

Disease Focus

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Angelman Syndrome, a Genomic Imprinting Disorder of the Brain

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Introduction

Harry Angelman, an English pediatrician, reported three cases of “Puppet Children” in 1965 (Angelman, 1965). These individuals displayed severe intellectual disability, ataxia, absent speech, jerky arm movements and bouts of inappropriate laughter. More cases were described as “Happy Puppet” syndrome (Bower and Jeavons, 1967), and additional consensus diagnostic criteria for what is now recognized as Angelman syndrome (AS) include microcephaly, seizures, EEG abnormalities, and hyperactivity (Williams et al., 2006). The current understanding of the clinical characteristics of AS children and adults has been summarized previously (Clayton-Smith and Laan, 2003; Dan, 2009; Van Buggenhout and Fryns, 2009).

Mapping of the AS genetic locus to the long arm of chromosome 15 between bands q11 and q13 (15q11-q13) was first reported in 1987 (Magenis et al., 1987) and confirmed in additional patients displaying chromosome 15q11-q13 deletions (Knoll et

al., 1989). AS was only observed when the deletion occurred on the copy of chromosome 15 that was inherited from the mother (Knoll et al., 1989). This observation was remarkable because it had been previously found that a deletion of 15q11-q13 of the chromosome inherited from the father causes Prader-Willi syndrome (PWS), a disorder characterized by hyperphagia and obesity (Butler and Palmer, 1983). The intriguing observation that the 15q11-q13 deletion caused an entirely different disease depending on whether it was transmitted by the mother or the father was explained the discovery in 1989 that chromosome 15q11-q13 is subject to genomic imprinting (Nicholls et al., 1989), an epigenetic process whereby expression is allele-specific, i.e., one of the two parental copies of a gene is silenced.

The specific gene in 15q11-q13 that causes AS encodes ubiquitin protein ligase E3A (UBE3A). UBE3A is also known as E6-associated protein (E6-AP) because it was originally discovered as a binding partner of the E6 protein of the human papilloma virus (Huibregtse et al., 1991, 1993). The relationship between genomic imprinting and UBE3A is illustrated in Figure 1. In non-neuronal tissues of normal individuals, UBE3A is expressed from both the chromosome 15 that is inherited from the father (blue) and the chromosome inherited from the mother (magenta). In neurons, the paternal copy (allele) of UBE3A is silenced by the process of genomic imprinting. As a result, only the maternal UBE3A allele is ex-

pressed in neurons from normal individuals (Albrecht et al., 1997; Rougeulle et al., 1997; Vu and Hoffman, 1997) (Fig. 1). In AS, the maternal UBE3A allele is absent or inactivated and, as a result, there is no active copy of UBE3A in neurons.

Loss of the active maternal UBE3A allele in AS is caused, in the majority of cases (~75%), by maternal deletion of the chromosome 15q11-q13 region that contains UBE3A (Lossie et al., 2001). Another 20% of AS cases result from mutations of the maternal UBE3A allele (Kishino et al., 1997; Matsuura et al., 1997). The remainder result from uniparental disomy (the inheritance of two paternal and no maternal chromosomes 15) or from imprinting defects (see below). There are also cases of AS for which no molecular abnormality has been detected.

Genomic imprinting and Angelman syndrome

Genomic imprinting refers to a process whereby the maternal copy of a gene can be marked or “imprinted” differently than the paternal copy of the same gene (Reik and Walter, 2001). The imprinting process marks a relatively small number (100–200) of human genes and occurs in the germline. During the early stages of oogenesis, the paternal mark/imprint on a gene (coming from the father's chromosome) is erased and a maternal imprint is then placed on the gene. In this way, both gene copies in the egg are properly marked as maternal. Conversely, in spermatogenesis, the maternal imprint of a gene on the

Received April 5, 2010; revised June 9, 2010; accepted June 15, 2010.

We are grateful to Drs Richard Mains, Elizabeth Eipper and Eric Levine for their careful reading of the manuscript. Sources of additional information are as follows: Angelman syndrome Foundation (www.angelman.org/), National Institute of Neurological Disorders of the National Institutes of Health (www.ninds.nih.gov/disorders/angelman/angelman.htm), Department of Pediatrics, University of Florida (http://www.peds.ufl.edu/divisions/genetics/programs/angelman_syndrome.htm), and Genomic imprinting, Medical Research Council, Harwell, UK (http://www.har.mrc.ac.uk/research/genomic_imprinting/).

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DOI:10.1523/JNEUROSCI.1728-10.2010

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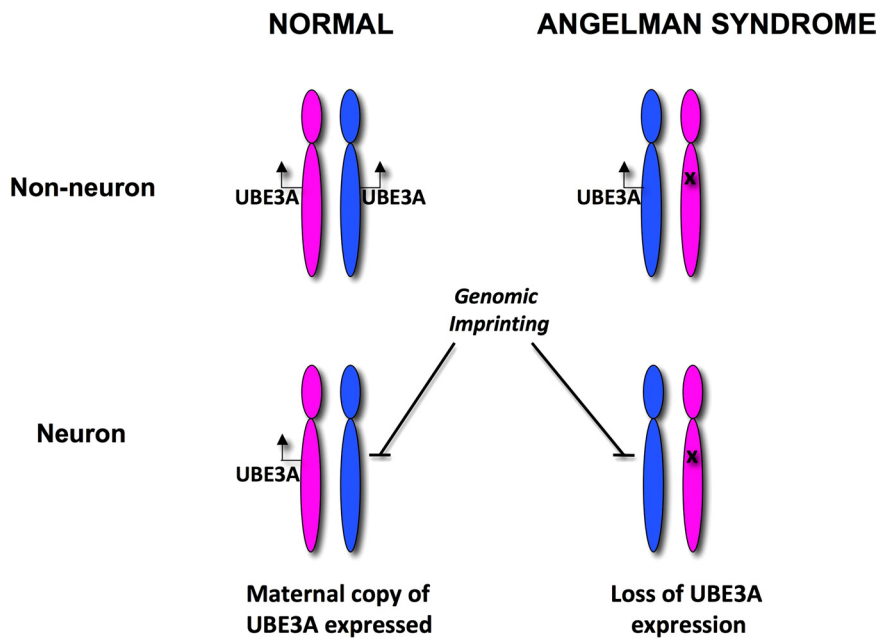


Figure 1. In normal individuals, *UBE3A* is expressed from both the paternal (blue) and maternal (magenta) chromosomes 15 in most tissues. In neurons, the paternal *UBE3A* allele is silenced by the process of genomic imprinting. As a result, only the maternal *UBE3A* allele is expressed in neurons from normal individuals. In the case of AS, the maternal *UBE3A* allele is absent (deletion) or inactivated (mutation) and only the paternal allele is expressed in most tissues. *UBE3A* is not expressed in AS brain.

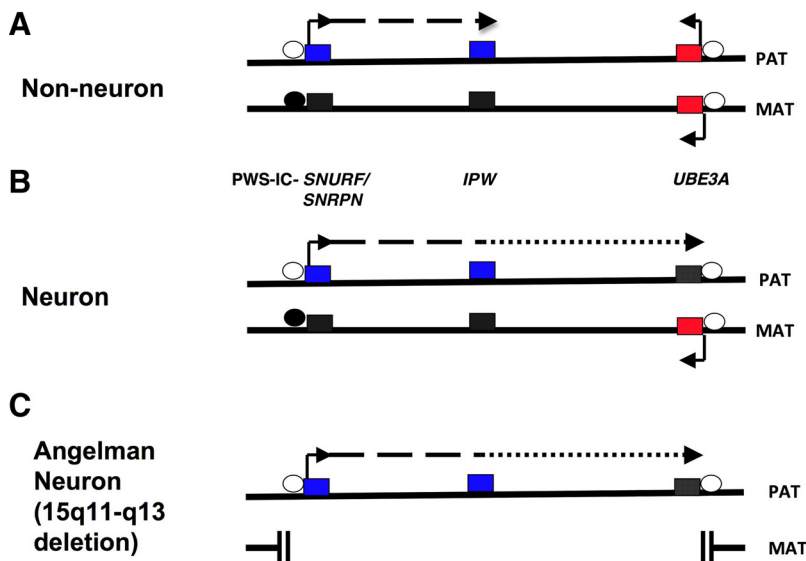


Figure 2. Genomic imprinting of chromosome 15q11-q13 and epigenetic silencing of *UBE3A* in neurons. **A**, In non-neuronal tissues, both parental *UBE3A* alleles are expressed (red-filled boxes). The paternally expressed alleles (blue-filled boxes) of *SNURF/SNRPN* and *IPW* loci and the corresponding silent maternal alleles (black-filled boxes) are under the control of the PWS-IC. The PWS-IC, located within the *SNURF/SNRPN* promoter, is a CG-rich cluster that is differentially methylated: the paternal chromosome is not methylated (open circle), while the maternal copy is fully methylated (black-filled circle). The CG-rich *UBE3A* promoter is not methylated on either parental chromosome. The 3'-end of the paternal *SNURF/SNRPN* transcript is a long noncoding RNA of several hundred kilobases and incorporates *IPW*. **B**, In neurons, the paternal long noncoding RNA extends to and overlaps *UBE3A* as an antisense (*UBE3A-ATS*) with concomitant silencing of the paternal *UBE3A* allele (black-filled box). The transcriptional silencing of the paternal *UBE3A* allele in neurons is not associated with DNA methylation of the *UBE3A* promoter but results from as yet undiscovered mechanisms. **C**, In neurons from AS 15q11-q13 deletion patients, there is no active copy of *UBE3A* due loss of the maternal allele. Only a few representative genes from the 15q11-q13 imprinted region are illustrated here.

mother's chromosome is erased and then imprinted with a paternal mark such that both gene copies in sperm carry the appropriate paternal mark.

Imprinting marks are created by covalently attaching methyl groups to DNA, within specific segments that are rich in cytosine-guanine dinucleotides (CG-rich regions). In the germline, methyl groups are attached to or removed from the CG-rich imprinting region in a paternal- or maternal-specific pattern. The CG-rich imprinting region in chromosome 15q11-q13 is a 300 bp segment (Zeschgnik et al., 1997) that is called the Prader-Willi syndrome imprinting center (PWS-IC) (Fig. 2). The maternal copy of the PWS-IC is methylated during oogenesis and thus a methylated PWS-IC is the maternal imprint mark for 15q11-q13. Absence of methylation at the PWS-IC is the imprint of the paternal 15q11-q13. Since methylation is a characteristic of transcriptionally inactive chromatin, the methylation of the maternal copy of PWS-IC represses gene expression along the maternal chromosome (i.e., in *cis*). As a result, the maternal alleles of genes within 15q11-q13 (Fig. 2*A,B*) are silenced. The paternal alleles of these genes are regulated in *cis*- by the paternal active (unmethylated) PWS-IC, and are expressed (Fig. 2*A,B*).

The PWS-IC is located in the upstream promoter region of the *SNURF/SNRPN* transcript (Sutcliffe et al., 1994; Zeschgnik et al., 1997; Bielinska et al., 2000; El-Maarri et al., 2001). The PWS-IC is one part of a bipartite imprinting regulatory element; the second component is located 35–40 kb upstream of PWS-IC and is referred to as the AS imprinting center (AS-IC) (Dittrich et al., 1996). The AS-IC appears to participate, by a yet undetermined mechanism, in the establishment of DNA methylation at the PWS-IC during oogenesis (Buiting et al., 2003). The AS-IC segment is deleted in a small number of AS cases that are termed imprinting defects. In these patients, the maternal chromosome 15 carries the paternal imprint, i.e., there is no DNA methylation of the maternal copy of the PWS-IC.

Although the PWS-IC is the master regulator of imprinting of the chromosome 15q11-q13 region, transcriptional repression of the paternal *UBE3A* allele involves additional brain-specific molecular mechanisms that remain to be conclusively elucidated (Fig. 2*B*). Brain-specific *UBE3A* imprinting does not result from parental-specific DNA methylation of the *UBE3A* promoter since both the maternal and pa-

ternal copy of the CpG-rich *UBE3A* promoter are unmethylated (Fig. 2) in all human and mouse tissues that have been examined, including human postmortem brain (Lossie et al., 2001). The observation that a noncoding antisense RNA (*UBE3A-ATS*) is paternally expressed in human brain (Fig. 2*B*) has led to the hypothesis that the paternal *UBE3A* allele is silenced by this *cis*-acting antisense transcript (Rougeulle et al., 1998; Chamberlain and Brannan, 2001; Runte et al., 2001; Yamasaki et al., 2003). The hypothesis that *UBE3A* imprinting is regulated by an antisense transcript is consistent with the finding that the imprinting of several other genes is mediated by antisense transcription (Lalande and Calciano, 2007).

UBE3A-ATS is a large (>600 kb) RNA that initiates in the PWS-IC (Fig. 2). The expression and/or processing of *UBE3A-ATS* differ between brain and other tissues. While *UBE3A-ATS* transcripts that include the *IPW* locus are detected in all tissues, it is only in neurons that *UBE3A-ATS* extends distally to overlap *UBE3A* (Fig. 2). In the case of AS, the maternal *UBE3A* allele is either absent or defective and *UBE3A* activity is lost in brain upon paternal *UBE3A-ATS* expression and concomitant epigenetic silencing of the normal paternal *UBE3A* allele (Fig. 2*C*).

There are several proposed mechanisms by which *UBE3A-ATS* could mediate the epigenetic silencing of *UBE3A* in neurons (Rougeulle and Heard, 2002; Shibata and Lee, 2004; Lalonde and Calciano, 2007). These include transcriptional interference resulting from the simultaneous occupancy of RNA polymerase complexes on the positive and negative strands, and RNA interference induced by double-stranded RNA formed between sense and antisense RNAs. The antisense silencing mechanism could also involve epigenetic alterations of the sense transcript, such as the antisense-transcription-mediated repressive chromatin conformational changes that occur at the X chromosome inactivation locus (Navarro et al., 2005).

Animal models of Angelman syndrome

The high degree of evolutionary conservation of the *UBE3A* HECT domain amino acid sequence has allowed the generation of *Drosophila* models for AS (Wu et al., 2008; Lu et al., 2009). Null mutants display a movement disorder as well as deficits in long-term memory and circadian rhythms (Wu et al., 2008). Examination of dendritic morphogenesis and function in sensory neurons of *Drosophila* *UBE3A*-null mutants revealed defects in dendritic

growth and branching and slower growth of fine terminal dendritic processes (Lu et al., 2009).

A mouse model engineered as a “knock-in” of a yellow fluorescent protein (YFP) marker into the *Ube3a* locus allowed visualization of the *UBE3A* distribution in brain (Dindot et al., 2008) and subcellularly *in vitro*. In cultured hippocampal neurons, the *UBE3A:YFP* fusion protein is found in the nucleus as well as the presynaptic and postsynaptic compartments (Dindot et al., 2008). Expression of maternally derived *UBE3A:YFP* fusion protein was observed in most brain regions, while the paternally inherited fusion protein was only faintly detected. These observations are consistent with other findings that genomic imprinting of *Ube3a* is widespread in brain (Landers et al., 2005) but are in contrast to previous reports that maternal-specific expression is observed predominantly in hippocampal neurons and cerebellar Purkinje cells (Albrecht et al., 1997; Jiang et al., 1998; Miura et al., 2002). The observation that both the paternal and maternal *UBE3A:YFP* fusion proteins are detected in glial fibrillary acidic protein (GFAP)-positive cells (Dindot et al., 2008) is a further indication that the *UBE3A* imprinting occurs in neurons and not in glial cells (Yamasaki et al., 2003).

Two mouse models of AS have been generated by targeted inactivation of *Ube3a* (Jiang et al., 1998; Miura et al., 2002). Upon inheritance of the mutation through the maternal but not the paternal germline, both mutant mouse models display several features characteristic of AS. These include microcephaly, deficits in motor function and long-term potentiation (LTP), abnormal context-dependent and spatial learning, seizures, abnormal hippocampal EEG, fast cerebellar oscillation associated with ataxia, and sleep disturbances (Jiang et al., 1998; Miura et al., 2002; Cheron et al., 2005; Colas et al., 2005; Heck et al., 2008). Defects in fluid consumption and licking observed in the mouse model (Heck et al., 2008) may recapitulate the swallowing problems and excessive drooling frequently associated with AS individuals (Williams et al., 2006). Mitochondrial dysfunction in CA1 hippocampal neurons (Su et al., 2009) and impaired adult neurogenesis (Mardirossian et al., 2009) are also observed in the mouse AS models.

In *Ube3a* knock-out mice (Jiang et al., 1998), abnormal dendritic spine development was found in cerebellar Purkinje cells as well as pyramidal neurons of the

hippocampus and cortex (Dindot et al., 2008). Reduced dendritic spine length and density in neurons of the hippocampus and visual cortex were also observed in two other studies of *Ube3a* deficiency (Yashiro et al., 2009; Sato and Stryker, 2010). Moreover, Yashiro et al. (2009) found that this phenotype was only apparent in neurons from *Ube3a*-deficient mice that were subjected to sensory experience, correlating with loss of synaptic plasticity. The findings in both the *Drosophila* and mouse models thus strongly suggest that defects in dendritic spine growth and development contribute to AS neurological deficits.

Since Jiang et al. (1998) first reported a deficit in LTP, Weeber et al. (2003) reported that the deficits in *Ube3a*-deficient mice included both NMDA receptor-dependent and -independent LTP. They attributed this to an increased level of inhibitory autophosphorylation of the α subunit of calcium/calmodulin-dependent protein kinase II (α CaMKII), and hypothesized that decreased phosphatase activity could explain this phosphorylation defect. The introduction of an α CaMKII mutation that prevents inhibitory phosphorylation into maternal *Ube3a*-deficient mice rescued the LTP deficit in hippocampal neurons, corroborating the previous findings. This α CaMKII mutation also rescued many of the behavioral defects, including motor performance on an accelerating rotarod and contextual learning in the Morris water maze test (van Woerden et al., 2007). The changes in α CaMKII phosphorylation could, however, be regional- or developmental stage-specific since the increase in phosphorylation was not detected in visual cortex (Sato and Stryker, 2010).

Reduced experience-dependent maturation of synapses in the visual cortex was also observed in maternal *Ube3a*-deficient mice (Yashiro et al., 2009). Normal rearing and dark rearing paradigms were used to elicit sensory experience, and sensory deprivation, respectively. *Ube3a*-deficient mice subjected to normal rearing conditions lost synaptic plasticity, observed as deficits in both LTP and long-term depression (LTD). This synaptic rigidity could be prevented and reversed by dark rearing. Another study using ocular dominance plasticity as an assay reported similar findings. However, this group also observed that repression of paternal *Ube3a* and a change in subcellular localization of *Ube3a* protein also occurs during the window in which experience-dependent plasticity is lost in *Ube3a*-deficient mice (Sato and Stryker, 2010).

Loss of UBE3A function and Angelman syndrome

UBE3A functions in the ubiquitin-proteasome system by ligating ubiquitin to target proteins and thereby initiating degradation of the target protein via the 26S proteasome complex. The first step in the UBE3A ubiquitination process involves activation of ubiquitin by an E1 enzyme, followed by transfer of ubiquitin via an E2 enzyme to UBE3A. UBE3A then attaches the activated ubiquitin to a target protein.

The great majority of *UBE3A* mutations that lead to AS are predicted to disrupt or delete the 350 aa C-terminal HECT (homologous to the E6-AP C terminus) domain (Lossie et al., 2001). This domain is prototypical of the HECT domain class of E3 ubiquitin-protein ligases (Scheffner et al., 1993). The terminal 100 aa region of the HECT domain is essential for ligation of the activated ubiquitin to the protein that is targeted for degradation by the ubiquitin-proteasome degradation system. Given the likelihood that the accumulation of such target proteins underlies the AS disease mechanism, there is great interest in identifying the protein targets that are ubiquitinated by UBE3A in neurons.

The first UBE3A target gene identified in brain, the Ras homolog-specific guanine exchange factor (Rho/GEF), *pebble*, was discovered in a screen of *Drosophila* heads using a human *UBE3A* transgene (Reiter et al., 2006). The mouse ortholog of *pebble*, is epithelial cell transforming sequence 2 (*Ect2*) and *pebble/Ect2* is known to play an essential role cytokinesis, and to regulate neuronal outgrowth in postmitotic cells. Reiter et al. studied *Ect2* expression by immunohistochemical staining in the brains of normal and *Ube3a* mutant mice. Expression of *Ect2* was increased and mislocalized in the CA3 region of the hippocampus in *Ube3a*-null mice with levels of *Ect2* reduced in the soma of Purkinje cells (Reiter et al., 2006).

The recent identification of activity-regulated, cytoskeletal-associated protein (ARC) as a target of ubiquitylation by UBE3A, provides a tantalizing prospect for the primary neuronal defect underlying AS (Greer et al., 2010). ARC participates in the activity-dependent trafficking of AMPA (α -amino-3-hydroxyl-5-methyl-4-isoxazole-propionate) receptors at synapses onto hippocampal neurons. Fewer AMPA receptors are present on the cell surface in stimulated neurons lacking *Ube3a*, which results in reduced AMPA receptor-mediated currents (Greer et al., 2010). These findings are

consistent with the previously observed LTP and LTD deficits in *Ube3a*-deficient mice, since ARC is important for both LTP and LTD (Shepherd et al., 2006). This finding may also explain why a mutant form of α CaMKII that is incapable of inhibitory autophosphorylation could rescue the AS phenotype in mice (van Woerden et al., 2007): increased α CaMKII activity may increase AMPA receptor function at the synaptic surface (Rose et al., 2009). However, these results are inconsistent with those of Yashiro et al., which suggest that the ratio of AMPA to NMDA receptor currents is comparable between wild type and *Ube3a*-deficient mice in the visual cortex (Yashiro et al., 2009). The apparent discrepancy in AMPA: NMDA receptor ratios could reflect regional (e.g., hippocampus vs visual cortex) or temporal (e.g., P14 vs P28) differences between the two studies. It is also not clear whether decreased AMPA receptor currents can lead to the increased inhibitory phosphorylation of α CaMKII that was observed by Weeber et al. (2003). Additional experiments will need to be done to determine whether increased levels of postsynaptic ARC can explain the phenotypic manifestations of AS.

Summary and future perspectives

UBE3A was identified as the gene responsible for AS in 1997 (Kishino et al., 1997; Matsuura et al., 1997). Since this discovery, animal models have been generated thus permitting insights into the AS disease mechanism. *Ube3a*-mutant mice share several of the phenotypic characteristics that are diagnostic of AS. These include ataxia and motor dysfunction, defects in learning and memory, abnormal EEG and sleep disturbances. The growth and development of dendritic spines are affected by loss of *UBE3A* in brains of both mouse and *Drosophila*. The recent discovery that ARC is targeted by UBE3A in dendritic spines of hippocampal neurons in an activity-dependent manner suggests that neurological defects in AS could be associated with the trafficking of AMPA receptors. It is likely that additional research will uncover other neurobiological and molecular abnormalities that underlie the AS phenotype and that, eventually, these might lead to therapies to alleviate the neurological problems of this disease. The advent of induced pluripotent stem cell reprogramming technologies should make available live human AS neurons to accelerate the discovery and validation of drug therapies (Chamberlain et al., 2008).

Normal neurological function requires appropriate regulation of *UBE3A* expression levels. While deficiency of *UBE3A* leads to AS, excess levels of *UBE3A* also result in neurological abnormalities. Over expression of *UBE3A* in *Drosophila* nervous system leads to abnormal locomotion and decreased dendritic branching in sensory neurons (Wu et al., 2008; Lu et al., 2009). In humans, duplication of the chromosome 15q11-q13 is associated with a clinically distinct syndrome characterized by delayed motor skills and language development, cognitive and learning disabilities and seizures (Battaglia, 2005). Chromosome 15q11-q13 duplication is also the most frequent cytogenetic abnormality observed in autism (Veenstra-Vanderweele et al., 2004). The increased risk of autism is associated with maternal but not paternal transmission of chromosome 15q11-q13 duplications suggesting that over expression of *UBE3A* in brain is a candidate mechanism in autism (Cook et al., 1997). In contrast, an autistic-like mouse phenotype results from paternal duplication of the homologous *Ube3a* chromosomal region (Nakatani et al., 2009). While the reason for these strikingly contradictory results remains to be resolved, it is evident that the identification molecular networks and pathways regulated by UBE3A will further our understanding of neurogenetic diseases in addition to AS.

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