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Epithelial endoplasmic reticulum stress orchestrates a protective IgA response

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Abstract

Immunoglobulin A (IgA) is the major secretory immunoglobulin isotype found at mucosal surfaces, where it regulates microbial commensalism and excludes luminal factors from contacting intestinal epithelial cells (IECs). IgA is induced by both T cell–dependent and –independent (TI) pathways. However, little is known about TI regulation. We report that IEC endoplasmic reticulum (ER) stress induces a polyreactive IgA response, which is protective against enteric inflammation. IEC ER stress causes TI and microbiota-independent expansion and activation of peritoneal B1b cells, which culminates in increased lamina propria and luminal IgA. Increased numbers of IgA-producing plasma cells were observed in healthy humans with defective autophagy, who are known to exhibit IEC ER stress. Upon ER stress, IECs communicate signals to the peritoneum that induce a barrier-protective TI IgA response.

The intestinal epithelium is continuously confronted with potentially deleterious environmental stimuli (1). These exposures and the underlying secretory burden of intestinal epithelial cells (IECs) are challenging for this cell type. Thus, endoplasmic reticulum (ER) stress and the accompanying unfolded protein response (UPR) are commonly observed in IECs under homeostasis (2) and increased in inflammatory bowel disease (IBD) (3, 4). In IBD, ER stress in the IEC can serve as a nidus for spontaneous microbiota-dependent ileitis. This can be seen in mice with an IEC-restricted deletion of the important UPR effector molecule X-box binding protein 1 (*Xbp1* ^{IEC}) (3, 5). It is unknown, however, whether IEC-associated ER stress can also elicit barrier-protective immune responses.

We observed higher numbers of immunoglobulin A–positive (IgA⁺) plasma cells (CD45⁺ CD3⁻IgA⁺B220⁻) in small-intestinal lamina propria (SI LP) and higher concentrations of ileal tissue IgA in *Xbp1* ^{IEC} mice than in littermate *Xbp1* ^{fl/fl} controls (Fig. 1, A and B, and fig. S1). Secretory IgA (sIgA), which functions to protect the mucosa by coating and entrapping commensal and colitogenic bacteria (6) and excluding intraluminal factors from IEC contact (7, 8), was also increased in the lumen (Fig. 1C). This was associated with increased circulating IgA concentrations as early as 6 weeks of age (Fig. 1D), before the emergence of spontaneous inflammation in *Xbp1* ^{IEC} mice. No other Ig isotypes were increased in the SI (fig. S2A) or sera (fig. S2B) of *Xbp1* ^{1EC} mice. The increased number of IgA⁺ cells in *Xbp1* ^{IEC} mice accumulated around SI crypts (Fig. 1, E and F), where ER stress (5, 9) and basal plasmacytosis, a feature of IBD (10), frequently occur.

Xbp1 deletion in IECs results in UPR activation, including the ER-stress sensor inositol-requiring enzyme 1 α (IRE1 α) (11). Double conditional knockout mice lacking both IRE1 α and XBP1 in IECs (*Ern1/Xbp1* ^{IEC}) showed no increase in SI IgA⁺ cell numbers compared with *Ern1/Xbp1* fl/fl controls (fig. S3), indicating that IRE1 α is an important mediator of the IgA response. We extended these observations to an inducible IEC-specific knockout of the ER-stress sensor glucose related protein 78 (GRP78) (12). *Grp78* leC mice exhibited a rapid increase in SI IgA⁺ plasma cells by 3 days after *Grp78* deletion (Fig. 1G). Conversely, treatment of *Xbp1* IEC mice with the chemical chaperone tauroursodeoxycholic acid (TUDCA) (13) reduced IEC ER stress (fig. S4) and prevented the IgA response in the SI LP (Fig. 1H) and plasma (Fig. II).

We next generated Igha^{-/-}Xbp1 ^{IEC} mice and Igha^{-/-}Xbp1^{fl/fl} controls, which lack IgA. Consistent with previous studies (3), Xbp1 IEC mice developed spontaneous ileitis, which was unchanged under conditions of IgA deficiency (Fig. 1J). However, inflammation in Igha^{-/-}Xbp1 ^{IEC} mice significantly extended proximally into the jejunum [Fig. 1K and fig. S5, histology score and hematoxylin and eosin (H&E), respectively], suggesting that IEC ER stress-induced IgA+ plasma cells protect from inflammation. Like humans with selective IgA deficiency (14), Igha^{-/-} mice exhibited a compensatory increase of LP IgM⁺ plasma cell numbers that was further increased with IEC ER stress (Igha^{-/-}Xbp1 IEC, Fig. 1L). We thus generated B cell-deficient Xbp1 IEC mice (µMT Xbp1 IEC), which lack intestinal LP plasma cells [IgA immunohisto-chemistry (IHC) images shown in fig. S6]. These animals showed no significant worsening of inflammation in either the jejunum or ileum compared with Igha^{-/-}Xbp1 ^{IEC} controls (Fig. 1K and fig. S5, histology scores and H&E, respectively), indicating that in mice, compensatory IgM did not contribute to protection. This was likely due to its relatively low concentrations compared with those of IgA (fig. S7), a reduced ability of IgM to bind several typical IgA targets (15), and/or differences in secretory IgM (sIgM) function in mice compared with that in humans (16). Furthermore, the increased numbers of IgA+ plasma cells in Xbp1 IEC mice were not due to increased concentrations of interleukin-10 (IL-10), which can be produced by B cells (17). SI tissue from $\mu MT Xbp1$ IEC and Xbp1 IEC mice or Xbp1 IEC mice crossed with an IL-10-green fluorescent protein (GFP) reporter line (Vert-X) exhibited similar concentrations of IL-10 (fig. S8A) and/or frequencies of reporter LP B cells (fig. S8B) compared with those of their respective littermate controls.

We examined if luminal IgA secretion was required for the protective role observed by generating polymeric immunoglobulin receptor (*Pigr*) and *Xbp1* double-deficient mice (*Pigr*^{-/-} *Xbp1* ^{IEC}), which are unable to transport IgA and IgM across the IEC (18). *Pigr*^{-/-} *Xbp1* ^{IEC} mice showed an increase of LP IgA⁺ plasma cells similar to *Xbp1* ^{IEC} animals (Fig. 1, M and N) but still developed severe inflammation of the proximal SI (Fig. 1O and fig. S5, histology scores and H&E, respectively). This phenocopied *Igha*^{-/-} *Xbp1* ^{IEC} and µ*MT Xbp1* ^{IEC} animals and indicated a protective role for sIgA in this model. Although *Xbp1* ^{IEC} animals exhibited increased IgA coating of fecal bacteria compared with *Xbp1* ^{fl/fl} controls (Fig. 1P), IgA-SEQ (6) revealed no major differences between *Xbp1* ^{IEC} and *Xbp1* ^{fl/fl} mice in the taxa-specific coating of commensal bacteria with IgA, suggesting a specific IgA-targeted microbe was not responsible (fig. S9).

Intestinal IgA⁺ plasma cells can differentiate via T cell–dependent (TD) and T cell–independent (TI) pathways (19,20). Although we observed a small increase in germinal center B cells (B220⁺ CD19⁺CD95⁺GL7⁺) in Peyer's patches (PP) of Xbp1 ^{IEC} mice compared with that in PP of Xbp1 ^{fl/fl} controls (Fig. 2A), TD pathways were not involved in the IgA induction. First, T follicular helper (T_{FH}) cell percentages (CD3⁺CD4⁺ ICOS ⁺PD-1^{hi}CXCL5^{hi}) (7) in the PP and mesenteric lymph nodes (MLN) of Xbp1 ^{IEC} mice were similar to those in Xbp1 ^{fl/fl} controls (Fig. 2B). Second, T cell receptor β –deficient $TCR\beta$ -/- Xbp1 ^{IEC} mice exhibited increased SI LP IgA⁺ plasma cell numbers (Fig. 2C) without changes in LP $\gamma\delta$ 5 T cells (fig. S10) compared with $TCR\beta$ -/- Xbp1 ^{fl/fl} controls. Finally, PP-deficient (PP^{def}) Xbp1 ^{IEC} mice (21) continued to exhibit increased SI LP IgA⁺ plasma cells (Fig. 2D) without proximal extension of SI inflammation (fig. S11) compared with PP^{def}Xbp1 littermate controls.

By contrast, we observed increased percentages and numbers of B1b (CD5-CD19+CD23-CD43⁺), but not B1a (CD5⁺CD19⁺CD23⁻CD43⁺), cells in the peritoneal cavities of Xbp1 IEC mice compared with those in Xbp1^{fl/fl} littermate controls (Fig. 2, E and F). B1 cells associated with TI pathways emerge and migrate from there to the intestine, giving rise to polyreactive IgA-producing plasma cells in the SI (19, 22, 23). As this suggested a transmissible factor, we conducted parabiosis experiments in which CD45.1⁺ wild-type (WT) mice were joined to CD45.2⁺ Xbp1 ^{IEC} mice or Xbp1^{fl/fl} controls (Fig. 2G). Three weeks after parabiosis, we observed ~50% chimerism of blood T and B cells (Fig. 2H). Consistent with their tissue-resident phenotype, peritoneal B1 cells exhibited ~20% chimerism (Fig. 2H). The peritoneal B1 cell compartments of WT CD45.1 mice showed increased numbers of CD45.1⁺ B1b cells in animals joined to CD45.2⁺ Xbp1 ^{IEC} mice compared with those joined to CD45.2+ Xbp1^{f1/f1} controls (Fig. 2I and gating strategy in fig. S12). There were also more CD45.1⁺IgA⁺ plasma cells in the SI LP of CD45.2⁺ Xbp1 ^{IEC} mice than in that of CD45.2⁺ Xbp1^{fl/fl} controls, which lacked ER stress in their intestinal epithelium (Fig. 2J). By contrast, CD45.1⁺IgA⁺ plasma cells in the LP of CD45.1⁺ animals were not increased (Fig. 2K). Notably, there were no significant changes in SI *Tnfsf13* (April), Tnfsf13b (Baff), Ccl25, Ccl28, and Cxcl13 expression (fig. S13A) or thymic stromal lymphopoietin protein levels (fig. S13B), which have been implicated in TI IgA class switching and/or plasma-cell recruitment (24).

Germ-free (GF) *Xbp1* ^{IEC} mice, compared with GF *Xbp1*^{fl/fl} controls, also exhibited increased numbers of SI IgA⁺ plasma cells (Fig. 3, A and B), basal plasmacytosis (Fig. 3B), higher frequencies and numbers of peritoneal B1b cells (Fig. 3, C and D), and an increased proportion of IgA⁺ cells within the SI LP B1b-like cell compartment (CD5⁻CD19⁺CD43⁺) (Fig. 3E). They also showed heightened IEC ER stress (fig. S14, A to C) without spontaneous enteritis (5) and few SI epithelial apoptotic events compared with specific pathogen–free (SPF) *Xbp1* ^{IEC} mice (fig. S14D). GF *Xbp1* ^{IEC} mouse colons also showed increased numbers of IgA⁺ plasma cells (fig. S15A) and higher concentrations of tissue IgA (fig. S15B) than colons of littermate controls. However, colonic IgA⁺ plasma cell numbers and IgA tissue concentrations in SPF *Xbp1* ^{IEC} and *Xbp1*^{fl/fl} mice were similar (fig. S15, A and B), suggesting that high levels of TD IgA production in the colon mask the TI ER stress–induced IgA response under SPF conditions (25).

Thus, the increase in IgA⁺ plasma cells was not restricted to the SI nor dependent on apoptosis, microbiota, or a proinflammatory milieu but, rather, was due to IEC ER stressdriven recruitment of TI peritoneal B1b cells. Indeed, although single-cell RNA sequencing of the peritoneal lavage of GF Xbp1 ^{IEC} mice and Xbp1^{fl/fl} controls identified heterogeneous populations of peritoneal myeloid, B cell, and T cell subsets (Fig. 3, F to H, and fig. S16, A and B), the only peritoneal cell type demonstrating a major expansion in the context of IEC ER stress was a cluster containing a B1b-like transcriptional signature (cluster 2; Fig. 3, F to H, and fig. S16, A and B). Flow cytometry confirmed the absence of peritoneal myeloid or T cell alterations (fig. S17) or changes in SI LP myeloid cell populations (fig. S18). Peritoneal B1b cells from GF Xbp1 IEC mice were also transcriptionally distinct. Differential expression (Fig. 3I) and gene set enrichment analysis (GSEA; Fig. 3J) of purified B1b cells from GF Xbp1 IEC mice showed the up-regulation of genes involved in protein biosynthesis, oxidative phosphorylation, and Myc signaling-which is critical for B cell activation (26)-compared with B1b cells of GF littermate controls. By contrast, cell adhesion gene sets were down-regulated in line with the increased ability of these B1b cells to egress from the peritoneal cavity and home to ER-stressed SI epithelium (Fig. 3J).

Taking advantage of the increased circulating IgA concentrations present in GF *Xbp1* ^{IEC} mice compared with those in GF *Xbp1*fl/fl mice (Fig. 3K), we functionally confirmed the B1 origin of the IgA response by showing that the IgA derived from these animals efficiently coated fecal microbiota obtained from µMT mice lacking immunoglobulins (Fig. 3L) and exhibited broad reactivity to endogenous and exogenous antigens (Fig. 3M) as expected (23, 25). Furthermore, analysis of variable-region usage and CDR3 clono-type sequences from the proximal and distal intestinal segments of GF animals demonstrated the existence of a similar IgA+ cell polyclonal repertoire (fig. S19, A to C) containing a limited CDR3 region mutational load (fig. S19D) regardless of genotype.

Lastly, mice with a conditional deletion of autophagy related 16-like 1 in IECs (*Atg1611* ^{IEC}) exhibit SI IEC ER stress without histopathologic signs of inflammation (5, 11). These animals exhibited increased numbers of SI LP IgA⁺ cells (Fig. 4A) and a specific increase in peritoneal B1b cells (Fig. 4B). Similarly, SI biopsies of healthy human subjects homozygous for the hypomorphic *ATG16L1*^{T300A} variant, who are known to exhibit increased ER stress (27), showed higher numbers of LP IgA⁺ cells than both non-carriers and heterozygous subjects (Fig. 4C).

Thus, the secretion of IgA into the lumen and resultant innate-like polyreactive responses protect ER-stressed mucosa in a pathway under the control of IEC ER stress. This occurs independently of either microbes or inflammation, making it a self-contained, host-derived response. This response is TI, peritoneal B1b cell-derived, and under the control of an unknown transmissible factor that emerges from ER stress in the IEC and is communicated to the peritoneal cavity, revealing a tight link between these two anatomic sites. In the absence of IgA or its secretion, spontaneous enteritis emerges. We propose that this homeostatic function of epithelial ER stress is a beneficial "eustress" response that is functionally opposed to its well-described involvement in proinflammatory pathways.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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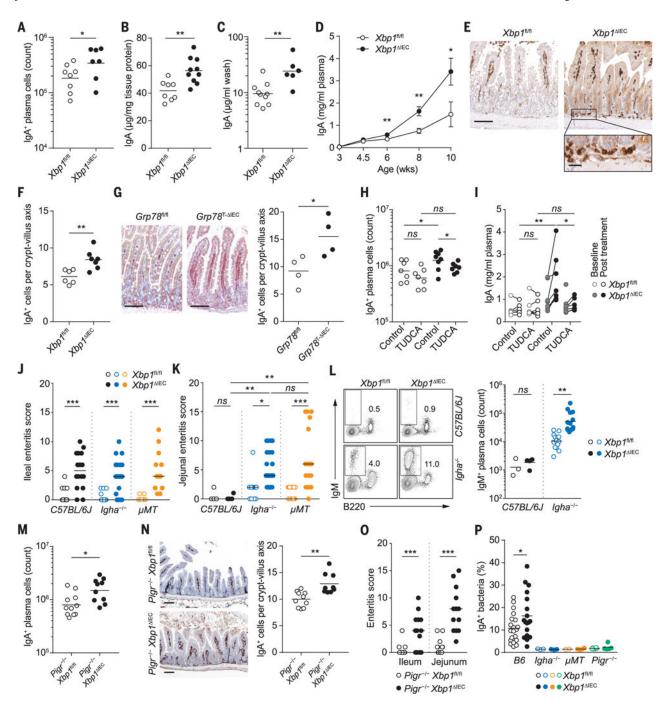


Fig. 1. Intestinal epithelial ER stress induces a protective IgA response.(**A**) Absolute counts of SI LP IgA⁺ plasma cells in *Xbp1* ^{IEC} mice and *Xbp1* ^{fl/fl} controls at 10 weeks of age (n = 7 or 8). (**B**) Ileal tissue IgA normalized by total soluble tissue protein (n = 8 to 10). (**C**) IgA concentration in SI washes (n = 6 to 10). (**D**) Circulating IgA concentration (n = 6 to 10 for each age). (**E** and **F**) Representative IHC images (E) and quantification (F) of LP IgA⁺ cells (brown) along 50 ileal crypt-villus axes (n = 6 or 7). Magnified area in (E) depicts basal plasmacytosis. (**G**) Representative IHC images and quantification of SI LP IgA⁺ cells (red) in $Grp78^{\Gamma-}$ IEC mice and $Grp78^{\Pi/fl}$ controls after 3

days of tamoxifen treatment (n = 4). (H and I) Absolute counts of SI LP IgA⁺ plasma cells (H) and circulating IgA concentrations (I) of the indicated genotypes, treated with either TUDCA (2 mg/ml) in the drinking water or plain water (control) for two weeks (n = 7 or 8). (**J** and **K**) Enteritis scores of ileal (J) and jejunal (K) sections of indicated genotypes (n = 4to 26). (L) Representative plots, frequencies, and absolute counts of SI LP IgM⁺ plasma cells (gated on CD45⁺CD3⁻ lymphocytes) of the indicated genotypes (n = 3 to 14). (M to O) Absolute flow cytometric counts of SI LP IgA+ plasma cells (M), representative IHC images and quantification of IgA⁺ cells in ileal sections (N), and enteritis scores (O) of Pigr^{-/-} Xbp1 IEC mice and Pigr- $\sqrt{-Xbp1}$ fl/fl controls (n = 9 to 18). (**P**) Frequencies of IgA-coated fecal bacteria from the indicated genotypes, as determined by flow cytometry (n = 2 to 20). B6 indicates a C57BL/6J background. Scale bars indicate 100 µm (low magnification) or 20 μmm [magnified view in (E)]. Symbols represent individual animals. Bars represent arithmetic means [(B), (D), (F), (G), (N), and (P)], medians [(J), (K), and (O)], or geometric means [(A), (C), (H), (L), and (M)]. Error bars indicate SEM. Data are representative of three [(A) and (B)] independent experiments or were compiled from two (M) or three [(L) and (P)] experiments. P values were calculated by unpaired Student's t test [(A) to (D), (F), (G), (L) to (N), and (P)], Kruskal-Wallis test with Dunn's post-test [(J) and (K)], Mann-Whitney Urank sum test (O), or two-way analysis of variance (ANOVA) with Fisher's leastsignificant difference (LSD) method and two-stage step-up method of Benjamini, Krieger, and Yekutieli to control the false discovery rate [(H) and (I)]. *P<0.05; **P<0.01; ***P<0.001; ns, not significant.

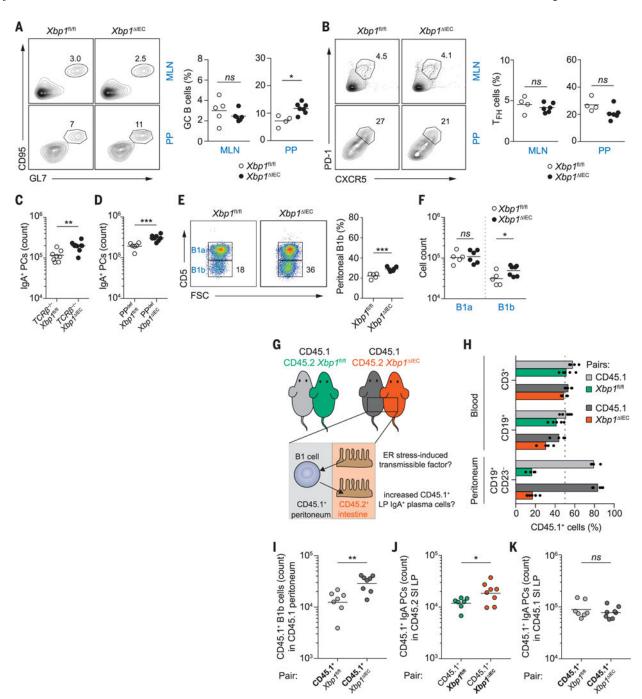
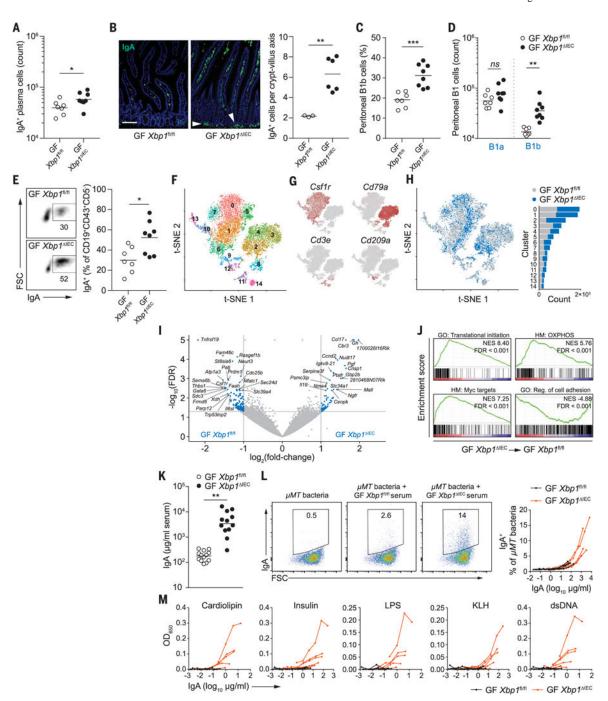


Fig. 2. ER stress—induced IgA is PP- and T cell—independent and involves recruitment of peritoneal B1b cells by a transmissible factor.

(**A**) Representative plots and percentages of germinal center (GC) B cells (gated on CD19⁺ lymphocytes) in MLN and PP of Xbp1 ^{IEC} mice and Xbp1 fl/fl controls (n = 4 to 7). (**B**) Representative plots and percentages of MLN and PP T_{FH} cells (gated on CD3⁺CD4⁺ lymphocytes, n = 4 to 6). (**C**) Absolute counts of SI LP IgA⁺ plasma cells (PCs) in $TCR\beta^{-/-}$ Xbp1 ^{IEC} mice and $TCR\beta^{-/-}$ Xbp1 fl/fl controls (n = 8 or 9). (**D**) Absolute counts of SI LP IgA⁺ plasma cells in PP-deficient Xbp1 ^{IEC} mice and Xbp1 fl/fl controls (n = 6 to 8). (**E** and

F) Representative plots, percentages, and absolute counts of peritoneal B1a and B1b cells in Xbp1 ^{IEC} mice and Xbp1^{fl/fl} controls (n = 5 to 7). FSC, forward scatter. (**G**) Schematic representation of the parabiosis experiment (n = 7 or 8 pairs per genotype). (**H**) Frequencies of CD45.1⁺ circulating lymphocytes and CD45.1⁺ peritoneal B1 cells 3 weeks after parabiotic surgery. The dotted line indicates 50% chimerism. (**I**) Absolute numbers of CD45.1⁺ B1b cells in peritoneal cavities of CD45.1 animals conjoined with either Xbp1 fl/fl or Xbp1 iec mice. (**J** and **K**) Absolute numbers of SI LP CD45.1⁺IgA+ plasma cells in parabiotic Xbp1 fl/fl and Xbp1 iec mice (**J**) and in CD45.1 parabionts conjoined with either Xbp1 fl/fl or Xbp1 iec mice (K). Symbols represent individual animals. Bars represent arithmetic means [(A), (B), (E), and (H)] or geometric means [(C), (D), (F), and (I) to (K)]. Data are representative of three experiments [(E) and (F)] or were pooled from two experiments [(C), (D), and (G) to (K)]. P values were calculated by unpaired Student's t test. *P<0.05; **P<0.01; ***P<0.001; ns, not significant.



 ${\bf Fig.~3.~Epithelial~ER~stress-derived~IgA~is~microbiota-~and~inflammation independent~and~polyreactive~in~nature.}$

(**A**) Absolute counts of SI LP IgA⁺ plasma cells in GF *Xbp1* ^{IEC} mice and *Xbp1* ^{fl/fl} controls (n = 7 or 8). (**B**) Representative immunofluorescence images and quantification of LP IgA⁺ cells (green) along 50 ileal crypt-villus axes (n = 3 to 6). Nuclei are counterstained with 4′, 6-diamidino-2-phenylindole (DAPI) (blue). Arrows indicate basal plasmacytosis. Scale bar, 100 µm. (**C** and **D**) Frequencies (percentage of CD19⁺CD23⁻CD43⁺ cells) (C) and absolute flow cytometric counts of peritoneal B1a and B1b cells (D) (n = 7 or 8). (**E**) Representative

plots (gated on CD5⁻CD19⁺CD43⁺ lymphocytes) and frequencies of IgA⁺ B1b-derived cells in SI LP of GF Xbp1 ^{IEC} mice and GF Xbp1^{fl/fl} controls (n = 7 or 8). (F) t-Distributed stochastic neighbor embedding (t-SNE) plot depicting unsupervised clustering of single-cell transcriptomes (n = 11,104 cells) from peritoneal lavages of Xbp1 ^{IEC} mice and Xbp1^{fl/fl} controls (aligned datasets). Numbers and colors indicate clusters. (G) Expression levels of canonical markers for macrophages (Csf1r), B cells (Cd79a), T cells (Cd3e), and peritoneal dendritic cells (Cd209a) in t-SNE plot. (H)t-SNE plot as in (F) with cells colored by genotype. Bar graph depicts the number of cells within each cluster by genotype. (I) Volcano plot showing log₂-transformed fold-change (log₂FC) of gene expression in B₁b cells from GF *Xbp1* ^{IEC} mice compared with that in B1b cells from GF *Xbp1* ^{fl/fl} controls (n = 5 to 7). Differentially expressed genes [log2FC 1 or -1; false discovery rate (FDR) < 0.05] are highlighted in blue. FDR values that are $<10^{-5}$ are plotted at 10^{-5} (triangles). (J) GSEA enrichment plots for selected gene sets. GO, gene ontology gene sets; HM, hallmark gene sets; OXPHOS, oxidative phosphorylation; NES, normalized enrichment score. (K) Circulating IgA concentrations in GF *Xbp1* ^{IEC} mice and *Xbp1* fl/fl controls (n = 12 or 13). (L) Representative plots (gated on SYBRhi events) and frequencies of IgA coating on fecal bacteria from μMT mice that were incubated with sera from GF Xbp1 IEC mice or Xbp1fl/fl controls (n = 4 or 5). (M) Polyreactivity enzyme-linked immune-sorbent assay optical density at 650 nm (OD₆₅₀) values of serum IgA from GF Xbp1 ^{IEC} mice or Xbp1^{fl/fl} controls (n = 5 or 6) against the indicated antigens. LPS, lipopolysaccharide; KLH, keyhole limpet hemocyanin; dsDNA, double-stranded DNA. Symbols or lines represent individual animals. Bars represent arithmetic means [(B), (C), and (E)] or geometric means [(A), (D), and (K)]. Data are representative of at least two independent experiments [(B) to (D), (L), and (M)] or were pooled from two experiments [(A), (E), and (K)]. Pvalues were calculated by unpaired Student's t test. *P<0.05; **P<0.01; ***P<0.001; ns, not significant.

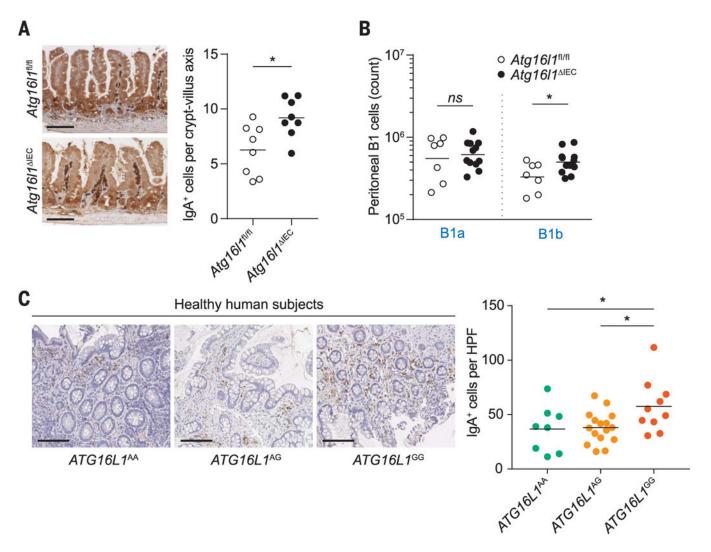


Fig. 4. Defective ATG16L1-dependent autophagy results in a peritoneal B1b response in mice and IgA induction in both mice and humans.

(A) Representative IHC images and quantification of LP IgA⁺ cells (brown) along 50 ileal crypt-villus axes of $Atg1611^{\text{IEC}}$ mice and $Atg1611^{\text{fl/fl}}$ controls (n=8). (B) Absolute counts of peritoneal B1a and B1b cells in $Atg1611^{\text{IEC}}$ mice and $Atg1611^{\text{fl/fl}}$ controls (n=7 to 12). (C) Representative IHC images and quantification of IgA⁺ cells (brown) in ileal biopsies of healthy human subjects, shown by ATG16L1 genotype as indicated by AA, AG, and GG (n=8 to 16). Scale bars, 100 µm. HPF, high-power field. Symbols represent individual animals or human subjects. Bars represent arithmetic means [(A) and (C)] or geometric means (B). Data in (B) were pooled from two experiments. P values were calculated by unpaired Student's t test [(A) and (B)] or one-way ANOVA with Holm-Šídák test (C). *P< 0.05; ns, not significant.