

The haustorial transcriptomes of *Uromyces appendiculatus* and *Phakopsora pachyrhizi* and their candidate effector families

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SUMMARY

Haustoria of biotrophic rust fungi are responsible for the uptake of nutrients from their hosts and for the production of secreted proteins, known as effectors, which modulate the host immune system. The identification of the transcriptome of haustoria and an understanding of the functions of expressed genes therefore hold essential keys for the elucidation of fungus–plant interactions and the development of novel fungal control strategies. Here, we purified haustoria from infected leaves and used 454 sequencing to examine the haustorial transcriptomes of *Phakopsora pachyrhizi* and *Uromyces appendiculatus*, the causal agents of soybean rust and common bean rust, respectively. These pathogens cause extensive yield losses in their respective legume crop hosts. A series of analyses were used to annotate expressed sequences, including transposable elements and viruses, to predict secreted proteins from the assembled sequences and to identify families of candidate effectors. This work provides a foundation for the comparative analysis of haustorial gene expression with further insights into physiology and effector evolution.

INTRODUCTION

Rust fungi are obligate biotrophic pathogens dependent on living host tissue for propagation (Voegelé *et al.*, 2009). The haustorium is a hallmark structure of rusts and other biotrophic fungi through which they interact with host cells (Voegelé and Mendgen, 2003). Haustoria are conduits for nutrient acquisition, metabolic and catabolic processes, and the synthesis and delivery of proteins, known as effectors, which modulate the host immune system (Catanzariti *et al.*, 2006; Dodds *et al.*, 2009; Voegelé *et al.*, 2009;

Voegelé and Mendgen, 2011). Therefore, effectors play key roles in establishing and maintaining biotrophic interactions (Oliva *et al.*, 2010). The identification of the sets of genes that are expressed in haustoria will thus provide critical information needed to understand the fungal and host processes that underlie the outcomes of their interactions.

Genome and transcriptome sequencing, accompanied by bioinformatic analyses, provides opportunities to identify the genes expressed in the haustoria of rust fungus pathogens (De Wit *et al.*, 2009; Duplessis *et al.*, 2012). These approaches are facilitated by the ability to obtain RNA directly from haustoria. The isolation of intact haustoria from infected tissue can generate sufficient RNA for cDNA library construction, sequencing and expression profiling (Hahn and Mendgen, 1997; Link and Voegelé, 2008). These materials, coupled with next-generation sequencing techniques, enable the assembly of haustorial transcriptomes with high coverage (Garnica *et al.*, 2013; Weßling *et al.*, 2012).

We are interested in the interactions between rust fungi and legume crop plants. The rust pathogens *Phakopsora pachyrhizi* and *Uromyces appendiculatus* are globally distributed and cause the diseases soybean rust and common bean rust, respectively (Araya *et al.*, 2004; Goellner *et al.*, 2010; Li *et al.*, 2010; Liebenberg and Pretorius, 2010; Schneider *et al.*, 2005). *Uromyces appendiculatus* has also served as an important model organism for cytological and physiological studies of rust fungi (Zhou *et al.*, 1991). Despite the importance of these pathogens, their genome sequences are not yet available. There are, however, collections of sequences expressed at various life cycle stages, including a small number of haustorial sequences (Posada-Buitrago and Frederick, 2005; Puthoff *et al.*, 2008; Tremblay *et al.*, 2009, 2012). This general lack of sequence information hinders our ability to understand the physiology and interactions of these rust fungi with their crop hosts. Two examples of the power of such information come from the recent sequencing of the *Puccinia graminis* f. sp. *tritici* and *Melampsora larici-populina* genomes, combined with

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expression profiling data, which have provided an excellent resource for the identification of candidate effectors from rust fungi (Duplessis *et al.*, 2011).

As a starting point to gain deeper insight into the physiology of haustoria and the effectors deployed in legume–rust interactions, we sequenced the haustorial transcriptomes of *U. appendiculatus* and *P. pachyrhizi*. Bioinformatic approaches were used to annotate the resulting sequences, to identify gene families and to predict the secreted proteins. We show that the gene functions associated with the core physiology of these organisms overlap, but that there is less conservation in the suites of candidate effector proteins produced by each. Interestingly, sequences related to transposable elements (TEs) and a nonoverlapping set of viruses are abundant in the haustoria of both fungi.

RESULTS

Haustorial transcriptome assemblies and gene ontology (GO) annotation

Total RNA was extracted from haustoria prepared from infected leaves collected at 10 days post-inoculation (dpi) (*U. appendiculatus*) and 12 dpi (*P. pachyrhizi*) (Fig. 1). Copy DNA was synthesized from these two RNA pools, as well as from polyA+ mRNA prepared from *U. appendiculatus* total RNA. Sequencing of *P. pachyrhizi* cDNA produced 1 051 753 reads, and 11 872 contigs longer than 100 bp were assembled (Fig. S1A, see Supporting Information). The average size of the 2668 contigs longer than 500 bp was 832 bp, with the longest spanning 4079 bp. A total of

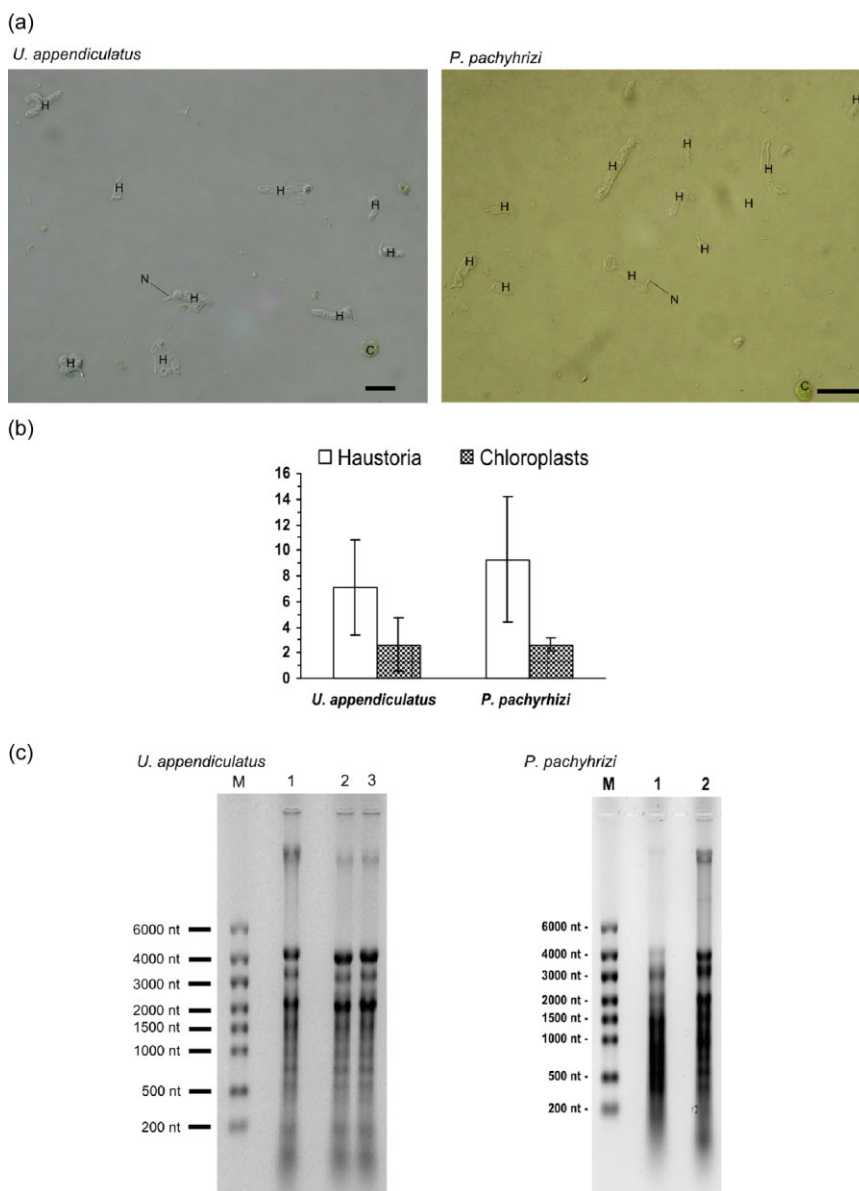


Fig. 1 Purification of haustoria and haustorial RNA. (a) Micrographs of haustoria preparations. Haustorial suspensions after elution from the concanavalin A (Con-A) column were examined microscopically. Haustoria (H) and chloroplasts (C) are indicated, as well as two representative haustorial necks (N). Bar, 10 μ m. (b) Counts of haustoria and chloroplasts in preparations. The graphs show means of 23 haustoria preparations each. (c) Agarose gel electrophoresis of total RNA prepared from haustoria. Visible bands represent rRNA from haustoria and chloroplasts, and degraded RNAs can also be seen. nt, nucleotide.

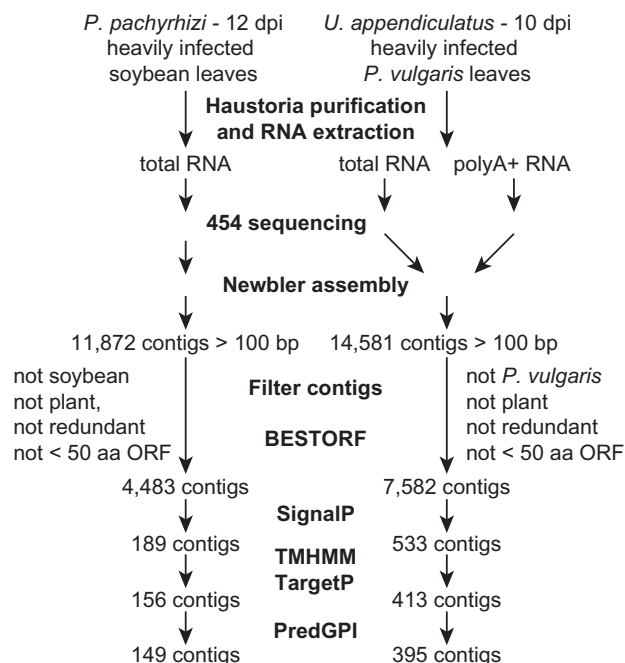


Fig. 2 Work flow for the identification of putative secreted proteins expressed in haustoria of *Phakopsora pachyrhizi* and *Uromyces appendiculatus*. The BESTORF program was used to predict the open reading frames present in high-confidence fungal contigs. Amino acid sequences of proteins predicted by BESTORF were used in the subsequent analyses with SignalP to predict secreted proteins. The prediction of proteins most likely to be secreted outside of fungal cells was further refined using the TMHMM, TargetP and PredGPI programs.

4483 unique *P. pachyrhizi* contigs were identified following application of a series of bioinformatic filters (Fig. 2, File S1, see Supporting Information). For *U. appendiculatus*, the 894 873 reads produced from total RNA and polyA+ mRNA were combined and assembled into 14 581 contigs longer than 100 bp (Fig. S1B). The average size of the 6818 contigs longer than 500 bp was 1114 bp, with the longest spanning 9639 bp. A total of 7582 unique *U. appendiculatus* contigs remained after application of a series of bioinformatic filters (Fig. 2, File S2, see Supporting Information).

The *P. pachyrhizi* and *U. appendiculatus* contigs were compared with the GenBank nonredundant (nr) protein database (22 December 2010) using BLASTX (Altschul *et al.*, 1997), and alignments that met the significance threshold of $E \leq 10^{-3}$ were obtained for 2585 and 6229 contigs, respectively. This output was used with the BLAST2GO suite (Conesa *et al.*, 2005; Götz *et al.*, 2008) to generate annotation for 1568 (35%) *P. pachyrhizi* contigs (Fig. 3a, Table S1, see Supporting Information) and 3650 (48%) *U. appendiculatus* contigs (Fig. 3c, Table S2, see Supporting Information). Comparison of GO categories did not reveal significant differences between the categories of genes expressed in the haustoria of the two fungi.

Comparison of the *P. pachyrhizi* haustorial transcriptome with expressed sequence tags (ESTs) from resting urediospores and germ tubes

We next compared these haustorial sequences with sequences from resting or water-germinated urediospores to identify GO categories of transcripts that are putatively over-represented or specific to haustoria. This was possible because of the 34 329 *P. pachyrhizi* ESTs available from GenBank (Posada-Buitrago and Frederick, 2005). A similar dataset including ESTs from urediospores and germ tubes was not available for *U. appendiculatus*. These ESTs were assembled into 3365 contigs and 3383 singletons, which were labelled *PpGI* (*P. pachyrhizi* Gene Index). Of these, 2881 contigs were unique to water-germinated spores, 289 were unique to resting spores and 213 were unique to infected leaves. We removed 138 sequences of plant or bacterial origin and, because our primary interest was a comparison with sequences from resting or water-germinated urediospores, we removed 426 *PpGI* contigs and singletons unique to infected leaves, which probably included haustorial expressed sequences. Of the resulting 4955 unique *PpGI* sequences, 1863 could be assigned GO annotation as described above (Fig. 3b, Table S3, see Supporting Information).

To gain an insight into the physiology of the biotrophic interaction, we compared the GO categories of the *P. pachyrhizi* haustorial transcriptome with the *PpGI* ESTs from resting urediospores and water-germinated spores (Table 1). All strongly over-represented GO categories for resting urediospores or water-germinated spores are mainly a result of one contig (*PpGI*_contig3375) which comprises 5073 reads. Three contigs with high similarity to *PpGI*_contig3375 were also found in the haustorial sequences (*Pp*_contig03605, *Pp*_contig06191 and *Pp*_contig05246), but all three combined only accounted for 25 reads (0.04%). In the opposite direction, the higher ratio of reads from haustoria compared with reads from resting or water-germinated urediospores for the GO categories 'generation of precursor metabolites and energy', 'cellular amino acid and derivative metabolic process', 'carbohydrate metabolic process' and 'transcription' is consistent with the role of haustoria in the uptake of sugars and amino acids and more active gene expression (Wirsel *et al.*, 2001).

Prediction of haustorial secreted proteins

Our bioinformatics pipeline identified 156 *P. pachyrhizi* contigs containing open reading frames (ORFs) encoding putative secretion signals and unlikely to be targeted to a membrane or mitochondria (Fig. 2, File S3, Table S5, see Supporting Information). Seven of these proteins also contained a glycosylphosphatidylinositol (GPI) anchor predicted by PredGPI.

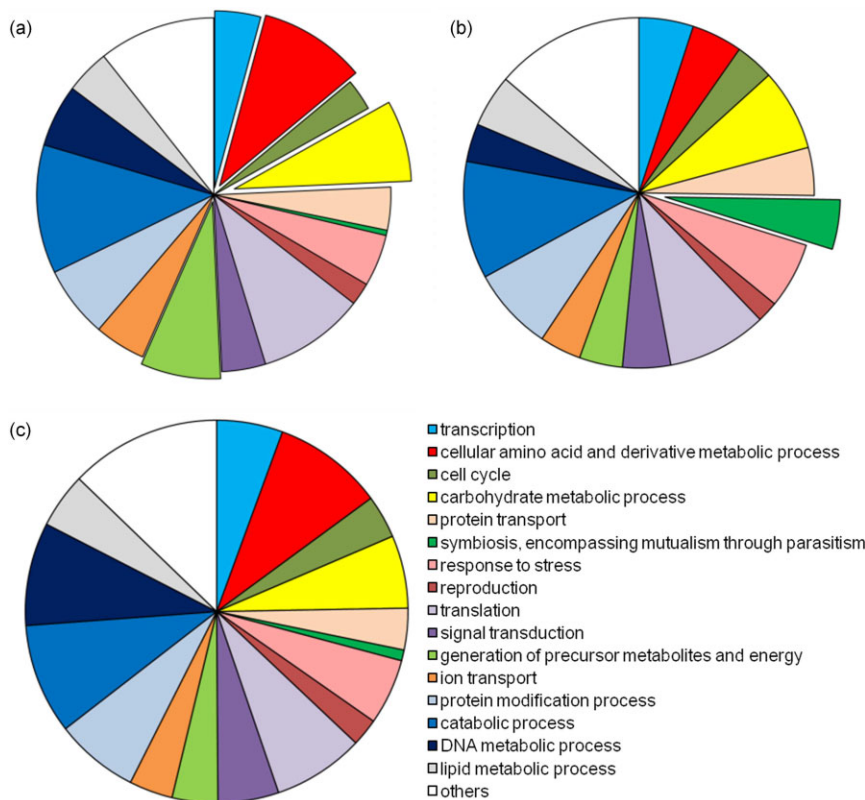


Fig. 3 Annotation of the *Phakopsora pachyrhizi* and *Uromyces appendiculatus* transcriptomes: (a) *P. pachyrhizi* haustoria; (b) *P. pachyrhizi* urediospores and germ tubes; (c) *U. appendiculatus* haustoria. For the two *P. pachyrhizi* stages, the over-represented gene ontology (GO) categories are emphasized by offset slices.

Table 1 Gene ontology (GO) categories with the most pronounced differing expression between pre-haustorial cell types and haustoria.

GO level	GO category	Description	Pre-haustorial reads*	Haustorial reads	Ratio h/p†	q‡
1	GO:0008150	Biological process¶	11120	60369	1	1
2	GO:0032502	Developmental process	5471	2318	0.0780	0
3	GO:0044419	Interspecies interaction between organisms	5300	65	0.0023	0
4	GO:0006091	Generation of precursor metabolites and energy	255	9743	7.0379	0
4	GO:0006519	Cellular amino acid and derivative metabolic process	276	4702	3.1381	0
4	GO:0005975	Carbohydrate metabolic process	643	1204	4.0690	0
4	GO:0044403	Symbiosis, encompassing mutualism through parasitism	5300	65	0.0023	0
6	GO:0006350	Transcription	423	9869	4.2976	0

*Reads from resting urediospores and water-germinated spores.

†Ratio of haustorial (h) to pre-haustorial (p) reads normalized by the 'biological process' totals.

‡q value near 0 ($<10^{-500}$), ratio >4 ; apparent redundancies and artificially increased categories were removed.

§Probability values from Fisher's test with correction for multiple testing.

¶Biological process was added to the table as reference to the totals.

These proteins represent 3.5% of the haustorial transcriptome, and 40 (26%) of these had GO annotations. These putative secreted proteins include homologues to haustoria-expressed secreted proteins (HESP379, HESP767, HESP-C49; Catanzariti *et al.*, 2006) from flax rust, and to rust transferred proteins (RTPs) in *Uromyces* species (Pretsch *et al.*, 2013).

For *U. appendiculatus*, 413 contigs encode predicted secreted proteins (File S4, Table S5, see Supporting Information), 18 of which also contain a predicted GPI anchor. These predicted secreted proteins represent 5.4% of the transcriptome, and 117 (28%) had GO annotations among which the terms 'carbohydrate

metabolic process' (including plant cell wall-degrading enzymes and fungal cell wall-modifying enzymes) and 'response to biotic stimulus' were significantly enriched. The secreted proteins include expansin homologues, one protein with a small secreted protein (SSP) annotation and three RTPs. Two have been identified previously, *Ua-RTP1p* and *Ua-RTP2p* (Puthoff *et al.*, 2008), but the third is more distantly related and can be classified as RTP9p-like (Pretsch *et al.*, 2013). The 15 reads for *Ua_contig00666* suggest that *Ua-RTP9* is weakly expressed relative to *Ua-RTP1* (*Ua_contig06414*, 355 reads) and *Ua-RTP2* (*Ua_contig06302*, 296 reads).

Families of putative secreted proteins

We performed single linkage clustering (Graham *et al.*, 2004) to identify 134 families that contained at least two putative secreted proteins (Table S5). This analysis included the haustorial transcriptomes, *PpGI*, *U. appendiculatus* hyphal and haustorial sequences (Puthoff *et al.*, 2008), and haustorial secreted proteins identified by the yeast signal sequence trap for *U. appendiculatus* (*Ua*-HSPs; T. I. Link, unpublished results). We also included EST (Jakupovic *et al.*, 2006) and secretome (Link and Voegelé, 2008) sequences from *U. fabae*. To gain further insight into the function of the members in each family, we searched them for conserved sequences and used this information to include sequences from other fungi that shared this conservation. Features of the largest families are summarized in Fig. 4 and in the following text. Because the sequences are not necessarily complete at the N-terminus and the N-terminus is necessary for signal peptide prediction, we expect that putative secreted proteins are likely to be under-represented among the gene families.

The 29 members of cluster 112 that are predicted to be secreted represent the highest number of any cluster (Fig. 4). Although the longest member of the cluster was 967 amino acids in length (PGTG_14242), most were short, with an average length of 162 amino acids. Cluster 112 members possess a 94-amino-acid region containing 10 conserved cysteine residues (Fig. 5a). A [YFW]xC motif (Godfrey *et al.*, 2010) was found at cysteine eight, and a similar [AFY]xC motif was found at cysteine two. At least one member of this gene family was identified in all five rust species analysed, but no member was found in any other fungal species, indicating that the family is rust specific. Phylogenetic analysis of the family suggests that it was present in an ancestral rust species and that there was further expansion of the family after the species separated (Fig. 5b). Interestingly, some closely related genes cluster in the *P. graminis* f. sp. *tritici* genome, and one gene (PGTG_12466) is among the 100 most highly up-regulated genes following wheat infection compared with resting urediospores (Duplessis *et al.*, 2011).

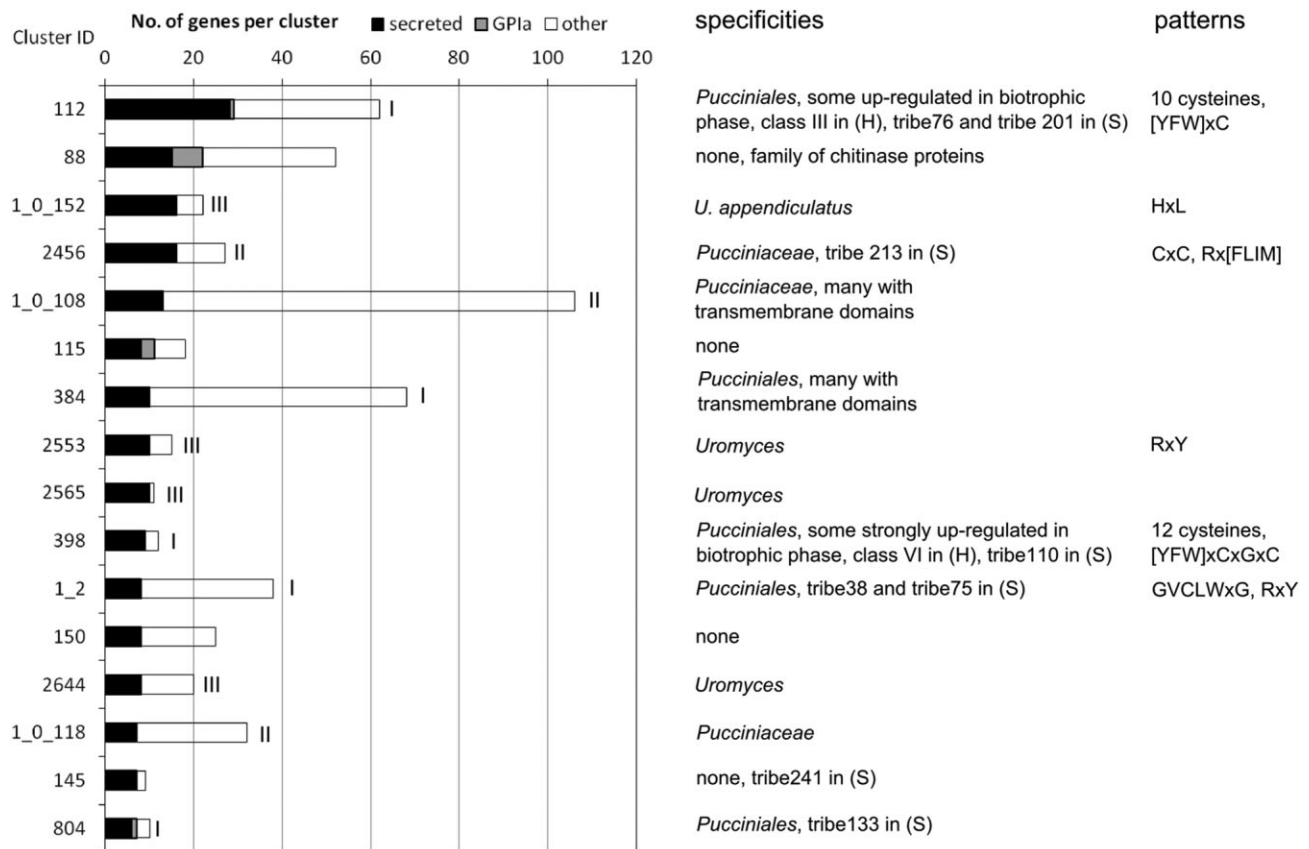


Fig. 4 Summary of properties of the 16 largest clusters of proteins with predicted secretion motifs. Single linkage clustering was used to group the contigs, and the cluster ID, corresponding to Table S5, is provided to the left of the graph. The graph shows the numbers of genes (unique contigs) per cluster, which is subdivided into those predicted to be soluble secreted proteins (black) and all others (white). Roman numerals indicate the specificities of the clusters: I, specific to rust fungi; II, specific to *Pucciniaceae*; III, specific to *Uromyces*. The columns on the right give additional information. (H), Hacquard *et al.* (2012); (S), Saunders *et al.* (2012).

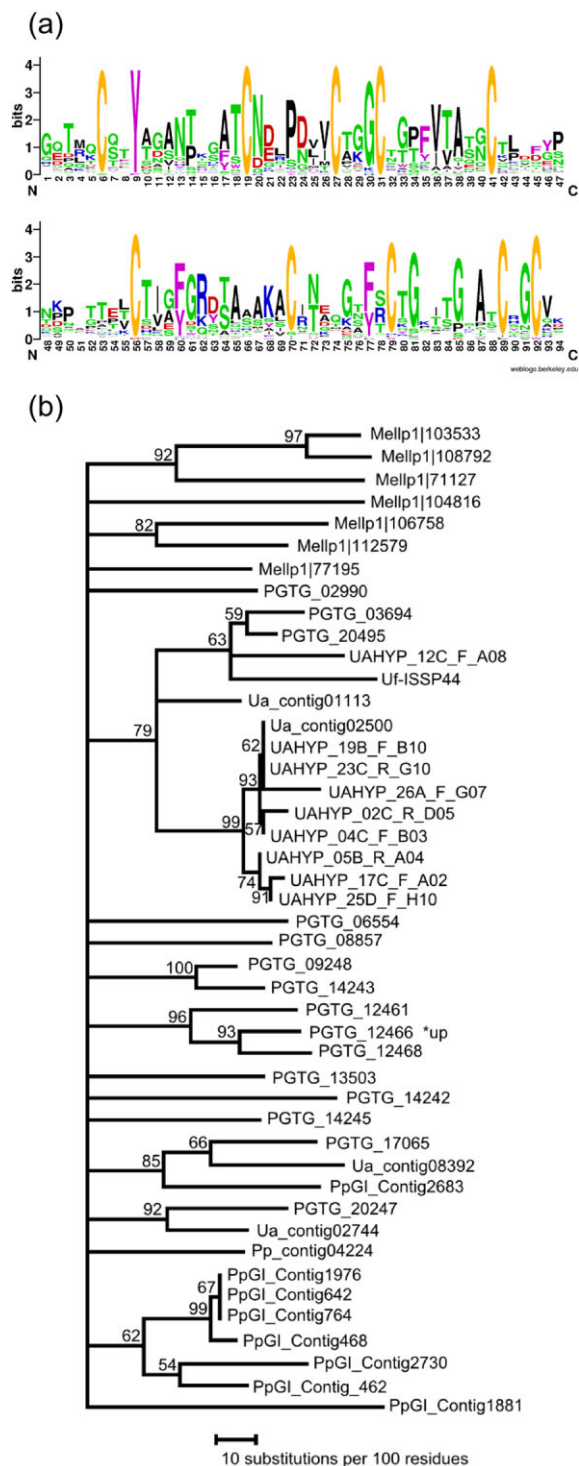


Fig. 5 Gene family cluster 112. (a) Conserved profile of the central 94 amino acids (WebLogo). (b) Phylogeny: neighbour-joining analysis of protein alignment; consensus tree of 100 bootstraps; only bootstrap values greater than 50 are shown (PAUP*). *up, among the 100 most strongly up-regulated genes in infected leaves versus urediospores (Duplessis *et al.*, 2011). Mellp, *Melampsora larcii-populina*; PGTG, *Puccinia graminis* f. sp. *tritici*; Pp, *Phakopsora pachyrhizi*; Ua, *Uromyces appendiculatus*.

Cluster 2456 contains 27 members that are up to 234 amino acids in length. The mature proteins have a completely conserved CxC motif near their centres, and are rich in serine and glutamine, which occur in stretches as well as in conserved patterns. There is a conserved Rx[FLIM] motif very near the predicted signal peptide. The proteins in this cluster occur in *Uromyces* species and *P. graminis* f. sp. *tritici*, but not in *P. pachyrhizi* and *M. larcii-populina*, suggesting that they are restricted to the family Pucciniaceae. Phylogenetic analysis (Fig. S2, see Supporting Information) shows that *P. graminis* f. sp. *tritici* proteins cluster together, as do the proteins from *U. fabae* and *U. appendiculatus*. This indicates that the family has proliferated and diversified after the split between *Puccinia* and *Uromyces*. Strikingly, *Ua*-ISSP24 and *Uf*-ISSP41 (infection structure-secreted proteins) only differ by one amino acid. The genes in this family may function during different stages. For example, *Uf*-ISSP41 was only observed in cell types occurring before haustoria formation (Fig. S3, see Supporting Information), but PGTG_11318 is among the 100 most strongly up-regulated genes in infected wheat (Duplessis *et al.*, 2011).

Cluster 398 (12 members) is represented in all five rust species analysed. Its members contain 12 conserved cysteines and are up to 219 amino acids long, except for Mellp1|85995, which encodes a predicted 492-amino-acid protein. The family has a [YFW]xC motif that is part of a larger [YFW]xCxGxC motif (Fig. 6a). The phylogeny indicates that the proliferation of the family took place before and after the split between the different species (Fig. 6b). The family contains some of the most highly expressed genes encoding putative secreted proteins. *Uf*-HSP42 is the most abundant *Uf*-HSP and is not expressed in pre-haustorial cell types (Link and Voegelé, 2008), and *Ua*_contig14788 (807 reads) and *Ua*_contig08771 (686 reads) are among the most abundant haustorial transcripts. The same is true for *Pp*_contig03139 (229 reads) and *Pp*_contig08880 (195 reads). Consistent with this, three PGTG proteins are among the most highly up-regulated proteins in infected wheat leaves (Duplessis *et al.*, 2011).

Cluster 1_2 (38 members) is a large family of cysteine-rich proteins represented in the five rust species analysed, and, in general, proteins from individual species cluster together in the phylogeny. The predicted proteins range in size from 200 to 250 amino acids, and have eight conserved cysteines. The most strongly conserved region in this family forms a CLWxG motif (Fig. S4a, see Supporting Information). Members of this family also contain an RxY motif near their N termini. At 969 amino acids in length, PGTG_20487 is an outlier that encodes eight transmembrane domains and is annotated as a chloride channel. It possesses the conserved cysteines as well as the CLWxG and RxY motifs. The family is specific to rust fungi—only PGTG_20487 has homologues in other species. Family members from both *P. graminis* f. sp. *tritici* and *M. larcii-populina* are highly up-regulated during infection (Fig. S4b), as is *Uf*-HSP44. By

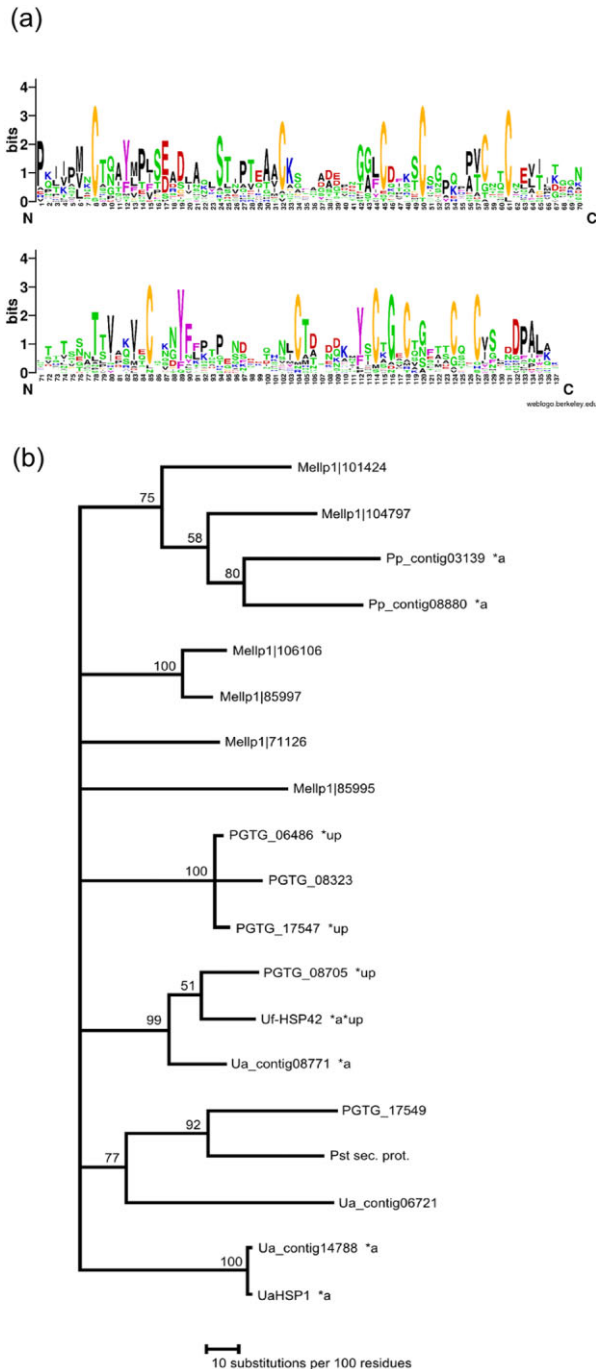


Fig. 6 Gene family cluster 398. (a) Conserved profile of 137 central amino acids (WebLogo). (b) Phylogeny: neighbour-joining analysis of protein alignment (PAUP*); consensus tree of 100 bootstraps; only bootstrap values greater than 50 are shown. *a, abundant transcripts; *up, among 100 most strongly up-regulated genes in infected leaves versus urediospores; *a*up, abundant and up-regulated in haustorium against pre-haustorial cell types (Duplessis *et al.*, 2011; Link and Voegelé, 2008). Mellp, *Melampsora larici-populina*; PGTG, *Puccinia graminis* f. sp. *tritici*; Pp, *Phakopsora pachyrhizi*; Ua, *Uromyces appendiculatus*; Uf, *Uromyces fabae*. Pst sec. prot., secreted protein from *Puccinia striiformis* f. sp. *tritici*.

contrast, *Uf*-HSP39 is only weakly expressed in haustoria (Duplessis *et al.*, 2011; Link and Voegelé, 2008), suggesting that members of the family are differentially regulated.

The five members of cluster 20 have six conserved cysteines, the second of which is included in a YGxC motif. Family members were also present in *P. graminis* f. sp. *tritici* and *M. larici-populina*, and, surprisingly, also in the necrotrophic ascomycete plant pathogens *Aspergillus*, *Penicillium*, *Pyrenophora* and *Phaeosphaeria* spp., and *Glomerella graminicola* and *Botryotinia fuckeliana*. The most distant species with homologues is *Phytophthora infestans*, an oomycete. We did not find homologues in any Basidiomycetes apart from the Pucciniales. The phylogeny (Fig. S5, see Supporting Information) implies that rust fungi acquired the gene once and that it subsequently diversified. The presence of the protein family in these diverse pathogens, and its absence in nonpathogens, strongly indicates a role in pathogenesis. Four of the *P. graminis* f. sp. *tritici* homologues are strongly up-regulated following infection of wheat (Duplessis *et al.*, 2011).

Not all of the families have members in all five of the rust species as in the previous examples. Cluster 1_0_152 (22 members) is an example of a family that is specific to *U. appendiculatus*. The family consists of small proteins ranging from 59 to 107 amino acids in length. There are two conserved cysteines in the predicted signal peptides, but none in the mature proteins. The proteins contain three [RHK]_x[LMIFYW] sites (Fig. S6, see Supporting Information).

Transposon- and virus-like sequences

In *P. pachyrhizi* and *U. appendiculatus*, 221 and 328 contigs, respectively, were classified as transposon, retrotransposon or polyprotein (Table 2). For *P. pachyrhizi*, transposons and retrotransposons accounted for 4.4% and polyproteins for 4.9% of all reads comprising contigs ≥ 100 bp. Similarly for *U. appendiculatus*, transposons and retrotransposons accounted for 4.5% and polyproteins 2.2% of all reads comprising contigs ≥ 100 bp. Interestingly, only five contigs corresponding to 0.3% of the reads were annotated as TEs in *P. pachyrhizi* sequences derived from resting urediospores and water-germinated spores.

We identified 10 *P. pachyrhizi* and four *U. appendiculatus* contigs of probable mycoviral origin (viruses of fungi; Table 3). The virus-like sequences from each fungus were dissimilar, and thus appear to be organism specific. In *P. pachyrhizi*, 9315 reads (2.3%), and, in *U. appendiculatus*, 10 777 reads (1.1%), were assembled into contigs related to mycoviral origin. Amino acid sequences predicted from ORFs longer than 300 bp were used in BLASTP searches against GenBank nr, and the top hits are provided in Table 3.

Seven contigs from *P. pachyrhizi* had high similarity to the RNA-dependent RNA polymerases (RdRps) of totiviruses (*Totiviridae*; double-stranded RNA viruses of fungi; Nuss, 2005).

Category	Number of contigs		
	<i>P. pachyrhizi</i>		<i>U. appendiculatus</i>
	Pre-haustorial	Haustoria	Haustoria
Transposons		1	3
Retrotransposons	1	29	54
Transposable elements		3	
Retrotransposable elements		25	163
Transposases	1	14	1
Polyproteins	2	138	71
Mutator-like elements	1	2	9
Others		15	29
Sum	5	221	328

Table 2 Transposon-related sequence descriptions for *Phakopsora pachyrhizi* and *Uromyces appendiculatus*.

Table 3 Sequence features of virus-like contigs found in *Phakopsora pachyrhizi* and *Uromyces appendiculatus*.

Contig	Reads*	Length†	ORF‡	RF§	AA¶	Top BLAST hit, <i>E</i> value**	<i>E</i>
<i>P. pachyrhizi</i>							
03854	3194	3518	109–3483	+1	1124	RdRp [<i>Tobacco mild green mosaic virus</i>]	3×10^{-27}
02383	2862	5179	49–2529	–2	826	RdRp [<i>Xanthophyllomyces dendrorhous virus L1A</i>]	7×10^{-103}
			2526–4976	–3	816	Major CP [<i>Saccharomyces cerevisiae virus L-A (L1)</i>]	3×10^{-5}
03662	2510	3728	84–3626	–1	1180	RdRp [<i>Blackberry yellow vein-associated virus</i>]	6×10^{-22}
02716	474	2309	791–1030	–2	79	RdRp, putative [<i>Ophiostoma mitovirus 5</i>]	1×10^{-22}
00799	80	2197	1–2046	–2	682	RdRp [<i>Xanthophyllomyces dendrorhous virus L2</i>]	2×10^{-116}
04598	67	2296	347–1987	+2	546	RdRp [<i>Xanthophyllomyces dendrorhous virus L2</i>]	1×10^{-136}
			1944–2237	+3	294	No BLAST with $E < 10^{-4}$	
00012	55	1017	154–1016	+1	287	RdRp [<i>Xanthophyllomyces dendrorhous virus L1b</i>]	1×10^{-36}
02568	47	1827	400–1002	–1	200	RNA polymerase [<i>Tuber aestivum virus 1</i>]	8×10^{-45}
			1–382	–3	127	RNA polymerase, putative [unidentified blackcurrant <i>Totiviridae</i>]	1×10^{-06}
			1208–1546	–3	112	RdRp [<i>Xanthophyllomyces dendrorhous virus L1b</i>]	6×10^{-13}
00672	20	1305	230–1304	+2	358	RdRp [<i>Xanthophyllomyces dendrorhous virus L2</i>]	8×10^{-94}
05068	6	449	3–446	–1	148	RdRp [<i>Xanthophyllomyces dendrorhous virus L1b</i>]	2×10^{-31}
			67–447	+1	127	No BLAST with $E < 10^{-4}$	
<i>U. appendiculatus</i>							
13226	5113	9639	44–3321	+2	1055	1a protein [<i>Cassia yellow blotch virus</i>]	1×10^{-26}
			3502–5388	+1	628	129-kDa replicase [<i>Frangipani mosaic virus</i>]	2×10^{-33}
			5755–6957	+1	400	59-kDa readthrough protein [<i>Sorghum chlorotic spot virus</i>]	4×10^{-38}
			6981–9551	+3	856	CI protein [<i>Onion yellow dwarf virus</i>]	2×10^{-11}
06136	3142	7466	165–7325	+3	2386	Polyprotein [<i>Beet mosaic virus</i>]	6×10^{-56}
00006	1425	3575	46–3501	–3	1151	RNA replicase [<i>Kyuri green mottle mosaic virus</i>]	2×10^{-23}
00002	1097	3445	102–3395	+3	1097	136-kDa protein [<i>Pepper ringspot virus</i>]	2×10^{-32}

CP, capsid protein; RdRp, RNA-dependent RNA polymerase.

*Number of reads in the contig. Contigs are sorted by this column for each species.

†Nucleotide length of the contig.

‡Starting and ending nucleotide of the open reading frame (ORF). Only ORFs encoding more than 100 amino acids are listed with the exception of *P. pachyrhizi* contig02716 for which the longest predicted ORF encodes a 79-amino-acid polypeptide.

§RF, reading frame of the ORF relative to the contig sequence; note that orientation was not preserved during sequencing.

¶AA, number of amino acids encoded by the ORF.

**A BLASTP search was performed against the GenBank nonredundant (nr) database using the predicted amino acid sequence from each ORF.

Pp_contig02383, 5179 bases in length, also encodes a capsid protein with a frame-shifted, overlapping ORF upstream of the RdRp, as is found in the 4580 bases of the type member totivirus *Saccharomyces cerevisiae virus L-A* (SCV; Icho and Wickner, 1989). Interestingly, Pp_contig02383 begins with GAAAATTCT, whereas SCV begins with GAAAAATTTT, and so we may have captured the correct 5' end. An extended 3' end was not found. Nevertheless, Pp_contig02383 plus Pp_contig04598 may represent a nearly complete viral genome. Therefore, based on the sequence similar-

ities, it is likely that these contigs represent a novel totivirus in *P. pachyrhizi*, although there may be variants. Another contig was similar to the RdRp of a novel mitovirus (*Narnaviridae*; nonencapsidated RNA viruses of fungi often found in mitochondria; Nuss, 2005). The remaining two virus-like sequences from *P. pachyrhizi* were similar to the RdRps of the plant viruses *Tobacco mild green mosaic virus* (*Tobamovirus*) and *Blackberry yellow vein-associated virus* (*Closteroviridae*). Interestingly, *Sclerotinia sclerotiorum RNA virus L*, a mycovirus, has conserved

motifs in its RNA replicase that make it related to plant closteroviruses and tobamoviruses (Ghabrial and Suzuki, 2009). Thus, it is possible that the other two contigs may also describe a novel mycovirus.

The four virus-like sequences in *U. appendiculatus* also have the highest similarity to plant virus polyproteins. Strikingly, Pp_contig06136 encodes a 2386-amino-acid polypeptide that has conserved sequence and genome organization with *Beet mosaic virus*, a plant potyvirus. Interestingly, mycoviruses of the *Hypoviridae* (hypoviruses) are phylogenetically related to potyviruses, having linear single-stranded RNA genomes of 9–13 kb in length encoding polyproteins and with polyA+ tails (Ghabrial and Suzuki, 2009). *Cryphonectria hypovirus 1-EP713*, the type member of *Hypoviridae*, encodes a suppressor of silencing, p29, at the amino terminus of the polyprotein, as do plant potyviruses (Nuss, 2005). Therefore, it is possible that these contigs with similarity to potyviruses represent hypoviruses in *U. appendiculatus*, and not plant viruses. Similar virus-like sequences observed by Jakupovic *et al.* (2006) were not encoded by the fungal genome.

DISCUSSION

Number of transcript assemblies, quality of data and coverage

The rust genomes sequenced to date contain approximately 16 000–18 000 genes (Duplessis *et al.*, 2011), and so we estimate that transcripts corresponding to approximately 25% of the *P. pachyrhizi* genome and 42% of the *U. appendiculatus* genome were identified in our study. The estimates suggest that coverage of the *U. appendiculatus* haustorial transcriptome was better than that for *P. pachyrhizi*. This difference was probably a result of the greater challenge of preparing RNA from *P. pachyrhizi* haustoria, which were lower in yield and smaller in size. Consequently, we could not sequence cDNA generated from polyA+ RNA for *P. pachyrhizi*, as we did for *U. appendiculatus*, and this possibly led to discrepancies in coverage. Nevertheless, similar average contig lengths suggest that RNA quality for *P. pachyrhizi* and *U. appendiculatus* haustoria was sufficient for a project of this kind. The numbers of unique transcripts expressed in haustoria of either species were somewhat fewer than the 12 846 contigs identified in *P. striiformis* f. sp. *tritici* (wheat stripe rust) haustoria (Garnica *et al.*, 2013) and the 7077 distinct contigs identified in *Golovinomyces orontii* (powdery mildew) haustoria (Weßling *et al.*, 2012). 454 sequencing was also used recently by Fernandez *et al.* (2012) to identify *Hemileia vastatrix* transcripts expressed during the infection of *Coffea arabica* leaves. From 6763 *H. vastatrix* contigs, they identified 382 predicted secreted proteins, which is similar to the numbers of transcripts and predicted secreted proteins found here. These studies utilizing next-generation sequencing methods have provided much greater cov-

erage of haustorial transcriptomes than previous efforts utilizing Sanger sequencing of cDNAs (Catanzariti *et al.*, 2006; Jakupovic *et al.*, 2006; Joly *et al.*, 2010; Puthoff *et al.*, 2008).

A couple lines of evidence helped to validate our approach of enriching haustoria to identify the haustorial transcriptomes of *P. pachyrhizi* and *U. appendiculatus*. The first is that we were able to identify homologues of several previously identified haustorial secreted proteins, such as RTPs and HESPs. This is similar to the case with the *P. striiformis* haustorial transcriptome in which RTP and HESP homologues were also present (Garnica *et al.*, 2013). The second was that PpGI_contig3375, making up nearly 20% of the reads and 50% of the reads with GO biological process annotation in resting urediospore and germ tube stages of *P. pachyrhizi*, had a very low expression in haustoria. The massive numbers of reads contributing to this contig skewed the results of GO annotation for over-represented GO categories in the resting urediospore and germ tube stages. This high expression in urediospores and germ tubes hints at a function in early infection. PpGI_3375 has similarity to the *GAS1/GAS2 gEgh16* gene family from the powdery mildew fungus *Blumeria graminis*, and *GAS1* and *GAS2* in *Magnaporthe grisea*. Consistent with a potential early function, *GAS1* and *GAS2* were only expressed in appressoria of *M. grisea* and, when these genes were deleted, appressorial penetration was reduced (Xue *et al.*, 2002; Zhang *et al.*, 2008). These observations show that our haustoria transcriptome included the expected genes and excluded highly abundant transcripts expressed at earlier stages.

Haustoria as power plants or refineries

The haustorium is the organ for the uptake of nutrients, sugars and amino acids by rust fungi (Struck *et al.*, 2002, 2004; Voegelé *et al.*, 2001). Enzymes that are highly expressed and localized in haustoria convert nutrient sugars to storage compounds (Link *et al.*, 2005; Voegelé *et al.*, 2005). Based on these findings, haustoria were named the 'power plants' of rust fungi (Voegelé and Mendgen, 2003). Some of the earliest experiments on expression profiling for haustoria identified highly induced genes involved in thiamine and amino acid biosynthesis, indicating that haustoria have metabolic roles in addition to nutrient uptake (Hahn and Mendgen, 1997; Jakupovic *et al.*, 2006; Sohn *et al.*, 2000). For *P. pachyrhizi*, GO categorization suggests that many genes in haustoria are involved in primary metabolism, with emphasis on carbohydrates and amino acids, and affiliated with nutrient uptake. These findings support the idea that haustoria are involved in the conversion of nutrients to form more useful factors for fungal growth and energy production, and confirm the function of haustoria as power plants or, perhaps more aptly, refineries for rust fungi (Voegelé and Mendgen, 2003). Our conclusions based on the analysis of GO annotation from the two haustorial transcriptomes are consistent with those of Garnica *et al.* (2013),

who used the differential expression of genes in the haustoria versus germinated spores of *P. striiformis* f. sp. *tritici*. They showed that haustoria are equipped to assimilate sugars, amino acids, nitrogen and other nutrients, and are precursors of the reductant, NADPH (reduced form of nicotinamide adenine dinucleotide phosphate). These molecules can readily be used for ATP production and synthesis of macromolecules in haustoria through pathways distinct from earlier life stages.

Families of putative candidate effectors

Initially, effectors were identified as avirulence proteins that are recognized by cognate resistance proteins and trigger hypersensitive disease resistance (De Wit *et al.*, 2009). However, it is now clear that the primary roles of effectors are to alter host cellular functions in favour of pathogens, such as suppressing defences in various ways or altering the host's metabolism (Song *et al.*, 2009). The study of effectors can provide valuable insights into pathogenesis, virulence and host defence responses (Duplessis *et al.*, 2012). Effectors are expected to be excellent targets for the control of pathogens, but, unlike effectors from some other plant pathogens, relatively little is known about rust effectors.

Effectors may be specific to their lineage or their species and belong to gene families that arose during the development of pathogenicity, and may be preferentially expressed during infection (Ellis *et al.*, 2009). The families of genes highlighted here possess hallmarks consistent with their potential roles as candidate effectors. They are lineage or species specific or more broadly conserved among plant pathogens. Based on the members present in the sequenced genomes of *P. graminis* and *M. larici-populina*, the families appear to have expanded during rust evolution, and some are clustered in fungal genomes, suggesting that tandem duplication has contributed to their evolution and expansion. Many genes have been shown previously to be strongly expressed during infection in *P. graminis* and *M. larici-populina*, which is consistent with their identification in haustoria of *P. pachyrhizi* and *U. appendiculatus*. We were able to identify families that were unique to *P. pachyrhizi* or *U. appendiculatus*, but families with members in both *P. pachyrhizi* and *Uromyces* ssp. also had members in at least one other rust species (Table S5). Thus, we were not able to identify putative effectors specific to legume rusts.

Many characterized effectors in fungal pathogens are SSPs and are often rich in cysteine (Stergiopoulos and de Wit, 2009). Many of the gene families we found encode short, cysteine-rich proteins that could be grouped by their distinct cysteine patterns. Apart from rust fungi (Duplessis *et al.*, 2011), similar genes have been found in several species of plant pathogenic fungi (Rep, 2005) and oomycetes (Kamoun, 2006), and have been implicated in avirulence and effector functions (Stergiopoulos and de Wit, 2009). Cysteines are important for secreted proteins to maintain their structure, but distinct cysteine patterns have been associated with

specific functions. For example, the plant defensins have antimicrobial properties (Graham *et al.*, 2008). Other short, cysteine-rich proteins are implicated in plant signalling (Marshall *et al.*, 2011). Kessler *et al.* (2010) found that receptors for small, secreted cysteine-rich proteins can play a role in pollen tube reception and fungal invasion, and it seems probable that cysteine-rich effector proteins could hijack host signalling pathways.

Recent studies have defined and categorized families of secreted proteins or SSPs in *M. larici-populina*, *P. graminis* and *P. striiformis*, and have predicted the families most likely to contain effector proteins (Cantu *et al.*, 2011; Hacquard *et al.*, 2012; Saunders *et al.*, 2012). When we compared our most interesting gene families with those of Hacquard *et al.* (2012), there was little overlap. Our cluster 112 corresponds to their Class III, and our cluster 398 corresponds to their Class VI with their characteristic cysteine motifs. The overlap between our clusters and the tribes identified in the comprehensive study by Saunders *et al.* (2012) was more extensive. We found that the following clusters and tribes correspond to one another: cluster 112–tribe74, cluster 2456–tribe213, cluster 389–tribe110, cluster 1_2–tribe38 and tribe75, and cluster 20–tribe76 (Fig. 4). Saunders *et al.* (2012) used an automated computational pipeline, whereas our analyses involved a more manual curation. These approaches produced similar conclusions, as exemplified by cluster 389–tribe110, which was identified as highly interesting by us and has a high probability score to contain effectors in Saunders *et al.* (2012). The case of cluster 20–tribe76 is an example of a family that we found to be highly interesting, but was overlooked by Saunders *et al.* (2012).

Because there is a paucity of sequence information available for rust fungi in general, it is noteworthy that increasing sequence information for just a few additional species enhances the knowledge on their gene families. For example, tribe213 is simply defined as lineage specific by Saunders *et al.* (2012), but we can now more closely define lineage specificity to the family level. The same holds true for tribe63, considered to be highly interesting by Saunders *et al.* (2012) in part because of its lineage specificity. Tribe63 corresponds to our cluster 1_63, with homologues from both *U. appendiculatus* and *P. pachyrhizi*, demonstrating that it is not lineage specific. With the genome sequences of *P. graminis* f. sp. *tritici* and *M. larici-populina* now published, we can be more confident that the families found to be lineage specific are specific to clades below family level.

Garnica *et al.* (2013) did not present an analysis of families of haustorial secreted proteins from *P. striiformis* f. sp. *tritici*. They did provide an analysis of the annotated proteins, and showed that 15 were annotated as different types of glycoside hydrolases related to chitinase as well as plant cell wall-degrading enzymes. We also observed predicted proteins with glycoside hydrolase activities, including chitinase (Table S1 and S2, Fig. 4). Garnica *et al.* (2013) also indicated that cysteine-rich proteins were abundant, with 191 of 437 predicted haustorial secreted proteins possessing 4–28

cysteines. Their experimental design also allowed them to determine that 85% of haustorial secreted proteins were differentially expressed when compared with germinated spores. They found that 295 were overexpressed in haustoria and 76 were overexpressed in germinated spores. These data are consistent with a majority of candidate effectors being strongly produced in haustoria where they can mediate biotrophic interactions. However, they did not exclude the likely possibility that important effectors are synthesized by other cell types at this and other life stages.

We did not identify a novel sequence motif in the full set of predicted secreted proteins that might indicate a signal used for recognition and transfer of haustorial expressed proteins into the plant cell. Nevertheless, in distinct gene families, we did find the [YFW]xC motif (Godfrey *et al.*, 2010) and conserved sites corresponding to the [RHK]x[LMIFYW] motif (Kale *et al.*, 2010). There has been controversy about the functionality of the latter motif in rust fungi (Gan *et al.*, 2010). Here, the motif occurs primarily in families lacking conserved cysteines. Unlike RXLR in oomycetes, which is typically in close proximity to the signal peptide (Rehmany *et al.*, 2005), the motifs found here were more dispersed. Garnica *et al.* (2013) also identified similar motifs, and used a statistical analysis indicating that the motifs occurred within the haustorial secreted proteins at frequencies expected on the basis of random chance.

Are TEs or viruses involved in the evolution or regulation of effectors?

In *Phytophthora* species, accelerated evolution of effectors is connected to the localization of effector loci in gene-poor and repetitive element-rich regions of the genome (Raffaele *et al.*, 2010). Similarly, *Ustilago maydis* effector loci were found in clusters in regions of low sequence conservation, which suggests rapid evolution of these genes (Kämper *et al.*, 2006; Schirawski *et al.*, 2010). For rust fungi, however, no mechanism for accelerated evolution has been demonstrated to date. Nevertheless, Duplessis *et al.* (2011) found that both *M. larici-populina* and *P. graminis* f. sp. *tritici* have very high numbers (45%) of TEs in their genomes. Our results indicate that *P. pachyrhizi* and *U. appendiculatus* also encode TEs in their genomes, and that these TEs are very active in haustoria because of their high expression levels. For *P. pachyrhizi*, we propose that transposon activity could contribute to the adaptability and large number of strains observed in the absence of a sexual stage (Bromfield, 1984).

These findings corroborate a previous observation that TE expression is greater in haustoria than in hyphae of *U. appendiculatus* (Puthoff *et al.*, 2008). Increased TE expression in fungi has been linked to the loss of Dicer-like and Argonaute genes responsible for gene silencing (Drinneberg *et al.*, 2009). In *U. appendiculatus*, we observed contigs with similarity to Argonaute and Dicer-like genes (contigs 09363, 10579, 00757,

03383, 10462). In *P. pachyrhizi*, we found Argonaute-like sequences (contigs 06318, 04581 and 00400), but not Dicer-like. Dicer-like genes may not be present because of random chance, low expression levels or absence from the *P. pachyrhizi* genome. If the silencing system is intact in these two fungi, the data imply that silencing suppression occurs in the haustoria, as proposed by Puthoff *et al.* (2008). Alternatively, haustoria could inherently lack gene silencing capabilities, or silencing could be suppressed by the host. The presence of hypoviruses that may encode silencing suppressors could also explain the increase in TEs. The activities of TEs and viruses in haustoria of *P. pachyrhizi* and *U. appendiculatus* suggest potential modes of biocontrol, with either killer viruses or hypovirulence (Drinneberg *et al.*, 2011; Nuss, 2005). It may also be possible to develop TE- or viral-based vectors for transient or stable transformation. Despite our evidence for the detection of viral RNAs, we have not discounted the possibility that the RNAs for all of these potential viruses instead originated from the fungal genome (Taylor and Bruenn, 2009). Further examination of these mycoviral species and TEs is warranted.

CONCLUSIONS AND FURTHER PROSPECTS

By isolating haustoria from infected leaves and assembling transcripts from shotgun pyrosequencing, we have identified genes from *P. pachyrhizi* and *U. appendiculatus* that are likely to be important to haustorium biology, biotrophy and pathogenicity of these rust fungi. We found several families of putative secreted proteins that fit the profile of candidate effectors from fungal pathogens based on their lineage specificity, small size, cysteine-rich nature, high expression during infection and lack of similarity to proteins of known function (Ellis *et al.*, 2009). Transformation of rust fungi to knock down or knock out the expression of candidate effectors may be feasible (Lawrence *et al.*, 2010), but such experiments remain a difficult proposition. Other approaches can be used to establish which genes encode bonafide effectors. For example, heterologous expression in other plant pathogens (Sohn *et al.*, 2007), cell death suppression assays (Bos *et al.*, 2009), identification of interaction partners from the host (Chen *et al.*, 2010), *in planta* localization (Kemen *et al.*, 2005) and host-induced gene silencing (Nowara *et al.*, 2010; Nunes and Dean, 2012) can be used to identify and characterize effectors. These studies, combined with the sequencing of additional isolates as well as close relatives, such as *U. fabae* for *U. appendiculatus* or *P. meibomia* for *P. pachyrhizi*, will provide more information on species-specific evolution of pathogenicity in legume-infecting rusts.

EXPERIMENTAL PROCEDURES

Plant and fungal material; growth and inoculation

Uromyces appendiculatus isolate SWBR1 (laboratory collection Universität Konstanz, Konstanz, Germany) was sprayed on all leaves of susceptible,

21-day-old, *Phaseolus vulgaris* var. *nanus* cv. Primel using a watery suspension containing 500 mg of spores and 500 mg of milk powder per litre. Plants were kept in the dark at 100% humidity for 24 h and then transferred to the glasshouse (day/night, 14 h/10 h–16 h/8 h; temperatures, 17–22 °C).

Phakopsora pachyrhizi isolate Thai1 (laboratory collection Universität Konstanz) was sprayed on all leaves of susceptible, 21-day-old, *Glycine max* cv. Erin. Inoculation, incubation and growth conditions were the same as for *U. appendiculatus*.

Preparation of haustorial cDNA

Haustoria were prepared as described by Hahn and Mendgen (1992) with minor modifications. To maximize the yield of haustoria, heavily infected leaves were collected at 10 dpi for *U. appendiculatus* and 12 dpi for *P. pachyrhizi*. Leaves were washed under running water whilst rubbing with a gloved hand to remove urediospores, and then dried with paper towels. After homogenization of the leaves, the homogenate was filtered through a 20- μ m nylon mesh (PA-20/14 Nybolt, Franz Eckert GmbH, Waldkirch, Germany). Because *P. pachyrhizi* haustoria are smaller, its homogenates were subsequently filtered through a 15- μ m nylon mesh (PA-15/10 Nybolt). Haustoria were enriched by concanavalin A (Con-A) binding, and microscopic examination of the suspensions determined that they almost exclusively contained haustoria and chloroplasts (Fig. 1). The haustoria to chloroplast ratios were about 3.5 for *P. pachyrhizi* and 2.5 for *U. appendiculatus*. Preparations with ratios >2 were used for RNA isolation. Haustoria were either spun down (*P. pachyrhizi*) or suspended in RNA^{later}® (Applied Biosystems, Foster City, CA, USA) (*U. appendiculatus*) and stored at –80 °C. Total RNA was extracted using citric acid buffers (Purescript®, Gentra-Systems Inc., Minneapolis, MN, USA), and its integrity was confirmed by agarose gel electrophoresis (Fig. 1). For the enrichment of mRNA, the Oligotex®-Mini kit (Qiagen, Hilden, Germany) was used. cDNA preparation and size fractionation were performed with the SMART™ cDNA Library Construction Kit (Clontech, Mountain View, CA, USA) using either 1 μ g of total RNA or 0.5 μ g of mRNA, and running the long distance polymerase chain reaction (LD-PCR) with 20 cycles.

Haustoria transcriptome shotgun sequencing, assembly and filtering

The three cDNA preparations (*U. appendiculatus* haustorial mRNA, *U. appendiculatus* haustorial total RNA and *P. pachyrhizi* haustorial total RNA) were lyophilized for storage and reconstituted in 10 mM Tris-HCl (pH 7.5) and 1 mM ethylenediaminetetraacetic acid (EDTA) at a final concentration of approximately 25 ng/ μ L each. Epicentre (Madison, WI, USA) Nextera preparation reactions (Roche Titanium-compatible kit, part number NT09115) were used as specified in the manufacturer's protocol. Nextera Adapter 1, without barcoding, was used for each library. Library quality control steps, emulsion PCR and pyrosequencing were performed as outlined in the Roche-454 Life Sciences (Branford, CT, USA) GS FLX Titanium methods manual (October 2008) using a GS Titanium LV emPCR (Lib-L) Kit V2 for the two-region 70 \times 75 PicoTiterPlate and a GS Titanium Sequencing Kit XLR70 (200 cycle). The sequencing reads were assembled into contigs using the 454 Newbler.2.3 assembly tool.

For *P. pachyrhizi*, the 11 872 contigs from the Newbler assembly were filtered to remove those that matched the soybean genome (Schmutz

et al., 2010), aligned well with plant proteins but not fungal proteins using BLASTX searches against the GenBank nr database, were redundant or had ORFs shorter than 50 amino acids and did not yield a hit with GenBank nr using BLASTX ($E \geq 10^{-3}$). The *P. pachyrhizi* Transcriptome Shotgun Assembly (TSA) project has been deposited at DDBJ/EMBL/GenBank under the accession number GACM00000000. The version described in this article is the first version, GACM01000000. Because our original assembly included all sequences 100 bp or longer and GenBank TSA only allows for submission of sequences of 200 bp or longer, the assembly is also available here as File S1.

For *U. appendiculatus*, the 14 581 contigs were filtered to remove those with matches to *P. vulgaris* sequences (*P. vulgaris* v 0.9, <http://www.phytozome.com>), the soybean genome (Schmutz *et al.*, 2010) and *Arabidopsis* plastid and mitochondrial genomes (Sato *et al.*, 1999; Unseld *et al.*, 1997) using BLASTN ($E \leq 10^{-14}$ and %ID $\geq 95\%$). The *U. appendiculatus* TSA project has been deposited at DDBJ/EMBL/GenBank under the accession number GACI00000000. The version described in this article is the first version, GACI01000000. For the same reasons as for *P. pachyrhizi*, the assembly is also available here as File S2.

Assembly of *P. pachyrhizi* ESTs available from GenBank

Phakopsora pachyrhizi ESTs (34 329) were downloaded from the National Center for Biotechnology Information (NCBI) EST database. ESTs originated from either water-germinated urediospore (31 433 ESTs), infected soybean leaf (2064 ESTs) or resting urediospore (832 ESTs) libraries. Sequence quality information in each record was used to trim low-quality sequence. If no quality information was provided, sequences were trimmed by 10% from the 3' end. BLASTN (Altschul *et al.*, 1997) against pSport1 (Gibco/BRL Life Technology, Carlsbad, CA, USA) was used to identify and remove vector sequence. ESTs were assembled using CAP3 (Huang and Madan, 1999) using a minimum overlap of 50 bases and a minimum alignment score of 100. Contigs were viewed using contigimage (Center for Computational Genomics and Bioinformatics, University of Minnesota, Minneapolis, MN, USA) and corrected using Consed (Gordon *et al.*, 1998). Following assembly, BLASTN ($E < 10^{-30}$) against the soybean EST gene index (Lee *et al.*, 2005) and GenBank was used to remove contaminant sequences. The remaining contigs and singletons comprise the *PpGI* (File S5).

Clustering of sequences and analysis of families

To identify homologous genes and gene families, we used BLASTX-based (Altschul *et al.*, 1997) single linkage clustering (Graham *et al.*, 2004) using E value cut-offs of 10^{-7} , 10^{-14} and 10^{-21} to identify clusters of related sequences. Clustering results were linked to predictions of secretion signals and BLASTX results of searches against eight basidiomycete genomes (Table S6, see Supporting Information). CLUSTAL W (Thompson *et al.*, 1994) was used to align predicted protein sequences within each cluster. HMMbuild (Durbin *et al.*, 1998) was used to create hidden Markov models (HMMs) for conserved domain(s) from trimmed alignments. HMMsearch (Durbin *et al.*, 1998) was used to screen the HMMs against our own data, Uniref100 (Apweiler *et al.*, 2004) and the eight basidiomycete genomes (Table S6). Additional sequences were added to the alignment if they met the following criteria: $E < 10^{-7}$, presence of a

predicted signal peptide (see below) and no additional transmembrane domains. Phylogenies of the families with bootstrap values were built using PAUP* software (PAUP*, portable version 4.0.0d55 for Unix) (Swofford, 2003), and the resulting trees were displayed with TREEVIEW (Page, 1996). Clustering results are summarized in Table S5.

GO annotation and analyses

Annotation was performed using BLAST2GO (Conesa *et al.*, 2005; Götz *et al.*, 2008). Default parameters were used for BLASTX (Altschul *et al.*, 1997) against GenBank nr [$E < 10^{-3}$, HSP > 33 bp and annotation with the BLAST2GO rule (E -value-Hit-Filter $E < 10^{-6}$; annotation cut-off, 55; GO weight, 5)]. The annotation step was repeated for all sequences with mapped GO terms, but without annotation, using a lower annotation cut-off of 45. GOslim was run, using either the generic or the yeast setting. Figures related to the annotation were created with BLAST2GO and edited using Inkscape (inkscape.org).

The total numbers of reads of all contigs and singletons in a GO category were summed and TEs and virus-like sequences were subtracted. Each GO category was normalized to the total reads for all the GO annotated reads to derive a ratio for the expression of a particular GO category in the haustoria relative to the expression in resting urediospores or germ tubes (Table 1). GO categories that were different between resting urediospores or germ tubes and haustoria were determined on the basis of Fisher's exact test, with correction for multiple testing (Bonferroni, 1935; Fisher, 1966) and a two-fold difference as a cut-off (Tables 1 and S4, see Supporting Information).

Prediction of secreted proteins

BestORF (SoftBerry, Inc., Mount Kisco, NY, USA) was used to predict ORFs within contigs with organism settings for *Puccinia*. Signal peptides were predicted using SignalP3 (Bendtsen *et al.*, 2004) with default cut-offs. Only proteins with a signal peptide predicted by both the neural network (NN) and HMM algorithms were considered for further testing. TMHMM (Sonnhammer *et al.*, 1998) was used to predict putative transmembrane domains. Proteins with putative transmembrane domains and the predicted signal peptide were presumed to be membrane proteins. TargetP (Emanuelsson *et al.*, 2007) was used to predict and exclude potential mitochondrial proteins. The default 'winner takes all' setting was used, but only proteins predicted as mitochondrial and falling into reliability classes 1, 2 or 3 (of 5) were considered to be mitochondrial. Protein sequences with a predicted signal peptide that were not mitochondrial and did not include an additional transmembrane domain were considered to be secreted proteins and retained as putative effectors. Finally GPI anchors were predicted using PredGPI (<http://gpcr.biocomp.unibo.it/predgpi/pred.htm>) (Pierleoni *et al.*, 2008). Only the proteins predicted as 'highly probable' were considered to be GPI anchored.

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SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article at the publisher's web-site:

Fig. S1 Sequence length distribution of contigs greater than 100 bp before application of bioinformatics filters: (A) *Phakopsora pachyrhizi*; (B) *Uromyces appendiculatus*.

Fig. S2 Phylogeny for gene family cluster 2456.

Fig. S3 *Uf*-ISSP41 is expressed in pre-haustorial *in vitro* infection structures but not in haustoria.

Fig. S4 Gene family cluster 1_2.

Fig. S5 Phylogeny for gene family cluster 20.

Fig. S6 Conserved amino acid motif in gene family cluster 1_0_152 (WebLogo).

File S1 FASTA file of the *Phakopsora pachyrhizi* haustorial transcriptome assembly.

File S2 FASTA file of the *Uromyces appendiculatus* haustorial transcriptome assembly.

File S3 List of *Phakopsora pachyrhizi* contigs from the haustorial transcriptome assembly encoding proteins with predicted secretion signals.

File S4 List of *Uromyces appendiculatus* contigs from the haustorial transcriptome assembly encoding proteins with predicted secretion signals.

File S5 FASTA file of the *Phakopsora pachyrhizi* Gene Index (*PpGI*) contigs assembled from *P. pachyrhizi* expressed sequence tag (EST) sequences available in GenBank.

Table S1 *Phakopsora pachyrhizi* haustorial transcriptome.

Table S2 *Uromyces appendiculatus* haustorial transcriptome.

Table S3 *Phakopsora pachyrhizi* pre-haustorial *PpGI* expressed sequence tag (EST) contigs.

Table S4 Comparison of transcription between gene ontology (GO) categories in *Phakopsora pachyrhizi* resting urediospores and water-germinated spores and *P. pachyrhizi* haustoria.

Table S5 Single linkage clustering results.

Table S6 Basidiomycete species screened for homologies.