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Cell Death in the Lung: The Apoptosis-Necroptosis Axis

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Abstract

Regulated cell death is a major mechanism to eliminate damaged, infected, or superfluous cells. Previously, apoptosis was thought to be the only regulated cell death mechanism; however, new modalities of caspase-independent regulated cell death have been identified, including necroptosis, pyroptosis, and autophagic cell death. As an understanding of the cellular mechanisms that mediate regulated cell death continues to grow, there is increasing evidence that these pathways are implicated in the pathogenesis of many pulmonary disorders. This review summarizes our understanding of regulated cell death as it pertains to the pathogenesis of chronic obstructive pulmonary disease, asthma, idiopathic pulmonary fibrosis, acute respiratory distress syndrome, and pulmonary arterial hypertension.

Keywords

apoptosis; necroptosis; idiopathic pulmonary fibrosis; chronic obstructive pulmonary disease; asthma; pulmonary arterial hypertension; acute respiratory distress syndrome

INTRODUCTION

The health and survival of multicellular organisms rely on the ability to eliminate damaged, infected, or superfluous cells. Therefore, multicellular organisms possess genetically encoded mechanisms via which cells can undergo regulated cell death (RCD). RCD occurs as part of normal physiologic programming, including organ development and epithelial renewal. It also occurs in cells that cannot mitigate stressors that threaten tissue homeostasis, e.g., cells infected by intracellular pathogens or cells encumbered by DNA damage, oxidative stress, and misfolded proteins.

Initially, investigators held the belief that there were only two forms of cell death, apoptosis and necrosis. Apoptosis is a metabolically active process in which cell death occurs with characteristic morphologic features that include cell membrane shrinkage, nuclear chromatin condensation, nuclear fragmentation, and plasma membrane blebbing. In contrast, necrosis occurs when cells die accidentally from extreme or rapid injury, resulting in the dissolution of the plasma membrane, cellular swelling, and the release of intracellular contents that

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promote inflammation (1–3). While these morphologic features remain relevant to understanding RCD, this dichotomous characterization of cell death has been elaborated, and now the term RCD encompasses multiple forms of active cell death with morphologic features that resemble apoptosis or necrosis (4).

Dysregulated RCD has been implicated in human disease. Excessive RCD causes tissue injury and destruction, whereas a failure of RCD is implicated in mutagenesis, impaired immunity, and autoimmune disease (5). Nowhere is the regulation of cell death more important than in the lung, an organ required to maintain a delicately thin network of epithelial-endothelial interfaces to allow for effective exchange of CO₂ for O₂, all while exposed to environmental stressors such as pathogens and aerosolized toxins. In this review, we summarize the current molecular understanding of RCD and focus on the role of RCD in five lung diseases: chronic obstructive pulmonary disease (COPD), asthma, idiopathic pulmonary fibrosis (IPF), acute respiratory distress syndrome (ARDS), and pulmonary arterial hypertension (PAH).

APOPTOSIS

Apoptosis is mediated by two signaling cascades: the intrinsic and extrinsic apoptosis pathways. Intrinsic apoptosis commonly occurs due to a disruption of cellular homeostasis (Figure 1), and extrinsic apoptosis occurs as a consequence of extracellular signaling via death receptors (Figure 2). While intrinsic and extrinsic apoptosis have unique initiating steps, both culminate in the activation of a set of cysteine proteases called caspases (6). Initiator caspases (e.g., caspase 8 or 9) begin a series of proteolytic steps that lead to activation of executioner caspases (e.g., caspase 3 or 7) (1). Executioner caspases cleave thousands of substrates and are responsible for the enzymatic degradation of organelles, DNA fragmentation, and characteristic phosphatidylserine exposure. In human lung tissue, apoptosis has been commonly assessed by ultrastructural analyses of cellular morphology via electron microscopy; measuring DNA fragmentation histologically by terminal dUTP nick end labeling (TUNEL); flow cytometry of isolated cells for assessment of phosphatidylserine exposure and cell viability with annexin V and propidium iodide, respectively; and immunohistochemistry of proteins involved in apoptosis (7, 8).

Mitochondrial outer membrane permeabilization (MOMP) commits cells to undergo intrinsic apoptosis (Figure 1). MOMP leads to the release of proapoptotic factors into the cytoplasm from the mitochondrial intermembrane space, including cytochrome c and second mitochondria-derived activator of caspase (SMAC). Cytochrome c binds apoptotic protease activating factor 1 (APAF1) which activates caspase 9, and SMAC neutralizes cytoplasmic inhibitors of apoptosis proteins (IAPs) that restrain caspase activation, e.g., X-linked inhibitor of apoptosis protein (XIAP) and survivin. Inhibition of caspases, either genetically or pharmacologically (e.g., Z-VAD-fmk), will only delay cell death, as intact mitochondria are necessary for cellular survival. Classically, MOMP was considered an all or nothing response; however, sublethal MOMP activation exists and is often associated with genomic instability (9).

MOMP is regulated by the B-cell lymphoma 2 (BCL2) family of proteins, which shares up to four BCL2 homologous domains (BH1–BH4) (4). Three categories of BCL2 proteins are involved in intrinsic apoptosis: (*a*) MOMP effectors that form pores across the outer mitochondrial membrane in response to apoptotic stimuli, including BCL2-associated X apoptosis regulator (BAX) and BCL2 antagonist/killer 1 (BAK); (*b*) proteins with only a BH3 motif (BH3-only) that promote apoptosis by binding and neutralizing prosurvival BCL2 proteins, including BCL2-associated death promoter (BAD), p53-upregulated binding component (PUMA), BCL2-like 11 (BIM), phorbol-12-myristate-13-acetate-induced protein (NOXA), and BH3-interacting domain death agonist (BID); and (*c*) BCL2 apoptosis inhibitors, including BCL2, B-cell lymphoma extra large (BCL-xL), and induced myeloid leukemia cell differentiation protein (MCL1). Pharmacologic BH3 mimetics have been developed to antagonize prosurvival BCL2 proteins and are currently in use for treatment of chronic lymphocytic leukemia or under investigation for treatment of other malignancies. Direct activators of BAX have also been developed (10).

In the lung, intrinsic apoptosis can occur as a consequence of growth factor withdrawal, oxidative stress, endoplasmic reticular (ER) stress, and DNA damage. Growth factors, cytokines, and other extracellular ligands can activate tyrosine kinase receptors and G protein–coupled receptors to upregulate prosurvival signaling cascades. For example, protein kinase B (AKT) is activated by the phosphorylation of phosphoinositide 3-kinase (PI3K) and negatively regulated by phosphatase and tensin homolog (PTEN) (11). Phosphorylated AKT directly inhibits BAD and caspase 9. AKT also inhibits transcription factors that upregulate proapoptotic BCL2 proteins, including the forkhead family of transcription factors (FOXO) and yes-associated protein (YAP). AKT also activates transcription factors that upregulate antiapoptotic BCL2 proteins, including cAMP response element-binding protein (CREB) and nuclear factor kappa B (NF-κB) (11, 12). Other signaling pathways that can promote cellular survival include extracellular regulated kinases (ERK1/2), Janus kinases (JAKs)/ signal transducer, and activator of transcription proteins (STATs) (13). In addition to growth factor withdrawal, there is a special type of apoptosis called anoikis in which the loss of integrin-dependent anchorage to the extracellular matrix triggers caspase activation (4, 14).

Oxidative stress is an important cause of intrinsic apoptosis. Although reactive oxygen species (ROS) are important for cell signaling, excessive levels lead to macromolecular damage and cell death. In the lung, oxidative stress occurs as a consequence of inhaled toxins such as cigarette smoke, ROS generation by inflammatory cells, and mitochondrial metabolism (15). Oxidative stress can decrease mitochondrial membrane potential, promoting MOMP. Oxidative stress also leads to activation of p38 mitogen—activated protein kinase (p38) and c-Jun N-terminal kinase (JNK) via apoptosis signal—regulating kinase 1 (ASK1). Both JNK and p38 can function as pro- or antiapoptotic mediators, depending on the context. In the lung, JNK activation from oxidative stress promotes apoptosis by facilitating cytochrome c release, activating BH3-only proteins, and inhibiting BCL2. Oxidative stress also contributes to ER stress and DNA damage.

ER stress is a consequence of excess protein misfolding, often due to oxidative stress, viral infection, or genetic mutations. In response to ER stress, cells activate the unfolded protein response (UPR), a protective mechanism to facilitate protein folding that includes

upregulation of protein chaperones, inhibition of mRNA translation, and promotion of the transport of misfolded ER proteins into the cytosol for ubiquitination and degradation (16). However, if ER stress is sufficiently severe or prolonged, the UPR leads to the degradation of prosurvival mRNAs, activation of JNK, upregulation of BH3-only proteins, and lowers mitochondrial membrane potential via the efflux of Ca²⁺ from the ER to the mitochondria.

DNA damage is also an important cause of intrinsic apoptosis. In response to DNA damage, various kinases phosphorylate and stabilize p53. The consequences of p53 stabilization are context specific and include DNA repair and cellular senescence. However, if DNA damage is sufficiently severe, p53 can mediate intrinsic apoptosis through the direct interaction with proapoptotic proteins such as PUMA and NOXA, and transcriptional upregulation of proapoptotic proteins including BIM, BAX, and APAF1.

Extrinsic apoptosis is triggered by the activation of death receptors. The best characterized death receptor is the Fas cell-surface death receptor, which can be activated by soluble or membrane-bound Fas ligand (FasL). In the lung, Fas is expressed by alveolar and bronchial epithelial cells, Clara cells, macrophages, and myofibroblasts. FasL is expressed on epithelial cells, neutrophils, monocytes, eosinophils, cytotoxic T cells, and platelets (17). Other well-studied death receptors include the tumor necrosis factor (TNF) receptor superfamily 10 (TRAILR1-4) and TNF receptor 1 (TNFR1), activated by TRAIL and TNFa, respectively (1, 2). Following ligand activation of Fas or TRAILR, a conformational change in the cytosolic tail of the receptor leads to the recruitment of Fas-associated death domain (FADD) and procaspase 8. Here, activation of caspase 8 is regulated by cellular FLICE-inhibitory proteins (cFLIPs). Short cFLIP isomers (cFLIPs) competitively inhibit caspase 8 activation, whereas long cFLIP isomers (cFLIP_L) promote caspase 8 activation (4) (Figure 2). The response to caspase 8 varies across cell types. In some cells, such as mature lymphocytes, activation of caspase 8 directly leads to proteolytic activation of executioner caspases and cell death in a mitochondrion-independent manner. In other cell types, the presence of IAPs antagonizes direct activation of apoptosis. In these cases, caspase 8 cleaves BID, and truncated BID migrates to the outer mitochondrial membrane where it promotes MOMP.

Ligand activation of TNFR1 is a variation of the Fas and TRAILR signaling pathway. Similar to Fas, activation of TRNF1 leads to the formation of a protein complex, often referred to as complex 1. This includes TNFR1-associated death domain (TRADD), the TNFR-associated factors (TRAFs), and receptor-interacting serine/threonine protein kinase 1 (RIPK1). The consequences of TNFR1 activation are dependent on RIPK1, which can be ubiquinated by IAPs and/or linear ubiquitin chain assembly complex (LUBAC). Ubiquinated RIPK1 promotes inflammation and cell survival by mitogen-activated protein kinase (MAPK) signaling and IκB kinase-dependent NF-κB activation. If IAPs are absent or inhibited, RIPK1 is deubiquitinated by cylindromatosis. Consequently, RIPK1 forms a complex with FADD and caspase 8 in the cytosol (complex 2). This complex is also regulated by cFLIP proteins and can lead to caspase 8 activation and apoptosis. However, in the absence of caspase 8, RIPK1 can lead to necroptosis (see below).

There is an alternative form of extrinsic MOMP activation. Cytotoxic T cells and natural killer cells secrete pore-forming proteins (perforin) and proteases (granzyme) into other cells to cause death. In humans, the proteolytic activity of granzymes include cleavage of BID, and granzyme-mediated cell death is characterized by MOMP and cytochrome c release.

Alternative Forms of Regulated Cell Death

Numerous alternative forms of cell death have now been described, and the number of different cell death mechanisms is likely to grow. While characterized discretely, the cellular mechanisms that underlie the various forms of RCD demonstrate a great deal of overlap and are commonly coregulated.

Necroptosis.—Necroptosis is a metabolically active form of RCD that results in a necrotic morphology. Necroptosis has been best characterized following ligation of death receptors, particularly TNFR1 (Figure 3) (5, 18, 19). In TNFR1-mediated necroptosis, RIPK1 phosphorylates RIPK3 to form an amyloid-like signaling complex called the necrosome. This process is aided by heat shock protein 90 and cell division cycle 37 (20). Upon assembly of the necrosome, RIPK3 phosphorylates mixed-lineage kinase domain-like pseudokinase (MLKL). Phosphorylated MLKL oligomerizes and translocates to the cell membrane to form plasma membrane pores that cause cells to swell (21). Pore formation also leads to the release of intracellular contents including danger-associated molecular patterns (DAMPs), such as high-mobility group box 1 (HMGB1), which promote inflammation (2, 22). RIPK3 also promotes inflammation during necroptosis independent of cellular pore formation through noncanonical activation of NF-κB, interleukin (IL)-1β, and IL-18. Activation of MLKL does not necessarily lead to necroptosis. Membrane damage by MLKL can be repaired by an endosomal sorting complex required for trafficking (ESCRT)-III that functions by releasing small vesicles of broken membranes (23). ESCRT-III may be important for cellular resistance to necroptotic cell death and/or a mechanism by which necroptosis can be delayed in order for cells to produce the appropriate repertoire of cytokines for immune activation.

There is significant cross-signaling between inflammatory, apoptotic, and necroptotic signaling pathways. Necroptosis following TNFR1 activation is favored over prosurvival inflammatory signaling cascades and extrinsic apoptosis when both IAPs and caspase 8 are absent/inhibited as a consequence of genetic deletion, pharmacologic inhibition, or viral proteins. The presence of IAPs promote RIPK1 ubiquination and consequentially promote NF- κ B activation and inflammation, rather than apoptosis or necrosis (as described above). The presence of caspase 8 promotes extrinsic apoptosis because the trimeric complex of FADD, cFLIP_L, and caspase 8 that forms upon TNFR1 activation inhibits RIPK1 from interacting with RIPK3 (24). Necroptosis can also be inhibited by protein phosphatase 1B, which dephosphorylates RIPK3, and aurora kinase A, which inhibits RIPK1-RIPK3 interactions (5, 25). Additionally, not all cell types express RIPK3, and in these cells, caspase inhibition simply prevents cell death mediated by extracellular ligands.

Activation of RIPK3 can occur independently of RIPK1 by DNA-dependent activator of interferon regulatory factor (DAI), which detects exogenous cytosolic DNA and Toll-like

receptors (TLRs) that utilize TIR-domain-containing adapter-inducing interferon-β (TRIF), including TLR3 which detects exogenous cytosolic double-stranded RNA (26, 27). Interferons can also promote necroptosis in a manner that may rely on JAK/STAT signaling (28). Unrestrained RIPK3 activation can be inhibited by inactivated RIPK1 via a molecular mechanism that is separate from its kinase function, highlighting the complex role of RIPK1 in the regulation of necroptosis (18, 29).

Pharmacologic inhibitors of necroptosis have been developed to study the role of necroptosis in inflammation and infection. The first such agent was the RIPK1 inhibitor necrostatin-1, which has been superseded by a more specific RIPK1 inhibitor 7-Cl-O-Nec-1. Other commonly used agents to study necroptosis are the RIPK3 inhibitor GSK'872 and the MLKL inhibitor necrosulfonamide (18). The use of necrostatin-1 and Ripk3-null mice have demonstrated that inhibition of necroptosis can reduce ischemia-reperfusion injury in hearts and kidneys and inflammation in murine models of colitis and sepsis (18, 30). The use of Ripk3-null mice as pharmacologic inhibitors of necroptosis has also demonstrated that necroptosis is important for the clearance of viruses that can survive in human cells by encoding antiapoptotic proteins. For example, caspase 8 inhibitors have been identified in adenoviruses and herpes family viruses; therefore, mice lacking Ripk3 have an impaired ability to control infections with these viruses. Additionally, DAMP release from cells undergoing necroptosis promotes activation of the adaptive immune responses that facilitate the elimination of viral infections, suggesting the importance of necroptosis in antiviral immunity. However, necroptosis is not universally beneficial, as certain pathogens can impair host immune responses by activating necroptosis in immune cells. It should be noted that the role of necroptosis in animal models of disease has come into question, as many studies have relied on inhibition of RIPK3 or RIPK1. Both of these proteins also promote inflammation, and subsequent studies in Mlkl-deficient mice have shown reduced effect or the opposite effect in similar animal models (30).

Pyroptosis.—Pyroptosis is an inflammatory form of cell death that was first described in infected phagocytosing cells, such as macrophages and dendritic cells. In pyroptosis, DAMPs activate intracellular sensors, such as Nod-like receptors, leading to the formation of a multiprotein complex called the inflammasome (Figure 4). The inflammasome can include caspase 1 that cleaves and activates the precursor forms of IL-1β and IL-18 (4). Notably, an important interface between inflammasome activation and necroptosis was established when it was identified that RIPK3 can facilitate caspase-mediated cleavage of IL-1β and IL-18. If the inflammasome is sufficiently activated, caspase 1 also cleaves gasdermin D to generate N-terminal fragments that form membrane pores that lead to cell death (31). This form of RCD is associated with plasma membrane rupture, cellular swelling, and chromatin condensation. Pyroptosis can inhibit the spread of intracellular bacteria by killing the host cells and forming pores in the bacteria itself, leading to clearance via efferocytosis (32). In addition to caspase 1 activation, caspase 4 and caspase 5 (caspase 11 in mice) are also involved in pyroptosis. In these cases, caspase 4/5/11 are directly activated by intracellular lipopolysaccharide (LPS), perhaps as a consequence of cellular invasion by a pathogen; therefore, they do not require activation of the inflammasome (33). Caspase 4/5/11

activation has been described in nonimmune cells, including endothelial cells. Pyroptosis can be primed by extracytoplasmic signaling by interferons, TLRs, and TNF-α.

Mitochondrial permeability transition—driven necrosis.—Mitochondrial permeability transition (MPT)—driven necrosis is an alternative form of cell death that can occur in the setting of extreme oxidative stress and calcium influx. Similar to intrinsic apoptosis, mitochondrial permeabilization occurs and is regulated by the BCL2 family of proteins. However, in MPT-driven necrosis, permeabilization occurs after the opening the permeability transition precomplex at the junction between the inner and outer mitochondrial membrane, resulting in a necrotic morphology. The exact mechanism via which MPT-driven necrosis occurs is unclear, but it is thought to involve cyclophilin D, as inhibitors of cyclophilin D protect against triggers of MPT-driven necrosis.

Lysosome-dependent cell death.—Lysosome-dependent cell death occurs when lysosomes release cathepsins into the cytosol, often due to oxidative damage to the lysosome membrane. These proteolytic cathepsins often activate proapoptotic proteins, leading to MOMP and intrinsic apoptosis. However, lysosome-dependent cell death can occur independently of MOMP and lead to a necrotic morphology.

Ferroptosis.—Ferroptosis is a form of cell death with a necrotic morphology and mitochondrial abnormalities that is triggered by severe lipid peroxidation; it occurs independently of apoptosis, necroptosis, and autophagy. Ferroptosis is negatively regulated by glutathione peroxidase 4 through its ability to prevent lipid peroxidation. Ferroptosis is also dependent on the presence of iron, as iron chelators can prevent this form of RCD.

Autophagy-dependent cell death.—Autophagy is a catabolic cellular mechanism in which proteins and/or organelles are targeted for lysosomal degradation as a cell-protective response. During conditions of nutrient deprivation or cellular stress, autophagy functions to promote homeostasis and bioenergetic efficiency through the recycling of cellular components. Autophagic cell death was initially coined to describe cell death associated with morphologic evidence of active autophagy, including extensive cytoplasmic vacuolization. However, these initial descriptions likely described cells in which autophagy and apoptosis occurred simultaneously, as similar conditions that induce autophagy can also induce apoptosis, including p53 activation, oxidative stress, and depletion of nutrients and growth factors. True autophagic cell death in which autophagy occurs independent of apoptosis or necroptosis is an extremely rare event. Though autophagy is an adaptive process, under certain conditions it can promote RCD, including Fas-mediated cell death, necroptosis, and ferroptosis (4).

In a low-nutrient environment, the presence of adenosine monophosphate (AMP) activates AMP-activated protein kinase (AMPK), which inhibits the molecular target of rapamycin (mTOR) complex 1 and activates autophagy proteins Beclin-1 and UNC-51-like kinase 1 (ULK1) (Figure 5). This process is negatively regulated by growth factors that activate mTOR via PI3K/AKT. Upon initiation, a membrane, called a phagophore, is formed around the cargo that is targeted for degradation to isolate it from the cytoplasm. Elongation of the phagophore requires the cooperation of multiple autophagy-related proteins. Ultimately, a

double-membraned autophagosomal structure around the cargo is formed, which fuses with the lysosome for degradation. Autophagy refers to the nonselective degradation of cytoplasmic components. However, specific degradation of organelles and proteins can occur, as in mitophagy in which damaged and depolarized mitochondria are targeted for lysosomal degradation. Mitophagy is regulated by Parkin and PTEN-induced putative kinase protein 1 (PINK1) (34).

CHRONIC OBSTRUCTIVE PULMONARY DISEASE

COPD is the third leading disease-related cause of death in the United States and is characterized by chronic bronchitis, the narrowing and loss of small airways (<2 mm), and parenchymal tissue destruction (emphysema). The most frequent modifiable risk factor for COPD is chronic exposure to cigarette smoke. However, COPD is a heterogeneous disease, and susceptibility among current and former smokers is modified by host factors that include genetics and age.

Multiple studies have demonstrated increased apoptosis in the lungs of patients with COPD. These studies have shown that apoptosis is more closely associated with emphysema and tissue destruction than it is to cigarette smoke exposure alone (7, 35, 36). In emphysema, apoptosis commonly occurs in the alveolar septum, in both epithelial cells and endothelial cells, although the relative burden of endothelial and epithelial apoptosis varies across studies. Rates of apoptosis in COPD also vary across studies but are commonly reported at approximately 1–5% of total parenchymal cells and/or at least twice the rate of apoptosis in normal lung tissue. This degree of cell death is too high for the decades-long trajectory of cigarette smoke–mediated lung disease, suggesting a role for inadequate tissue repair in emphysema pathogenesis. The high percentage of observed apoptotic cells may also occur as a consequence of ineffective macrophage efferocytosis in COPD (37).

Animal models have demonstrated the importance of apoptosis in the pathogenesis of COPD. Apoptosis is increased in cigarette smoke-exposed mice that develop emphysema (38). Intratracheal instillation of caspases is sufficient to cause murine emphysema, and the use of caspase inhibitors or the genetic deletion of caspase 3 mitigates cigarette smoke—induced inflammation and air space enlargement (39, 40). Induction of either endothelial or epithelial cell apoptosis is sufficient to cause murine emphysema, but it is not known whether epithelial or endothelial apoptosis is more relevant to the pathogenesis of emphysema in humans (41, 42).

Oxidative stress from cigarette smoke causes apoptosis in COPD. Measurements of pulmonary oxidative stress are increased in patients with COPD, and mice with a genetic deletion of *Nrf2*, a transcription factor for many antioxidant genes, are susceptible to cigarette smoke–mediated apoptosis and emphysema (43). Similarly, a genetic polymorphism in the Hedgehog-interacting protein (*HHIP*) has been identified as a risk factor for COPD, and *Hhip*-deficient mice are susceptible to oxidative stress–mediated apoptosis and airspace enlargement (44). Epigenetic changes due to reduced histone deacetylase activity may also contribute to susceptibility to oxidative stress-mediated apoptosis in COPD (45).

Cigarette smoke causes DNA damage and ER stress which can cause apoptosis. Lung cells from patients with COPD have increased DNA damage, shortened telomeres, and diminished capacity for DNA repair (46). In one study, telomerase-deficient mice were prone to emphysema, however, a difference in apoptosis was not observed (47). Various DNA repair genes are downregulated in COPD, and inhibition of genes involved in DNA repair can increase susceptibility to cigarette smoke—mediated apoptosis (48). Cigarette smoke also causes protein misfolding, impaired proteostasis, and activation of the UPR. However, it is unclear whether inadequate UPR or excess UPR-mediated apoptosis contributes to the pathogenesis of COPD (16, 49).

Inadequate growth factor signaling also contributes to apoptosis and emphysema pathogenesis. Vascular endothelial growth factor (VEGF) is decreased in COPD lungs, and VEGF inhibition in mice is sufficient to cause apoptosis and emphysema (50). Inadequate Wnt, hepatocyte growth factor, and adiponectin signaling have also been implicated (51, 52). Simultaneously, there is an upregulation of stress-induced proteins in COPD that amplify apoptosis. Cigarette smoke upregulates sphingolipids, including ceramides, that mediate proapoptotic effects in endothelial and epithelial cells of the lung (53). Cigarette smoke and caspase-3 also activate endothelial monocyte–activating protein II that promotes endothelial cell apoptosis (40). In type 2 epithelial cells, RTP801 is upregulated in response to cigarette smoke and promotes apoptosis in part via inhibition of mTOR (54). In one study, genetic depletion of RTP801 protected against cigarette smoke–mediated apoptosis and airspace enlargement in mice. However, mTOR inhibition is associated with decreased cellular senescence and increased longevity, and in other studies of the role of mTOR in COPD, mTOR inhibition protected against cigarette smoke–mediated apoptosis and emphysema, suggesting a complex role for mTOR in the pathogenesis of COPD (55).

Protease/antiprotease imbalance contributes to excess alveolar cell apoptosis in COPD. An inheritable deficiency of the neutrophil elastase inhibitor α –1 antitrysin (*SERPINA1*) is known to cause COPD. Even in COPD patients without *SERPINA1* mutations, oxidative stress and inflammatory cells inactivate antiproteases such as tissue inhibitor of metalloproteinase-1 (TIMP1) and mediate an increase in neutrophil elastases, proteinase-3, cathepsins, and matrix metalloproteinases. Proteases can activate apoptosis via binding to proteinase-activated receptors, leading to JNK activation and AKT inhibition (56). When taken up by cells, α –1 antitrysin is capable of inhibiting executioner caspases, a process that is impaired by cigarette smoke (57). Additionally, TIMP1 promotes antiapoptotic ERK and AKT signaling (58).

Inflammation can cause apoptosis in COPD. Elevated levels of TNF- α , Fas, and TRAILR found in COPD contribute to cell death and tissue destruction (58). Interferon- γ also can induce type 2 epithelial cell apoptosis in a process that is dependent on the activation of cathepsins and is only partially abrogated by the inhibition of caspases (59). In COPD, increased neutrophils and macrophages contribute to excess proteases and oxidative stress, while adaptive immune cells, such as CD8⁺ T cells and natural killer cells, mediate apoptosis of alveolar epithelial cells (60). Additionally, autoimmune-mediated apoptosis of endothelial cells directed by CD4⁺ T cells may also contribute to emphysema pathogenesis (61). The inflammatory milieu of the COPD lung can also inhibit apoptosis of immune cells,

such as the B cell–activating factor member of the TNF family of proteins that promotes B-cell survival and persistence of lymphoid follicles in the emphysematous lung (62).

Whereas inflammation contributes to COPD pathogenesis, COPD is associated with impaired innate immunity. For example, decreased macrophage migration inhibitory factor (MIF) and TLR4 signaling have been reported in patients with severe COPD. Similar to growth factor withdrawal, genetic deletion of these key innate immune proteins increases susceptibility to cigarette smoke—mediated apoptosis in mouse models (63–65). Inadequate antiviral responses also contribute to cell death in COPD. Susceptibility to viral infections contributes to COPD exacerbations and lung function decline, and Poly(I:C) (double-stranded RNA) in combination with cigarette smoke leads to accelerated alveolar cell apoptosis via the activation of the retinoic acid inducible gene-1 helicase system and downstream IL-18 signaling (66).

Autophagy has been implicated in the pathogenesis of emphysema and epithelial cell death. Increased activation of the autophagy pathway, particularly in epithelial cells and macrophages, has been identified in the lungs of patients with COPD. These studies have also identified that the autophagy-related protein LC3B associates with Fas to promote extrinsic apoptosis. Moreover, inhibition of key mediators of autophagy, including LC3B and Beclin-1, protects against cigarette smoke—mediated epithelial apoptosis and airspace enlargement (67). However, it remains unclear to what extent autophagy is detrimental in COPD or if autophagy is actually increased in COPD. Other studies have suggested that autophagy protects against cigarette smoke—mediated cellular senescence, and the observed increase in autophagy reflects a failure to complete the process of autophagy (68). These discrepant findings may reflect experiment differences or underscore the complex role of autophagy in COPD pathogenesis.

Studies of mitophagy have revealed an important role of necroptosis in COPD pathogenesis. Lungs from patients with COPD have increased expression of RIPK3, and the use of necrostatin-1 can mitigate neutrophilic inflammation in cigarette smoke—exposed mice. In these studies, necroptosis occurs as a consequence of mitophagy, as mice with genetic depletion of the mitophagy protein PINK1 or treated with the mitophagy inhibitor Mdivi-1 have decreased epithelial cell death and improved lung function in animal models of disease (69). Similar to autophagy, other groups have shown a protective role for mitophagy in mitigating cigarette smoke—mediated cellular senescence and airspace enlargement (70). Taken together, the divergent findings suggest an incompletely understood complexity of these cellular processes in COPD pathogenesis.

Though the role of pyroptosis in COPD is not addressed in this study, these findings suggest that the possible role of pathogenesis should be evaluated. Cigarette smoke activates caspase 1 and its downstream target molecules, IL-1 β and IL-18, and there is increased IL-1 β , IL-18, and ASC in the bronchoalveolar lavage (BAL), serum, and/or sputum of patients with COPD (71, 72). Inflammasome activators are also increased in the airway of patients with COPD, including ROS, extracellular ATP, and other DAMPs (73). However, a recent study of airway brushings showed no correlation between NLRP3, caspase 1, and IL-1 β and the severity of COPD (74). Inhibition of the inflammasome in rodents attenuates cigarette smoke–induced

inflammation but with minimal effect on emphysema. In addition, trials of pharmacologic inhibitors of inflammasome activation have not been beneficial in COPD patients to date (73).

Recently, a polymorphism in iron-responsive element—binding protein 2 (*IRP2*) was identified as a risk factor for COPD. Genetic deletion of *Irp2* or depletion of iron chelators protects mice from cell death and airspace enlargement (75).

ASTHMA

Asthma affects 25 million people in the United States and is characterized by variable airflow obstruction, airway hyperresponsiveness, and airway inflammation. Excess epithelial cell RCD and inadequate immune cell RCD may contribute to the pathogenesis of this disease.

Airway remodeling is a hallmark pathologic finding in asthma and occurs as a consequence of airway epithelial injury and increased airway permeability. Bronchial apoptosis has been hypothesized to contribute to airway injury and can be observed in some airway biopsies of asthmatic patients, particularly patients with severe disease (76, 77). Apoptotic bronchiole epithelial cell clusters (i.e., Creola bodies) have also been demonstrated in the BAL and sputum of asthmatic patients (78). Even in children with asthma, there is evidence of airway epithelial cell loss (79).

Epithelial apoptosis has been described in commonly used murine models of allergic asthma, including ovalbumin-challenged and house dust mite—challenged mice (80, 81), and the use of a pan-caspase inhibitor has been demonstrated to decrease airway inflammation (82). Lung epithelial cells can also recognize and internalize apoptotic cells, which may also be important in mitigating the consequences of cell death and asthma, as inhibition of epithelial clearance of apoptotic cells causes IL-33 dependent allergic inflammation in murine models of asthma (78). However, the relevance of airway epithelial apoptosis to the pathogenesis of asthma remains uncertain. Airway epithelial apoptosis has not been universally identified in murine models of asthma, and the degree to which pathologic airway epithelial cell apoptosis occurs in asthma remains unclear (83–85).

Multiple mechanisms have been implicated in mediating epithelial apoptosis in asthma, including oxidative stress and viral infection (86). Oxidative stress in asthmatic bronchial epithelial cells is a consequence of infiltrating immune cells and/or aerosolized toxins such as cigarette smoke, and airway epithelial cells from asthmatic patients have diminished antioxidant capacity (87, 88). Viral infections also contribute to asthma pathogenesis, and adenovirus, respiratory syncytial virus, and influence A virus can also induce airway epithelial cell death. However, it has also been demonstrated that asthmatic patients have an impaired antiviral response, particularly type 1 and type 2 interferons, and it has been hypothesized that this may allow certain viruses to proliferate in epithelial cells with consequential necrosis, the release of DAMPs, and persistent inflammation (89, 90).

Apoptosis via the extrinsic apoptosis pathway may also be relevant, given the presence of inflammatory cells in the airway. Fas and FasL are increased in the diseased airway of

asthmatics (91, 92). However, bronchial epithelial cells are relatively apoptosis resistant in contrast to immune cells, small airway epithelial cells, and alveolar epithelial cells. Although they express Fas, maximal activation of this receptor in vitro causes only a small increase in cell death (86, 93). Similarly, while segmental allergen challenge via bronchoscopy can increase epithelial TRAIL, and *Trail*-deficient mice are protected from allergic airway disease, there is no difference in apoptosis between *Trail*-deficient and wild-type mice in murine models of asthma (94, 95). Therefore, Fas and TRAIL may play a more inflammatory role in the airway epithelium in asthma in contrast to the role they play on more distal airway epithelial cells or immune cells.

Dysregulated apoptosis of leukocytes contributes to airway inflammation in asthma. Th2 T cells elaborate type 2 inflammatory cytokines, including IL-4, IL-5, IL-9, and IL-13, and are increased in the asthmatic airway. T cells in asthmatic patients appear resistant to Fasmediated apoptosis (96), and mice with Fas-deficient T cells are prone to persistent inflammation (97). In a murine model of asthma where resolution of allergic airway inflammation occurs as a consequence of antigen tolerance, there is an increase in TRAIL, which promotes Th2 apoptosis (98). Interestingly, Fas does not play a role in this model, suggesting that the mechanism of extrinsic apoptosis may be context dependent.

Dysregulated apoptosis of eosinophils and neutrophils are also implicated in asthma pathogenesis (99). Eosinophils have a limited life span but can persist in the airway epithelial cells. Reduced eosinophil apoptosis in BAL fluid has been shown to correlate positively with severity of asthma. Cytokines from T cells, macrophages, and epithelial cells, including IL-3, IL-5, IL-9, IL-25, and thymic stromal lymphopoietin generate an inflammatory milieu in the asthmatic airway that antagonizes eosinophil apoptosis. JAK/STAT, AKT, ERK, ROS, and FADD signaling have all been implicated in regulating eosinophil apoptosis. Inhaled steroids have long been a mainstay of asthma therapy, and they induce eosinophil apoptosis. The use of monoclonal antibodies against the IL-5 pathway, an effective therapy for asthma, is associated with eosinophil apoptosis and a rapid decrease in eosinophilia (100). Apoptosis-resistant neutrophils have also been described in asthma (101). This may be due to the presence of local factors, including TNF-α and granulocytemacrophage colony-stimulating factor (GM-CSF). Interestingly, glucocorticoids have been shown to have a prosurvival effect on neutrophils in normoxic conditions in contrast to their effect on eosinophils (101).

Other forms of cell death have also been implicated in asthma biology. Some have suggested that eosinophil cytolysis with the subsequent release of eosinophil granules may induce airway inflammation. This mechanism of eosinophil cell death is unknown, but necroptosis has been suggested to be a mechanism, as chemical inhibition of RIPK3 decreases eosinophil cytolysis (102). However, *Ripk3*-deficient mice have been reported to have no resistance to allergen-induced asthma (103).

Pyroptosis may also contribute to the pathogenesis of asthma, and studies have demonstrated that inflammasome activation occurs in humans with asthma and mouse models of disease; however, these studies have focused on inflammation, not pyroptosis per se (104). Recently, a splice variant in the gene that codes for gasdermin B has been associated with reduced

asthma risk (105, 106). The authors found that gasdermin B was highly expressed in airway epithelial cells and that this splice variant was associated with an inability of gasdermin to mediate pyroptosis.

IDIOPATHIC PULMONARY FIBROSIS

IPF is a devastating disorder that affects approximately 3 million people worldwide. IPF is characterized by alveolar epithelial cell injury, a failure of alveolar epithelial cell repair, and consequential activation of fibroblast/myofibroblasts. Within this paradigm of IPF pathogenesis lies an apoptosis paradox, a term coined by Thannickal & Horowitz (107). In IPF, alveolar epithelial cells are apoptosis prone, but fibroblasts/myofibroblasts are apoptosis resistant.

Human IPF lung tissue exhibits heightened alveolar epithelial cell death. Histology of the IPF lung demonstrates apoptotic, and to a lesser degree, necrotic cells interspersed with hyperplastic and atypical type 2 epithelial cells (8, 108). Proof-of-concept for the contribution of epithelial cell apoptosis to the pathogenesis of IPF has been established in animal models. In mice, targeted apoptosis of type 2 epithelial cells is sufficient to cause IPF, whereas caspase inhibitors can mitigate pulmonary fibrosis (109, 110).

Transforming growth factor-beta (TGF- β) is increased in IPF lung tissue and important to the pathogenesis of the disease. The consequence of TGF- β signaling is cell-type dependent, and in lung epithelial cells, TGF- β induces apoptosis. TGF- β proapoptotic effects include (*a*) promotion of Fas activation of caspase 8 and JNK, (*b*) upregulation of proapoptotic BCL2 proteins, and (*c*) increased ROS. Overexpression of TGF- β causes alveolar epithelial apoptosis and murine fibrosis in animal models of disease (111).

ER stress also contributes to alveolar apoptosis in IPF. Germline mutations in surfactant genes expressed by type 2 epithelial cells cause IPF, including the *SFTPA2* and *SFTPC* genes. The *SFTPC* mutation results in a truncated propeptide that cannot be targeted out of the ER, resulting in ER stress and increased caspase activation (112). ER stress is not exclusive to identifiable genetic causes of IPF, and increased proapoptotic UPR signaling in type 2 alveolar epithelial cells has also been demonstrated in nonfamilial IPF (113). Some have suggested that viral infections contribute to ER stress and have shown that latent human herpes viruses colocalize with ER stress markers (114).

Mitochondrial dysfunction contributes to the susceptibility of alveolar epithelial cell apoptosis. In IPF, type 2 epithelial cells demonstrate dysmorphic and dysfunctional mitochondria compared with age-matched controls. This has been associated with reduced PINK1, and *Pink1*-deficient mice are susceptible to apoptosis and bleomycin-induced fibrosis (115). Recently, thyroid hormone has been shown to protect against fibrosis in mouse models of IPF. Aerosolized thyroid hormone or the use of the thyroid hormone mimetic sobetirome improves mitochondrial function and attenuates intrinsic apoptosis in alveolar epithelial cells in a PINK1-dependent manner (116).

IPF is associated with oxidative stress, which likely contributes to increased apoptosis of alveolar epithelial cells (117). Environmental pollutants, such as cigarette smoke, are risk

factors for IPF and contribute to the oxidant burden in pulmonary fibrosis. Increased ROS may arise from mitochondrial dysfunction or directly from myofibroblasts, which generate substantial amounts of extracellular ROS, to cause injury to adjacent epithelial cells (118). However, antioxidant therapies have been unsuccessful in human trials of IPF.

DNA damage and telomere shortening also contribute to alveolar epithelial cell apoptosis and IPF pathogenesis. Type 2 cells in IPF demonstrate increased p53 activation and susceptibility to oxidative DNA damage (119). Mutations in telomere maintenance genes have been found in up to 25% of familial and 1–3% of sporadic IPF patients (120). This includes two genes that code for proteins involved in telomerase function, *TERT* and *TERC*, as well as other genes involved in telomere maintenance. Even in the absence of telomere maintenance mutations, patients with IPF have shortened telomeres. Multiple studies have shown that deficiency of telomerase maintenance proteins in type 2 epithelial cells results in increased susceptibility to injury and fibrosis (121–123). Notably, all of these studies demonstrated activation of the DNA damage response, but an identifiable increase in apoptosis varied based on the type of experimental model used.

The extrinsic pathway has also been implicated in the susceptibility of alveolar epithelial cells to apoptosis. IPF is associated with increased Fas in the BAL and epithelial cells, and animal studies have demonstrated that Fas-mediated epithelial apoptosis contributes to pulmonary fibrosis. In IPF, myofibroblasts are an important source of FasL (124) and proapoptotic angiotensin peptides (125). Conversely, antiapoptotic chitinase 3-like protein 1 is reduced during acute exacerbations of IPF (126). Recently, alveolar epithelial cell death has been suggested to occur via necroptosis in IPF. RIPK3 expression is increased in IPF alveolar epithelial cells, and *Ripk3*-deficient mice have attenuated fibrosis and decreased HMGB1 in a bleomycin model of IPF (127).

Whereas alveolar epithelial cells are susceptible to apoptosis, lung fibroblasts/ myofibroblasts are resistant to apoptosis (128). Biomechanical properties of the extracellular matrix promote fibrosis through the impairment of myofibroblast apoptosis and anoikis via activation of integrin/FAK and PI3K/AKT signaling and decreased activity of the AKT inhibitor PTEN (14, 129). In contrast to its proapoptotic role in epithelial cells, TGF- β is antiapoptotic in myofibroblasts. TGF- β and other factors like endothelin-1 mediate apoptosis resistance via signaling through these pathways (107). Consequentially, myofibroblasts have reduced Fas and FOXO activity and increased activity of XIAP and cFLIP_L (107, 130). YAP and WNT pathways have also been shown to promote apoptosis resistance in myofibroblasts (130, 131).

The induction of myofibroblast apoptosis can ameliorate fibrosis in animal models. Metformin can attenuate myofibroblast resistance to apoptosis via activation of AMPK signaling, which improves mitochondrial biogenesis and decreases the threshold for apoptosis (132). The SMAC mimetic AT-406 has also been used to promote myofibroblast apoptosis (133). Cellular senescence also has an important role in mediating apoptosis resistance in myofibroblasts. For example, myofibroblasts from IPF patients have increased NAPDH oxidase 4 (Nox4) and impaired capacity to induce Nrf2 antioxidant response, which causes cellular senescence and apoptosis resistance in myofibroblasts (134).

Inhibition of Nox4-mediated senescence attenuates apoptosis resistance in myofibroblasts and pulmonary fibrosis. Similarly, the induction of apoptosis in senescent fibroblasts via inhibition of BCL-xL with the BH3 mimetic ABT-26 also reverses established fibrosis in an animal model of IPF (135).

ACUTE RESPIRATORY DISTRESS SYNDROME

ARDS is characterized by acute onset and widespread pulmonary inflammation. The precipitating events are numerous, including infection, trauma, systemic inflammation, and toxins. Approximately 200,000 patients develop ARDS each year in the United States (136).

In ARDS, neutrophils are apoptosis resistant owing to the inflammatory environment of the lung (137). This was classically demonstrated in a study in which BAL fluid from patients with ARDS inhibits neutrophil apoptosis, particularly through GM-CSF (137). Subsequent studies have demonstrated that LPS, leukotrienes, cytokines, and activated complements all contribute to apoptosis resistance in neutrophils. The antiapoptotic role of GM-CSF was thought to act through PI3K signaling. However, recent transcriptomic evaluations of neutrophils in the lungs of patients with ARDS support the notion of apoptosis-resistant neutrophils, but via mechanisms distinct from PI3K/AKT activation (138).

In a variety of animal models, neutrophil apoptosis accelerates resolution of pulmonary inflammation (139). In LPS-induced lung injury, genetic deletion of p53 results in decreased neutrophil apoptosis and increased LPS-induced lung injury (140).

CD4⁺CD25⁺Foxp3⁺Tregs promote neutrophil apoptosis and resolution of acute lung injury via TGF- β (141). Others have tried to promote apoptosis via signaling through cyclindependent kinase inhibitors and lipid mediators, such as lipoxins and resolvins, to counteract prosurvival signals that stem from NF- κ B activation, ERK/AKT, and myeloperoxidase (139). However, the clinical relevance of the apoptotic sensitivity of neutrophils to the pathogenesis of ARDS remains unclear. One study suggested that septic patients with ARDS were less likely to have apoptotic neutrophils than those without ARDS (142). However, neutrophils are important for host defense against bacteria that cause ARDS. Furthermore, susceptibility to neutrophil apoptosis does not confer a survival benefit in patients with ARDS, and ARDS survivors have higher concentrations of BAL GM-CSF than nonsurvivors (137, 143).

In contrast to neutrophils, there is increased epithelial and endothelial cell death in ARDS. The first ultrastructural measurements of lungs from patients with ARDS demonstrated apoptosis and necrosis in type 1 epithelial cells and to a lesser degree in endothelial cells (144, 145). In murine models of ARDS, caspase inhibition mitigates lung injury (146). Many studies have focused on Fas/FasL signaling as a key contributor to apoptosis in ARDS. Elevated concentrations of Fas and FasL are associated with increased mortality in patients with ARDS, and genetic polymorphisms associated with increased Fas mRNA expression are risk factors for developing ARDS (147, 148). BAL fluid from ARDS patients induces epithelial apoptosis in vitro, a process that can be inhibited by neutralizing soluble Fas (149). Fas induces apoptosis in distal lung cells and causes ARDS in rodents, whereas inhibition of Fas/FasL signaling protects against lung injury in these models (150, 151). In

ARDS, Fas is potentiated by TGF- β and angiotensin peptides that are increased in the BAL (152, 153). TNF- α -mediated apoptosis has also been described in ARDS. However, the use of caspase inhibitors does not improve outcomes in mice treated with TNF- α (154). ARDS is also associated with the upregulation of perforin/granzyme-mediated cell death (155).

Multiple antiapoptotic factors appear protective in ARDS. For example, AKT and STAT3 signaling protect against apoptosis in animal models of acute lung injury (13). ARDS is accompanied by oxidative stress, and studies in hyperoxic models of ARDS have shown an important role for BAX- and BAK-dependent alveolar epithelial cell death mediated through mitochondrial ROS (156). Interestingly, BID, BIM, and PUMA do not contribute to apoptosis and injury in this model. In LPS and hyperoxic lung injury murine models, mitophagy protects against endothelial apoptosis and lung injury (157, 158).

Necroptosis also plays a role in the pathogenesis of acute lung injury. Evidence for necroptosis has been suggested by increased HMGB1 in the BAL of patients with acute lung injury (159). In animal models of ARDS, the relative abundance of apoptotic to necroptotic cells can be influenced by LPS doses, with increased phosphorylated RIPK3 and MLK found with higher doses of LPS. Inhibition of necroptosis with necrostatin-1 or genetic deletion of *Ripk3* improves outcomes in mouse models of ARDS (160). Necroptosis has been implicated as a mechanism for lung injury following red blood cell transfusion (161).

Pyroptosis also may play an important role in the pathogenesis of ARDS. In animal models of sterile lung injury, NLR-mediated activation of the inflammasome contributes to caspase 1–dependent cell death and acute lung injury (162). Endotoxemia also contributes to ARDS pathogenesis in a manner dependent on endothelial pyroptosis, where intracellular LPS directly activates caspase 11. Notably, conditional deletion of caspase 11 in endothelial cells can mitigate lung injury in murine models (33). Similarly, hemorrhagic shock, an important cause of ARDS, activates pyroptosis in lung endothelial cells (163). Permeability transition precomplex may also be involved in the pathogenesis of ARDS, as inhibition of cyclophilin D protects mice against endotoxemia-induced but not hyperoxia-induced acute lung injury (156, 164).

ARDS can occur as a consequence of bacterial and viral infections, and RCD pathways play a role in infection-mediated lung injury. RCD can be an effective method to eliminate pathogens and elicit the appropriate immune response. However, RCD pathways can cause extensive destruction or can be hijacked by pathogens to eliminate immune cells. Whether a specific RCD subroutine is beneficial or harmful is context dependent, including the type of pathogen, the pathogen burden, and the extent of injury. Extracellular bacteria, such as *Streptococcus pneumoniae* and *Staphylococcus aureus*, can be culprit organisms in ARDS, and apoptosis can be an effective mechanism of extracellular bacterial clearance by phagocytosing cells (165). Alternatively, Fas does not improve clearance of *S. pneumoniae* and *S. aureus*, but it does help with clearance of *Pseudomonas aeruginosa* (166, 167). Similarly, *S. pneumoniae* and *S. aureus* can induce necroptosis through pore-forming toxins (168), which can lead to tissue injury. However, recent studies have confirmed that necroptosis promotes clearance of *S. aureus* infection (169). As previously described, apoptosis is an effective mechanism for limiting the spread of viral infections. However,

excess apoptosis can lead to extensive tissue injury and contribute to the pathogenesis of ARDS (170). Similarly, various intracellular bacteria and viruses also induce necroptosis in the lung, including *Chlamydia pneumoniae* and influenza (171). In some animal models, inhibition of necroptosis protects mice from influenza-mediated lung injury, but in other models, necroptosis can limit the spread of infection (171, 172). Macrophage-mediated pyroptosis is important for the clearance of certain intracellular bacteria and viral infections, including *Legionella pneumophila* and influenza, respectively (173). In contrast, activation of pyroptosis in experimental models of *S. pneumoniae* and *P. aeruginosa* has been associated with decreased bacterial clearance of infection (174, 175). These data highlight the complex interactions between host immunity and RCD pathways.

PULMONARY ARTERIAL HYPERTENSION

PAH is a progressive and often fatal disease characterized by vascular remodeling of pulmonary arteries, elevated pulmonary artery pressures, and eventual right heart failure. The current understanding of PAH pathogenesis postulates that the initiating phase involves susceptibility of pulmonary endothelial cells to injury and death, which is followed by activation and proliferation of apoptosis-resistant endothelial and smooth muscle cells.

Endothelial injury as the cause of PAH pathogenesis has been suggested by animal models of PAH. Mice treated with VEGF inhibitors (SU5416) or monocrotaline develop PAH that resembles human disease. In these models, there is endothelial apoptosis in the first three days of injury, but by day seven, endothelial cells become resistant to apoptosis (176). Through an improved understanding of hereditable causes of PAH, underlying mechanisms of apoptosis susceptibility have been identified. The most common hereditable cause of PAH is a loss-of-function mutation in bone morphogenetic protein receptor 2 (BMPR2). Even in non-heritable causes of PAH, there is evidence that BMPR2 signaling is impaired. BMPR2 is a member of the TGF-β super-family that is expressed on endothelial cells, and to a lesser extent on smooth muscle cells (177). In endothelial cells, BMPR2 antagonizes apoptosis, and genetic deletion of endothelial BMPR2 leads to increased endothelial apoptosis and pulmonary hypertension (178). Impaired mitochondrial function and excessive mitophagy have also been implicated as mechanisms that contribute to endothelial susceptibility to apoptosis. Mice deficient in mitochondrial uncoupling protein 2 have increased PINK1mediated mitophagy and are susceptible to hypoxia-induced endothelial apoptosis and pulmonary hypertension (179).

Although susceptibility to endothelial injury may initiate PAH pathogenesis, the disease is characterized by proliferative and apoptosis-resistant endothelial and smooth muscle cells (180, 181). There is an increase in growth factors that inhibit endothelial and smooth muscle cell apoptosis, such as VEGF, platelet-derived growth factor (PDGF), and fibroblast growth factor 2 (FGF2), and there is also an increase in the number and/or affinity of their cognate tyrosine kinase receptors. Dysfunctional endothelial cells also under express proteins that antagonize antiapoptotic signaling in smooth muscle cells. The protein apelin is decreased in endothelial cells in patients with PAH as a consequence of impaired BMPR2 signaling (182). One of apelin's effects is to downregulate the endothelial secretion of FGF2 via signaling through microRNAs (183). PAH is also associated with an increase in cytokines

that promote endothelial resistance to apoptosis, such as IL-6 and MIF (184). Paracrine signaling by endothelin-1, serotonin, and angiotensin peptides also inhibits endothelial and smooth muscle cell apoptosis in PAH. Inhibitors of tyrosine kinase and MIF effectively mitigate pulmonary hypertension in animal models of PAH, and the use of tyrosine kinase inhibitors are under investigation in clinical trials (185, 186).

The consequence of increased extracellular signaling is the activation of antiapoptotic cellular signaling pathways, such as AKT, ERK1/2, NF-kB, and STAT3, and inactivation of proapoptotic FOXO pathways. Loss-of-function mutations in BMPR2 also contribute to apoptosis resistance in SMCs via multiple pathways, including STAT3 signaling (180, 187). Endothelial cells and smooth muscle cells have elevated cIAPs and BCL2 and decreased BAX and BIM (35, 180, 181). Inhibiting of PI3K/AKT signaling with LY294002 and triciribine, inhibiting antiapoptotic BCL2 proteins and the cIAP survivin, and upregulating FOXO signaling have all shown benefit in rodent models of PAH (188, 189). Increasing BMPR2 activity with tacrolimus may also be efficacious in patients with severe PAH (189).

Additionally, endothelial cells and smooth muscle cells demonstrate decreased oxidative phosphorylation and increased reliance on glycolysis. These changes are associated with inhibition of mitochondrial ROS and increased mitochondrial membrane potential, thereby decreasing the threshold for apoptosis activation (190). While incompletely understood, they may occur as a consequence of upregulation of targets of the hypoxia inducible factor 1α (HIF1 α) transcription factor resulting from epigenetic changes and changes in SOD2 (191). Impaired autophagy may contribute to HIF1 α stabilization and apoptosis resistance in pulmonary hypertension. Elevated levels of LC3B and autophagosomes have been found in murine models of PAH and patients with this disease, and LC3B-deficient mice develop elevated pulmonary arterial pressures in animal models of PAH (192).

PAH is associated with increased endothelial DNA damage and genomic instability, which may contribute to apoptosis resistance (193). The cause for genomic instability in PAH is unclear, but BMPR2 has been shown to impair DNA repair, and an impaired DNA damage response, via inhibition of p53, increases susceptibility to PAH in an animal model of disease (194, 195). It has been postulated that this genomic instability may lead to endothelial resistance to apoptosis and/or may cause the monoclonal expansion of apoptotic resistant endothelial cells in idiopathic PAH (196).

CONCLUSIONS

As our review highlights, the role of RCD in the pathogenesis of severe lung diseases is highly complex. Acute inflammation leads to epithelial and endothelial RCD in ARDS, whereas chronic inflammation may cause persistence of immune cells and susceptibility to epithelial RCD in asthma. In COPD, chronic environmental exposures and genetic factors combine to cause epithelial and endothelial RCD. Apoptosis-resistant mesenchymal cells contribute to the pathogenesis of both IPF and PAH; however, IPF is triggered by epithelial apoptosis, and PAH is triggered by endothelial apoptosis. Developing therapies that modulate RCD-mediated cell fate in lungs will be challenging. However, improving our understanding of the intracellular and extracellular processes that regulate RCD in the lung,

identifying cell type–specific RCD pathways, and personalizing approaches to identify the patients most likely to benefit will launch a new era of therapeutic tools. Rigorously subclassifying RCD will facilitate the development of rational, targeted, diagnostic, preventative, and disease-modifying strategies in the lungs.

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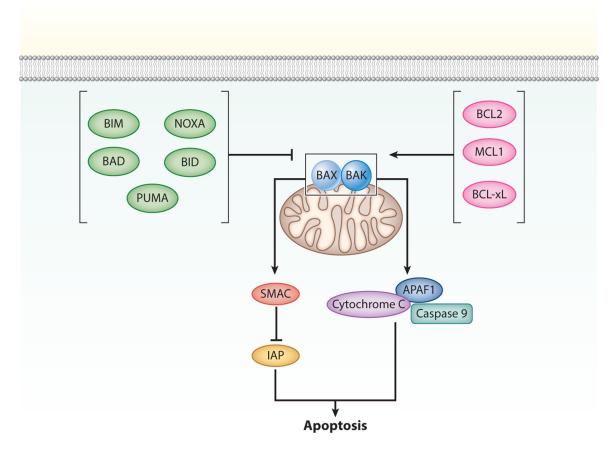


Figure 1.

Intrinsic apoptosis. The step that commits cells to undergo apoptosis is permeabilization of the mitochondrial outer membrane (MOMP). MOMP occurs when B-cell lymphoma 2 (BCL2)-associated X apoptosis regulator (BAX) and BCL2 antagonist/killer 1 (BAK) form outer mitochondrial membrane pores. This process is promoted by BH3-only proteins, including BCL2-associated death promoter (BAD), p53-upregulated binding component (PUMA), BCL2-like 11 (BIM), phorbol-12-myristate-13-acetate-induced protein (NOXA), and BH3-interacting domain death agonist (BID); and antagonized by antiapoptotic BCL2 proteins, including BCL2, B-cell lymphoma extra large (BCL-xL), and myeloid leukemia cell differentiation protein (MCL1). MOMP causes the release of cytochrome c and second mitochondria-derived activator of caspase (SMAC). Cytochrome c binds apoptotic protease activating factor 1 (APAF1) and initiator caspase 9 to form the apoptosome, where caspase 9 is activated. SMAC neutralizes the cytoplasmic proteins maintained by cells to restrain caspase activation (inhibitor of apoptosis proteins, IAPs).

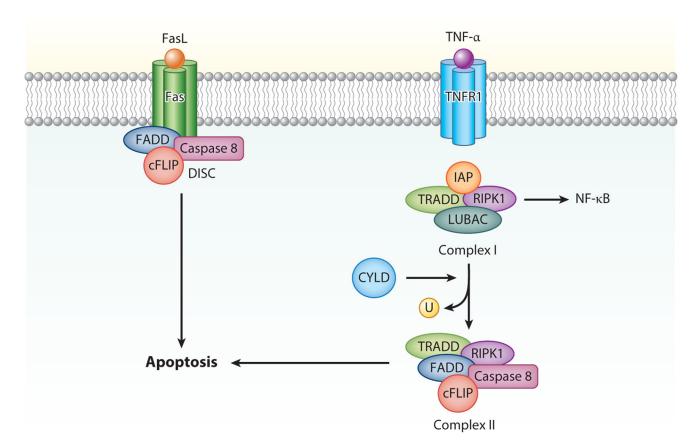


Figure 2.

Extrinsic apoptosis. Ligand binding to Fas results in the formation of the death-inducing signaling complex (DISC), composed of Fas-associated death domain (FADD), cellular FLICE-inhibitory protein (cFLIP), and caspase 8. Upon activation, cleavage of caspase 8 leads to cleavage of executioner caspases and apoptosis. The consequences of TNF receptor 1 (TNFR1) activation depends on posttranslational modifications of another protein recruited to Complex 1, receptor-interacting protein kinase 1 (RIPK1). Upon TNFR1-associated death domain (TRADD)-dependent recruitment, RIPK1 can be ubiquitinated by inhibitor of apoptosis proteins (IAPs) and linear ubiquitination chain assembly complex (LUBAC). Ubiquitinated RIPK1 (U) promotes inflammation and cell survival by activating protein kinase signaling and IκB kinase (IKK)-dependent NF-κB activation. If IAPs are absent or inhibited, RIPK1 is deubiquitinated by cylindromatosis (CYLD). Consequently, RIPK1 forms a complex with FADD and caspase 8 in the cytosol (Complex II). Similar to signaling by Fas, this complex is also regulated by cFLIP proteins and can lead to caspase 8 activation and apoptosis.

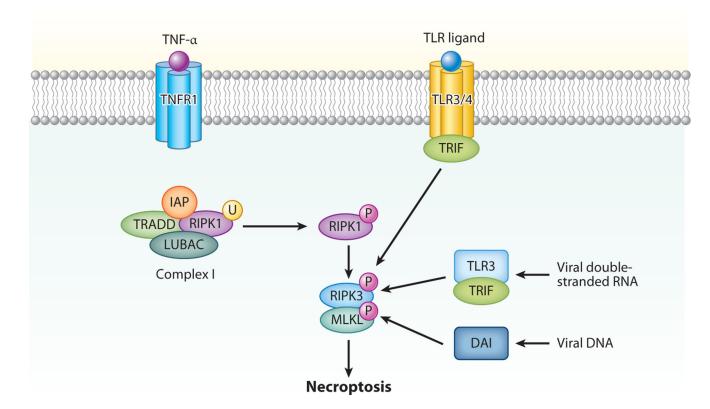


Figure 3. Necroptosis can occur as a consequence of TNF receptor 1 (TNFR1) activation. Similar to extrinsic apoptosis, RIPK1 ubiquitination is favored in the absence of inhibitor of apoptosis proteins (IAPs) and the presence of linear ubiquitination chain assembly complex (LUBAC). In the absence of caspase 8, RIPK1 is phosphorylated (P) and interacts with RIPK3, leading to oligomerization and phosphorylation of mixed lineage kinase domain-like pseudokinase (MLKL). DNA-dependent activator of interferon (DAI) regulatory factors can detect viral DNA and activate RIPK3-mediated necroptosis. Toll-like receptor-3 (TLR3) can also detect viral DNA and, via TLR-domain-containing adapter-inducing interferon- β (TRIF), can activate RIPK3 independent of RIPK1, also leading to necroptosis. Similarly, TLR4 can activate RIPK3 via TRIF independently of RIPK1, which also leads to necroptosis.

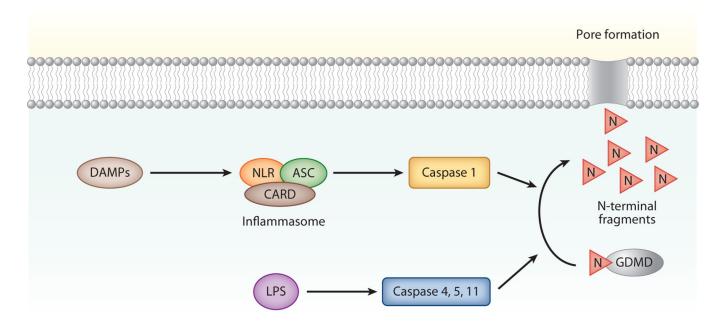


Figure 4. Pyroptosis. Pyroptosis is initiated when damage-associated molecular patterns (DAMPs) activate the NOD-like receptor (NLR) leading to the formation of the inflammasome protein complex, which includes caspase activation and recruitment domain (CARD) and apoptosis-associated speck protein containing a CARD (ASC). Inflammasome activation leads to cleavage of procaspase 1, which in turn cleaves the N terminus of gasdermin D (GDMD) to generate pore forming N-terminal fragments. These fragments create pores in the cell membrane that kill the cells. Pyroptosis can also be initiated when lipopolysaccharide (LPS) activates caspases 4, 5, and 11 directly, which also leads to GDMD cleavage and pyroptosis.

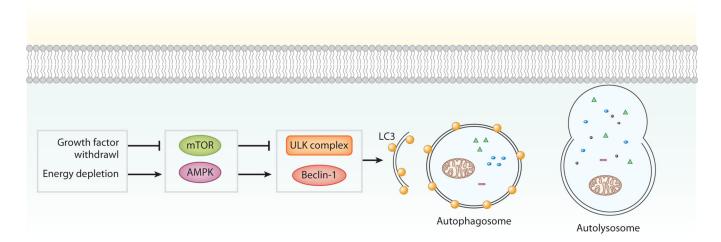


Figure 5.

Autophagy. Autophagy occurs under conditions of nutrient deprivation or cellular stress. This cellular stress activates UNC-51-like kinase 1 (ULK) complex and Beclin-1, via molecular target of rapamycin (mTOR) and 5' adenosine monophosphate-activated protein kinase (AMPK). When activated, a membrane forms that includes the autophagic protein light chain 3 (LC3). Elongation of the membrane results in the formation of an autophagosome which engulfs the cellular components to be degraded. The autophagosome fuses with a lysosome, forming the autolysosome, which ultimately degrades the cellular components.