

## ORIGINAL ARTICLE

# Comparing the epidemiology, clinical characteristics and prognostic factors of acute myeloid leukemia with and without acute promyelocytic leukemia

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## Abstract

Acute promyelocytic leukemia (APL) is a particularly aggressive subtype of acute myeloid leukemia (AML), with high rates of early death. It is important to examine how epidemiological characteristics, clinical and treatment factors, cytogenetic and genetic data affect survival and differ between APL and non-APL AML patients. We analyzed population data from the New York State Cancer Registry to characterize AML including APL incidence rates by demographics. APL incidence rates were higher among Hispanics than non-Hispanics [incidence rate ratio = 1.22; 95% confidence interval (CI) = 1.02–1.43]; and among foreign-born than USA-born persons. APL incidence rates increased more rapidly through 1995–2014 than non-APL AML; and its frequency increased faster among foreign-born persons. In a hospital cohort of 390 AML patients, the risk of death was significantly higher among APL patients with FLT3-internal tandem duplications than those without [hazard ratio (HR) = 11.74; 95% CI = 1.03–134.5]; and among APL patients with secondary versus *de novo* disease (HR = 17.32; 95% CI = 1.56–192.1). Among non-APL AML patients, risk of death was significantly associated with prior chemotherapy with antitubulin agents after adjusting for age, gender and ethnicity (adjusted HR = 3.30; 95% CI = 1.49–7.32); and separately with older age, unfavorable cytogenetics and complex karyotype. This study highlights FLT3-internal tandem duplications as a prognostic factor in APL and proposes consideration of prior antitubulin therapy as a prognostic factor in non-APL AML.

## Introduction

Acute myeloid leukemia (AML) is a hematological malignancy that arises from clonal proliferation of immature myeloid cells. Prognosis depends on a number of factors including age and disease biology; without treatment, AML is universally fatal (1). The American Cancer Society estimated 19 520 new cases and 10 670 deaths due to AML in the USA during 2018, and ~0.5% of the population will develop it during their lifetime (2). The AML age-adjusted incidence and mortality rates are 4.3 and 2.8 per 100 000 persons, respectively, and the 5-year survival rate is 27.4% (3). A number of factors have been associated with

increased risk of AML in adults, including older age; antecedent hematological disease; and exposure to therapeutic and non-therapeutic ionizing radiation, chemicals such as benzene; pesticides; herbicides and certain chemotherapeutic drugs and agents (4). These exposures may result in acquired chromosomal abnormalities, which have been associated with lower survival in AML patients (5).

Acute promyelocytic leukemia (APL) is a rare but aggressive form of AML, comprising 5–15% of AML cases (6). Its incidence rate in the USA population was 0.32 per 100 000 persons during

## Abbreviations

AAPC	average annual percentage change
AML	acute myeloid leukemia
APL	acute promyelocytic leukemia
CI	confidence interval
ELN	European Leukemia Net
HR	hazard ratio
ITD	internal tandem duplications
NYSOCR	New York State Cancer Registry

2000–14 (7), representing a significant increase over time (0.11 per 100 000 persons in 1975–90) (8). Although APL had a poor prognosis historically, treatment regimens containing all-trans retinoic acid, anthracyclines, arsenic trioxide have achieved complete remission rates close to 90%, and cure rates of 80% (9). Five-year relative survival rates have increased over time from 18% in 1975–90 to 64% in 2000–08 (8). Despite the improvement in overall survival, APL is considered a medical emergency. Early death, within 1 month of diagnosis, occurs in an estimated 17.3% cases, most frequently attributed to severe intracranial or pulmonary hemorrhage (10).

APL is known to occur more frequently in patients of Hispanic or Latino origin compared with whites (11,12). It is important to fully study the epidemiological distribution and clinical characteristics of both APL and non-APL AML, and the presence of acquired cytogenetic abnormalities and genetic mutations associated with prognosis, to identify subpopulations with increased risk of disease and death and be able to personalize treatment options. A number of studies have characterized AML in terms of epidemiology, identified high-risk groups and suggested treatment strategies (13–15), but these do not necessarily differentiate between APL and non-APL AML patients. Population-based studies have examined incidence, risk factors and survival among patients with different AML types (16), but comparative clinical studies in the same patient cohort are sparse.

To address this gap, we conducted a retrospective study on both a population-based cancer registry and a hospital clinical registry, with the following objectives: (i) to characterize the incidence rates of AML and APL in New York State according to demographics; (ii) to describe a hospital-based clinical cohort of AML patients in terms of demographic characteristics, risk factors, cancer history, treatment history, cytogenetics and genetic mutations; stratified by APL status; (iii) to identify factors associated with survival among APL and non-APL AML patients.

## Materials and methods

### Data collection

Data on acute myeloid leukemia and patient characteristics were collected from two sources: a population-based cohort and a clinical (hospital-based) validation cohort.

### Population-based cohort

New York State Cancer Registry (NYSOCR) data were obtained from the publicly available website, New York State Public Access Cancer Epidemiology Data (NYSPACED) (17). The study sample consisted of NYSCR patients diagnosed with AML from 1995 to 2014, based on the variable Site recode ICD-O-3/WHO 2008. AML was defined as Site recode ICD-O-3/WHO 2008 'acute myeloid leukemia' or 'acute monocytic leukemia'; cases were further defined as 'acute promyelocytic leukemia' (APL) if ICD-O3 histology/behavior code = 9866/3.

### Clinical cohort

Patient data for the clinical cohort were extracted from the electronic medical record database of the Mount Sinai Health System, a tertiary care

hospital in New York City. Patients were eligible for inclusion in the study if they were diagnosed with AML (including APL) and received care from a hematologist/oncologist at Mount Sinai Health System, from 1 January 2009 to 31 December 2016. The selection process for patients is outlined in Figure 1.

Data on demographic characteristics (age, gender, race, ethnicity and marital status), risk factors (alcohol and tobacco use) and cancer-related factors (AML type, history of solid tumor, hematological disorder and prior cancer therapy) were collected for 390 patients at diagnosis. AML was classified into 'de novo' AML and 'secondary AML' [secondary to myelodysplastic syndrome, myeloproliferative neoplasm or therapy related]. Cytogenetic information was available for 256 patients. A karyotype abnormality was defined as the presence of any structural or numerical chromosomal abnormality in two or more cells (three or more cells for monosomy) (18). They were further categorized as 'favorable' or 'unfavorable' (including intermediate I, intermediate II, adverse), according to European Leukemia Net (ELN) guidelines (19). Three or more different chromosomal abnormalities occurring in the same patient identified a 'complex' karyotype (20). If the patient's genetic profile showed evidence of exposure to commonly known mutagens, they were considered to have an exposure signature present (21). Genetic profile data were available for 205 patients at diagnosis. Patients having at least one of the following gene mutations (ASXL1, FLT3, DNMT3A, RUNX1, TET2, TP53, PHF6) previously associated with risk of AML and/or poor prognosis (3) were classified as having a 'deleterious' mutation. This study was approved by the institutional review board at Mount Sinai Hospital (IRB Protocol Approval number IRB1701298). A waiver of informed consent was appropriate as data were retrospective and de-identified. All protected health information was anonymized and kept confidential.

## Statistical analyses

### Population-based cohort

Descriptive statistics, case frequencies and cancer incidence rates were presented according to demographic characteristics including gender, age group, race, ethnic origin and nativity. Stratum-specific, age-adjusted incidence rates per 100 000 persons for all AML cases and the subset of APL cases were calculated using the 2000 USA Census population as the standard (except for country of birth, due to lack of appropriate denominators in the NYSPACED data set). Age-adjusted incidence rates stratified according to the country of birth were calculated using age-specific USA population weights for the year 2005, the midpoint of the data collection period. Further, due to the large proportion of cases with unknown country of birth (11% AML cases, 25% APL cases), a sensitivity analysis was performed by incorporating cases with 'unknown' birthplace proportionately within the 'USA-born' and 'foreign-born' categories according to the original ratio of USA-born to foreign-born patients. Statistical analyses were conducted using SEER\*Stat and SAS analytic software.

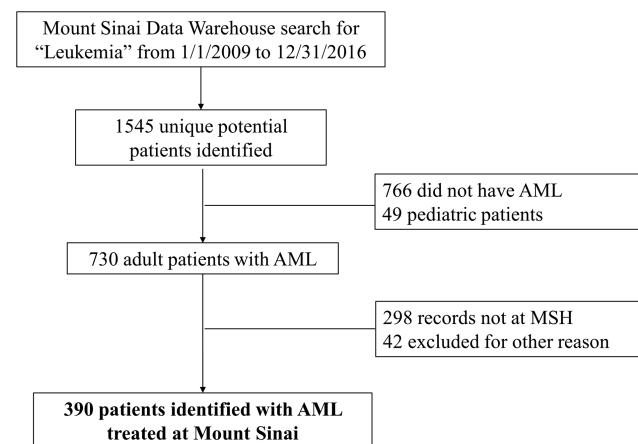


Figure 1. Consort flow diagram for data collection (clinical cohort).

### Clinical cohort

Demographic characteristics were described for the complete sample ( $N = 390$ ). Characteristics for APL and non-APL patients were compared using the  $\chi^2$  and Fisher's exact tests. Risk of death was assessed using Cox proportional hazard regression for several potential risk factors including demographic characteristics, AML type, cytogenetic risk (ELN category), karyotype complexity, prior cancer therapy and presence of specific genetic mutations. Bivariate (unadjusted) regression for each risk factor was conducted, as well as a model adjusted for age, gender, ethnicity and prior cancer therapy. Statistical analyses were conducted using SAS analytic software, version 9.4 (SAS Institute, Cary, NC). Statistical significance was evaluated at  $\alpha = 0.05$ .

## Results

### Population-based cohort

There were 58 664 patients with leukemia in the NYSCR; 17 120 of them had a diagnosis of AML, 1193 of which were APL. APL patients were significantly more likely to be non-white, Hispanic, foreign-born and were generally younger compared with non-APL patients (Table 1). The incidence rate of non-APL (per 100 000 persons) was lower among Hispanics compared with non-Hispanics [incidence rate ratio = 0.76; 95% confidence interval (CI) = 0.71–0.80], in contrast with APL, which was higher among Hispanics compared with non-Hispanics (incidence rate ratio = 1.22; 95% CI = 1.03–1.43; Table 2). Males had higher incidence rates of AML than females, and so did whites compared with blacks. Foreign-born patients had higher incidence rates of APL compared with USA-born patients (incidence rate ratio = 1.20), although its statistical significance could not be determined due to lack of individual denominator data (Table 2).

The incidence rates of APL increased from 1995 to 2014 [average annual percentage change (AAPC) = 4.2; 95% CI = 2.4–6.0]; at a faster rate compared with non-APL AML (AAPC = 0.8;

95% CI = 0.2–1.4). Among foreign-born persons, the frequency of APL increased more per year (AAPC = 5.4; 95% CI = 2.8–8.0) than in USA-born persons (AAPC = 3.4; 95% CI = 1.8–5.1). Non-APL AML frequency also showed a greater increase in frequency among foreign-born persons (AAPC = 4.4; 95% CI = 3.3–5.6) than in USA-born persons (AAPC = 0.9; 95% CI = 0.3–1.5). The AAPC for APL incidence rate was 4.1 (95% CI = 2.7–5.5) among non-Hispanics but could not be estimated among Hispanics due to small number of cases. Annual APC for non-APL AML was not significantly different between Hispanic (1.6; 95% CI = 0.4–2.7) and non-Hispanic persons (0.8; 95% CI = 0.2–1.4).

### Clinical cohort

The clinical cohort of 390 AML patients at Mount Sinai (APL = 31; non-APL = 343) had a mean age of 60 years and consisted of 52% males, 53% whites, 15% blacks and 32% other races, with roughly 20% of the sample of Hispanic ethnicity. The majority was married or partnered, reported not having used alcohol and tobacco, had *de novo* AML, and no history of solid tumor, hematologic disorder or prior cancer therapy (Table 3). Presence of genetic mutations, exposure signature and complex karyotype did not differ significantly between APL and non-APL patients, although the former had a non-significantly higher prevalence of *FLT3*-internal tandem duplications (ITD) compared with non-APL patients (39% versus 23%,  $P = 0.197$ ; Table 3). APL patients were significantly more likely to be younger than non-APL patients (52% versus 24% below age 50 years,  $P = 0.006$ ); had significantly lower prevalence of disease secondary to myelodysplastic syndrome/myeloproliferative neoplasm/therapy (10% versus 41%,  $P = 0.005$ ) and of a history of prior hematologic disorder (10% versus 36%,  $P = 0.006$ ) compared with patients without APL.

**Table 1.** Distribution of demographic characteristics among AML cases in New York State, 1995–2014

Characteristic	Distribution of AML patients			P value <sup>b</sup>
	AML ( $n = 17\ 120$ )	APL ( $n = 1193$ )	Non-APL ( $n = 15\ 927$ )	
	N (%)	N (%)		
Age group (years)				<0.0001*
<20	828 (4.8)	87 (7.3)	741 (4.7)	
20–34	960 (5.6)	189 (15.8)	771 (4.8)	
35–64	5570 (32.5)	585 (49.0)	4985 (31.3)	
65+	9762 (57.0)	332 (27.8)	9430 (59.2)	
Gender				0.069**
Male	9159 (53.5)	608 (51.0)	8551 (53.7)	
Female	7961 (46.5)	585 (49.0)	7376 (46.3)	
Race				<0.0001*
White	14 498 (84.7)	951 (79.7)	13 547 (85.1)	
Black	1783 (10.4)	160 (13.4)	1623 (10.2)	
Other <sup>a</sup>	746 (4.4)	69 (5.8)	677 (4.3)	
Unknown/other	93 (0.5)	13 (1.1)	80 (0.5)	
Ethnicity				<0.0001*
Non-Hispanic	15 599 (91.1)	1000 (83.8)	14 599 (91.7)	
Hispanic	1521 (8.9)	193 (16.2)	1328 (8.3)	
Birthplace				<0.0001*
USA-born	12 253 (71.6)	664 (55.7)	11 589 (72.8)	
Foreign-born	3000 (17.5)	231 (19.4)	2769 (17.4)	
Unknown	1867 (10.9)	298 (25.0)	1569 (9.9)	

<sup>a</sup>American Indian/Alaskan Native, Asian/Pacific Islander.

<sup>b</sup>Chi-square test comparing distribution of characteristics between APL and non-APL AML patients.

\* $P \leq 0.001$ , \*\* $P \leq 0.05$ .

**Table 2.** Incidence rates of AML types according to demographic characteristics in NY State, 1995–2014

Characteristic	All AML patients (n = 17 120)		APL (n = 1193)		Non-APL (n = 15 927)	
	Incidence rate (95% CI)	Incidence rate ratio (95% CI)	Incidence rate (95% CI)	Incidence rate ratio (95% CI)	Incidence rate (95% CI)	Incidence rate ratio (95% CI)
Gender <sup>a</sup>						
Male	5.3 (5.2–5.4)	1.0 (Ref)	0.3 (0.3–0.4)	1.0 (Ref)	5.0 (4.9–5.1)	Ref
Female	3.5 (3.5–3.6)	0.67 (0.65–0.69)	0.3 (0.3–0.3)	*0.85 (0.75–0.95)	3.3 (3.2–3.3)	*0.65 (0.63–0.67)
Race <sup>a</sup>						
White	4.5 (4.4–4.6)	1.0 (Ref)	0.3 (0.3–0.3)	1.0 (Ref)	4.2 (4.1–4.3)	Ref
Black	3.0 (2.9–3.1)	0.66 (0.63–0.70)	0.2 (0.2–0.3)	*0.78 (0.65–0.92)	2.8 (2.6–2.9)	*0.66 (0.62–0.69)
Other <sup>b</sup>	3.5 (3.2–3.7)	0.77 (0.71–0.82)	0.3 (0.2–0.3)	0.87 (0.68–1.10)	3.2 (3.0–3.4)	*0.76 (0.71–0.82)
Ethnicity <sup>a</sup>						
Non-Hispanic	4.3 (4.3–4.4)	1.0 (Ref)	0.3 (0.3–0.3)	1.0 (Ref)	4.0 (4.0–4.1)	Ref
Hispanic	3.4 (3.2–3.6)	0.79 (0.75–0.83)	0.4 (0.3–0.4)	*1.22 (1.02–1.43)	3.1 (2.9–3.3)	*0.76 (0.71–0.80)
Birthplace <sup>c,d</sup>						
USA-born	4.2 (3.9–4.6)	1.0 (Ref)	0.2 (0.2–0.3)	1.0 (Ref)	4.0 (3.6–4.3)	Ref
Foreign-born	3.5 (3.3–3.7)	0.84	0.3 (0.2–0.4)	1.18 <sup>f</sup>	3.2 (3.0–3.5)	0.82 <sup>f</sup>
Unknown	—	—	—	—	—	—
Birthplace <sup>c,e</sup>						
USA-born	4.7 (4.4–5.1)	1.0 (Ref)	0.3 (0.2–0.4)	1.0 (Ref)	4.4 (4.1–4.8)	Ref
Foreign-born	4.0 (3.6–4.2)	0.85	0.4 (0.3–0.5)	1.20 <sup>f</sup>	3.6 (3.4–3.9)	0.83 <sup>f</sup>

<sup>a</sup>Age-adjusted incidence rates per 100 000 using 19 age groups from the 2000 USA standard population.

<sup>b</sup>American Indian/Alaskan Native, Asian/Pacific Islander.

<sup>c</sup>Age-adjusted annual average incidence rates, based on 2000 USA Census estimates for age distribution of USA and foreign-born subjects.

<sup>d</sup>Data for 'Unknown' birth place excluded, denominators are either USA-born or foreign-born subjects.

<sup>e</sup>Sensitivity analysis incorporating 'Unknown' birth place cases proportionately distributed within 'USA-born' and 'Foreign-born' categories.

<sup>f</sup>Standard errors for rate ratios not estimable due to aggregate denominator data.

\*P ≤ 0.05.

### Survival

In non-APL patients, the risk of death was significantly higher for patients who had received prior chemotherapy with antitubulins [hazard ratio (HR) = 2.57; 95% CI = 1.26–5.26] compared with those who had not (Table 4). Other significant factors included age ≥63 years (median age; HR = 1.85; 95% CI = 1.40–2.44), secondary AML (HR = 1.96; 95% CI = 1.49–2.58), unfavorable cytogenetic ELN risk category (HR = 2.93; 95% CI = 1.27–6.79), complex karyotype (HR = 2.56; 95% CI = 1.55–4.22) and history of any prior cancer therapy (HR = 2.00; 95% CI = 1.49–2.67). In an adjusted model, risk of death remained significantly higher among non-APL AML patients with prior chemotherapy with antitubulins [adjusted hazard ratio (AHR) = 3.30; 95% CI = 1.49–7.32], those aged ≥63 years and older (AHR = 1.80; 95% CI = 1.34–2.41), patients with unfavorable cytogenetic ELN risk category (AHR = 4.10; 95% CI = 1.46–11.55) and complex karyotype (AHR = 2.33; 95% CI = 1.29–4.21). Among APL patients, those with secondary APL had significantly higher risk of death compared with those with *de novo* APL (HR = 17.32; 95% CI = 1.56–192.1) in unadjusted Cox regression (Table 4). Prior chemotherapy with antitubulins doubled the risk of death among APL patients, although this was not statistically significant. Other non-significant risk factors for death among APL patients included older age, non-white race and male.

### Discussion

We observed some key differences between AML patients with and without APL in the New York State population data. First, incidence rates of APL were significantly higher in Hispanics, but rates of non-APL AML were significantly lower compared with non-Hispanics. A population-based study (N = 709) found no difference in lifetime incidence rates of APL in Hispanics

versus non-Hispanic whites; however, the study was limited to patients aged ≤44 (22). We report that when the effect of age is removed through statistical adjustment, Hispanic ethnicity is independently associated with APL rates and not with non-APL AML rates. We also observed that 75% non-APL AML cases were USA-born compared with only half of APL cases. Among Hispanics with APL, 20% were USA-born and 47% were foreign-born. Assuming that cases with 'unknown' country of birth had similar distribution of 'USA-born' and 'Foreign-born' as the population, the age-adjusted incidence rate of APL was 20% higher among foreign-born than USA-born persons. This finding has not been reported previously in this population. An estimated 24% USA immigrants do not possess legal documentation, making them less likely to report country of birth (23). Therefore, the true APL incidence rate ratio in foreign-born persons may be even higher than this study's estimate. In addition, the greater increase in frequency of both AML types among foreign-born compared with USA-born persons suggests a possible role of country of origin in observed incidence trends. The effect of nativity on rates of different AML types has been studied previously, but this was limited to USA Hispanics (24). The proportion of AML cases that are APL is consistently higher in Latin America (Brazil: 28.2%; Mexico: 20%; Venezuela: 27.8%; Peru: 22%) compared with 10% in Northern European countries (UK, Scandinavia) (25). AML risk in foreign-born immigrants from non-Latino countries should be assessed, as this may be a proxy for early exposure to specific carcinogens.

Several known risk factors were associated with worse survival in our study, including older age, disease secondary to myelodysplastic syndrome, myeloproliferative neoplasm and therapy and prior cancer therapy. Older patients have a higher prevalence of several adverse prognostic factors, including poorer performance status, lower white blood cell counts and

Table 3. AML patient characteristics (clinical cohort)

Characteristics	AML (N = 390) N (%)	APL <sup>a</sup>		P value <sup>b</sup>
		Yes (n <sub>1</sub> = 31) N (%)	No (n <sub>2</sub> = 343) N (%)	
<b>Demographics</b>				
Age category, years (quartiles)				*0.006
<50	95 (26.3)	14 (51.9)	81 (24.3)	
50–62	91 (25.2)	6 (22.2)	85 (25.5)	
63–72	90 (24.9)	6 (22.2)	84 (25.2)	
≥73	85 (23.5)	1 (3.7)	84 (25.2)	
Gender				0.925
Male	201 (51.5)	174 (50.7)	16 (51.6)	
Female	189 (48.5)	169 (49.3)	15 (48.4)	
Race				0.056
Black	54 (14.9)	8 (27.6)	44 (13.8)	
White	192 (52.9)	16 (55.2)	166 (52.0)	
Other <sup>c</sup>	117 (32.2)	5 (17.2)	109 (34.2)	
Ethnicity				0.589
Non-Hispanic	286 (80.3)	23 (76.7)	252 (80.8)	
Hispanic	70 (19.7)	7 (23.3)	60 (19.2)	
Marital status at diagnosis				0.454
Married/domestic partner	178 (45.7)	156 (45.5)	15 (48.4)	
Single	94 (24.1)	81 (23.6)	10 (32.3)	
Divorced/separated/widowed	59 (15.1)	51 (14.9)	4 (12.9)	
Unknown	59 (15.1)	55 (16.0)	2 (6.5)	
Risk factors				
Alcohol use				0.504
Current	64 (16.4)	54 (15.7)	6 (19.4)	
Former	9 (2.3)	8 (2.3)	1 (3.2)	
Never	273 (70)	244 (71.1)	19 (61.3)	
Unknown	44 (11.3)	37 (10.8)	5 (16.1)	
Tobacco use				0.575
Current	17 (4.4)	16 (4.7)	1 (3.2)	
Former	64 (16.4)	57 (16.6)	3 (9.7)	
Never	268 (68.7)	236 (68.8)	22 (71.0)	
Unknown	41 (10.5)	34 (9.9)	5 (16.1)	
Cancer information				
AML				*0.005
De novo	236 (60.5)	27 (87.1)	198 (57.7)	
Secondary to myelodysplastic syndrome/ myeloproliferative neoplasm/therapy	148 (38)	3 (9.7)	140 (40.8)	
Unknown	6 (1.5)	1 (3.2)	5 (1.5)	
History of prior solid tumor				0.578
Yes	62 (17.0)	4 (13.3)	58 (17.3)	
No	303 (83.0)	26 (86.7)	277 (82.7)	
History of prior heme disorder				*0.006
Yes	120 (33.06)	3 (10.3)	120 (35.6)	
No	243 (66.94)	26 (89.7)	217 (64.4)	
Treatment history				
Prior cancer therapy				0.238
Yes	94 (25.7)	5 (16.7)	89 (26.5)	
No	272 (74.3)	25 (83.3)	247 (73.5)	
Prior radiotherapy <sup>d</sup>				0.653
Yes	31 (32.0)	2 (40.0)	28 (31.5)	
No	66 (68.0)	3 (60.0)	61 (68.5)	
Prior chemotherapy <sup>d</sup>				0.333
Yes	75 (77.3)	2 (40.0)	69 (77.5)	
No	22 (22.7)	3 (60.0)	20 (22.5)	
Prior radio and chemotherapy <sup>d</sup>				1.000
Yes	16 (16.5)	1 (20.0)	14 (15.7)	
No	81 (83.5)	4 (80.0)	75 (84.3)	
By type of chemotherapeutic agent <sup>d</sup>				
Alkylating agent				0.082
Yes	22 (22.7)	3 (60.0)	19 (21.4)	
No	75 (77.3)	2 (40.0)	70 (78.6)	
Topoisomerase II				*0.008
Yes	10 (10.3)	3 (60.0)	7 (7.9)	
No	87 (89.7)	2 (40.0)	82 (92.1)	

Table 3. Continued

Characteristics	AML (N = 390)	APL <sup>a</sup>		P value <sup>b</sup>
		Yes (n <sub>1</sub> = 31)	No (n <sub>2</sub> = 343)	
	N (%)	N (%)	N (%)	
Antimetabolite				1.000
Yes	16 (16.5)	1 (20.0)	14 (15.7)	
No	81 (83.5)	4 (80.0)	75 (84.3)	
Antitubulin				0.471
Yes	11 (11.3)	1 (20.0)	10 (11.2)	
No	86 (88.7)	4 (80.0)	79 (88.8)	
Other agent				0.361
Yes	49 (50.5)	1 (20.0)	46 (51.7)	
No	48 (49.5)	4 (80.0)	43 (48.3)	
Cytogenetics <sup>c</sup>				
Abnormal karyotype				N/A <sup>f</sup>
Yes	170 (66.4)	15 (100.0)	152 (65.0)	
No	86 (33.6)	0 (0.0)	82 (35.0)	
Cytogenetic risk (ELN category) <sup>g</sup>				—
Unfavorable	101 (79.5)	N/A	100 (84.0)	
Favorable	26 (20.5)	N/A	19 (16.0)	
Exposure signature				0.178
Yes	46 (54.1)	1 (20.0)	44 (55.7)	
No	39 (45.9)	4 (80.0)	35 (44.3)	
Complex karyotype				0.093
Yes	38 (33.9)	0 (0)	37 (35.6)	
No	74 (66.1)	7 (100)	67 (64.4)	
Genetic mutational profile <sup>h</sup>				
At least one genetic mutation				0.385
Yes	122 (59.5)	6 (46.2)	111 (60.3)	
No	83 (40.5)	7 (53.8)	73 (39.7)	
At least one deleterious mutation				0.564
Yes	77 (37.6)	6 (46.2)	69 (37.5)	
No	128 (62.4)	7 (53.8)	115 (62.5)	
Specific deleterious genetic mutations				
ASXL1				1.000
Yes	5 (2.5)	0 (0.0)	5 (2.7)	
No	200 (97.5)	13 (100)	179 (97.3)	
FLT3				0.197
Yes	48 (23.4)	5 (38.5)	42 (22.8)	
No	157 (76.6)	8 (61.5)	142 (77.2)	
DNMT3A				1.000
Yes	17 (8.3)	1 (7.7)	15 (8.2)	
No	188 (91.7)	12 (92.3)	169 (91.8)	
RUNX1				1.000
Yes	10 (4.9)	0 (0.0)	10 (5.4)	
No	195 (95.1)	13 (100)	174 (94.6)	
TET2				0.604
Yes	15 (7.3)	0 (0.0)	15 (8.2)	
No	190 (92.7)	13 (100)	169 (91.8)	
TP53				1.000
Yes	7 (3.4)	0 (0.0)	7 (3.8)	
No	198 (96.6)	13 (100)	177 (96.2)	
PHF6				1.000
Yes	1 (0.5)	0 (0.0)	1 (0.5)	
No	204 (99.5)	13 (100)	183 (99.5)	

<sup>a</sup>Histological type information available for 374 patients.

<sup>b</sup>P value comparing characteristics between patients with and without APL.

<sup>c</sup>Includes Asian, Pacific Islander, American Indian and other races.

<sup>d</sup>Calculated for patients who had history of any prior cancer therapy.

<sup>e</sup>Cytogenetic information available for 256 patients.

<sup>f</sup>Not applicable because APL patients have an abnormal karyotype by definition.

<sup>g</sup>ELN cytogenetic risk categories are not used for APL, hence marked N/A (not applicable).

<sup>h</sup>Mutational profile information available for 205 patients.

<sup>i</sup>P ≤ 0.01.

percentage of bone marrow blasts, higher multidrug resistance and less favorable cytogenetics (26). In this cohort, non-APL AML patients with unfavorable cytogenetics had a significantly higher risk of death than those with favorable cytogenetics,

as did those with complex compared with non-complex karyotype. Higher prevalence of unfavorable cytogenetics and complex karyotype may explain poorer survival in secondary versus *de novo* AML patients (27). Unfavorable karyotype is the

Table 4. Factors associated with risk of death among AML patients (clinical cohort)

Characteristic	APL patients (n = 27)				non-APL AML patients (n = 331)			
	Median survival (days)		Hazard ratio (95% confidence interval) <sup>b</sup>		Median survival (days)		Hazard ratio (95% confidence interval) <sup>b</sup>	
	N (%) <sup>a</sup>	Adjusted <sup>c</sup>	Unadjusted	Adjusted <sup>c</sup>	N (%) <sup>a</sup>	Unadjusted	Adjusted <sup>c</sup>	
Age category (years)								
>63	7 (25.9)	1588	5.60 (0.51–61.78)	1.62 (0.14–18.31)	167 (50.5)	138	*1.85 (1.40–2.44)	*1.80 (1.34–2.41)
<63	20 (74.1)	808	1.0 (ref)	1.0 (ref)	164 (49.5)	325	1.0 (ref)	1.0 (ref)
Gender								
Male	13 (48.2)	766	NE	NE	169 (51.1)	205	1.31 (0.99–1.71)	1.26 (0.94–1.68)
Female	14 (51.9)	965	1.0 (ref)	1.0 (ref)	162 (48.9)	270	1.0 (ref)	1.0 (ref)
Race								
Non-white	11 (44.0)	1019	2.51 (0.23–27.72)	2.56 (0.15–43.29)	149 (48.2)	249	0.84 (0.63–1.12)	0.92 (0.68–1.24)
White	14 (56.0)	740	1.0 (ref)	1.0 (ref)	160 (51.8)	243	1.0 (ref)	1.0 (ref)
Ethnicity								
Hispanic	6 (23.1)	752	NE	NE	58 (19.2)	250	0.87 (0.60–1.27)	0.96 (0.65–1.42)
Non-Hispanic	20 (76.9)	838	1.0 (ref)	1.0 (ref)	244 (80.8)	252	1.0 (ref)	1.0 (ref)
Type of AML								
Secondary	3 (11.1)	198	*17.32 (1.56–192.1)	NE	133 (40.8)	138	*1.96 (1.49–2.58)	1.32 (0.91–1.92)
De novo	24 (88.9)	880	1.0 (ref)	1.0 (ref)	193 (59.2)	311	1.0 (ref)	1.0 (ref)
ELN category								
Unfavorable	N/A	N/A	N/A	N/A	99 (83.9)	122	*2.93 (1.27–6.79)	*4.10 (1.46–11.55)
Favorable	N/A	N/A	N/A	N/A	19 (16.1)	461	1.0 (ref)	1.0 (ref)
Complex karyotype								
Yes	0 (0.0)	—	NE	NE	37 (35.6)	67	*2.56 (1.55–4.22)	*2.33 (1.29–4.21)
No	6 (100)	—	1.0 (ref)	1.0 (ref)	67 (64.4)	192	1.0 (ref)	1.0 (ref)
Prior cancer therapy								
Yes	4 (15.4)	156	NE	NE	85 (26.2)	137	*2.00 (1.49–2.67)	1.04 (0.71–1.53)
No	22 (84.6)	965	1.0 (ref)	1.0 (ref)	239 (73.8)	290	1.0 (ref)	1.0 (ref)
Prior radiotherapy <sup>c</sup>								
Yes	1 (25.0)	1990	NE	NE	27 (31.8)	171	0.86 (0.50–1.47)	0.67 (0.37–1.22)
No	3 (75.0)	114	1.0 (ref)	1.0 (ref)	58 (68.2)	131	1.0 (ref)	1.0 (ref)
Prior chemotherapy <sup>c</sup>								
Yes	3 (75.0)	198	NE	NE	67 (78.8)	125	1.16 (0.63–2.14)	1.44 (0.72–2.87)
No	1 (25.0)	11	1.0 (ref)	1.0 (ref)	18 (21.2)	185	1.0 (ref)	1.0 (ref)
Prior radio and chemotherapy <sup>c</sup>								
Yes	1 (25.0)	1990	NE	NE	14 (16.5)	114	1.22 (0.63–2.34)	1.12 (0.57–2.12)
No	3 (75.0)	114	1.0 (ref)	1.0 (ref)	71 (83.5)	158	1.0 (ref)	1.0 (ref)
Chemotherapeutic agent <sup>c</sup>								
Alkylating agent								
Yes	3 (75.0)	198	NE	NE	19 (22.4)	119	0.84 (0.44–1.58)	0.90 (0.47–1.72)
No	1 (25.0)	11	1.0 (ref)	1.0 (ref)	66 (77.6)	145	1.0 (ref)	1.0 (ref)
Topoisomerase II								
Yes	3 (75.0)	198	NE	NE	7 (8.2)	304	0.46 (0.14–1.48)	0.54 (0.16–1.77)
No	1 (25.0)	11	1.0 (ref)	1.0 (ref)	78 (91.8)	133	1.0 (ref)	1.0 (ref)

Table 4. Continued

Characteristic	APL patients (n = 27)				non-APL AML patients (n = 331)			
	Median survival (days)		Hazard ratio (95% confidence interval) <sup>b</sup>		Median survival (days)		Hazard ratio (95% confidence interval) <sup>b</sup>	
	N (%) <sup>a</sup>	Adjusted <sup>c</sup>	Unadjusted	Adjusted <sup>c</sup>	N (%) <sup>a</sup>	Unadjusted	Adjusted <sup>c</sup>	
<b>Antimetabolite</b>								
Yes	1 (25.0)	198	0.88 (0.08–10.26)	0.45 (0.02–10.74)	14 (16.5)	146	0.79 (0.37–1.66)	0.91 (0.42–1.96)
No	3 (75.0)	114	1.0 (ref)	1.0 (ref)	71 (83.5)	137	1.0 (ref)	1.0 (ref)
<b>Antitubulin</b>								
Yes	1 (25.0)	114	2.45 (0.15–39.72)	2.21 (0.09–52.23)	10 (11.8)	24	*2.57 (1.26–5.26)	*3.30 (1.49–7.32)
No	3 (75.0)	198	1.0 (ref)	1.0 (ref)	75 (88.2)	166	1.0 (ref)	1.0 (ref)
<b>Other agent</b>								
Yes	1 (25.0)	114	2.45 (0.15–39.72)	2.21 (0.09–52.23)	44 (51.8)	139	1.23 (0.74–2.04)	1.26 (0.74–2.17)
No	3 (75.0)	198	1.0 (ref)	1.0 (ref)	41 (48.2)	137	1.0 (ref)	1.0 (ref)
<b>Specific genetic mutations</b>								
<b>ASXL1</b>								
Yes	0 (0.0)	—	NE	NE	5 (2.8)	232	1.69 (0.69–4.11)	1.73 (0.71–4.25)
No	11 (100)	—	1.0 (ref)	1.0 (ref)	175 (97.2)	243	1.0 (ref)	1.0 (ref)
<b>FLT3</b>								
Yes	5 (45.5)	169	*11.7 (1.03–134.5)	NE	42 (23.3)	317	0.77 (0.50–1.20)	1.03 (0.65–1.61)
No	6 (54.5)	1041	1.0 (ref)	1.0 (ref)	138 (76.7)	223	1.0 (ref)	1.0 (ref)
<b>DNMT3A</b>								
Yes	0 (0.0)	—	NE	NE	15 (8.3)	273	0.91 (0.43–1.94)	1.17 (0.55–2.52)
No	11 (100)	—	1.0 (ref)	1.0 (ref)	165 (91.7)	240	1.0 (ref)	1.0 (ref)
<b>RUNX1</b>								
Yes	0 (0.0)	—	NE	NE	10 (5.6)	208	1.16 (0.42–3.18)	1.51 (0.54–4.19)
No	11 (100)	—	1.0 (ref)	1.0 (ref)	170 (94.4)	312	1.0 (ref)	1.0 (ref)
<b>TET2</b>								
Yes	0 (0.0)	—	NE	NE	15 (8.3)	194	1.05 (0.52–2.14)	1.09 (0.54–2.23)
No	11 (100)	—	1.0 (ref)	1.0 (ref)	165 (91.7)	243	1.0 (ref)	1.0 (ref)
<b>TP53</b>								
Yes	0 (0.0)	—	NE	NE	7 (3.9)	196	1.65 (0.60–4.50)	1.27 (0.46–3.54)
No	11 (100)	—	1.0 (ref)	1.0 (ref)	173 (96.11)	311	1.0 (ref)	1.0 (ref)
<b>PHF6</b>								
Yes	0 (0.0)	—	NE	NE	1 (0.6)	367	1.67 (0.23–12.05)	1.24 (0.16–9.41)
No	11 (100)	—	1.0 (ref)	1.0 (ref)	179 (99.4)	309	1.0 (ref)	1.0 (ref)

N/A: Not applicable since ELN category is not defined for APL patients.

NE: Not estimable due to small numbers in strata and/or too few or no events (deaths).

<sup>a</sup>Number of patients for whom survival data are available.

<sup>b</sup>Cox proportional hazards regression model.

<sup>c</sup>Model adjusted for age category, gender, ethnicity and prior cancer therapy. For specific types of cancer therapy, model adjusted for age, gender and ethnicity.

\*P ≤ 0.05.



most important prognostic indicator for poor survival in AML, particularly in combination with complex karyotype (28).

Prior treatment for antecedent disease has been found to decrease response to treatment for subsequent AML, particularly in combination with adverse cytogenetics (29). Different chemotherapeutic agents can have varying effects on survival, e.g. the breakpoints induced by topoisomerase II inhibitors in PML and RARA genes can differ by the type of agent used (e.g. mitoxantrone, epirubicin and etoposide), potentially influencing prognosis (30). In this study, risk of death was increased among AML patients who had received prior chemotherapy with antitubulin agents (vincristine, vinblastine, vindesine, paclitaxel and docetaxel). Per standard clinical practice, antitubulin agents were mostly used in combination with other chemotherapeutic agents, although none affected survival to the same extent (31). Specific side effects of commonly used antitubulin agents may adversely affect survival in secondary AML. For example, vincristine has been associated with neurotoxicity, and paclitaxel with myelosuppression (32–34). Also, indications for vincristine use in adults include several cancers with inherently poor survival, including multiple myeloma, brain tumors and non-small cell lung cancer (32). To our knowledge, this association has not been reported previously.

Among patients with available genetic information, there was no difference in the prevalence of overall and deleterious mutations by APL status; although interestingly, 100% of all genetic mutations among APL patients were deleterious (6/6), compared with 62% (69/111) among non-APL patients. Among APL patients ( $n = 31$ ), very few genetic mutations other than the pathogenic PML-RARA were detected; including FLT3-ITD ( $n = 5$ ) and PHF6 ( $n = 1$ ). FLT3-ITD was significantly more prevalent among APL compared with non-APL patients, consistent with three other studies that reported prevalences between 35% and 37% among APL patients (35–37). The prevalence of FLT3-ITD among all AML patients has been found to range from 16% to 25%, and our results fall within this range (37–39). FLT3-ITD has been established as a poor prognostic factor among all AML patients (40,41). In our cohort, the presence of FLT3-ITD significantly increased the risk of death and reduced overall survival time among APL but not non-APL patients. Almost half of the non-APL patients with FLT3-ITD also had a favorable mutation (NPM1), which may have mitigated the adverse effect of FLT3-ITD on survival (42). Among APL patients, however, none of the patients with FLT3-ITD had NPM1 mutations. In general, APL has a favorable prognosis following appropriate treatment (43). The effect of FLT3-ITD on APL survival has been mixed (43,44). APL patients with FLT3-ITD were found to have a higher relapse rate and poorer post-relapse survival than those with wild-type FLT3 (35,43). This mutation may represent an overall genetic instability, leading to accumulation of additional poor-prognosis mutations (35). Presence of FLT3-ITD has also been associated with leukocytosis, which constitutes a high-risk AML patient group (41,45,46). A pivotal phase 3 study confirmed that adding an FLT3 kinase inhibitor, midostaurin, to the chemotherapy regimen can improve survival duration in those with FLT3-ITD AML (47).

Our study has some notable limitations. The New York State Cancer Registry has country of birth information for cases only, therefore age-adjusted incidence rates for USA-born and foreign-born patients were manually calculated using a different standard population than those for other demographics; however, this calculation is expected to be reliable as it is also Census based. The variables for race and ethnicity are not mutually exclusive; and cytogenetic and survival data were not

available from the NYSCR. Hospital data on cytogenetics and mutational profile were limited to the information available in the electronic medical records, in addition to missing information for some patients referred from other hospitals. Because of the limited number of mutations and survival data, certain associations could have been missed.

However, this is the first study to our knowledge to examine and compare data for APL and non-APL patients at both the population and patient-level. This study is novel in the comprehensiveness of risk factors assessed and is strengthened by the inclusion of a diverse population from New York, leading to adequate representation of racial and ethnic minorities, including both native and immigrant populations. It identified epidemiological as well as clinical factors that increase risk of disease and death among APL and non-APL AML patients. It is one of the first to report on incidence rates and trends by nativity. This study adds to the literature on the differences in cytogenetic and mutational profile of APL and non-APL AML patients and confirms the reliability of ethnicity, older age, secondary disease, and complex and unfavorable cytogenetics as predictors of AML incidence and mortality. It highlights the importance of FLT3-ITD as a prognostic factor in APL and proposes consideration of prior antitubulin therapy as a prognostic factor in non-APL AML and possibly in APL. Monitoring multiple risk factors can help develop effective, targeted treatment plans for high-risk subgroups of AML patients. Future studies should evaluate the long-term prognostic effect of prior cancer therapy and genetic mutations on a larger patient cohort, including high-risk subgroups. Population-based cancer registries should endeavor to collect complete data on country of origin. The effect of specific antitubulin agents on risk of subsequent cancers and overall and disease-free survival should be explored.

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