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## Venous thromboembolic events in patients undergoing craniotomy for tumor resection: incidence, predictors, and review of literature

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## Abstract

**OBJECTIVE**—The authors sought to investigate the incidence and predictors of venous thromboembolic events (VTEs) after craniotomy for tumor resection, which are not well established, and the efficacy of and risks associated with VTE chemoprophylaxis, which remains controversial.

**METHODS**—The authors investigated the incidence of VTEs in a consecutive series of patients presenting to the authors' institution for resection of an intracranial lesion between 2012 and 2017. Information on patient and tumor characteristics was collected and independent predictors of VTEs were determined using stepwise multivariate logistic regression analysis. Review of the literature was performed by searching MEDLINE using the keywords "venous thromboembolism," "deep venous thrombosis," "pulmonary embolism," "craniotomy," and "brain neoplasms."

**RESULTS**—There were 1622 patients included for analysis. A small majority of patients were female (52.6%) and the mean age of the cohort was 52.9 years (SD 15.8 years). A majority of intracranial lesions were intraaxial (59.3%). The incidence of VTEs was 3.0% and the rates of deep venous thromboses and pulmonary emboli were 2.3% and 0.9%, respectively. On multivariate analysis, increasing patient age (unit OR 1.02, 95% CI 1.00–1.05; p = 0.018), history of VTE (OR 7.26, 95% CI 3.24–16.27; p < 0.001), presence of motor deficit (OR 2.64, 95% CI 1.43–4.88; p = 0.002), postoperative intracranial hemorrhage (OR 4.35, 95% CI 1.51–12.55; p < 0.001), and prolonged intubation or reintubation (OR 3.27, 95% CI 1.28–8.32; p < 0.001) were

#### Disclosures

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Author Contributions

Conception and design: Parney, Rinaldo, Brown. Acquisition of data: Rinaldo, Bhargav, Rusheen, Naylor, Gilder, Monie, Youssef. Analysis and interpretation of data: Parney, Rinaldo, Brown. Drafting the article: Rinaldo. Critically revising the article: all authors. Reviewed submitted version of manuscript: all authors. Approved the final version of the manuscript on behalf of all authors: Parney. Statistical analysis: Rinaldo. Study supervision: Parney.

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independently associated with increased odds of a VTE. There were 192 patients who received VTE chemoprophylaxis (11.8%); the mean postoperative day of chemoprophylaxis initiation was 4.6 (SD 3.8). The incidence of VTEs was higher in patients receiving chemoprophylaxis than in patients not receiving chemoprophylaxis (8.3% vs 2.2%; p < 0.001). There were 30 instances of clinically significant postoperative hemorrhage (1.9%), with only 1 hemorrhage occurring after initiation of VTE chemoprophylaxis (0.1%).

**CONCLUSIONS**—The study results show the incidence and predictors of VTEs after craniotomy for tumor resection in this patient population. The incidence of VTE within this cohort appears low and comparable to that observed in other institutional series, despite the lack of routine prophylactic anticoagulation in the postoperative setting.

#### **Keywords**

brain neoplasms; chemoprevention; deep venous thrombosis; neurosurgery; pulmonary embolism; venous thromboembolism; vascular disorders

VENOUS thromboembolic events (VTEs) are a known complication of intracranial tumor surgery, though the reported incidence of VTEs in recent literature is variable.<sup>1,10,21,39,49</sup> Given the morbidity and potential mortality associated with VTEs,<sup>37</sup> as well as the need for subsequent therapeutic anticoagulation, there is significant clinical interest in identifying patient risk factors for VTEs, as well as in developing optimal VTE prevention strategies.

The results of recent systematic reviews have suggested that while prophylactic anticoagulation administered in the postoperative setting may be protective against VTEs, <sup>3,5,24,44</sup> the cost-effectiveness of chemoprophylaxis in addition to mechanical prophylaxis for a general neurosurgical population is less certain,<sup>3</sup> in part because of a potentially increased risk of significant postoperative bleeding.<sup>24,44</sup> At our institution, patients undergoing craniotomy for tumor resection do not routinely receive prophylactic anticoagulation after surgery. Given that this practice is at odds with that of other institutions as reported in recent large retrospective series,<sup>1,10,26,41</sup> we assessed the relative efficacy of our VTE prevention strategy by reviewing the clinical course of patients undergoing intracranial tumor surgery over a 5-year period to determine the incidence and predictors of VTEs at our institution. We also collected information on the use of prophylactic anticoagulation, when administered, to gain insight into the safety and efficacy of this intervention. Finally, we performed a review of available literature to place our practice habits and results into a broader context.

## Methods

#### Patient Selection

After receiving approval from our institutional review board (IRB no. 15–001684), we retrospectively reviewed the outcomes of patients undergoing intracranial tumor surgery at our institution from January 2012 to June 2017. Patients undergoing stereotactic or open biopsy and transsphenoidal procedures for pituitary or other sellar tumors were excluded as these patients were typically discharged on postoperative day 1, which was in contrast to the more prolonged inpatient course of most patients undergoing intracranial tumor surgery.

Given the tendency for very early mobilization and discharge in this excluded subgroup, it was felt that the inclusion of a large number of these patients would bias our study toward lower VTE rates. In addition, patients undergoing anatomical resection for epilepsy were also excluded given the frequent need for invasive intracranial monitoring requiring bed rest prior to lesional resection.

#### Institutional Protocol for VTE Prevention

In general, patients undergoing intracranial tumor surgery at our institution receive mechanical VTE prophylaxis in the form of sequential pneumatic compression devices during and after surgery unless contraindicated. Aggressive mobilization is pursued starting on postoperative day 1. Patients do not routinely receive prophylactic anticoagulation, which is administered at the discretion of the consultant neurosurgeon when deemed clinically indicated. In patients receiving prophylactic anticoagulation, specific regimens included subcutaneous heparin (low-molecular-weight heparin [LMWH]) 5000 units either 2 or 3 times daily or LMWH (enoxaparin) 30 mg twice daily or 40 mg daily. Dosages of oral anticoagulants were determined by the clinical pharmacist based on previous regimens and clinical circumstances. Screening ultrasounds were not ordered routinely and instead obtained when there was clinical suspicion of thrombosis. The number of patients who received screening ultrasounds of the upper or lower extremities during their inpatient admission was recorded.

#### **Outcomes and Variables of Interest**

The primary outcome of interest was a VTE in the form of an acute deep venous thrombosis (DVT) or pulmonary embolism (PE) within 30 days of surgery. DVTs and PEs were diagnosed on upper- or lower-extremity ultrasound or chest CT angiography studies, respectively. The postoperative day of VTE diagnosis and whether the VTE was discovered in an inpatient versus outpatient setting were also noted. A secondary outcome of interest was clinically significant intracranial hemorrhage (ICH) in the postoperative period. Clinically significant hemorrhage was defined as a hemorrhage requiring surgical evacuation or one resulting in worsening of neurological status.

Information collected on patient and tumor characteristics included patient age, sex, BMI, prior history of VTE, Karnofsky Performance Scale (KPS) score, presence of pre- or postoperative motor deficit, chronic corticosteroid use, preoperative use of antiplatelet or anticoagulation medication, elective or nonelective admission, history of prior craniotomy, supratentorial versus infratentorial and intraaxial versus extraaxial tumor location, tumor pathology and grade, administration and type of prophylactic anticoagulation agent and day of initiation, intubation for longer than 24 hours or reintubation after extubation in the postoperative period, inpatient length of stay, and discharge location. KPS score was determined by review of the neurological and neurosurgical preoperative evaluation; patient scores were dichotomized as greater than or equal to or less than 80. Pre- or postoperative motor deficit was defined as weakness of 4 out of 5 or below measured on the manual muscle testing scale in at least one upper or lower extremity. Preoperative use of either antiplatelet or anticoagulation medication was noted; in general, these medications were stopped at least 1 week prior to surgery and resumed postoperatively at the discretion of the

treating neurosurgeon. Tumor pathology was categorized as belonging to one of the following groups: glioma, schwannoma or meningioma, metastatic lesion, or other. Discharge location was defined as home, inpatient rehabilitation facility, skilled nursing facility, or death during admission.

#### Literature Review

We searched MEDLINE using the medical subject headings "venous thromboembolism," "deep venous thrombosis," or "pulmonary embolism," AND "craniotomy" or "brain neoplasms." This search yielded a total of 1049 articles, which were screened by title and abstract for publications investigating the incidence of postoperative VTEs in patients undergoing elective craniotomy. Articles passed the initial screen if the population of interest included patients undergoing elective craniotomy for intracranial lesions and data were available on the incidence of VTE, use of prophylactic anticoagulation, and whether patients were screened for DVTs during admission. Articles examining the incidence of VTEs in patients undergoing nonelective craniotomy for trauma, minor intracranial procedures (e.g., ventriculostomy, ventricular shunting, stereotactic biopsy, or transphenoidal surgery), or spinal neurosurgery were included only if the incidence of VTEs and frequency of prophylactic anticoagulation administration for patients undergoing elective craniotomy were detailed separately. An exception was made to allow the inclusion of 2 randomized clinical trials that did not differentiate VTE rates between cranial and spinal patients,<sup>2,37</sup> because these studies presented a high level of evidence and spinal patients represented a small minority of the overall population. Articles that were unavailable, had incomplete data, or were in a foreign language were excluded, as were reviews, case reports, and case series with fewer than 10 patients. The initial screen identified 86 potential articles of interest. These articles were closely reviewed for exclusion criteria, ultimately yielding 33 articles of interest. Information collected from these articles included year of publication, study design, population of interest, sample size, incidence of VTEs and whether patients were screened for DVTs during admission, rate of prophylactic anticoagulation administration, regimen of prophylactic anticoagulation and timing of postoperative initiation, whether the study reported efficacy of prophylactic anticoagulation in preventing VTEs, incidence of ICH, and observed risk factors for VTEs. Recorded sample size for each study included only patients undergoing elective craniotomy. Efficacy of prophylactic anticoagulation was based on the study authors' interpretation of results. Risk factors for VTEs were noted only if they were found to be independently associated with VTEs on multivariate analysis.

#### Statistical Analysis

Descriptive statistics were reported as a frequency and percentage for categorical variables and mean, standard deviation, and range for continuous variables. Comparative statistics were performed using the Student t-test or Pearson's chi-square test, when appropriate, or one-way ANOVA. Predictors of VTE were identified using logistic regression analysis. Variables associated with VTEs on univariate analysis with a p value of 0.100 were included in a stepwise multivariate logistic regression model. Using a backward elimination method, variables were removed in a stepwise fashion according to strength of association as determined by p value until only variables significantly associated with VTEs remained. Administration of prophylactic anticoagulation was not included as a variable in univariate

or multivariate logistic regression given the clear selection bias affecting which patients received this treatment, i.e., in our cohort patients at high risk for VTEs were far more likely to be treated with anticoagulation than were patients perceived to be at lower risk (see Results). For articles included in the literature review, the relationship between year of publication and rate of VTEs was assessed using linear regression analysis, with results reported as a correlation coefficient ( $r^2$ ) and p value. VTE rates were weighted according to study sample size in linear regression models. The alpha level for statistical significance was set at 0.05. Statistical analyses were performed using commercially available software (JMP 10.0.0, 2012 SAS Institute Inc.).

A power analysis was performed to determine necessary sample size in a theoretical randomized clinical trial aimed at determining the efficacy of prophylactic anticoagulation in preventing VTEs. For this analysis, desired power was set at 90.0%. The alpha level for statistical significance using a one-sided test was set at 0.05. All calculations were performed using the software EAST 6.4, 2018 (Cytel).

## Results

#### **Patient Characteristics and Outcomes**

There were 1622 patients who met criteria for inclusion. The mean patient age was 52.9 years (SD 15.8), and a majority of patients were female (52.9%). There were 50 patients with a previous history of a VTE (3.1%), 25 of whom (50.0%) were on anticoagulation medication prior to surgery. In total, there were 64 patients who were taking anticoagulation medication prior to surgery. A majority of tumors were supratentorial (84.0%) and intraaxial (59.4%). The most common tumor pathology was a glial-based tumor (48.3%), followed by schwannoma or meningioma (35.1%). The most common pathological grade was 1 (35.5%). There were 328 patients (20.2%) with a preoperative or new postoperative motor deficit.

There were 137 patients (8.4%) who received an ultra-sound due to clinical suspicion of VTE. A VTE occurred in 48 patients (3.0%), with 38 and 14 patients suffering a DVT and PE (0.9%), respectively. There were 4 patients who suffered both a DVT and PE (0.2%). The mean postoperative day of VTE diagnosis was 13.1 (SD 9.5); the mean postoperative days of diagnosis for VTEs discovered in the inpatient and outpatient settings were 5.4 (SD 4.3) and 18.6 (SD 8.3), respectively. Prophylactic anticoagulation was administered in 192 patients (11.8%), with a majority of these patients receiving LMWH (57.3%). The mean day of anticoagulation initiation was 4.6 (SD 3.8). A postoperative hemorrhage occurred in 30 patients (1.9%); there was only 1 hemorrhage that occurred after initiation of anticoagulation. In this patient, the hemorrhage occurred 8 days after the initiation of warfarin for a history of VTE prior to surgery. The mean length of stay was 3.8 days (SD 4.5 days), and a majority of patients were discharged to home (80.0%). A complete list of patient characteristics and outcomes is presented in Table 1.

#### Patient Characteristics Associated With Incidence of VTE

Patient and tumor characteristics of patients who did and those who did not suffer a VTE were compared. Patients with a VTE were older than patients without a VTE (60.1 vs 52.7

years; p = 0.001). Patients with a VTE were more likely to have a history of a VTE than patients without a VTE (20.2% vs 2.5%; p < 0.001). Patients with a VTE were more likely to have a low KPS score (31.2% vs 16.9%; p = 0.001) and to have a motor deficit (45.8% vs 19.4%; p < 0.001). Patients with a VTE were also more likely to be chronic steroid users (50.0% vs 33.0%; p = 0.014) and were more likely to be taking antiplatelet (33.3% vs 20.3%; p = 0.029) and anticoagulation medication (16.7% vs 3.6%; p < 0.001) prior to surgery. VTEs were more common in patients who suffered a postoperative ICH (12.5% vs 1.5%; p < 0.001) and in patients who were treated with prophylactic anticoagulation (33.3% vs 11.2%; p < 0.001). VTEs were also more common in patients with prolonged intubation or reintubation after extubation (14.6% vs 3.2%; p < 0.001). The mean length of stay of patients with a VTE was longer than that of patients without a VTE (7.0 vs 3.7 days; p < 0.001), and patients with a VTE were less likely to discharge to home (56.3% vs 80.8%; p < 0.001). The complete results of comparisons between patients with and without a VTE are visible in Table 2.

## **Characteristics of Patients Receiving Prophylactic Anticoagulation**

Given the strong association of prophylactic anticoagulation administration with incidence of VTEs (OR 3.97, 95% CI 2.14–7.38; p < 0.001), we performed a comparison between patients who did and did not receive anticoagulation. There were a number of differences in baseline patient characteristics between patients who did and did not receive prophylactic anticoagulation, including older age (56.3 vs 52.4 years; p = 0.002), higher frequency of VTE history (40.0% vs 2.1%; p < 0.001) and preoperative anticoagulation use (10.4% vs 3.1%; p < 0.001), poor KPS score (35.4% vs 14.9%; p < 0.001), and motor deficit (48.4% vs 16.4%; p < 0.001), which occurred more frequently in patients receiving prophylactic anticoagulation. Patients receiving prophylactic anticoagulation were also more likely to have suffered a postoperative ICH (4.7% vs 1.5%; p = 0.002) and to be intubated for more than 24 hours or be reintubated (9.9% vs 2.7%; p < 0.001). Patients receiving prophylactic anticoagulation had longer lengths of stay (8.1 vs 3.2 days; p < 0.001) and were much less likely to be discharged home (30.4% vs 86.8%; p < 0.001). These findings are summarized in Table 3.

#### Logistic Regression Analysis Identifying Predictors of VTE

On univariate analysis, increasing patient age (unit OR 1.03, 95% CI 1.01–1.05; p = 0.002), history of VTE (OR 10.09, 95% CI 4.70–21.67; p < 0.001), poor KPS score (OR 2.24, 95% CI 1.20–4.17; p = 0.012), preoperative use of antiplatelets (OR 1.96, 95% CI 1.06–3.62; p = 0.031) or anticoagulation (OR 5.42, 95% CI 2.42–12.12; p < 0.001), presence of motor deficit (OR 3.51, 95% CI 1.96–6.27; p < 0.001), chronic steroid use (OR 2.03, 95% CI 1.14–3.60; p = 0.016), postoperative ICH (OR 9.23, 95% CI 3.58–23.75; p < 0.001), and prolonged intubation or reintubation (OR 5.10, 95% CI 2.18–11.91; p < 0.001) were associated with increased odds of a VTE (Table 4).

On multivariate analysis, increasing patient age (unit OR 1.02, 95% CI 1.00–1.05; p = 0.018), history of VTE (OR 7.26, 95% CI 3.24–16.27; p < 0.001), presence of motor deficit (OR 2.64, 95% CI 1.43–4.88; p = 0.002), postoperative ICH (OR 4.35, 95% CI 1.51–12.55;

p < 0.001), and prolonged intubation or reintubation (OR 3.27, 95% CI 1.28–8.32; p < 0.001) were independently associated with increased odds of a VTE (Table 5).

#### Literature Review

There were 33 articles of interest identified through a search of the literature. Data from included articles are presented in Table 6. Articles were published between the years 1975 and 2018 and included 10 randomized clinical trials, 7 prospective studies, and 16 retrospective studies. Mean study sample size was 469.5 patients (SD 788.9). The mean rate of postoperative VTEs was 11.1% (SD 9.8%); in 19 of 33 (57.6%) studies patients were routinely screened for DVTs. The reported rate of VTEs was significantly higher in studies that screened for DVTs compared to studies in which diagnostic testing was performed only in the setting of clinically suspected VTE (14.8% vs 6.2%; p = 0.010). The mean rate of prophylactic anticoagulation administration was 49.4% (SD 42.5%), which did not correlate with rate of VTEs on linear regression analysis ( $r^2 = 0.017$ ; p = 0.475). Of the articles reporting on the efficacy of prophylactic anticoagulation in preventing VTEs, 6 of 11 (54.5%) reported a benefit. The mean rate of postoperative hemorrhage among 18 reporting studies was 3.1% (SD 3.0%). Study design did not appear to affect VTE rates (randomized controlled trial [RCT] vs prospective vs retrospective study: 12.9% vs 12.3% vs 9.6%; p = 0.680). When all studies and all patients were included, year of publication ( $r^2 = 0.022$ ; p = 0.587) did not correlate with VTE rates. Given the heterogenous rate of prophylactic anticoagulation administration in studies included for analysis, to better assess trends in VTE incidence over time the rate of VTEs among patients who did not receive prophylactic anticoagulation as a function of publication date was also assessed. VTE rates among patients in control arms of RCTs<sup>2,7,9,12,16,34,37</sup> and those reported in prospective or retrospective studies in which no patients received anticoagulation<sup>6,17,23,27,30,35,36,43,50</sup> were found to be inversely correlated to year of publication ( $r^2 = 0.297$ ; p = 0.024; Fig. 1). Data from the present study were also included in this analysis given the small minority of patients receiving prophylactic anticoagulation. Independent risk factors for VTEs included high-grade glioma,<sup>10,49</sup> hypertension,<sup>10</sup> history of VTE,<sup>48</sup> increasing age,<sup>10,20</sup> increasing ICU length of stay.<sup>48,49</sup> increasing weight.<sup>26</sup> both male<sup>20</sup> and female sex.<sup>49</sup> motor deficit.<sup>10</sup> nonambulatory status, 20,26 non-Caucasian ethnicity, 49 poor functional status, 10 postoperative infection,<sup>35</sup> and postoperative seizure.<sup>48</sup>

#### **Power Analysis**

A power analysis was performed to determine the necessary sample size of a potential RCT investigating the effect of routine prophylactic anticoagulation administration on VTE rates. Assuming a VTE incidence of 3.0% among patients not receiving prophylactic anticoagulation, to detect a 1.0% reduction in VTE rate (3.0% vs 2.0%), a total of 8440 patients, with 4220 patients per treatment arm, would have to be enrolled.

## Discussion

In the present study, we investigated the incidence and predictors of VTEs in a consecutive series of patients undergoing intracranial tumor surgery at our institution. We also

determined the frequency of and complications associated with usage of prophylactic anticoagulation.

In our patient cohort, we observed a VTE rate of 3.0%, with respective rates of DVT and PE of 2.3% and 0.9%. In comparison, the rate of VTEs reported in the literature was highly variable, ranging from 0.5% to 42.6% (mean 11.1%, SD 9.8%; Table 6). As expected, the rate of VTEs was significantly higher in studies that screened asymptomatic patients for lower-extremity DVTs (14.8% vs 6.2%; p = 0.010). The utility of screening asymptomatic patients is not well established, particularly in a neurosurgical setting. A majority of postoperative DVTs detected by screening are isolated to deep veins of the calf, which do not pose a risk for a PE unless there is subsequent proximal venous extension, and thus do not require treatment.<sup>28</sup> Moreover, asymptomatic distal DVTs typically resolve spontaneously, with progression observed in roughly one-sixth of cases.<sup>28</sup> In contrast, though most if not all DVTs originate in the calf, in previous studies 90% of symptomatic patients were found to have thrombi in the proximal vasculature,<sup>11</sup> suggesting that limiting diagnostic testing only to symptomatic patients may be more likely to yield actionable results. On the other hand, in a study of patients with a confirmed PE, fewer than half of patients with a confirmed concomitant proximal DVT had symptoms attributable to lowerextremity thrombosis,<sup>25</sup> suggesting that clinical suspicion alone is not particularly sensitive for high-risk thrombi. While proximal extension of distal DVTs is effectively halted by anticoagulation treatment.<sup>31</sup> in neurosurgical patients the benefit of this intervention must be weighed against the risk of hemorrhage. Though recent studies have suggested that the risk of therapeutic anticoagulation in the postcraniotomy setting is acceptable,<sup>45</sup> given the relatively low rate of PE observed in our study (0.9%), the morbidity of routine screening and treatment of asymptomatic DVTs may outweigh any benefit. Further investigation is warranted to determine whether the use of screening protocols for high-risk patients is beneficial. Our rate of VTEs was comparable to those observed in other large single-center studies in which patients were not routinely screened for DVTs,<sup>10</sup> as well as studies employing the use of national databases.<sup>4,13,29,42,46</sup> Interestingly, patients within our cohort did not routinely receive prophylactic anticoagulation, which was administered at the discretion of the consultant neurosurgeon in cases assessed to be high risk (Table 3). By comparison, Chaichana and colleagues reported that patients treated at their institution were routinely administered prophylactic anticoagulation in the form of subcutaneous heparin starting 24 hours after surgery. Despite these stark differences in practice, we report a rate of VTE that is essentially identical to that reported by Chaichana et al. (3.0% vs 3.0%).<sup>10</sup> Given the clear selection bias affecting which patients received prophylactic anticoagulation in our series (Table 3), we are unable to draw conclusions on the efficacy of prophylactic anticoagulation in preventing postoperative VTEs. Moreover, recent meta-analyses have found a benefit to prophylactic anticoagulation in preventing VTEs,<sup>3,5,24</sup> though the added benefit in patients already receiving mechanical prophylaxis is less certain.<sup>3</sup> In addition, VTE rates may be lower in the current era relative to when much of the available randomized data were collected (Fig. 1), possibly due to routine implementation of nonpharmacological modes of VTE prophylaxis.<sup>19,40</sup> Regardless, our findings indicate that the eschewing of routine prophylactic anticoagulation in postoperative patients does not necessarily result in high rates of symptomatic VTEs when combined with routine

mechanical prophylaxis and early mobilization. Further randomized trials may be necessary to obtain dispositive evidence on the benefit of prophylactic anticoagulation, though the sample sizes necessary to perform a sufficiently powered study may be prohibitive (see Power Analysis).

Of primary concern with the initiation of anticoagulation in the postoperative setting is the increased risk of ICH. We observed an overall postoperative hemorrhage rate of 1.9%, with only 1 patient experiencing an ICH after initiation of prophylactic anticoagulation (0.5%). There were no observed hemorrhages after initiation of sub-cutaneous heparin or LMWH. Similar to previous studies,<sup>4,8,10,21,33,41</sup> our findings suggest that the risk of hemorrhage associated with prophylactic anticoagulation is not prohibitive and should not deter the use of anticoagulation medication in patients deemed to be at high risk for VTEs. It is important to note, however, that the time to prophylactic anticoagulation initiation was frequently delayed in our study (mean time to initiation: 4.6 days; Table 1). Thus our results are not generalizable to prophylactic anticoagulation routinely started within 24 to 48 hours after surgery and should be interpreted with caution, particularly given the association of LMWH with hemorrhage in previous studies.<sup>14,16,24,44</sup> Further work is needed to characterize the effect of time from surgery on the risk profile of prophylactic anticoagulation.

To improve the cost-effectiveness of prophylactic anticoagulation, as well as to mitigate potential risks, identification of patients at high risk for VTEs and thus most likely to benefit from prophylactic anticoagulation is of paramount importance. In our study, we identified several factors independently associated with an increased risk of a VTE (Table 5). Among these variables, older age,<sup>4,10,13,20,29,46</sup> history of VTE,<sup>49</sup> motor deficit,<sup>10,20,26</sup> and prolonged postoperative intubation or reintubation<sup>4,29</sup> have been previously identified as risk factors for VTEs. Given the ability to identify prior history of a VTE preoperatively, patients should be thoroughly screened for even remote VTEs, and for patients who screen positively strong consideration should be given to early initiation of postoperative prophylactic anticoagulation. Regarding the remainder of the independent risk factors, apart from a motor deficit present preoperatively, they appear to apply almost exclusively to patients suffering postoperative complications (Table 5). Indeed, the mean postoperative day of VTE diagnosis was 13.1 and patients suffering a VTE in our cohort had significantly longer lengths of stay and more frequent discharge to either an inpatient rehabilitation or skilled nursing facility (Tables 1 and 2). While a VTE can certainly contribute to patient morbidity potentially affecting both length of stay and discharge location, these results suggest that postoperative complications may be the primary contributor to VTE risk in many cases. A corollary to this conclusion may be that routine use of prophylactic anticoagulation in patients undergoing uncomplicated tumor resection may not be cost-effective.<sup>3</sup>

#### Limitations

Our study is limited by the single-center, retrospective design. In addition, clear baseline differences between patients who did and those who did not receive prophylactic anticoagulation, as well as nonstandardized criteria for initiation and timing of prophylactic anticoagulation, preclude the possibility of drawing definitive conclusions on the efficacy of this intervention.

## Conclusions

Herein, we report the incidence and predictors of VTEs in a consecutive series of patients undergoing surgery for resection of an intracranial tumor. Given the absence of dispositive randomized evidence on the efficacy of prophylactic anticoagulation, considering our institutional policy of administering prophylactic anticoagulation on a limited and discretionary basis, our hope is that our results will provide a reference point, along with other large institutional series, to aid neurosurgical providers in the development of protocols for VTE prophylaxis in the postoperative setting.

## ABBREVIATIONS

DVT	deep venous thrombosis
ICH	intracranial hemorrhage
KPS	Karnofsky Performance Scale
LMWH	low-molecular-weight heparin
PE	pulmonary embolism
RCT	randomized controlled trial
VTE	venous thromboembolic event

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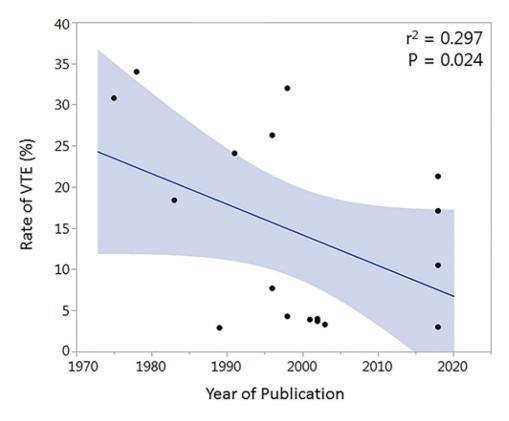
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## FIG. 1.

Relationship of publication date to VTE rate. Plot of VTE rate reported in studies included for analysis as a function of year of publication. The  $r^2$  and p values obtained through linear regression analysis are weighted by study sample size. *Shaded areas* denote 95% CI. Figure is available in color online only.

#### TABLE 1.

#### Patient characteristics and outcomes

Variable	Value
No. of patients	1622
Age in yrs, mean (SD)	52.9 (15.8)
Range	(18-89)
Sex	
Male	769 (47.4)
Female	853 (52.6)
BMI in kg/m <sup>2</sup>	28.9 (6.2)
Range	(14.6–59.8)
History of VTE	50 (3.1)
KPS score <80	281 (17.3)
Preop motor deficit	212 (13.1)
Chronic steroid use	544 (33.5)
Preop antiplatelet use	336 (20.7)
Preop anticoagulation	64 (3.9)
Admission type	
Elective	1502 (92.6)
Nonelective	120 (7.4)
Revision craniotomy	317 (19.5)
Location	
Supratentorial	1362 (84.0)
Infratentorial	260 (16.0)
Intraaxial	963 (59.4)
Extraaxial	659 (40.6)
Pathology	
Glial-based tumor	784 (48.3)
Schwannoma/meningioma	570 (35.1)
Metastatic lesion	108 (6.7)
Other	160 (9.9)
Pathological grade *	
1	568 (35.5)
2	270 (16.9)
3	247 (15.4)
4	516 (32.2)
New postop motor deficit	165 (10.1)
Inpatient extremity ultrasound	137 (8.4)
VTE	48 (3.0)

Variable	Value
Inpatient VTE	20 (1.2)
Outpatient VTE	28 (1.7)
DVT	38 (2.3)
PE	14 (0.9)
DVT & PE	4 (0.2)
Day of VTE diagnosis	13.1 (9.5)
Inpatient VTE	5.4 (4.3)
Range	(0–18)
Outpatient VTE	18.6 (8.3)
Range	(6–30)
Prophylactic anticoagulation	192 (11.8)
Subcutaneous heparin	77 (40.1) <sup>†</sup>
Subcutaneous LMWH	110 (57.3) <sup>†</sup>
Oral anticoagulant	5 (2.6) <sup>†</sup>
Day of chemoprophylaxis initiation	4.6 (3.8)
Range	(0-22)
Postop ICH	30 (1.9)
ICH after DVT chemoprophylaxis	1 (0.5) <sup>†</sup>
Intubated >24 hr/reintubated	58 (3.6)
Mean LOS in days	3.8 (4.5)
Range	(1–119)
Discharge location	
Home	1298 (80.0)
Inpatient rehabilitation	240 (14.8)
Skilled nursing facility	82 (5.1)
Inpatient mortality	2 (0.1)

LOS = length of stay.

Values are presented as number of patients (%) unless otherwise indicated.

\* There were 21 lesions that were not assigned a pathological grade.

 $^{\dagger}$ Denominator for percentage was number of patients receiving VTE chemoprophylaxis.

### TABLE 2.

Comparison of patients with and those without VTE

Variable	No VTE (n = 1574)	<b>VTE</b> ( <b>n</b> = <b>48</b> )	p Valu
Age in yrs, mean (SD)	52.7 (15.9)	60.1 (11.9)	0.001
Range	(18–89)	(33–79)	
Sex			
Male	743 (47.2)	22 (45.8)	
Female	831 (52.8)	26 (54.2)	0.341
BMI in kg/m <sup>2</sup>	28.8 (6.2)	29.7 (6.5)	0.353
Range	(14.6–59.8)	(20.7–47.8)	
History of VTE			
No	1534 (97.5)	38 (79.2)	
Yes	40 (2.5)	10 (20.2)	<0.001
KPS score <80			
No	1308 (83.1)	33 (68.8)	
Yes	266 (16.9)	15 (31.2)	0.001
Pre- or postop motor deficit			
No	1268 (80.6)	26 (54.2)	
Yes	306 (19.4)	22 (45.8)	<0.001
Chronic steroid use			
No	1054 (67.0)	24 (50.0)	
Yes	520 (33.0)	24 (50.0)	0.014
Preop antiplatelet use			
No	1254 (79.7)	32 (66.7)	
Yes	320 (20.3)	16 (33.3)	0.029
Preop anticoagulation			
No	1518 (96.4)	40 (83.3)	
Yes	56 (3.6)	8 (16.7)	<0.001
Admission type			
Elective	1461 (92.8)	41 (85.4)	
Nonelective	113 (7.2)	7 (14.6)	0.054
Revision craniotomy			
No	1267 (80.5)	38 (79.2)	
Yes	307 (19.5)	10 (20.8)	0.819
Location			
Supratentorial	1325 (84.2)	37 (77.1)	
Infratentorial	249 (15.8)	11 (22.9)	0.189
Intraaxial	936 (59.5)	27 (56.3)	
Extraaxial	638 (40.5)	21 (43.7)	0.655

Variable	No VTE (n = 1574)	<b>VTE</b> ( <b>n</b> = 48)	p Value
Glial-based tumor	765 (48.6)	19 (39.6)	
Schwannoma/meningioma	554 (34.2)	16 (33.3)	
Metastatic lesion	102 (6.5)	6 (12.5)	
Other	153 (9.7)	7 (14.6)	0.214
Pathological grade *			
1	554 (35.7)	14 (29.2)	
2	262 (16.9)	8 (16.7)	
3	240 (15.5)	7 (14.6)	
4	497 (32.0)	19 (39.6)	0.703
Postop ICH			
No	1550 (98.5)	42 (87.5)	
Yes	24 (1.5)	6 (12.5)	<0.001
Prophylactic anticoagulation			
No	1398 (88.8)	32 (66.7)	
Yes	176 (11.2)	16 (33.3)	<0.001
Intubated >24 hrs/reintubated			
No	1523 (96.8)	41 (85.4)	
Yes	51 (3.2)	7 (14.6)	<0.001
LOS in days	3.7 (4.3)	7.0 (7.9)	<0.001
Range	(1–119)	(1–46)	
Discharge location $^{\dagger}$			
Home	1271 (80.8)	27 (56.3)	
Inpatient rehabilitation	223 (14.2)	17 (35.4)	
Skilled nursing facility	78 (5.0)	4 (8.3)	<0.001

Values are presented as number of patients (%) unless otherwise indicated.

Boldface type indicates statistical significance.

\*There were 21 lesions that were not assigned a pathological grade.

 $^{\dagger}$ The 2 patients who died during their hospital admission were excluded from this analysis.

#### TABLE 3.

Comparison of patients who did and those who did not receive prophylactic anticoagulation

Variable	No Prophylaxis (n = 1430)	Prophylaxis (n = 192)	p Valu
Age in yrs, mean (SD)	52.4 (15.9)	56.3 (14.6)	0.002
Range	(18–89)	(18–84)	
Sex			
Male	681 (47.6)	88 (45.8)	
Female	749 (52.4)	104 (54.2)	0.641
BMI in kg/m <sup>2</sup>	28.8 (6.1)	29.5 (7.0)	0.124
Range	(14.6–59.8)	(16.3–57.5)	
History of VTE			
No	1400 (97.9)	30 (60.0)	
Yes	30 (2.1)	20 (40.0)	<0.001
KPS score <80			
No	1217 (85.1)	124 (64.6)	
Yes	213 (14.9)	68 (35.4)	<0.001
Pre- or postop motor defici	t		
No	1195 (83.6)	99 (51.6)	
Yes	235 (16.4)	93 (48.4)	<0.001
Chronic steroid use			
No	956 (66.9)	122 (63.5)	
Yes	474 (33.1)	70 (36.5)	0.362
Preop antiplatelet use			
No	1139 (79.7)	147 (76.6)	
Yes	291 (20.3)	45 (23.4)	0.322
Preop anticoagulant use			
No	1386 (96.9)	172 (89.6)	
Yes	44 (3.1)	20 (10.4)	<0.001
Admission type			
Elective	1330 (93.0)	172 (89.6)	
Nonelective	100 (7.0)	20 (10.4)	0.088
Revision craniotomy			
No	1159 (81.1)	146 (76.0)	
Yes	271 (18.9)	46 (24.0)	0.100
VTE			
No	1398 (97.8)	176 (91.7)	
Yes	32 (2.2)	16 (8.3)	<0.001
Postop ICH			
No	1409 (98.5)	183 (95.3)	
Yes	21 (1.5)	9 (4.7)	0.002

Variable	No Prophylaxis (n = 1430)	Prophylaxis (n = 192)	p Value
Intubated >24 hrs/reintuba	ted		
No	1391 (97.3)	173 (90.1)	
Yes	39 (2.7)	19 (9.9)	<0.001
LOS in days	3.2 (2.2)	8.1 (10.8)	<0.001
Discharge location*			
Home	1240 (86.8)	58 (30.4)	
Inpatient rehabilitation	131 (9.2)	109 (57.1)	
Skilled nursing facility	58 (4.1)	24 (12.6)	<0.001

Values are presented as number of patients (%) unless otherwise indicated.

Boldface type indicates statistical significance.

\* The 2 patients who died during their hospital admission were excluded from this analysis.

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#### TABLE 4.

Results of univariate logistic regression analysis indicating predictors of VTE

Variable	OR (95% CI)	p Value
Age	1.03 (1.01–1.05)*	0.002
Female	0.76 (0.43–1.35)	0.343
BMI	1.02 (0.98–1.07)*	0.352
History of VTE	10.09 (4.70–21.67)	<0.001
KPS score <80	2.24 (1.20-4.17)	0.012
Pre- or postop motor deficit	3.51 (1.96–6.27)	<0.001
Chronic steroid use	2.03 (1.14-3.60)	0.016
Preop antiplatelet use	1.96 (1.06–3.62)	0.031
Preop anticoagulation	5.42 (2.42–12.12)	<0.001
Nonelective admission	2.21 (0.97-5.03)	0.060
Revision craniotomy	1.09 (0.54–2.20)	0.819
Supratentorial location	0.63 (0.32–1.26)	0.190
Intraaxial location	0.88 (0.49–1.56)	0.655
Pathology		•
Glial-based tumor	0.86 (0.44–1.69)	0.661
Schwannoma/meningioma	Reference	_
Metastatic lesion	2.04 (0.78-5.33)	0.147
Other	1.58 (0.64–3.91)	0.320
Grade		
1	Reference	_
2	1.21 (0.50–2.92)	0.674
3	1.15 (0.46–2.90)	0.760
4	1.51 (0.75–3.05)	0.247
Postop ICH	9.23 (3.58–23.75)	<0.001
Intubated >24 hr/reintubated	5.10 (2.18-11.91)	<0.001

Boldface type indicates statistical significance.

\*Unit OR, denoting increase in odds for every 1 unit increase in variable.

#### TABLE 5.

Multivariate stepwise logistic regression analysis indicating independent predictors of VTE

Variable	OR (95% CI)	p Value	C Statistic
Age	1.02 (1.00–1.05)*	0.018	
History of VTE	7.26 (3.24–16.27)	<0.001	
Pre- or postop motor deficit	2.64 (1.43-4.88)	0.002	
Postop ICH	4.35 (1.51–12.55)	0.007	
Intubated >24 hrs/reintubated	3.27 (1.28-8.32)	0.013	0.769

Boldface type indicates statistical significance.

 $^{*}$  Unit OR, denoting increase in odds for every 1 unit increase in variable.

Authors & Year	Study Design	Population	Sample Size	VTE (%)	DVT Screening	PA (%)	Regimen	Day of PA Initiation	Efficacy of PA <sup>*</sup>	Hemorrhage (%)	VTE Risk Factors <i>†</i>
Present study	Retro	Brain tumor	1622	3.0	No	11.8	Heparin 5000 U BID or TID, LMWH 30–40 mg QD, or oral AC	POD 4	NA	1.9	ICH, motor deficit, older age, prior VTE, prolonged intubation
Joffe, 1975	Retro	Craniotomy	13	30.8	Yes	0.0				NA	NA
Cerratoetal., 1978	RCT	Craniotomy	100	20.0	Yes	50.0	Heparin 5000 U BID	PODO	Yes	3.0	NA
Ruff & Posner, 1983	Retro	Glioma	381	18.4	No	0.0				2.1	NA
Bucci etal., 1989	RCT	Craniotomy	70	2.9	Yes	0.0			I	NA	NA
Melon etal., 1991	RCT	Craniotomy	130	19.8	Yes	51.5	LMWH 20 mg QD	POD 1	No	0.0	NA
Frim et al., 1992	Retro	Brain tumor	611	2.5	No	22.6	Heparin 5000 U BID	POD 1	Yes	0.0	NA
Nurmohamed etal., 1996	RCT	$\operatorname{Craniotomy}{}^{\mathscr{S}}$	485	16.1	Yes	49.7	LMWH	PODO	Yes	1.6	NA
Flinn etal., 1996	Prospect	Craniotomy	1439	7.7	Yes	0.0				NA	NA
Agnelli et al., 1998	RCT	Craniotomy **	259	24.6	Yes	50.2	LMWH 40 mg QD	POD 1	Yes	3.0	NA
Dickinson etal., 1998	RCT	Brain tumor	68	11.8	Yes	67.6	LMWH 30 mg BID	Preop	No	7.4	NA
Constantini etal., 2001	RCT	Brain tumor	103	3.9	No	53.4	Heparin 5000 U BID	Preop	NA	2.9	NA
Raabe etal., 2001	Retro	Brain tumor	069	1.2	No	100.0	Heparin 5000 U TID	PODO	I	2.5	NA
Goldhaber et al., 2002	RCT	Brain tumor	150	9.3	Yes	100.0	LMWH 40 mg QD	POD 1	I	0.7	NA
Kumar et al., 2002	Prospect	Brain tumor	54	3.7	Yes	0.0		I	1	NA	NA
Ting etal., 2002	Prospect	Brain tumor	100	4.0	Yes	0.0	1	Ι	Ι	1.0	NA
Auguste et al., 2003	Retro	Glioma	180	3.3 §	No	0.0	1			NA	NA
Gerlach et al., 2003	Prospect	Craniotomy	1319	0.5	$N_0 \dot{\tau} \dot{\tau}$	100.0	LMWH 2850 U QD	Preop	I	3.2	NA
Macdonald et al., 2003	RCT	Craniotomy	100	2.0	Yes	100.0	LMWH 2500 U BID or heparin 5000 UBID	PODO	No	3.0	NA
Gerber et al., 2007	Retro	Meningioma	224	4.9	No	90.0	Heparin 5000 U BID	POD 1	NA	2.7	Male, nonambulatory, increasing age
Cage etal., 2009	Retro	Meningioma	86	3.5	No	27.9	LMWH 30–40 mg QD	POD 1-2	No	12.8	NA
Dermody et al., 2011	Retro	Cranioto-my##	123	12.2	Yes	83.3	Heparin 5000 U BID/TID or LMWH 40 mg QD	NA	Yes	NA	NA
Chaichana et al., 2013	Retro	Brain tumor	4293	3.0	No	100.0	Heparin 5000 U BID or TID	POD 1		NA	HGG, HTN, motor deficit, increasing age, poor functional status
Prell etal., 2013	Prospect	Craniotomy	101	42.6	Yes	100.0	LMWH 3000 IU QD	POD 1	Ι	2.0	NA
Hoefnagel etal., 2014	Retro	Brain tumor	581	7.2	No	100.0	LMWH 2850 or 5700 IU QD	POD 1		2.9	Increasing weight, nonambulatory

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TABLE 6.

Summary of previous literature investigating the incidence of VTEs in adult patients undergoing elective craniotomy

Authors & Year	Study Design	Population	Sample Size	VTE (%)	DVT Screening	PA (%)	Regimen	Day of PA Efficacy Initiation of PA*	Efficacy of PA <sup>*</sup>	VTE Ris Hemorrhage (%) Factors <sup>7</sup>	VTE Risk Factors†
Smith etal., 2014	Retro	HGG	336	15.7	No	7.4	Heparin or LMWH¶	POD 3#	Yes	1.3	ICU LOS, prior VTE, seizure
Frisius etal., 2015	Retro	Brain tumor	200	7.0	Yes	100.0	LMWH	POD 1-2	NA	NA	NA
Smith et al., 2015	Retro	Brain tumor	1148	17.1	No	10.5	Heparin or LMWH 🖉	POD 5#	NA	2.2	Female, ICU LOS, HGG, metastasis, non- Caucasian, prior VTE
Sjavik et al., 2016	Retro	Meningioma	679	3.6	No	65.0	LMWH 40 mg/5000 IU QD	Preop	No	8.1	NA
Agarwal etal., 2018	Retro	Brain tumor	800	1.6	No	100.0	Heparin 5000 U TID	POD 2		NA	NA
Guo et al., 2018	Prospect	Brain tumor	133	10.5	Yes	0.0	1			NA	NA
Nakano et al., 2018	Retro	Brain tumor	61	21.3	Yes	0.0	1			NA	Postop infection
Natsumeda et al., 2018	Prospect	Craniotomy	82	17.1	Yes	0.0	1			NA	NA
Prell etal., 2018	RCT	Craniotomy	94	18.1	Yes	100.0	LMWH 3000 U QD	POD 1		NA	NA
1975–2018 <i>§§</i>	I	Ι	13-4293 (469.5)	0.5-42.6(11.1)	Yes: 19/33 (57.6%)	0.0 - 100.0(49.4)	I	1	Yes: 6/11 (54.5%) 0.0–12.8(3.1)	0.0-12.8(3.1)	1

5 2 ŝ y 2 2 7, P1 2 2 ja Ja - hudoud  $\Delta x = antwoagnation$ , DD = www.eauly (1000 = mgr grave guona, 111) = n year and (1000 = mwastwoar) and (1000 = more structure) and (111) = variable was not applicable to the study in question (e.g., regimen of PA in study in which no PA was administered).

 $_{\star}^{*}$  Based on the study authors' interpretation of results.

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 $\dot{\tau}_{\rm Kisk}$  factors noted only if found to be independently associated with VTEs on multivariate analysis.

 $\dot{t}_{\rm K}^{\rm t}$  Represents the mean postoperative day of prophylactic anticoagulation.

 $\overset{g}{s}$  1.4% of patients in this study underwent spinal surgery.

 $\ensuremath{\mathbb{I}}$  Information on dosage and frequency of administration was not available.

\*\* 15.0% of patients in this study had spine surgery.

 $^{\uparrow\uparrow}100$  patients were randomly screened for VTE in this study.

 $\sharp \sharp$  Diagnosis of postoperative VTE was assessed in first 6 weeks after surgery, as opposed to 30 days.

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