

## Relativistic Effects in the Electronic Structure of Atoms

Hiroshi Tatewaki,<sup>\*,†,‡</sup> Shigeyoshi Yamamoto,<sup>\*,§</sup> and Yasuyo Hatano<sup>||</sup><sup>†</sup>Nagoya-City University, 1 Yamano-hata, Mizuho-cho, Mizuho-ku, Nagoya 467-8501, Japan<sup>‡</sup>Institute for Advanced Studies in Artificial Intelligence, Chukyo University, Toyota 470-0393, Japan<sup>§</sup>School of International Liberal Studies, Chukyo University, Nagoya 466-8666, Japan<sup>||</sup>Chukyo University, 101-2 Yagoto-Honmachi, Showa-ku, Nagoya 466-8666, Japan

## Supporting Information

**ABSTRACT:** Periodic trends in relativistic effects are investigated from  ${}_1\text{H}$  through  ${}_{103}\text{Lr}$  using Dirac–Hartree–Fock and non-relativistic Hartree–Fock calculations. Except for  ${}_{46}\text{Pd}$  ( $4d^{10}$ ) ( $5s^0$ ), all atoms have as outermost shell the  $ns$  or  $n'p$  spinors/orbitals. We have compared the relativistic spinor energies with the corresponding nonrelativistic orbital energies. Apart from  ${}_{24}\text{Cr}$  ( $3d^5$ ) ( $4s^1$ ),  ${}_{41}\text{Nb}$  ( $4d^4$ ) ( $5s^1$ ), and  ${}_{42}\text{Mo}$  ( $4d^5$ ) ( $5s^1$ ), the  $ns_+$  spinor energies are lower than the corresponding  $ns$  orbital energies for all atoms having  $ns$  spinor ( $ns_+$ ) as the outermost shell, as some preceding works suggested. This indicates that kinematical effects are larger than indirect relativistic effects (the shielding effects of the ionic core plus those due to electron–electron interactions among the valence electrons). For all atoms having  $np_+$  spinors as their outermost shell, in contrast, the  $np_+$  spinor energies are higher than the corresponding  $np$  orbital energies as again the preceding workers suggested. This implies that indirect relativistic effects are greater than kinematical effects. In the neutral light atoms, the  $np_-$  spinor energies are close to the  $np_+$  spinor energies, but for the neutral heavy atoms, the  $np_-$  spinor energies are considerably lower than the  $np_+$  spinor energies (similarly, the  $np_-$  spinors are considerably tighter than the  $np_+$  spinors), indicating the importance of the direct relativistic effects in  $np_-$ . In the valence  $nd$  and  $nf$  shells, the spinor energies are always higher than the corresponding orbital energies, except for  ${}_{46}\text{Pd}$  ( $4d^{10}$ ) ( $5s^0$ ). Correspondingly, the  $nd$  and  $nf$  spinors are more diffuse than the  $nd$  and  $nf$  orbitals, except for  ${}_{46}\text{Pd}$ .

1																	2
H																	He
3	4											5	6	7	8	9	10
Li	Be											B	C	N	O	F	Ne
11	12											13	14	15	16	17	18
Na	Mg											Al	Si	P	S	Cl	Ar
19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
55	56	*	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
87	88	**	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118
Fr	Ra	An	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Cn	Nh	Fl	Mc	Lv	Ts	Og
		*															
		Lanthanoid	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71
			La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
		**															
		Actinoid	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103
			Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

## 1. INTRODUCTION

In this work, periodic trends are investigated in the relativistic effects occurring in  ${}_1\text{H}$  through  ${}_{103}\text{Lr}$ , using Dirac–Hartree–Fock and nonrelativistic Hartree–Fock calculations. Application of the Dirac theory to many-electron atomic systems began with the work of Swirls,<sup>1</sup> who used the Hartree–Fock formalism in conjunction with the Dirac equation. The equations of the relativistic self-consistent field have been discussed using the algebra of tensor operators by Grant.<sup>2</sup> Compared to numerical methods, expansion methods are more effective because of their wide applicability to molecular electronic structure and solid-state structure. Kim<sup>3</sup> set out the relativistic Hartree–Fock equation using the expansion method. Desclaux<sup>4</sup> calculated numerical Dirac–Fock spinor energies, total energies, and other properties, such as  $\langle r \rangle$  for neutral atoms from  $Z = 1$  to 120 ( $Z$ : nuclear charge). Many papers have since been written on relativistic atomic and molecular theories. Work published up to January 2016 has been summarized by Pyykkö in the database “RTAM”.<sup>5</sup>

Many reviews exist of relativistic effects in atomic and molecular electronic structures. Desclaux<sup>4</sup> compared spinor energies and other properties with nonrelativistic values, but gave very little discussion. Rose, Grant, and Pyper (RGP)<sup>6</sup>

discussed the relativistic effects on the  ${}_{71}\text{Lu}$  ( $4f^{14}$ ) ( $5d^1$ ) ( $6s^2$ ),  ${}_{79}\text{Au}$  ( $5d^{10}$ ) ( $6s^1$ ), and  ${}_{81}\text{Tl}$  ( $5d^{10}$ ) ( $6s^2$ ) ( $6p^1$ ) states, which involve a single  $d$ ,  $s$ , or  $p$  electron. Here, we cite their statement, “the relativistic orbital is normally more tightly bound than corresponding one for  $s$  and  $p_-$  electrons, while for  $p_+$  electrons the effect of relativity is normally small. ... For  $d_-$  and  $d_+$  electrons, the relativistic orbital is more loosely bound than the nonrelativistic orbital”. To give a clear explanation, RGP introduced the terms “direct and indirect relativistic effects”, which would be also used in the present article. Pyykkö and Desclaux<sup>7</sup> stressed the importance of relativistic effects in heavy atoms, especially in giving rise to the color of  ${}_{79}\text{Au}$ , gold yellow. Pyykkö<sup>8,9</sup> also discussed relativistic effects involving  $s$ ,  $p$ ,  $d$ , and  $f$  electrons and pointed out the importance of these effects in heavy atoms. Reiher and Hess<sup>10</sup> and Ilias, Kellö, and Urban<sup>11</sup> summarized the four-component relativistic theory, as well as approximations, such as the Douglas–Kroll<sup>12</sup> transformation. Finally, Dyall and Faegri (DF)<sup>13</sup> summarized relativistic effects in atoms and closely discussed  ${}_{71}\text{Lu}$  ( $4f^{14}$ ) ( $5d^1$ ) ( $6s^2$ ),  ${}_{79}\text{Au}$

Received: June 16, 2017

Accepted: September 8, 2017

Published: September 22, 2017

( $5d^{10}$ ) ( $6s^1$ ), and  $_{81}\text{Tl}$  ( $5d^{10}$ ) ( $6s^2$ ) ( $6p^1$ ) states using the results of RGP, and they further discussed relativistic effects on the 6s orbital energies.

In the present study, we call the one-electron orbital wave function a spinor in the relativistic domain and an orbital in the nonrelativistic domain. We discuss relativistic effects in atoms from  $_{1}\text{H}$  to  $_{103}\text{Lr}$  by comparing the spinor energies with the orbital energies and by comparing the relativistically calculated radial expectation values ( $\langle r \rangle$ ) with the nonrelativistic values. All of the neutral atoms have ns or np spinors/orbitals as their outermost shell except for  $_{46}\text{Pd}$ , which has the electronic configuration ( $5s^0$ ) ( $4d^{10}$ ). Below, it will be shown that almost all of the outermost s spinors given by the Dirac–Fock calculations are contracted compared to those predicted by nonrelativistic Hartree–Fock calculations and that the spinor energies are more deeply negative than the corresponding orbital energies. In atoms in which np is the outermost shell, in contrast, the outermost  $np_+$  spinors are more diffuse than the corresponding np orbitals, and all have higher spinor energies than the corresponding orbital energies, as suggested by RGP<sup>6</sup> and DF.<sup>13</sup> The outermost  $d_+$  and  $f_+$  spinors behave in the same manner as the outermost  $p_+$  spinors.

In Section 3.1 the relativistic and nonrelativistic solutions of the hydrogenic ions are discussed. In this case, the solutions are exact. Sections 3.2–3.7 are devoted to relativistic effects arising in the atomic electronic structure of neutral atoms. The spinors and orbitals involved are all calculated by expansion methods with Gaussian-type functions (GTFs).

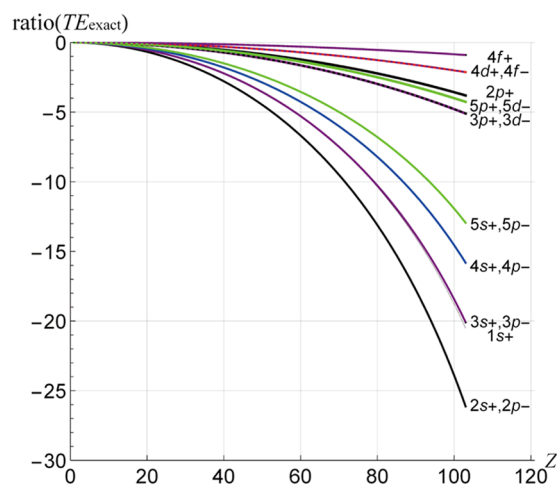
## 2. METHOD OF CALCULATIONS

To discuss the nonrelativistic total energy (TE) and the orbital energies, we used the nonrelativistic Hartree–Fock–Roothaan (HFR) method.<sup>14</sup> The relativistic TE and spinor energies are given by the Dirac–Fock–Roothaan (DFR) method.<sup>15</sup> The Hamiltonian for the relativistic calculation is composed of a one-electron Dirac term + a nuclear attraction term + an electron–electron interaction term. This is called the Dirac–Coulomb Hamiltonian. In HFR and DFR, the spinors and orbitals are expanded with GTFs. The nonrelativistic calculations were performed by Koga, Tatewaki, and Shimazaki,<sup>16</sup> and the relativistic calculations by Koga, Tatewaki, and Matsuoka,<sup>17–19</sup> in which the average-of-configuration (AOC) approximation,<sup>4</sup> the uniform nuclear charge distribution model,<sup>4</sup> and strict kinetic balance<sup>20,21</sup> were used.

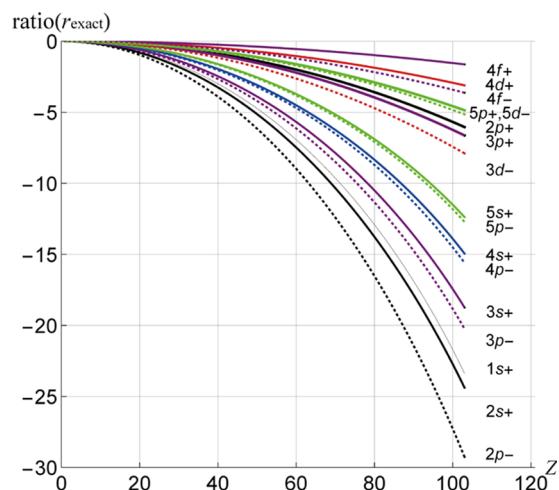
## 3. RESULTS AND DISCUSSION

**3.1. Relativistic Effects in the Hydrogenic Ions.** Exact relativistic solutions for the hydrogen atom were given analytically by Gordon<sup>22</sup> and Darwin<sup>23</sup> in 1928. Exact nonrelativistic solutions for the hydrogenic wave functions are also known analytically and are summarized by, for example, Pauling and Wilson.<sup>24</sup> The present authors have given tables<sup>25</sup> of the angular part of the exact Dirac equation of the hydrogenic atom and provided three-dimensional (3D) density plots running from  $1s_{1/2,1/2}$  ( $1s_+$ ) to  $4f_{7/2,7/2}$  ( $4f_+$ ).

In Figure 1, we show the relativistic correction as a proportion of the nonrelativistic value of the total energy (TE) for hydrogenic ions from  $_{1}\text{H}$  to  $_{103}\text{Lr}$ <sup>102+</sup>; in Figure 2, we do the same for the expectation value of  $r$  (defined below). Because the hydrogenic ions are composed of a single electron, these results act as a reference for judging the magnitude of electron–electron interaction effects in relativistic effects.



**Figure 1.** Ratio( $TE_{\text{exact}}$ ) ( $=TE(\text{exact Dirac}) \times 100/TE(\text{exact Schrödinger})$ ) for the s, p, d, and f shells of the hydrogenic ions.



**Figure 2.** Ratio( $r_{\text{exact}}$ ) ( $=\langle r(\text{exact Dirac}) \rangle - \langle r(\text{exact Schrödinger}) \rangle \times 100/\langle r(\text{exact Schrödinger}) \rangle$ ) for the s, p, d, and f shells of the hydrogenic ions.

$$\begin{aligned} \text{ratio}(TE_{\text{exact}}) &= (TE(\text{exact Schrödinger}) \\ &\quad - TE(\text{exact Dirac})) \\ &\quad \times 100/TE(\text{exact Schrödinger}) \end{aligned} \quad (1)$$

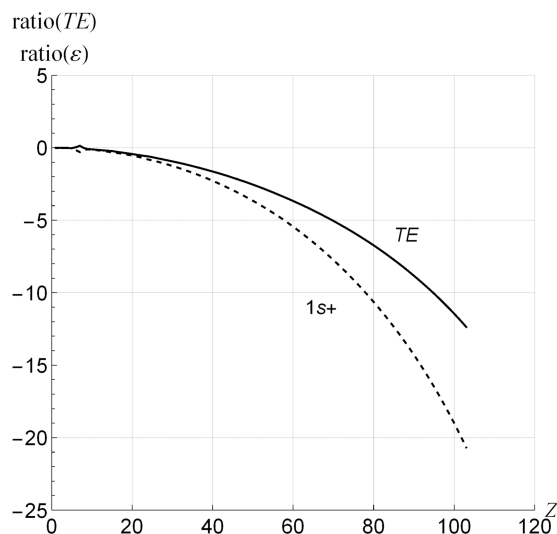
$$\begin{aligned} \text{ratio}(r_{\text{exact}}) &= (\langle r(\text{exact Dirac}) \rangle - \langle r(\text{exact Schrödinger}) \rangle) \\ &\quad \times 100/\langle r(\text{exact Schrödinger}) \rangle \end{aligned} \quad (2)$$

If  $\text{ratio}(TE_{\text{exact}})$  is negative, the TE value given by the exact solution of the Dirac equation is lower than that given by the exact solution of the Schrödinger equation. We use henceforth symbols  $j$  and  $n$  to denote the electronic total angular momentum quantum number and the principal quantum number, respectively.

From Figure 1, we observe that (1) the energies given by the Dirac equation are lower than the corresponding energies given by the Schrödinger equation; (2) states with the same values of  $j$  and  $n$  from the Dirac equation are degenerate; (3)  $\text{ratio}(TE_{\text{exact}})$  decreases monotonically as the nuclear charge ( $Z$ ) increases, indicating that relativistic effects increase as  $Z$  increases; and (4) relativistic effects decrease as  $j$  increases. In

Figure 2, we see a trend in  $\text{ratio}(r_{\text{exact}})$  similar to that in  $\text{ratio}(\text{TE}_{\text{exact}})$ . The degeneracy in  $\text{ratio}(\text{TE}_{\text{exact}})$  disappears; however, in  $\text{ratio}(r_{\text{exact}})$ , the difference in  $\text{ratio}(r)$  between  $2s_+$  and  $2p_-$  is large. We shall see that, in the ionic core of the neutral atoms,  $\text{ratio}(r)$  defined by eq 6 for  $(2s_+, 2p_-)$ , ...,  $(5s_+, 5p_-)$  behaves like  $(2s_+, 2p_-)$ , ...,  $(5s_+, 5p_-)$  in Figure 2.

**3.2. Relativistic Effects in 1s and TEs of Neutral Atoms.** We now discuss the values of TE and the 1s spinor/orbital energies for the neutral atoms obtained using the expansion methods. In Figure 3, we show the total energy (TE)



**Figure 3.**  $\text{Ratio}(\text{TE})$  ( $= (\text{TE}(\text{HFR}) - \text{TE}(\text{DFR})) \times 100 / \text{TE}(\text{HFR})$ ) and  $\text{ratio}(\varepsilon)$  ( $= (\varepsilon(\text{HFR}) - \varepsilon(\text{DFR})) \times 100 / \varepsilon(\text{HFR})$ ) for 1s (from  ${}_1\text{H}$  to  ${}_{103}\text{Lr}$ ).

ratios and the 1s orbital energy ratios for neutral atoms from  ${}_1\text{H}$  to  ${}_{103}\text{Lr}$ .

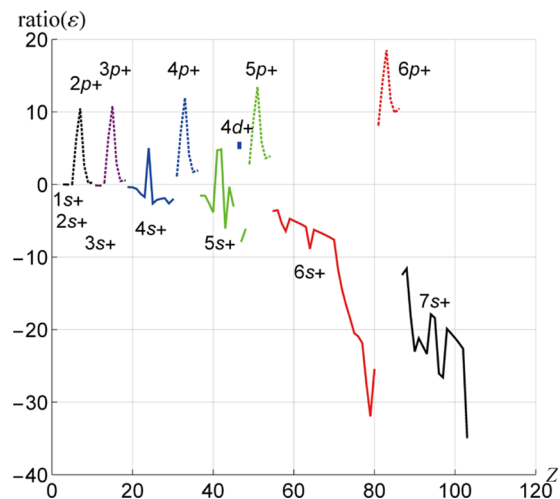
$$\text{ratio}(\text{TE}) = (\text{TE}(\text{HFR}) - \text{TE}(\text{DFR})) \times 100 / \text{TE}(\text{HFR}) \quad (3)$$

$$\text{ratio}(\varepsilon) = (\varepsilon(\text{HFR}) - \varepsilon(\text{DFR})) \times 100 / \varepsilon(\text{HFR}) \quad (4)$$

From Figure 3, we see that the ratios for  $1s_+$  of the neutral atoms are close to those of hydrogenic ions in Figure 1, indicating that the behavior of  $\text{ratio}(\varepsilon)$  for  $1s_+$  in the neutral atoms is similar to that for the hydrogenic ions. No anomalies in the  $\text{ratio}(\varepsilon)$  for  $1s_+$  are observed. It is safe to say that in the 1s spinor direct relativistic effects (see below) overcome shielding effects from the outer electrons and the other 1s electron. The value of  $\text{ratio}(\text{TE})$  also decreases monotonically from  ${}_1\text{H}$  to  ${}_{103}\text{Lr}$  as does  $\text{ratio}(\varepsilon)$  of  $1s_+$ . Finally, we used the electron configuration  $(6d^1)(7s^2)$  for  ${}_{103}\text{Lr}$  instead of  $(7p^1)(7s^2)$ <sup>26,27</sup> because we used the HFR and DFR results given by refs 16–19, where  $(6d^1)(7s^2)$  was employed.

**3.3. Relativistic Effects in the Outermost s and p Shells of Neutral Atoms.** Except for  ${}_{46}\text{Pd}$  ( $4d^{10}(5s^0)$ ), all atoms have ns or  $n'p$  orbitals/spinors as their outermost shell so far as the diffuseness is concerned. In this study, we classify atoms into three categories by the diffuseness of their spinors. The group 13–18 atoms except for  ${}_2\text{He}$  ( $1s^2$ ) have the electron configuration  $(ns^2)(np^m)$ ;  $m = 1-6$ , where  $np_+$  is the outermost spinor. The remaining atoms have an  $ns_+$  spinor as their outermost shell except for  ${}_{46}\text{Pd}$ . We may call the group 13–18 atoms p atoms, and the remaining atoms s atoms except for  ${}_{46}\text{Pd}$ , which we refer to as a d atom. The values of  $\text{ratio}(\varepsilon)$

given by eq 4 for the outermost ns's and np's are plotted in Figure 4. The  ${}_{46}\text{Pd}$  atom has  $4d_+$  as its outermost shell, and we



**Figure 4.**  $\text{Ratio}(\varepsilon)$  ( $= (\varepsilon(\text{HFR}) - \varepsilon(\text{DFR})) \times 100 / \varepsilon(\text{HFR})$ ) for the outermost shells (from  ${}_1\text{H}$  to  ${}_{103}\text{Lr}$ ).

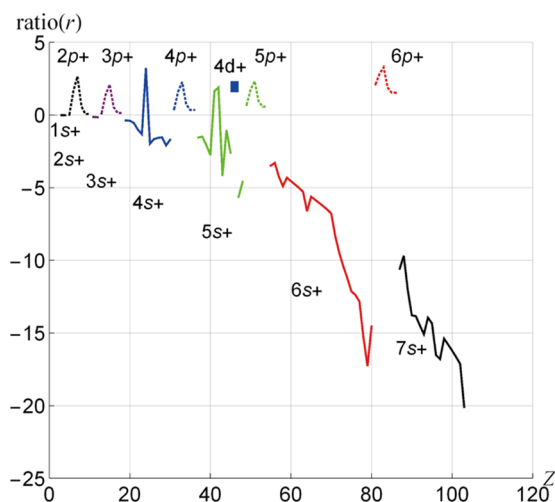
mark the  $\text{ratio}(\varepsilon)$  for  ${}_{46}\text{Pd}$  with a closed blue square. The value of  $\text{ratio}(\varepsilon)$  for the outermost ns is negative for all s atoms except for  ${}_{24}\text{Cr}$  ( $3d^5$ ) ( $4s^1$ ),  ${}_{41}\text{Nb}$  ( $4d^4$ ) ( $5s^1$ ), and  ${}_{42}\text{Mo}$  ( $4d^5$ ) ( $5s^1$ ). Relativistic effects lower the ns spinor energy in comparison to the corresponding ns orbital energy.

According to RGP<sup>6</sup> and DF,<sup>13</sup> relativistic effects in the Dirac–Coulomb Hamiltonian are divided into two parts, direct relativistic effects and indirect relativistic effects. Direct relativistic effects arise from the Dirac term plus nuclear attraction term, which is roughly divided into the spin–orbit interaction term and the so-called kinematical term.<sup>10</sup> The kinematical term is expressed approximately as the sum of the mass–velocity term and the Darwin term, and it is due to electrons moving at high velocity in the vicinity of the nucleus.

For the valence electrons, indirect relativistic effects appear as differences between relativistic and nonrelativistic electron–electron interactions among the valence–core and valence–valence shells. (Likewise, for the core electrons, indirect effects appear as differences between relativistic and nonrelativistic electron–electron interactions among the core–core and core–valence shells.) Indirect relativistic effects can be interpreted also as differences between relativistic and nonrelativistic shielding effects due to electron–electron interactions among the core–valence and valence–valence shell electrons. Kinematical effects cause the spinor energy to be lower than the orbital energy, whereas indirect relativistic effects cause the spinor energy to be lower or higher than the orbital energy, depending on the electronic structure. If the shielding effects in the relativistic calculation are larger than those in the nonrelativistic one, the indirect relativistic effects make the spinor energy higher. Conversely, if the shielding effects in the relativistic calculation are smaller than those in the nonrelativistic calculation, indirect relativistic effects reinforce the kinematical effects; the spinor energy becomes deeper.

The negative value of  $\text{ratio}(\varepsilon)$  for the s atoms shows that the effects of the kinematical term surpass the indirect relativistic effects, even if the indirect relativistic effects are oppositely oriented. The role of indirect effects in the s atoms will be discussed below. In the p atoms,  $\text{ratio}(\varepsilon)$  is positive for all of

the outermost  $np_+$ . This tells us that indirect relativistic effects, which cause the spinor energy to be shallow, surpass the direct relativistic effects; the inner closed shells and valence shells shield the nuclear charge more efficiently when relativity is taken into account. We also expect that relativistic effects cause  $p_+$  to be more diffuse than the nonrelativistic  $p$ . This tendency illustrated in Figure 5 is seen parallelly in ref 6.



**Figure 5.** Ratio( $r$ ) ( $=\langle r(\text{DFR})\rangle - \langle r(\text{HFR})\rangle \times 100/\langle r(\text{HFR})\rangle$ ) for the outermost shells (from  ${}^1\text{H}$  to  ${}^{103}\text{Lr}$ ).

We now discuss relativistic effects in the valence  $ns$  shells in more detail. Relativistic effects are negligibly small for  ${}^1\text{H}$ ,  ${}^2\text{He}$ ,  ${}^3\text{Li}$ ,  ${}^4\text{Be}$ ,  ${}^{11}\text{Na}$ ,  ${}^{12}\text{Mg}$ ; then, they increase gradually for the series  ${}^{19}\text{K}$ ,  ${}^{20}\text{Ca}$ , the first transition-metal atoms ( ${}^{21}\text{Sc}$ – ${}^{29}\text{Cu}$ ),  ${}^{30}\text{Zn}$ ,  ${}^{37}\text{Rb}$ ,  ${}^{38}\text{Sr}$ , the second transition-metal atoms ( ${}^{39}\text{Y}$ – ${}^{47}\text{Ag}$ ),  ${}^{48}\text{Cd}$ ,  ${}^{55}\text{Cs}$ ,  ${}^{56}\text{Ba}$ , the lanthanoid atoms ( ${}^{57}\text{La}$ – ${}^{71}\text{Lu}$ ), the third transition-metal atoms ( ${}^{72}\text{Hf}$ – ${}^{79}\text{Au}$ ),  ${}^{80}\text{Hg}$ ,  ${}^{87}\text{Fr}$ ,  ${}^{88}\text{Ra}$ , and the actinoid atoms ( ${}^{89}\text{Ac}$ – ${}^{103}\text{Lr}$ ). We, however, note that between the alkali-metal atoms and alkaline-earth metal atoms (between  ${}^{19}\text{K}$  and  ${}^{20}\text{Ca}$ ,  ${}^{37}\text{Rb}$  and  ${}^{38}\text{Sr}$ ,  ${}^{55}\text{Cs}$  and  ${}^{56}\text{Ba}$ ,  ${}^{87}\text{Fr}$  and  ${}^{88}\text{Ra}$ ), ratio( $\varepsilon$ ) is almost constant, indicating that shielding effects from the inner core of the alkali-metal atoms and alkaline-earth metal atoms are similar and the shielding effects from another  $ns$  electron are quite small. Because ratio( $\varepsilon$ ) for  $4s_+$  and  $5s_+$  is negative (the  $ns$  spinor energy is lower than the  $ns$  orbital energy), and decreases as the number of  $d$  electrons increases, we infer that the shielding effects of the relativistic  $nd$  shell decreases faster than those of the nonrelativistic  $nd$  shell. Indirect relativistic effects in the first and second transition-metal atoms enhance the relativistic effects. In Figure 5, we see anomalies at  ${}^{24}\text{Cr}$  ( $3d^5$ ) ( $4s^1$ ),  ${}^{41}\text{Nb}$  ( $4d^4$ ) ( $5s^1$ ), and  ${}^{42}\text{Mo}$  ( $4d^5$ ) ( $5s^1$ ), which are high-spin states in the LS-coupling scheme. These anomalies are presumably due to the use of AOC in DFR.

The shielding effects of the relativistic  $nf$  shell on the  $6s$  shell are further decreased compared to the nonrelativistic  $nf$  shell. For these atoms, we observe two dips (see Figure 5). The first is at  ${}^{58}\text{Ce}$  ( $4f^1$ ) ( $5d^1$ ) ( $6s^2$ ), and the second at  ${}^{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ). The shielding effect of the  $5d$  spinor/orbital is expected to be smaller than that of the  $4f$  spinor/orbital. This lowers the  $6s$  spinor/orbital energies of  ${}^{58}\text{Ce}$  and  ${}^{64}\text{Gd}$  compared to the other atoms, which are not associated with a  $5d$  electron. The example is an excited state of  ${}^{64}\text{Gd}$ , ( $4f^8$ ) ( $6s^2$ ). If we write the spinor/orbital energy of  $6s$  of the  ${}^{64}\text{Gd}$  ( $4f^8$ ) ( $6s^2$ ) excited state

as  $\varepsilon'(\text{DFR})_{6s}$  and  $\varepsilon'(\text{HFR})_{6s+}$  respectively, the value of ratio( $\varepsilon$ ) for  $6s_+$  of  ${}^{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) is given by

$$\begin{aligned} \text{ratio}(\varepsilon) &= ((\varepsilon'(\text{HFR})_{6s} + \delta\varepsilon'_{\text{nonrel},6s}) \\ &\quad - (\varepsilon'(\text{DFR})_{6s+} + \delta\varepsilon'_{\text{rel},6s+})) \\ &\quad \times 100/(\varepsilon'(\text{HFR})_{6s} + \delta\varepsilon'_{\text{nonrel},6s}) \end{aligned} \quad (5)$$

where the two  $\delta$  terms are due to the change of occupation ( $4f \rightarrow 5d$ ). These  $\delta$  terms are negative, as suggested above. For this ratio to be smaller than  $(\varepsilon'(\text{HFR})_{6s} - \varepsilon'(\text{DFR})_{6s+}) \times 100/\varepsilon'(\text{HFR})_{6s}$ , it is necessary that  $(\delta\varepsilon'_{\text{nonrel},6s} - \delta\varepsilon'_{\text{rel},6s+}) \gg 0$ . From the spinor/orbital energies of  ${}^{63}\text{Eu}$  ( $4f^7$ ) ( $6s^2$ ) and  ${}^{65}\text{Tb}$  ( $4f^9$ ) ( $6s^2$ ), we have estimated the spinor/orbital energies and  $\delta$ 's for  ${}^{64}\text{Gd}$  ( $4f^8$ ) ( $6s^2$ ) as  $\varepsilon'(\text{DFR})_{6s+} = -0.183$  au and  $\varepsilon'(\text{HFR})_{6s} = -0.173$  au. The spinor/orbital energies ( $\varepsilon$ 's) of  ${}^{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) are  $-0.1996$  and  $-0.1833$  au, respectively. We then have  $\delta\varepsilon'_{\text{rel},6s+} = -0.016$  au and  $\delta\varepsilon'_{\text{nonrel},6s} = -0.011$  au. The absolute value of  $\delta\varepsilon'_{\text{rel},6s+}$  is 150% times that of  $\delta\varepsilon'_{\text{nonrel},6s}$ . Thus,  $6s_+$  is far more stabilized than  $6s$  by the change of occupation ( $4f \rightarrow 5d$ ). That is the origin of the dip at  ${}^{64}\text{Gd}$ . Actually, eq 5 gives ratio( $\varepsilon$ ) of  $-8.9\%$ , whereas  $(\varepsilon'(\text{HFR})_{6s} - \varepsilon'(\text{DFR})_{6s+}) \times 100/\varepsilon'(\text{HFR})_{6s}$  gives ratio( $\varepsilon$ ) of  $-5.6\%$ .

For  ${}^{71}\text{Lu}$ , and for the third transition-metal atoms ( ${}^{72}\text{Hf}$ – ${}^{79}\text{Au}$ ) and  ${}^{80}\text{Hg}$ , the indirect relativistic effects increase as suggested by ratio( $\varepsilon$ ) for  $6s_+$ . Ratio( $\varepsilon$ ) decreases sharply and has a minimum at  ${}^{79}\text{Au}$  ( $5d^{10}$ ) ( $6s^1$ ). RGP<sup>6</sup> calculated that  $\varepsilon_{6s} = -0.221$  au and  $\varepsilon_{6s+} = -0.292$  au. Replacing the relativistic core with nonrelativistic core and by similar replacement, RGP<sup>6</sup> gave an approximate value of the direct relativistic and indirect relativistic contributions. The given values are  $-0.072$  au for the direct relativistic contribution and  $-0.006$  au for the indirect contribution. Following RGP, the direct relativistic contribution governs the lowering in the spinor energy relative to orbital energy at  ${}^{79}\text{Au}$ . The sharp decrease in the  $\varepsilon(\text{DFR})$  given in Figure 4 is thus mainly brought by the direct effects supported with indirect relativistic effects. Finally, Dyllal and Faegri<sup>13</sup> showed the anomalies of  $6s_+$  spinor energies at  ${}^{74}\text{W}$ ,  ${}^{78}\text{Pt}$ , and  ${}^{79}\text{Au}$ . These are due to the electron configuration ( $5d^{n+1}$ ) ( $6s^1$ ). We have anomalies at  ${}^{78}\text{Pt}$  and  ${}^{79}\text{Au}$ , but not at  ${}^{74}\text{W}$ . In the present work,  ${}^{78}\text{Pt}$  and  ${}^{79}\text{Au}$  have ( $5d^{n+1}$ ) ( $6s^1$ ), but  ${}^{74}\text{W}$  does ( $5d^n$ ;  $n = 4$ ) ( $6s^2$ ).

We summarize the relativistic corrections for the  $s$  atoms as follows: (1) the kinematical effect<sup>10</sup> mainly lowers the outermost  $ns_+$  energies compared to the  $ns$  orbital energies and (2) the smaller shielding effects of the electrons in the  $d$  and  $f$  orbitals (part of the indirect relativistic effects<sup>10</sup>) than those of electrons in the  $d$  and  $f$  orbitals further support the lowering in energy of  $ns_+$ .

We next discuss about the  $p$  atoms. Their electronic structures are fairly simple. The  $np$  electrons always move in the electric field generated by the closed-shell ion core, which gives a similar shape for the  $np_+$  ratio( $\varepsilon$ ) regardless of  $n$ . The repulsive potential generated from the closed-shell ion core plus the two-electron interaction terms among the  $np$  electrons in the DFR is greater than that in the HFR. The indirect relativistic effects<sup>6,10,13</sup> cause the  $np_+$  spinor energies to be shallow, the opposite situation to the  $ns_+$  cases discussed above. Indirect relativistic effects are stronger than the direct relativistic effects so that the  $np_+$  spinor energies are higher than the orbital energies. The value of ratio( $\varepsilon$ ) has a peak at ( $np^3$ ). We believe that this peak is exaggerated as a result of the



use of AOC in the DFR calculations. Finally, RGP<sup>6</sup> estimated the magnitude of the direct and indirect relativistic effects for  $_{81}\text{Tl}$   $6p_-$  and  $6p_+$ . For  $6p_-$ , the direct relativistic effect is  $-0.057$  au and the indirect one is  $0.028$  au. For  $6p_+$ , they are  $-0.014$  and  $0.028$  au, respectively. Totally, the relativistic effects decrease the  $6p_-$  spinor energy and increase the  $6p_+$  spinor energy. Ratio( $\epsilon$ )'s for  $_{81}\text{Tl}$  by the use of their estimated values are  $-7$  and  $17\%$  for the  $6p_-$  and  $6p_+$  spinors, respectively, which are consistent with the present values of  $-9.5\%$  for  $6p_-$ , which would be shown later, and  $8.3\%$ , given in Figure 4, for  $6p_+$ .

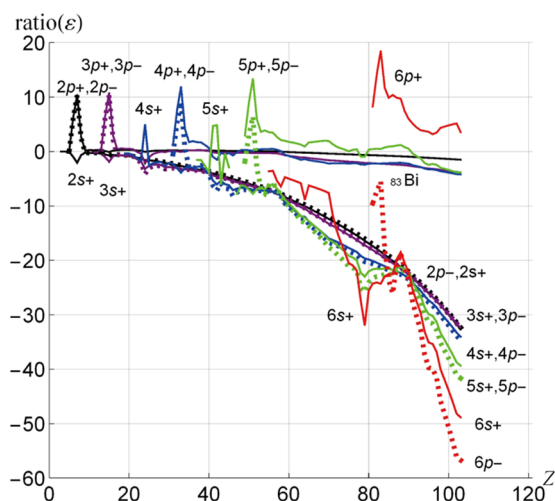
In Figure 5, the ratio

$$\text{ratio}(r) = (\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle) \times 100 / \langle r(\text{HFR}) \rangle \quad (6)$$

is shown for the outermost  $ns_+$  for the s atoms, and  $np_+$  for the p atoms. The results for ratio( $r$ ) are similar to those for  $\epsilon$  in Figure 4, although the absolute values of ratio( $r$ ) are smaller than ratio( $\epsilon$ ). The explanation given for Figure 4 holds also for Figure 5. It is well known that for heavy atoms, such as the lanthanoid atoms, the size of  $\langle r \rangle$  of 6s contracts as  $Z$  increases. In fact, in both the relativistic and nonrelativistic calculations, the 6s  $\langle r \rangle$  value decreases as  $Z$  increases. As shown in Figure 5, the lanthanoid contraction ( $_{57}\text{Ce}$ – $_{70}\text{Yb}$ ) and the actinoid contraction ( $_{89}\text{Ac}$ – $_{102}\text{No}$ ) are strengthened by relativistic effects. In  $_{71}\text{Lu}$ , the third transition-metal atoms ( $_{72}\text{Hf}$ – $_{79}\text{Au}$ ), and  $_{80}\text{Hg}$ , the relativistic effects are fairly large.

Spinor/orbital energies of the outermost shells and inner shells and the mean distances of  $r$  for spinors/orbitals are given in the Supporting Information.

**3.4. Relativistic Effects in the Inner s and p Shells of Neutral Atoms.** In the case of the hydrogenic ions described in Section 2, the spinors specified by  $j$  with the same principal quantum number  $n$  are energetically degenerate, and their values of ratio( $\epsilon$ ) take the same value. As shown in Figure 6, even for the neutral atoms, these ratios for the ( $ns_+$ ,  $np_-$ ) pair



**Figure 6.** Ratio( $\epsilon$ ) ( $=(\epsilon(\text{HFR}) - \epsilon(\text{DFR})) \times 100 / \epsilon(\text{HFR})$ ) for the valence and inner s and p shells. (1) Black solid lines denote  $2p_+$  and  $2s_+$ , and the black dotted line denotes  $2p_-$ . (2) Purple solid lines denote  $3p_+$  and  $3s_+$ , and the purple dotted line denotes  $3p_-$ . (3) Blue solid lines denote  $4p_+$  and  $4s_+$ , and the blue dotted line denotes  $4p_-$ . (4) Green solid lines denote  $5p_+$  and  $5s_+$ , and the green dotted line denotes  $5p_-$ . (5) Red solid lines denote  $6p_+$  and  $6s_+$ , and the red dotted line denotes  $6p_-$ .

of  $j = 0$  in the ion cores behave like those of the hydrogenic ions. In this figure, solid lines show ratio( $\epsilon$ ) for  $ns_+$  or  $np_+$  and dotted lines show ratio( $\epsilon$ ) for  $np_-$ . We discuss these pairs in detail.

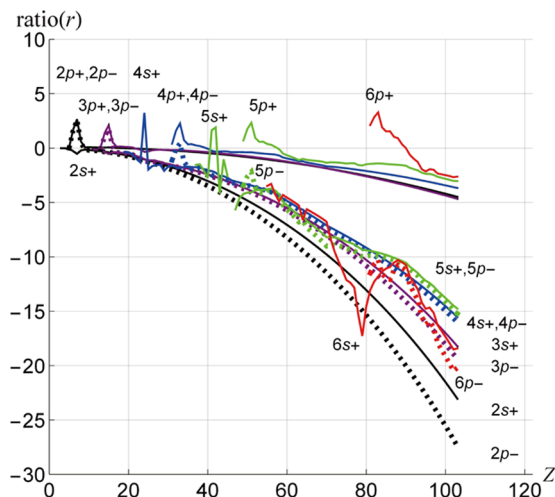
The value of ratio( $\epsilon$ ) for  $2p_-$  (black dotted line) is close to that (upper black solid line) for  $2p_+$  of the neutral atoms from  $_{5}\text{B}$  to  $_{8}\text{O}$ , but for those from  $_{9}\text{F}$  ( $2s_+$ :  $-0.22\%$ ,  $2p_-$ :  $-0.18\%$ ) to  $_{103}\text{Lr}$  ( $2s_+$ :  $-32.66\%$ ,  $2p_-$ :  $-32.31\%$ ), its value is close to that (lower black solid line) of  $2s_+$ . Values of ratio( $\epsilon$ ) for  $2p_+$  of  $_{9}\text{F}$  and  $_{103}\text{Lr}$  are  $+0.19$  and  $-1.50\%$ , respectively. Values of ratio( $\epsilon$ ) for  $2p_+$  of  $_{9}\text{F}$  and  $_{103}\text{Lr}$  are far from the corresponding ratio( $\epsilon$ ) for  $2p_-$ . Values of ratio( $\epsilon$ ) for  $2s_+$ ,  $2p_-$ , and  $2p_+$  of atoms beyond  $_{8}\text{O}$  decrease monotonically as  $Z$  increases. The valence shell electronic structure is not reflected in the value of ratio( $\epsilon$ ) after  $_{9}\text{F}$ ; the influence of the valence shell structure is observed in, for instance, the rapid decrease of ratio( $\epsilon$ ) for  $4s_+$ ,  $4p_-$ ,  $5s_+$ , and  $5p_-$  and the local minimum at  $_{79}\text{Au}$ . It can be stated with reasonable confidence that after  $_{11}\text{Na}$ , the 2s and 2p spinor/orbital energies are stable, meaning that they are not influenced by the electronic structures of the outer shells.

A similar discussion to that given above holds for the  $3s$ – $3p$  and  $4s$ – $4p$  shells. The value of ratio( $\epsilon$ ) for  $3p_-$  (purple dotted line in Figure 6) is near to that of  $3p_+$  (upper purple solid line) in the case of the neutral atoms from  $_{13}\text{Al}$  to  $_{16}\text{S}$ , but it is close to that of  $3s_+$  (lower purple solid line) from  $_{17}\text{Cl}$  ( $3s_+$ :  $-0.62\%$ ,  $3p_-$ :  $-0.56\%$ ) to  $_{103}\text{Lr}$  ( $3s_+$ :  $-32.42\%$ ,  $3p_-$ :  $-32.43\%$ ). The values of ratio( $\epsilon$ ) for  $3p_+$  of  $_{17}\text{Cl}$  and  $_{103}\text{Lr}$  are  $+0.47$  and  $-3.83\%$ , respectively. Relativistic effects are large for the ( $3s_+$ ,  $3p_-$ ) pair compared to  $3p_+$ , especially for the heavy atoms. The difference in the outer-shell electronic structure is not reflected in the values of ratio( $\epsilon$ ) for the inner 3s and 3p shells after  $_{17}\text{Cl}$ . Beyond  $_{19}\text{K}$ , the spinor/orbital energies appear to be stable, meaning that they are not influenced by the electronic structures of the outer shells. The value of ratio( $\epsilon$ ) for  $4p_-$  (blue dotted line) is close to that for  $4p_+$  (upper blue solid line) of the neutral atoms from  $_{31}\text{Ga}$  to  $_{34}\text{Se}$ , but close to that for  $4s_+$  (lower blue solid line) from  $_{35}\text{Br}$  ( $4s_+$ :  $-2.84\%$ ,  $4p_-$ :  $-2.79\%$ ) to  $_{103}\text{Lr}$  ( $4s_+$ :  $-34.02\%$ ,  $4p_-$ :  $-34.66\%$ ). The values of ratio( $\epsilon$ ) for  $4p_+$  of  $_{35}\text{Br}$  and  $_{103}\text{Lr}$  are  $+1.72$  and  $-4.21\%$ , respectively. Kinematical effects are large for the ( $4s_+$ ,  $4p_-$ ) pair compared to  $4p_+$ , especially for the heavy atoms, as also for the ( $3s_+$ ,  $3p_-$ ) pair compared to  $3p_+$ . The outer-shell electronic structures have some influence on the ( $4s_+$ ,  $4p_-$ ) pair as far as roughly  $_{86}\text{Rn}$ ; they have scarcely any influence on the ( $4s_+$ ,  $4p_-$ ) pair beyond  $_{87}\text{Fr}$ .

Values of ratio( $\epsilon$ ) for  $5s_+$ – $5p_-$  and  $6s_+$ – $6p_-$  inner shells are quite different from the  $3s_+$ – $3p_-$  and  $4s_+$ – $4p_-$  inner shells referred to above. Ratio( $\epsilon$ ) for  $5s_+$  (lower green solid) begins at  $_{37}\text{Rb}$  and that for  $5p_-$  (green dotted) begins at  $_{49}\text{In}$ . However, ratio( $\epsilon$ ) for  $5p_-$  is different from that for  $5p_+$  (upper green solid) at  $_{49}\text{In}$  ( $5p_-$ :  $-1.83\%$ ,  $5p_+$ :  $2.91\%$ ). The value of ratio( $\epsilon$ ) for  $5p_-$  is the same as for  $5s_+$  at  $_{53}\text{I}$  ( $-6.76\%$ ). Beyond  $_{53}\text{I}$ , ratio( $\epsilon$ ) is similar for  $5s_+$  and  $5p_-$ , but does not decrease monotonically as  $Z$  increases; see the local minimum at  $_{79}\text{Au}$ . The solid red line shows ratio( $\epsilon$ ) for  $6s_+$ , beginning at  $_{55}\text{Cs}$ ; the red dotted line showing  $6p_-$  begins at  $_{81}\text{Tl}$ . From  $_{55}\text{Cs}$  to  $_{80}\text{Hg}$ , the outermost shell is  $6s_+$ . As shown in Figures 4 and 6, the value of ratio( $\epsilon$ ) for the 6s shell changes dramatically as  $Z$  decreases. Ratio( $\epsilon$ ) for  $6p_-$  begins at  $_{81}\text{Tl}$  and takes a very different value from that for  $6p_+$  ( $6p_-$ :  $-9.49\%$ ,  $6p_+$ :  $8.26\%$ ); the  $6p_-$  spinor energy is considerably lower than the 6p orbital energy, and the  $6p_+$  spinor energy is considerably higher than

the 6p orbital energy;  $\epsilon(6p_-) = -0.2105$  au,  $\epsilon(6p) = -0.1923$  au, and  $\epsilon(6p_+) = -0.1764$  au at  $_{81}\text{Tl}$ . The values of  $\text{ratio}(\epsilon)$  for  $6s_+$  and  $6p_-$  of  $_{85}\text{At}$  are comparable ( $6s_+$ :  $-22.52\%$ ,  $6p_-$ :  $-23.67\%$ ), but are different at  $_{86}\text{Rn}$  ( $6s_+$ :  $-22.54\%$ ,  $6p_-$ :  $-26.09\%$ ). For  $6p_+$  of  $_{85}\text{At}$ ,  $\text{ratio}(\epsilon)$  is  $+9.88\%$ . After  $_{87}\text{Fr}$ , for which  $\text{ratio}(\epsilon)$  is  $6s_+$ :  $-19.82\%$  and  $6p_-$ :  $-20.82\%$ , values for  $6s_+$  and  $6p_-$  behave similarly. Although beyond  $_{87}\text{Fr}$   $6s_+$ ,  $6p_-$  and  $6p_+$  are inner shells, the values of  $\text{ratio}(\epsilon)$  for these shells vary considerably. Kinematical effects and indirect relativistic effects are both important for  $6s_+$  and  $6p_-$ . For  $6p_+$ , on the other hand, the indirect relativistic effects are important.

Figure 7 shows  $\text{ratio}(r)$  for the inner ns and np spinors together with the valence spinors. The results for  $2s_+$ , ...,  $5p_-$  of

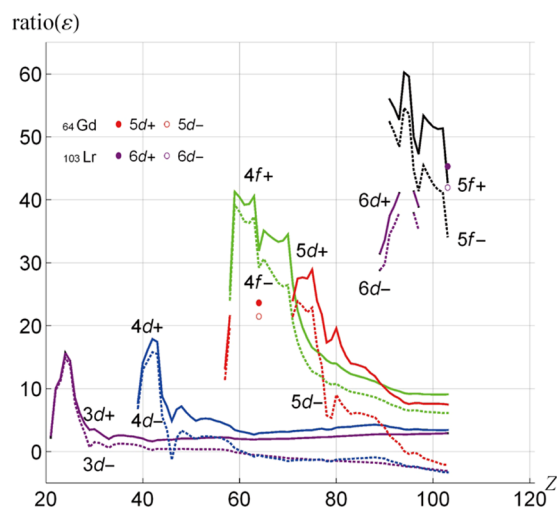


**Figure 7.**  $\text{Ratio}(r) = (\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle) \times 100 / \langle r(\text{HFR}) \rangle$  for the valence and inner s and p shells. (1) Black solid lines denote  $2p_+$  and  $2s_+$ , and the black dotted line denotes  $2p_-$ . (2) Purple solid lines denote  $3p_+$  and  $3s_+$ , and a purple dotted line denotes  $3p_-$ . (3) Blue solid lines denote  $4p_+$  and  $4s_+$ , and a blue dotted line denotes  $4p_-$ . (4) Green solid lines denote  $5p_+$  and  $5s_+$ , and a green dotted line denotes  $5p_-$ . (5) Red solid lines denote  $6p_+$  and  $6s_+$ , and a red dotted line denotes  $6p_-$ .

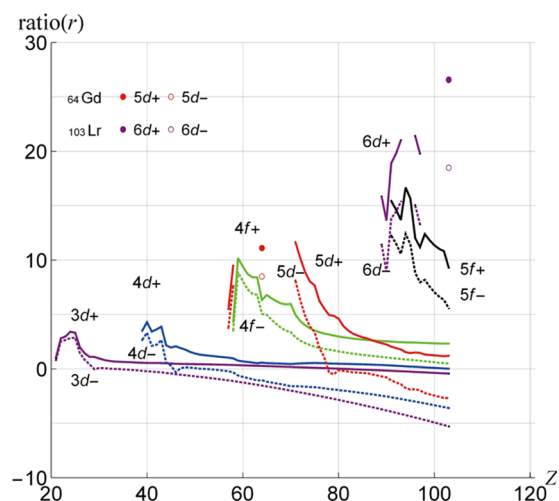
the heavy atoms run parallel to  $\text{ratio}(r)$  in Figure 2; this indicates the importance of the kinematical effects for these spinors.

**3.5. Relativistic Effects in the Outermost d Shells of the Neutral Atoms.** We now discuss relativistic effects in the outermost d shell. The 3d shell is a valence shell, together with 4s from  $_{21}\text{Sc}$  to  $_{30}\text{Zn}$ . Figure 8 shows  $\text{ratio}(\epsilon)$  for the d and f shells. We observe that  $3d_+$  and  $3d_-$  are more unstable than 3d from  $_{21}\text{Sc}$  to  $_{30}\text{Zn}$ .  $\text{Ratio}(\epsilon)$  for  $3d_+$  takes a maximum value of  $+15.7\%$  at  $_{24}\text{Cr}$  ( $3d^5$ ) ( $4s^1$ ), although this may be an overestimate because of the AOC used in the relativistic calculations; the  $3d_+$  spinor energy and the 3d orbital energy of  $_{24}\text{Cr}$  are  $-0.3148$  and  $-0.3734$  au, respectively. Because  $\text{ratio}(\epsilon)$  begins at 2.5% of  $_{21}\text{Sc}$  and ends at 3.6% of  $_{30}\text{Zn}$ , we are in no doubt that the indirect relativistic effects cause the 3d spinor energies to be more unstable than the corresponding orbital energies. Figure 9 shows  $\text{ratio}(r)$  for the d and f shells. The Z-dependence of  $\text{ratio}(r)$  is similar to that of  $\text{ratio}(\epsilon)$  for the atoms  $_{21}\text{Sc}$ – $_{30}\text{Zn}$ . The relativistic calculation gives larger  $\langle r \rangle$  values for 3d than the nonrelativistic. This is consistent with the fact that  $\epsilon$  is shallower for the former than for the latter.

The second transition-metal atoms run from  $_{39}\text{Y}$  to  $_{47}\text{Ag}$ . All  $4d_+$  and  $4d_-$  have positive  $\text{ratio}(\epsilon)$  except for  $4d_-$  of  $_{46}\text{Pd}$ , for



**Figure 8.**  $\text{Ratio}(\epsilon) = (\epsilon(\text{HFR}) - \epsilon(\text{DFR})) \times 100 / \epsilon(\text{HFR})$  for all d and f shells.



**Figure 9.**  $\text{Ratio}(r) = (\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle) \times 100 / \langle r(\text{HFR}) \rangle$  for all d and f shells.

which the electronic configuration is  $(4d^{10})(5s^0)$ .  $\text{Ratio}(\epsilon)$  for  $4d_+$  has a maximum value of  $+17.9\%$  at  $_{42}\text{Mo}$  ( $4d^5$ ) ( $5s^1$ ); again, this value may be an overestimate because of the AOC used in the relativistic calculations; the  $4d_+$  spinor and 4d orbital energies of  $_{42}\text{Mo}$  are  $-0.2937$  and  $-0.3577$  au, respectively. Because high-spin and low-spin states are included in AOC, the resulting TE is higher than the lowest state with the proper symmetry. Again, we are in no doubt that indirect relativistic effects help to make the 4d spinors to be more unstable than those of the corresponding 4d orbitals. The Z-dependence of  $\text{ratio}(r)$  in Figure 9 runs parallel to that of  $\text{ratio}(\epsilon)$  in Figure 8 for the atoms  $_{39}\text{Y}$ – $_{48}\text{Cd}$ . For the d atom ( $_{46}\text{Pd}$ ),  $\text{ratio}(\epsilon)$  is positive for the outermost  $4d_+$  but negative for the outermost  $4d_-$ .

In  $_{71}\text{Lu}$ , in the third transition-metal atoms ( $_{72}\text{Hf}$ – $_{79}\text{Au}$ ) and in  $_{80}\text{Hg}$ , the  $5d_+$  and  $5d_-$  all have positive  $\text{ratio}(\epsilon)$ 's; these ratios are twice as large as the corresponding ratios in the first and second transition-metal atoms. This implies larger indirect relativistic effects in  $_{71}\text{Lu}$  and the third transition-metal atoms ( $_{72}\text{Hf}$ – $_{79}\text{Au}$ ) and  $_{80}\text{Hg}$ . For  $5d_+$ ,  $\text{ratio}(\epsilon)$  reaches a maximum value of  $+28.9\%$  at  $_{75}\text{Re}$  ( $5d^5$ ) ( $6s^2$ ). The  $5d_+$  spinor energy and the 5d orbital energy of  $_{75}\text{Re}$  are  $-0.3655$  and  $-0.5141$  au,

respectively. The difference between  $\text{ratio}(\epsilon)$ 's for  $5d_+$  and  $5d_-$  is the largest among  $nd_+$  and  $nd_-$  ( $n = 3, 4, \text{ and } 5$ ), suggesting that the effect of the spin-orbit interaction is greatest for atoms having 5d shells. As we say above, the indirect relativistic effects cause the 5d spinors to be more unstable than the corresponding 5d orbitals. RGP<sup>6</sup> gave approximate values for the indirect and direct relativistic effects in  $_{71}\text{Lu}$  ( $5d^1$ ) ( $6s^2$ ); for  $5d_-$ , the indirect and direct relativistic effects are 0.069 and  $-0.025$  au, respectively, whereas for  $5d_+$ , the corresponding values are 0.067 and  $-0.010$  au. Using these values given by RGP, we obtained  $\text{ratio}(\epsilon)$ 's of 18 and 23% for  $5d_-$  and  $5d_+$ , which are consistent with the present values of 22 and 24% for  $5d_-$  and  $5d_+$ , respectively, shown in Figure 8.

$\text{Ratio}(\epsilon)$  has minima at  $_{78}\text{Pt}$  and  $_{79}\text{Au}$ . The electronic configuration of  $_{79}\text{Au}$  is ( $5d^{10}$ ) ( $6s^1$ ), and the  $5d_+$  spinor and the 5d orbital energies are, respectively,  $-0.4281$  and  $-0.5207$  au. The large instability in 5d and the strong stability exhibited by 6s ( $6s_+$   $\epsilon$ :  $-0.2912$  au;  $6s_-$   $\epsilon$ :  $-0.2206$  au) of  $_{79}\text{Au}$  due to relativistic effects are responsible for the gold yellow color, as discussed by Pyykkö and Desclaux.<sup>6</sup>

**3.6. Relativistic Effects in the Outermost f Shells of Neutral Atoms.** Consider now the lanthanoid atoms from  $_{57}\text{La}$  to  $_{70}\text{Yb}$ , excluding  $_{71}\text{Lu}$ . The electronic configuration of  $_{57}\text{La}$  is ( $4f^0$ ) ( $5d^1$ ) ( $6s^2$ ) and that of  $_{58}\text{Ce}$  is ( $4f^1$ ) ( $5d^1$ ) ( $6s^2$ ). The others have configurations ( $4f^n$ ;  $n = 3-14$ ) ( $5d^0$ ) ( $6s^2$ ), except for  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ). The relativistic 4f shells without a 5d electron are more destabilized than shells with a 5d electron. For  $4f_+$ ,  $\text{ratio}(\epsilon)$  takes a maximum value of +41.3% at  $_{59}\text{Pr}$  ( $4f^3$ ) ( $5d^0$ ) ( $6s^2$ ). The  $4f_+$  spinor energy and the 4f orbital energy of  $_{59}\text{Pr}$  are  $-0.3224$  and  $-0.5491$  au, respectively. For  $4f_{\pm}$ ,  $\text{ratio}(\epsilon)$  has a local minimum at  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ). Because 5d in the relativistic and nonrelativistic wave functions is more diffuse than 4f's, the shielding effects are smaller for 4f's in  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) than in  $_{64}\text{Gd}$  ( $4f^8$ ) ( $6s^2$ ). Consequently, the effective nuclear charge for 4f's in  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) is larger than in  $_{64}\text{Gd}$  ( $4f^8$ ) ( $6s^2$ ). In the relativistic and nonrelativistic calculations, therefore, states with 5d have deeper 4f spinor/orbital energies than states without 5d. We write the 4f spinor and orbital energies of  $_{64}\text{Gd}$  ( $4f^8$ ) ( $6s^2$ ) as  $\epsilon'(\text{DFR})_{4f}$  and  $\epsilon'(\text{HFR})_{4f}$  and write the corresponding energy lowering in the 4f's in  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) as  $\delta\epsilon'_{\text{rel},4f_{\pm}}$  and  $\delta\epsilon'_{\text{nonrel},4f}$ . The value of  $\text{ratio}(\epsilon)$  for  $4f_+$  of ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) may be expressed as

$$\begin{aligned} \text{ratio}(\epsilon) = & ((\epsilon'(\text{HFR})_{4f} + \delta\epsilon'_{\text{nonrel},4f}) \\ & - (\epsilon'(\text{DFR})_{4f+} + \delta\epsilon'_{\text{rel},4f+})) \\ & \times 100 / (\epsilon'(\text{HFR})_{4f} + \delta\epsilon'_{\text{nonrel},4f}) \end{aligned} \quad (7)$$

The 10% drop in  $\text{ratio}(\epsilon)$  at  $_{64}\text{Gd}$  can be explained by assuming that  $\delta\epsilon'_{\text{nonrel},4f}$  is near to  $\delta\epsilon'_{\text{rel},4f+}$  and that the magnitude of  $\delta\epsilon'_{\text{nonrel},4f}$  is not less than about 30% of  $\epsilon'(\text{HFR})_{4f}$ . From the spinor/orbital energies of  $_{63}\text{Eu}$  ( $4f^7$ ) ( $6s^2$ ) and  $_{65}\text{Tb}$  ( $4f^9$ ) ( $6s^2$ ), we have estimated the spinor/orbital energies and values of  $\delta$  for  $_{64}\text{Gd}$  ( $4f^8$ ) ( $6s^2$ ); in particular,  $\epsilon'(\text{DFR})_{4f+} = -0.437$  au and  $\epsilon'(\text{HFR})_{4f} = -0.703$  au. The values of  $\epsilon$  for  $4f_+$  and  $4f$  of  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) are  $-0.7106$  and  $-1.0433$  au, respectively. We then find that  $\delta\epsilon'_{\text{rel},4f+} = -0.274$  au and  $\delta\epsilon'_{\text{nonrel},4f} = -0.340$  au. The two  $\delta$ 's in the numerator largely cancel each other, and the absolute value of the denominator is 50% greater than the value without  $\delta\epsilon'_{\text{nonrel},4f}$ . From these values, we find that  $\text{ratio}(\epsilon) = 31.8\%$ , which is much lower than the value of 37.8% for ( $4f^8$ ) ( $6s^2$ ). The large orbital energy lowering in the 4f orbital of  $_{64}\text{Gd}$

( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ) compared to that of ( $4f^8$ ) ( $6s^2$ ) might be responsible for the local minimum  $\text{ratio}(\epsilon)$  of  $_{64}\text{Gd}$ . In the lanthanoid and actinoid atoms, the 4f and 5f spinors are significantly destabilized by the indirect relativistic effects.

Figure 9 shows that the shape of  $\text{ratio}(r)$  plotted versus  $Z$  is similar to that for  $\text{ratio}(\epsilon)$ . The value of  $\text{ratio}(r)$  for 4f is considerably lower compared to the  $\text{ratio}(\epsilon)$  in Figure 8. Let us consider why  $\text{ratio}(r)$  for 4f is low, taking  $_{64}\text{Gd}$  as an example. The 4f electron cloud is in the narrow space sandwiched between the (4s, 4p, 4d) shell and the (5s, 5p) shell, whereas the 5d electron cloud is in the extensive space between the (5s, 5p) shell and the 6s shell. Because of this narrow space, the difference ( $\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle$ ) =  $0.8389 - 0.7890$  au =  $0.0499$  au for the  $4f_+$  shell is 5 times smaller than that for ( $\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle$ ) =  $2.7330 - 2.4600$  au =  $0.2730$  au for  $5d_+$  shell. Consequently,  $\text{ratio}(r)$ 's for  $4f_+$  and  $5d_+$  are 6.32 and 11.10%, respectively, as shown in Figure 9. The restriction on the space causes the differences ( $\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle$ ) in  $4f_{\pm}$  to be smaller than for  $5d_{\pm}$ .

Let us discuss the actinoid atoms from  $_{89}\text{Ac}$  to  $_{103}\text{Lr}$ . The 5f and 6d shells are both occupied in the ground-state configurations of the following six atoms:  $_{91}\text{Pa}$  ( $5f^2$ ) ( $6d^1$ ) ( $7s^2$ ),  $_{92}\text{U}$  ( $5f^3$ ) ( $6d^1$ ) ( $7s^2$ ),  $_{93}\text{Np}$  ( $5f^4$ ) ( $6d^1$ ) ( $7s^2$ ),  $_{96}\text{Cm}$  ( $5f^7$ ) ( $6d^1$ ) ( $7s^2$ ),  $_{97}\text{Bk}$  ( $5f^8$ ) ( $6d^1$ ) ( $7s^2$ ), and  $_{103}\text{Lr}$  ( $5f^{14}$ ) ( $6d^1$ ) ( $7s^2$ ).  $\text{Ratio}(\epsilon)$  has local minima at  $_{93}\text{Np}$  and  $_{97}\text{Bk}$ , as shown in Figure 8. These local minima would be explicable in the same manner as that for  $_{64}\text{Gd}$  ( $4f^7$ ) ( $5d^1$ ) ( $6s^2$ ). The values of  $\epsilon$  for  $5f_+$ ,  $5f_+$ , and  $5f$  of  $_{97}\text{Bk}$ , for instance, are  $-0.5795$ ,  $-0.5214$ , and  $-0.9884$  au, respectively. Figure 9 shows that the shape of  $\text{ratio}(r)$  for 5f versus  $Z$  resembles the shape of  $\text{ratio}(\epsilon)$  for the relativistic 5f. However,  $\text{ratio}(r)$  for 5f examined in relation to that for 6d is unusually low compared to  $\text{ratio}(\epsilon)$  for 5f examined in relation to that for 6d. The 5f electron cloud is located in the narrow space sandwiched between the (5s, 5p, 5d) shell and the (6s, 6p) shell, whereas the 6d electron cloud is in the extensive space between the (6s, 6p) shell and the 7s shell. This restriction in volume causes the variation of  $\langle r(\text{DFR}) \rangle - \langle r(\text{HFR}) \rangle$  of  $5f_{\pm}$  to be smaller than that of  $6d_{\pm}$ . Accordingly, the  $\text{ratio}(r)$  for  $5f_{\pm}$  is smaller than that for  $6d_{\pm}$ .

**3.7. Relativistic Effects in the Inner d and f Shells of Neutral Atoms.** The 3d, 4d, and 5d shells begin to form an ion core at  $_{31}\text{Ga}$ ,  $_{49}\text{In}$ , and  $_{81}\text{Tl}$ , respectively, and the 4f shell, at  $_{71}\text{Lu}$ . For  $_{31}\text{Ga}$ – $_{58}\text{Ce}$   $3d_-$ ,  $_{49}\text{In}$ – $_{60}\text{Nd}$   $4d_-$ , and  $_{81}\text{Tl}$ – $_{94}\text{Pu}$   $5d_-$ , the value of  $\text{ratio}(\epsilon)$  is positive, indicating that the 3d, 4d, and 5d spinors are less stable than the corresponding nonrelativistic orbitals; indirect relativistic effects strongly influence the characteristics of the spinor energies. Although  $\text{ratio}(\epsilon)$  for  $4f_{\pm}$  is positive after  $_{71}\text{Lu}$ , it decreases sharply. This suggests that the importance of the direct relativistic effects increases.

For  $_{59}\text{Pr}$ – $_{103}\text{Lr}$   $3d_-$ ,  $_{61}\text{Pm}$ – $_{103}\text{Lr}$   $4d_-$ , and  $_{95}\text{Am}$ – $_{103}\text{Lr}$   $5d_-$ , the value of  $\text{ratio}(\epsilon)$  is negative and kinematical effects are important, as in the hydrogenic ions. Values of  $\text{ratio}(\epsilon)$  for  $3p_+$ ,  $3d_-$ , and  $3d_+$  at  $_{59}\text{Pr}$  are, respectively,  $-0.69$ ,  $-0.08$ , and  $2.05\%$ .  $\text{Ratio}(\epsilon)$  for  $3d_-$  is closer to  $\text{ratio}(\epsilon)$  for  $3p_+$  than  $\text{ratio}(\epsilon)$  for  $3d_+$ . The values of  $\text{ratio}(\epsilon)$  for these spinors change slowly as  $Z$  increases (see Figures 6 and 8). At  $_{103}\text{Lr}$ , the values for  $3p_+$ ,  $3d_-$ , and  $3d_+$  are, respectively,  $-3.83$ ,  $-3.07$ , and  $2.92\%$ . We can say that beyond  $_{59}\text{Pr}$ ,  $3p_+$  and  $3d_-$  tend to pair. The values of  $\text{ratio}(\epsilon)$  for  $4p_+$ ,  $4d_-$ , and  $4d_+$  at  $_{61}\text{Pm}$  are  $-1.03$ ,  $-0.10$ , and  $3.09\%$ , respectively. Beyond  $_{61}\text{Pm}$ , shells having the same value of  $j$  tend to pair. Values of  $\text{ratio}(\epsilon)$  for  $5p_+$ ,  $5d_-$ , and  $5d_+$  at  $_{95}\text{Am}$  are, respectively,  $-2.44$ ,  $-0.37$ , and  $7.57\%$ . Beyond  $_{95}\text{Am}$ , shells having the same value of  $j$  tend to pair.



The plot in Figure 9 has a similar shape to that in Figure 8, but differences emerge upon closer examination. Figure 9 reveals that ratio( $r$ ) for  $3d_{-}$  becomes negative ( $-0.01\%$ ) at  ${}_{33}\text{As}$ , suggesting that kinematical effects surpass the indirect effects for  ${}_{33}\text{As}$ . Figure 8 indicates that the kinematical effects surpass the indirect relativistic effects for  ${}_{59}\text{Pr}$ , however. We believe that ratio( $\varepsilon$ ) reflects the characteristics of the atomic shell structure more realistically than ratio( $r$ ) because ratio( $\varepsilon$ ) is derived directly from comparison of the eigenvalues of the DFR and HFR equations.

#### 4. CONCLUSIONS

We have discussed relativistic effects in atoms from  ${}_{1}\text{H}$  to  ${}_{103}\text{Lr}$ . Except for  ${}_{46}\text{Pd}$  ( $4d^{10}$ ) ( $5s^0$ ), all atoms have, as their outermost shell, their  $ns$  or  $n'p$  spinors/orbitals. It is the case that for all of the atoms having the  $ns_{+}$  spinor ( $ns_{+}$ ) as their outermost shell, the  $ns_{+}$  spinor energies are lower than the corresponding  $ns$  orbital energies. This result indicates that kinematical effects are more important than indirect relativistic effects for the  $ns$  shell. In contrast, in all atoms having  $np_{+}$  spinors as the outermost shell, the  $np_{+}$  spinor energies are higher than the corresponding  $np$  orbital energies (similarly, the  $np_{+}$  spinors are more diffuse than the  $np$  orbitals). This indicates that the indirect relativistic effects are stronger than the kinematical effects. Specifically, in the valence  $p_{+}$  spinors, the shielding effects provided by the ionic core and the valence electrons surpass the kinematical effects. The same is true for  $p_{-}$  of light atoms, but for heavy atoms, the importance of the kinematical effects increases. The  $p_{-}$  spinors take considerably lower spinor energies than the corresponding  $p$  orbitals. This is especially remarkable for the 5th group 13–18 atoms. In the  $nd$  and  $nf$  shells, the indirect relativistic effects are strengthened, and the instability of the spinor energies compared to the corresponding orbital energies increases further. The enhancement of contractions of the outermost  $s$  orbitals by the relativistic effects in the lanthanoid atoms, the third transition-metal atoms, and the actinoid atoms have also been discussed. Diffuseness of the  $nd$  and  $nf$  spinors compared to the corresponding nonrelativistic orbitals was also investigated.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsomega.7b00802.

Table S1, spinor energies and orbital energies for  ${}_{1}\text{H}$  to  ${}_{103}\text{Lr}$  (XLSX)

Table S2, mean values of  $r$  of spinors and orbitals for  ${}_{1}\text{H}$  to  ${}_{103}\text{Lr}$  (XLSX)

#### ■ AUTHOR INFORMATION

##### Corresponding Authors

\*E-mail: htatewak@nsc.nagoya-cu.ac.jp. Phone: +81-45-514-7503 (H.T.).

\*E-mail: syamamot@lets.chukyo-u.ac.jp. Phone: +81-52-835-7111 (S.Y.).

##### ORCID

Shigeyoshi Yamamoto: 0000-0003-3780-534X

##### Notes

The authors declare no competing financial interest.

#### ■ REFERENCES

- (1) Swirls, B. The Relativistic Self-Consistent Field. *Proc. R. Soc. London, Ser. A* **1935**, *152*, 625–649.
- (2) Grant, I. P. Relativistic Self-Consistent Fields. *Proc. R. Soc. London, Ser. A* **1961**, *262*, 555–576.
- (3) Kim, Y.-K. Relativistic Self-Consistent-Field Theory for Closed-Shell Atoms. *Phys. Rev.* **1967**, *154*, 17–39.
- (4) Desclaux, J. P. Relativistic Dirac-Fock expectation values for atoms with  $Z = 1$  to  $Z = 120$ . *At. Data Nucl. Data Tables* **1973**, *12*, 311–406.
- (5) (a) Pyykkö, P. The RTAM electronic bibliography, version 17.0, on relativistic theory of atoms and molecules. *J. Comput. Chem.* **2013**, *34*, 2667. (b) See <http://rtam.csc.fi/> Database 'RTAM' version 20.0 (January 4, 2017).
- (6) Rose, S. J.; Grant, I. P.; Pyper, N. C. The direct and indirect effects in the relativistic modification of atomic valence orbitals. *J. Phys. B: At. Mol. Phys.* **1978**, *11*, 1171–1176.
- (7) Pyykkö, P.; Desclaux, J.-P. Relativity and the Periodic System of Elements. *Acc. Chem. Res.* **1979**, *12*, 276–281.
- (8) Pyykkö, P. Relativistic Effects in Structural Chemistry. *Chem. Rev.* **1988**, *88*, 563–594.
- (9) Pyykkö, P. Relativistic Effects in Chemistry: More Common Than You Thought. *Annu. Rev. Phys. Chem.* **2012**, *63*, 45–64.
- (10) Reiher, M.; Hess, B. In *Modern Methods and Algorithms of Quantum Chemistry*. Proceedings, 2nd ed.; Grotendorst, J., Ed.; John von Neumann Institute for Computing: Jülich, 2000; Vol. 3, pp 479–505.
- (11) Ilias, M.; Kellö, V.; Urban, M. Relativistic effects in atomic and molecular properties. *Acta Phys. Slovaca* **2010**, *60*, 259–391.
- (12) Douglas, M.; Kroll, N. M. Quantum electrodynamic corrections to the fine structure of helium. *Ann. Phys.* **1974**, *82*, 89–155.
- (13) Dyal, K. G.; Faegri, K., Jr. *Introduction to Relativistic Quantum Chemistry*; Oxford University Press: New York, 2007; pp 453–456.
- (14) Roothaan, C. C. J.; Bagus, P. S. *Methods in Computational Physics*; Academic Press Inc.: New York, 1963; Vol. 2, pp 47–94.
- (15) Matsuoka, O.; Watanabe, Y. An atomic Dirac-Fock-Roothaan program. *Comput. Phys. Commun.* **2001**, *139*, 218–234.
- (16) Koga, T.; Tatewaki, H.; Shimazaki, T. Chemically reliable uncontracted Gaussian-type basis sets for atoms H to Lr. *Chem. Phys. Lett.* **2000**, *328*, 473–482.
- (17) Koga, T.; Tatewaki, H.; Matsuoka, O. Relativistic Gaussian basis sets for molecular calculations: H–Xe. *J. Chem. Phys.* **2001**, *115*, 3561–3565.
- (18) Koga, T.; Tatewaki, H.; Matsuoka, O. Relativistic Gaussian basis sets for molecular calculations: Cs–Hg. *J. Chem. Phys.* **2002**, *117*, 7813–7814.
- (19) Koga, T.; Tatewaki, H.; Matsuoka, O. Relativistic Gaussian basis sets for molecular calculations: Tl–Lr. *J. Chem. Phys.* **2003**, *119*, 1279–1280.
- (20) Lee, Y. S.; McLean, A. D. Relativistic effects on  $R_e$  and  $D_e$  in AgH and AuH from all-electron Dirac-Hartree-Fock calculations. *J. Chem. Phys.* **1982**, *76*, 735–736.
- (21) Stanton, R. E.; Havrilliak, S. Kinetic balance: A partial solution to the problem of variational safety in Dirac calculations. *J. Chem. Phys.* **1984**, *81*, 1910–1918.
- (22) Gordon, W. Die Energieniveaus des Wasserstoffatoms nach der Diracschen Quantentheorie des Elektrons. *Z. Phys.* **1928**, *48*, 11–14.
- (23) Darwin, C. G. The Wave Equations of the Electron. *Proc. R. Soc. London, Ser. A* **1928**, *118*, 654–680.
- (24) Pauling, L.; Wilson, E. B. *Introduction to Quantum Mechanics*; Dover Publ. Inc.: Mineola, NY, 1985; pp 113–150.
- (25) Hatano, Y.; Yamamoto, S.; Tatewaki, H. Visualization of the Exact Solution of Dirac Equation. *J. Comput. Chem. Jpn.* **2016**, *15*, 105–117 (mainly in Japanese).
- (26) WebElements: the periodic table on the WWW. <http://www.webelements.com/> (July 24, 2017).
- (27) Sato, T. K.; Asai, M.; Borschevsky, A.; Stora, T.; Sato, N.; Kaneya, Y.; Tsukada, K.; Düllmann, Ch. E.; Eberhardt, K.; Eliav, E.;



Ichikawa, S.; Kaldor, U.; Kratz, J. V.; Miyashita, S.; Nagame, Y.; Ooe, K.; Osa, A.; Renisch, D.; Runke, J.; Schädel, M.; Thörle-Pospiech, P.; Toyoshima, A.; Trautmann, N. Measurement of the first ionization potential of lawrencium, element 103. *Nature* **2015**, *520*, 209–212.