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## Mitochondrial ClpP-mediated proteolysis induces selective cancer cell lethality

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J.I., S.F.Z., A.D.S. and M.A. designed the study, analyzed results and wrote the manuscript: R.E.D., W.M. and J.M.C.M. analyzed RNA-seq data: O.H. and E.F.P. designed and performed crystallography, modeling, ITC and gel filtration experiments; analyzed results and wrote the manuscript: J.S.T. analyzed data and wrote the manuscript: R.Z., Y.J., L.E.H., J.S.T., V.R.R., T.N., G.E., S.H.B., Y.N., R.H., M.G., K.W., M.M., K.K., N.M., and A.B.L. performed experiments and analyzed data: T.L., G.B. and H.K. did study design, data analysis and interpretation: M.D.M. provided critical reagents and supervised research: W.H. and B.R. supervised research and wrote the manuscript. M.S. designed the ONC212 chemical structure.

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Declaration of interests:

M.S. is an employee and stockholder of Oncocotics. M.A. serves on the scientific advisory board and is a stockholder of Oncocotics. A.D.S. has received consulting fees from Novartis, Jazz and Otsuka Pharmaceuticals, and research grants from Medivir and Takeda Pharmaceuticals, and holds stock in Abbvie, Adamas Pharmaceuticals, Inmed, Myovant Sciences, Theravance Biopharma. We have filed invention disclosure forms related to the use of ONC201 in AML with high ClpP expression.

Supplemental Information

**Document S1.** Figures S1–S8 and Tables S1, S2, S4.

**Table S3.** Related to Figure 6. Effect of ClpP activation after treatment with 0.6  $\mu$ M ONC201 or expression of Y118A ClpP mutant on degradation of mitochondrial peptides in HEK293T cells detected by BioID mass spectrometry.

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## Summary

The mitochondrial caseinolytic protease P (ClpP) plays a central role in mitochondrial protein quality control by degrading misfolded proteins. Using genetic and chemical approaches, we showed that hyperactivation of the protease selectively kills cancer cells, independently of p53 status, by selective degradation of its respiratory chain protein substrates and disrupts mitochondrial structure and function, while it does not affect non-malignant cells. We identified imipridones as potent activators of ClpP. Through biochemical studies and crystallography, we show that imipridones bind ClpP non-covalently and induce proteolysis by diverse structural changes. Imipridones are presently in clinical trials. Our findings suggest a general concept of inducing cancer cell lethality through activation of mitochondrial proteolysis.

## Keywords

Mitochondrial ClpP; cancer; acute myeloid leukemia; lymphoma; imipridone; oxidative phosphorylation; respiratory chain complex; mitochondrial proteolysis

## Introduction

Mitochondria possess their own protein synthesis apparatus including mitochondrial ribosomes, initiation, and elongation factors. In addition, mitochondria have protein degradation complexes that regulate their protein levels by eliminating excess and/or damaged proteins. To date, at least 15 proteases have been identified in different mitochondrial compartments, including the caseinolytic protease P (ClpP) that is located in the mitochondrial matrix. ClpP is an oligomeric serine protease that is similar to the cytoplasmic/nuclear proteasome (Corydon et al., 1998).

After import into the mitochondria, ClpP is assembled into a double-ringed tetradecameric structure with a hollow chamber containing proteolytic active sites. The tetradecameric structure is capped at each end by an AAA ATPase chaperone, ClpX (de Sagarra et al., 1999). The function of the ClpXP complex in mitochondria is not fully understood, but insights have been gained from its bacterial homologue that shares structural homology.

Bacteria lack a ubiquitin-dependent proteolytic system and instead eliminate intracellular proteins with a family of proteases including the bacterial ClpXP complex. In bacteria, ClpX recognizes and unfolds native substrates and feeds them into the barrel of the ClpP protease for degradation. The bacterial ClpXP complex is responsible for degrading excess proteins including those whose translation stalls on ribosomes.

Recently, we demonstrated that mitochondrial ClpP is over-expressed in 45% of primary AML samples (Cole et al., 2015). ClpP is equally expressed in stem cell and bulk populations and over-expression occurs across the spectrum of cytogenetic and molecular mutations. ClpP expression is positively correlated with expression of genes related to the mitochondrial unfolded protein response (Cole et al., 2015). Functionally, ClpP maintains the integrity of oxidative phosphorylation as inhibition of the protease results in the accumulation of misfolded or degraded respiratory chain complex subunits and respiratory chain dysfunction in AML cells (Cole et al., 2015). Chemical or genetic inhibition of the protease leads to impaired oxidative phosphorylation and selectively kills AML cells and stem cells over normal hematopoietic cells *in vitro* and *in vivo* (Cole et al., 2015).

In bacteria, naturally occurring antibiotics acyldepsipeptides (ADEPs) hyperactivate ClpP by binding the protease at its interface with ClpX and opening the pore of the ClpP protease complex (Brotz-Oesterhelt et al., 2005). When activated by ADEPs, ClpP can degrade full-length substrates without its regulatory subunit ClpX. Indeed, these ClpP activators are cytotoxic to a variety of microbial species including dormant bacteria that are responsible for resistant chronic infections (Brotz-Oesterhelt et al., 2005; Conlon et al., 2013). Thus, the activity of ClpP needs to be tightly regulated to maintain cellular homeostasis. While ClpP activators have been studied in bacteria, the effects of hyperactivating mitochondrial ClpP in malignancies have not been systematically investigated. We therefore investigated the biological and therapeutic effects of mitochondrial ClpP activation in cancers.

## Results:

### Activation of mitochondrial ClpP induces anti-tumor effects *in vitro* and *in vivo*

We tested the anti-cancer effects of ClpP activation by generating a constitutively active ClpP mutant by engineering a point mutation (Y118A) in human ClpP. We selected this site as it is homologous to the Y63A mutation in *S. aureus* ClpP (Figure S1A). The Y63A ClpP mutation in *S. aureus* enlarges the entrance pores of the bacterial enzyme causing activation of the protease (Ni et al., 2016). We purified recombinant Y118A ClpP and found that it had higher enzymatic activity than the wild-type (WT) ClpP in a cell-free enzymatic assay using the fluorogenic protein substrate FITC-casein (Leung et al., 2011) (Figure S1B).

To evaluate the effects of this mutation in tumor cells, OCI-AML3 and Z138 cells were transduced with tetracycline-inducible WT or Y118A ClpP and then treated with tetracycline to induce the expression. Induction of Y118A ClpP, but not WT ClpP, induced apoptosis in a dose-dependent manner (Figure 1A). NSG mice were then injected intravenously with Z138 cells with a tetracycline-inducible Y118A ClpP then treated with tetracycline or vehicle. The tetracycline-treated group survived significantly longer than the untreated group (median survival: 48 vs 40 days) (Figure 1B).

As an alternative strategy to test the antitumor effects of ClpP activation, we next used Acyldepsiptide 1 (ADEP1). We found that ADEP1 activated the mitochondrial protease and promoted ClpP cleavage of FITC casein ( $EC_{50}$  21.33  $\mu$ M [95 % CI 20.12–22.61]) (Figure 1C). We then treated OCI-AML2 cells with ADEP1 and demonstrated that it reduced the growth and viability of these cells with an  $IC_{50}$  of 50  $\mu$ M [95% CI 48.4–51.6] (Figure 1D).

Thus, our data show that activation of mitochondrial ClpP induces lethality in tumor cells *in vitro* and *in vivo*.

### The imipridones ONC201 and ONC212 potently activate mitochondrial ClpP

To find a more potent candidate small molecule human ClpP activators, we conducted a screen using an in-house library of 747 molecules focused on on-patent and off-patent compounds approved for clinical use or in clinical trial for malignant and non-malignant indications. We screened this library to identify molecules that increased ClpP-mediated cleavage of FITC-casein using a cell-free enzymatic assay (Leung et al., 2011). Under basal conditions, ClpP could not cleave full length proteins without its chaperone ClpX. However, through this screen, we found that the imipridone ONC201 activated the protease and facilitated ClpP-mediated cleavage of FITC-casein in the absence of ClpX (Figure 2A). ONC201 is a chemical with preclinical efficacy in solid tumors and hematologic malignancies *in vitro* and *in vivo* (Allen et al., 2016; Allen et al., 2013; Ishizawa et al., 2016; Kline et al., 2016; Tu et al., 2017) and it is currently being evaluated in clinical trials in a diverse spectrum of cancers (Arrillaga-Romany et al., 2017; Kline et al., 2016; Stein et al., 2017). ONC212, a more potent derivative of ONC201, is in preclinical evaluation (Lev et al., 2017). Of note, targets that physically bind imipridones and are functionally important for their cytotoxicity have not been identified.

We confirmed that ONC201 activated ClpP without requiring ClpX and induced cleavage of FITC-casein as well as the fluorogenic peptides AC-WLA-AMC, Ac-Phe-hArg-Leu-ACC, and FAPHMALVPC (Clptide) with  $EC_{50}$ 's of 0.85  $\mu$ M, 1.67  $\mu$ M, 0.82  $\mu$ M, and 3.23  $\mu$ M, respectively, where the  $EC_{50}$  represents the concentration of the drug that drives half maximal response. We also tested the effects of ONC212, ADEP1, and the inactive ONC201 isomer on ClpP activity. ONC212 increased ClpP-mediated cleavage of FITC-casein and AC-WLA-AMC, Ac-Phe-hArg-Leu-ACC, and Clptide with  $EC_{50}$ 's of 0.46  $\mu$ M, 0.18  $\mu$ M, 0.37  $\mu$ M, and 3.37  $\mu$ M, respectively (Figures 2B, S2A). ADEP1 was a less potent ClpP activator compared to ONC201 and ONC212 (Figure S2A) and the inactive isomer of ONC201 did not increase ClpP mediated cleavage of its substrates (Figures S2A, S2B). FITC-casein data showed clear positive cooperativity (Gersch et al., 2015) with Hill coefficient of  $1.98 \pm 0.16$  for ONC201 and  $4.98 \pm 0.47$  for ONC212. Notably, the activities of ClpP caused by imipridones were greater than that achieved by the Y118A mutation (Figures S1B, S2A). A non-ClpP substrate, MCA-Pro-Leu-Gly-Pro-D-Lys (DNP)-OH peptide was not cleaved by ClpP treated with imipridones or ADEP1 (Figure S2A). Of note, pre-incubation of ClpP with ONC201 and ONC212 did not increase the activity of ClpP, suggesting a reversible (non-covalent) mode of activation (Figure S2C). As the imipridones

were much more potent ClpP activators compared to ADEP1 (Figures 1C, S2D), we focused subsequent studies on these compounds.

To confirm the results of the fluorogenic assays, we tested the effects of ONC201 and ONC212 in a gel-based assay that measures the degradation of  $\alpha$ -casein by ClpP (Figure 2D). The addition of ONC201 and ONC212 activated ClpP and induced cleavage of  $\alpha$ -casein without the need for ClpX.

Taken together, we identified ONC201 and ONC212 as potent ClpP activators.

### ONC201 binds ClpP non-covalently at the interface with ClpX

We then investigated if imipridones interact with ClpP, and found that ONC201 directly interacted with the recombinant ClpP using isothermal titration calorimetry (ITC) by either titrating ONC201 to a solution of ClpP (Figure 3A) or titrating ClpP into a solution of ONC201 (Figures S3A, S3B) (Gersch et al., 2015). Using gel filtration (Figure S3C), we observed a clear shift of ClpP towards higher molecular weight in the presence of ONC201, thus confirming direct interaction of ONC201 with ClpP. As human mitochondrial ClpP was shown to exist as heptamer in the absence of ClpX (Kang et al., 2005) even at concentrations > 3 mg/mL, ONC201 binding to the protease appeared to shift the equilibrium from the 7-mer to the 14-mer of ClpP.

To identify the precise molecular interaction between ONC201 and ClpP, we co-crystallized human ClpP with ONC201 and determined the structure of the complex at 2 Å resolution (PDB-ID: 6DL7). Seven ONC201 molecules are clearly visible in the electron density map (Figures 3B, S3D–F). They each occupy hydrophobic pockets between adjacent subunits. Binding between ClpP and ONC201 involves extensive hydrophobic contacts and a hydrogen bond to the hydroxyl group of Tyr-118 (2.8 Å). In addition, the oxo-group of ONC201 forms water-mediated hydrogen bonds with the side chain nitrogen of Gln-107 and the carbonyl oxygen of Leu-104. The phenyl ring of ONC201 is positioned between Tyr-138 and Tyr-118, engaging in  $\pi$ -stacking interactions.

The binding of ONC201 leads to the axial entrance pore opening up, increasing its radius from 12 Å, as in an apparently closed conformation of human mitochondrial ClpP (Kang et al., 2004), to 17 Å (Figure 3C, **top**). The ClpP 14-mer assumes a more compact form and its height decreases from 93 Å to 88 Å (Figure 3C, **bottom**). The N-terminal residues of ClpP show increased dynamics, evidenced by their significantly higher temperature factors (Figure 3D). Electron density is very weak for the first seven N-terminal residues and not observable at all for residues 64–73 and amino acids following Pro-248. ONC201 binding induces further structural changes around the active site region at the heptamer-heptamer interface. In the human apo-ClpP structure (Kang et al., 2004), this region is well defined. In the ClpP-ONC201 complex, residues 178–193, encompassing the end of strand  $\beta$ 6, all of strand  $\beta$ 7 and the first third of helix  $\alpha$ 5, undergo a large conformational change and show increased dynamics with the region around residues 183–187 again not visible in electron density maps (Figure 3D). This change directly impacts the placement of the catalytic triad residues (Ser-153, His-178, Asp-227) in the active site. The His-178 ring separates from Ser-153 by more than 5 Å while rotating by about 70°. Asp-227 moves 2.8 Å in the same

direction (Figure S3F). Notably in the ONC201 complex, the catalytic aspartates from subunits, which in the tetradecameric ring are located across from each other at the heptamer-heptamer interface, approach rather closely (4.6 Å) whereas they are separated by ca. 17 Å in the apo-structure. In addition, Ser-181 is now nearer to His-178 than the catalytic Ser-153, with the former's hydroxyl group 3.2 Å away from the neighboring subunit's Asp-227 carboxylate. Changes induced by ONC201-binding include the opening of channel-like pores in the central region of the "side wall" of the protease, similar to those described for the bacterial enzyme; they represent potential escape routes for peptide products (Sprangers et al., 2005) (Figure 3E).

In ONC212, the 4-(2-methylbenzyl) group of ONC201 is replaced by a 4-(4-trifluoromethylbenzyl). In the ClpP-ONC201 complex, the ortho-methyl group of ONC201 points toward bulk solvent. Its removal should be of minor influence on ONC201 binding. Fluorophilic environments in proteins include peptide bonds, especially in hydrophobic surroundings, engaging in C-F...H-N, C-F...C=O and C-F...H-C<sub>α</sub> multipolar interactions. Positively charged arginines can also contribute to binding enhancement (Müller et al., 2007). Modeled on the ONC201 complex and subjected to two cycles of Molecular Dynamics refinement (Adams et al., 2010), ONC212's p-CF<sub>3</sub>-benzyl substituent extends into a generally apolar pocket of ClpP (Figure 3F). With atomic movements < 1 Å, no clashes with protein residues are seen. The peptide bonds of Ile-75, Leu-79, Ala-101, and Phe-105 are close enough to interact. The side chains of Arg-78 and Arg-81 can swing around and interact with the CF<sub>3</sub>-substituent. Arg-81 can displace Arg-78 in its salt bridge with Glu-82; the three residues are on the protein surface and in contact with bulk solvent. The highly electronegative CF<sub>3</sub>-substituent likely enhances ONC212's potency by providing more opportunities for multipolar bonds and an improved structural complementarity to ClpP.

We then tested whether ONC201 and ONC212 could bind ClpP in cells using a cellular thermal shift assay (CETSA). CETSA evaluates ligand-induced changes in melting temperature (T<sub>m</sub>) of target proteins in cells to determine the binding affinity of ligands towards their targets (Jafari et al., 2014). Both ONC201 and ONC212 bound endogenous ClpP in OCI-AML2 at concentrations associated with activation of the protease in the enzymatic assays. (Figures 3G, S3G). We then tested the reversibility of binding of ONC201 to ClpP in OCI-AML2 cells by washing ONC201-treated cells in phosphate-buffered saline (PBS) and re-incubating them in fresh media prior to CETSA (Figure 3H). ClpP thermal stability rapidly decreased following removal of drug from the media, consistent with non-covalent binding observed in the crystal structures.

### **ClpP activation by imipridones kills malignant cells through a ClpP-dependent mechanism**

We further evaluated the effects of hyperactivating ClpP on the growth and viability of cancer cells. Both ONC201 and ONC212 reduced the growth and viability of OCI-AML2, OCI-AML3, TEX leukemia cells and Z138 lymphoma cells as well as HCT-116 colon cancer, HeLa cervical cancer, OC316 ovarian cancer, and SUM159 breast cancer cells with IC<sub>50</sub> values in the low micromolar (ONC201) or nanomolar range (ONC212) (Figures 4A, S4A, S4B). Moreover, ONC201 and ONC212 induced apoptosis in primary AML patient



samples, including those with high risk cytogenetics and molecular mutations, but had little effect on normal hematopoietic cells (Figure 4B and Table S1).

To assess whether activation of ClpP is functionally important for cell death induced by imipridones, we treated *CLPP*<sup>+/+</sup> and *CLPP*<sup>-/-</sup> T-REx HEK293 cells with increasing concentrations of ONC201, ONC201 inactive isomer, and ONC212. ONC201 and ONC212 reduced the growth and viability of *CLPP*<sup>+/+</sup> but not *CLPP*<sup>-/-</sup> cells (Figure 4C). ONC201 isomer did not significantly decrease the growth and viability of *CLPP*<sup>+/+</sup> or *CLPP*<sup>-/-</sup> T-REx HEK293, and ONC201-sensitive or ONC201-resistant Z138 cells (Figures S4C, S4D).

To identify whether ClpP expression levels in primary AML samples predict their response to ClpP activators, we measured pretreatment ClpP levels in 11 primary AML samples and assessed their response to ONC201 treatment. Sensitivity to ONC201 correlated with pretreatment ClpP expression in these samples (Figures 4D, S4E and Table S2). Primary AML patient samples with higher ClpP expression were statistically significantly more sensitive to the ClpP activator compared with samples with pretreatment ClpP values that were 1 SD below average (Figure 4E). Thus, ClpP activators preferentially induce cell death and apoptosis in primary AML over normal cells and ClpP expression might serve as a biomarker for patients that respond to ClpP activators, including ONC201 and ONC212.

### Inactivating mutations in ClpP render cells resistant to imipridones

To further evaluate the importance of ClpP for ONC201 and ONC212 mediated cell death and to identify potential mechanisms of resistance to ClpP activators, we treated Z138 cells with increasing concentrations of ONC201 and selected a population of cells resistant to it (ONC-R Z138). ONC-R Z138 cells were also cross-resistant to ONC212 (Figure 5A), but retained similar sensitivity to adriamycin and vincristine (Figure S5A). Using RNA sequencing (RNA-seq) and unbiased analysis, we identified D190A mutation in ClpP (Figure S5B) with an allele frequency of 47% in the ONC-R Z138 cells. Sanger sequencing of genomic DNA confirmed the heterozygous D190A mutation in *CLPP* in all seven randomly-selected clones resistant to ONC201 and ONC212 (Figures S5C, S5D, S5E).

To assess how the D190A mutation affects ClpP function, we generated and purified recombinant D190A ClpP and measured its enzymatic activity and response to ONC201 and ONC212. D190A ClpP had minimal proteolytic activity and could not degrade the fluorogenic peptide AC-WLA-AMC or FITC-casein under basal conditions (Figure 5B). Moreover, ONC201 and ONC212 could not activate proteolytic activity of D190A ClpP for either peptide or protein substrates (Figure 5C). However, ONC201 continued to bind recombinant D190A ClpP protease, as its binding site is a distance away from the mutation site, but the binding affinity was moderately reduced (Figure 5D).

To understand how the D190A mutation might affect ClpP activity and structure, we compared the apo-ClpP structure (Kang et al., 2004) with its ClpP-ONC201 complex. In the former, Asp-190 is located at the dimer interface and is important as a compensating charge to an unusual stacked arginine pair that consists of Arg-226 residues from two neighboring peptide chains (Figure 5E). Asp-190 is also only 6.4 Å from Asp-227 of the catalytic triad of ClpP (Figure S6A). However, in the ClpP-ONC201 complex structure this region undergoes

a major conformational change and displays high mobility with Asp-190 in close proximity to Asp-93 in most subunits. Loss of negative charge in D190A mutant can therefore have deleterious effects on the active site through impacting the mobility and side chain interactions of the 178–193 loop.

In order to determine whether the D190A mutation was functionally important for resistance to ONC201 and ONC212, we over-expressed the wild type ClpP in ONC-R Z138 cells and over-expressed the D190A mutant ClpP in parental Z138, OCI-AML3, and HCT116 cells. Over-expression of wild type ClpP restored the sensitivity to ONC201 and ONC212 to ONC-R Z138 cells (Figures 5F, S6B) while over-expression of D190A ClpP in parental Z138, OCI-AML3, and HCT116 cells made them resistant to ONC201 and ONC212 (Figures 5G, S6C, S6D). Of note, over-expression of WT ClpP in parental Z138 and OCI-AML3 cell lines increased sensitivity to ONC201 and ONC212 (Figure 5G). Thus, these data indicate that activation of ClpP is functionally important for cell death induced by ONC201 and ONC212 and identify a mechanism of resistance to ClpP activators.

### **ClpP activation leads to reduction in respiratory chain complex subunits and impaired oxidative phosphorylation**

We next used BioID (Roux et al., 2012) to identify interacting partners of ClpP after chemical or genetic activation. To chemically hyperactivate the protease, T-REx HEK293 cells expressing FlagBirA-ClpP(WT) were treated with 0.6 mM ONC201 for 48 hr. As a genetic approach, we expressed FlagBirA-ClpP (Y118A). We compared the interactome of activated ClpP to non-stimulated WT ClpP. Proteins that interacted with unstimulated WT ClpP in the BioID assay but whose spectral counts decreased when ClpP was activated were postulated to represent potential substrates of hyperactivated ClpP.

Over 200 mitochondrial proteins were identified as high confidence ClpP interacting partners. Of these polypeptides 90 displayed a 4-fold decrease in spectral counts ( $p < 0.001$ ) following ONC201 treatment. Amongst the proteins displaying the most robust decrease were components of the electron transport chain (and in particular, subunits of respiratory chain complex I) and polypeptides involved in mitochondrial translation (Figure 6A and Table S3). Expression of the constitutively active Y118A ClpP mutant yielded a depletion of an overlapping set of interacting partners, but the degree of reduction in peptide counts was smaller than that observed in response to ONC201, likely reflecting the weaker activation of the protease by the mutation (Table S3).

Respiratory chain subunits SDHA and SDHB had previously been identified as putative ClpP substrates and inhibition of ClpP led to the accumulation of degraded or misfolded subunits (Cole et al., 2015). To investigate the effects of ClpP activation on the levels of proteins identified as interacting partners in our BioID assay, we treated Z138 cells with ONC201 and found that such treatment decreased levels of respiratory chain complex proteins, with the reduction of the respiratory chain I subunit NDUFA12 being most pronounced (Figure 6B). In contrast, levels of these proteins did not markedly change after treating ONC-R Z138 cells with ONC201, over-expressing WT ClpP restored the ability of ONC201 to reduce respiratory chain complex proteins in these cells (Figure 6B). Finally, over-expressing D190A mutant ClpP in wild type Z138 cells rendered respiratory chain



proteins resistant to ONC201-induced reduction (Figure 6C). ONC212 reduced the level of the identified ClpP interactors in a dose dependent manner (Figures S7A, S7B). The reduction in NDUFA12 and SDHB by ONC212 was also observed in other cells (Figure S7C), which was blocked by overexpression of the D190A ClpP mutant (Figure S7D). While we observed reductions in respiratory chain proteins, levels of mRNA encoding these substrates were either unchanged or increased (Figure S7E). Furthermore, the addition of recombinant ClpP and ONC201 to lysates of mitochondria isolated from *CLPP*<sup>-/-</sup> HEK293T-REx and OCI-AML2 cells decreased levels of the complex I subunit NDUFB8 and complex III subunit UQCRC2, indicating that ClpP activation can increase the degradation of selective ClpP substrates, independent of cytoplasmic or nuclear pathways (Figure S7F). Likewise, expression of the Y118A ClpP mutant led to reductions in SDHA, SDHB and NDUFA12 in a dose-dependent manner (Figure 6D). In contrast, another respiratory complex subunit, ATP5A, was not reduced by Y118A ClpP overexpression, ONC201 or ONC212 (Figures 6D, S7B), reflecting selective degradation of particular subunits by ClpP activation.

We also tested the effects of ONC201 and ONC212 on levels of respiratory chain proteins in primary AML cells and observed a reduction in respiratory chain proteins (Figure 6E). Interestingly, imipridone treatment similarly reduced respiratory chain proteins in normal hematopoietic cells (Figure 6E). Thus, greater sensitivity of AML cells to ClpP activation likely reflects their increased reliance on oxidative phosphorylation and lower spare reserve capacity in their respiratory chain (Srisanthadevan et al., 2015).

Next, we investigated the effects of ClpP activation on oxidative phosphorylation and mitochondrial function. Treatment with ONC201 decreased basal oxygen consumption rate and spare reserve capacity in Z138 cells with WT ClpP but not in Z138 cells with D190A ClpP (Figure 7A). Likewise, ClpP activation decreased the enzymatic activity of respiratory chain complexes I, II, and IV, with complex I being the most sensitive (Figure 7B). Finally, ClpP activation increased the production of mitochondrial reactive oxygen species (ROS) in Z138 cells with WT ClpP, but not in Z138 cells with D190A ClpP (Figure 7C). Consistently, mitochondria were morphologically damaged by ONC201 treatment, as assessed by electron microscopy, demonstrating particular damages of matrix and cristae structures (Figure 7D).

We previously published that ONC201 induces atypical integrated stress response (ISR) where ATF4 protein increase is induced irrespectively of phosphorylation status of eIF2a, unlike classical ISRs (Ishizawa et al., 2016). Indeed, overexpression of Y118A ClpP in Z138 cells showed increase in ATF4 protein without increasing phosphorylation of eIF2a (Figure 7E).

### ClpP activation by imipridones exerts anti-tumor effects *in vivo*

To test if ClpP activation by ONC212 induces anti-tumor effects *in vivo*, we established xenograft mouse models using Z138 cells with WT or D190A ClpP overexpression and treated the mice with oral gavage of ONC212. The Z138 cells were luciferase-labeled, and systemic tumor burden was followed by measuring luciferase activity with IVIS imaging. Consistent with our *in vitro* anti-cancer findings, tumor burden was significantly reduced by ONC212 treatment in the WT ClpP group, whereas there was no discernable anti-tumor

activity in the D190A ClpP group (Figure 8A, 8B). Animal survival was significantly prolonged in the ONC212-treated WT ClpP group, but not in the D190A mutant group (median survival: WT, 49 vs 55 days; D190A mutant, 53 vs 54 days) (Figure 8C). The result indicated that *in vivo* efficacy of ONC212 is ClpP-dependent. We also validated the *in vivo* anti-tumor effects of ONC201 in a xenograft model of OCI-AML2 cells. Oral ONC201 significantly reduced the leukemic burden in mice compared to the control group (Figure 8D). Collectively, imipridones are effective *in vivo* in lymphoma and AML mouse models. To further evaluate the effects of ONC212 on leukemia-initiating cells (LICs), we treated patient-derived xenograft (PDX) AML cells from secondarily engrafted mice (i.e., LICs enriched) with ONC212 and then injected the cells into recipient NSG mice. Survival of the mice was significantly prolonged (median survival: 36 vs 82 days) (Figure 8E), suggesting that ClpP activation inhibits the engraftment capacity of LICs. In an ongoing clinical trial in patients with relapsed/refractory AML, decrease in circulating blasts and subsequent increase in platelet counts was observed (Figure S8) following a single dose of ONC201 (250 mg orally).

## Discussion:

Despite recently developed targeted agents, the majority of hematologic malignancies and solid tumors is incurable. This includes essentially all tumors with *TP53* mutations. Therefore, anti-tumor agents with unique mechanisms of action are urgently needed. Accumulating evidence demonstrates that mitochondrial function is critical for maintenance and therapy resistance of leukemias (Cole et al., 2015; Farge et al., 2017; Kuntz et al., 2017; Moschoi et al., 2016; Samudio et al., 2010; Skrtic et al., 2011) and certain solid tumors (Birsoy et al., 2014; Kotschy et al., 2016; Viale et al., 2014), and therapeutic strategies to effectively disrupt the integrity of mitochondria have been investigated (Birsoy et al., 2014; Cole et al., 2015; Konopleva et al., 2006; Konopleva et al., 2016; Kotschy et al., 2016; Kuntz et al., 2017; Pan et al., 2014; Pan et al., 2017; Skrtic et al., 2011; Viale et al., 2014). Here we found that ClpP hyperactivation induces lethality in leukemias and lymphomas, due to selective proteolysis of subsets of the mitochondrial proteome that are involved in mitochondrial respiration and oxidative phosphorylation. Our findings also provide an explanation for the recent report demonstrating that ONC201 reduces oxidative phosphorylation (Greer et al., 2018). Considering several recent reports showing that cancer stem and chemo-resistant cells rely highly on oxidative phosphorylation (Farge et al., 2017; Kuntz et al., 2017; Lagadinou et al., 2013; Marin-Valencia et al., 2012; Viale et al., 2014), we speculate that this therapeutic approach may also have potential to eliminate chemo-resistant populations of malignant cells and prevent relapse of the disease, which is an important direction to be addressed in future studies.

Deletions or mutations of ClpP have not been reported in primary AML, suggesting that ClpP could be an effective target across the spectrum of molecular and cytogenetic subsets of AML. However, our data indicate that patient samples with the lowest levels of ClpP are less sensitive to ClpP hyperactivation. Thus, levels of ClpP could serve as a biomarker to select AML patients most and least likely to respond to this therapy. Further studies with larger numbers of patients would be required to establish thresholds of ClpP expression that best predict response.

We established genetic systems to activate and inactivate human ClpP by identifying certain point mutations. We demonstrated that Y118A mutation in human ClpP leads to constitutive activation of the protease. We demonstrated that the D190A mutation we discovered in ONC201-resistant cells to be an inactivating mutation. Thus, we identified a potential mechanism of resistance to ClpP activators and established a system with which to study the biological role of ClpP and its activators.

Our screen identified agonists of mitochondrial ClpP that are more potent than ADEPs. ONC201 and ONC212 are a class of anti-cancer compounds that effectively kill cancer cells but are much less toxic to normal cells (Allen et al., 2013; Ishizawa et al., 2016). Their efficacy is independent of *TP53* mutation status (Allen et al., 2013; Ishizawa et al., 2016). While the preclinical efficacy of these compounds has been established in numerous cancers, the direct target was elusive. The dopamine receptor DRD2 has been suggested as a putative target of ONC201 (Kline et al., 2016; Kline et al., 2018). However, DRD2 KO cells can be sensitive to ONC201 (Kline et al., 2018), suggesting that it may not be the functionally critical mechanism of action. Our crystal structure of the ClpP-ONC201 complex confirmed ClpP as a direct target for ONC201 and identified its binding pocket outside the active site. ONC201-mediated activation of ClpP has global structural effects that go beyond those of ADEP-mediated activation (Gersch et al., 2015; Lee et al., 2010). Drug binding not only stabilized the 14-mer but also widened the axial entrance pore and opened up channel-like pores on the “side wall” of the assembled protease. The increased opening, together with the increased dynamics of this region, suggests that these pores could provide a convenient escape route for cleaved peptide products. ONC201-binding not only increases the dynamics of the ClpP N-terminal residues, a region well known as a major regulatory site crucial for ClpX-mediated activation (Kang et al., 2004), but also induces major conformational changes at the heptamer-heptamer interface with direct effects on the active site region. ADEPs structural effects of activation of bacterial ClpP are most pronounced in the apical region of the protein with the heptamer-heptamer interface largely undisturbed (Gersch et al., 2015; Lee et al., 2010). A refined model of the ClpP-ONC212 complex suggests that its trifluoromethyl substituent enhances ONC212’s potency through increased binding affinity and improved structural complementarity to ClpP.

We demonstrated that genetic ClpP activation results in ATF4 increase without increase of phosphorylated eIF2 $\alpha$  in Z138 cells, validating our recent finding that ONC201 induces atypical ISR, which is characterized by eIF2 $\alpha$ -independent induction of ATF4 unlike eIF2 $\alpha$ -dependent classical ISRs (Ishizawa et al., 2016). This is also consistent with another recent report of eIF2 $\alpha$ -independent ATF4 induction being downstream of mitochondrial unfolded stress response (UPR<sup>mt</sup>) (Munch and Harper, 2016), and reflecting that activation of human ClpP phenocopies UPR<sup>mt</sup>. Unlike ClpP functionality reported in *C. elegans* (Haynes et al., 2007; Quiros et al., 2016), several reports suggest that ClpP may not be a master regulator of UPR<sup>mt</sup> in mammals (Bezawork-Geleta et al., 2015; Jin and Youle, 2013; Seiferling et al., 2016). Also, several reports indicate that UPR<sup>mt</sup> may increase ClpP expression (Houtkooper et al., 2013; Zhao et al., 2002). However, it remains unknown how hyperactivating ClpP will affect UPR<sup>mt</sup> signaling.

ONC201 is currently in early phase clinical trials against AML and other cancers (Arrillaga-Romany et al., 2017; Kline et al., 2016; Stein et al., 2017), without serious adverse events reported yet. Thus, our findings related to ONC201 as a ClpP activator can immediately be validated in ongoing clinical trials in patients, and potentially also be tested in future clinical trials of its improved analogues, which include ONC206 (Wagner et al., 2017) and ONC212. Moreover, in conjunction with previous reports showing that ADEPs are promising antibiotics and that ADEP-resistant strains of staphylococcal isolates are rare (Conlon et al., 2013), imipridones may even exert effective antibiotic properties.

Of note, neither Perrault Syndrome patients, who carry inactivating mutations of ClpP, nor ClpP-deficient mice develop tumors. Previously, we demonstrated that inhibiting ClpP in leukemic cells leads to the accumulation of misfolded or damaged respiratory chain complex subunits that impair respiratory chain activity and causes cell death. In contrast, in our present study we showed that hyperactivating ClpP in cancer cells increases degradation of respiratory chain complex subunits leading to impaired respiratory chain activity. Thus, ClpP needs to be tightly regulated in malignancies as both inhibition and hyperactivation of ClpP impairs respiratory chain activity and causes cell death, although through different mechanisms.

In conclusion, hyperactivation of ClpP, which induces selective proteolysis of particular subsets of mitochondrial matrix proteins, is a potential therapeutic strategy against hematologic and solid tumors. Imipridones are currently being evaluated in clinical trials activators of ClpP other than imipridones could be developed in the future.

## STAR METHODS

### CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for reagents may be directed to, and will be fulfilled by the lead contact Michael Andreeff (mandreeff@mdanderson.org).

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

**Mice**—For all the animal studies in the present study, the study protocols were approved by the Institutional Animal Care and Use Committee (IACUC) at the Princess Margaret Cancer Centre and MD Anderson Cancer Center.

Two million Z138 cells transfected with the WT or D190A mutant *CLPP*-over-expressing vector and labeled with luciferase were injected to individual NSG mice (n = 7 per treatment group, all female). After confirming engraftment measured by *in vivo* bioluminescence imaging on d 9 post-transplantation, ONC212 (50mg/kg/d) or vehicle (water) is administered by oral gavage every other d until the mice got moribund. Tumor burden measured by luminescence was followed weekly until d 31. Independently, two million Z138 cells transfected with tetracycline-inducible Y118A mutant ClpP were labeled with luciferase and injected to individual NSG mice (n = 10 per treatment group, all male). After confirming engraftment measured by *in vivo* bioluminescence imaging on day 5 post-transplantation, the mice were treated with or without tetracycline (2 mg/mL) in drinking water until moribund. One million OCI-AML2 cells were injected to individual SCID mice

(n = 10 per treatment group, all male). Five days after injection, mice were treated with ONC201 twice daily with ONC201 by oral gavage (100 mg/kg) for 13 days. Engraftment experiments using patient-derived xenograft AML cells were performed as previously reported (Ishizawa et al., 2016). Primary AML cells were transplanted into female 6-week-old NSG mice, and leukemia cells were harvested from secondarily transplanted mice. Leukemic cells were treated with or without 250 nM of ONC212 for 36 hr, then 0.7 million trypan blue-negative cells were injected via tail vein into each of 7 NSG mice per treatment group. The mice in each group were monitored for survival.

**Human subjects and primary samples**—The data derived from the second patient enrolled in the clinical trial at MD Anderson Cancer Center (NCT02392572) was presented in Figure S8. The study was conducted in accordance with the Declaration of Helsinki and was approved by an Institutional Review Board at MD Anderson Cancer Center. Informed consent was obtained from all patients.

Bulk AML cells from AML patients and peripheral blood stem cells from healthy G-CSF-treated stem cell donors were isolated by Ficoll density centrifugation and apheresis, respectively. Isolated cells were maintained in IMDM supplemented with 10% FBS, or Myelocult H5100 (Stemcell Technologies, Vancouver, BC), supplemented with 100 ng/mL SCF, 10 ng/mL FLT3-L, 20 ng/mL IL-7, 10 ng/mL IL-3, 20 ng/mL IL-6, 20 ng/mL G-CSF, 20 ng/mL GM-CSF. Cells were supplemented with 100 g/ml penicillin, and 100 U/ml streptomycin, at 37°C and 5% CO<sub>2</sub> in humidified atmosphere. The University Health Network (Toronto, ON) and MD Anderson Cancer Center (Houston, Texas) institutional review boards approved the collection and use of human tissue for this study. All samples were obtained from consenting patients.

**Bacterial Cell Culture**—For the expression and purification of human mitochondrial ClpP protein, *E. coli* SG1146 carrying pETSUMO2-CLPP(-MTS) were grown aerobically in Luria-Bertrani Broth (LB; 10 g/L tryptone, 5 g/L yeast extract, 10 g/L NaCl) supplemented with 50 µg/mL kanamycin at 37 °C with shaking at 180 rpm.

## METHOD DETAILS

**Protein purification and crystallization**—Human ClpP was expressed and purified as described previously (Kang et al., 2004; Kimber et al., 2010; Wong et al., 2018). Wildtype and mutant (Y118A and D190A) human ClpP (without mitochondrial targeting sequences) were cloned into pETSUMO2 expression vectors and expressed in *E. coli* SG1146 (Kimber et al., 2010). To induce protein expression, bacteria, after reaching OD<sub>600</sub> ~ 0.6, were treated with 1mM isopropyl-1-thio-B-D-galactopyranoside for 4 h at 37 °C, harvested by centrifugation, and disrupted in lysis buffer (25 mM Tris-HCl (pH 7.5), 0.5 M NaCl, 10 mM imidazole, 10% glycerol) by Emulsiflex C5 (4 passes; Avestin, Ottawa, Canada). Following cell lysis, the insoluble material was removed by centrifugation (26,892 x g (Sorvall rotor SS-34) for 30 min) and the supernatant was passed through a 5 mL Ni-sepharose high-performance (GE) column pre-equilibrated with lysis buffer. The protein was eluted with 400 mM imidazole, diluted with 25 mL of dialysis buffer (25 mM Tris-HCl (pH 7.5), 0.1 M NaCl, 10% glycerol), mixed with SUMO protease (1:100 ; Lee et al., 2008), and dialyzed

overnight at 4 °C with light stirring into 4 L of dialysis buffer using SnakeSkin 10K dialysis membrane (ThermoScientific, Waltham, MA). The dialyzed material was then passed through a second 5 mL Ni-column (ThermoScientific, Waltham, MA) and the flow-through solution containing untagged ClpP was collected. All fractions were analyzed by SDS-PAGE.

For crystallography, protein was concentrated using an Amicon Ultra-15 30K concentrator (Sigma-Aldrich) and further purified using an anion exchange 5 mL QSepharoseHP HiTrap (Amersham Biosciences, Little Chalfont, UK) column with a linear gradient from 100 mM to 1 M NaCl in 20 mM Tris-HCl (pH 7.5). Protein eluted at about 200 mM NaCl concentration.

It was then pre-concentrated using Amicon Ultra-15 30K concentrators and dialyzed at 4 °C overnight into 25 mM Bis-Tris, pH 6.5, containing 3 mM DTT. ClpP was then further concentrated to 12 mg/mL. ONC201, solubilized in 100% DMSO, was added to the concentrated protein to bring the final concentration of the compound to 2.5 mM with a DMSO concentration of 5%.

The ClpP-ONC201 complex was crystallized at 4 °C by the hanging drop vapor diffusion method. 2 µL of protein-drug solution were mixed with 2 µL of reservoir solution. Wells containing reservoir solutions of 500 µL of 5% (w/v) PEG 4,000, 100 mM KCl, and 100 mM NaAc (pH 5.2) produced crystals of 100–200 µm in all three dimensions. Crystals appeared in 2–3 weeks and were harvested into reservoir solutions containing 2.5 mM ONC201, 5% DMSO, and 20% glycerol for cryo-protection. Crystals in standard cryo-loops were flash-frozen in liquid nitrogen.

**Collection and processing of diffraction data**—Diffraction data were obtained at beamline 08ID-1 of the Canadian Light Source (Saskatoon, Canada; Grochulski et al., 2011) at 100 K and recorded with the help of a Pilatus3 S 6M detector (Dectris, Switzerland). The wavelength was 0.9795 Å and 2500 images were collected with a 0.1° oscillation range and 0.2 s exposures. Crystal to detector distance was 392.6 mm. Data were indexed, integrated, and scaled using the XDS (Kabsch, 2010) and CCP4 (Winn et al., 2011) software packages. The protein complex crystallized in space group C2 with one ClpP heptamer ring in the asymmetric unit (ASU), as had previously been seen for the closed conformation of human mitochondrial ClpP (PDB-ID:1TG6)(Kang et al., 2004).

**Structure solution and refinement**—The crystal structure of the ClpP-ONC201 complex was solved by molecular replacement using the PHENIX software package (Adams et al., 2010; McCoy et al., 2007). The same software was applied for refinement and validation and the package COOT (Emsley and Cowtan, 2004; Emsley et al., 2010) for model building. Starting phases for structure determination were calculated using the activated ClpP heptamer structure with waters removed as the search model (PDB: 6BBA; Wong et al., 2018). Riding hydrogens were used during the last several rounds of refinement (Afonine and Adams, 2012) to optimize the geometry but were not included in the final deposited coordinate file. See Table S4 for data reduction and refinement statistics. PyMol v1.3 software was used to generate structure figures (DeLano, 2002). Coordinates and



structure factors of the ClpP-ONC201 complex structure were deposited into the RCSB - Protein Data Bank with Accession No. 6DL7.

**Chemical screen**—Assay buffer consisted of 25 mM HEPES pH 7.4, 5 mM MgCl<sub>2</sub>, 5 mM KCl, 0.03% Tween 20, 10% glycerol, 16 mM creatine phosphate, 13 U/ml creatine kinase, and 3 mM ATP. 1.0 μM human ClpP (Cole et al., 2015) was dissolved in the assay buffer using Biomek FX robotic liquid handler (Beckman Coulter Life Sciences, Indianapolis, IN) and mixed with 0.625 mM and 1.25 mM-concentrations of each compounds in 384-well plates using Beckman Multimek 96/384 liquid handling system (Beckman Coulter Life Sciences, Indianapolis, IN) at 0.2 μL per well (final concentrations 4.15 and 8.3 μM, respectively) and incubated at 37°C for 10 min. Fluorescent tagged-substrates, FITC-casein (4.0 μM) was then added to each well and fluorescence was measured at 485/535 nm every 5 min for 70 min at 37°C using PHERAstar microplate reader (BMG LABTECH, Ortenberg, Germany)

**ClpP enzymatic assays**—Assay buffer consisted of 25 mM HEPES pH 7.5, 5 mM MgCl<sub>2</sub>, 5 mM KCl, 0.03% Tween 20, 10% glycerol, 16 mM creatine phosphate, 13 U/ml creatine kinase, and 3 mM ATP for FITC-Casein assay, 100mM KCl, 5 % glycerol, 10mM MgCl<sub>2</sub>, 20mM Triton X-100, and 50mM TRIS pH 8 for AC-WLA-AMC assay, 50 mM Tris, pH 8, 300 mM KCl, and 15% glycerol for Ac-Phe-hArg-Leu-ACC assay, 50 mM Hepes, pH 7.5 with 5 mM ATP, 0.03% Tween 20, 15 mM MgCl<sub>2</sub>, 100 mM KCl and 5% Glycerol for FAPHMALVPV (Clptide) assay, and 25 mM Tris, pH 7.5 with 150 mM NaCl for MCA-Pro-Leu-Gly-Pro-D-Lys assay (Gersch et al., 2016).

For fluorescence assays, 0.7 μM (for FITC-casein, AC-WLA-AMC, and Ac-Phe-hArg-Leu-ACC assays) or 7.0 μM (for FAPHMALVPV and MCA-Pro-Leu-Gly-Pro-D-Lys assays) human ClpP was dissolved in the assay buffer, incubated at 37°C for 10 min, and mixed with increasing concentrations of ONC201, ONC201 isomer, and ONC212 (0–100 M) in 96 well plates at 50 μL per well in triplicate. Fluorescent tagged-substrates, FITC-casein (4.5 μM) or AC-WLA-AMC (15mM) (Wong et al., 2018), Ac-Phe-hArg-Leu-ACC (100 μM), FAPHMALVPV (50 μM) and MCA-Pro-Leu-Gly-Pro-D-Lys (25 μM) were then added to each well and fluorescence was measured at 485/535 nm for FITC casein assay, at 360/440 nm for AC-WLA-AMC assay, at 380/440 nm for Ac-Phe-hArg-Leu-ACC assay, at 320/420 nm for FAPHMALVPV (Clptide) assay, and at 320/405 nm for MCA-Pro-Leu-Gly-Pro-D-Lys assay every 30 s for 90 min at 37°C using a monochromator microplate reader (Clariostar BMG LABTECH, Ortenberg, Germany). Hill coefficient was determined using Origin7, Pharmacology – Dose-response curve with log (compound concentration) as the independent variable.

For gel-based assays, 1.5 μM ClpP, alone and in combination with 4.5 μM ClpX, was mixed with 22 μM unlabeled bovine α-casein and treated with 0.2 and 6.3 μM concentrations of ONC201 and ONC212 in FITC-casein assay buffer (See above). The mixture was incubated at 37°C for 3 h, loaded on 12% SDS-PAGE, run at 120V, and stained with Coomassie Blue.

**Isothermal titration calorimetry (ITC)**—ITC binding measurements were performed using the MicroCal VP-ITC system (Malvern, Malvern, UK). Aliquots of purified wild type

and D190A ClpP were dialyzed separately overnight at 4 °C with light stirring into 20 mM TrisHCl, 5% DMSO, pH 7.65 (pH at room temperature) using SnakeSkin 10K dialysis membrane (ThermoFisher, Waltham, MA). The VP-ITC cell was filled with 20 μM ClpP (WT or D190A; ClpP monomer concentration) and 100 μM ONC201 was used in the syringe. The following setup was used: Injection volume: 281.55 μL, Cell volume: 1.4551 mL, Spacing time between injections: 240 s, 27 injections: 10 μL over 20 s each; 1st 2 μL over 4 s, filter period – 2 s, steering speed – 307, temperature – 25 °C, reference power – 15 μCal/s. In the reversed experiment 500 μM WT ClpP in the syringe was titrated into 50 μM ONC201 solution; same instrument setup was used for these experiments. Control experiments were carried out to account for dilution effects upon ligand into protein and protein into ligand titration. Data were analyzed with Origin7 MicroCal Analysis software.

**Gel filtration**—0.4 mg of WT or D190A ClpP in 400 μL (with or without ONC201 in 1:1 molar ratio – ClpP monomer to ONC201 ratio; in running buffer) was loaded onto the analytical size exclusion column Superdex 200 10/300 GL (Amersham Biosciences, Little Chalfont, UK) and run at RT at 0.5 mL/min in running buffer (20 mM TrisHCl, 100 mM NaCl, pH7.5).

**Cell culture**—OCI-AML2 cells were grown in Iscove's Modified Dulbecco's Medium (IMDM) with 10% FBS. OCI-AML3, HCT116, OC316 and SUM159 cells were cultured in RPMI medium with 10% FBS. TEX cells (Warner et al., 2005) were provided by Dr. John Dick (Ontario Cancer Institute, Toronto, Canada) and grown in IMDM supplemented with 15% FCS, 2 mM L-glutamine, 20 ng/ml stem cell factor (SCF), and 2 ng/ml IL-3 (R&D Systems, Minneapolis, MN). Z138 cells were cultured in RPMI with 20% FBS. T-REx HEK293 cells were grown in DMEM with 10% FBS.

ClpP  $-/-$  and ClpP / T-REx HEK293 cells were a gift from Dr. Aleksandra Trifunovic's lab (CECAD Research Center, University of Cologne, Germany). All the other cell lines were purchased from Leibniz-Institut Deutsche Sammlung von Mikroorganismen und Zellkulturen (DSMZ, Braunschweig, Germany) or the American Type Culture Collection (ATCC, Manassas, VA). 100units/mL penicillin and 100ug/mL streptomycin was added to the media for all cells lines. All cells were cultured at 37°C and 5% CO<sub>2</sub>. The authenticity of the cell lines was confirmed by DNA fingerprinting with the short tandem repeat method, using a PowerPlex 16 HS System (Promega, Madison, WI) within 6 m before the experiments.

**Cell viability assays**—For Alamar-Blue assays, cells ( $1 \times 10^4$  / well) were plated in 96-well plates (final volume of 100 μL/well), and treated with increasing concentrations of ONC201 and ONC212 (0 to 100μM). After a 72-hr period of incubation at 37°C, 10μL of Alamar Blue was added to the culture medium and the mixture was incubated for an additional 2 h at 37°C. Cytotoxicity was measured using spectrophotometry of fluorescence at excitation 560 nm and emission 590 nm (SpectraMax M3, Molecular Devices, San Jose, CA). For apoptosis analysis, annexin V and PI binding assays were performed to assess apoptosis as described previously (Ishizawa et al., 2016). Cells ( $1.5 \times 10^5$  / well for AML cells in 24-well plates and  $0.8 \times 10^5$  for HCT116 cells in 12-well plates) were plated and

treated with ONC201 and ONC212. Annexin V and PI were stained after 72 hr incubation. Annexin V- and PI-negative cells were counted as live cells.

**Cellular thermal shift assay (CETSA)**—CETSA was conducted as previously described (Jafari et al., 2014). OCI-AML2 cells were treated with increasing concentrations of ONC201 or ONC212 for 30 min at 37°C. Cells were then washed and re-suspended in PBS containing proteinase inhibitors and heated to 67°C for 3 min using a thermal cycler (SimpliAmp, Applied Biosystems). This temperature was experimentally derived by heating cells pretreated with the drug for 1 h at different temperatures to determine the optimal thermal shift of the protein. Following this step, cells were lysed by 4 freeze-thaw cycles with vortexing and pure cell lysates were collected after centrifugation at 16,000g for 30 min at 4°C.

In wash-off experiments, ONC201 (10  $\mu$ M) treated cells were washed in PBS, pelleted and re-suspended in fresh media and incubated for increasing time intervals starting from 15–75 min at 37°C. After this, cells were again washed and re-suspended in PBS containing proteinase inhibitors, heated to 67°C for 3 min, and cell lysates were collected as described above.

**RNA-sequencing**—Barcoded, Illumina compatible, strand-specific total RNA libraries were prepared using the TruSeq Stranded Total RNA Sample Preparation Kit (Illumina, San Diego, CA). Briefly 1 $\mu$ g of DNase I treated total RNA was depleted of cytoplasmic and mitochondrial ribosomal RNA (rRNA) using Ribo-Zero Gold (Illumina). After purification, the RNA was fragmented using divalent cations and double stranded cDNA was synthesized using random primers. The ends of the resulting double stranded cDNA fragments were repaired, 5'-phosphorylated, 3'-A tailed and Illumina-specific indexed adapters were ligated. The products were purified and enriched by 11 cycles of PCR to create the final cDNA library. The libraries were quantified using the Qubit dsDNA HS Assay Kit (ThermoFisher) and assessed for size distribution using the Fragment Analyzer (Advanced Analytical, Ankeny, IA), then multiplexed 4 libraries per pool. Library pools were quantified by qPCR and sequenced, one pool per lane, on the Illumina HiSeq4000 sequencer using the 75 bp paired end format. For each sample, TopHat was used to align reads from FASTQ files to the reference genome (hg19) and generate BAM files. These were then used as input to maseqmut, which identifies genomic nucleotide positions at which a minimum number and proportion of reads have a variant sequence, i.e., indels or single-nucleotide variants (SNVs). There was no filtering to exclude known single-nucleotide polymorphisms (SNPs). For each SNV identified in either or both of the parental or ONC201-resistant samples of Z138 cells, maseqmut provided the number of reads (forward and backward) with a WT nucleotide in that position, and the number of reads with the SNV in that position, for each sample. If the total number of reads at that position exceeded a minimum number of total reads (20), Fisher's exact test was used to compare the difference in the mutant allele frequency (MAF) in parental vs. resistant cells. SNVs meeting the criteria for minimum read number and Fisher test-significant MAF difference in either direction (i.e., higher in either the drug-naïve or resistant cells) were further characterized by ANNOVAR (Wang et al., 2010) as to whether they were intergenic, intronic, in the 5' or 3' UTR, or within exons, and if the latter,

whether they were synonymous (silent), nonsynonymous (NSV), or involved the gain or loss of a stop codon.

**Site directed mutagenesis**—All point mutations were induced using Phusion High Fidelity DNA polymerase or QuikChange II site directed mutagenesis kit (Agilent Technologies, Santa Clara, CA) using the manufacture's protocol (New England Biolabs, Ipswich, MA). The primers used were as follows:

Y118A *CLPP* fwd: 5' gagagcaacaagaagccatccacatggccatcaacagccctggtggtggtgacc 3'

Y118A *CLPP* rev: 5' ggtcaccacaccaccaggctgttgatggccatggtggcttcttggctctc 3'

D190A *CLPP* fwd: 5' ggccaagccacagccattgccatccagg 3'

D190A *CLPP* rev: 5' cctggatggcaatggctgtggcttggcc 3'

For *in vitro* experiments, mutant genes without mitochondrial targeting sequence (MTS) were fused in frame with N-terminal His6-SUMO-2 tags in pETSUMO2 expression vectors. For experiments involving mammalian cells lines, full length mutant genes (with MTS) were cloned into an expression vector with a C-terminal VA-tag (StrepIII-His6-TEV-TEV-3xFLAG). All mutations were confirmed by sequencing.

**Immunoblot analysis**—Cells were lysed at a density of  $1 \times 10^6$  /50  $\mu$ L (for AML cells) or  $1 \times 10^6$  /100  $\mu$ L (for HCT116 cells) in protein lysis buffer (0.25 M Tris-HCl, 2% sodium dodecylsulfate, 4%  $\beta$ -mercaptoethanol, 10% glycerol, 0.02% bromophenol blue). Protein lysates for Oxphos cocktail antibodies were incubated for 30 min at room temperature, otherwise, at 95°C for 5 min for denaturing (antibodies used are listed below). Immunoblot analysis was performed as reported previously (Ishizawa et al., 2016). Briefly, an equal amount of protein lysate was loaded onto a 10–12% SDS-PAGE gel (Bio-Rad), and quantitated using the Odyssey imaging system (LI-COR Biotechnology, Lincoln, NE). Antibodies used: total OXPHOS rodent WB antibody cocktail, anti-SDHA, anti-SDHB, anti-NDUFA12, anti-ClpP, anti-ATF4, anti-eIF2a, anti-phospho-eIF2a (S51), anti-ClpP, anti-CQCRC2, anti-CS, anti-NDUFB8, anti-b-actin, and anti-GAPDH.

**Proximity-dependent biotin labeling (BioID)**—Wild-type and Y118A mutant *CLPP* sequences were PCR amplified and fused in-frame with a mutant *E. coli* biotin conjugating enzyme, BirA R118G (or BirA\*), in a pcDNA5 FRT/TO plasmid under a CMV promoter positively regulated by tetracycline. For each construct, in-frame fusion was confirmed by Sanger Sequencing. The plasmids were then transfected into T-REx 293 cells using PolyJet (3 $\mu$ l) (SigmaGen, Rockville, MD). Stable cells expressing the tetracycline-regulated, BirA\*-tagged WT or constitutively active mutant ClpP proteins were selected using hygromycin B (200  $\mu$ g/mL). Cell pools expressing the BirA\* epitope tag alone, or BirA\* fused to the unrelated mitochondrial enzyme ornithine transcarbamoylase (OTC) were used as negative controls.

At approximately 60% confluence, cells were treated with 1  $\mu$ g/ml tetracycline and 50  $\mu$ M biotin in addition to 0.6 $\mu$ M ONC201 or vehicle control for 48h. Cells were scraped in their

media, pooled and washed twice in 25 ml cold PBS, pelleted by centrifugation at  $1000 \times g$  for 5 min at  $4^{\circ}\text{C}$ , and lysed in ice-cold modified RIPA buffer for 1. Pure cell lysates were then incubated with RIPA-equilibrated streptavidin-sepharose beads (GE Healthcare, Little Chalfont, UK) in an end-over-end rotator for 2 hr at  $4^{\circ}\text{C}$ . Beads were washed seven times with 1 mL of 50 mM ammonium bicarbonate (pH 8.0) and the biotinylated proteins were digested with trypsin. Two separate biological replicates (starting from the cloning phase) were generated for WT ClpP (treated and untreated) and each mutant. Samples containing the peptide fragments were analyzed by mass spectrometry.

**Mass spectrometry analysis**—High performance liquid chromatography was conducted using a 2-cm pre-column (Acclaim PepMap™ 100; 75  $\mu\text{m}$  ID; 3  $\mu\text{m}$ , 100  $\text{\AA}$  C18; ThermoFisher Scientific, Waltham, MA) and a 50-cm analytical column (Acclaim® PepMap RSLC, 75  $\mu\text{m}$  ID; 2  $\mu\text{m}$ , 100  $\text{\AA}$  C18; ThermoFisher Scientific, Waltham, MA), applying a 120-min reversed-phase gradient (225nl/min, 5–40%  $\text{CH}_3\text{CN}$  in 0.1%  $\text{HCOOH}$ ) on an EASY-nLC1000 pump (ThermoFisher Scientific, Waltham, MA) in-line with a Q-Exactive HF mass spectrometer (ThermoFisher Scientific, Waltham, MA). A parent ion MS scan was performed at a resolution of 60,000 (FWHM at 200  $m/z$ ), followed by up to 20 MS/MS scans (15,000 FWHM resolution, minimum ion count of 1000 for activation) of the most intense MS scan ions using higher energy collision induced dissociation (HCD) fragmentation.

Dynamic exclusion was activated such that MS/MS of the same  $m/z$  (within a range of 10 ppm; exclusion list size = 500) detected twice within 5 sec was excluded from analysis for 15 sec. For protein identification, Thermo .RAW files were converted to the .mzML format using Proteowizard (Kessner et al., 2008), then searched using X!Tandem (Craig and Beavis, 2004) and Comet (Eng et al., 2013) against the Human RefSeq Version 45 database (containing 36113 entries). Search parameters specified a parent ion mass tolerance of 10 ppm, and an MS/MS fragment ion tolerance of 0.4 Da, with up to 2 missed cleavages allowed for trypsin. Variable modifications of +16@M and W, +32@M and W, +42@N-terminus, and +1@N and Q were allowed. Proteins identified with an iProphet cut-off of 0.9 (corresponding to 1% FDR) and at least two unique peptides were analyzed with SAINT Express v.3.6. Control runs (18 runs from cells expressing the FlagBirA\* epitope tag only) were collapsed to the two highest spectral counts for each prey, and high confidence interactors were defined as those with BFDR 0.01.

**Network analysis**—ClpP interaction data were imported into Cytoscape 3.6.0, and proteins grouped according to previously reported physical interaction and functional data.

**Lentiviral infection and ClpP over-expression**—A lentiviral WT or D190A mutant ClpP-over-expressing vector was generated by amplifying the cDNA by using primers *CLPP* cDNA fwd and *CLPP* cDNA rev (listed below) from Z138 cells and inserting it by InFusion cloning (TaKaRa Bio USA, Mountain View, CA) between the EcoR1 and BamH1 sites of pCDH-EF1a-MCS-BGH-PGK-GFP-T2A-Puro (Systems Biosciences, Palo Alto, CA) by using primers InFusion *CLPP* fwd and InFusion *CLPP* rev. We then derived *CLPP*D190A from the wild type vector using paired primers (*CLPP* mut D190A fwd and *CLPP* mut D190A rev) with a QuikChange II site directed mutagenesis kit (Agilent Technologies,

Santa Clara, CA). We followed the manufacturer's method except that used Stbl3 cells (ThermoFisher, Waltham, MA) in lieu of XL10-Gold. We identified the correct clones by Sanger sequence analysis. The sequences of all primers used to construct plasmids are listed in the Key Resources Table.

A tetracycline-inducible system based on two lentiviral vectors was developed as we previously described (Frolova et al., 2012). The first lentiviral vector (pCD510-rtTA) was generated by excising the reverse tetracycline-controlled transactivator (rtTA) coding sequence from pSLIK-Venus-TmiR-Luc (ATCC ID: MBA-239) with BamHI and BstBI and cloning the resulting fragment into NotI and BstBI restriction sites of pCD510-B1 (SystemBio). Thus, pCD510-rtTA expresses rtTA under the CMV promoter and Puromycin selection marker under a second promoter EF-1. To generate the second vector (pCD550A1-TRE), we replaced the original EF1 promoter by an inducible promoter composed of six tetracycline responsive elements (TRE) followed by the minimal CMV promoter. cDNA sequence of WT or Y118A mutant *CLPP* was inserted under the control of a tetracycline inducible promoter (TRE) followed by the minimal CMV promoter and CopGFP, as selection marker, under the control of the EF-1 promoter. For lentiviral infections, HEK293T cells (ATCC, Manassas, VA) were co-transfected with pMD2.G and psPAX2 (kind gifts of Didier Trono, plasmids 12259 and 12260, respectively, Addgene Inc., Cambridge, MA) along with the lentiviral vectors using JetPrime transfection reagent (VWR, Radnor, PA) according to the manufacturer's protocol. The transfection medium was replaced after 6 h with fresh DMEM medium with 10% FBS and 24 h later the viral supernatants were collected and concentrated by using Centricon Plus-70 filter units (Sigma-Aldrich). OCI-AML3, Z138 and HCT116 cells were infected overnight with viral supernatants supplemented with 8 g/ml of Polybrene (Sigma-Aldrich). Seventy-two h after infection stably transduced cells were selected by FACS resulting in a homogeneous population of GFP-labeled cells.

**Measurement of oxygen consumption rate**—Oxygen consumption was measured using a Seahorse XF96 analyzer (Seahorse Bioscience, North Billerica, MA). Cells were treated with increasing concentrations of ONC201 or vehicle control (DMSO) in their growth medium for 72 hr at 37°C, resuspended in XF Assay medium supplemented with 2.0 g/l glucose and 100 mM pyruvate, and seeded at  $1 \times 10^5$  cells/well in XF96 plates. Cells were then equilibrated to the un-buffered medium for 60 min at 37 °C in a CO<sub>2</sub>-free incubator and transferred to the XF96 analyzer. To measure the spare reserve capacity of mitochondrial respiratory chains, cells were treated with 2 μM oligomycin and 0.25 M carbonyl cyanide *p*-trifluoromethoxyphenylhydrazone (FCCP) in succession.

**Respiratory chain complexes activity**—Enzymatic activities of respiratory chain complexes were measured as previously described (Sriskanthadevan et al., 2015). NADH-dependent activity of complex I was determined using Complex I Enzyme Activity Microplate Assay Kit in whole cell lysates following oxidation of NADH to NAD<sup>+</sup> and simultaneous reduction of the provided dye. Complex II (succinate dehydrogenase) activity was measured in 2 μg isolated mitochondria in 20 mM sodium succinate-supplemented 100 mM HEPES, pH 7.4 containing 1 mg/mL bovine serum albumin, 20 μM rotenone, and 2mM



KCN by monitoring malonate-sensitive reduction of 170  $\mu\text{M}$  2,6-dichloroindophenol when coupled to complex II-catalyzed reduction of 50  $\mu\text{M}$  decylubiquinone (Skrtec et al., 2011). Complex IV activity was measured by KCN-sensitive oxidation of 2 mg/mL ferrocytochrome *c* in 3  $\mu\text{g}$  isolated mitochondria treated with 1 mg/mL dodecyl-D-maltoside in 25 mM Tris buffer, pH 7.0 supplemented with 125 mM KCl. Ferrocytochrome *c* was obtained by reduction of 40 mg/mL ferricytochrome *c* with 0.5 M L-ascorbic acid (Skrtec et al., 2011).

**Mitochondrial ROS measurement**—To measure reactive oxygen species level in mitochondrial, cells were treated with ONC201 (0–2.5 M) for 72 hr at 37 °C, stained with MitoSox (Molecular Probes/Life Technologies, Eugene, OR), and incubated in the dark for 30 min at 37 °C and 5% CO<sub>2</sub> in humidified atmosphere. Cells were then centrifuged to remove the dye and resuspended in binding buffer containing annexin V-FITC (BioVision, Milpitas, CA). Following this step, annexin V negative cells were identified and analyzed by flow cytometry in a Canto II 96 well cytometer (Fortessa system, Becton Dickinson, San Jose, CA). Positive control samples were treated with 50  $\mu\text{M}$  antimycin A (Sigma-Aldrich) at 37 °C 5 h before staining with MitoSox.

**Quantification and statistical analysis**—Statistical analyses were performed using the two-tailed Student's *t* test, One-way ANOVA or Mann-Whitney test by the Prism (version 7.0; GraphPad Software) statistical software programs. The Kaplan-Meier method was used to generate survival curves, and log-rank test was used for comparison of the two groups. A *p* value < 0.05 was considered statistically significant.

**Data and software availability**—All raw mass spectrometry files have been deposited at the MassIVE archive ([massive.ucsd.edu](http://massive.ucsd.edu)), accession ID# MSV000082381.

The structure of the human mitochondrial ClpP in complex with ONC201 was deposited into the RCSB - Protein Data Bank (PDB) under the accession number 6DL7.

All RNA-seq raw data have been deposited at the Sequence Read Archive (SRA), accession ID# SUB4176298.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Zhao Q, Wang J, Levichkin IV, Stasinopoulos S, Ryan MT, and Hoogenraad NJ (2002). A mitochondrial specific stress response in mammalian cells. *The EMBO journal* 21, 4411–4419. [PubMed: 12198143]

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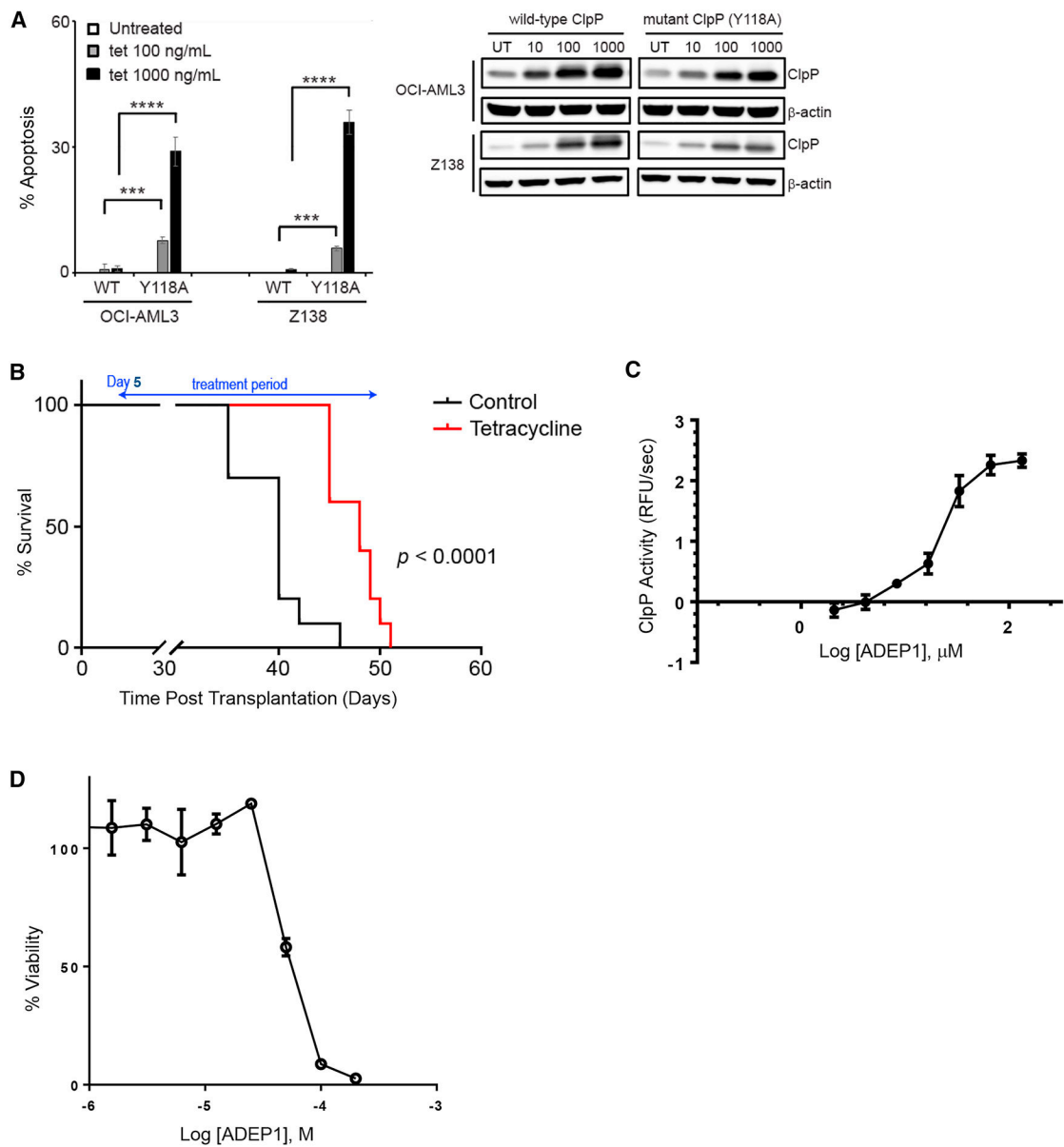
### Significance

Activation of bacterial ClpP is cytotoxic to gram positive cocci, but the effects of mitochondrial ClpP activation on human cancers are not well understood. We demonstrate that hyperactivating ClpP could be a therapeutic strategy for human cancers with increased ClpP, irrespectively of p53 mutational status. We also identified imipridones as potent allosteric agonists of human ClpP through extensive biochemical analyses. Through co-crystallography, we defined the precise 3-D conformational changes that occur upon hyperactivating ClpP with imipridones. We also determined that ClpP activation increases mitochondrial proteolysis and leads to mitochondrial dysfunction. Thus, these data support the clinical development of imipridones, and other ClpP activators, for human cancers with increased ClpP expression.

### Highlights

- Activation of the mitochondrial ClpP induces p53-independent cancer cell lethality
- Imipridones are allosteric agonists of ClpP, being tested in human clinical trials
- ClpP activations increases proteolysis of mitochondrial proteins
- ClpP-mediated mitochondrial proteolysis impairs mitochondrial respiratory function

Ishizawa et al. report that hyperactivating the mitochondrial caseinolytic protease P (ClpP) degrades respiratory chain proteins, disrupts mitochondrial function, and selectively kills cancer cells, regardless of p53 status. They identify imipridones as hyperactivators of ClpP and show their anti-tumor activity.



**Figure 1. Mitochondrial ClpP activation induces anti-tumor effects *in vitro* and *in vivo*.**

(A) Quantification for apoptotic (annexin V-positive, left) and immunoblot analysis for the ClpP protein level (right) in OCI-AML3 and Z138 cells with tetracycline-inducible over-expression of WT or Y118A mutant ClpP treated with tetracycline at indicated concentrations for 144 hr. The results are expressed as the mean of triplicate samples  $\pm$  SD (error bars). \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ .

(B) Survivals of xenograft mice using Z138 cells with tetracycline-inducible Y118A mutant ClpP over-expression. The mice ( $n = 10$  each) were treated with or without tetracycline (2 mg/mL in drinking water).

(C) Effects of ADEP1 on degradation of FITC-casein by recombinant WT ClpP. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars).

(D) The viability of OCI-AML2 cells measured by alamar blue assay after a 72-hr exposure to ADEP1. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars).

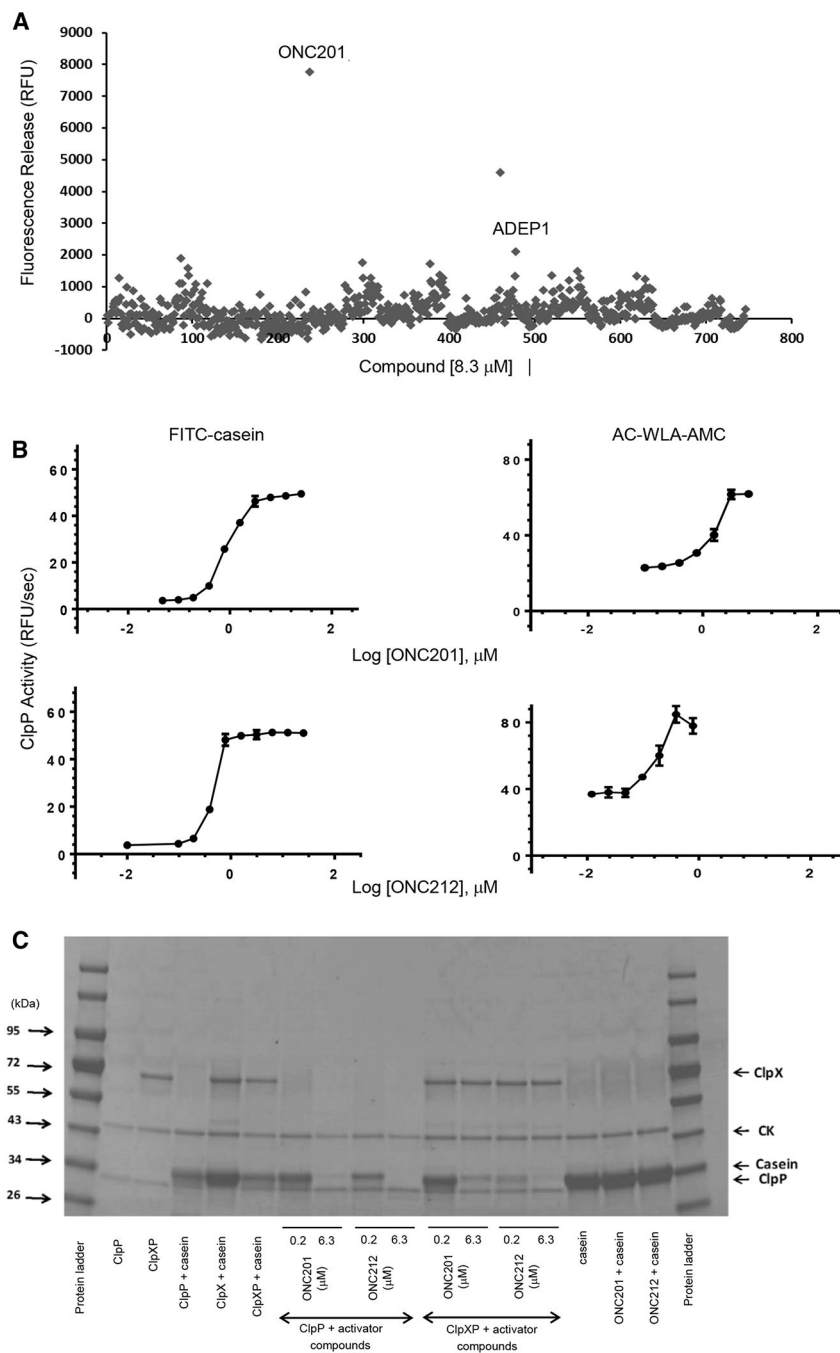
See also Figure S1.

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**Figure 2. The imipridones ONC201 and ONC212 activate mitochondrial ClpP.**

(A) Degradation rate of fluorogenic substrate FITC-casein by recombinant WT ClpP incubated with each compound of a chemical library of 747 molecules.

(B) Effects of ONC201 and ONC212 on degradation of fluorogenic substrates AC-WLA-AMC and FITC-casein by recombinant WT ClpP. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars).

(C) Degradation of  $\alpha$ -casein by purified recombinant WT ClpP and ClpXP complexes treated for 3 hr with ONC201, ONC212 or vehicle control (DMSO) in FITC-casein assay buffer detected on SDS-PAGE.  
See also Figure S2.

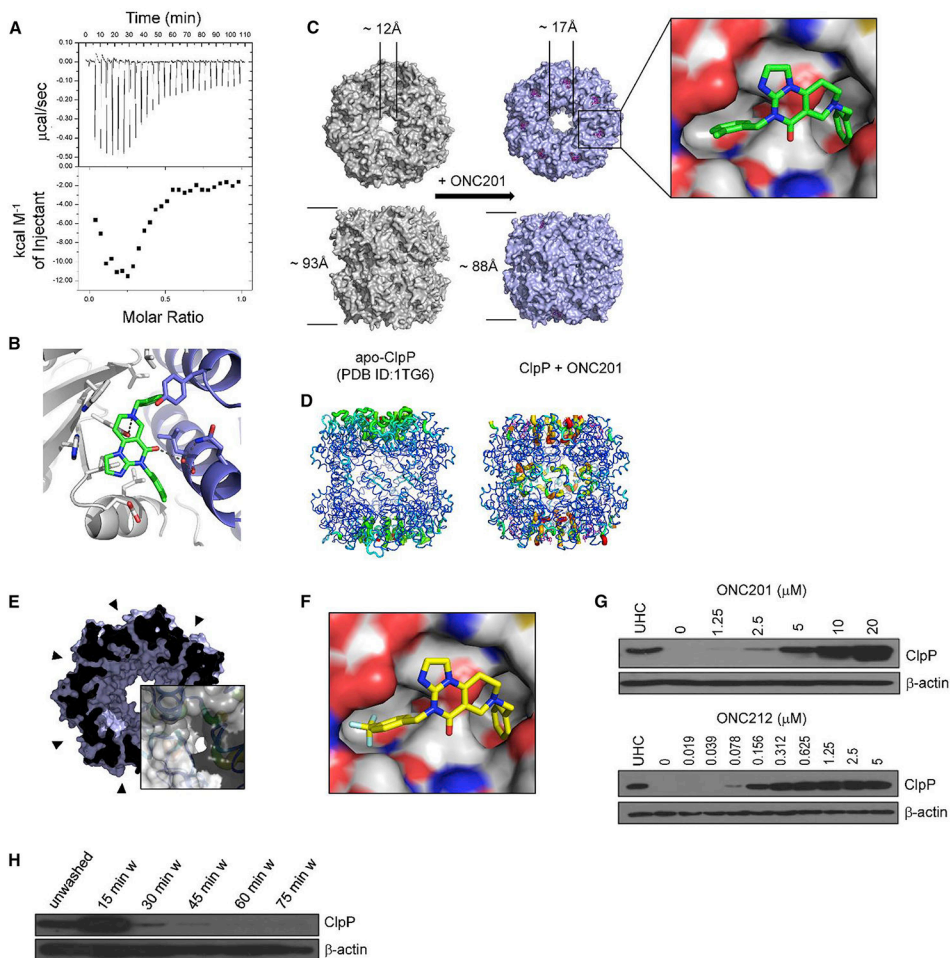
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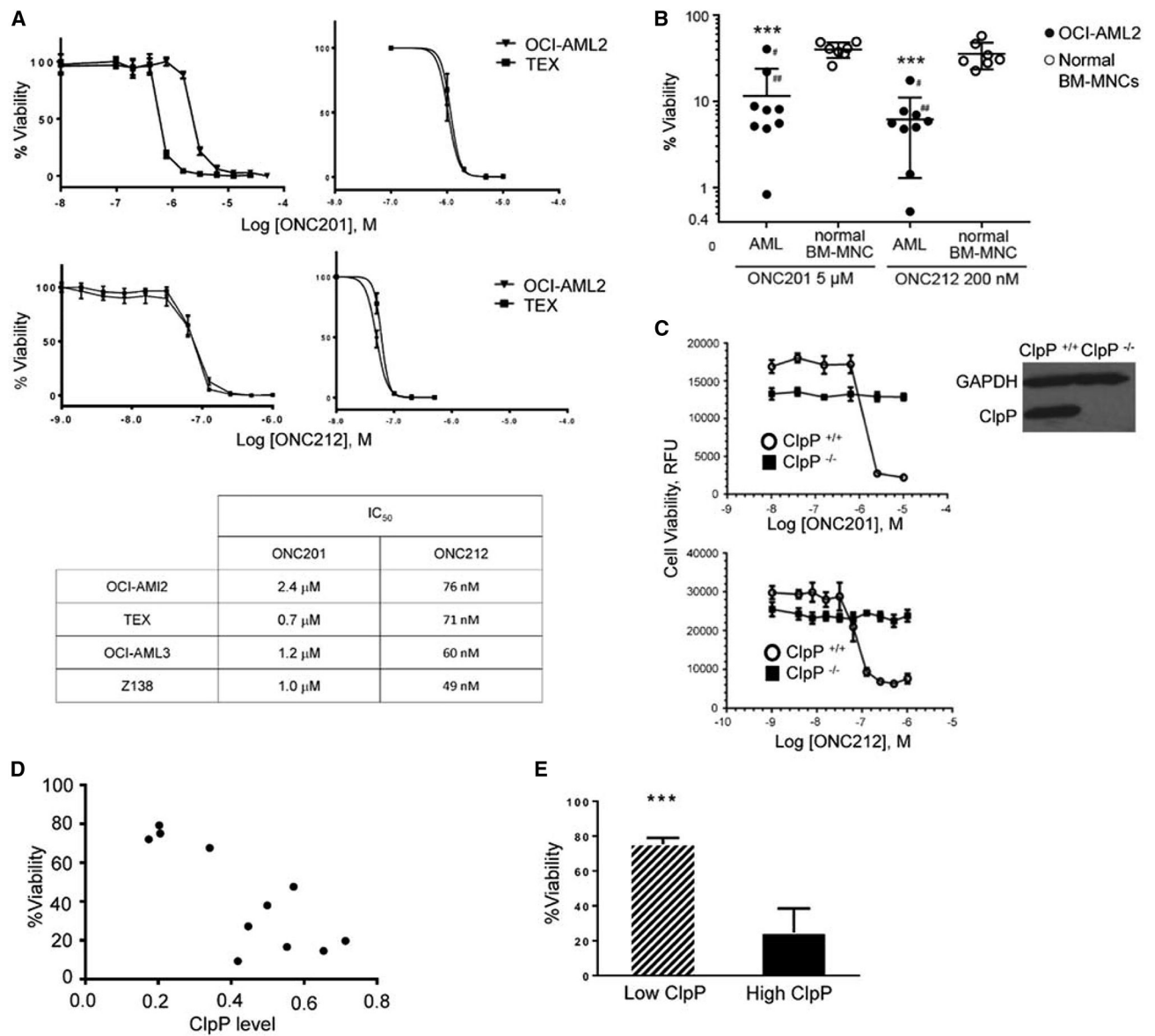
**Figure 3. The imipridones bind to ClpP and are cytotoxic to leukemia and lymphoma cells.**  
 (A) Isothermal calorimetry binding experiment of 100  $\mu\text{M}$  ONC201 titrated into 20  $\mu\text{M}$  ClpP (concentration of ClpP monomer).  
 (B) The co-crystallography result focusing on the hydrophobic pocket between two ClpP subunits bound to ONC201. Hydrogen bonds are indicated by dashed lines and water molecule mediating hydrogen bonding as a red sphere.  
 (C) Top (top) and side (bottom) views of human apo-ClpP (grey; PDB-ID: 1TG6) and its ONC201 complex (blue) in surface representation; the ONC201 molecule is shown in magenta. Insert: ONC201 molecule in stick representation against ClpP surface.  
 (D) Apo-ClpP (left) and its ONC201 complex (right) color-coded according to residue B-factors (low to high – blue to red). Insert color coding: carbon in green (ligand) and white (protein), nitrogen in dark blue, oxygen in red.  
 (E) Cross-section through the human ONC201-complexed ClpP tetradecamer; position of side pores are indicated by black triangles. Close up of the pore (insert) between chains C (bottom left), D (bottom right) and symmetry-related chain K (top). Protein chains are indicated by ribbon colored based on residue B-factors (blue to red – low to high; protein surface in shades of gray).  
 (F) Close-up of the ONC201 molecule in stick representation.  
 (G) Western blots for ClpP and  $\beta$ -actin treated with ONC201 (0, 1.25, 2.5, 5, 10, 20  $\mu\text{M}$ ) and ONC212 (0, 0.019, 0.039, 0.078, 0.156, 0.312, 0.625, 1.25, 2.5, 5  $\mu\text{M}$ ).  
 (H) Western blots for ClpP and  $\beta$ -actin after washing for 15, 30, 45, 60, and 75 minutes.

(F) Model of ONC212-binding to ClpP. The ligand is displayed as sticks and the surrounding protein is shown in surface representation. Color coding as in panel C but carbon in yellow (ligand); fluorine in light blue.

(G) Concentration-dependent effects of treatment with ONC201 and ONC212 on thermal stability of endogenous ClpP in OCI-AML2 cells assessed using cellular thermal shift assays (CETSA). UHC: unheated control.

(H) Effect of ONC201 removal from media on thermal stability of endogenous ClpP in intact OCI-AML2 cells. ONC201 (10 mM) treated cells were washed with PBS and re-incubated in fresh medium for up to 75 min prior to CETSA. w = wash.

See also Figure S3.



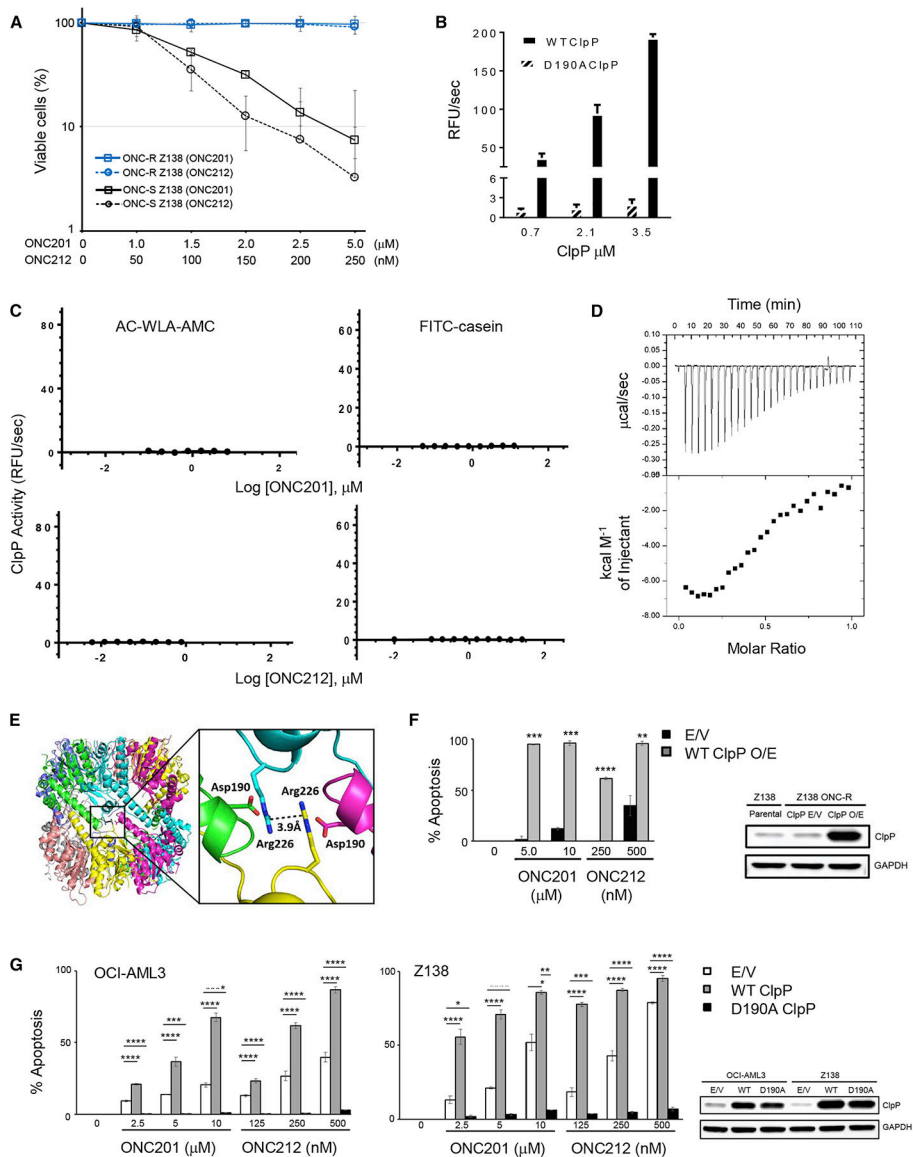
**Figure 4. ClpP activation by imipridones kills malignant cells through a ClpP-dependent mechanism.**

(A) Effects of ONC201 and ONC212 on viability of OCI-AML2, TEX, OCI-AML3 and Z138 cells. Data represent percent mean  $\pm$  SD viable cells measured by alamar blue assay in OCI-AML2, TEX cells, or by annexin V assay in OCI-AML3 and Z138 cells after a 72-hr period of exposure to the drugs. (B) Changes in live cell number by ONC201 and ONC212 compared to untreated controls in primary AML and normal bone marrow mononuclear cells (BM-MNC). Cells were treated with ONC201 and ONC212 at indicated concentrations for 72 hr. Annexin V- and DAPI-negative cells were measured by flow cytometry and normalized to that in untreated controls. Data represent mean  $\pm$  SD. #, ##: samples that were relatively resistant to ONC201.

(C) Effects of ONC201 and ONC212 on viability in ClpP<sup>+/+</sup> and ClpP<sup>-/-</sup> T-REx HEK293 cells and protein expression of ClpP. Data represent percent mean  $\pm$  SD viable cells measured by alamar blue assay after a 72-hr period of exposure to the drugs.

(D) Correlation between pretreatment expression level of ClpP and the effects of ONC201 on viability of primary AML samples measured by annexin V assay after a 72-hr period of exposure to the drug (D).  $R = -0.82$ ,  $p = 0.003$ . ClpP levels were quantified by immunoblot analysis of untreated samples.

(E) The correlation in (D) is plotted by dichotomizing the ClpP expression. Low ClpP = samples with ClpP levels that were 1 SD below average. High ClpP = all other samples. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars). \*\*\* $p < 0.001$ . See also Figure S4 and Tables S1 and S2.



**Figure 5. Cytotoxicity of imipridones is ClpP-dependent.**

(A) Sensitivity of ONC201-sensitive and ONC201-resistant Z138 to ONC201 and ONC212 was assessed by Annexin V assays. Data represent percent mean of triplicate samples  $\pm$  SD (error bars) for viable (annexin V and PI double negative) cells.

(B) Effects of WT and D190A-ClpP on degradation of fluorogenic AC-WLA-AMC. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars).

(C) Effects of ONC201 and ONC212 on degradation of the fluorogenic substrates AC-WLA-AMC and FITC-casein by D190A ClpP. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars).

(D) ITC data for ONC201 (100  $\mu\text{M}$ ) titrated into D190A-ClpP (20  $\mu\text{M}$ ; concentration of ClpP monomer).

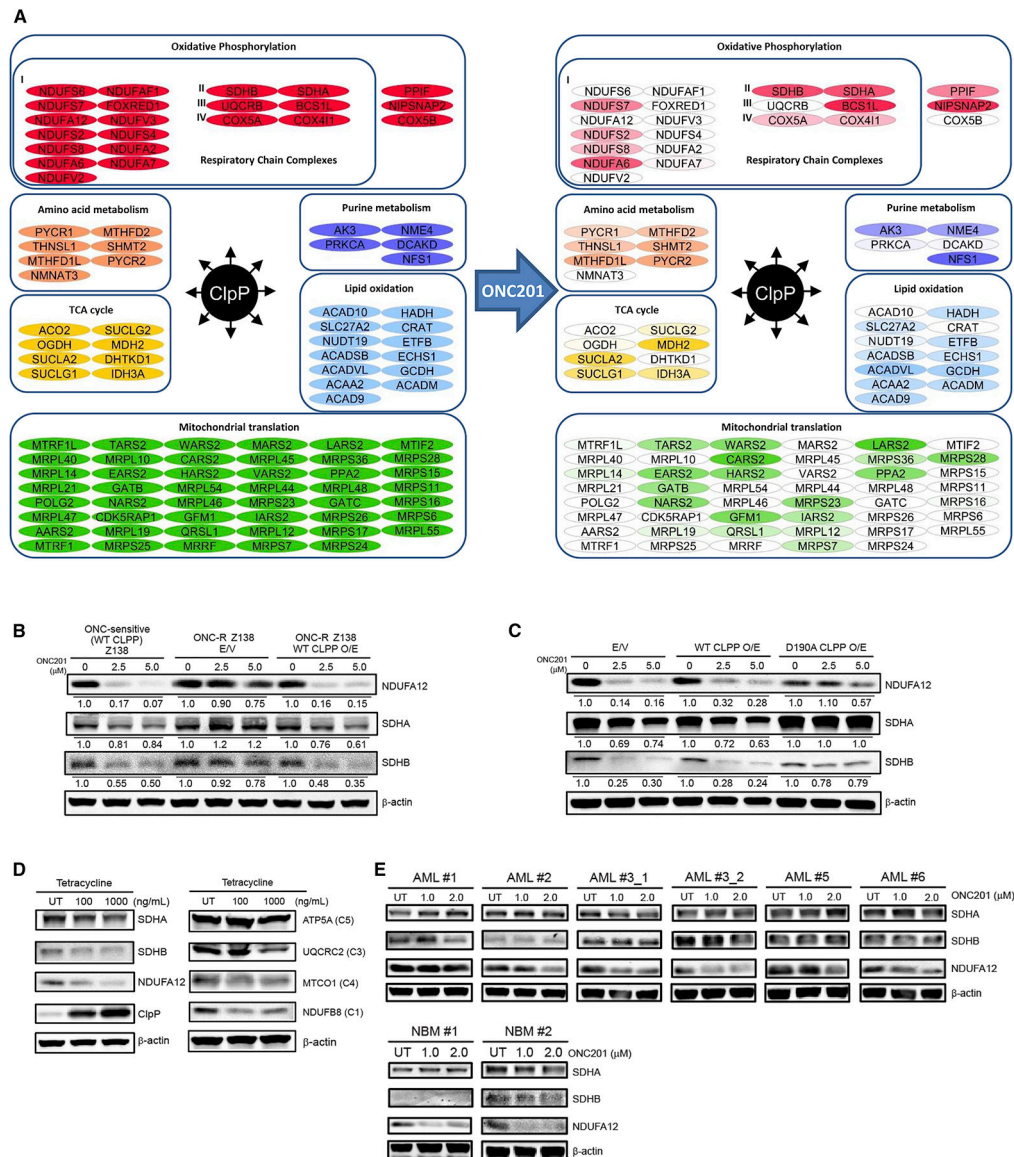
(E) The location of D190 and R226 at the interface of two heptamer rings in an apparently closed conformation of human mitochondrial ClpP.

(F) Apoptosis induction by imipridones when WT ClpP is overexpressed (O/E) in ONC-R Z138 cells carrying D190A mutant ClpP. Cells were treated with ONC201 and ONC212 at indicated concentrations for 72 hr. E/V; empty vector as control. Protein expression levels of ClpP was assessed by immunoblotting.

(G) Apoptosis induction by imipridones when WT or D190A-ClpP is overexpressed (O/E) in parental Z138 and OCI-AML3 cells. Cells were treated with ONC201 and ONC212 at indicated concentrations for 72 hr. Protein expression levels of ClpP were assessed by immunoblotting.

The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars) for annexin V-positive cells. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ . (F-G) See also Figure S5, S6.



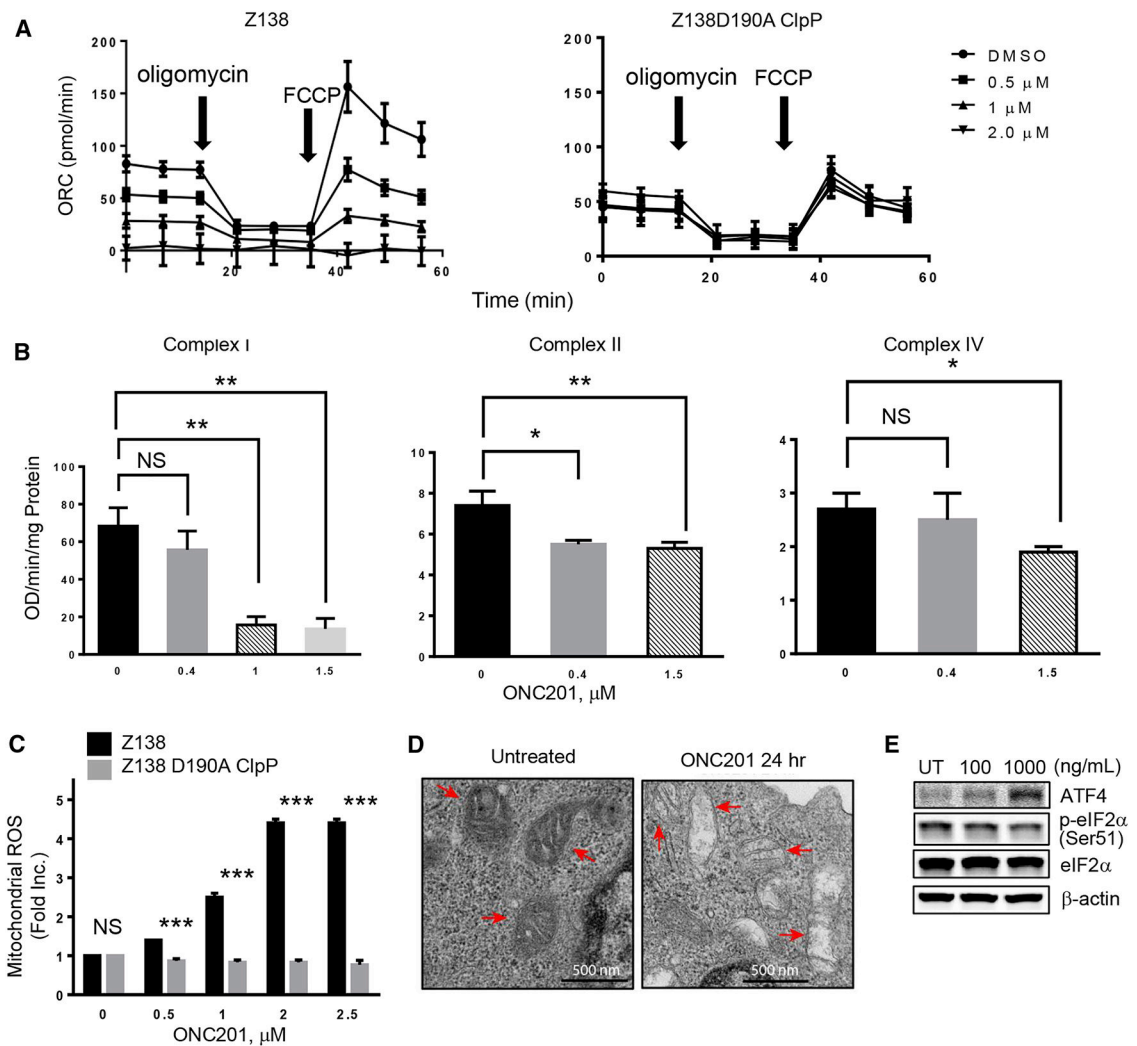


**Figure 6. ClpP hyperactivation induces apoptosis following reduction of respiratory chain complex subunits.**

(A) A subset of ClpP mitochondrial interactors was identified using BioID-MS and categorized according to selected gene ontology biological processes. Decreases in spectral counts following ONC201 treatment are illustrated and proportional to the decreases in color intensity.

(B-E) Immunoblot analysis of respiratory chain complex subunits in parental (ONC-sensitive) Z138 cells and ONC-R Z138 cells (the clone #2) with over-expression of WT ClpP or an empty vector (B); in parental Z138 cells with over-expression of WT ClpP, D190A mutant ClpP, or an empty vector. (C); in Z138 cells with tetracycline-inducible Y118A mutant ClpP (D); and in primary AML cells and normal bone marrow (NBM) cells (E). AML#3\_1 and #3\_2 are from the same patient but at different time points in relapse. Cells were treated with ONC201 at indicated concentrations for 24 hr. See also Figure S7 and Table S3.





**Figure 7. ClpP hyperactivation by ONC201 impairs oxidative phosphorylation.**

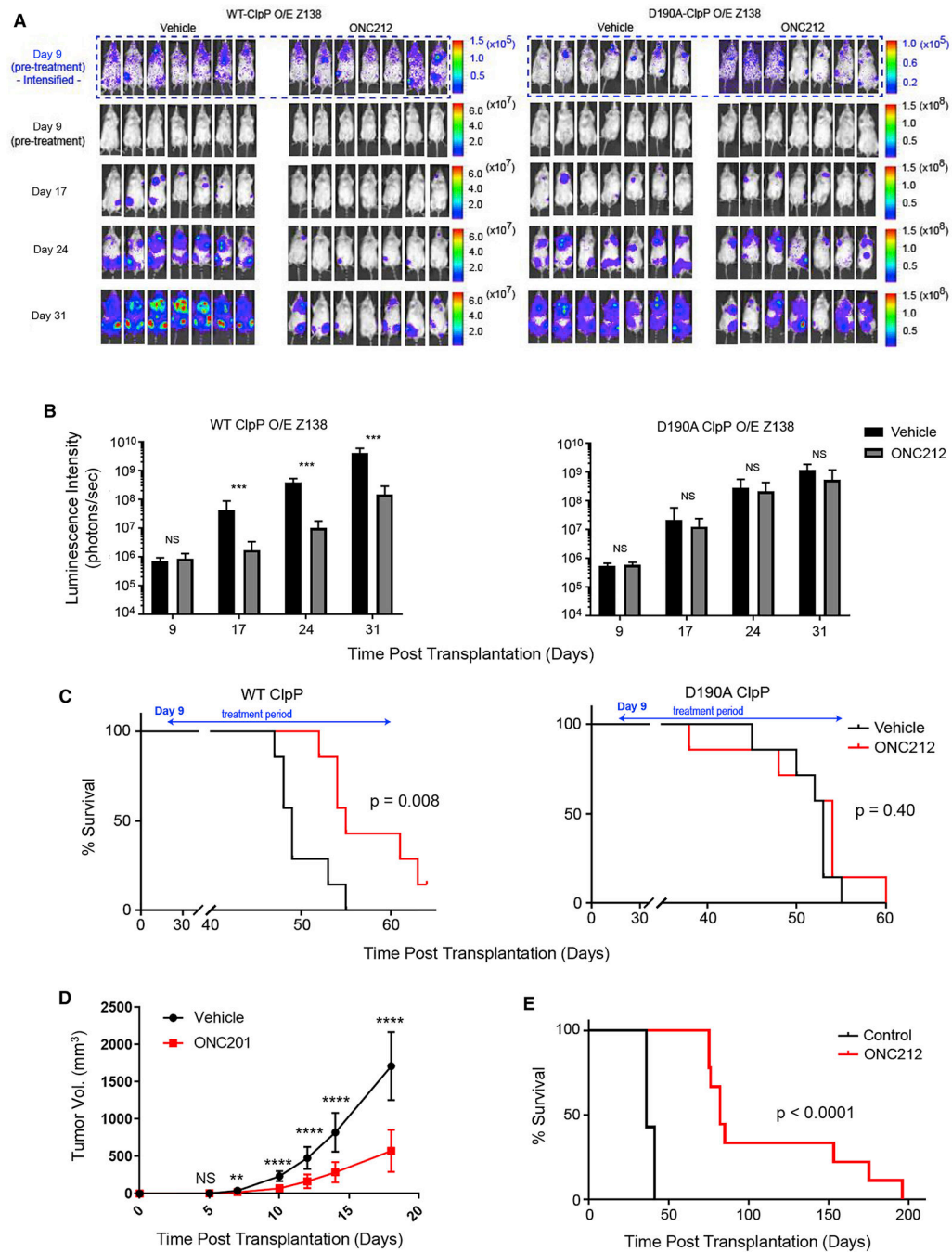
(A) Effect of ONC201 on oxygen consumption rate (OCR) per  $1 \times 10^5$  cells in Z138 and Z138 D190A ClpP cells (measured by Seahorse Analyzer). The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars).

(B) Effects of ONC201 treatment on activity of respiratory chain complexes I, II, and IV in OCI-AML2 cells. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars). \* $p < 0.05$  and \*\* $p < 0.01$ .

(C) Effect of ONC201 treatment on mitochondrial ROS production in Z138 and Z138 D190A ClpP cells. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars). \*\*\* $p < 0.001$ .

(D) A transmission electron microscopy image of mitochondria in OCI-AML3 cells treated with or without 5 mM ONC201 for 24 hr.

(E) Immunoblot of ATF4, p-eIF2 $\alpha$  and eIF2 $\alpha$  in Z138 cells with tetracycline-inducible Y118A ClpP treated with tetracycline for 48 hr at indicated concentrations.



**Figure 8. ClpP activation exerts anti-tumor effects *in vivo*.**

(A) Tumor burden measured by luciferase activity using IVIS imaging in xenograft mice with WT or D190A-mutant ClpP overexpressing Z138 cells treated with ONC212 (50 mg/kg every other day, oral gavage) or vehicle (n = 7 each) after confirming engraftment. Color scale bars represent relative luminescence intensity (photons/sec).

(B) Intensities of luminescence detected by IVIS imaging in the mice in Figure 6A. The results are expressed as the mean value of triplicate samples  $\pm$  SD (error bars). \*\*\*p < 0.001.

(C) Survivals of xenograft mice using Z138 cells over-expressed with WT or D190A ClpP.

(D) Tumor volumes of xenograft mice using OCI-AML2 cells treated with ONC201 (100 mg/kg twice daily, oral gavage) or vehicle (n = 10 each) from 5 days after transplantation for 13 days. The results are expressed as the mean value of n = 10 ± SD (error bars). \*\*p < 0.01 and \*\*\*p < 0.001.

(E) Survivals of NSG mice injected with PDX cells [t(9;11)(p22; q23), *CEBPA* and *ATM* mutants] treated with or without 250 nM ONC212 for 36 hr (n = 10 each).

See also Figure S8.

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## KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Antibodies</b>		
Antibody Cocktail	Abcam, Cambridge, UK	ab1110413
Anti-SDHA	Abcam, Cambridge, UK	ab14715
Anti-AIF4	Cell Signaling, Danvers, MA	11815 D4B8
Anti-eIF2a	Cell Signaling, Danvers, MA	9722
Anti-phospho-eIF2a (S51)	Abcam, Cambridge, UK	ab32157, E90
Anti-ClpP	Abcam, Cambridge, UK	ab124822
Anti-P-actin	Millipore-Sigma, Darmstadt, Germany	A5316, AC-74
Anti-GAPDH	Cell Signaling, Danvers, MA	2118, 14C10
Total OXPPOS Rodent WB Antibody Cocktail	Abcam, Cambridge, UK	ab110413
Anti-SDHB	Abcam, Cambridge, UK	ab14714
Anti-NDUFA12	Abcam, Cambridge, UK	ab192617
Anti-NDUFB8	Abcam, Cambridge, UK	Ab152558, ab110242
Anti-UQCRC2 antibody	Abcam, Cambridge, UK	Ab14745
Anti-MTC01	Abcam, Cambridge, UK	Ab14705
Anti-CS	Abcam, Cambridge, UK	ab129095
Anti-ClpP	Millipore-Sigma, Darmstadt, Germany	HPA010649, ab 126102
<b>Chemicals, Peptides, and Recombinant Proteins</b>		
ONC201	Oncocetics, Philadelphia, PA	NA
ONC212	MedChemExpress, Monmouth Junction, NJ	HY-15615A
ONC201 isomer (inactive analog)	Oncocetics, Philadelphia, PA	NA
DMSO	MedChemExpress, Monmouth Junction, NJ	HY-15615
FCCP (Seahorse XFp Cell Energy Phenotype Test Kit)	Millipore-Sigma, Darmstadt, Germany	D2650
Penicillin-Streptomycin	Agilent Technologies, INC., Santa Clara, CA	103275-100
cComplete™, Mini Protease Inhibitor Cocktail	ThermoFisher, Waltham, MA	15140122
Protease Inhibitors	Millipore-Sigma, Darmstadt, Germany	11836153001
PBS	ThermoFisher, Waltham, MA	78425
Antimycin A	Corning, Corning, NY	21-040-CV
	Millipore-Sigma, Darmstadt, Germany	A8674

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Hygromycin B	Bioshop Canada Inc., Burlington, ON	HYG005
Tryptone	BioShop Canada Inc., Burlington, Canada	TRP402
Yeast Extract	BioShop Canada Inc., Burlington, Canada	YEX555
Luria-Bertrani Broth	Wisent Bioproducts, ST-BRUNO, Quebec Canada	809-060-CL
Kanamycin	BioShop Canada Inc., Burlington, Canada	KAN201
Isopropyl- $\beta$ -D-thio-B-D-galactopyranoside (IPTG)	BioShop Canada Inc., Burlington, Canada	IPT002
DL-Dithiothreitol (DTT)	BioShop Canada Inc., Burlington, Canada	DTT002
HisPur™ Ni-NTA Res	ThermoFisher, Waltham, MA	88222
Triton X-100	BioShop Canada Inc., Burlington, Canada	TRX777
Oligomycin (Seahorse XFp Cell Energy Phenotype T est Kit)	AGILENT TECHNOLOGIES, INC. Santa Clara, CA	103275-100
$\beta$ -Mercaptoethanol	ThermoFisher, Waltham, MA	21985-023
Bromophenol Blue	Millipore-Sigma, Darmstadt, Germany	114391
Human Flt3-Ligand, premium grade	IL-Miltenyi Biotec, San Diego, CA	130-096-479
Human IL-7, premium grade	Miltenyi Biotec, San Diego, CA	130-095-363
Recombinant Human IL-3 Protein	R&D Systems, Minneapolis, MN	203-IL
Human IL-6, premium grade	Miltenyi Biotec, San Diego, CA	130-093-932
G-CSF (Neupogen)	Amgen Inc.	Thousand Oaks, CA
Human GM-CSF, premium grade	Miltenyi Biotec, San Diego, CA	130-093-866
Tetracycline	Millipore-Sigma, Darmstadt, Germany	D9891
Annexin V APC	ThermoFisher, Waltham, MA	A35110
Annexin V FITC	Beckman Colter, Brea, CA	IM3546
Propidium Iodide (PI)	Millipore-Sigma, Darmstadt, Germany	P4170
FBS	Millipore-Sigma, Darmstadt, Germany	F2442
RPMI 1640	Corning, Corning, NY	10-040-CV
IMDM	ThermoFisher, Waltham, MA	I6529
Luciferin	Gold biotechnology, St. Louis, MO	LUCK
Untagged human mitochondrial ClpP (ClpP)	(Wong et al, 2018)	N/A (produced in house)
Yeast SUMO protease	(Lee et al., 2008)	N/A (produced in house)
$\alpha$ -Casein	Millipore-Sigma, Darmstadt, Germany	C6780
Glycerol	Millipore-Sigma, Darmstadt, Germany	G5516
Glycerol	Millipore-Sigma, Darmstadt, Germany	G5516

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Imidazole	BioShop Canada Inc., Burlington, Canada	Cat#GLY002
Glycerol		
Imidazole		
NaCl	BioShop Canada Inc., Burlington, Canada	IMD508
Glycerol		
Imidazole		
NaCl		
Tris Hydrochloride	BioShop Canada Inc., Burlington, Canada	SOD001
Glycerol		
Imidazole		
NaCl		
Tris Hydrochloride		
HCl	BioShop Canada Inc., Burlington, Canada	TRS004
Glycerol	BioShop Canada Inc., Burlington, Canada	HCL333
Imidazole		
NaCl		
Tris Hydrochloride		
HCl		
BisTris	BioShop Canada Inc., Burlington, Canada	BST666
KCl	BioShop Canada Inc., Burlington, Canada	POC308
Sodium Acetate	BioShop Canada Inc., Burlington, Canada	SAA555
MgCl2	Millipore-Sigma, Darmstadt, Germany	M1028
DMSO	Millipore-Sigma, Darmstadt, Germany	CAS 67-68-5
Creatine phosphate	Millipore-Sigma, Darmstadt, Germany	10621714001
Creatine kinase	Lee Biosolutions, Maryland Heights, USA	190-10
Tween 20	Millipore-Sigma, Darmstadt, Germany	P1379
PEG4000	Millipore-Sigma, Darmstadt, Germany	CAS 25322-68-3
Recombinant Human SCF Protein (TEX)	R&D Systems, Minneapolis, MN	255-sc/cf
L-Glutamine 200mM (TEX)	ThermoFisher Scientific	25030081
L-Glutamine	ThermoFisher, Waltham, MA	21051024
MitoSox Red	ThermoFisher, Waltham, MA	M36008

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Ac-Trp-Leu-Ala-AMC (Ac-WLA-AMC)	Boston Biochem	S-330 lot 10066817
Ac-Phe-hArg-Leu-ACC	Life Technologies Inc.	PEP97M0DMM
FITC-casein	Millipore-Sigma, Darmstadt, Germany	C0528
Clptide	Biomatik Corp., Cambridge, Canada	511266
Mca-Pro-Leu-Gly-Pro-D-Lys(Dnp)-OH	Bachem Americas, Inc. Torrance, USA	4027687
Seahorse Assay Medium	AGILENT TECHNOLOGIES, INC. Santa Clara, CA	102365-100
Sodium uccinate	Millipore-Sigma, Darmstadt, Germany	14160-100G
HEPES	Bioshop	HEP001.1
bovine serum albumin	Millipore-Sigma, Darmstadt, Germany	A3803-10G
Rotenone	Millipore-Sigma, Darmstadt, Germany	R8875-1G
KCN	Millipore-Sigma, Darmstadt, Germany	60718-25G
2,6-dichloroindophenol	Millipore-Sigma, Darmstadt, Germany	D1878-5G
Decylubiquinone	Millipore-Sigma, Darmstadt, Germany	D7911-10mg
ferrityochrome c	Millipore-Sigma, Darmstadt, Germany	C7752
dodecyl-D-maltoside	Millipore-Sigma, Darmstadt, Germany	D4641
L-ascorbic acid	Millipore-Sigma, Darmstadt, Germany	A5960-25G
Sodium Malonate	Millipore-Sigma, Darmstadt, Germany	63409-100G
<b>Primers</b>		
<i>CLPP</i> cDNA fwd	Integrated DNA Technologies, Coralville, USA	5'- ACTGAATTCGCCAC CATGTGGCCCGAA TATTGGT-3'
<i>CLPP</i> cDNA rev	Integrated DNA Technologies, Coralville, USA	5'- ATCGGATCCTCTCAG GTGCTAGCTGGGAC- 3'
InFusion <i>CLPP</i> fwd	Integrated DNA Technologies, Coralville, USA	5'- TAGAGCTAGCGAATT GCCACCATGTGGCC CGGAATATT-3'
InFusion <i>CLPP</i> rev	Integrated DNA Technologies, Coralville, USA	5'- CGGCGGCCGCGGAT CTCAGGTGCT AGCTGGGACAG-3'
<i>CLPP</i> mut D190A fwd	Integrated DNA Technologies, Coralville, USA	5'- GGGCAAGCCACAG CCATTGCCATCCAGG CAG-3'



REAGENT or RESOURCE	SOURCE	IDENTIFIER
<i>CLPP</i> mut D190A rev	Integrated DNA Technologies, Coralville, USA	5'- CTGCCTGGATGGCA ATGGCTGTGGCTTG GCCC-3'
<i>CLPP</i> 890 rev seq	Integrated DNA Technologies, Coralville, USA	5'- GGCTCATCCTCACCG TCCTG-3'
<i>CLPP</i> 540 rev seq	Integrated DNA Technologies, Coralville, USA	5'- GATGTACTGCATCGT GTCTG-3'
<b>Critical Commercial Assays</b>		
Alamar-Blue Assay	ThermoFisher Sci	DAL1025
DNA Fingerprinting (Promega Powerplex 16 HS kit)	Promega, Madison, WI	DC2101
Complex I Enzyme Activity Microplate Assay Kit	Abcam, Cambridge, UK	ab109721
<b>Deposited Data</b>		
X-ray structure: human mitochondrial ClpP in complex with ONC201	This paper	PDB: 6DL7
X-ray structure: ClpP in complex with ADEP-28	(Wong et al., 2018)	PDB: 6BBA
Mass spectrometry analysis (related to Figure 5A)	MassIVE archive ( <a href="https://massive.ucsd.edu">massive.ucsd.edu</a> )	MSY000082381
RNA-seq dataset of Z138 cells	Sequence Read Archive (SRA)	SUB4176298
<b>Experimental Models: Cell Lines</b>		
Z138 Cells	ATCC, Manassas, VA	NA
OCI-AML2	Lab stock	NA
OCI-AML3	DSMZ, Braunschweig, Germany	NA
TEX Cells	Ontario Cancer Institute, Toronto, Canada	Gift
T-REx HEK293	CECAD Research Center, Cologne Germany	Gift
HEK293T Cells	ATCC, Manassas, VA	NA
HCT116	Lab stock	NA
OC316	Lab stock	NA
SUM159	Lab stock	NA
<b>Experimental Models: Organisms/Strains</b>		
NSG Mice	The Jackson Laboratory, Bar Harbor, ME	NA
<i>E. coli</i> BL(DE3) <i>Ac/pP::cat</i> (SG1146)	(Kimber et al., 2010)	NA
Human Primary Cells	This paper	NA
<b>Recombinant DNA</b>		

REAGENT or RESOURCE	SOURCE	IDENTIFIER
pETSUMO	(Lee et al., 2008)	N/A
pETSUMO2-CLPP(-MTS)	(Wong et al., 2018)	N/A
<b>Software and Algorithms</b>		
GraphPad Prism 7	GraphPad, Inc. La Jolla, CA	NA
CCP4 Suite	(Winn et al., 2011)	<a href="http://www.ccp4.ac.uk/">http://www.ccp4.ac.uk/</a>
XDS	(Kabsch, 2010)	
PHENIX Software Package	(Adams et al., 2010)	<a href="https://www.phenix-online.org/">https://www.phenix-online.org/</a>
Phaser	(McCoy et al., 2007)	<a href="https://www.phenix-online.org/">https://www.phenix-online.org/</a>
Coot	(Emsley and Cowtan, 2004)	<a href="https://www2.mrc-lmb.cam.ac.uk/personal/pemsley/coot/">https://www2.mrc-lmb.cam.ac.uk/personal/pemsley/coot/</a>
PyMol	(DeLano, 2002)	<a href="https://pymol.org/2/">https://pymol.org/2/</a>
Rnaseqmut		<a href="https://github.com/davidhwei/maseamut">https://github.com/davidhwei/maseamut</a>
Origin7 MicroCal Analysis Software	Malvern, Malvern, UK	NA
ANNOVAR	(Wang et al., 2010)	
Proteowizard	ProteoWizard release: 3.0.10800 (2017-4-27)	<a href="http://proteowizard.sourceforge.net/">http://proteowizard.sourceforge.net/</a>
X!Tandem	X! TANDEM Jackhammer TPP (2013.06.15.1 - LabKey, Insilicos, ISB)	<a href="https://www.theapm.org/tandem/">https://www.theapm.org/tandem/</a>
Comet	Comet version "2014.02 rev. 2"	<a href="http://comet-ms.sourceforge.net/">http://comet-ms.sourceforge.net/</a>
SAINT	SAINT Version:2.5.0, Express Version:exp3.6.1	<a href="http://prohitsms.com">http://prohitsms.com</a>
Cytoscape	Cytoscape 3.6.0	<a href="http://www.cytoscape.org">http://www.cytoscape.org</a>