



Published in final edited form as:

Br J Haematol. 2019 August ; 186(3): e31–e35. doi:10.1111/bjh.15861.

Clonal haematopoiesis of indeterminate potential among cancer survivors exposed to myelotoxic chemotherapy

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Keywords

clonal haematopoiesis; chemotherapy; next-generation sequencing; treatment-related myeloid neoplasm; lymphoma

The prevalence of clonal haematopoiesis of indeterminate potential (CHIP), defined as the presence of somatic mutations associated with myeloid malignancies (predominantly *DNMT3A*, *TET2* and *ASXL1*) among individuals without a myeloid neoplasm, increases with age, reaching >10% after 70 years of age (Genovese et al, 2014; Jaiswal et al, 2014; Young et al, 2016). CHIP increases the risk of haematological cancers, cardiovascular events, and overall mortality. It can also be detected within tumours and blood of patients with solid cancers (Coombs et al, 2017; Severson et al, 2018; Xie et al, 2014). DNA-damaging chemotherapy carries ~1% risk of treatment-related myeloid neoplasms (t-MN), often harbouring complex karyotypes and *TP53* mutations. Circulating clones with *TP53* and CHIP-associated mutations have been detected in cancer patients long before t-MN diagnosis (Coombs et al, 2017; Gibson et al, 2017; Gillis et al, 2017; Takahashi et al, 2017). We conducted a prospective cross-sectional study of cancer survivors exposed to myelotoxic chemotherapy to examine CHIP prevalence among these patients compared with an age-matched general population, rate of *TP53* mutations and association with time elapsed from completion of chemotherapy.

We collected blood samples from patients who had received anthracycline- or alkylator-containing chemotherapy for curative treatment of breast cancer or aggressive lymphoma.

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Authorship: AJO, PMD and PJQ designed research, conducted all statistical analyses, interpreted data and wrote the paper. ASK, ADC and MP conducted the analyses, interpreted data and wrote the paper. AJO, MLL, MAF, JLR and JNB provided patients and materials. CH, JR and IS collected the data and performed research. All authors have reviewed and edited the paper.

Conflict of interest statement: The authors declare no conflict of interest.

Subjects were clinically free of cancer, without any haematological disorders or unexplained cytopenias. We preferentially recruited patients aged 50–70 years, targeting a mean of 60 years, to allow comparison with the expected 5% CHIP prevalence observed in population-based studies at age 60 years (Genovese et al, 2014; Jaiswal et al, 2014). We identified CHIP by a next-generation sequencing, amplicon-based assay using Illumina TruSeq Custom Amplicon kit (MiSeq V2.2). The assay included 757 coding exons of 95 genes frequently mutated in haematological malignancies (Table SI), at mean coverage of 1500x (Kluk et al, 2016). Because recurrences of breast cancer may be delayed or clinically inconspicuous, we sequenced purified CD45+ cells to avoid potential contamination by circulating carcinoma cells (see Supplementary methods). A CHIP-associated mutation was called upon identification of a pathogenic single nucleotide variant or indel with variable allele frequency (VAF) $\geq 2\%$, excluding known minor germline alleles. The study had 80% power (with one-sided $\alpha=0.05$) to reject the null hypothesis in a sample of 80 subjects. We used univariate generalized linear models for further analysis of association between CHIP and explanatory variables. The study was approved by the Institutional Review Board at Rhode Island Hospital.

Among 80 enrolled subjects, 46 (57%) were survivors of breast cancer and 34 (43%) had survived aggressive lymphoma. Mean age in the study cohort was 62 years (standard deviation [SD], ± 7 , range 47–75), with 78% women and 88% white non-Hispanic subjects. Median time from completion of chemotherapy to enrolment was 27 months (interquartile range, 11–59). All patients had received either adjuvant or primary curative myelotoxic chemotherapy containing an anthracycline and/or an alkylating agent, as listed in Table SII. Mean coverage depth for the sequenced samples was 1407x (SD, ± 227), and $\geq 200\times$ coverage was achieved in a mean 91.2% (SD, $\pm 1.8\%$) of target amplicons.

We detected CHIP in 15 subjects (prevalence 19%; binomial 95% confidence interval: 12 to 29%), ruling out the 5% prevalence expected from age-matched population data (two-sided $P=9\times 10^{-6}$ on the binomial probability test). Table I lists clinical characteristics of patients with CHIP, and Table SIII shows the characteristics of 26 pathogenic mutations. The most common mutated genes were *DNMT3A*, *TET2*, *ASXL1* and *SRSF2*, typical for CHIP in the general population, with only 1 case of *TP53* mutation (6.7%, Fig. 1A). Mean VAF for CHIP mutations was 9.2% (SD, ± 8.3 , Fig. 1B). Eight out of 15 (53%) patients with CHIP had ≥ 2 (and up to 4) pathogenic mutations, notably higher than in population-based studies ($<10\%$) (Jaiswal et al, 2014). We did not observe an association between CHIP and time elapsed from completion of chemotherapy ($P=0.76$, Fig. 1C), although average VAF of CHIP-associated mutations non-significantly increased over time ($P=0.15$, Fig. 1D). Furthermore, CHIP was not significantly associated with age ($P=0.25$, within the narrow age range in this study), sex ($P=0.80$), race ($P=0.46$) or blood counts (Fig. 1E). We observed no significant difference between survivors of breast cancer or lymphoma in the prevalence of CHIP ($P=0.35$) or of specific mutations (Fig. S1). The long latency makes it unlikely that mutations were derived from a clinically occult relapse of an aggressive lymphoma.

Our results suggest that CHIP among cancer survivors exposed to chemotherapy is 4 times more frequent than in the age-matched population. However, it is far too frequent to use as a sole predictor of future t-MN, which occurs in only $\sim 1\%$, corresponding to the low observed

prevalence of *TP53* mutations. In the Cancer Genome Atlas study, CHIP was detected in 2.1% of cancer patients at diagnosis, with rare (6.8%) *TP53* mutations (Xie et al, 2014). Among 8,810 patients with advanced cancers, presence of CHIP did not correlate with receipt of any chemotherapy (Coombs et al, 2017), while *TP53* mutations were observed in 38% of t-MN cases with prior CHIP (Gillis et al, 2017). In contrast to these studies, we focused on patients with curable cancers treated with anthracycline/alkylator-based myelotoxic chemotherapy, and observed a typical distribution of age-related CHIP mutations, without over-representation of *TP53*. The notably high proportion of cases with multiple pathogenic mutations, also observed in CHIP preceding t-MN (Gibson et al, 2017), suggests that this might constitute an additional risk factor. Our results support the hypothesis that CHIP after chemotherapy is related to a competitive advantage of pre-existing (possibly multiple) clones after the stress of chemotherapy or an altered immune microenvironment, rather than a direct mutagenic effect. Our assay unfortunately did not cover *PPM1D* mutations, which are putatively associated with chemotherapy exposure (Coombs et al, 2017; Gibson et al, 2017). Furthermore, because of cross-sectional design and lack of a control group, we could not determine whether CHIP predated chemotherapy. A further longitudinal study may evaluate the usefulness of an affordable sequencing panel for detection of CHIP among cancer patients starting adjuvant chemotherapy, or as a surveillance tool afterwards, to predict the risk of cardiovascular toxicity or t-MN, and to optimize personalized treatment strategies.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

Presented in part at the 60th American Society of Hematology Annual Meeting & Exposition, December 1–4, 2018, San Diego, CA. This work was supported by the IDEa-CTR grant from the National Institute of General Medical Sciences (grant number U54GM115677).

Funding / competing interests: AJO is supported by the IDEa-CTR grant from the National Institute of General Medical Sciences (grant number U54GM115677) and a Research Scholar Grant from the American Cancer Society Grant (grant number 128608-RSGI-15-211-01-CPHPS). The authors declare no conflict of interest.

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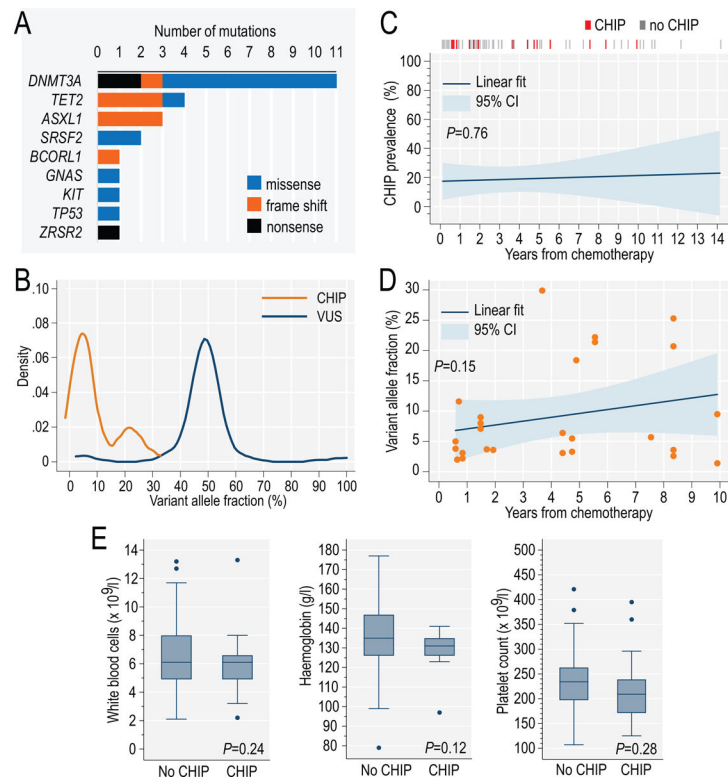


Figure 1. Distribution of 26 CHIP-associated pathogenic mutations identified in the study cohort (A); variant allele fractions (VAF) of mutations ($N=26$) classified as CHIP, or as variants of unknown significance (VUS, $N=101$) (B); association between presence of CHIP (C) or VAF of CHIP mutations (D) and time elapsed from completion of chemotherapy; distribution of blood counts among patients with or without detectable CHIP in the study (E); P values from generalized linear models. CHIP: clonal haematopoiesis of indeterminate potential; CI: confidence interval.

Table 1.

Characteristics of patients with post-chemotherapy CHIP, and specific mutations identified.

Age (years)	Sex	Race	Cancer	Chemo-therapy	Months from treatment	CHIP-associated mutations
49	F	W	Breast	ACT	20	<i>DNMT3A</i>
50	F	W	Breast	ACT	7	<i>DNMT3A</i> (x2)
54	F	B	Lymphoma	ABVD	23	<i>DNMT3A</i>
59	F	W	Breast	ACT	9	<i>GNAS</i>
61	F	W	Lymphoma	ABVD	91	<i>DNMT3A</i>
62	F	W	Breast	ACT	18	<i>ASXL1, DNMT3A, TET2</i>
64	M	W	Lymphoma	RCHOP	57	<i>DNMT3A, TP53</i>
65	F	W	Lymphoma	RCHOP	44	<i>TET2</i>
67	M	W	Lymphoma	RCHOP	119	<i>KIT, SRSF2</i>
67	F	W	Lymphoma	RCHOP	8	<i>TET2</i>
69	F	W	Breast	ACT	10	<i>ASXL1, DNMT3A</i>
69	M	W	Lymphoma	RCHOP	100	<i>ASXL1, BCORL1, SRSF2, ZRSR2</i>
71	F	W	Breast	AC	53	<i>DNMT3A</i> (x2)
71	F	W	Breast	ACT	59	<i>DNMT3A</i>
75	F	W	Lymphoma	CHOP	67	<i>DNMT3A, TET2</i>

ABVD: doxorubicin, bleomycin, vinblastine, and dacarbazine; AC: doxorubicin and cyclophosphamide; ACT: doxorubicin, cyclophosphamide and paclitaxel; B: black; CHIP: clonal haematopoiesis of indeterminate potential; CHOP: cyclophosphamide, doxorubicin, vincristine and prednisone; F: female; M: male; RCHOP: rituximab, cyclophosphamide, doxorubicin, vincristine and prednisone; W: white non-Hispanic.