

# RAS/ERK Signaling Controls Proneural Genetic Programs in Cortical Development and Gliomagenesis

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Neural cell fate specification is well understood in the embryonic cerebral cortex, where the proneural genes *Neurog2* and *Ascl1* are key cell fate determinants. What is less well understood is how cellular diversity is generated in brain tumors. Gliomas and glioneuronal tumors, which are often localized in the cerebrum, are both characterized by a neoplastic glial component, but glioneuronal tumors also have an intermixed neuronal component. A core abnormality in both tumor groups is overactive RAS/ERK signaling, a pro-proliferative signal whose contributions to cell differentiation in oncogenesis are largely unexplored. We found that RAS/ERK activation levels differ in two distinct human tumors associated with constitutively active BRAF. Pilocytic astrocytomas, which contain abnormal glial cells, have higher ERK activation levels than gangliogliomas, which contain abnormal neuronal and glial cells. Using *in vivo* gain of function and loss of function in the mouse embryonic neocortex, we found that RAS/ERK signals control a proneural genetic switch, inhibiting *Neurog2* expression while inducing *Ascl1*, a competing lineage determinant. Furthermore, we found that RAS/ERK levels control *Ascl1*'s fate specification properties in murine cortical progenitors—at higher RAS/ERK levels, *Ascl1*<sup>+</sup> progenitors are biased toward proliferative glial programs, initiating astrocytomas, while at moderate RAS/ERK levels, *Ascl1* promotes GABAergic neuronal and less glial differentiation, generating glioneuronal tumors. Mechanistically, *Ascl1* is phosphorylated by ERK, and ERK phosphoacceptor sites are necessary for *Ascl1*'s GABAergic neuronal and gliogenic potential. RAS/ERK signaling thus acts as a rheostat to influence neural cell fate selection in both normal cortical development and gliomagenesis, controlling *Neurog2-Ascl1* expression and *Ascl1* function.

**Key words:** bHLH transcription factors; glioma and glioneuronal tumors; *Neurog2* and *Ascl1*; neurogenesis versus gliogenesis; proneural genetic switch; RAS/ERK signaling

## Introduction

The RAS/ERK signal transduction cascade, which involves the sequential activation of RAS→RAF→MEK→ERK, is a critical pathway in neurodevelopment and brain cancer. In neurodevelopment, RAS functions are pleiotropic, ranging from the promotion of cell proliferation to the induction of neuronal or glial differentiation, depending on cellular context and developmental stage (Lukaszewicz et al., 2002; Ménard et al., 2002; Ito et al.,

2003; Imamura et al., 2008; Ohtsuka et al., 2009). In neoplasia, RAS activation is a common pro-proliferative event that is triggered by mutations of upstream receptor tyrosine kinases (RTKs), or downstream signaling components, such as NF1 or BRAF (Louis et al., 2007; Bar et al., 2008; Jones et al., 2008; The Cancer Genome Atlas Research Network, 2008). While oncogenic RAS activates several downstream pathways, including ERK, AKT, and Raf (Repasky et al., 2004), ERK is considered the key effector in tumorigenesis, as BRAF activating mutations are observed in pilocytic astrocytoma (PA), ganglioglioma (GG), pleomorphic xanthoastrocytoma, and glioblastoma variants (Knobbe et al., 2004; Jones et al., 2008; Pfister et al., 2008; Dias-Santagata et al., 2011; Schindler et al., 2011; Kleinschmidt-DeMasters et al., 2013). Moreover, constitutively activate BRAF is sufficient to induce PA in mice (Gronych et al., 2011).

RAS/ERK signaling promotes neoplastic proliferation, but only recently has been suggested to also influence neural cell fate selection. Indeed, inactivation of NF1, which inhibits RAS, promotes aberrant glial instead of neuronal differentiation (Dasgupta and Gutmann, 2005), a change that is reversed by MEK/ERK inhibitors (Wang et al., 2012). Moreover, constitutively active MEK induces astrocytic and oligodendrocytic differentiation in neural progenitors (X. Li et al., 2012). However, RAS/ERK

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**Table 1. Summary of human tumors analyzed for pERK expression**

Case ID	Gender	Age	Diagnosis	WHO grade	Location
GG-1	F	31	Ganglioglioma	I	Cerebrum, temporal
GG-2	F	34	Ganglioglioma	I	Cerebrum, frontal
GG-3	M	40	Ganglioglioma	I	Cerebrum, temporal
GG-4	M	28	Ganglioglioma	I	Cerebrum, temporal
GG-5	M	22	Ganglioglioma	I	Cerebrum, temporal
GG-6	F	33	Ganglioglioma	I	Cerebrum, temporal
PA-1	M	16	Pilocytic astrocytoma	I	Cerebrum, parietal
PA-2	M	20	Pilocytic astrocytoma	I	Cerebrum, thalamic
PA-3	M	33	Pilocytic astrocytoma	I	Cerebrum, frontal
PA-4	F	26	Pilocytic astrocytoma	I	Cerebrum, frontal
PA-5	F	37	Pilocytic astrocytoma	I	Cerebrum, temporal

WHO, World Health Organization.

is commonly activated in gliomas and glioneuronal tumors, raising the question of how this pathway differentially influences oncogenic cell fates.

We found that RAS/ERK activation levels differ in two distinct human tumors associated with constitutively active BRAF; PAs, which contain abnormal astrocytes and oligodendrocyte precursor cell (OPC)-like cells, have higher ERK activation levels than GGs, which contain abnormal neuronal and astrocytic cells. To determine whether and how different levels of RAS/ERK signaling influences cell fate choice, we examined interactions with the proneural basic-helix-loop-helix (bHLH) transcription factors in the developing neocortex. The neocortex is derived from the telencephalon, where the proneural gene *Neurogenin 2* (*Neurog2*) specifies a glutamatergic (glu<sup>+</sup>) neuronal fate in dorsal progenitors (Fode et al., 2000; Parras et al., 2002; Schuurmans et al., 2004; Mattar et al., 2008), while the proneural gene *Achaete scute-like 1* (*Ascl1*) specifies the identities of neocortical GABAergic (GABA<sup>+</sup>) neurons and embryonic OPCs that are derived from ventral progenitors (Casarosa et al., 1999; Britz et al., 2006; Parras et al., 2007; Castro et al., 2011). We found that RAS/ERK signaling promotes *Ascl1* expression while blocking *Neurog2* expression in neocortical progenitors. Moreover, we found that at low RAS/ERK levels, *Ascl1* promotes GABA<sup>+</sup> neuronal differentiation and generates glioneuronal tumors, while at higher RAS/ERK levels, *Ascl1* promotes a proliferative glioblast phenotype and generates glial tumors. Finally, we reveal that *Ascl1* is directly phosphorylated by ERK, and that the ERK phosphoacceptor sites are necessary for the gliogenic potential of *Ascl1*.

## Materials and Methods

**Animal breeding and maintenance.** Animal procedures were approved by the University of Calgary Animal Care Committee (protocol #AC11-0051 to J.A.C. and #AC11-0053 to C.S.) in compliance with the Guidelines of the Canadian Council of Animal Care. Timed pregnant wild-type CD1, *Neurog2*<sup>GFPKI</sup> (Britz et al., 2006), and *Ascl1*<sup>GFPKI</sup> (Leung et al., 2007; purchased from The Jackson Laboratory; Stock number 012881) mice were used for *in utero* electroporation and genotyped as described. The morning of the vaginal plug was assigned embryonic day 0.5 (E0.5) for staging. Embryos of either sex were used.

**Patient-derived tumor tissues.** Formalin-fixed paraffin-embedded tissues from supratentorial gangliogliomas and supratentorial nonoptic pathway pilocytic astrocytomas were obtained from pathology archives at Calgary Laboratory Services and the Clark Smith Brain Tumor Bank at the University of Calgary (Table 1; Kelly et al., 2009; Blough et al., 2010). Human materials were used with approval of Calgary Laboratory Services and the Calgary Health Region Ethics Board (University of Calgary Conjoint Health Research Ethics Board #2875 to J.A.C. and #24993 to C.S.).

**Cell culture and luciferase assay.** Postnatal day 19 (P19) embryonic carcinoma cells (ATCC# CRL-1825) were maintained in Minimum Essen-

tial Medium  $\alpha$  Medium (Gibco) supplemented with 10% fetal bovine serum (FBS), 10 U/ml penicillin, and 10  $\mu$ g/ml streptomycin. The 4.3 kb *Dll1* luciferase reporter was previously described (Castro et al., 2006). The 1 kb *Sox9* proximal promoter region was PCR amplified from mouse genomic DNA with the primers: 5'-ATACTCGAGAGAGAACAGCGGGCGTTGA-3' (forward) and 5'-CACAAAGCTTAGGGGTCCAGGAGATTCAT-3' (reverse) and subcloned into pGL3-Basic (Promega). The *Dlx1/2* reporter construct was generated by cloning the *l12b* intergenic enhancer from p1230-*Dlx1/2* *l12b* (Ghanem et al., 2007) into pGL3-Basic (Promega). The P19 cells were seeded into 24-well plates (Nalge Nunc) 24 h before transfection. Transfections were performed using Lipofectamine Plus reagent (Invitrogen) as per the manufacturer's protocol, cotransfecting 0.1  $\mu$ g of each test plasmid and 0.15  $\mu$ g of the Renilla plasmid (transfection control). Four to six hours after transfection, Opti-MEM media (Gibco) was replaced with fresh media. Twenty-four hours later, cells were harvested and firefly luciferase and Renilla luciferase activities were determined using the Dual-luciferase Reporter Assay System (Promega) as per the manufacturer's instructions, using a TD 20/20 Luminometer (Turner Designs). Luciferase activity was normalized to the corresponding Renilla activity.

**In utero electroporation.** Cortical telencephalic electroporations were performed as described previously (Dixit et al., 2011; S. Li et al., 2012) using endotoxin-free DNA (Qiagen) and platinum tweezer-style electrodes (Protech; 5 mm) to apply seven 43 ms pulses at 43 V with a 1 s interval. cDNAs were cloned into pCIG2 (provided by Dr. Franck Polleux) or pCIC (provided by Dr. Dawn Zinyk) vectors. These constructs expressed the insert mRNA coupled to *EGFP* (pCIG2) or *mCherry* (pCIC) mRNA by an internal ribosome entry site (IRES2; Clontech; Mattar et al., 2008; S. Li et al., 2012). pEFRasV12 and pEFRasN17 plasmids were provided by K. Kaibuchi (Cowley et al., 1994), pBABEMekCA and pBABEMekDN provided by C. Marshall (Yoshimura et al., 2006), *AktCA* provided by J.D. Ashwell (*v-AKT*), the constitutively active viral homolog of *c-AKT*; Eder et al., 1998), and *Etv1* provided by T.M. Jessell (Arber et al., 2000). Plasmids containing cDNAs for *BRAFV600E* (from W. Hahn, Addgene plasmid 15269; Boehm et al., 2007), *AktDN* (*AktK179M*, from M.C. Huang, Addgene plasmid 15269; B. P. Zhou et al., 2000), *RalAV23* (from W. Hahn, Addgene plasmid 15252; Sablina et al., 2007), *RalADN* (*RalAS31N*, from C. Counter, Addgene plasmids 19718; Lim et al., 2005), *RalBQ72L* (from C. Counter, Addgene plasmid 19721; Lim et al., 2005), and *RalBDN* (*RalBS28N*, from C. Counter, Addgene plasmid 19722; Lim et al., 2005) were obtained from Addgene. *Etv5* cDNA (IMAGE Clone 4036564; Lennon et al., 1996) was obtained from Life Technologies.

**Site-directed mutagenesis.** *Ascl1*-SA3, in which all three leucine-serine-proline (LSP) sites were mutated to leucine-alanine-proline, and *Ascl1*-SA6, in which all six SP sites were mutated into alanine-proline (S-A mutation), were generated via PCR-mediated site-directed mutagenesis using overlapping extension PCR. Two complementary primers containing nucleotide substitutions (underlined bases) were designed using a Stratagene Web-based primer design software program (Agilent): S62A-forward: 5'-CGCCGAGCTGGCCCCGGTGGCCG-3'; S62A-reverse: 5'-GCGGCGTGCACCGGGGCCACCGGC-3'; S88A-forward: 5'-

CCAGCGCTCGTCCGCTCCGGAAGTAT-3'; S88A-reverse: 5'-GGTCGCGAGCAGGCGAGGCCTTGACTA-3'; S185A-forward: 5'-CGGGCGTCTGGCGCCACCATC-3'; S185A-reverse: 5'-GCCCCAGGACCCGCGGGTGGTAG-3'; S189A-forward: 5'-GTGCGCCACATCGCCCCCACTACTC-3'; S189A-reverse: 5'-CAGCGGGTGGTAGCGGGGGTGGTAG-3'; S202A-forward: 5'-CTCTATGGCGGGT-GCTCCGGTCTCGTC-3'; S202A-reverse: 5'-GAGAT ACCGCCACGAG-GCCAGAGCAG-3'; S218A-forward: 5'-GGATCTACGACCCTCTT-GCCCCAGAGGAACAAGA-3'; S218A-reverse: 5'-CCTAGGATGCTGGG-AGAACGGGTCTCTTGTCT-3'.

**Tissue processing.** Brains were dissected in PBS and were processed for frozen or paraffin sections. For frozen sections, brains were fixed in 4% paraformaldehyde (PFA) in PBS, and cryoprotected in 20% sucrose before OCT embedding. Ten micrometer cryosections were collected on SuperFrost Plus (Fisher) slides. For paraffin sections, brains were fixed in 4% PFA, postfixed in 10% neutral buffered formalin, and processed for routine paraffin embedding. Five micrometer sections were cut and collected on SuperFrost Plus slides.

**Histology, immunohistochemistry, and immunofluorescence.** Primary antibodies included rabbit anti-GFP (Invitrogen), mouse anti-Neurog2 (David Anderson; Lo et al., 2002), rabbit anti-Neurog2 (Masato Nakafuku; Mizuguchi et al., 2001), mouse anti-Ascl1 (BD Biosciences), rabbit anti-Sox9 (Millipore), rabbit anti-pERK (Cell Signaling Technology), rabbit anti-Olig2 (Abcam), rabbit anti-GFAP (Dako Cytomation), mouse anti-NeuN (Millipore Bioscience Research Reagents), rabbit anti-Tbr1 (Abcam), mouse anti-Tuj1 (Covance), rabbit anti-Pax6 (Covance), rabbit anti-glutamine synthetase (Abcam), rabbit anti-Nf1a (Benjamin Deneen; Kang et al., 2012), goat anti-Sox2 (Santa Cruz Biotechnology), mouse anti-Nestin (Santa Cruz Biotechnology), rabbit anti-Ki67 (Vector Laboratories), and Rat anti-BrdU (Serotec). Secondary antibodies were conjugated to Cy3, AMCA (Jackson ImmunoResearch), Alexa488 or Alexa 568 (Invitrogen), and diluted 1/500. Immunofluorescence preparations were counterstained with 4',6-diamidino-2-phenylindole (DAPI; Santa Cruz Biotechnology) before mounting of coverslips with AquaPolymount (Polysciences). Paraffin sections were used for routine hematoxylin and eosin (H&E) staining and for immunohistochemistry with detection by Envision+ diaminobenzidine (DAB) kit (Dako) and hematoxylin counterstain. Double labeling on formalin-fixed paraffin-embedded tissues was visualized by dual DAB and alkaline phosphatase (AP) development using the MultiView IHC system (Enzo).

**RNA in situ hybridization.** RNA *in situ* hybridization was performed with digoxigenin (DIG)-labeled riboprobes as described previously (Alam et al., 2005). DIG-labeled probes were generated using a 10× labeling mix per manufacturer's instructions (Roche). Probe templates included *Neurog2* (Gradwohl et al., 1996), *Ascl1* (Guillemot and Joyner, 1993), *Dlx1* (Anderson et al., 1997b), *Olig2* (Q. Zhou et al., 2000), *Olig1* (Q. Zhou et al., 2000), *Etv1* (Arber et al., 2000), *Etv5* (Hasegawa et al., 2004), *Spry2* (Minowada et al., 1999), and *tenascin C* (provided by Augret Joesw). The *GFP* probe was generated from a cDNA clone (i.e., pEGFP-N1; Cairine Logan; Mattar et al., 2008; S. Li et al., 2012). Additional probes were generated from cDNA clones acquired from the I.M.A.G.E. consortium (HudsonAlpha Institute) as follows: *Pdgfra*: 5704645 and *Sox9*: 5351850 (Lennon et al., 1996).

**Western blotting.** HEK293 cells were maintained in DMEM supplemented with 10% FBS, 10 U/ml penicillin, and 10 μg/ml streptomycin. Cells were transfected as described for P19 cells (see above) and harvested after 24 h. Cells or mouse embryonic brain tissue were lysed in NP-40 lysis buffer (0.05 M Tris, pH 7.5, 0.15 M NaCl, 1% NP-40, 1 mM EDTA, 50 mM NaF, 0.2 mM Na<sub>3</sub>VO<sub>4</sub>, 2 mM PMSF, 0.05 mM MG132, 1× complete protease inhibitor tablet (Roche), incubated for 30 min on ice and clarified by centrifuging at 20,000 for 15 min. Protein concentrations were determined via Bradford analysis. For Western blotting, 10 μg of each lysate was loaded on 10% SDS-PAGE gels and separated at a constant 125 mV voltage. Protein was transferred to a PVDF membrane in transfer buffer (25 mM Tris, 192 mM glycine, 20% methanol, pH 8.3) at 75 V for 1 h. PVDF membranes were blocked 1 h at room temperature in TBST (25 mM Tris, 3.0 mM KCl, 140 mM NaCl, pH 7.4, 0.1% Tween 20) containing 50 mg/ml powdered milk. The membranes were incubated in primary antibodies diluted in blocking solution for 1 h at room temper-

ature, or overnight at 4°C. After 3 × 10 min washes in TBST, the membranes were exposed to species-specific horseradish peroxidase-coupled secondary antibody diluted 1/50,000 for 1 h at room temperature. Membranes were washed 3 × 10 min, and developed using ECL Plus Western Blotting Reagent (GE Healthcare Life Science) and x-ray film. Primary antibodies used in Western blotting included the following: rabbit anti-ERK (Cell Signaling Technology), rabbit anti-pERK (Cell Signaling Technology), rabbit anti-β-actin (Abcam), mouse anti-Ascl1 (BD Biosciences), and rabbit anti-pAscl1. The custom phosphorylation-specific Ascl1 (pAscl1) antibody was generated by AnaSpec using peptide GVL-(pS)-PTISPNYC+C-NH<sub>2</sub>. Bands were quantitated by densitometry using National Institutes of Health ImageJ, and corrected for loading relative to β-actin.

**In vitro kinase assay.** Wild-type or mutant Ascl1 proteins were transcribed and translated *in vitro* using TNT rabbit reticulocyte lysate kit (Promega) according to the manufacturer's instructions. For kinase assay in rabbit reticulocyte lysates, reaction components were added to a precooled microfuge tube: 5 μl *in vitro* transcribed and translated protein, 1 μl active ERK1 (0.1 μg/μl; SignalChem), 2.5 μl 0.1 M ATP, 11.5 μl kinase assay buffer (25 mM MOPS, pH 7.2; 12.5 mM β-glycerol-phosphate; 25 mM MgCl<sub>2</sub>; 5 mM EGTA; 2 mM EDTA; and 0.25 mM dithiothreitol (added to kinase assay buffer before use). Blank controls were set up as outlined above, excluding the addition of active ERK1 kinase. Reaction samples were incubated at 30°C for 15 min and then applied on 10% SDS-PAGE gels for Western blotting.

**Image processing and analysis.** Images were processed using Photoshop software (Adobe) for orientation, clarity, false colorization, and overlay/colocalization. Western blot quantitation was performed using ImageJ. Immunohistochemistry quantitation of Sox9 and pERK on paraffin sections was performed using an Aperio Scanscope and related image analysis software. Briefly, slides were scanned to create digital images that were marked to limit analyses to areas of solid tumor. After color calibration and thresholding for hematoxylin (blue) and DAB (brown), DAB staining was scored using the color deconvolution algorithm where the score was calculated taking into account staining intensities and percentages [score = 1.0\*(%weak) + 2\*(%moderate) + 3\*(%strong)] or the nuclear quantification algorithm (for Olig2), where the score represents the percentage of nuclei positively stained. Scores for replicate cores were averaged and taken as the final score for the tissue sample.

**Quantitative and statistical analysis.** For the analysis of luciferase assay, luciferase data were normalized by dividing raw light readings by the corresponding Renilla values. Reported *n* values correspond to the number of individual experiments performed, each composed of three replicates per sample. For *in vivo* experiments, brains from at least three independent experiments were processed (*n* values refer to number of brains analyzed). Comparisons between control and experimental conditions were performed using a two-tailed Student's *t* test (to compare two values), while comparisons between multiple samples were performed by applying ANOVA and Tukey's multiple-comparison test using Prism software (GraphPad). Statistical variation was determined using SEM.

## Results

### Levels of ERK activation are associated with differing content of neuronal versus glial cells in human BRAF-associated brain tumors

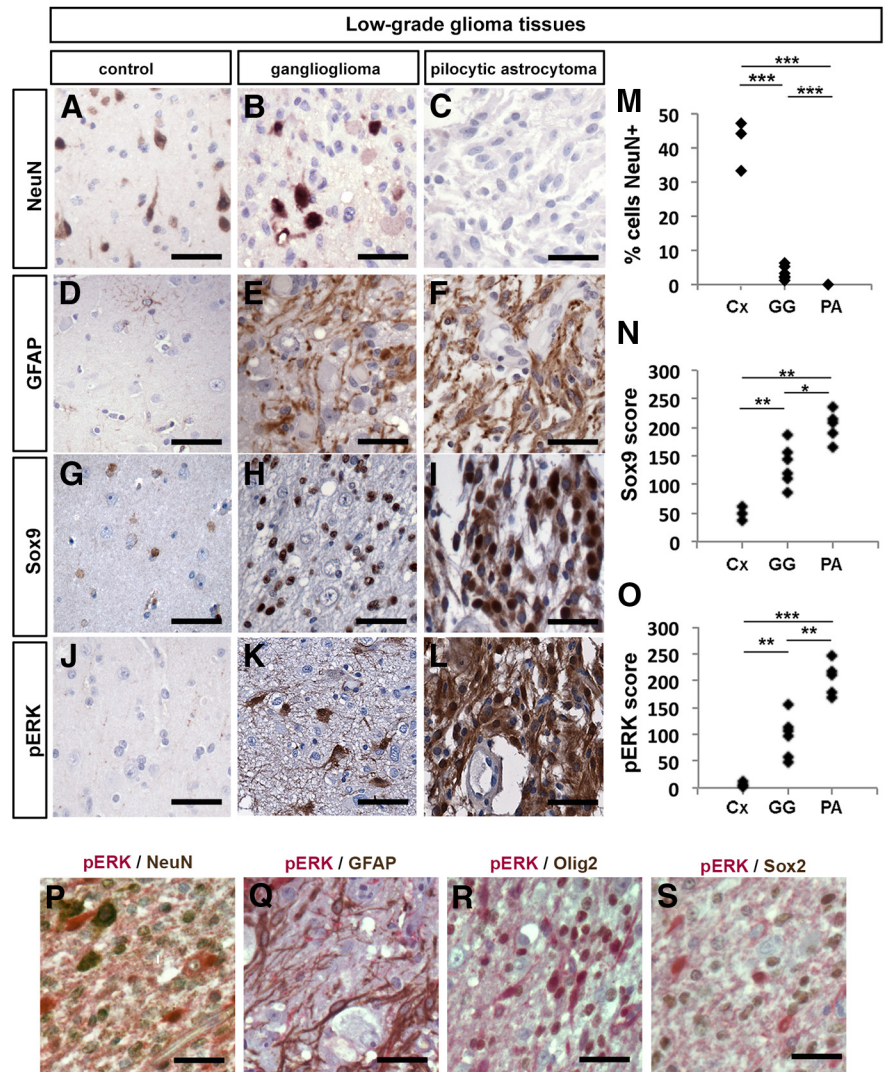
RAS pathway hyperactivation is a well recognized feature of gliomas and glioneuronal tumors, which have mutations at the level of upstream RTKs, NF1, BRAF, or previously (Louis et al., 2007; Bar et al., 2008; Jones et al., 2008; The Cancer Genome Atlas Research Network, 2008; Schindler et al., 2011). However, constitutive RAS activation alone does not translate into malignancy, as low-grade/benign neoplasms, such as PAs and GGs, are also characterized by activating mutations and rearrangements of BRAF (Zhu et al., 1997; Louis et al., 2007; Bar et al., 2008; Jones et al., 2008; Otero et al., 2011; Schindler et al., 2011). We thus asked whether RAS pathway hyperacti-



vation might have an additional role in glioma biology other than driving proliferation. More specifically, as RAS signaling can induce either neuronal or glial differentiation in neurodevelopment (Lukaszewicz et al., 2002; Ménard et al., 2002; Ito et al., 2003; Imamura et al., 2008; Ohtsuka et al., 2009), we asked whether it could similarly be playing a role in controlling neural cell fate specification during tumorigenesis.

We began our studies by investigating human PAs and GGs, which have distinct cellular compositions—GGs are composed of both neoplastic neurons and neoplastic glia (Zhu et al., 1997; Koelsche et al., 2013), whereas PAs are considered glial without a neuronal component. We assessed cell content in sections from six human cerebral GGs and five cerebral PAs, as well as three control brains (Table 1). The areas selected for analysis and quantitation were specifically chosen from central areas of solid tumors with care taken to avoid areas where there might have been possible infiltration into adjacent normal brain tissue. Consistent with their diagnoses, all six GGs contained abnormal NeuN<sup>+</sup> cells whereas PAs did not ( $p < 0.0001$  comparing GGs and PAs; Fig. 1A–C,M). Moreover, both GGs and PAs had increased numbers of glial lineage cells compared with control cortices, as assessed by immunolabeling with the astrocytic marker, GFAP (Fig. 1D–F) and the glioblast progenitor marker, Sox9, with glial content highest in PAs ( $p < 0.05$  comparing GGs and PAs; Fig. 1G–I,N). Finally, to determine whether increased glial and decreased neuronal content correlated with differences in RAS/ERK signaling, we performed immunohistochemical staining for ERK1/2 phosphorylated on T202/Y204 (hereafter designated pERK). pERK expression levels were significantly higher in PAs versus GGs ( $p < 0.001$  comparing GGs and PAs; Fig. 1J–L,O). Furthermore, within the GGs, strong pERK staining was preferentially seen in cells with glial morphology and was weak or absent in neuronal-like cells (Fig. 1H). This bias in pERK expression was confirmed by coexpression studies in GG tissues, where pERK staining largely colocalized with cells expressing the glial markers GFAP and Olig2 (Fig. 1Q,R), whereas cells expressing the neuronal marker NeuN only rarely colabeled with pERK (Fig. 1P). In addition to these cell populations, GGs contained variable numbers of Sox2<sup>+</sup> cells, consistent with a progenitor phenotype in a subset of GG cells, but these cells only showed occasional pERK colabeling (Fig. 1S).

Although correlative in nature, these findings together raise the possibility that different levels of RAS/ERK signaling might influence the cellular identity of glioma and glioneuronal tumor cells—with higher pERK leading to glial lineage selection over alternate neuronal fates.

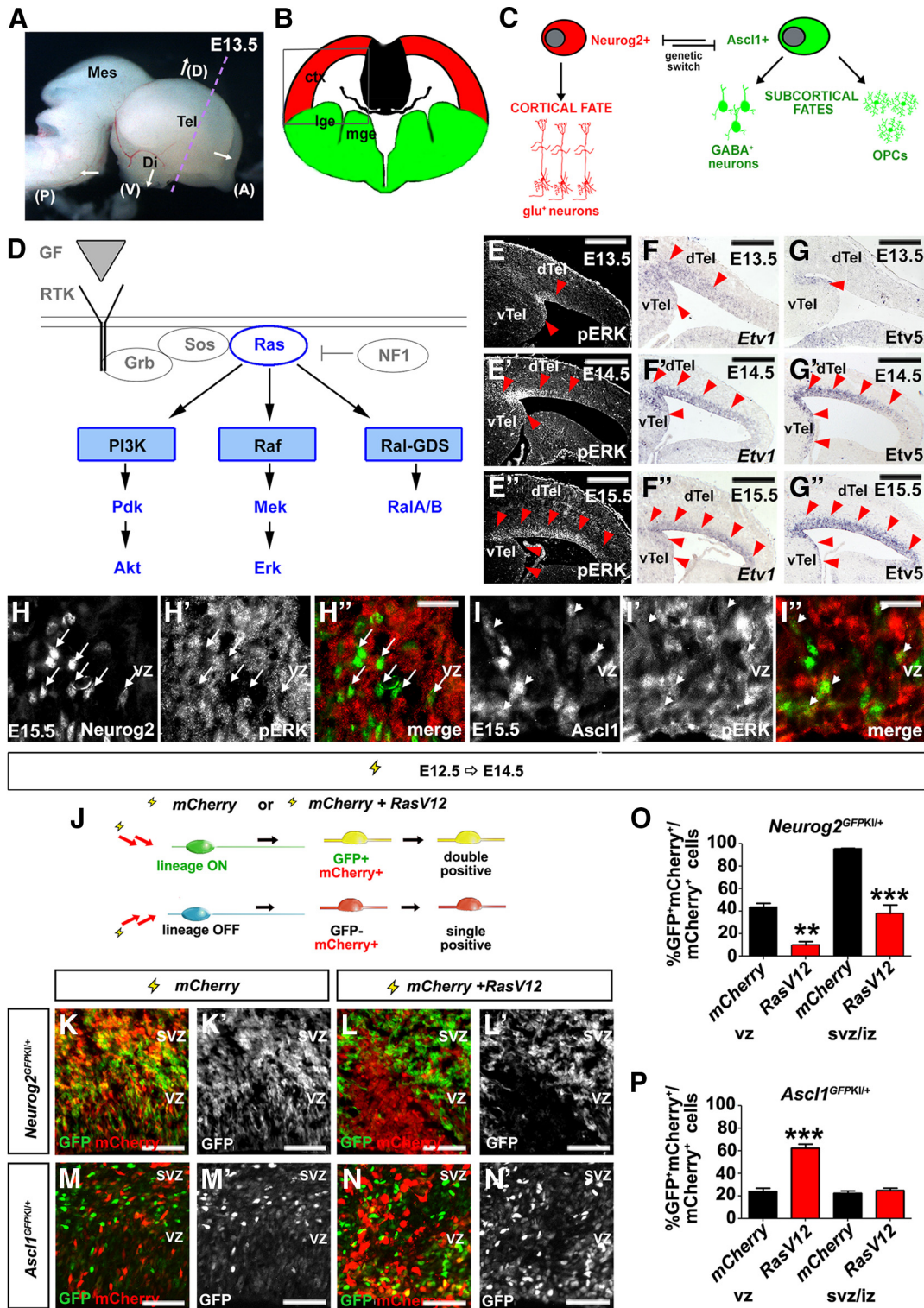


**Figure 1.** RAS/ERK activation correlates with histological and molecular features of human low-grade gliomas. **A–L**, Representative sections of adult human neocortex through control (Cx) nondiseased regions (**A, D, G, J**) or through a ganglioglioma (GG) (**B, E, H, K**) or pilocytic astrocytoma (PA) (**C, F, I, L**). Sections were processed for immunolabeling with the neuronal marker NeuN (**A–C**), GFAP (**D–F**), Sox9 (**G–I**), or pERK (**J–L**). The percentage of cells expressing NeuN (**M**), Sox9 (**N**), and pERK (**O**) was quantitated. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.005$ . AP-visualized (red) and DAB-visualized (brown) double immunolabeling of GG tissues with pERK/NeuN (**P**), pERK/GFAP (**Q**), pERK/Olig2 (**R**), and pERK/Sox2 (**S**). Scale bars: 50  $\mu$ m.

### RAS/ERK signaling is preferentially activated in *Ascl1* and not *Neurog2*-expressing cortical progenitors

To study the influence of RAS/ERK signaling on neural cell fate decisions in an *in vivo* context, we used the embryonic cerebral cortex as a model (Fig. 2A,B). During normal development, cortical progenitors in the dorsal telencephalon first give rise to gl<sup>+</sup> neurons that sequentially form seven cortical layers (layer VII is transient) between mouse E10 and E17 (Smart and Smarh, 1977; Caviness, 1982; Caviness et al., 1995; Supèr et al., 1998; Takahashi et al., 1999). In late embryogenesis, cortical progenitors then give rise to astrocytes and postnatally they give rise to OPCs (Kessaris et al., 2006; Piper et al., 2010; Subramanian et al., 2011). Similarly, embryonic subcortical progenitors in the ventral telencephalon differentiate sequentially, forming GABA<sup>+</sup> neurons, then astrocytes and finally OPCs, with a subset of GABA<sup>+</sup> neurons and OPCs migrating tangentially into the cortex (Tamamaki et al., 1997; Anderson et al., 1997a, 2001, 2002; Casarosa et al., 1999; Horton et al., 1999; Marin and Rubenstein, 2001; Nery et al.,





**Figure 2.** RAS signaling is required to regulate a *Neurog2-Ascl1* genetic switch in cortical progenitors. **A**, Dissected E13.5 brain showing coronal plane of section (dotted purple line). **B**, Schematic illustration of a frontal section through the telencephalon, with the boxed area indicating the targeted area of the neocortex used in all electroporations. **C**, Cortical progenitors are bipotent, normally selecting a glutamatergic neuronal fate under the proneural activity of *Neurog2*, but retaining the potential to differentiate into GABAergic neurons or OPCs in response to *Ascl1*. **D**, Schematic illustration of signaling pathways activated downstream of RTK/RAS signaling. **E–G**, Dynamic expression of pERK (**E–E'**), *Etv1* (**F–F'**), and *Etv5* (**G–G'**) in E13.5, E14.5, and E15.5 cortex. Red arrowheads mark lateral-to-medial expansion of expression. **H, I**, E15.5 cortical sections double-stained for pERK (red) and *Neurog2* (green; **H–H'**), or *Ascl1* (green; **I–I'**). Arrows mark the cells in which *Neurog2* and pERK expression are mutually exclusive. Arrowheads mark the cells in which pERK and *Ascl1* are coexpressed. **J–P**, Schematic illustration of lineage tracing experiments in which an *mCherry* expression vector was electroporated with or without *RasV12* into E12.5 *Neurog2*<sup>GFP<sup>KI/+</sup></sup> or *Ascl1*<sup>GFP<sup>KI/+</sup></sup> cortical progenitors (**J**). GFP/*mCherry* expression in E12.5→E14.5 electroporated *Neurog2*<sup>GFP<sup>KI/+</sup></sup> (**K, K', L, L'**) and *Ascl1*<sup>GFP<sup>KI/+</sup></sup> (**M, M', N, N'**) brains. Quantification of GFP and *mCherry* coexpression in VZ or SVZ/lz cells of *Neurog2*<sup>GFP<sup>KI/+</sup></sup> (**O**) and *Ascl1*<sup>GFP<sup>KI/+</sup></sup> (**P**) brains. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.005. Scale bars: **E–G, E'–G'**, 250 μm; **H', I'**, 67.5 μm; **K–N, K'–N'**, 125 μm. A, anterior; ctx, neocortex; D, dorsal; di, diencephalon; dTel, dorsal telencephalon; GF, growth factor; lge, lateral ganglionic eminence; mes, mesencephalon; mge, medial ganglionic eminence; P, posterior; tel, telencephalon; V, ventral; vTel, ventral telencephalon.

2002; Xu et al., 2003, 2004; Butt et al., 2005; Kessaris et al., 2006). Based on their embryonic lineage relationships, and a molecular understanding of fate specification, we thus consider  $glu^+$  neurons to have a cortical fate, while  $GABA^+$  neurons and OPCs are subcortical fates in the embryo (Fig. 2C).

In an instructive mode of cell fate specification, extrinsic signals control cell fate choices at lineage branch points by regulating genetic switches—activating one set of genes, while repressing alternative genetic programs (Pearson and Doe, 2004; Huang et al., 2007). Several observations suggest that in the telencephalon, the bHLH transcription factors *Neurog2* and *Ascl1* form such a genetic switch (Fig. 2C). First, *Neurog2* and *Ascl1* specify distinct cell fates (Schuurmans and Guillemot, 2002). *Neurog2* is necessary and sufficient to specify a  $glu^+$  neuronal fate, whereas *Ascl1* has multiple functions, either promoting proliferation or inducing the differentiation of  $GABA^+$  neurons or OPCs (Casarosa et al., 1999; Horton et al., 1999; Fode et al., 2000; Nieto et al., 2001; Parras et al., 2002; Schuurmans et al., 2004; Britz et al., 2006; Mattar et al., 2008; Castro et al., 2011; S. Li et al., 2012; Kovach et al., 2013). Second, *Neurog2* and *Ascl1* are repressive at the transcriptional level, with the loss of *Neurog2* leading to upregulated *Ascl1* expression in dorsal progenitors, converting these cells to ventral cell fates (Fode et al., 2000; Schuurmans et al., 2004). We thus conceive of *Neurog2-Ascl1* as forming a central genetic switch that controls the choice between different telencephalic neuronal and glial cell fates (Fig. 2C). Here we investigated whether this *Neurog2-Ascl1* switch was regulated by RAS/ERK signaling, and the significance of this switch in low-grade glial and glioneuronal tumors (Fig. 2D).

### RAS/ERK signaling is temporally activated in telencephalic progenitors

Given previous associations between RAS/ERK signaling and either increased gliogenesis or neurogenesis (Baron et al., 2000; Chandran et al., 2003; Gabay et al., 2003; Hack et al., 2004; Kessaris et al., 2004; Abematsu et al., 2006; Aguirre et al., 2007; Samuels et al., 2008; Paquin et al., 2009; X. Li et al., 2012; Wang et al., 2012), we asked whether this signaling pathway might select different neural cell lineages by controlling the proneural genetic switch. We reasoned that if RAS signaling controlled *Neurog2/Ascl1* expression and/or function, that it should undergo temporal regulation, concomitant with the temporal changes in neural cell output by telencephalic progenitors. To detect RAS pathway activation, we analyzed the expression of pERK as well as the Fgf-syn-expression group genes, *Spry2*, *Etv1*, and *Etv5*, all of which are expressed in response to RTK signaling (Tsang and Dawid, 2004). In E12.5 cortical progenitors, pERK expression was negligible except in mitotic cells at the ventricular surface (Fig. 2E). By E13.5, cortical progenitors in the lateral most corner of the ventricular zone (VZ) began expressing pERK, and by E15.5, pERK expression covered the entire cortical VZ (Fig. 2E, E'). *Etv1* (Fig. 2F–F'), *Etv5* (Fig. 2G–G'), and *Spry2* (data not shown) transcription was also progressively initiated in the cortical VZ, following a similar lateral-to-medial gradient between E12.5 and E15.5. The initiation of RAS/ERK signaling in the lateral VZ and subsequent medial progression parallels the neurogenic gradient, and is likely initially triggered by Egf and Fgf ligands expressed in the cortical antihem, a lateral signaling center (Assimacopoulos et al., 2003; Hasegawa et al., 2004; Sansom and Livesey, 2009).

Finally, consistent with a normal *in vivo* requirement for RAS/ERK signaling in repressing *Neurog2* and inducing *Ascl1* expression, we found that *Neurog2* and pERK were expressed in an

almost completely nonoverlapping subset of E15.5 cortical progenitors (Fig. 2H–H'), while *Ascl1* and pERK were frequently coexpressed (Fig. 2I–I'). Together, these data are consistent with the idea that RAS/ERK signaling is preferentially active in *Ascl1^+* and not *Neurog2^+* cortical progenitors, and suggest that RAS/ERK signaling may regulate the proneural genetic switch.

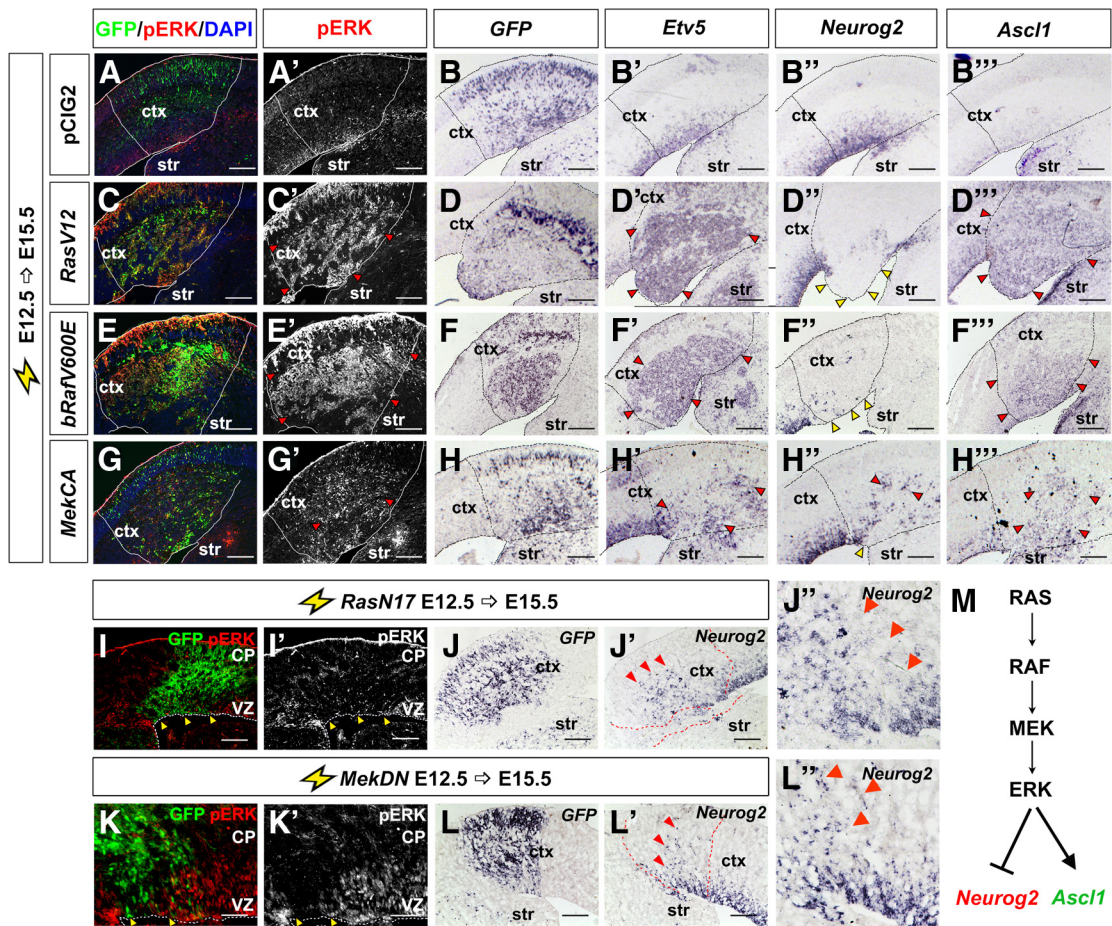
### RAS functions through the ERK pathway to control the *Neurog2-Ascl1* proneural genetic switch in cortical progenitors

To investigate whether increased RAS pathway activity was sufficient to trigger the *Neurog2-Ascl1* proneural switch in cortical progenitors, we activated RAS signaling in *Neurog2<sup>GFPKI/+</sup>* cortices (Britz et al., 2006), taking advantage of the persistence of GFP expression in *Neurog2^+* cortical progenitors and derivative neurons to perform short-term lineage tracing (Fig. 2J). To activate RAS/ERK signaling in E12.5 cortices, we used *in utero* electroporation (Dixit et al., 2011) to introduce a constitutively active (CA) form of RAS (*RasV12*; Chen et al., 2003), using an expression vector with an IRES-*mCherry* cassette to identify transfected cells. In this assay, GFP<sup>+</sup>mCherry<sup>+</sup> coexpression marked electroporated cells in the *Neurog2^+* lineage, while mCherry single<sup>+</sup> cells were not of the *Neurog2^+* lineage (Fig. 2J). In E12.5→E14.5 electroporations of *mCherry* vectors alone, 43.5 ± 3.6% and 95.4 ± 0.6% of mCherry<sup>+</sup> VZ and subventricular zone (SVZ)/intermediate zone (IZ) cells coexpressed GFP in *Neurog2<sup>GFPKI/+</sup>* cortices, respectively ( $n = 4$ ; Fig. 2K, K', O). In contrast, electroporation of *RasV12* and mCherry vectors blocked GFP expression in *Neurog2<sup>GFPKI/+</sup>* cortical VZ cells (10.1 ± 3.2%,  $n = 2$ ;  $p = 0.0045$ ) and SVZ/IZ cells (38.2 ± 7.2%,  $n = 2$ ;  $p = 0.0002$ ; Fig. 2L, L', O). Thus, increased RAS activity not only represses *Neurog2* expression in cortical VZ progenitors, but also blocks selection of this lineage in daughter cells that have moved to the SVZ/IZ.

If our model of proneural gene regulation is correct, we would also expect an aberrant increase in *Ascl1* expression with activated RAS. Indeed, in analogous short-term lineage traces performed in *Ascl1<sup>GFPKI/+</sup>* embryos (Leung et al., 2007), *RasV12* promoted GFP expression and *Ascl1* lineage selection in transfected, mCherry<sup>+</sup> cortical VZ progenitors (*mCherry*: 24.1 ± 2.6%,  $n = 3$ ; *RasV12*: 62.3 ± 3.5%,  $n = 3$ ;  $p = 0.0009$ ; Fig. 2M, M', N, N', P). Although GFP did not persist in *RasV12*-transfected *Ascl1<sup>GFPKI/+</sup>* cells in the SVZ/IZ (*mCherry*: 22.5 ± 1.7%,  $n = 3$ ; *RasV12*: 24.8 ± 2.2%,  $n = 3$ ;  $p = 0.45$ ; Fig. 2P), this is likely because as *Ascl1^+* progenitors exit the VZ and enter the SVZ/IZ, they differentiate, turning off *Ascl1* (and eventually GFP) and turning on glial markers. Indeed, previous studies have shown that *Ascl1* is downregulated in differentiating cells (Petryniak et al., 2007), and we show evidence later that *RasV12* induces OPC differentiation (Fig. 5).

*RasV12* activates several downstream signal transduction cascades (Fig. 2D). To determine whether it was the ERK branch of the pathway that repressed *Neurog2* while increasing *Ascl1* transcription, we also performed E12.5→E15.5 cortical electroporations of pCIG2 expression vectors (also carrying an IRES-GFP cassette) for *bRafV600E* (Dias-Santagata et al., 2011) and *MekCA* (Cowley et al., 1994), which selectively and constitutively activate ERK. Note that misexpression of *RasV12*, *bRafV600E*, and *MekCA* should mimic the overactivity of the RAS/ERK pathway observed in gliomas and glioneuronal tumors. As expected, overexpression of *RasV12*, *bRafV600E*, and to a lesser extent, *MekCA*, all induced ectopic pERK expression in cortical progenitors (Fig. 3C, C', E, E', G, G'), and also induced *Etv5* expression





**Figure 3.** RAS functions through the ERK branch to regulate the *Neurog2-Ascl1* genetic switch in cortical progenitors. E12.5→E15.5 electroporations of a pCIG2 control vector (A, A', B–B'''), *RasV12* (C, C', C, C', E, E', G, G', I, I', K, K'), or for transcripts for *GFP* (B, D, F, H, J, L), *Etv5* (B', D', F', H', J', L', L'), and *Ascl1* (B'', D'', F'', H'', J'', L'', L''). Dashed lines outline the transfected region in the neocortex. Red arrowheads mark ectopic pERK (C', E', G'), *Etv5* (D', F', H'), *Neurog2* (H', J', J', L', L'), and *Ascl1* expression (D'', F'', H''), whereas yellow arrowheads mark transfected areas in which *Neurog2* (D', F', H') or pERK (I, I', K, K') expression was extinguished. M, Schematic illustration of repression of *Neurog2* expression and induction of *Ascl1* expression by RAS/ERK signaling. Scale bars: 250  $\mu$ m. CP, cortical plate; ctx, neocortex; str, striatum.

(Fig. 3D',F',H') in the *GFP*<sup>+</sup> transfected patch (compare with vector controls; Fig. 3A,A',B'). Note that *Etv5* is an Fgf-syn-expression group gene that is normally transcribed in response to RTK signaling (Tsang and Dawid, 2004). *RasV12*, *bRafV600E*, and *MekCA* also repressed *Neurog2* expression (Fig. 3D'',F'',H'') and induced ectopic *Ascl1* expression in the VZ and SVZ/IZ (Fig. 3D''',F''',H''') compared with vector controls (Fig. 3B'',B'''). In contrast, electroporation of pCIG2 vectors containing active forms of *AktCA*, *RalAV23*, and *RalBQ72L* (Franke et al., 1997; Lim et al., 2005; Sablina et al., 2007) into E12.5 cortical progenitors did not alter the expression of pERK, *Etv5*, *Neurog2*, or *Ascl1* (data not shown).

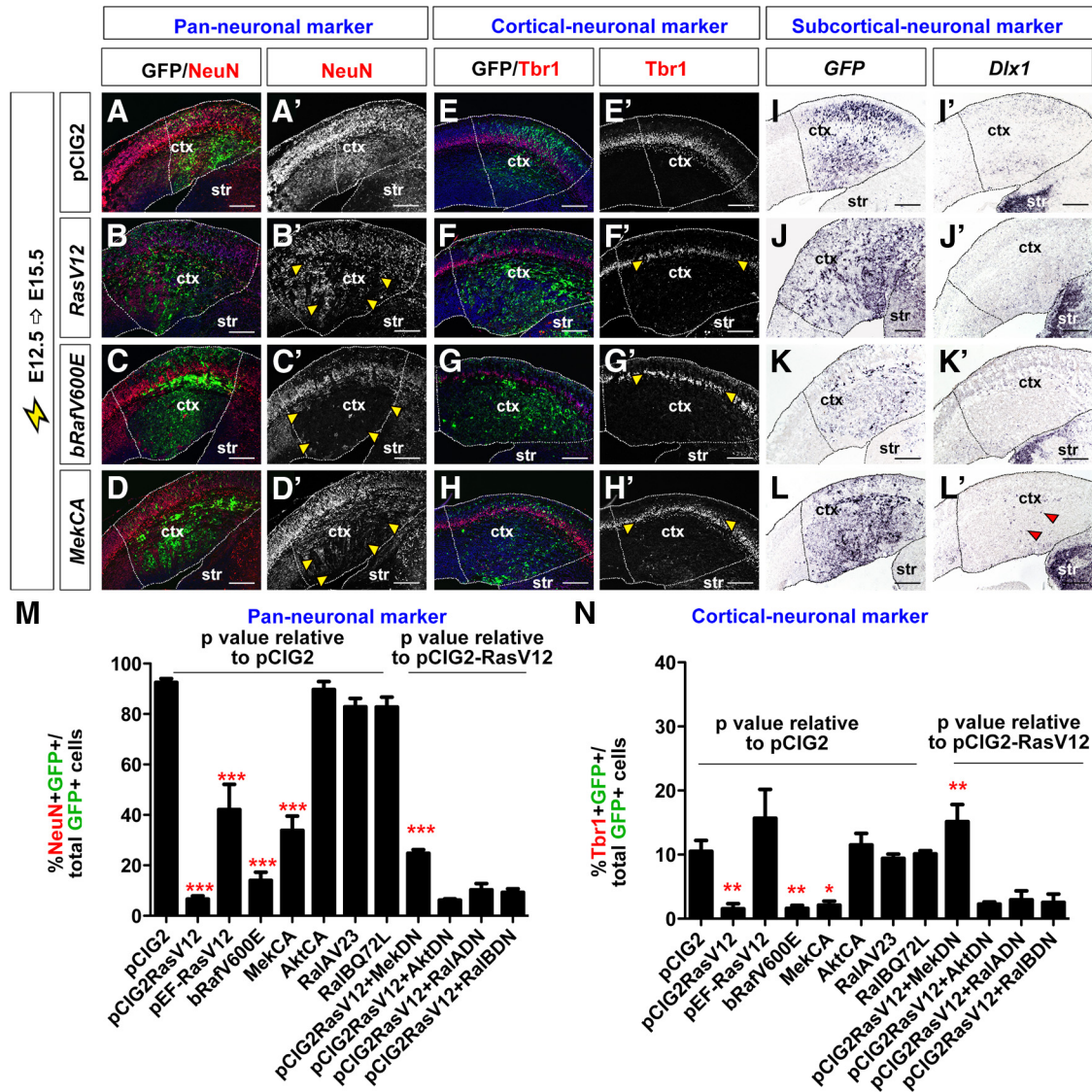
Finally, to determine whether RAS/ERK signaling is normally required to regulate proneural gene expression, we inhibited signaling in cortical progenitors by introducing dominant-negative (DN) *RasN17* and *Mek* in pCIG2 expression vectors (Cowley et al., 1994; Yoshimura et al., 2006). In E12.5→E15.5 cortical electroporations, both *RasN17* and *MekDN* reduced pERK expression, and promoted ectopic *Neurog2* expression in *GFP*<sup>+</sup> cortical cells (Fig. 3I–L). This is consistent with the previously observed increase in *Neurog2* expression in *Fgf receptor 1* (*Fgfr1*);*Fgfr2*; *Fgfr3* triple knock-outs, in which signaling through the RAS/ERK pathway is reduced (Rash et al., 2011).

RAS signaling is thus necessary and sufficient to trigger a *Neurog2*-to-*Ascl1* proneural lineage switch, which may alter subsequent cell fate selection (Fig. 3M).

**Increased RAS/ERK signaling diverts cortical cells away from neuronal fates**

*Neurog2* promotes the differentiation of *glu*<sup>+</sup> pyramidal neurons (Fode et al., 2000; Schuurmans et al., 2004; Mattar et al., 2008) whereas *Ascl1* promotes GABA<sup>+</sup> neuronal as well as non-neuronal fates (Casarosa et al., 1999; Britz et al., 2006; Berninger et al., 2007; Geoffroy et al., 2009). We therefore examined the expression of the neuronal markers *NeuN* (late pan-neuronal marker), *Tbr1* (deep-layer *glu*<sup>+</sup> neuronal marker; Hevner et al., 2001; Bedogni et al., 2010), and *Dlx1* (GABA<sup>+</sup> neuronal lineage marker; Anderson et al., 1997b) in cortical cells electroporated with pCIG2 vectors containing CA forms of RAS and its major effectors.

In E12.5→E15.5 electroporations of *RasV12*, *bRafV600E*, or *MekCA* vectors (expressing *GFP*), the number of *GFP*<sup>+</sup> transfected cells expressing *NeuN* was reduced 14.0-, 6.7-, and 2.7-fold, respectively, when compared with pCIG2 controls (*n* = 3 for each construct; *p* < 0.0001 for all comparisons; Fig. 4A–D,A'–D',M). In contrast, *AktCA*, *RalAV23*, and *RalBQ72L* did not alter



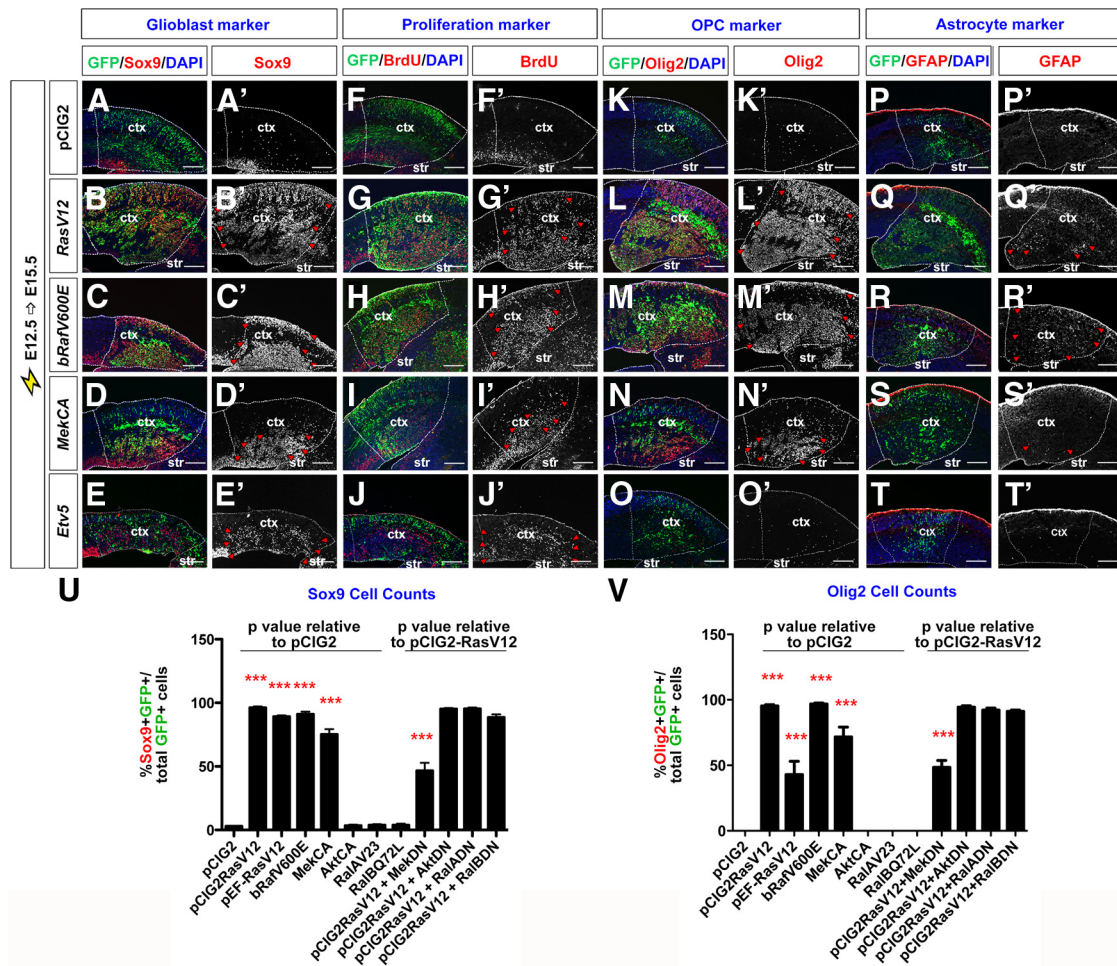
**Figure 4.** RAS/ERK signaling inhibits cortical neurogenesis. **A–L**, E12.5→E15.5 electroporations of a pCIG2 control vector (**A, A', E, E', I, I'**), *RasV12* (**B, B', F, F', J, J'**), *bRafV600E* (**C, C', G, G', K, K'**), and *MekCA* (**D, D', H, H', L, L'**) vectors (expressing GFP). Transfected brains were analyzed for coexpression of GFP (green) with pan-neuronal marker NeuN (red; **A–D, A'–D'**) and the cortical neuronal marker *Tbr1* (red; **E–H, E'–H'**), or for transcripts for GFP (**I–L**) or the subcortical neuronal marker *Dlx1* (**I'–L'**). Dashed lines outline the transfected region in the neocortex. Yellow arrowheads mark transfected areas with reduced expression of NeuN (**B', C', D'**), and *Tbr1* (**F', G', H'**), and red arrowheads mark ectopic expression of *Dlx1* (**L'**). Scale bars: 250  $\mu$ m. **M, N**, Quantification of GFP<sup>+</sup> cells coexpressing NeuN (**M**) or *Tbr1* (**N**). *p* values of pCIG2-*RasV12*, pEF-*RasV12*, *bRafV600E*, *MekCA*, *AktCA*, *RalAV23*, and *RalBQ72L* are relative to pCIG2 control, whereas *p* values of pCIG2-*RasV12*+pCIG2-*MekDN*, pCIG2-*RasV12*+pCIG2-*AktDN*, pCIG2-*RasV12*+pCIG2-*RalADN*, and pCIG2-*RasV12*+pCIG2-*RalBDN* are relative to pCIG2-*RasV12*. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.005. ctx, neocortex; str, striatum.

production of NeuN<sup>+</sup> neurons (Fig. 4M and data not shown). We also coelectroporated E12.5 cortical progenitors with pCIG2 vectors for *RasV12* together with pCIG2 vectors overexpressing DN forms of *Mek*, *Akt*, *RalA*, and *RalB* (B. P. Zhou et al., 2000; Lim et al., 2005; Yoshimura et al., 2006). Only *MekDN* partially rescued RAS inhibition of NeuN<sup>+</sup> neurogenesis (*n* = 3 for each set of constructs; *p* < 0.0001 vs *RasV12* alone; Fig. 4M), further supporting the role of ERK signaling in mediating RAS effects. Similar results were obtained when examining *Tbr1* expression. In E12.5→E15.5 electroporations, pCIG2 vectors overexpressing *RasV12* (*p* < 0.001), *bRafV600E* (*p* < 0.001), and *MekCA* (*p* < 0.05) reduced the number of *Tbr1*<sup>+</sup> cells generated by 7.0-, 6.6-, and 5.0-fold, respectively, compared with pCIG2 controls (*n* = 3 for each construct; Fig. 4E–H, E'–H', N). In contrast, electroporation of pCIG2 vectors overexpressing *AktCA*, *RalAV23*, and *RalBQ72L* did not alter *Tbr1* expression, and a partial rescue of

*RasV12*-mediated *Tbr1* inhibition was only elicited by coexpression with *MekDN* (*n* = 3 for each set of constructs; *p* < 0.001 compared with *RasV12* alone; Fig. 4N and data not shown). RAS thus acts specifically through the ERK pathway to divert cortical progenitors away from glu<sup>+</sup> neuronal fates.

Given the loss of *Neurog2* expression in response to RAS/ERK activation, a reduction in glu<sup>+</sup> neuronal differentiation was not unexpected. The accompanying upregulation of *Ascl1* expression likewise suggested that some cortical progenitors might aberrantly select a GABA<sup>+</sup> interneuron fate. In E12.5→E15.5 electroporations of our pCIG2-RAS signaling constructs, however, *Dlx1* expression was unaltered (Fig. 4I–L, I'–L' and data not shown), with the exception of pCIG2-*MekCA*, which induced ectopic *Dlx1* in a small number of cortical cells (Fig. 4L')—a finding that we return to below. We can, however, make the general conclusion that strong RAS/ERK activation generally blocks neu-





**Figure 5.** RAS/ERK signaling promotes proliferation and a glial cell fate in cortical progenitors. E12.5→E15.5 electroporations of a pCIG2 control vector (A, A', F, F', K, K', P, P'), *RasV12* (B, B', G, G', L, L', Q, Q'), *bRafV600E* (C, C', H, H', M, M', R, R'), *MekCA* (D, D', I, I', N, N', S, S'), and *Etv5* (E, E', J, J', O, O', T, T') vectors (expressing). Transfected brains were analyzed for coexpression of GFP with Sox9 (A–E, A'–E'), BrdU (30 min pulse; F–J, F'–J'), Olig2 (K–O, K'–O'), or GFAP (P–T, P'–T'). Dashed lines outline the transfected region in the neocortex. Red arrowheads mark transfected cells ectopically expressing Sox9 (B'–E'), BrdU (G'–J'), Olig2 (L'–N'), or GFAP (Q'–S'). Scale bars: 250  $\mu$ m. **U, V**, Quantification of GFP<sup>+</sup> cells coexpressing Sox9 (**U**) or Olig2 (**V**). *p* values of pCIG2*RasV12*, pCIG2*RasV12*, pCIG2*bRafV600E*, pCIG2*MekCA*, pCIG2*AktCA*, pCIG2*RalAV23*, and pCIG2*RalBQ72L* are relative to pCIG2 control, whereas *p* values of pCIG2*RasV12* + pCIG2*MekDN*, pCIG2*RasV12* + pCIG2*AktDN*, pCIG2*RasV12* + pCIG2*RalADN*, and pCIG2*RasV12* + pCIG2*RalBDN* are relative to pCIG2*RasV12*. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.005. ctx, neocortex; str, striatum.

ronal differentiation, raising the question of what the transfected cells had instead become.

**Increased RAS/ERK signaling diverts cortical cells to proliferating, glial cell lineages**

In addition to promoting a GABA<sup>+</sup> neuronal fate, *Ascl1* also promotes progenitor proliferation and OPC fate specification (Casarosa et al., 1999; Horton et al., 1999; Parras et al., 2007; Castro et al., 2011). We thus asked whether the *Ascl1*<sup>+</sup> progenitors generated in response to hyperactivated RAS acquired a proliferative, glioblast fate. Sox9 is an HMG-box transcription factor that is required to specify a glial identity (Stolt et al., 2003; Kang et al., 2012), and is also a direct *Ascl1* transcriptional target (Castro et al., 2011). In control E12.5→E15.5 electroporations of pCIG2, Sox9 was expressed in the VZ and in scattered cells outside the VZ (Fig. 5A, A'). Notably, loss of apical ventricular contacts is a hallmark of glial precursors, which continue dividing after leaving the VZ (Altman, 1966). In E12.5→E15.5 electroporations of pCIG2*RasV12*, pCIG2*bRafV600E*, and pCIG2*MekCA* vectors (coexpressing GFP), Sox9 was ectopically expressed throughout the cortex, indicating a marked increase in glioblast number

(Fig. 5B–D, B'–D'). Indeed, electroporation of pCIG2*RasV12*, pCIG2*bRafV600E*, and pCIG2*MekCA* induced 40.5-, 37.5-, and 28.9-fold increases in the percentage of GFP<sup>+</sup> electroporated cells that expressed Sox9, respectively (*n* = 3 for each construct; *p* < 0.0001 compared with pCIG2; Fig. 5U). In contrast, pCIG2*AktCA*, pCIG2*RalAV23*, and pCIG2*RalBQ72L* transfections had no influence on Sox9 expression (Fig. 5U and data not shown). In addition, in rescue experiments, only pCIG2*MekDN* suppressed the ability of *RasV12* to induce the formation of Sox9<sup>+</sup> glioblasts in E12.5→E15.5 cortical electroporations (*n* = 3 for each set of constructs; *p* < 0.0001 compared with *RasV12* alone; Fig. 5U).

To confirm that RAS/ERK signaling could induce a glial precursor fate in cortical progenitors, we also examined expression of *Nfia*, a transcriptional target of Sox9 that regulates the switch from neurogenesis to gliogenesis (Deneen et al., 2006; Kang et al., 2012), as well as tenascin C and glutamine synthetase, which are both expressed in glial precursors that give rise to OPC or astrocytic lineages (Cammer, 1990; Wiese et al., 2012). In E12.5→E15.5 cortical electroporations, all three of these glioblast markers were induced by pCIG2*RasV12*, pCIG2*bRafV600E*,

and pCIG2 *MekCA* and not by pCIG2 *AktCA*, pCIG2 *RalAV23*, or pCIG2 *RalBQ72L* (data not shown). Finally, given that glioblasts are actively proliferating cells, we assessed whether activation of RAS/ERK promoted ectopic proliferation, as detected by BrdU labeling. In control E12.5→E15.5 control electroporations, BrdU<sup>+</sup> cells were detected in an abventricular band in the VZ and in a smaller number of scattered progenitors in the cortical layers, which presumably are glioblasts (Fig. 5*F,F'*). In contrast, in E12.5→E15.5 cortical electroporations of pCIG2 *RasV12*, pCIG2 *bRafV600E*, and pCIG2 *MekCA* vectors, large numbers of cells incorporated BrdU outside of the VZ, indicative of ectopic proliferation (Fig. 5*G–I, G'–I'*).

Together, these studies suggest that activated ERK, and not other downstream RAS effectors, promotes the rapid formation of proliferative, glial precursor cells.

### Increased RAS/ERK signaling promotes OPC and astrocytic lineage selection

To determine whether the glioblasts induced by RAS activation in E12.5 cortical progenitors would preferentially give rise to OPC or astrocytic lineages, we examined glial lineage markers in the E12.5→E15.5 electroporations of our signaling constructs. While 0% of pCIG2 (control), or pCIG2 *AktCA*, pCIG2 *RalAV23*, and pCIG2 *RalBQ72L*-transfected cortical cells expressed *Olig2*, which is required to specify an OPC fate (Zhou and Anderson, 2002),  $95.3 \pm 1.0\%$ ,  $96.9 \pm 0.7\%$ , and  $71.7 \pm 7.4\%$  of pCIG2 *RasV12*, pCIG2 *bRafV600E*, and pCIG2 *MekCA*-transfected cells expressed *Olig2*, respectively ( $n = 3$  for each construct;  $p < 0.0001$  compared with pCIG2; Fig. 5*K–N, K'–N', V* and data not shown). Furthermore, coexpression of pCIG2 *RasV12* with pCIG2 *MekDN* resulted in a 2.0-fold decrease in the number of *Olig2*<sup>+</sup> cells formed compared with RAS activation alone ( $n = 3$  for each set of constructs;  $p < 0.0001$  compared with *RasV12* alone), whereas pCIG2 *AktDN*, pCIG2 *RalADN*, and pCIG2 *RalBDN* had no ability to suppress *RasV12* induction of an OPC fate (Fig. 5*V* and data not shown). These values were complementary to our NeuN counts (Fig. 4*M*), and suggested that the majority of the RAS/ERK-activated cells ultimately became either *Olig2*<sup>+</sup> OPCs (predominant) or NeuN<sup>+</sup> neurons. Similar results were obtained when examining additional OPC markers, including *Olig1* and *Pdgfra* (Pringle et al., 1992; Zhou and Anderson, 2002; Rowitch, 2004; data not shown). RAS thus acts via the ERK pathway to promote OPC fate specification in cortical glioblasts.

In the spinal cord, *Ascl1* is expressed in neuronal and OPC lineages and not in astrocytic lineages (Battiste et al., 2007). Moreover, *Olig2* blocks astrocyte differentiation (Fukuda et al., 2004). We thus speculated that the ability of activated RAS to induce *Ascl1* and *Olig2* expression in a large number of cortical cells would generally preclude the induction of astrocyte differentiation. Indeed, a relatively small number of E12.5 cortical cells electroporated with pCIG2 *RasV12*, pCIG2 *bRafV600E*, or pCIG2 *MekCA* vectors expressed the astrocytic marker glial fibrillary acidic protein (GFAP) at 72 h postelectroporation (Fig. 5*P–S, P'–S'*). While the number of pCIG2 *RasV12*-transfected cortical cells expressing GFAP increased if analyzed 6 d postelectroporation, OPC marker expression remained predominant (Fig. 7*O–T*). *Ascl1*<sup>+</sup> glioblasts are thus biased toward selecting OPC fates upon RAS/ERK hyperactivation.

### Activated RAS induces tumorigenesis in the embryonic cortex

To determine whether activated RAS indeed induced tumors in the embryonic cortex, we compared proliferation, cell identity, and histologic features induced following the expression of

pCIG2 versus pCIG2-*RasV12*. In E12.5→E18.5 cortical electroporations, pCIG2 *RasV12* induced the formation of GFP<sup>+</sup> lesions that were densely cellular, expansile tumors with a locally infiltrating border (Fig. 6*A, B, D, E, E'*). The tumor cells had a predominantly bipolar morphology and displayed occasional mitotic figures. In some tumors, areas of microcystic change were seen (data not shown). In contrast to the clear laminar organization of pCIG2-control electroporated cortices (Fig. 6*C*), a distinct cell-sparse IZ was not observed upon pCIG2 *RasV12* transfection (Fig. 6*D*). Based on the morphologic features of pCIG2 *RasV12* tumors and their generally low proliferative rates, the tumors most closely resemble a low-grade astrocytoma akin to human pilocytic astrocytoma. Others have similarly observed generation of pilocytic astrocytoma-like tumors when introducing activated BRAF (Gronych et al., 2011), or have observed a range of low- and high-grade astrocytomas when introducing *RasV12* (Ding et al., 2001). Notably, we have not determined whether the tumors are benign or malignant in our studies, a distinction that would require either transplantation into recipient mice or evidence of continuous expansion over time.

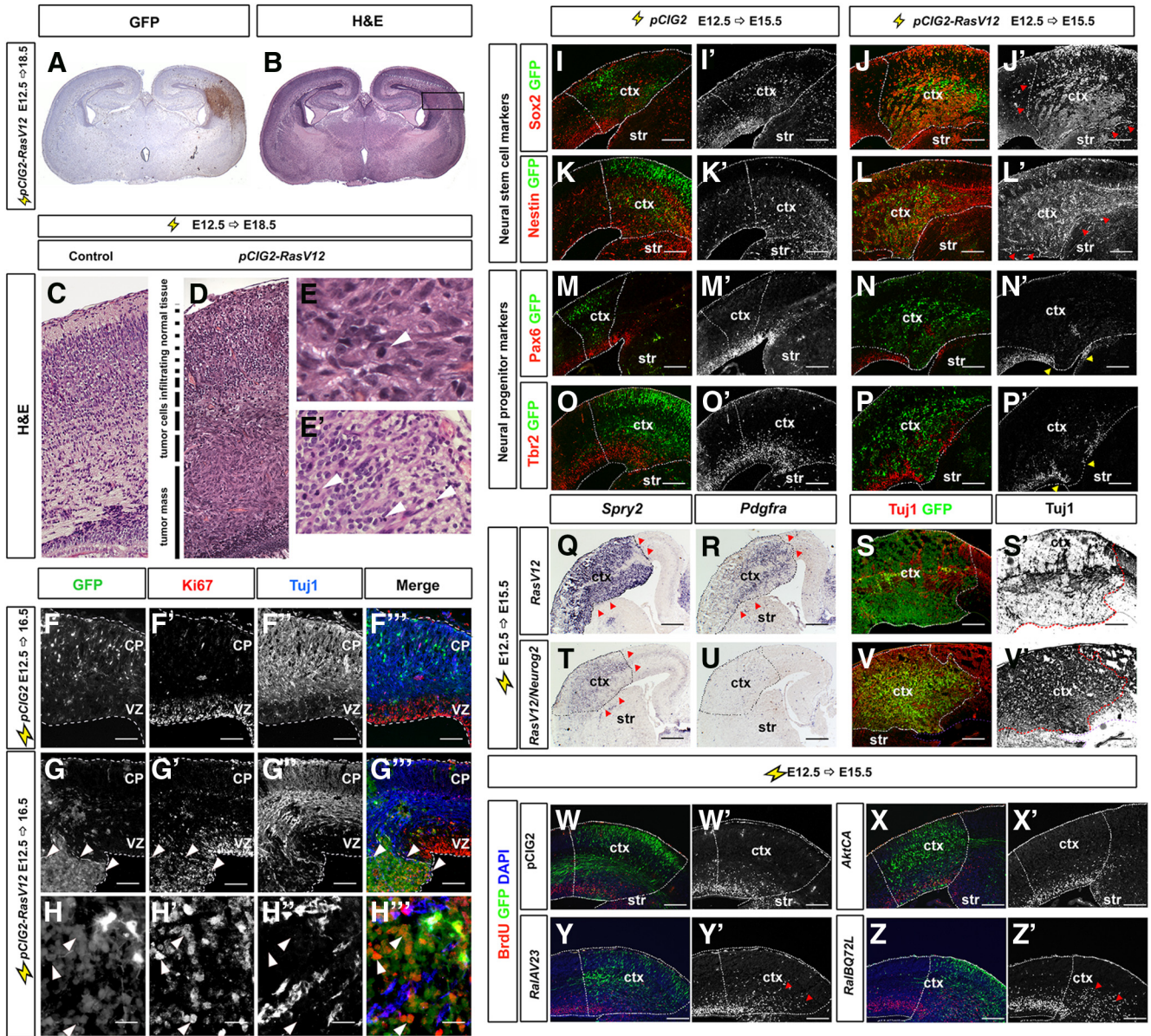
To confirm that pCIG2 *RasV12*-transfected cortical cells continued to proliferate instead of undergoing neuronal differentiation, we also examined expression of the proliferation marker Ki67 and the neuronal marker Tuj1 in electroporated cortices. In E12.5→E16.5 control pCIG2 electroporations, the GFP<sup>+</sup> cohort of transfected cells had mostly migrated out of the VZ and into the differentiation zones of the cortical plate, and accordingly, most GFP<sup>+</sup> cells expressed Tuj1 instead of Ki67 (Fig. 6*F–F''*). In contrast, *RasV12*-transfected, GFP<sup>+</sup> cells formed proliferative masses in which cells continued to divide as Ki67<sup>+</sup> progenitors, and for the most part, did not differentiate into Tuj1<sup>+</sup> neurons (Fig. 6*G–G''', H–H''*). We also examined the effects of *RasV12* on the expression of pan-neural progenitor markers Sox2 and nestin, as well as the cortical-specific progenitor markers Pax6 and Tbr2. While the germinal zone expression of each of these markers was maintained in E12.5→E15.5 pCIG2 control electroporations, pCIG2 *RasV12* greatly expanded the expression of Sox2 (Fig. 6*I, I', J, J'*) and nestin (Fig. 6*K, K', L, L'*) into the IZ and cortical plate, whereas Pax6 (Fig. 6*M, M', N, N'*) and Tbr2 (Fig. 6*O, O', P, P'*) expression was dramatically reduced.

Together, these data are consistent with the idea that activation of the RAS pathway promotes a proliferative neural progenitor phenotype, but these progenitors acquire a ventral *Ascl1*<sup>+</sup> identity, rather than a dorsal *Neurog2*<sup>+</sup>/*Pax6*<sup>+</sup>/*Tbr2*<sup>+</sup> identity.

### Loss of *Neurog2* expression contributes to *RasV12*-induced gliomagenesis

*Neurog2* promotes the differentiation of glu<sup>+</sup> neurons either when misexpressed in the embryonic telencephalon or in adult SVZ neural stem cells (Mattar et al., 2008; Blum et al., 2011; Chen et al., 2012; Heinrich et al., 2012; S. Li et al., 2012). Moreover, overexpression of *Neurog2*, either alone or in combination with other transcription factors, can induce neuronal differentiation in other somatic cell types, and in glioblastoma-derived cell lines (Meng et al., 2012; Zhao et al., 2012; Guichet et al., 2013). To determine whether the loss of *Neurog2* expression contributed to the oncogenic effects of *RasV12* in our *in vivo* system, we asked whether *Neurog2* could rescue *RasV12*-induced tumorigenesis. Strikingly, compared with the relatively uniform activation of pERK (data not shown) and *Spry2* transcription (Fig. 6*Q*) in E12.5→E15.5 *RasV12* single transfections, induction of pERK expression (data not shown) and *Spry2* transcription was significantly reduced when *Neurog2/RasV12* were electroporated in





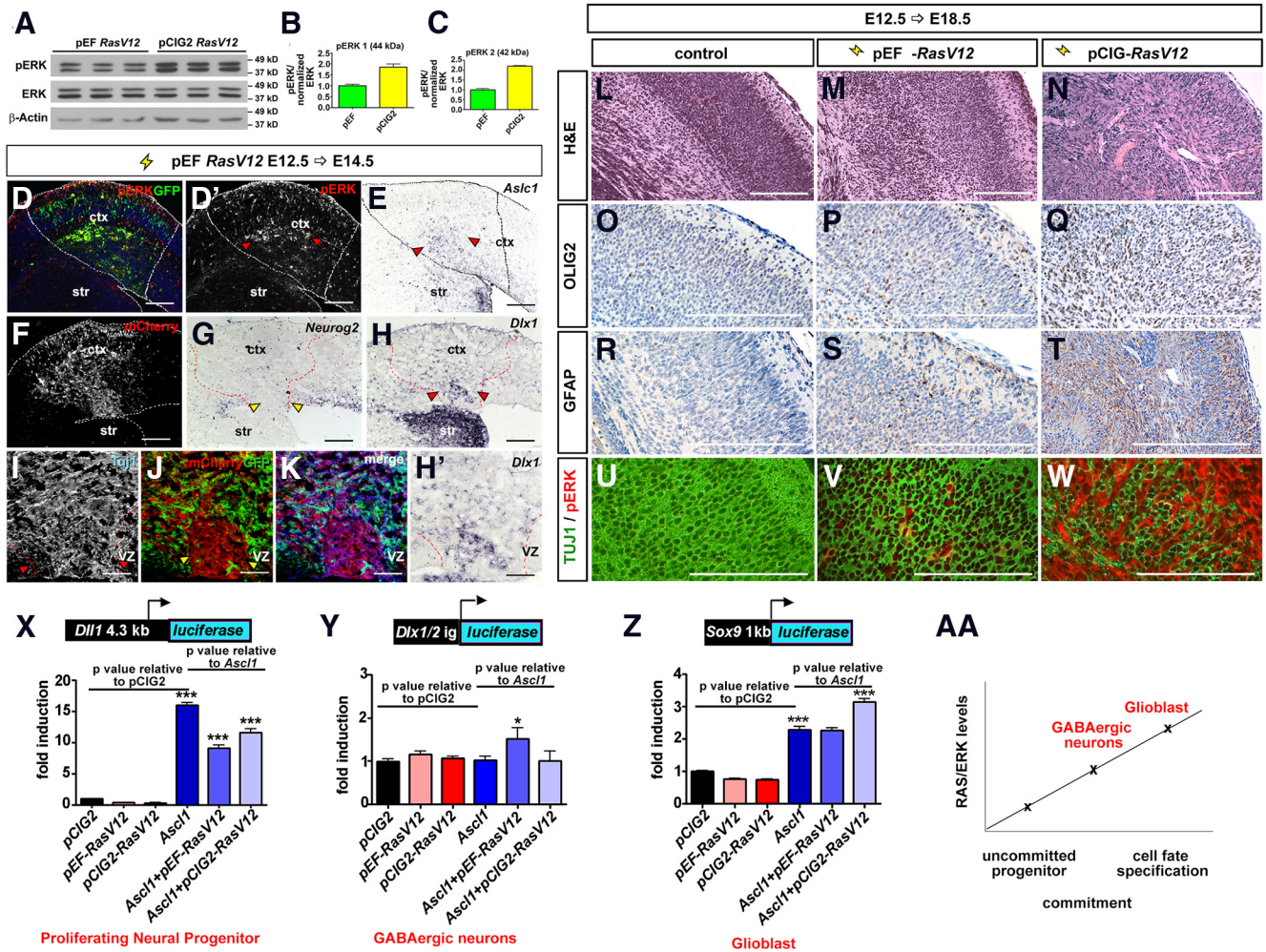
**Figure 6.** Activation of RAS signaling in cortical progenitors results in tumorigenesis. **A–E**, E18.5 control (**C**) and E12.5→E18.5 brains electroporated with pCIG2 *RasV12* (**A, B, D, E, E'**) were processed for H&E staining (**B–E, E'**), or GFP transcripts (**A**). **F–H**, E12.5→E16.5 electroporations of a pCIG2 control vector (**F–F''**) and pCIG2 *RasV12* (**G–G''**, **H–H''**). Transfected brains were analyzed for coexpression of GFP (green), proliferation marker Ki67 (red), and pan-neuronal marker Tuj1 (blue). White arrowheads mark *RasV12* transfected cells expressing Ki67 instead of Tuj1 (**H–H''**). **I–P**, E12.5→E15.5 brains electroporated with a pCIG2 control vector (**I, I', J, J'**) or pCIG2 *RasV12* (**J, J', L, L', N, N', P, P'**) were processed for immunostaining of pan-neuronal progenitor markers Sox2 (**I, I', J, J'**) and Nestin (**K, K', L, L'**), cortical-specific progenitor markers Pax6 (**M, M', N, N'**), and Tbr2 (**O, O', P, P'**). Red arrowheads mark ectopic expression of Sox2 (**J'**) and Nestin (**L'**), while yellow arrowheads mark transfected areas with reduced expression of Pax6 (**N'**) and Tbr2 (**P'**). **Q–V**, E12.5→E15.5 electroporations of pCIG2 *RasV12* with (**T–V, V'**) or without *Neurog2* (**Q–S, S'**). Transfected brains were analyzed for the transcripts of *Spry2* (**Q, T**), *Pdgfra* (**R, U**), or coexpression of GFP and Tuj1 (**S, S', V, V'**). Red arrowheads mark ectopic expression of *Spry2* (**Q, T**) or *Pdgfra* (**R, U**). **W–Z**, E12.5→E15.5 electroporations of pCIG2 (**W, W'**), AktA (**X, X'**), *RalAV23* (**Y, Y'**), and *RalBQ72L* (**Z, Z'**) followed by analysis of BrdU incorporation. Red arrowheads mark ectopic BrdU incorporation. Dashed lines outline the transfected region in the neocortex. Scale bars: **I–V, I'–V'**, **F–F''**, **G–G''** 250  $\mu$ m; **H–H''**, 67.5  $\mu$ m. CP, cortical plate; ctx, neocortex; str, striatum.

combination (Fig. 6T). Moreover, while *RasV12* induced the formation of *Pdgfra*<sup>+</sup> OPCs within 72 h postelectroporation (Fig. 6R), in E12.5→E15.5 cotransfections, *Neurog2* largely prevented *RasV12*'s ability to induce the formation of *Pdgfra*<sup>+</sup> OPCs (Fig. 6U). Finally, *Neurog2* also rescued the *RasV12*-mediated blockade of neurogenesis, restoring the differentiation of Tuj1<sup>+</sup> cortical neurons (Fig. 6S, S', V, V'). *Neurog2* overexpression thus rescues several aspects of *RasV12*-induced tumorigenesis, highlighting the importance of *RasV12*'s ability to regulate the *Neurog2-Ascl1* genetic switch.

**RAS/ERK levels dictate whether *Ascl1*-expressing cortical progenitors undergo glial or neuronal differentiation**

While activation of different branches of the RAS pathway induced ectopic proliferation 3 d postelectroporation of the E12.5 cortex (including RalA and RalB, although not Akt; Fig. 6W–Z, W'–Z'), only the ERK pathway influenced neural cell fate selection, preferentially biasing cortical progenitors toward glial/OPC lineages. These results were unexpected given that (1) activation of ERK in some contexts induces neuronal differentiation (Ménard et al., 2002; Paquin et al., 2005) and (2) ERK





**Figure 7.** RAS/ERK levels influence *Ascl1*'s fate specification properties and target gene selection. **A–C**, Western blot analysis of lysates from HEK293 cells transfected with pEF-RasV12 or pCIG2-RasV12 and analyzed for pERK, ERK, and  $\beta$ -actin expression (**A**). Expression levels were quantified by densitometry and normalized to  $\beta$ -actin and total ERK (**B, C**). **D–E**, E12.5  $\rightarrow$  E14.5 brains electroporated with pEF-RasV12 and GFP were processed for the expression of GFP (**D**), pERK (**D'**), and *Ascl1* (**E**). **F–K**, E12.5  $\rightarrow$  E14.5 electroporations of *Neurog2*<sup>GFP<sup>KI/+</sup></sup> cortices with pEF-RasV12 and mCherry, analyzed for expression of mCherry (**F, J, K**, red), *Neurog2* (**G**), *Dlx1* (**H, H'**), Tuj1 (**I, K**, blue), and GFP (**J, K**). Dashed red lines outline the transfected region in the neocortex. Red arrowheads mark ectopic *Dlx1* (**H, H'**) or Tuj1 (**I**). Yellow arrowheads mark transfected areas with reduced *Neurog2* (**G**) and GFP (**J**) expression. Scale bars: **D–H**, 250  $\mu$ m; **I–K, H'**, 125  $\mu$ m. **L–W**, E18.5 control (**L, O, R, U**) and E12.5  $\rightarrow$  E18.5 brains electroporated with pEF-RasV12 (**M, P, S, V**) or pCIG2-RasV12 (**N, Q, T, W**) were processed for H&E staining (**L–N**), or immunohistochemistry for Olig2 (**O–Q**), GFAP (**R–T**), or TUJ1/pERK (**U–W**). Scale bars: 500  $\mu$ m. **X–Z**, Transcriptional reporter assays in P19 cells using *Dll1* (**X**), *Dlx1/2* intergenic enhancer (**Y**), and *Sox9* (**Z**) reporters. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.005$ . **AA**, Schematic representation of the effects of RAS/ERK activity levels on *Ascl1* target gene selection. ctx, neocortex; str, striatum.

signaling is activated in some gliomas that have a substantive neuronal content (e.g., GGs; Dougherty et al., 2010). One possibility was that differing levels of RAS/ERK activation might alter *Ascl1*'s ability to specify either GABA<sup>+</sup> neuronal or OPC fates in both the embryonic telencephalon and in adult SVZ neural stem cells (Casarosa et al., 1999; Fode et al., 2000; He et al., 2001; Yung et al., 2002; Parras et al., 2004, 2007; Britz et al., 2006; Heinrich et al., 2012).

To test whether RAS signaling levels influenced its activity in cortical progenitors, we reduced the amount of *RasV12* expressed by changing promoters. All of the above electroporations were performed with pCIG2 containing a strong CAGG promoter/enhancer. When placed under control of the EF1 $\alpha$  promoter, *RasV12* had a twofold reduced ability to induce ERK phosphorylation in transfected cells compared with pCIG2-RasV12 (Fig. 7A–D, D'; data not shown). In E12.5  $\rightarrow$  E15.5 electroporations, pEF-RasV12 (cotransfected with mCherry to trace transfected cells) still turned *Neurog2* expression off (Fig. 7F, G), while *Ascl1* expression was turned on (Fig. 7E), and similar numbers of Sox9<sup>+</sup> glioblasts were generated

compared with pCIG2-RasV12 (Fig. 5U). However pEF-RasV12 had a 2.2-fold reduced capacity to induce the formation of Olig2<sup>+</sup> OPCs (Fig. 5V). Glioblast formation can thus occur at lower levels of RAS activation than OPC fate specification.

An examination of neuronal fates also revealed differences between higher and lower levels of RAS activation. Whereas electroporation of pCIG2-RasV12 strongly repressed neuronal fates, comparatively more NeuN<sup>+</sup> and Tbr1<sup>+</sup> neurons formed in pEF-RasV12 electroporations (Fig. 4M, N). Moreover, pEF-RasV12 induced ectopic VZ expression of Tuj1, an early neuronal marker, with a subset of these neurons expressing the GABA<sup>+</sup> neuronal marker *Dlx1* (Fig. 7H–K, H'). Similarly, *MekCA*, which has a reduced capacity to promote ERK phosphorylation compared with *RasV12* and *bRAFV600E* (Fig. 3, compare G', C', E'; data not shown), also induced some ectopic *Dlx1* (Fig. 4L') and Tuj1 (data not shown) expression in the cortex. Neuronal differentiation was not complete, however, as pEF-RasV12 and *MekCA* caused 2.2- and 2.7-fold reductions in the number of cells expressing the late neuronal marker NeuN,



respectively ( $n = 3$  for each construct;  $p < 0.0001$  for each compared with pCIG2; Fig. 4M). Nevertheless, the inhibition of NeuN expression was significantly lower than that achieved by pCIG2*RasV12* ( $n = 3$  for each construct; 14.0-fold reduction compared with pCIG2;  $p < 0.0001$ ; Fig. 4M). Our data thus suggest that lower levels of RAS/ERK activation are permissive for GABA<sup>+</sup> neuronal differentiation, while higher levels promote gliogenesis.

To explore differences in tumor morphologies induced by different RAS signaling levels, we examined proliferation, cell identity, and histologic features induced by moderate (pE*RasV12*) and high (pCIG2*RasV12*) levels of RAS pathway activation in E12.5→E18.5 cortical electroporations. Compared with control transfections (Fig. 7L), pE*RasV12* induced lesions that were mildly to moderately hypercellular, with increased local mass and architectural disruption of the cortex and underlying white matter (Fig. 7M). pCIG2*RasV12* also induced the formation of tumors, but these had greater cellularity, nuclear atypia, and mitotic activity (Fig. 7N and data not shown). Moreover, the lineages of the pE*RasV12*- and pCIG2*RasV12*-transfected cortical cells differed. Compared with control electroporations (Fig. 7O,R,U), pE*RasV12*-transfected (pERK<sup>+</sup>) cells included abnormal Tuj1<sup>+</sup> neurons interspersed with Olig2<sup>+</sup> and GFAP<sup>+</sup> glial cells (Fig. 7P,S,V). In contrast, pCIG2*RasV12*-induced lesions were comprised virtually entirely of abnormal pERK<sup>+</sup> glial cells expressing Olig2 and GFAP (Fig. 7Q,T,W). Overall, the features of the lesions induced by low and high oncogenic RAS signaling were analogous to two classes of benign human tumors—glioneuronal tumors (i.e., GGs) and low-grade tumors composed of astrocyte-like glia (i.e., PAs), respectively, supporting the notion that RAS/ERK activity levels may drive cellular diversification in neoplasia in addition to normal development.

Together, these data suggest that RAS/ERK signaling not only controls the *Neurog2-Ascl1* genetic switch but also functions downstream of this switch to bias *Ascl1* fate decisions, depending on signaling intensity (Fig. 7AA).

### Ascl1 transcriptional activity is regulated by ERK phosphorylation

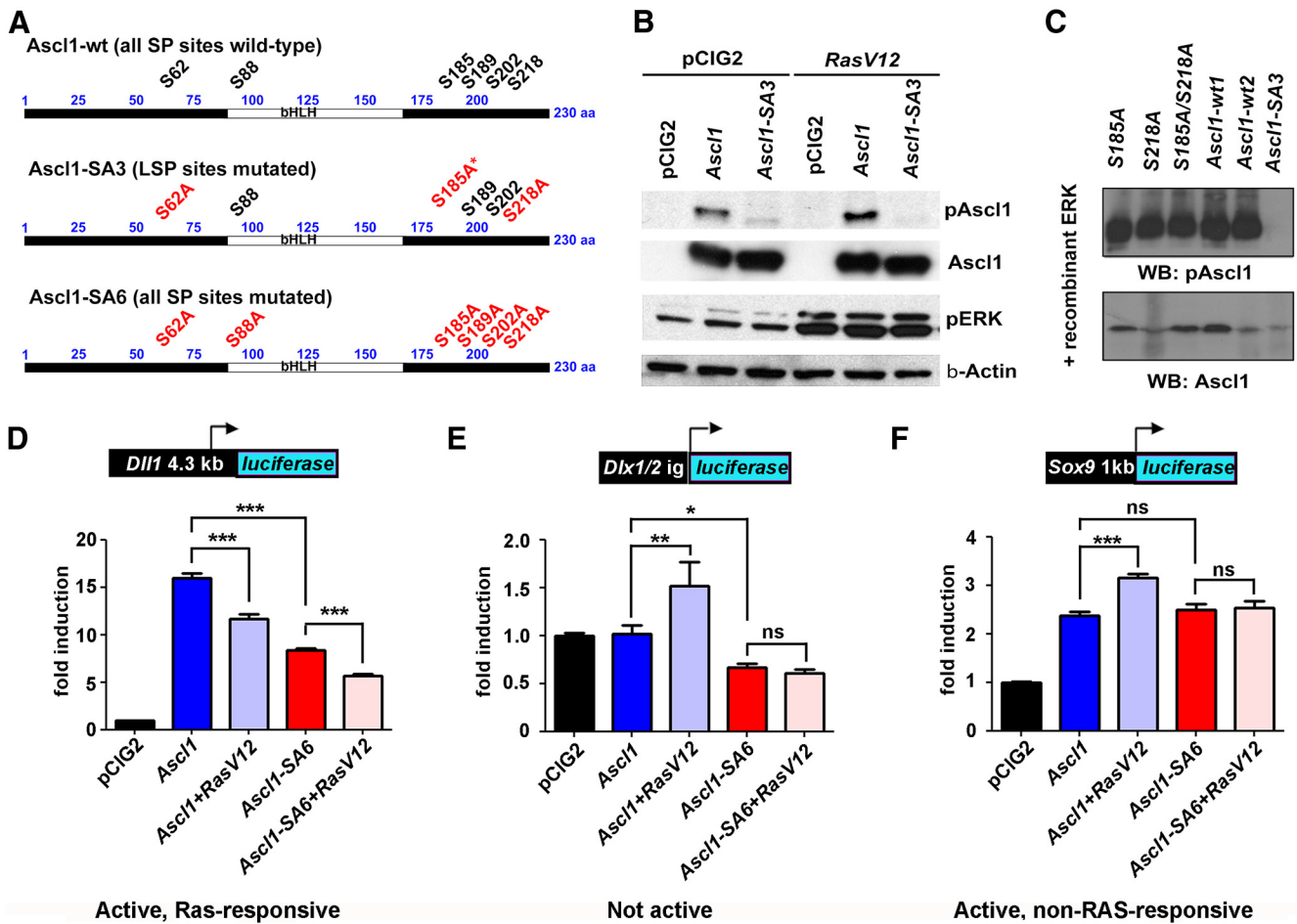
RAS/ERK activity may influence neural cell fate selection by influencing *Ascl1*'s selection of transcriptional targets, a possibility we tested using transcriptional reporter assays in *Ascl1*-responsive P19 embryonic carcinoma cells (Farah et al., 2000). We used reporters for three known *Ascl1* target genes: *Dll1*, which activates the Notch receptor to maintain neural progenitors in an uncommitted state (Castro et al., 2006); *Dlx1/2*, which is active in GABA<sup>+</sup> neurons (Poitras et al., 2007; Ghanem et al., 2008); and *Sox9*, which is expressed in proliferative glioblasts (Castro et al., 2011). Compared with pCIG2 controls, *Ascl1* efficiently transactivated the *Dll1* reporter ( $n = 3$ ;  $p < 0.0001$ ; Fig. 7X; Castro et al., 2006), but this activity was reduced by cotransfection with pCIG2*RasV12* or pE*RasV12* ( $n = 3$ ;  $p < 0.0001$ ; Fig. 7X). Conversely, *Ascl1* could only transactivate the *Dlx1/2* reporter above pCIG2 control levels when RAS/ERK levels were moderately increased by pE*RasV12* ( $n = 3$  for each construct;  $p = 0.012$ ; Fig. 7Y). Finally, *Ascl1*'s ability to activate a *Sox9* reporter was enhanced when cotransfected with pCIG2*RasV12* ( $n = 3$  for each construct;  $p < 0.0001$ ; Fig. 7Z), and not pE*RasV12*, indicating that high RAS/ERK signaling is required to increase the transcriptional activity of *Ascl1* on glial-related genes. Notably, the transcriptional reporter data are consistent with the *in vivo* gain-of-function data, where cell fates differed depending on signaling intensity.

The transcriptional activities of several bHLH proteins are regulated by direct phosphorylation (Marcus et al., 1998; Moore et al., 2002; Ma et al., 2008; Ali et al., 2011; Hindley et al., 2012; S. Li et al., 2012). To determine whether ERK1/2, which are proline-directed serine/threonine kinases (Roskoski, 2012), directly phosphorylated *Ascl1*, we generated a phospho-specific antibody to an *Ascl1* peptide encompassing phosphorylated S185, one of six SP sites (Fig. 8A). To test antibody specificity, we generated single, double, and triple (*Ascl1*-SA3) serine-to-alanine (SA) mutations in S62, S185, and S218, all containing the same LSP sequence (Fig. 8A). The phospho-*Ascl1* (p*Ascl1*) antibody recognized wild-type *Ascl1* expressed in HEK cells, with higher phosphorylation levels detected when cotransfected with *RasV12*, whereas *Ascl1*-SA3 was only detected by anti-*Ascl1* and not by anti-p*Ascl1* (Fig. 8B). Although a p*Ascl1* band was still visible in the absence of *RasV12*, we ascribe this basal level of *Ascl1* phosphorylation to serum in the media activating RAS/ERK signaling. Indeed, serum removal for 7 h reduced the amount of *Ascl1* recognized by the p*Ascl1* antibody (data not shown). Next, we performed *in vitro* kinase assays using recombinant ERK and *in vitro* transcribed and translated versions of wild-type *Ascl1* and mutant versions containing single, double, or triple SA mutations in S62, S185, and S218 (Fig. 8C). All versions of *Ascl1* except *Ascl1*-SA3 were recognized by the p*Ascl1* antibody (Fig. 8C), confirming that *Ascl1* carries phosphoacceptor sites for the ERK kinase.

To test whether phosphorylation modulates *Ascl1*'s function, we conducted transcriptional reporter assays *in vitro*, using wild-type *Ascl1* and a mutant version in which all six SP sites were mutated to alanines (*Ascl1*-SA6; Fig. 8A). In our functional studies, we used *Ascl1*-SA6 because the activity of the related bHLH transcription factor, *Neurog2*, is regulated by the number of SP sites phosphorylated, rather than their precise locations (a rheostat-like model; Ali et al., 2011; Hindley et al., 2012). We found that *RasV12* inhibited the ability of both *Ascl1*-wt and *Ascl1*-SA6 to transactivate the *Dll1* reporter, indicating that the SP sites did not mediate this repression (Fig. 8D). In contrast, *Ascl1*-SA6 could not transactivate the *Dlx1/2* reporter above background levels, even in the presence of pE*RasV12*, suggesting that the SP sites are essential for *Ascl1* to turn on *Dlx1/2* (Fig. 8E). Finally, while *Ascl1*-SA6 retained its capacity to induce *Sox9* transcription, pCIG2*RasV12* could only boost the transactivation strength of *Ascl1* and not *Ascl1*-SA6 (Fig. 8F). Thus, *Ascl1*'s ability to efficiently transactivate *Dlx1/2* and *Sox9* reporters requires the SP sites, consistent with a critical role for ERK activity in controlling *Ascl1* target gene selection in specific cell lineages.

### Ascl1 cell fate specification properties depend on SP sites

To assess the functional significance of *Ascl1* phosphorylation *in vivo*, we misexpressed *Ascl1*-wt and *Ascl1*-SA6 using *in utero* electroporation. In E12.5→E15.5 cortical electroporations, during the period when pERK expression extends across the cortical VZ (Fig. 2E–E''), only *Ascl1*-wt induced a 2.7-fold increase in *Sox9* expression ( $n = 3$  for each construct;  $p = 0.003$ ; Fig. 9A–C, A'–C', S) and a robust increase in BrdU incorporation after a 30 min exposure (Fig. 9D–F, D'–F'). In contrast, *Ascl1*-SA6 did not induce these changes—supporting the requirement of SP site phosphorylation in promoting a proliferative glioblast fate. However, neither *Ascl1* nor *Ascl1*-SA6 could induce ectopic Olig2 expression (Fig. 9G–I, G'–I'), consistent with our demonstration that the conversion of *Sox9*<sup>+</sup> glioblasts to Olig2<sup>+</sup> OPCs requires higher levels of RAS/ERK signaling (Fig. 5).



**Figure 8.** RAS/ERK regulates *Ascl1* transcriptional activity via direct phosphorylation. *A*, Distribution of the six SP sites within wild-type *Ascl1* (*Ascl1*-wt). Annotation of the serines mutated to alanines in *Ascl1*-SA3 and *Ascl1*-SA6 (mutated sites in red). *B*, Characterization of a phospho-specific *Ascl1* antibody in HEK293 cells transfected with *Ascl1* or *Ascl1*-SA3 along with pCIG2 or *RasV12*. *C*, Phospho-*Ascl1* antibody recognizes *in vitro*-transcribed/translated *Ascl1* (and not *Ascl1*-SA3) phosphorylated by recombinant ERK. *D–F*, Transcriptional reporter assays in P19 cells using *Dll1* (*D*), *Dlx1/2* 112b intergenic enhancer (*E*), and *Sox9* (*F*) reporters, demonstrating that the effects of RAS/ERK activity levels on *Ascl1* target gene selection are abrogated when all six SP sites in *Ascl1* are mutated. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.005$ .

If our model of *Ascl1* phosphorylation-dependent fate specification is correct, the number of glial cells produced by *Ascl1*-SA6 (compared with wild-type *Ascl1*) should be accompanied by an increase in the number of neuronal cells. Indeed, we saw a small but significant increase in the number of NeuN<sup>+</sup> neurons generated by *Ascl1*-SA6 compared with wild-type *Ascl1* ( $n = 3$  for each construct;  $p = 0.02$ ), and importantly, *Ascl1*-SA6 induced ectopic NeuN expression in the VZ in E12.5→E15.5 electroporations (Fig. 9*J–L, J'–L', T*). However, these neurons were not cortical glu<sup>+</sup> neurons, as both *Ascl1* and *Ascl1*-SA6 reduced *Tbr1* expression (Fig. 9*M–O, M'–O', U*). Instead, *Ascl1* and to a lesser extent *Ascl1*-SA6 induced ectopic *Dlx1* expression in the cortex (Fig. 9*P–R, P'–R'*), which was consistent with the reduced ability of *Ascl1*-SA6 versus *Ascl1*-wt to transactivate the *Dlx1/2* transcriptional reporter *in vitro* (Fig. 8*E*). Thus, while *Ascl1*-wt can induce a proliferative glioblast fate in E12.5 cortical progenitors, mutation of the six SP sites results in a shift toward neuronal fates.

Finally, we asked whether *Ascl1* was required to promote a glial cell fate *in vivo* by assessing whether RAS activation in the *Ascl1* mutant cortex could initiate OPC differentiation. In both *Ascl1*<sup>+/-</sup> and *Ascl1*<sup>-/-</sup> cortices (note that the mutant allele is a GFP knock-in), E12.5→E15.5 electroporations of pCIG2-*RasV12*+*mCherry* and to a lesser extent pEF-*RasV12*+*mCherry*,

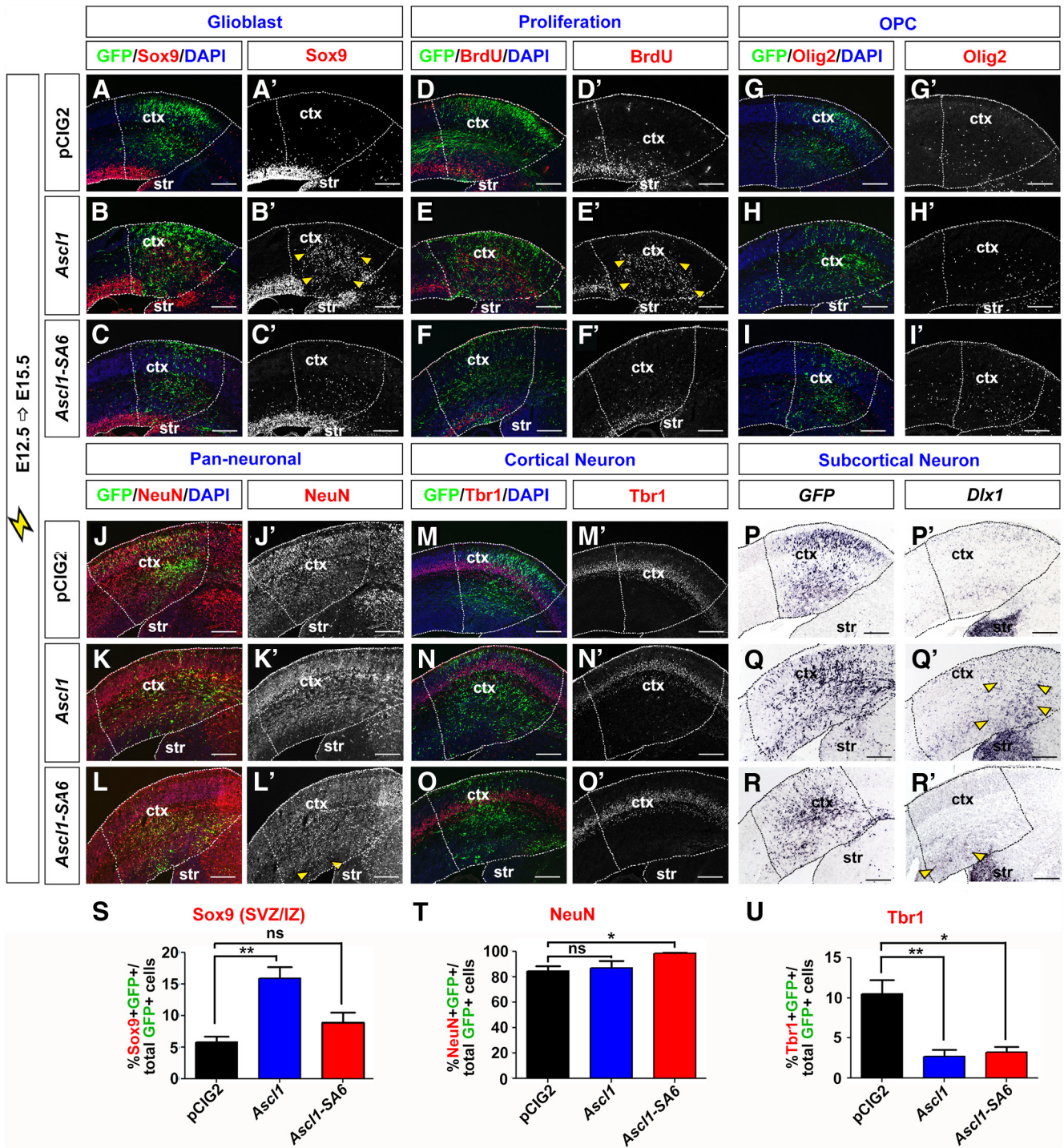
induced ERK signaling (data not shown) and *Etv1/5* expression (Fig. 10*A–J*). In addition, pCIG2-*RasV12* and pEF-*RasV12* induced the formation of similar numbers of Olig2<sup>+</sup>GFP<sup>+</sup>mCherry<sup>+</sup> OPCs from *Ascl1*<sup>+/-</sup> and *Ascl1*<sup>-/-</sup> cortices (Fig. 10*K–O*). These data indicate that while *Ascl1* is sufficient to induce a glioblast fate in response to RAS hyperactivation, it is not required for OPC differentiation. Thus, other RAS-activated factors, such as *Etv5*, may mediate the glial response (X. Li et al., 2012). Indeed, in E12.5→E15.5 cortical electroporations, *Etv5* induced the formation of proliferative, Sox9<sup>+</sup> glioblasts that incorporated BrdU (Fig. 5*E, E', J, J'*). However, similar to *Ascl1*, *Etv5* could not induce the conversion of Sox9<sup>+</sup> glioblasts into Olig2<sup>+</sup> OPCs or GFAP<sup>+</sup> astrocytes (Fig. 5*O, O', T, T'*), consistent with our finding that the OPC transition requires high levels of RAS/ERK activation.

We thus conclude that *Ascl1* is sufficient, but not necessary, to induce the formation of a proliferative glioblast cell fate, acting redundantly with other factors, such as *Etv5*. However, the ability of glioblasts to differentiate into OPCs also requires elevated RAS/ERK signaling.

## Discussion

RAS/ERK signaling has diverse functions in the nervous system—promoting proliferation and gliogenesis in some contexts, while inducing neuronal differentiation in others. Here, we found that



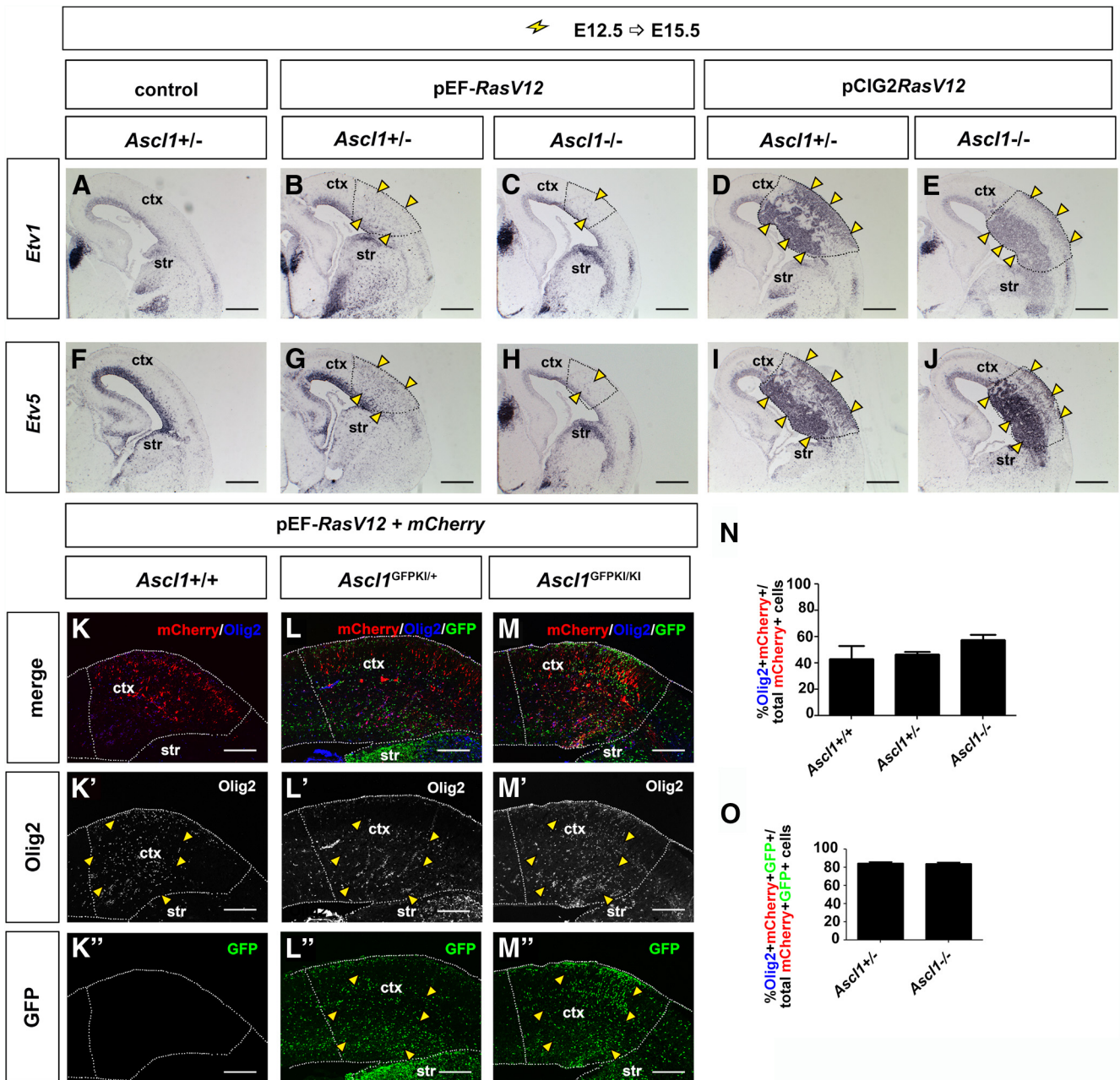


**Figure 9.** *Ascl1* fate specification properties depend on the six SP phosphoacceptor sites. *A–I*, E12.5 → E15.5 electroporations of pCIG2 (*A, A', D, D', G, G'*), wild-type *Ascl1* (*B, B', E, E', H, H'*), and *Ascl1-SA6* (*C, C', F, F', I, I'*) vectors (expressing GFP). Transfections were analyzed for ectopic expression of the glioblast marker Sox9 (*A–C, A'–C'*), proliferation marker BrdU (30 min pulse; *D–F, D'–F'*), and OPC marker Olig2 (*G–I, G'–I'*). Quantitation of GFP<sup>+</sup> cells coexpressing Sox9 in the SVZ/IZ (*S*). *J–R*, E12.5 → E15.5 electroporations of pCIG2 (*J, J', M, M', P, P'*), wild-type *Ascl1* (*K, K', N, N', Q, Q'*), and *Ascl1-SA6* (*L, L', O, O', R, R'*) vectors (expressing GFP). Transfections were analyzed for expression of the pan-neuronal marker *NeuN* (*J, J', K, K', L, L'*), cortical neuronal marker *Tbr1* (*M, M', N, N', O, O'*), or for transcripts for *GFP* (*P–R*) or *Dlx1* (*P'–R'*). Quantitation of GFP<sup>+</sup> cells coexpressing *NeuN* (*T*) or *Tbr1* (*U*). Dashed lines outline the transfected region in the neocortex. Yellow arrowheads mark ectopic expression of Sox9 (*B'*), BrdU (*E'*), *NeuN* (*L'*), or *Dlx1* (*Q', R'*). Scale bars: 250 μm. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.005. ctx, neocortex; str, striatum.

RAS/ERK signaling influences neural cell fate specification in cortical development and in a RAS/ERK model of gliomagenesis (Fig. 11). First, activation of the RAS/ERK pathway is necessary and sufficient to trigger a proneural lineage switch, turning *Neurog2* expression off and *Ascl1* on. Second, ERK signaling levels modify *Ascl1* activity—at high levels converting *Ascl1* to a proglial/

OPC transcription factor, while at lower levels, permissive for the differentiation of GABA<sup>+</sup> neurons. Our data show that RAS/ERK signaling levels bias cell fate choice by regulating proneural gene expression and function, highlighting a unique role for the ERK branch of RAS signaling in cortical development and gliomagenesis.





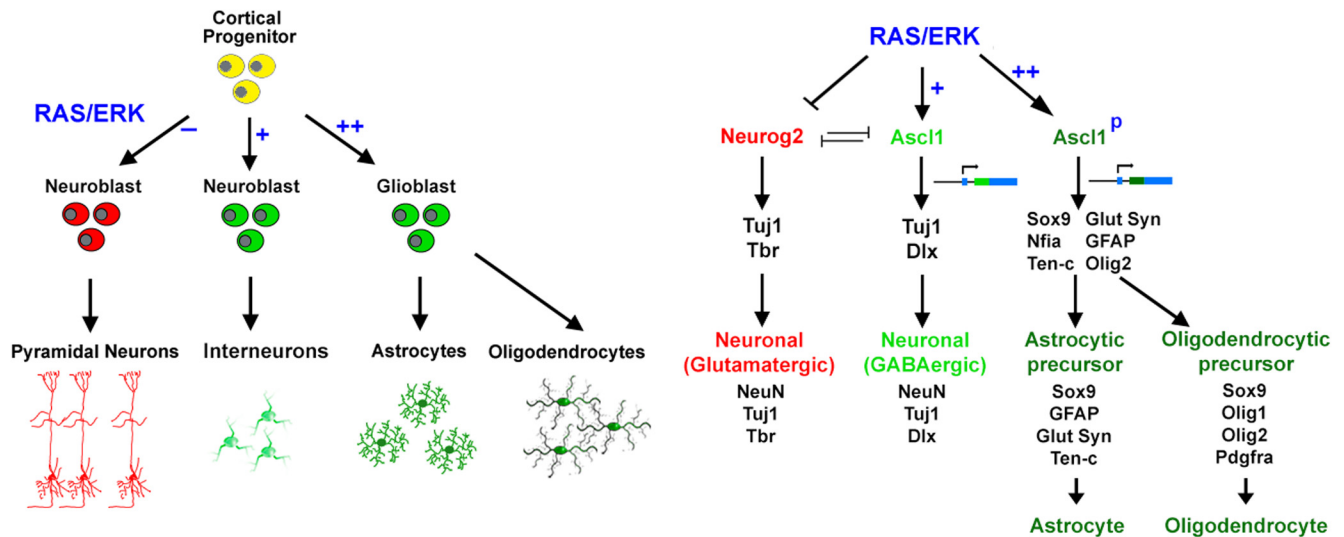
**Figure 10.** *Ascl1* is not required for OPC differentiation in response to RAS/ERK signaling. **A–J**, E12.5→15.5 electroporations of *Ascl1*<sup>+/-</sup> (**A, B, D, F, G, I**) or *Ascl1*<sup>-/-</sup> (**C, E, H, J**) cortices with *mCherry* (**A, F**), or pEF*RasV12* + *mCherry* (**B, C, G, H**), or pCIG2*RasV12* (**D, E, I, J**). Transfections were analyzed for the transcripts of *Etv1* (**A–E**) or *Etv5* (**F–J**). **K–O**, E12.5→15.5 electroporations of *Ascl1*<sup>+/+</sup> (**K–K'**), *Ascl1*<sup>GFPKI/+</sup> (**L–L''**), and *Ascl1*<sup>GFPKI/KI</sup> (**M–M''**) cortices with pEF*RasV12* + *mCherry* imaged for the coexpression of *mCherry* (red), GFP (green), and Olig2 (blue). Quantitation of percentage of double-positive cells (Olig2<sup>+</sup> *mCherry*<sup>+</sup> cells/*mCherry*<sup>+</sup> cells; **N**) and triple-positive cells (Olig2<sup>+</sup> *mCherry*<sup>+</sup> GFP<sup>+</sup> cells/*mCherry*<sup>+</sup> GFP<sup>+</sup> cells; **O**) in *Ascl1*<sup>+/+</sup>, *Ascl1*<sup>GFPKI/+</sup>, and *Ascl1*<sup>GFPKI/KI</sup> cortices.  $p > 0.05$ . Dashed lines outline the transfected region in the neocortex. Yellow arrowheads mark the cells with ectopic expression of *Etv1* (**B–E**), *Etv5* (**G–J**), or Olig2 (**K'–M'**). Scale bars: **A–J**, 500  $\mu$ m; **K–M, K'–M', K''–M''**, 250  $\mu$ m. ctx, cortex; str, striatum.

### RAS/ERK signaling regulates proneural gene expression and function in cortical progenitors to control neuronal–glial cell fate decisions

Extrinsic cues that control the *Neurog2-Ascl1* genetic switch lie at the crux of cortical progenitor cell transitions, ensuring that progenitors differentiate into appropriate cell types in sequence and on time. RAS/ERK signaling not only influences proneural gene expression, but also neural cell fate specification—promoting gliogenesis in some contexts and neurogenesis in others (this study and Baron et al., 2000; Ménard et al., 2002; Chandran et al., 2003; Gabay et al., 2003; Ito et al., 2003; Hack et al., 2004; Kessaris et al.,

2004; Paquin et al., 2005; Paquin et al., 2009; Abematsu et al., 2006; Aguirre et al., 2007; Gauthier et al., 2007; Samuels et al., 2008; Ohtsuka et al., 2009; X. Li et al., 2012; Pucilowska et al., 2012; Wang et al., 2012). Building upon these concepts, we have now delineated an important mechanism behind RAS/ERK's ability to influence choice of alternative cell fates, providing evidence that the answer may lie in differing RAS/ERK activity levels. At lower levels of RAS/ERK signaling, such as those induced by pEF-*RasV12* or pCIG2-*MekCA* in our model, cortical neurogenesis is initiated, but these neurons preferentially differentiate along GABA<sup>+</sup> and not glu<sup>+</sup> lineages, consistent with the neuronal fate





**Figure 11.** Model of RAS/ERK-proneural interactions in cortical development and gliomagenesis. In normal embryonic cortical progenitors, RAS/ERK signaling is low at early developmental stages, and *Neurog2* is expressed, promoting robust glutamatergic neuronal differentiation. In the abnormal context of elevated RAS/ERK signals, *Neurog2* expression is switched to *Ascl1* expression. Moderate levels of RAS/ERK signals drive cortical progenitor cells to interneuronal fate with a few glioblast cells also differentiating, whereas high levels of RAS/ERK signals not only promote *Neurog2-Ascl1* genetic switch in cortical progenitor cells, but also convert *Ascl1* to a proglioblast molecule via direct phosphorylation, driving aberrant glioblast-like, and not neuronal differentiation, resulting in the formation of astrocytomas.

specification properties of *Ascl1* (Casarosa et al., 1999; Schuurmans et al., 2004; Britz et al., 2006; Berninger et al., 2007; Poitras et al., 2007; Geoffroy et al., 2009). *Ascl1* has, however, a broad spectrum of activities, also promoting progenitor cell proliferation during the expansion phase of telencephalic development (Castro et al., 2011), and OPC differentiation at later stages (Paras et al., 2007). While we found that *Ascl1* alone can initiate the formation of proliferative glioblasts, the differentiation of these cells into OPCs depends on high RAS/ERK signaling achieved by pCIG2*RasV12* or pCIG2*bRAF*. It is perhaps not surprising that *Ascl1* alone cannot induce the generation of ectopic *Olig2*<sup>+</sup> OPCs, given that *Ascl1* induces *Dlx1* expression (this study and Britz et al., 2006), and *Dlx1* represses *Olig2* transcription and oligodendrogenesis in ventral telencephalic progenitors (Petryniak et al., 2007). While we interpret our data to suggest that levels of RAS/ERK signaling control *Ascl1* lineage selection, we cannot exclude the possibility that other signaling properties, such as the timing and/or duration of pathway activation, also influence cell fate.

Our data support the idea that RAS/ERK signaling regulates *Ascl1* function by direct phosphorylation. By site-directed mutagenesis, we found that *Ascl1* SP sites are required for the transcriptional activation of *Dlx1/2*, and for the RAS-responsiveness of *Ascl1* on the *Sox9* reporter. Notably, *Ascl1*-SA6 was not inactive, as it an enhanced ability to induce the differentiation of *NeuN*<sup>+</sup> neurons, similar to the enhanced proneural activity of *Neurog2* following the mutation of all nine SP sites (Ali et al., 2011; Hindley et al., 2012). Phosphorylation of bHLH transcription factors can modify transcriptional activity at several levels, influencing events such as DNA binding, cellular localization, dimerization, cofactor binding, protein stability, and chromatin structure (Hand et al., 2005; Martindill et al., 2007; Vosper et al., 2007; Ma et al., 2008; Li et al., 2011; Sun et al., 2011; S. Li et al., 2012). Future studies will determine how the transcriptional activity of *Ascl1* is altered when phosphorylated by ERK1/2.

Other factors, in addition to *Neurog2* and *Ascl1*, undoubtedly contribute to the RAS/ERK-regulated gliogenic switch. Indeed,

we found that while *Ascl1* is sufficient to promote gliogenesis, it is not required for OPC differentiation. The PEA3 subgroup of Ets family transcription factors, and *Etv5* in particular, is also instructive for gliogenic competence downstream of Mek (X. Li et al., 2012). Accordingly, we found that *Etv5* also induces the formation of proliferative, *Sox9*<sup>+</sup> glioblasts, but these cells fail to differentiate into *Olig2*<sup>+</sup> OPCs, similar to the effects of *Ascl1*. The transition from proliferative glioblast to OPC thus requires high levels of RAS/ERK signaling, as revealed by our transcriptional reporter assays and *in vivo* gain-of-function studies. How RAS/ERK signaling and *Ascl1/Etv5* functions are integrated will be an important area of future investigation.

### Intersection between RAS/ERK signaling and proneural genes in gliomas

Tumor cells share many, but not all features of their normal neural counterparts, suggesting that the rules governing developmental programs may not be strictly followed in tumors. For example, all astrocytomas contain some *Olig2*<sup>+</sup> cells (Ligon et al., 2004; Otero et al., 2011)—yet these tumors also contain *GFAP*<sup>+</sup> cells with an astrocytic morphology. Our findings echo this in that we similarly saw the induction of some astrocytic markers in response to pCIG2*RasV12* misexpression, in addition to OPC markers such as *Olig1/2* and *Pdgfra*. Notably, *Olig2* normally blocks astrocyte formation in cortical progenitors, and is turned off in cells that differentiate into astrocytes (Gabay et al., 2003; Fukuda et al., 2004). Coexpression of astrocyte and OPC markers is thus not normally observed in development, highlighting the abnormality of cellular transformation.

Our findings and others' (Ding et al., 2001; Marumoto et al., 2009; Gronych et al., 2011) demonstrate that elevated RAS/ERK signaling is sufficient for the generation of tumors. Moreover, hyperactive RAS/ERK signaling occurs in gliomas with diverse cellular compositions that span the malignancy spectrum, yet this pathway has primarily been ascribed a generic pro-proliferative role in gliomas. Our data indicates that the level of ERK signaling

may explain in part the existence of different cell types in BRAF-driven gliomas such as PAs and GGs.

Our work speaks most directly to predominantly pediatric types of RAS pathway-activated gliomas, and our model system does not directly address the state of progenitors in the mature brain nor does it address the question of the role of ongoing ERK signals once a tumor is initiated. Nevertheless, our findings may still have implications for the role of signaling in fate decisions beyond neoplasia in the developmental period. *Neurog2* and *Ascl1* mediate cell fate decisions in zones of adult neurogenesis (Parras et al., 2004; Brill et al., 2009; Roybon et al., 2009; Blum et al., 2011; Kim et al., 2011; Chen et al., 2012; Heinrich et al., 2012), and extrinsic factors such as EGF and FGF are present and necessary for maintenance of these progenitor populations (Shi et al., 2008). Thus, it is reasonable to speculate that our findings could reflect a more general relationship between RAS/ERK and the *Neurog2-Ascl1* genetic switch, in both normal pathways of neural cell fate specification and in adult gliomas with constitutively active RTK signaling or mutant NF1.

While some studies point to uncommitted neural stem cells as cells of origin for gliomas (Sanai et al., 2005; Fomchenko and Holland, 2006; Alcantara Llaguno et al., 2009), others indicate that more committed cells, such as OPCs (Lindberg et al., 2009; Persson et al., 2010; Liu et al., 2011), are the substrates for transformation. OPC-like cells are also implicated in replication competence and astrocytoma formation (Ligon et al., 2007; Barrett et al., 2012). Yet it has been unclear whether gliomas arise directly from OPCs or from progenitor cells that quickly acquire an OPC-like identity. We found that high RAS/ERK signals rapidly induce *Ascl1* expression, block neuronal fates, and increase proliferation of aberrant glioblasts predisposed to OPC-like differentiation. Thus, while  $Olig2^+$  or  $NG2^+$  cells may be critical in the process of glioma initiation or maintenance, the cell of origin may not need to be an existing OPC. Instead, conversion of a normally neurogenic progenitor to an OPC-favoring glioblast may be part of the tumor initiation process induced by oncogenic RAS/ERK. Collaboration from other RAS effectors such as AKT may further modulate the cellular phenotype (Dai et al., 2005; Hu et al., 2005). With respect to established glioblastoma multiforme (GBMs), recent studies suggest that turning off *Neurog2* is necessary for self-renewal and tumorigenesis from glioblastoma stem cells (Guichet et al., 2013). Conversely, *Ascl1* is critical for GBM stem cell maintenance and tumorigenicity (Rheinbay et al., 2013). Future work will address RAS/ERK's role in regulating these transcription factors in GBM stem cells. A better understanding of the unique contributions of distinct signaling pathways will be increasingly important as more specific pathway-oriented therapies are developed.

Overall, we identified novel points of intersection between a central signal transduction pathway and proneural transcription factors, furthering our efforts to generate a comprehensive model of the regulatory events that coordinate neurogenesis and gliogenesis in health and disease.

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