\beta^{fl/fl}$ mice) (Fig. 1B). Thus, these mutant mice allowed us to study the spatiotemporal role of Pol $\beta$  in the developing cortex, focusing on proliferative neural progenitors and postmitotic neurons.

Consistent with a previous result (Sugo et al., 2000),  $Pol\beta^{del/del}$  mice died after birth (Table 1). Meanwhile, both Emx1- $Cre/Pol\beta^{fl/fl}$  and Nex- $Cre/Pol\beta^{fl/fl}$  mice were obtained at the expected Mendelian ratios at both E14.5 and the weaning stage (Tables 2, 3), indicating that the dorsal telencephalon-specific Pol $\beta$  deficiency does not lead to lethality. According to the previous study (Sugo et al., 2000), we examined apoptosis in the developing

Table 2. Genotyping of Emx1- $Cre/Pol\beta^{fl/fl}$  mice

Stage	Pol $eta^{ extit{fl/fl}} imes Em$	Total no.	
	$Pol\beta^{fl/fl}$	Emx1 <sup>Cre/+</sup> /Polβ <sup>fl/fl</sup>	(no. of litters)
E14.5	51	48	99 (19)
Weaned	80	74	154 (22)

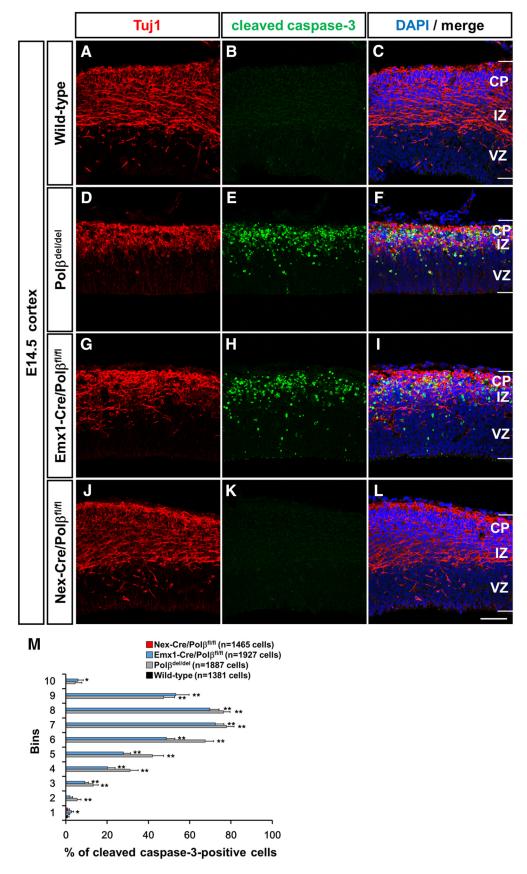
Table 3. Genotyping of Nex-Cre/Pol $oldsymbol{eta}^{\mathit{fl/fl}}$  mice

Stage	Pol $eta^{ extit{fl/fl}} imes$ Nex	Total no.	
	$Pol\beta^{fl/fl}$	Nex $^{Cre/+}$ /Pol $eta^{fl/fl}$	(no. of litters)
E14.5	48	57	105 (17)
Weaned	45	55	100 (16)

cortex using immunohistochemical analysis with anticleaved caspase-3 antibody. Cleaved caspase-3-positive apoptotic cells were rarely found in E14.5 wild-type mice (Fig. 2A–C). In accordance with the result in  $Pol\beta^{-/-}$  mice, cleaved caspase-3-positive apoptotic cells were remarkably observed in Tuj1-positive postmitotic neurons in the IZ and CP, but not in neural progenitors in the VZ, of  $Pol\beta^{del/del}$  mice at E14.5 (Fig. 2D–F). Emx1- $Cre/Pol\beta^{fl/fl}$ mice displayed a similar distribution of cleaved caspase-3-positive cells to  $Pol\beta^{del/del}$  mice (Fig. 2*G–I*). In contrast, the apoptotic cells were absent in Nex-Cre/PolBfl/fl mice, which is comparable with wild-type mice (Fig. 2*J*–*L*). To evaluate whether the level of apoptosis correlates with genotype, the laminar distribution of cleaved caspase-3-positive cells was quantified in the developing cortex of each mouse line. The cortical depth was divided into 10 equal bins from the ventricle to the pial surface (Fig. 2*M*). Cleaved caspase-3-positive cells were abundant in the CP in both  $Pol\beta^{del/del}$  and Emx1- $Cre/Pol\beta^{fl/fl}$  mice (bins 7–10, 58% in  $Pol\beta^{del/del}$  mice and 59% in Emx1- $Cre/Pol\beta^{fl/fl}$  mice), but were less numerous in the VZ (bins 1–5, 19% in  $Pol\beta^{del/del}$  mice and 13% in Emx1- $Cre/Pol\beta^{fl/fl}$  mice) (Fig. 2M;  $Pol\beta^{del/del}$  mice vs wild-type: \*\*p < 0.01, t test, Emx1- $Cre/Pol\beta^{fl/fl}$  mice vs wild-type: \*p < 0.05, \*\*p < 0.01, t test). In contrast, very few apoptotic cells were detected in every layer of either wild-type or Nex-Cre/  $Pol\beta^{fl/fl}$  cortex (Fig. 2M). These results indicate that, although apoptosis is predominantly observed in postmitotic neurons in the Pol $\beta$ -deficient cortex, loss of Pol $\beta$  activity in neural progenitors in the VZ leads to this phenotype.

## Pol $\beta$ deficiency increases DSB formation in neural progenitors, thereby inducing p53-mediated apoptosis

To investigate the mechanism by which  $Pol\beta$  deficiency in neural progenitors triggers neuronal apoptosis, we considered the possibility that cytotoxic DSBs accumulate in postmitotic neurons (Lee et al., 2001). DSB formation was examined in the conditional mutant cortex by immunohistochemistry with anti-γH2AX (Ser 139-phoshorylated histone H2AX) antibody. γH2AX forms foci at DSB sites in the nucleus and is involved in DSB repair (Rogakou et al., 1998, 1999). Even in control  $Pol\beta^{fl/fl}$  mice, a few  $\gamma$ H2AX foci formed in a small fraction of Ki67-positive proliferative neural progenitors in the VZ and subventricular zone, but not in Tuj1positive postmitotic neurons in the IZ or the CP (Fig. 3*A*–*D*,*F*–*I*). Notably, an increased number of  $\gamma$ H2AX foci formed in both the VZ and CP in Emx1- $Cre/Pol\beta^{fl/fl}$  mice (Fig. 3J–M). Conversely, Nex-Cre/Pol $\beta^{fl/fl}$  mice displayed  $\gamma$ H2AX foci formation at a level similar to control  $Pol\beta^{fl/fl}$  mice (Fig. 3N–Q). Immunohistochemical analysis with an antibody to 53BP1, another marker protein of DSB formation (Schultz et al., 2000), confirmed these results (Fig.  $3S-Z_2A'-D'$ ). To quantify the distribution of damaged cells



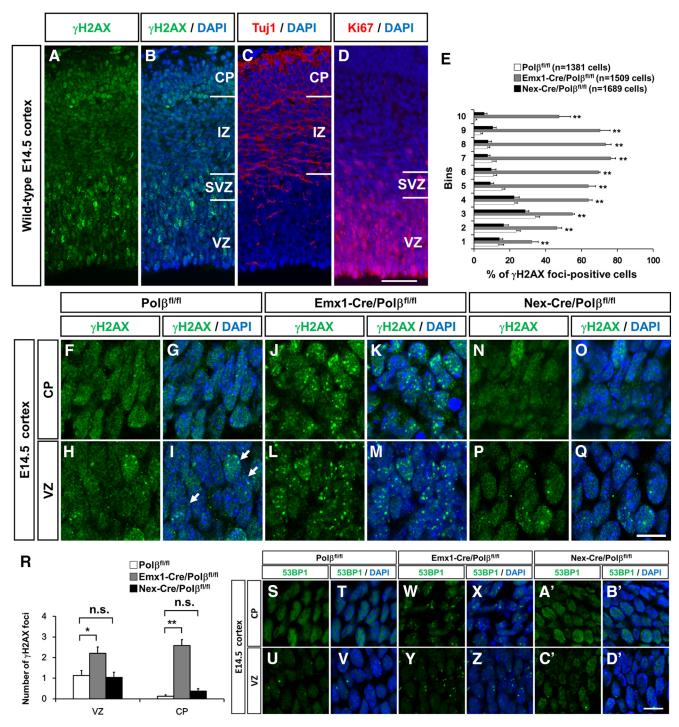


Figure 3. Pol  $\beta$  deficiency increases DSBs in neural progenitors, which remain in postmitotic neurons. Immunohistochemistry was performed with anti- $\gamma$ H2AX (A, B, F-Q), anti-Tuj1 (C), and anti-Ki67 (D) antibodies in E14.5 wild-type (A-D), E14.5  $Pol\beta^{n/n}$  (F-I), Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-

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(Figure legend continued.) IZ, bin 6; CP, bins 7–10 in  $Pol\beta^{del/del}$  and Emx1- $Cre/Pol\beta^{n/n}$  cortex. Values in parentheses are the number of cells analyzed. Data are mean  $\pm$  SEM from at least three different brains. Significant difference from wild-type mice: \*p < 0.05 (Student's t test); \*\*p < 0.01 (Student's t test).

in the developing cortex,  $\gamma$ H2AX foci-possessing cells were counted in each bin as described above (Fig. 3*E*).  $\gamma$ H2AX foci-positive cells in  $Pol\beta^{fl/fl}$  mice were more numerous in the VZ and subventricular zone (bins 1–4, 24%) than in the CP (bins 8–10, 4%) (Fig. 3*E*). In  $Emx1-Cre/Pol\beta^{fl/fl}$  mice, the  $\gamma$ H2AX foci-

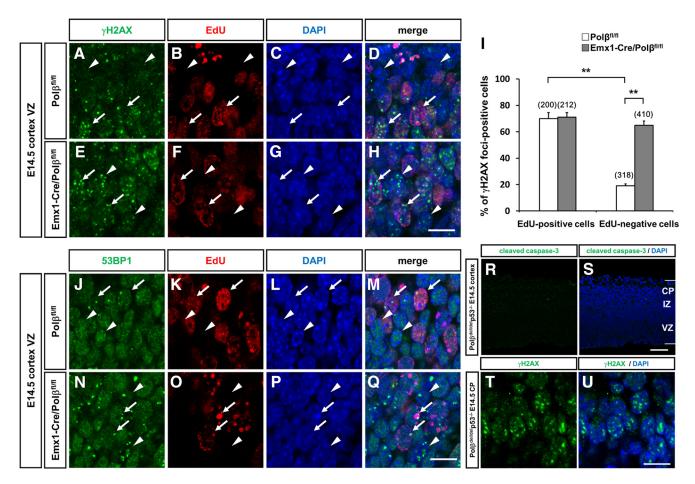


Figure 4. DSBs arise during S phase and lead to p53-mediated neuronal apoptosis in Polβ-deficient cortex. EdU staining ( $\textbf{\textit{B}}$ ,  $\textbf{\textit{F}}$ ,  $\textbf{\textit{H}}$ ) and immunohistochemistry for  $\gamma$ H2AX ( $\textbf{\textit{A}}$ ,  $\textbf{\textit{D}}$ ,  $\textbf{\textit{E}}$ ,  $\textbf{\textit{H}}$ ) were performed in E14.5  $Pol\beta^{R/R}$  ( $\textbf{\textit{A}}$ – $\textbf{\textit{D}}$ ) and Emx1- $Cre/Pol\beta^{R/R}$  ( $\textbf{\textit{E}}$ – $\textbf{\textit{H}}$ ) cortex. Nuclei were stained with DAPI ( $\textbf{\textit{C}}$ ,  $\textbf{\textit{D}}$ ,  $\textbf{\textit{H}}$ ). Arrows and arrowheads indicate EdU-positive and -negative cells, respectively. Scale bar, 10  $\mu$ m.  $\textbf{\textit{I}}$ , Quantitative analysis of  $\gamma$ H2AX foci-positive cells in either EdU-positive or -negative cells in the VZ of  $Pol\beta^{R/R}$  and Emx1- $Cre/Pol\beta^{R/R}$  cortex. Values in parentheses are the number of neural progenitors analyzed. Data are mean  $\pm$  SEM from three different brains. Significant difference: \*\* $\textbf{\textit{P}}$  < 0.01 (Student's  $\textbf{\textit{t}}$  test). EdU staining ( $\textbf{\textit{K}}$ ,  $\textbf{\textit{M}}$ ,  $\textbf{\textit{O}}$ ,  $\textbf{\textit{Q}}$ ) and immunohistochemistry for 53BP1 ( $\textbf{\textit{J}}$ ,  $\textbf{\textit{M}}$ ,  $\textbf{\textit{N}}$ ,  $\textbf{\textit{Q}}$ ) were performed in E14.5  $Pol\beta^{R/R}$  ( $\textbf{\textit{J}}$ - $\textbf{\textit{M}}$ ) and Emx1- $Cre/Pol\beta^{R/R}$  cortex ( $\textbf{\textit{N}}$ - $\textbf{\textit{Q}}$ ). Nuclei were stained with DAPI ( $\textbf{\textit{L}}$ ,  $\textbf{\textit{M}}$ ,  $\textbf{\textit{P}}$ ,  $\textbf{\textit{Q}}$ ). Arrows and arrowheads indicate EdU-positive and -negative cells, respectively. Scale bar, 10  $\mu$ m. Immunohistochemistry was performed with anticleaved caspase-3 ( $\textbf{\textit{R}}$ ,  $\textbf{\textit{S}}$ ) and anti- $\gamma$ H2AX antibodies ( $\textbf{\textit{T}}$ ,  $\textbf{\textit{U}}$ ) in E14.5  $Pol\beta^{del/del}$  p53  $^{-/-}$  cortex. Nuclei were stained with DAPI ( $\textbf{\textit{S}}$ ,  $\textbf{\textit{U}}$ ). Scale bars:  $\textbf{\textit{S}}$ , 100  $\mu$ m;  $\textbf{\textit{U}}$ , 10  $\mu$ m.

possessing cells were abundant in all layers, particularly in the CP (VZ: bins 1–5, 53%, CP: bins 7–10, 69%, Emx1- $Cre/Pol\beta^{fl/fl}$  mice vs wild-type: p < 0.01, t test). In contrast, the distribution of DNA-damaged cells in Nex- $Cre/Pol\beta^{fl/fl}$  mice (VZ: bins 1–4, 20%, CP: bins 8–10, 6%) was comparable with that in  $Pol\beta^{fl/fl}$  mice (Fig. 3E). These results indicate that  $Pol\beta$  deficiency in neural progenitors leads to excessive DSB formation.

To characterize the DNA damage level in individual VZ and CP cells, we counted γH2AX foci in the nuclei. The number of γH2AX foci in both VZ and CP cells was significantly larger in Emx1- $Cre/Pol\beta^{fl/fl}$  mice compared with  $Pol\beta^{fl/fl}$  mice (Fig. 3R;  $Pol\beta^{fl/fl}$  vs Emx1- $Cre/Pol\beta^{fl/fl}$ , VZ: 1.13 ± 0.25, 2.21 ± 0.31, p = 0.021, CP: 0.13 ± 0.069, 2.58 ± 0.29, p = 0.00045, t test). In contrast, the number of γH2AX foci in Nex- $Cre/Pol\beta^{fl/fl}$  mice was similar to that in  $Pol\beta^{fl/fl}$  mice (Fig. 3R). Moreover, the number of γH2AX foci was comparable between VZ and CP cells in Emx1- $Cre/Pol\beta^{fl/fl}$  mice, although γH2AX foci were rare in the CP cells in  $Pol\beta^{fl/fl}$  and Nex- $Cre/Pol\beta^{fl/fl}$  mice. These results suggest that the majority of DSBs by  $Pol\beta$  deficiency in neural progenitors remain unrepaired in postmitotic neurons.

We further investigated whether DSB formation is associated with DNA replication in the VZ by observing DSB and replication sites simultaneously in the nucleus. To visualize DNA replication,

EdU was injected into E14.5 pregnant mice, and its incorporation was examined after 30 min. This short-term labeling should allow us to identify active replication in the nucleus (O'Keefe et al., 1992). The majority of the EdU-positive cells in the VZ contained  $\gamma$ H2AX foci in both  $Pol\beta^{fl/fl}$  (70 ± 4.4%) and Emx1-Cre/ $Pol\beta^{fl/fl}$  $(71 \pm 3.5\%)$  cortex (Fig. 4A–I), although the number of  $\gamma$ H2AX foci in individual cells was much larger in Emx1-Cre/Polβ<sup>fl/fl</sup> mice (see above). In contrast, EdU-negative VZ cells more frequently showed  $\gamma$ H2AX foci in *Emx1-Cre/Pol* $\beta^{fl/fl}$  mice (65  $\pm$  3.2%) than in  $Pol\beta^{fl/fl}$  mice (19  $\pm$  1.5%) (Fig. 4I; EdU-negative cells in  $Pol\beta^{fl/fl}$  mice vs EdU-negative cells in Emx1- $Cre/Pol\beta^{fl/fl}$  mice: p = 0.0002, t test, EdU-positive vs EdU-negative cells in  $Pol\beta^{fl/fl}$  mice: p = 0.00015, ttest). Similar foci formation of 53BP1 was also observed in the VZ (Fig. 4J–Q). Thus, although DSBs likely formed at DNA replication sites during S phase in both wild-type and Pol\beta-deficient neural progenitors, it seems that most of these DSBs remain unrepaired until the next cell cycle in the  $Pol\beta$ -deficient neural progenitors or postmitotic neurons.

Because it is known that a genome guardian p53 mediates apoptosis induced by DSBs in cortical neurons (Frank et al., 2000; Gao et al., 2000), we examined  $\gamma$ H2AX foci formation in  $Pol\beta^{del/del}p53^{-/-}$  mice. As the loss of p53 completely suppresses neuronal apoptosis in  $Pol\beta^{-/-}$  mice (Sugo et al., 2004), apoptosis could be inhibited

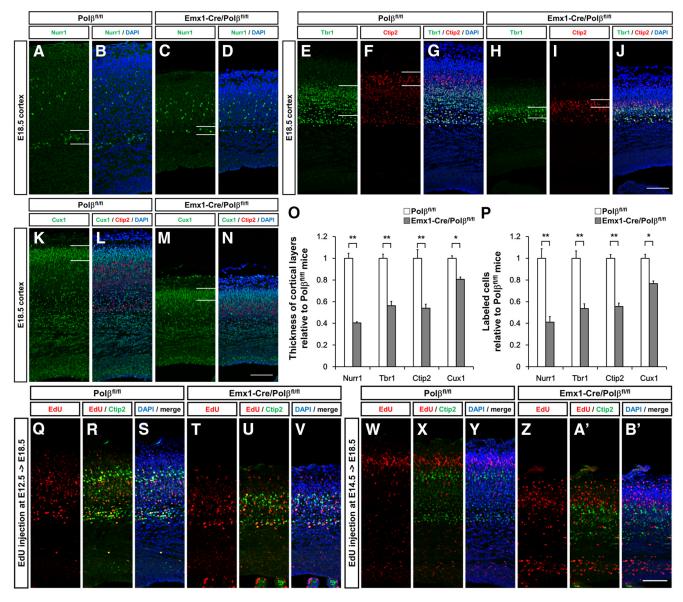


Figure 5. Polβ deficiency preferentially reduces the survival of deep-layer neurons in the developing cortex. Immunohistochemistry was performed with anti-Nurr1 (A-D), anti-Tbr1 (E, G, H, J), anti-Ctip2 (F, G, I, J, L, N), and anti-Cux1 (K-N) antibodies in E18.5  $Pol\beta^{fl/fl}$  (A, B, E-G, K, L) and  $Emx1-Cre/Pol\beta^{fl/fl}$  (C, D, H-J, M, N) cortex. Nuclei were stained with DAPI (B, D, G, J, L, N). Scale bars, 100  $\mu$ m. O, The thickness of each marker-positive layer in  $Emx1-Cre/Pol\beta^{fl/fl}$  mice relative to  $Pol\beta^{fl/fl}$  mice. Data are mean  $\pm$  SEM from at least three different brains. Significant difference from  $Pol\beta^{fl/fl}$  mice: \*p < 0.05 (Student's t test); \*\*p < 0.01 (Student's t test). P, The number of layer marker-positive cells in each cortical layer of  $Emx1-Cre/Pol\beta^{fl/fl}$  mice relative to  $Pol\beta^{fl/fl}$  mice. The columns analyzed were 270  $\mu$ m wide. Data are mean  $\pm$  SEM from at least three different brains. Significant difference from  $Pol\beta^{fl/fl}$  mice: \*p < 0.05 (Student's t test); \*\*p < 0.01 (Student's t test). EdU staining (Q-Z, A', B') and immunohistochemistry for Ctip2 (R, S, U, V, X, Y, A', B') were performed in E18.5  $Pol\beta^{fl/fl}$  (Q-S, W-Y) and  $Emx1-Cre/Pol\beta^{fl/fl}$  (T-V, Z, A', B') cortex. Nuclei were stained with DAPI (S, V, Y, B'). Scale bar, 100  $\mu$ m.

in  $Pol\beta^{del/del}p53^{-/-}$  mice if DNA damage is due to a lack of  $Pol\beta$  induced apoptosis. We found that, indeed, apoptosis was completely attenuated in the CP of  $Pol\beta^{del/del}p53^{-/-}$  cortex, despite extensive DSB formation (Fig. 4R-U). Together, these results indicate that  $Pol\beta$  deficiency leads to increased DSB formation in neural progenitors and the subsequent activation of the p53-mediated apoptotic pathway in postmitotic neurons.

## Pol $oldsymbol{eta}$ deficiency differently affects cell viability in each cortical layer

Although cortical neurons show extensive apoptosis in Emx1- $Cre/Pol\beta^{fl/fl}$  mice, a substantial number of neurons are still viable in the CP (Fig. 2). To investigate the impact of Pol $\beta$  deficiency on cortical cytoarchitecture, immunohistochemistry was performed with antibodies for layer-specific molecules in  $Pol\beta^{fl/fl}$ 

and Emx1- $Cre/Pol\beta^{fl/fl}$  mice at E18.5. Nurr1 (Fig. 5A-D), Tbr1 (Fig. 5E, G, H, J), Ctip2 (Fig. 5F, G, I, J), and Cux1 (Fig. 5K-N) antibodies were used for subplate, layer VI, layer V, and layer II-IV markers, respectively (Hevner et al., 2001; Arimatsu et al., 2003; Nieto et al., 2004; Arlotta et al., 2005). Although the laminar structure formed normally in Emx1- $Cre/Pol\beta^{fl/fl}$  mice, the thickness and the number of cells in each layer was significantly lower in Emx1- $Cre/Pol\beta^{fl/fl}$  (Fig. 5C, D, H-J, M, N) than in  $Pol\beta^{fl/fl}$  cortex (Fig. 5A, B, E-G, K, L). The extent of reduction was more prominent in the Nurr1-, Tbr1-, and Ctip2-positive deep layers than in the Cux1-positive upper layers (Fig. 5O; Nurr1:  $0.4 \pm 0.011$ , p = 0.0094, Tbr1:  $0.56 \pm 0.04$ , p = 0.001, Ctip2:  $0.54 \pm 0.038$ , p = 0.008, Cux1:  $0.81 \pm 0.021$ , p = 0.023, t test; Fig. 5P; Nurr1:  $0.41 \pm 0.052$ , p = 0.00014, Tbr1:  $0.54 \pm 0.043$ , p = 0.0008, Ctip2:  $0.56 \pm 0.032$ , p = 0.0061, Cux1:  $0.77 \pm 0.023$ ,

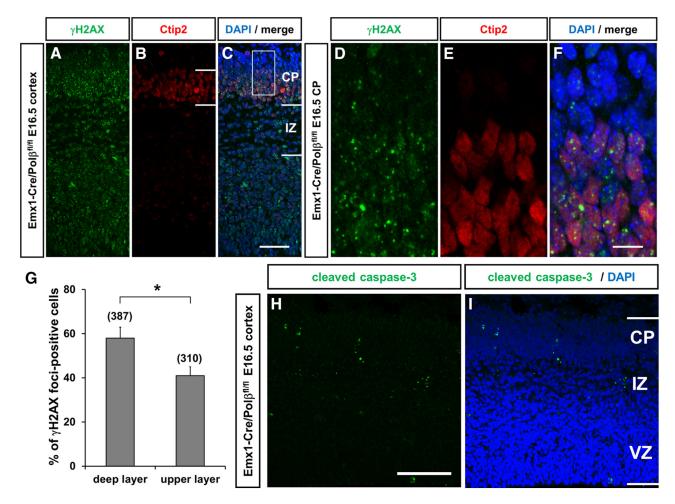


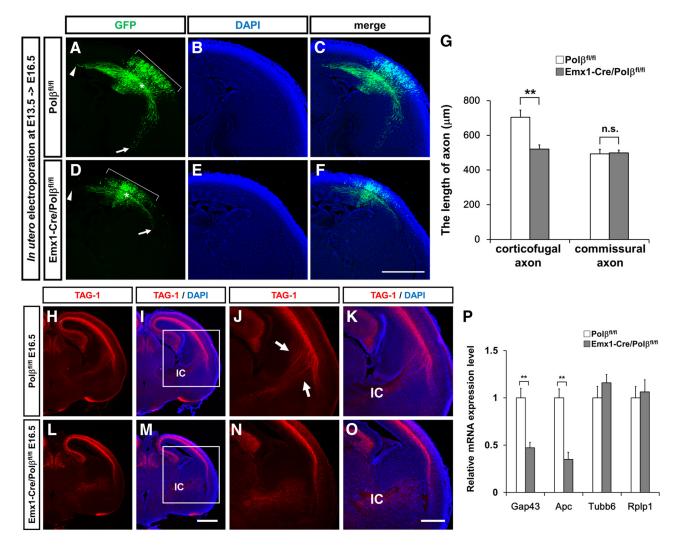
Figure 6. DSBs are relatively accumulated in deep-layer neurons compared with upper-layer neurons in Polβ-deficient cortex. Immunohistochemistry was performed with anti- $\gamma$ H2AX (A, C, D, F) and Ctip2 (B, C, E, F) antibodies in E16.5 Emx1- $Cre/Polβ^{fl/fl}$  cortex. Nuclei were stained with DAPI (C, F). Scale bar, 100  $\mu$ m. D-F, Magnified images of the boxed area in C. Scale bar, 10  $\mu$ m. C, Quantitative analysis of  $\gamma$ H2AX foci-positive cells in the Ctip2-positive deep and -negative upper layers of E16.5 Emx1- $Cre/Polβ^{fl/fl}$  cortex. Values in parentheses are the number of neurons analyzed. Error bars indicate the mean  $\pm$  SEM from three different brains. Significant difference between the layers: \*P < 0.05 (Student's P test). Immunohistochemistry was performed with anticleaved caspase-3 antibody (P, P) in E16.5 Emx1- $Cre/Polβ^{fl/fl}$  cortex. Nuclei were stained with DAPI (P). Scale bar, 100  $\mu$ m.

p = 0.031, t test). Furthermore, to examine the possibility that Pol $\beta$  may affect cell cycle and neuronal laminar fate, we performed birth date labeling with EdU. In accordance with previous reports (Angevine and Sidman, 1961; Takahashi et al., 1995), early-born neurons labeled with EdU at E12.5 were mainly located in Ctip2-positive deep layers (Fig. 5Q–V), and late-born neurons labeled at E14.5 were present in the upper layers at E18.5 in both  $Pol\beta^{fl/fl}$  and Emx1- $Cre/Pol\beta^{fl/fl}$  mice (Fig. 5W–Z,A',B'). Together, these results suggested that deep-layer neurons undergo more extensive apoptosis compared with upper-layer neurons, which resulted in deep layers that were thinner than upper layers in Pol $\beta$ -deficient cortex.

To further examine whether the extensive apoptosis in the deep-layer neurons is related to the extent of DSBs, the number of  $\gamma$ H2AX foci-positive cells was counted in Emx1- $Cre/Pol\beta^{fl/fl}$  mice at E16.5 (Fig. 6A–G), when only a minor amount of cleaved caspase-3-positive apoptosis was observed in the CP (Fig. 6H,I). We found that  $\gamma$ H2AX foci-positive cells were densely distributed in the Ctip2-positive deep layers (Fig. 6A–F). The ratio of  $\gamma$ H2AX foci-positive cells was significantly higher in Ctip2-positive deep-layer neurons (58  $\pm$  4.9%) than in Ctip2-negative upper-layer neurons (41  $\pm$  4.5%) (Fig. 6G; p=0.0284, t test), suggesting the possibility that extensive apoptosis in the CP accompanies increased DSBs.

## Pol $\beta$ deficiency affects axonal growth in a subset of deep-layer neurons

In *Emx1-Cre/Polβ*<sup>fl/fl</sup> mice, DSBs were frequently observed, even in surviving neurons in the CP (Fig. 3). Such DSB may also affect cortical cell differentiation. We asked whether the DSBs affect axon formation in damaged deep-layer neurons (approximately layer V) in Emx1- $Cre/Pol\beta^{fl/fl}$  mice. To observe axons originating from the deep-layer cells, EGFP plasmid was transfected into VZ cells by in utero electroporation at E13.5, when these cells are mostly destined to become deep-layer neurons. Then, axonal projection was examined at E16.5, when initial axonal projections have been formed. In  $Pol\beta^{fl/fl}$  mice, the majority of EGFPlabeled neurons were localized in deep layer and extended their axons toward the corpus callosum or internal capsule (Fig. 7A-C) (Custo Greig et al., 2013). Similarly, callosal and subcortical projections were observed in Emx1- $Cre/Pol\beta^{fl/fl}$  mice, but the length of corticofugal axons was significantly shorter than in Polpfills mice (Fig. 7D-G;  $Pol\beta^{fl/fl}$  vs Emx1-Cre/ $Pol\beta^{fl/fl}$ : 704  $\pm$  41  $\mu$ m,  $520 \pm 27 \mu \text{m}$ , p = 0.008, U test). In contrast, the commissural axonal growth was indistinguishable between Pol\(\beta^{fl/fl}\) and \(Emx1\)- $Cre/Pol\beta^{fl/fl}$  mice (Fig. 7D-G). Consistent with this analysis, immunohistochemistry with anti-TAG1 antibody also demonstrated corticofugal axonal growth defects in Emx1-Cre/PolB<sup>fl/fl</sup> mice (Fig. 7H-O) (Denaxa et al., 2001). TAG1-positive axons



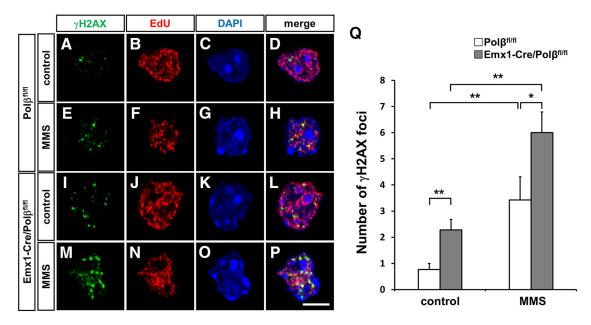
**Figure 7.** Polβ deficiency affects corticofugal axonal growth. Cortical layer IV neurons were labeled with EGFP by *in utero* electroporation at E13.5 and analyzed at E16.5. Immunohistochemical analyses were performed with anti-GFP antibody (A, C, D, F) in  $Pol\beta^{fl/fl}$  (A–C) and Emx1- $Cre/Pol\beta^{fl/fl}$  mice (D–F). Nuclei were stained with DAPI (B, C, E, F). Scale bar, 500  $\mu$ m. Frames indicate electroporated area. Asterisks indicate the center of electroporated area. Arrow and arrowhead indicate tips of corticofugal axons and commissural axons, respectively. G, Quantitative analysis of the length of axons was calculated from the center of each electroporated area to tips of axons in  $Pol\beta^{fl/fl}$  and Emx1- $Cre/Pol\beta^{fl/fl}$  mice. \*\*p< 0.01 (Mann—Whitney U test). Values indicate the mean  $\pm$  SEM from 11 sections of 4  $Pol\beta^{fl/fl}$  brains or 16 sections of 5 Emx1- $Cre/Pol\beta^{fl/fl}$  brains. Immunohistochemistry was performed with anti-TAG-1 antibody (H–O) in E16.5  $Pol\beta^{fl/fl}$  (H–K) and Emx1- $Cre/Pol\beta^{fl/fl}$  (L–O) forebrain. Nuclei were stained with DAPI (I, K, M, O). Scale bar, 500  $\mu$ m. J, K, N, O, Magnified images of the boxed area in I and M, respectively. Scale bar, 300  $\mu$ m. IC, Internal capsule. Arrows indicate TAG-1-positive axons. P, Relative gene expression of Gap43, Apc, Tubb6, and Rplp1 was examined by qRT-PCR in E14.5  $Pol\beta^{fl/fl}$  and Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx1-Emx

were clearly observed in the internal capsule of E16.5  $Pol\beta^{fl/fl}$  mice, but few were observable in  $Emx1-Cre/Pol\beta^{fl/fl}$  mice (Fig. 7L,N). In accordance with this result, qRT-PCR analysis of the embryonic cortex demonstrated that expression of axon growth-related genes, such as Gap43 and Apc, was downregulated in  $Emx1-Cre/Pol\beta^{fl/fl}$  compared with  $Pol\beta^{fl/fl}$  mice (Fig. 7P; Gap43:  $0.47\pm0.058, p=0.0015, {\rm Apc}: 0.35\pm0.075, p=0.00074, t$  test) (Kruger et al., 1998; Shi et al., 2004). These results demonstrate that axonal extension of subcortically projecting deep-layer neurons is severely affected in  $Pol\beta$  deficient cortex, suggesting that the genome instability leads to neural defects even in the subset of cortical cells that escape from cell death.

## Pol $\beta$ deficiency increases DSBs arising from base damage and DNA demethylation intermediates

Finally, we investigated the mechanistic basis for DSB induction in neural progenitors. Pol $\beta$  deficiency is generally thought to increase nicks and gaps as single-strand breaks (SSBs) (Wilson et

al., 2000). However, we found that DSBs arose during replication in neural progenitors (see above). SSBs may be converted to DSBs due to replication fork collapse in S phase (Kuzminov, 2001). To test this possibility, cultured cortical progenitor cells were treated with the alkylating agent MMS for 1 h. MMS treatment produces base damage that yields substrates for BER (Beranek, 1990). After the treatment, we observed DSB formation using immunocytochemistry with anti-\gammaH2AX antibody (Fig. 8A-P). Similar to in vivo analysis, the control culture revealed that the number of  $\gamma$ H2AX foci was greater in EdU-positive  $Emx1-Cre/Pol\beta^{fl/fl}$  cells (Fig. 8*I*–*L*, *Q*; mean number of  $\gamma$ H2AX foci: 2.3  $\pm$  0.39) than in  $Pol\beta^{fl/fl}$  cells (Fig. 8A-D,Q; 0.8  $\pm$  0.24). Importantly, the MMS treatment significantly increased the number of vH2AX foci in Emx1- $Cre/Pol\beta^{fl/fl}$  cells (Fig. 8M–Q; 6.0  $\pm$  0.79) relative to  $Pol\beta^{fl/fl}$  cells (Fig. 8*E*–*H*,*Q*; 3.4 ± 0.89, control  $Pol\beta^{fl/fl}$  cells vs control Emx1- $Cre/Pol\beta^{fl/fl}$  cells: p = 0.0024, t test, MMS treated  $Pol\beta^{fl/fl}$  cells vs MMS treated Emx1- $Cre/Pol\beta^{fl/fl}$  cells: p = 0.023, ttest, control vs MMS treated  $Pol\beta^{fl/fl}$  cells: p = 0.0025, t test,



**Figure 8.** Pol  $\beta$  deficiency in neural progenitors increases DSBs following MMS treatment. EdU staining (B, D, F, H, J, L, N, P) and immunocytochemistry for  $\gamma$ H2AX (A, D, E, H, I, L, M, P) were performed in cultured neural progenitors from E14.5  $Pol \beta^{n/n}$  (A–H) and Emx1- $Cre/Pol \beta^{n/n}$  (I–P) cortex. Nuclei were stained with DAPI (C, D, C, D, C, D, C). The cells were untreated (A–D, I–I) or treated with 1 mM MMS (E–I, I). Scale bar, 5 I, I. Q, Histogram shows the number of I12 I2 I3 I4 I3 I5 I5 I6 I7 I7 I7 I7 I7 I8 I7 I9 I7 I9 I9 I16 I9 I17 I9 I18 I18 I19 I1

control vs MMS treated Emx1- $Cre/Pol\beta^{fl/fl}$  cells: p = 0.00029, t test). This result indicates that base damage is frequently converted to DSBs during replication in  $Pol\beta$ -deficient neural progenitors.

In mammalian active DNA demethylation, TET1 converts 5mC to specific base modifications, such as 5hmC, leading to its replacement by BER (Bhutani et al., 2011). Because it is suggested that Pol $\beta$  is involved in this process (Weber et al., 2016), we investigated whether the epigenetic base modification is removed by BER in Pol $\beta$ -deficient cultured cortical progenitor cells. For this, cultured cortical cells were treated with vitamin C for 24 h, following the previous observation that vitamin C increases the level of 5hmC by activating TET1 (Blaschke et al., 2013). As expected, immunoblot analysis with anti-5hmC antibody showed increased levels of 5hmC in genomic DNA after vitamin C treatment (Fig. 9B), whereas the levels of 5mC in genomic DNA were approximately similar between E14.5 PolBfl/fl and Emx1-Cre/  $Pol\beta^{fl/fl}$  cortex (Fig. 9A). In  $Pol\beta^{fl/fl}$  cells, the number of  $\gamma$ H2AX foci in Nestin-positive cells was unchanged with or without the treatment (Fig. 9C–I,S). On the other hand, vitamin C treatment significantly increased the number of vH2AX foci relative to the control in Nestin-positive Emx1-Cre/Pol\(\beta^{fl/fl}\) cells (Fig. 9K-S; control vs vitamin C-treated Emx1- $Cre/Pol\beta^{fl/fl}$  cells:  $0.58 \pm 0.2$ ,  $1.25 \pm 0.24$ , p = 0.036, t test; vitamin C-treated  $Pol\beta^{fl/fl}$  vs Emx1- $Cre/Pol\beta^{fl/fl}$  cells:  $0.33 \pm 0.12$ ,  $1.25 \pm 0.24$ , p = 0.001, t test). This result suggests that Pol\beta-dependent BER is necessary for the DNA demethylation process in neural progenitors, thereby contributing to the competence of neural progenitors.

#### Discussion

In the present study, we demonstrated that the loss of  $Pol\beta$  led to DSB formation in neural progenitors, and subsequently to p53-mediated apoptosis in postmitotic neurons, resulting in abnormal cortical layer formation. The DSBs also caused axon growth defects in a subset of surviving neurons. In addition, treatment of either MMS or vitamin C markedly enhanced DSB formation in cultured  $Pol\beta$ -deficient neural progenitors. These results suggest

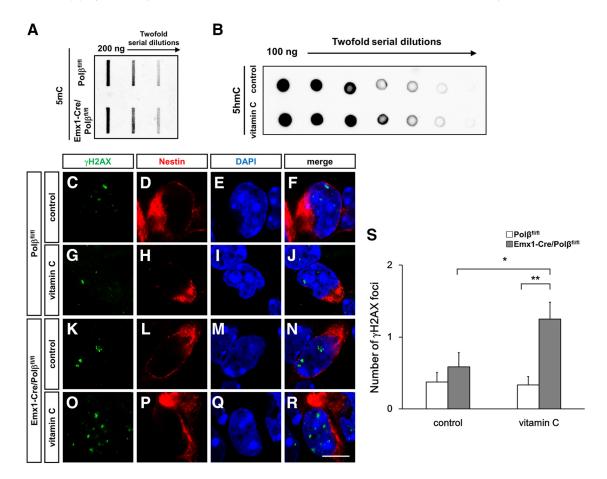
that pathological base damage and epigenetic base modification in neural progenitors cause neuronal developmental defects. Thus, genome stability by  $Pol\beta$ -dependent BER is required for the competence of neural progenitors, thereby contributing to cortical development.

## Pol $\beta$ -dependent BER is required for neural progenitors rather than postmitotic neurons in the developing cortex

Pol $\beta$  is thought to be required for genome stability in postmitotic neurons because the alternative proliferating cell nuclear antigendependent long patch BER pathway does not function in neurons (Wei and Englander, 2008; Akbari et al., 2009; Sykora et al., 2013). Indeed, the previous and present results demonstrate that massive neuronal apoptosis occurs in Pol $\beta$ -deficient mice (Sugo et al., 2000). However, the present findings provide a new insight that genome stability by Pol $\beta$ -dependent BER is more important in neural progenitors than in postmitotic neurons in the developing cortex.

The present study revealed that yH2AX foci-positive DSBs are localized at DNA replication sites and accumulate in Pol $\beta$ deficient neural progenitors, even though  $Pol\beta$  is expressed ubiquitously and independently in the cell cycle (Figs. 3, 4) (Zmudzka et al., 1988). Although Pol $\beta$  is not involved in the DSB repair pathway, SSBs (BER intermediates) may give rise to DSBs during DNA replication in Polβ-deficient neural progenitors. This would not be surprising, as SSBs are known to be converted to DSBs by replication fork collapse (Kuzminov, 2001). Consistent with our findings in cultured neural progenitors (Fig. 8), Pol\beta-deficient MEF cells show increased yH2AX foci at S phase and chromatid breaks at M phase in response to MMS treatment (Sobol et al., 2003; Pascucci et al., 2005; Senejani et al., 2012; Ensminger et al., 2014). Moreover, similar to Pol $\beta$ -deficient mice, neural progenitors deficient in other BER-related components (such as Xrcc1 and Pnkp), which are thought to accumulate SSBs, also show increased DSBs in the developing cortex (Lee et al., 2009; Shimada et al., 2015).

We also found a small number of DSBs in wild-type neural progenitors, but not in postmitotic neurons (Fig. 3). The DSBs



**Figure 9.** Accumulation of the DNA demethylation intermediate 5hmC increases DSBs in Polβ-deficient neural progenitors. **A**, Immunoblot analysis shows 5mC global levels in genomic DNA from E14.5  $Pol\beta^{fl/fl}$  and  $Emx1-Cre/Pol\beta^{fl/fl}$  mouse cortex. **B**, Immunoblot analysis shows global 5hmC levels in genomic DNA. Dissociated cell cultures from E14.5 cortices were incubated with or without vitamin C for 24 h. Immunocytochemistry was performed with anti- $\gamma$ H2AX (**C**, **F**, **G**, **J**, **K**, **N**, **O**, **R**) and anti-Nestin (**D**, **F**, **H**, **J**, **L**, **N**, **P**, **R**) antibodies in dissociated cells from E14.5  $Pol\beta^{fl/fl}$  (**C**–**J**) and  $Emx1-Cre/Pol\beta^{fl/fl}$  mouse cortex (**K**–**R**). Nuclei were stained with DAPI (**E**, **F**, **I**, **J**, **M**, **N**, **Q**, **R**). The cells were untreated (**C**–**F**, **K**–**N**) or treated with 100  $\mu$ g/ml vitamin C (**G**–**J**, **O**–**R**). Scale bar, 5  $\mu$ m. **5**, Histogram showing the number of  $\gamma$ H2AX foci in individual Nestin-positive cells. Values indicate the mean  $\pm$  SEM from 24  $Pol\beta^{fl/fl}$  or  $Emx1-Cre/Pol\beta^{fl/fl}$  cells. Asterisks indicate a significant difference (\*p < 0.05, \*\*p < 0.01, Student's t test).

were, furthermore, predominantly observed in S phase in wildtype, similar to Pol $\beta$ -deficient neural progenitors (Fig. 4). Therefore, SSBs, perhaps due to insufficient BER, may promote genome instability even in wild-type neural progenitors.

#### Neuronal apoptosis in Pol $\beta$ -deficient developing cortex

Previous studies have shown that apoptosis occurs in the developing cortex, although the extent observed varied between different detection methods and developmental stages (Ferrer et al., 1992; Blaschke et al., 1996; Yang et al., 2004; Depaepe et al., 2005). These findings raised the hypothesis that an overproduction of neurons and the subsequent elimination processes are required for normal cortical development (Kuan et al., 2000). Contrary to these observations, it is unlikely that Pol $\beta$ -dependent BER is involved in developmental apoptosis. Indeed, the present study showed that this pathway is crucial to maintain cellular homeostasis by repairing pathological and physiological DNA damage in neural progenitors (Figs. 8, 9).

The formation of DSBs as a result of  $Pol\beta$  deficiency occurs in neural progenitors, and the consequent apoptosis was mainly observed in the CP (Figs. 2, 3). These results indicate that, although neural progenitors accumulate many DSBs due to  $Pol\beta$  deficiency, they do not undergo apoptosis. It is known that DSBs activate ataxia telangiectasia-mutated signaling, which induces neuronal apoptosis via phosphorylation

of p53 in the CP (Lee et al., 2001). Indeed, a previous report and our current results showed that p53 deficiency completely rescued apoptotic phenotype in Polβ-deficient mice (Fig. 4) (Sugo et al., 2004). The difference in apoptosis may be related to the accumulated level of phosphorylated p53 in apoptotic cells and nonapoptotic cells. Supporting this notion, the reduction of p53 phosphorylation level attenuates neuronal apoptosis in  $Pol\beta^{-/-}p53^{+/-}$  mice (Sugo et al., 2004; Sugo et al., 2007). Thus, these data suggest that the accumulation level of phosphorylated p53 is insufficient to induce damage-related apoptosis in neural progenitors.

Similarly to Pol $\beta$ -deficient mice, mice deficient in nonhomologous end-joining (NHEJ) also show aberrant apoptosis in postmitotic neurons and subsequent microcephaly that are identical to the corresponding human mutants (Barnes et al., 1998; Gao et al., 1998; O'Driscoll et al., 2001; Gatz et al., 2011). The phenotypic similarity between Pol $\beta$  and NHEJ-deficient mice may be attributed to DSB-induced apoptosis in the IZ and CP, rather than less proliferation and neurogenesis in the VZ.

### $Pol \beta$ deficiency affects cortical layer formation and axonal growth

The present results demonstrate that DSBs lead to apoptosis in postmitotic cells in the CP and result in thinner cortical layers. On the other hand, the laminar profile of  $Pol\beta$ -deficient cortex

seems to be normal (Fig. 5), suggesting that abundant DSBs may not influence laminar fate and cell cycle progression in Pol $\beta$ -deficient neural progenitors (Fig. 3). However, DSB formation was different between layers: DSBs were found more abundantly in deep-layer neurons than upper-layer neurons in Pol $\beta$ -deficient mice (Fig. 6). One possibility is that early neural progenitors may not have enough time to repair DSBs by NHEJ in  $G_1$  phase, because the cell cycle length of  $G_1$  phase is shorter in early neural progenitors than that in late progenitors (Caviness et al., 1995).

Axonal growth was also affected in Pol $\beta$ -deficient cortex (Fig. 7). It is likely that DSB-containing neurons can survive but may have some deficiencies in axon growth property. Indeed, the expression of the axon growth-related genes Gap43 and Apc was downregulated (Fig. 7), suggesting a transcriptional change induced by DSBs affects axonal growth in Pol $\beta$ -deficient cortex. Furthermore, subcortical, but not callosal, projection neurons in deep layers displayed severe axonal growth defects in Pol\(\beta\)deficient cortex (Fig. 7), indicating that DSBs caused by  $Pol\beta$ deficiency affect axonal growth in a subset of neurons. In accordance with this view, a previous study demonstrated that only specific tracts, such as thalamocortical projections and anterior commissure, show abnormalities in  $Pol\beta^{-/-}p53^{-/-}$  mice (Sugo et al., 2004). Therefore, a cell-type-specific aspect may produce different extents of DSB formation in  $Pol\beta$ -deficient cortex, resulting in cell-type-specific phenotypes in developing neurons.

## Pol $\beta$ -dependent BER is necessary for DNA demethylation process in neural progenitors

DNA methylation level in the neuronal gene promoter and/or enhancer regions influences neuronal development (Fan et al., 2001; Tyssowski et al., 2014). Analyses of DNA methylation patterns reveal that active DNA demethylation via BER changes its patterns during neuronal development (Cortázar et al., 2011; Cortellino et al., 2011; Wheldon et al., 2014). In this study, we showed that DNA demethylation intermediates induced DSB formation in  $Pol\beta$ -deficient neural progenitors (Fig. 9). Our finding provides the genetic evidence that  $Pol\beta$  is an essential enzyme in these DNA demethylation processes. Although the endogenous source of DSBs in the developing cortex remains a controversial issue (Karanjawala et al., 2002), the physiological DNA demethylation process in neural progenitors may be susceptible to genome instability during cortical development. Moreover, if the DSBs are fixed by error-prone NHEJ, which is the only DSB repair pathway in neurons, insertions/deletions are frequently introduced in neuronal gene promoter and/or enhancer regions (Lu et al., 2004; Lieber, 2010). These DSBs and/or DSB-induced de novo mutations may perturb transcription of neuronal genes (Sharma et al., 2016). Together, our findings suggest that neuronal differentiation is dependent on DNA demethylation via Pol $\beta$ dependent BER in neural progenitors.

#### The impact of Pol $oldsymbol{eta}$ deficiency on cortical development and function

Together, these data suggest the following paradigm. DNA base damage is formed in neural progenitors by not only pathological damage but also epigenetic modification of the genome. In normal development, the DNA base damage can be repaired by the Pol $\beta$ -dependent BER pathway. In particular, the BER completes DNA demethylation, promoting gene expression. But, in the absence of Pol $\beta$ , DNA gaps may be retained and converted into cytotoxic DSBs by replication, so that DSBs accumulate in postmitotic neurons. Based on the extent of DSBs, various phenotypes will appear in cortical development. Some neurons with a

large extent of DSBs undergo apoptosis, whereas other neurons with the lesser extent can survive but exhibit abnormal differentiation. Thus, our findings provide a new insight that regulation of genome stability by  $Pol\beta$ -dependent BER in neural progenitors is crucial for neuronal differentiation in cortical development.

Several developmental defects observed in Pol $\beta$ -deficient cortex are suspected to lead to brain dysfunction. Individuals with NHEJ gene mutations demonstrate intellectual disability related to microcephaly (Rosin et al., 2015). It is likely that accumulation of DSBs and/or DSB-induced *de novo* mutations in Pol $\beta$ -deficient cortex leads to cortical developmental disorders. Pol $\beta$  polymorphisms have not been identified in the CNS, although several mutations in BER-related genes are identified to be associated with human brain dysfunction (Date et al., 2001; Takashima et al., 2002; Shen et al., 2010; Sobol, 2012; Hoch et al., 2017).

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