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## Psychosocial Correlates of Frailty Among HIV-Infected and HIV-Uninfected Adults

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### Abstract

Frailty is a geriatric condition characterized by increased vulnerability to physical impairments and limitations that may lead to disabilities and mortality. Although studies in the general population suggest that psychosocial factors affect frailty, less is known about whether similar associations exist among people living with HIV (PLWH). The purpose of this study was to examine psychosocial correlates of frailty among PLWH and HIV-uninfected adults. Our sample included 127 adults (51% PLWH) participating in the Multi-Dimensional Successful Aging among HIV-Infected Adults study at the University of California San Diego (average age 51 years, 80% male, 53% White). Frailty was assessed via the Fried Frailty Index. Psychosocial variables significant in bivariate models were included in principal component analysis to generate factor variables summarizing psychosocial correlates. Multivariate logistic regression models were fit to

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examine the independent effects of factor variables and their interaction terms with HIV status. In bivariate models, frailty was associated with multiple psychosocial variables, e.g. grit, optimism, personal mastery, social support, emotional support. Factor analysis revealed that psychosocial variables loaded on two factors – Positive Resources/Outlook and Support by Others. The multivariate model showed significant main effects of Support by Others and HIV status, and interactive effects HIV X Positive Resources/Outlook, such that Positive Resources/Outlook was negatively associated with frailty for PLWH but not for HIV-uninfected individuals. These analyses indicate that psychosocial factors may be associated with frailty among PLWH. Positive resources and outlook may play a role in frailty prevention. Future longitudinal studies are needed to establish causal links.

## Keywords

Successful aging; AIDS; grit; optimism; social support

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## Introduction

Even though advances in antiretroviral therapy (ART) contribute to increasing longevity,<sup>1</sup> aging people living with HIV (PLWH) experience greater morbidity and age-related complications than otherwise comparable HIV-uninfected adults.<sup>2,3</sup> One of the geriatric syndromes that may be exacerbated by HIV infection is frailty, defined as a state of vulnerability that puts an individual at increased risk of adverse clinical outcomes when faced with internal or external stressors.<sup>4-7</sup> A recent study among participants in the Multi-Center AIDS Cohort Study (MACS) found 12% frailty prevalence among HIV-infected men versus 9% among HIV-uninfected men (median age 53.8, IQR=47.6, 61.3).<sup>8</sup> Similarly, frailty prevalence among women participating in the Women's Interagency HIV Study (WIHS) was 17% among the HIV-infected and 10% among the HIV-uninfected (average age 39 years).<sup>5,9</sup> Moreover, studies suggest that PLWH experience earlier onset and higher prevalence of frailty when compared to their HIV-uninfected counterparts.<sup>2,6,9-11</sup> Research among PLWH shows that frailty is associated with increased likelihood of falls,<sup>12,13</sup> hospitalizations,<sup>14</sup> disability,<sup>15</sup> and mortality.<sup>7,16</sup> Given the increased frailty prevalence and its adverse effects among PLWH, it is important to understand factors associated with frailty in this population.

To date, little is known about psychosocial correlates of frailty among PLWH. The existing research has mostly focused on biomedical and sociodemographic predictors and correlates of frailty. Thus, the presence of frailty in the era of combination ART (cART) was found to be associated, though somewhat inconsistently, with greater age, female gender, lower education, non-Hispanic Black ethnicity, and low annual income by both cross-sectional and longitudinal studies.<sup>6,17,18</sup> Other frailty predictors include HIV disease characteristics, such as low CD4 cell count or AIDS diagnosis,<sup>5,19,20</sup> as well as the presence of inflammatory markers (e.g., c-reactive protein),<sup>2</sup> and medical comorbidities, such as psychiatric disease, neurocognitive impairment, chronic kidney disease, hypertension, and diabetes mellitus.<sup>5,6</sup> Additionally, research examined the effects of several lifestyle risk factors on frailty among

PLWH, including protective effects of physical activity and low to moderate drinking, and detrimental effects of smoking and drug use.<sup>5,17,18</sup>

Even though many predictors and correlates of frailty have been identified, relatively little is known about psychosocial factors associated with frailty among PLWH. Research among those without HIV shows that frailty may be connected to multiple psychosocial factors, such as wellbeing, positive affect, perceived control, resilience, social support, and emotional support.<sup>21–26</sup> For example, a recent cross-sectional study by Freitag and Schmidt<sup>24</sup> examined multiple psychosocial correlates of frailty in a sample of community-dwelling older adults and found that higher frailty was associated with greater depressive symptomatology and lower resilience. Importantly, a number of longitudinal observational studies revealed that increases in frailty observed at study follow-up were associated with greater baseline levels of negative affect<sup>27</sup> and depressive symptomatology<sup>25,28,29</sup> as well as lower baseline levels of positive affect,<sup>22</sup> personal mastery,<sup>30</sup> and perceived social support.<sup>25</sup>

Therefore, the overall goal of this study is to assess the association between multiple psychosocial factors and the frailty phenotype as measured by the Fried Frailty Index (FFI) among PLWH. The FFI classifies individuals into three categories – robust, pre-frail, and frail – based on the criteria of weakness, slowness, physical exhaustion, low physical activity, and unintended weight loss.<sup>31</sup> More specifically, the purpose of this research was among PLWH and HIV-uninfected adults: 1) to assess psychosocial correlates of frailty; 2) to explore whether psychosocial variables associated with frailty have an underlying structure such that they can be reduced to several summary factor variables, and 3) to evaluate whether the effects of psychosocial variables on frailty differ by HIV status. Based on research in the general population reviewed above, we hypothesize that frailty will be associated with: 1) higher levels of negative psychosocial factors, such as stress and depression, and 2) lower levels of positive psychosocial factors, such as grit and optimism. In the view of studies suggesting that aging PLWH are more likely to experience negative psychosocial factors as compared to HIV-uninfected counterparts,<sup>32</sup> we also expect that psychosocial factors will interact with HIV status in such a way that their association with frailty will be stronger for PLWH.

## Methods

### Study sample

Data were collected as part of the NIMH-funded Multi-Dimensional Successful Aging among HIV Infected Adults Study at University of California, San Diego (UCSD), which is described in an earlier publication.<sup>33</sup> Briefly, the study recruited community-dwelling PLWH and HIV-uninfected adults 35 to 65 years old. The exclusion criteria were: 1) history of psychotic disorder or a mood disorder with psychotic features; 2) the presence of a neurological condition not related to HIV infection and known to affect cognitive functioning, such as Alzheimer's disease, stroke or traumatic brain injury; and 3) having a positive urine toxicology test for drugs of abuse during the baseline visit. During the screening, participants with unknown HIV status were tested with the HIV/HCV finger stick point of care test (Abbott Real-time HIV-1 test, Abbott Laboratories, Illinois, USA). Participants were compensated for participation. The UCSD Institutional Review Board

approved the study and participants provided written informed consent to participate. The sample for the present cross-sectional analyses is based on biomedical and psychosocial data from the baseline visit and includes 65 PLWH and 62 HIV-uninfected participants who were administered frailty assessment.<sup>1</sup>

### Primary outcome

Frailty was measured using the FFI criteria.<sup>31</sup> Participants' level of slowness and weakness was assessed, objectively, by gait speed (15 feet walk time) and grip strength tests. The three remaining criteria were evaluated by self-reports. Thus, unintentional weight loss was measured as a "Yes" to a question whether participant unintentionally lost more than 10 pounds in a previous year. Low physical activity was defined as expending less than 383 kilocalories per week for males and less than 270 kilocalories per week for females and measured by the International Physical Activity Questionnaire (IPAC).<sup>34</sup> Exhaustion during the past week was evaluated as "Occasionally or a moderate amount of time" or "All of the time" answers to the two following questions from the CES-D scale:<sup>35</sup> "I felt that everything I did was an effort" and "I could not 'get going.'" Pre-frailty was defined as the presence of one or two of the FFI criteria, frailty – as three or more of these criteria. Since only 8.7% (N=11) participants in our sample were frail whereas 41.7% (N=53) were prefrail, for our primary analyses, we collapsed frail and prefrail into a "frail" category and others were categorized as "robust." In secondary analyses, we also considered a categorical variable with robust, prefrail, and frail categories.

### Psychosocial exposures

The psychosocial factors were assessed by standardized validated scales. Several Likert-type instruments evaluated positive psychological constructs. **Resilience** was measured by the Connor Davidson Resilience Scale – 10 Item (CD-RISC-10)<sup>36,37</sup> and included items such as "I am not easily discouraged by failure" rated from 1 (not true at all) to 5 (true nearly all the time). To measure **optimism**, a six-item Lifetime Orientation Test-Revised (LOT-R)<sup>38,39</sup> scale was used. The items on this scale ranged from 1 (strongly disagree) to 5 (strongly agree) and included statements like "Overall, I expect more good things to happen to me than bad." **Grit** was assessed using the Grit Scale,<sup>40</sup> which included 12 items (e.g., "I am diligent"), ranging from 1 (very much like me) to 5 (not at all like me). **Personal mastery** was measured by a 7-item Pearlin-Schooler Personal Mastery Scale (PMS),<sup>41</sup> where responses to questions like "I have little control over the things that happen to me" ranged from 1 (strongly agree) to 4 (strongly disagree). To assess participants' degree of **religiousness**, we used three-subscale sum from the Brief Multidimensional Measure of Religiousness/Spirituality<sup>42</sup> (e.g., "To what extent do you consider yourself a spiritual person?"), with lower scores representing greater religiosity/spirituality. We assessed **life satisfaction** by a 5-item Satisfaction with Life Scale<sup>43</sup> (e.g., "The conditions of my life are excellent"), which ranges from 1 (not at all true) to 7 (absolutely true). Additionally, we used the following two items to evaluate self-rated **successful aging**:<sup>44</sup> "Using your own

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<sup>1</sup>The frailty assessment was introduced after the study began and was administered to all participants enrolled after the introduction of this measure. We observed no statistically significant demographic differences among the participants who received the frailty assessment and those who did not, except for in race/ethnicity: the percentage of nonwhite participants was higher among those who received the frailty assessment than among those who did not (47.2% v. 27.7%, p=0.003).

definition, where would you rate yourself in terms of “successful aging?” (from 1 “least successful” to 10 “most successful”) and “How old/young do you feel? (Please write a specific age).”

We also included several measures of interpersonal psychosocial factors. To measure **social support**, we used a four-item social interaction sub-scale of Duke Social Support Index (DSSI),<sup>45</sup> which has items like “About how often did you go to meetings of clubs, religious meetings, or other groups that you belong to in the past week?” ranging from 0 (none) to 7 (seven or more times). Emotional Support Scale (ESS)<sup>46</sup> was used to assess support by others in the three following domains: **emotional support** (e.g., “How often do your spouse, children, close friends and/or relatives make you feel loved and cared for?”), **instrumental support** (e.g., “How often do your spouse, children, close friends and/or relatives help with daily tasks like shopping, giving you a ride, or helping you with household tasks?”), and **negative interactions with others** (e.g., “How often are your spouse, children, close friends and/or relatives critical of what you do?”).

Lastly, we assessed participants’ emotional functioning by several well-known scales. Thus, **depression** was measured using the Center for Epidemiologic Studies Depression Scale (CESD),<sup>35</sup> whereas participants’ **level of stress** was evaluated by the Perceived Stress Scale (PSS).<sup>47</sup> For each of the individual scales described above, we computed a separate assessment summary score that we used in our analyses.

## Covariates

As potential covariates, we considered multiple sociodemographic and biomedical variables identified by the literature as predictors of frailty and available in our dataset. Potentially confounding sociodemographic factors included continuous age, gender, race/ethnicity (Black, White, Hispanic, other), and years of education. We also considered a number of comorbidities coded as dichotomous variables – i.e., hepatitis C infection, diabetes mellitus, hypertension, hyperlipidemia, any cancer, ever smoking, chronic pulmonary disease, lifetime diagnosis of substance use disorder, and lifetime diagnosis of alcohol use disorder. Lastly, we considered the following HIV disease characteristics: current CD4 cell count, nadir CD4, undetectable plasma HIV viral load, AIDS diagnosis, and an estimated duration of HIV disease. Those variables that were at least marginally associated with frailty ( $p < 0.1$ ) were included in our adjusted and multivariate models.

## Statistical analyses

All statistical analyses were conducted using Stata 14 software. First, descriptive statistics were calculated to examine the sample distributions; based on the assumption of normal distribution, chi-square tests for dichotomous and t-tests for continuous variables were performed to determine the differences between the HIV serostatus groups. Second, bivariate logistic regression models were fit to estimate crude odds ratios and 95% confidence intervals for associations between psychosocial exposures and frailty. These models were adjusted as the next step by including biomedical and sociodemographic covariates as described above. Third, all psychosocial variables significantly associated with frailty in the adjusted models, were included in principal component analysis (PCA) with

varimax orthogonal rotation to generate factor variables summarizing psychosocial effects. We used the Kaiser-Meyer-Olkin measure of sampling adequacy and Bartlett's test of sphericity to check the appropriateness of PCA use. PCA automatically retains components with eigenvalues greater than 1. For those components retained in the analyses, factor scores were obtained using Stata **predict** command. The resulting summary factor variables represent the linear composites formed by standardizing psychosocial variables included in PCA, weighing them with factor score coefficients, and summing for each factor.<sup>48</sup> Next, multivariate logistic regression models on frailty were fit to examine the independent effects of factor variables generated through PCA as well as their interaction terms with HIV status. The interactive effects were further explored through HIV-stratified analyses. Lastly, we repeated the multivariate models for a 3-level (robust, prefrail, and frail) categorical outcome variable, using multinomial logistic regression. Since these models showed patterns of results similar to those by logistic regression described above and the Wald test for combining outcome categories performed after the multinomial regression showed that frail and prefrail categories can be combined, we report results only for our primary dichotomous outcome variable, which combines prefrail and frail categories. All our analyses were based on non-missing data, so the number of observations in various models varied from 117 to 127.

## Results

### Characteristics of participants

The clinical and demographic characteristics of our participants by HIV status are presented in Table 1. Mean age was 50.1 (SD=8.9, range: 35-65) for PLWH and 51.0 (SD=7.7, range: 38-65) for HIV-uninfected participants. A majority of the participants in our sample were men. While there were no group differences in age and sex, when compared to HIV-uninfected counterparts, PLWH had fewer years of education and a lower proportion of them were White. We also found significant group differences in frailty and comorbidities prevalence. In comparison to the HIV-uninfected individuals in our sample, significantly higher proportions of PLWH were frail or prefrail (67.7% v. 32.3%), had hypertension (44.6% v. 16.1%), malignancy (9.2% v. 0%), ever smoked (44.6% v. 12.9%), or were diagnosed with lifetime alcohol use disorder (50% v. 33.3%). Lastly, the estimated mean HIV disease duration in our sample was 15.3 years and HIV disease was relatively well-controlled, with median CD4 count of 637 cells/mL (IQR= 480-855), 68.3% of PLWH having undetectable plasma viral load, and 43.1% having no history of AIDS.

### Bivariate logistic regression models

Out of 14 psychosocial factors tested, 11 were significantly associated with frailty in the unadjusted bivariate models (Table 2). When adjusting for covariates (age, HIV status, ever smoking, hypertension, and hyperlipidemia), 9 out of 11 variables retained statistical significance. The adjusted analyses showed that positive psychosocial factors significantly reduced the odds of frailty in our combined sample. For example, an increase in one scale-point on participants' optimism score, resulted in a 12% reduction in the odds of frailty (95% CI=0.80-0.96). The following factors reduced the risk of frailty: higher grit score (aOR=0.44, 95% CI=0.21-0.95), higher personal mastery score (aOR=0.87, 95%

CI=0.78-0.96), higher successful aging score (aOR=0.7, 95% CI=0.55-0.88), higher emotional support score (aOR=0.4, 95% CI=0.18-0.72), and higher Duke social support index scores (aOR=0.60, 95% CI=0.44-0.82). In contrast, negative psychosocial factors such as higher depression score (aOR=1.13, 95% CI=1.06-1.22), higher perceived stress score (aOR=1.09, 95% CI=1.02-1.16), and a greater number of negative interactions with others (aOR=2.36, 95% CI=1.37-4.07) significantly increased the odds of frailty in our sample. All of these adjusted models were significant with  $p < 0.01$  and pseudo  $R^2$  ranging from 0.15 to 0.22.

### Principal component analysis

The nine psychosocial variables significantly associated with frailty in the adjusted models were further considered for inclusion in PCA. First, the nine variables showed high intercorrelation (see Appendix 1) and internal consistency (Cronbach alpha=0.78). The Kaiser-Meyer-Olkin measure of sampling adequacy for the nine variables was 0.86, well above the cut-off of 0.5 suggested in the literature,<sup>49</sup> and Bartlett's test of sphericity was significant ( $\chi^2(36)=433.86, p < 0.001$ ). We thus concluded that the use of the PCA was appropriate.

The PCA with orthogonal (varimax) rotation yielded two factors with eigenvalues  $> 1$ , which together explained 59.6% of variance (Table 3). Factor 1, which explained 43.1% of variance, was labeled "Positive Resources/Outlook" since it had high positive loadings on grit, optimism, personal mastery, and successful aging; and high negative loadings on depression, perceived stress, and negative interactions. Two remaining psychosocial variables, emotional support and Duke social support index, had high loadings on Factor 2, which was labelled "Support by Others" and explained 16.5% of variance. The PCA also showed adequate communality among the included psychosocial variables. Only one variable (negative interactions with others) showed low communality of 0.2, and the rest of them had a communality of 0.5 or higher. Since the loading of negative interactions on Factor 1 was higher than the recommended cut-off of 0.4,<sup>50</sup> it was retained in the analysis. Thus, composite scores for Factor 1 (Positive Resources/Outlook) and Factor 2 (Support by Others) were created based on the nine psychosocial variables as the last step.

### Multivariate logistic regression models

Both Factor 1 (Positive Resources/Outlook) and Factor 2 (Support by Others) were included in multivariate logistic regression models presented in Table 4. Model 1 also included the interaction terms of Factor 1 and Factor 2 with HIV status. This model showed significant main effects of Factor 2 (aOR=0.3, 95% CI=0.12-0.77) and HIV status (aOR=3.4, 95% CI=1.23-9.37), as well as interactive effects between HIV status and Factor 1 (aOR=0.23, 95% CI=0.06-0.87). The model was significant with  $p = 0.001$  and pseudo  $R^2 = 0.30$ . The post-regression diagnostics were conducted, including tests for multicollinearity, model fit, and specification error. No problems were identified.

The interactive effects of HIV-status X Factor 1 are further illustrated by Figure 1, which shows that Positive Resources/Outlook was more strongly associated with reduced odds of frailty for the PLWH than for the HIV-uninfected participants. These synergistic effects were

further explored through HIV-stratified analyses (Models 2-4, Table 4). Models 2 and 3 showed that Factor 1 was associated with reduced odds of frailty among PLWH (aOR=0.23, 95% CI=0.1-0.56) but not among the HIV-uninfected participants (aOR=1.06, 95% CI=0.44-2.52). These models also suggested that Factor 2 (Support by Others) was negatively associated with frailty irrespective of HIV status: it was significant among the HIV-uninfected participants (aOR=0.31, 95% CI=0.12-0.81) and approached significance among PLWH (aOR=0.53, 95% CI=0.27-1.01). Lastly, Model 4 repeated the analyses in Model 3 with inclusion of an HIV-disease characteristic (nadir CD4). Factor 1 (Positive Resources/Outlook) retained its significance in this last model.

## Discussion

Our study represents one of the first efforts to examine psychosocial correlates of frailty among PLWH. The existing research identified multiple biomedical and sociodemographic factors associated with frailty among PLWH but little is known about psychosocial correlates of frailty among this population.<sup>2,6,9-11</sup> Our findings indicate that positive psychosocial factors reduce the risk of frailty and negative psychosocial factors increase the risk of frailty among PLWH and HIV-uninfected adults. Additionally, our results revealed that the associations of psychosocial factors with frailty may differ by HIV status. Thus, empirically-derived psychosocial factors related to Positive Resources/Outlook reduced the likelihood of frailty for PLWH but not for HIV-uninfected adults, whereas Support by Others was inversely associated with frailty irrespective of HIV status. Below, we discuss these findings in greater detail.

Multiple psychosocial factors were significantly associated with frailty in our bivariate analyses using a combined sample. Similar to research in the general population,<sup>21-26</sup> we found that higher levels of positive psychosocial factors, such as grit, optimism, personal mastery, or social support, lowered the odds of frailty. In contrast, higher levels of negative psychosocial factors, such as perceived stress, depression, or negative interactions, increased the odds of frailty. Contrary to the existing research,<sup>24</sup> we found that resilience and life satisfaction reduced the likelihood of frailty in unadjusted but not adjusted analyses, which may be due to our somewhat limited sample size. We also found no statistically significant effects of religiosity on frailty. Based on the existing literature,<sup>51</sup> however, we hypothesize that religiosity may have an indirect effect on frailty via increasing individuals' wellbeing, which shall be explored by future research.

Through exploratory factor analysis (PCA), we also found that the numerous psychosocial variables that were associated with frailty in bivariate analyses could be reduced to two composite scores – Factor 1 (Positive Resources/Outlook) and Factor 2 (Support by Others). Factor 2 received its name since it had high positive loadings on social support and emotional support variables. We further conceptualized Factor 1 as positive outlook and resources since it had high positive loadings on grit, optimism, personal mastery, and successful aging, and high negative loadings on depression, stress, and negative interactions with others. It has been noted in the literature that such positive characteristics as grit, optimism, a sense of mastery or personal control, and few conflictual relationships represent psychosocial *resources* unequally distributed among social classes.<sup>52</sup> Research suggests that



lower socio-economic status (SES) is associated with higher likelihood of conflictual relationships and lower scores on grit, optimism, and personal mastery.<sup>52–54</sup> Conversely, availability of psychosocial resources is closely related to a more positive outlook, wellbeing, and better mental health outcomes, such as decreased stress and depression.<sup>52</sup> Given the above considerations, Factor 1 was termed as Positive Resources & Outlook. Future research is needed to further refine this concept and to understand the additional elements that may influence our identified factor structure. Moreover, this is one of the first studies that simultaneously considered multiple psychosocial correlates of frailty and examined factor variables summarizing psychosocial effects, and used this approach specifically among PLWH. Future studies will examine whether psychosocial factors associated with frailty have a similar underlying structure in other samples and populations.

The multivariate analyses further revealed that Support by Others was negatively associated with frailty irrespective of HIV status, while Positive Resources & Outlook reduced the odds of frailty for PLWH but not for HIV-uninfected individuals. The significant findings for Support by Others across HIV status groups are not surprising given the existing research linking social and/or emotional support to improved health outcomes among PLWH<sup>46</sup> and to the decreased frailty among the general population.<sup>22,25</sup> The differential effects of Positive Resources & Outlook are best understood within the context of research showing that PLWH and HIV-uninfected adults may experience different sets of psychosocial exposures. In particular, PLWH as a group are known to face greater levels of adversity than HIV-uninfected counterparts.<sup>32</sup> Perhaps, given this amplified adversity, even small increases in positive outlook and resources may have stronger associations with decreased frailty for PLWH as compared to HIV-uninfected individuals.

Lastly, it is important to note that our findings should be understood within the context of social class or SES, which in the US maintains intersectionality with gender and race,<sup>55</sup> and can underlie multiple issues in HIV. Research suggests that, among PLWH in the cART era as well as HIV-uninfected adults, higher frailty may be associated with lower SES (e.g. fewer years of education, lower income) and related disadvantaged social statuses (e.g., non-Hispanic Black ethnicity, and female gender).<sup>6,17,18</sup> The possible mechanisms for these sociodemographic differences in frailty can be decreased access to care, housing, and transportation, as well as the increased levels of food insecurity and stress associated with low SES. Moreover, as noted above, the psychosocial resources allowing to cope with stress and adversity may also be unequally distributed among the social classes. Our sample had relatively high SES, as measured by years of education (M=14.4, SD=2.4) and prevalence of male gender and White race/ethnicity. We hypothesize that among PLWH with lower SES, frailty will be not only more prevalent than in our sample but it may be associated with different psychosocial effects – whereas positive psychosocial resources may be less prevalent in lower SES samples, the increase in optimism, grit, and personal mastery scores may have stronger effects on frailty reduction.

### Limitations

Our analyses had several important limitations. First, with mean age of 51 (35 to 65 range), our sample was relatively young. Examining frailty in this age range is not uncommon for

research among PLWH.<sup>5</sup> In fact, due to the earlier onset of frailty among PLWH, multiple studies of frailty among this population had samples with a mean and/or median age well below 50 years old.<sup>5,11,20,56</sup> This younger mean age, however, may be the root of our relatively low frailty (FFI 3-5) prevalence of 8.7% (N=11) in our combined sample. Given the low frailty prevalence, and in order to have sufficient numbers for our analyses, we chose to combine frail and prefrail categories for our primary analyses. Additional limitations were a cross-sectional nature of our data, somewhat small sample size and missing data for some variables; although our overall level of missingness was low. Future studies may consider using larger longitudinal samples to examine the effects of psychosocial variables on frailty across the life-course.

A further limitation of this study is that our findings may not generalize to other populations of PLWH. Our sample had high proportions of men (80%) and Whites (53%) residing in the greater San Diego area of California and may not be applicable to other demographic groups, such as women, racial/ethnic minorities, or rural populations. In particular, it is not clear whether and how our results would be applicable to HIV-infected women, since they are demographically different from HIV-infected men and are disproportionately African American.<sup>57</sup> Future studies will be necessary to examine psychosocial correlates of frailty among specific sub-populations of PLWH.

## Conclusions

Despite these limitations, this study represents an essential first step towards our understanding of psychosocial factors related to frailty among PLWH. Importantly, our findings indicate that psychosocial factors related to positive outlook and psychosocial resources are associated with reduced likelihood of frailty among PLWH. Given the cross-sectional nature of our research, we cannot yet make inferences about the directionality of relationship between the psychosocial factors and frailty among this population. Nevertheless, the existing longitudinal research among those without HIV<sup>25,28,29</sup> shows that higher levels of negative psychosocial factors and lower levels of positive psychosocial factors during the baseline increased the odds of frailty incidence during the follow-up. We therefore hypothesize that impaired psychosocial functioning may precede development of frailty among PLWH and thus may play an important role in frailty prevention. We also acknowledge that there may also be a reciprocal relationship between psychosocial factors and frailty, such that psychosocial factors may affect the likelihood of frailty development but, once emergent, frailty may result in the development of negative psychosocial factors, such as stress, depression, and loneliness.

Our findings also have important clinical and research implications. Similar to previous research, this study shows that frailty and prefrailty are common and more prevalent among PLWH than HIV-uninfected adults.<sup>6</sup> Our novel results additionally suggest that negative psychosocial factors, such as stress and depression, are associated with greater likelihood of frailty, whereas positive psychosocial factors were tied to lower likelihood of frailty in PLWH. Therefore, from a clinical perspective, screening for frailty, stress, and depression are advisable among the aging PLWH. There are numerous therapies and interventions available to clinicians, which have been shown to reduce stress and depression and enhance

wellbeing among PLWH: e.g., cognitive behavioral therapy,<sup>58</sup> transcendental meditation,<sup>59</sup> mindfulness-based therapies,<sup>60</sup> as well as the antidepressants use.<sup>61</sup> From a research perspective, psychological factors should be further examined and, perhaps, interventions that enhance wellbeing and prevent frailty among PLWH should be designed. Future research is needed to uncover the biological mechanisms underlying the association of psychosocial factors with frailty.

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## Appendix

**Appendix 1.**

Correlation Matrix for Psychosocial Factors Included in PCA.

	Grit	Optimism	Personal mastery	Successful aging	Depression score	Perceived stress	Negative interactions	Emotional Support	Duke social support
Grit	1.00								
Optimism	0.60	1.00							
Personal mastery	0.47	0.65	1.00						
Successful aging	0.47	0.53	0.55	1.00					
Depression score	-0.49	-0.41	-0.46	-0.39	1.00				
Perceived stress	-0.59	-0.70	-0.76	-0.64	0.58	1.00			
Negative interactions	-0.21	-0.25	-0.24	-0.17	0.22	0.36	1.00		
Emotional support	0.15	0.33	0.33	0.29	-0.09	-0.24	-0.16	1.00	
Duke social support	0.19	0.28	0.26	0.28	-0.29	-0.31	-0.09	0.36	1.00

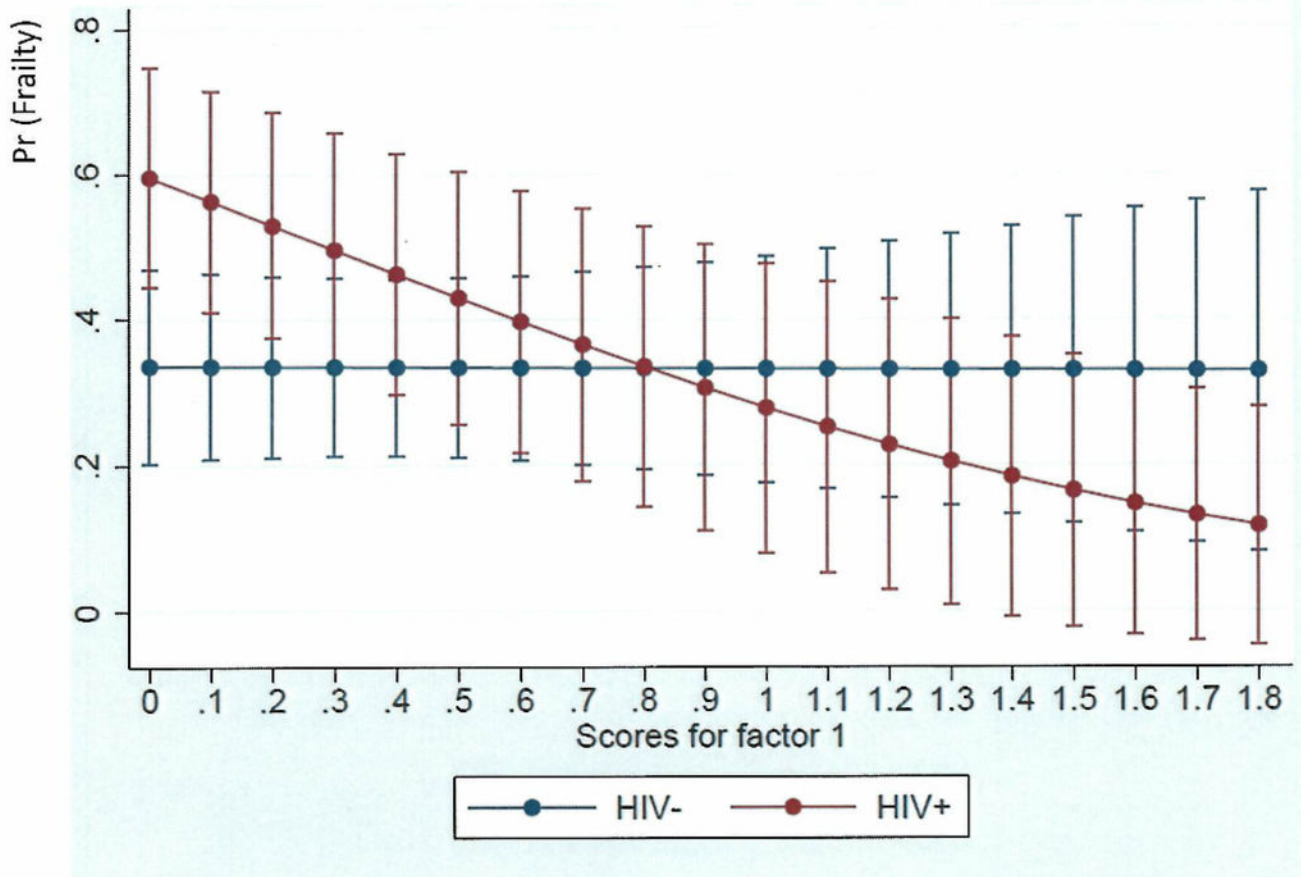
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**Figure 1.** Adjusted predictions of frailty according to Positive Resources/Outlook (Factor 1) scores in PLWH versus HIV-uninfected adults: significant interaction HIV X Positive Resources/Outlook (aOR=0.23; 95% CI=0.06-0.87), such that higher Positive Resources/Outlook scores were significantly associated with lower likelihood of frailty for PLWH but not for HIV-uninfected individuals.



Table 1.

Comparison of Participant Characteristics by HIV Status.

	PLWH (N=65)		HIV-uninfected (N=62)		P-value
	N (%)	or Mean (SD)	N (%)	or Mean (SD)	
<b>DEMOGRAPHICS</b>					
Age	50.1	(8.9)	51.0	(7.7)	0.55
Gender (% male)	49	(75.4%)	52	(83.9%)	0.24
Race (% white)	27	(41.5%)	40	(64.5%)	0.01
Education, years	13.8	(2.4)	15.2	(2.1)	0.001
<b>FRAILTY</b>					
Prefrail (FFI 1-2)	34	(52.3%)	19	(30.7%)	0.01
Frail (FFI 3-5)	10	(15.4%)	1	(1.6%)	0.01
Low physical activity	23	(35.4%)	5	(8.1%)	<0.001
Slowness	11	(16.9%)	1	(1.6%)	0.003
Exhaustion	29	(44.6%)	6	(9.7%)	<0.001
Weakness	7	(10.8%)	9	(14.5%)	0.40
Unintended weight loss	14	(21.5%)	3	(4.8%)	0.01
<b>COMORBIDITIES</b>					
Ever smoking	29	(44.6%)	8	(12.9%)	<0.001
Lifetime alcohol use disorder	32	(50.0%)	20	(33.3%)	0.06
Lifetime major depressive disorder	36	(57.1%)	13	(21.7%)	<0.001
Hypertension	29	(44.6%)	10	(16.1%)	0.001
Diabetes	8	(12.3%)	4	(6.5%)	0.26
Hypertlipidemia	29	(44.6%)	11	(17.7%)	0.001
Malignancy	6	(9.2%)	0	(0%)	0.03
<b>HIV DISEASE CHARACTERISTICS</b>					
Current CD4, median (IQR)	637	(480; 855)	--	--	--
Nadir CD4, median (IQR)	194.5	(40.5; 321.5)	--	--	--
Est. duration of HIV disease, years	15.3	(8.3)	--	--	--
Undetectable plasma viral load	43	(68.3%)	--	--	--

FFI – Fried Frailty Index

Table 2.

Psychosocial Correlates of Frailty: Bivariate Logistic Regression Models.

	Crude, OR	95% CI	Adjusted, aOR <sup>†</sup>	95% CI
Resilience	<b>0.93</b>	(0.87; 0.98)	0.95	(0.89; 1.01)
Grit	<b>0.27</b>	(0.13; 0.55)	<b>0.44</b>	(0.21; 0.95)
Optimism	<b>0.86</b>	(0.78; 0.94)	<b>0.88</b>	(0.80; 0.96)
Personal mastery	<b>0.84</b>	(0.76; 0.93)	<b>0.87</b>	(0.78; 0.96)
Religiosity	1	(0.99; 1.02)	--	--
Life satisfaction	<b>0.93</b>	(0.89; 0.97)	0.95	(0.90; 1.00)
Successful aging	<b>0.65</b>	(0.52; 0.82)	<b>0.70</b>	(0.55; 0.88)
Subjective age	1.01	(0.99; 1.05)	--	--
Depression score	<b>1.15</b>	(1.07; 1.24)	<b>1.13</b>	(1.06; 1.22)
Perceived stress	<b>1.12</b>	(1.06; 1.18)	<b>1.09</b>	(1.02; 1.16)
Negative interactions	<b>2.08</b>	(1.29; 3.34)	<b>2.36</b>	(1.37; 4.07)
Instrumental support	0.99	(0.71; 1.37)	--	--
Emotional support	<b>0.38</b>	(0.20; 0.73)	<b>0.40</b>	(0.18; 0.72)
Duke social support index	<b>0.65</b>	(0.52; 0.83)	<b>0.60</b>	(0.44; 0.82)

<sup>†</sup>Models adjusted for ever smoking, age, HIV status, hypertension, and hyperlipidemia

**Table 3.**

Principal Component Analysis with Varimax Rotation.

	Rotated factor loadings			Communality
	Factor 1 (Positive Resources/Outlook)	Factor 2 (Support by Others)		
Grit	<b>0.78</b>	-0.04		0.61
Optimism	<b>0.80</b>	0.21		0.69
Personal mastery	<b>0.78</b>	0.26		0.67
Successful aging	<b>0.69</b>	0.28		0.56
Depression score	<b>-0.70</b>	-0.09		0.50
Perceived stress	<b>-0.90</b>	-0.17		0.83
Negative interactions	<b>-0.45</b>	0.02		<u>0.20</u>
Emotional support	0.13	<b>0.83</b>		0.71
Duke social support	0.20	<b>0.75</b>		0.60
Eigenvalue	3.88	1.49		
% of total variance	43.09%	16.54%		
Total variance		59.63%		

**Table 4.**

Psychosocial Correlates of Frailty: Multivariate Logistic Regression Models.

	Model 1 – Interactions		Model 2 – HIV-uninfected		Model 3 – PLWH		Model 4 – PLWH	
	aOR	95% CI	aOR	95% CI	aOR	95% CI	aOR	95% CI
<b>Factor 1</b> (Positive Resources/Outlook)	0.97	(0.41; 2.32)	1.06	(0.44; 2.52)	<b>0.23</b>	(0.10; 0.56)	<b>0.25</b>	(0.10; 0.64)
<b>Factor 2</b> (Support by Others)	<b>0.30</b>	(0.12; 0.77)	<b>0.31</b>	(0.12; 0.81)	0.53	(0.27; 1.01)	0.55	(0.24; 1.24)
HIV status (infected)	<b>3.40</b>	(1.23; 9.37)	--	--	--	--	--	--
HIV-infected × <b>Factor 1</b>	<b>0.23</b>	(0.06; 0.87)	--	--	--	--	--	--
HIV-infected × <b>Factor 2</b>	1.73	(0.58; 5.22)	--	--	--	--	--	--
Participant's age	0.36	(0.10; 1.31)	0.98	(0.89; 1.07)	0.95	(0.87; 1.04)	0.95	(0.87; 1.05)
Hypertension	2.34	(0.77; 7.08)	4.0	(0.9; 16.17)	1.47	(0.44; 4.95)	1.34	(0.39; 4.66)
Hypertlipidemia	0.74	(0.24; 2.32)	--	--	--	--	--	--
Ever smoking	1.21	(0.10; 1.31)	--	--	--	--	--	--
Nadir CD4	--	--	--	--	--	--	1.0	(1.00; 1.01)
Model N	117		53		64		63	
Model Chi2 and P	29.9	0.001	8.7	0.07	15.3	0.004	16.6	0.01
Model pseudo R <sup>2</sup>	0.30		0.15		0.28		0.29	