

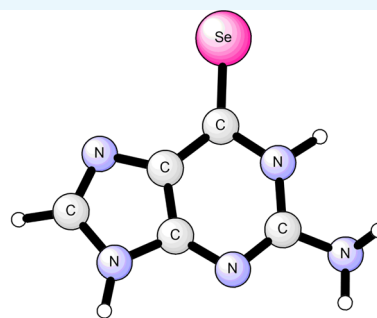
MS-CASPT2 Studies on the Photophysics of Selenium-Substituted Guanine Nucleobase

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S Supporting Information

ABSTRACT: The MS-CASPT2 method has been employed to optimize minimum-energy structures of 6-selenoguanine (6SeGua) and related two- and three-state intersection structures in and between the lowest five electronic states, i.e., $S_2(^1\pi\pi^*)$, $S_1(^1n\pi^*)$, $T_2(^3n\pi^*)$, $T_1(^3\pi\pi^*)$, and S_0 . In combination with MS-CASPT2 calculated linearly interpolated internal coordinate paths, the photophysical mechanism of 6SeGua has been proposed. The initially populated $S_2(^1\pi\pi^*)$ state decays to either $S_1(^1n\pi^*)$ or $T_2(^3n\pi^*)$ states through a three-state $S_2/S_1/T_2$ intersection point. The large S_2/T_2 spin-orbit coupling of 435 cm^{-1} , according to the classical El-Sayed rule, benefits the $S_2 \rightarrow T_2$ intersystem crossing process. The $S_1(^1n\pi^*)$ state that stems from the $S_2 \rightarrow S_1$ internal conversion process at the $S_2/S_1/T_2$ intersection point can further jump to the $T_2(^3n\pi^*)$ state through the $S_1 \rightarrow T_2$ intersystem crossing process. This process does not comply with the El-Sayed rule, but it is still related to a comparatively large spin-orbit coupling of 39 cm^{-1} and is expected to occur relatively fast. Finally, the $T_2(^3n\pi^*)$ state, which is populated from the above $S_2 \rightarrow T_2$ and $S_1 \rightarrow T_2$ intersystem crossing processes, decays to the $T_1(^3\pi\pi^*)$ state via an internal conversion process. Because there is merely a small energy barrier of 0.11 eV separating the $T_1(^3\pi\pi^*)$ minimum and an energetically allowed two-state T_1/S_0 intersection point, the $T_1(^3\pi\pi^*)$ state still can decay to the S_0 state quickly, which is also enhanced by a large T_1/S_0 spin-orbit coupling of 252 cm^{-1} . Our proposed mechanism explains experimentally observed ultrafast intersystem crossing processes in 6SeGua and its 835-fold acceleration of the T_1 state decay to the S_0 state compared with 6tGua. Finally, we have found that the ground-state electronic structure of 6SeGua has more apparent multireference character.



MS-CASPT2 calculations rationalize the faster ISC rates and the shorter T_1 lifetime of 6-selenoguanine than 6-thioguanine.

INTRODUCTION

Nucleobases are the basic chromophores in deoxyribonucleic acid (DNA) and ribonucleic acid (RNA). They have important properties shared by all these nucleobases, namely their photostability when interaction with ultraviolet light, which prevents photodamages.^{1,2} Time-resolved experiments and high-level theoretical studies have shown that nucleobases in the gas phase and in solution adopt similar ultrafast radiationless decay processes via energetically efficient conical intersections, which finally deactivate nucleobases from their initially populated excited singlet to ground states before harmful photoreactions take place.^{3–19}

In stark contrast, thio-substituted nucleobases have distinctly different photophysics. A simple O-to-S substitution in these natural nucleobases largely suppresses internal conversion to the ground state and instead allows efficient intersystem crossing to the lowest triplet state.^{20–30} This is qualitatively different from excited-state behaviors of natural nucleobases in which internal conversion to the ground state is a predominant deactivation channel that protects them from harmful photodamages. To rationalize these intriguing photophysical phenomena and figure out the underlying physical origins, a lot of high-level electronic structure calculations and nonadiabatic

dynamics simulations have been carried out in the past several years, and several efficient intersystem crossing channels have been proposed to explain such ultrahigh quantum yields for the formation of triplet states in experiments.^{31–40}

In addition to natural and thio-substituted nucleobases, experimental scientists have recently focused on selenium-substituted nucleobases due to their potential applications as photosensitizers in photodynamic therapy.^{41–44} Recently, Farrell and co-workers have used time-resolved absorption spectroscopies to explore the excited-state relaxation dynamics of 6-selenoguanine (6SeGua).⁴⁵ Compared with its thio-substituted analogue, selenium substitution remarkably enhances relevant intersystem crossing rates to and from triplet manifolds and meanwhile leads to a short-lived triplet state. Given the similarities between theoretical and experimental results for 6SeGua and 6tGua, they suggest that the initially populated $S_2(^1\pi\pi^*)$ state will first convert to the dark $S_1(^1n\pi^*)$ state, which is followed by intersystem crossing to the $T_2(^3n\pi^*)$ and/or $T_1(^3\pi\pi^*)$ state. Furthermore, the triplet

Received: May 3, 2019

Accepted: May 23, 2019

Published: June 4, 2019

state deactivation has been suggested to increase by 835-fold in comparison with that of 6-thioguanine (6tGua). Farrell et al. have ascribed this acceleration of 1.7 vs 1420 ns, in addition to the stronger T_1/S_0 spin-orbit coupling in 6SeGua, to a smaller energy barrier to access the $T_1(^1\pi\pi^*)$ potential energy region where the $T_1 \rightarrow S_0$ intersystem crossing process takes place. As a consequence, the short triplet-state lifetime of 6SeGua makes it less efficient to sensitize molecular oxygen to generate singlet oxygen than 6tGua. To shed light on the clear and detailed photophysical mechanism of 6SeGua behind these spectroscopic phenomena, a highly accurate electronic structure calculations are useful.

Computationally, to the best of our knowledge, local spectroscopic and excited-state properties of selenium-substituted thymidine and deoxyguanosine have been studied with density functional theory (DFT) and time-dependent (TD)-DFT methods.^{46–48} For selenium-substituted deoxyguanosine, possible excited-state relaxation pathways have been proposed based on TD-DFT optimized excited-state minima and related energies.⁴⁸ Nonetheless, besides these excited-state geometries and energies, it is also necessary to investigate their accessibility from the initially populated singlet states. In addition, intersection structures and energies among involved excited states are also very important and not explored before. The determination of quasi-degenerate structures could not be accurate enough with single-reference methods and thus calls for multiconfigurational electronic structure methods. Taken together, we have, in this work, employed a highly accurate MS-CASPT2 method to optimize equilibrium geometries in the lowest five electronic states, i.e., S_0 , $S_2(^1\pi\pi^*)$, $S_1(^1n\pi^*)$, $T_2(^3n\pi^*)$, and $T_1(^1\pi\pi^*)$, and to calculate linear interpolation internal coordinate (LIIC) paths connecting these minima and crossing points. On the basis of the present results, a possible excited-state relaxation mechanism is proposed to explain recent experimental studies.

RESULTS AND DISCUSSION

Local Spectroscopic Properties. Figure 1 shows the MS-CASPT2-optimized minimum-energy structure in the S_0 state.

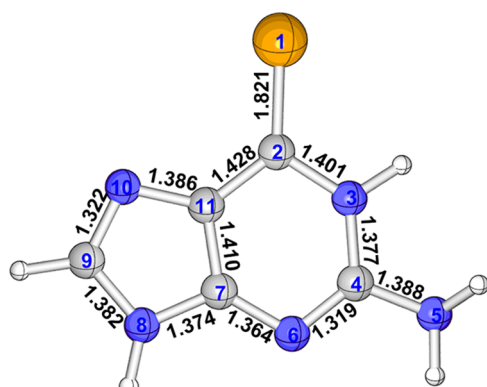


Figure 1. MS-CASPT2-optimized ground-state equilibrium structure of 6SeGua. Also shown are selected bond lengths (in Å) and atomic numbering.

It is clear that the structure is totally planar and the C2=Se1 bond length is calculated to be 1.821 Å, much longer than the typical C=O and C=S double bonds but a little shorter than 1.839 Å predicted by the DFT method for selenium-substituted deoxyguanosine. Our MS-CASPT2 predicted

C9–N10 bond length, 1.322 Å, is longer than 1.310 Å estimated by the DFT method. Interestingly, the S_0 state has a very remarkable multireference character in that electronic structure analysis at the MS-CASPT2 level shows that in addition to the closed-shell electronic configuration, i.e., 222220000 with 0.77 weight, there is a comparable open-shell electronic configuration, i.e., 22222ud000 with 0.11 weight. This multireference ground-state property also makes its C7–C11 bond length much longer than those predicted for natural Gua and 6tGua by the CASPT2 method (1.410 Å vs 1.369 Å vs 1.367 Å).^{11,31}

Vertical excitation energies and associated electronic structures at the Franck–Condon point are very important for us to understand the excited-state relaxation mechanism and we have thus explored these local spectroscopic properties at the above MS-CASPT2-optimized S_0 minimum. At this Franck–Condon point, the spectroscopically “bright” electronically excited singlet state is the second excited singlet state, i.e., S_2 (oscillator strength: ca. 0.24). The electronic configuration that is mainly responsible for this $S_0 \rightarrow S_2$ electronic transition corresponds to an electron excited from AS-6 to AS-7 (i.e., 22222ud000; weight: 0.628). The AS-6 and AS-7 orbitals are of π character. The former one has a large contribution from the C2–Se1 moiety; in contrast, the latter is more delocalized than AS-6 (see Figure 2). Its vertical excitation energy is calculated to be 3.39 eV (366 nm), which is close to experimentally measured 3.47 eV (357 nm). Previous TD-B3LYP calculations predict 3.60 eV (341 nm).⁴⁸ This good agreement demonstrates that our chosen electronic structure method is accurate enough for the description of excited states of our studied system.

There exists a spectroscopically “dark” excited singlet state that is lower than the above S_2 state in energy. This S_1 state is mainly caused by the electronic transition of an electron from AS-5 to AS-7 as shown in Figure 2 and thus is of $^1n\pi^*$ character. Its vertical excitation energy is predicted to be 2.61 eV at the MS-CASPT2 level, 0.78 eV lower than the S_2 state at the Franck–Condon point. However, the predicted TD-B3LYP calculations merely give 3.10 eV (400 nm), which is 0.49 eV higher than the MS-CASPT2 predicted one.⁴⁸

Furthermore, there are two triplet states below both S_2 and S_1 states in energy, which are referred to as T_1 and T_2 . The T_1 and T_2 states have similar electronic configurations to those of S_2 and S_1 (see Table 1) and therefore are of $^3\pi\pi^*$ and $^3n\pi^*$ characters, respectively. The vertical excitation energies of these two triplet states are calculated to be 2.40 and 2.56 eV, respectively, which are lower than the TD-B3LYP estimated to be 2.50 and 2.90 eV, respectively.⁴⁸ It is meaningful to see that both T_1 and T_2 states are close to 2.61 eV of the S_1 state, which is important for the excited-state relaxation among these three excited states.

Excited-State Minima. Figure 3 shows the MS-CASPT2-optimized minimum-energy structures in the lowest excited singlet and triplet states, i.e., S_1 , S_2 , T_1 , and T_2 . As discussed above, the S_2 state is mainly caused by the electronic configuration from AS-6 to AS-7 as shown in Figure 2; it is thus natural to expect an elongation of the C2–Se1 bond because there is an antibonding character between the C2 and Se1 atoms in the AS-7 orbital involved in the electronic transition leading to the $S_0 \rightarrow S_2$ electronic transition. This bond length is increased to 2.032 Å at the S_2 minimum from 1.821 Å at the S_0 minimum, which is a little longer than 1.999 Å predicted by the TD-B3LYP level. In addition, the C7–C11,

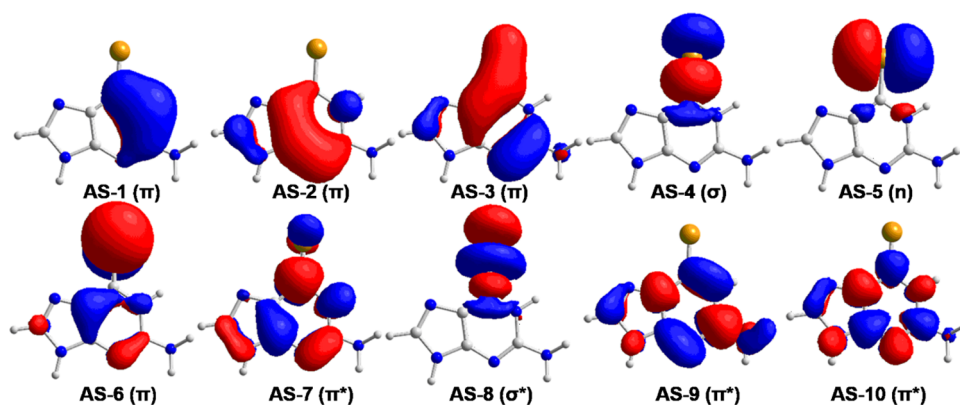


Figure 2. Molecular orbitals are used as the active space (AS-X) in all of the MS-CASPT2 calculations in this work. Also, shown are the character of these molecular orbitals.

Table 1. MS-CASPT2 Calculated Vertical Excitation Energies at the MS-CASPT2-Optimized S_0 Minimum (in eV, nm) and Associated Electronic Characters and Main Electronic Configurations (2: Doubly Occupied Orbitals; u and d: Singly Occupied Orbitals in “up” and “down” Spins; 0: Empty Orbital)

state	eV	nm	character	main config.
S_1	2.61	476	$^1n\pi^*$	2222u2d000
S_2	3.39	366	$^1\pi\pi^*$	22222ud000
T_1	2.40	517	$^3\pi\pi^*$	22222uu000
T_2	2.56	484	$^3n\pi^*$	2222u2u000

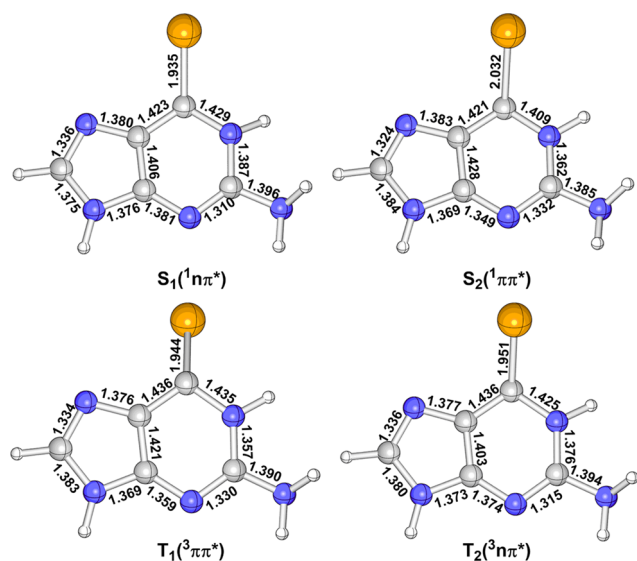


Figure 3. MS-CASPT2-optimized minimum-energy structure of 6SeGua in the S_2 ($^1\pi\pi^*$), S_1 ($^1n\pi^*$), T_2 ($^3\pi\pi^*$), and T_1 ($^3\pi\pi^*$) excited states. Also, shown are selected bond lengths (in Å).

N6–C7, and C4–N6 bond lengths have some changes from the S_0 to S_2 minima. Similarly, the C2–Se1 bond length is also elongated to 1.935 Å in the S_1 minimum because the AS-7 orbital is also involved in the corresponding electronic transition (from AS-5 to AS-7 in Table 1). The TD-B3LYP method also gives a similar bond length of 1.917 Å for the C2–Se1. The other bond lengths, e.g., C2–N3 and N6–C7, are also increased to some extent. The T_2 state is also caused by the electronic configuration from AS-5 to AS-7 and the T_2

minimum is more or less similar to the S_1 minimum. The C2–Se1 bond length is also increased to 1.951 Å at the T_2 minimum (1.940 Å by TD-B3LYP) as well as its C2–N3 bond length. The T_1 minimum is structurally different from the S_2 one, although both share similar electronic transitions. The C2–Se1 bond length also becomes longer in the T_1 minimum compared with that in the S_0 state (1.944 Å vs 1.821 Å). In contrast, the TD-B3LYP method predicts a shorter C2–Se1 bond length of 1.851 Å at the T_1 minimum. In addition, the C2–N3 bond length is also elongated in the T_1 minimum, which is not so remarkable in the S_2 minimum. To sum up, the main bond length changes in these S_1 , S_2 , T_1 , and T_2 minima in comparison with the S_0 minimum are related to the C2–Se1 bond, which is more than 0.12 Å, even 0.211 in the S_2 minimum. By contrast, the others change less than 0.025 Å (see Figure 4).

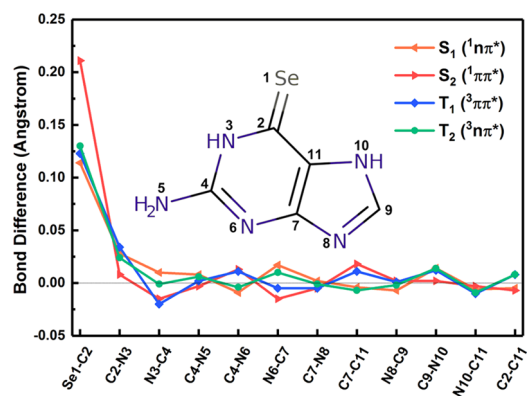
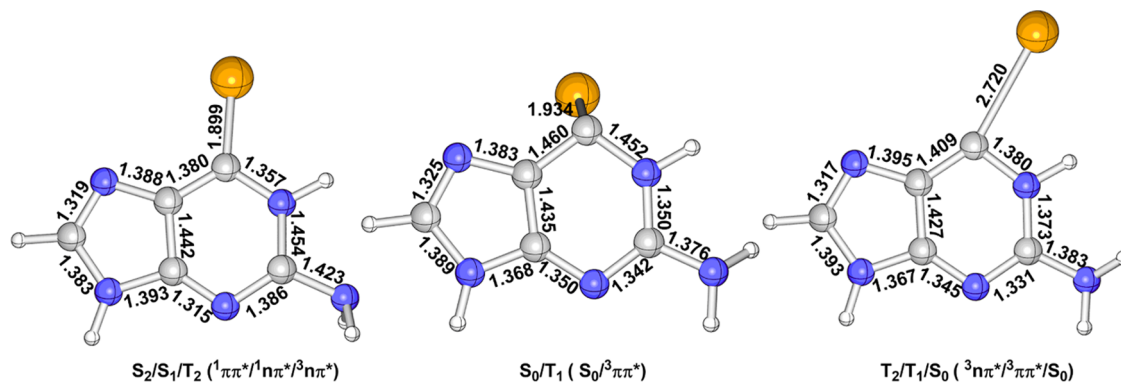


Figure 4. Corresponding bond-length variation (in Å) of the excited-state S_2 ($^1\pi\pi^*$), S_1 ($^1n\pi^*$), T_2 ($^3\pi\pi^*$), and T_1 ($^3\pi\pi^*$) minimum-energy structures relative to those of the S_0 structure. Please see Figure 1 for the specific atomistic numbering.

On the energetical side, the MS-CASPT2 energies of the S_1 , S_2 , T_1 , and T_2 minima relative to that of the S_0 minimum, i.e., adiabatic excitation energies, are collected in Table 2. Their adiabatic excitation energies are calculated to be 2.46, 3.04, 2.24, and 2.36 eV, respectively, which are lower than their vertical excitation energies, i.e., 2.61, 3.39, 2.40, and 2.56 eV at the same computational level (see Table 1). In comparison, previous TD-DFT method gives 2.90, 3.40, 2.40, 2.70 eV for S_1 , S_2 , T_1 , and T_2 , respectively.⁴⁸

Table 2. Energies (in eV) of MS-CASPT2-Optimized Minima Relative to the S_0 Minimum (see Figures 3–5)

S_1	S_2	T_1	T_2	$S_2/S_1/T_1$	$T_2/T_1/S_0$	T_1/S_0
2.46	3.04	2.24	2.36	3.23/3.21/3.21	3.27/3.17/3.11	2.35/2.22

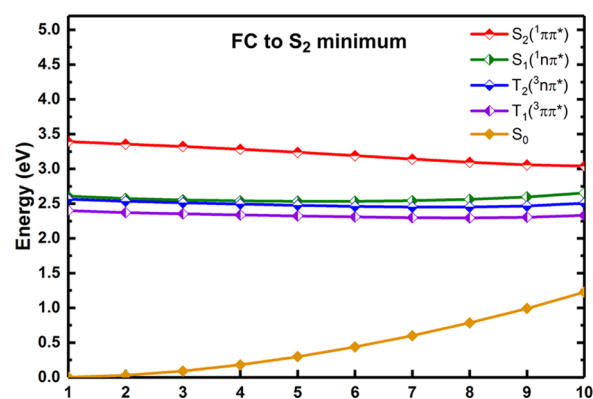
Figure 5. MS-CASPT2 determined three- and two-state intersection structures, which are referred to as $S_2/S_1/T_2$, $T_2/T_1/S_0$, and T_1/S_0 (characters of involved electronic states are in parentheses).

Intersection Structures. In addition to minima, three mechanically relevant quasi-degenerate three- and two-state intersection structures have been identified at the MS-CASPT2 level and are referred to as $S_2/S_1/T_2$, $T_2/T_1/S_0$, and T_1/S_0 in Figure 5. It is worth to notify that these two three-state crossing points $S_2/S_1/T_2$ and $T_2/T_1/S_0$ are not obtained by direct three-state optimization. Instead, the two-state crossing points S_2/S_1 and T_1/S_0 are first optimized and additional single point calculations reveal that these two-state crossing points S_2/S_1 and T_1/S_0 are actually three-state crossing points. In $S_2/S_1/T_2$, the C2–Se1 bond is also elongated compared with that in the S_0 minimum, 1.899 Å vs 1.821 Å at the MS-CASPT2 level; however, it is a little shortened in comparison with those in the S_1 , S_2 , T_1 , and T_2 minima (see Figures 3–5). Structurally, the most remarkable change of $S_2/S_1/T_1$ in comparison with the S_1 , S_2 , T_1 , and T_2 minima is related to the NH_2 group attached to the C4 atom, which is overall perpendicular to the molecular plane (the minima are essentially planar, see Figure 3). The other bond lengths are also shown in the left panel of Figure 5. Energetically, at this three-state intersection structure, the S_2 , S_1 , and T_2 states are highly degenerate in energy at the MS-CASPT2 level, whose energies are estimated to be 3.23, 3.21, and 3.21 eV, respectively. These energies are lower than the S_2 energy at the Franck–Condon point, 3.39 eV. Therefore, this $S_2/S_1/T_2$ intersection should play an important role in the excited-state relaxation mechanism starting from the initially populated S_2 state (vide infra).

Moreover, in $T_2/T_1/S_0$, the C2–Se1 bond length is significantly elongated up to 2.720 Å, which is much longer than those in all of the minima and the $S_2/S_1/T_2$ intersection structure. Different from $S_2/S_1/T_2$, the NH_2 group is not rotated visibly in $T_2/T_1/S_0$ (see other bond lengths in the right panel of Figure 5). Energetically, this $T_2/T_1/S_0$ intersection structure is estimated to be 3.27/3.17/3.11 eV at the MS-CASPT2 level, which is much higher than those related to their relevant excited-state minima, 2.36 and 2.24 eV. Since this $T_2/T_1/S_0$ intersection structure has really high energies, the intersystem crossing channel through this $T_2/T_1/S_0$ intersection structure to the S_0 state could become inefficient from either T_2 or T_1 (see below).

We have also optimized another two-state T_1/S_0 intersection structure, which is overall different from the above two intersection structures. Its Se atom is already out of the molecular plane as shown in Figure 5. The C2–Se1 bond length is calculated to be 1.934 Å at the MS-CASPT2 level, which is much shorter than 2.720 Å that in $T_2/T_1/S_0$. The other bond lengths are depicted in Figure 5. Importantly, this two-state T_1/S_0 intersection has much smaller adiabatic excitation energy compared with that of $T_2/T_1/S_0$. The T_1 and S_0 states' energies are calculated to be 2.35 and 2.22 eV at the MS-CASPT2 level ($T_2/T_1/S_0$: 3.27/3.17/3.11 eV; see above), respectively. This comparison could imply that the two-state intersection could play a more vital role in the decay of the lowest T_1 triplet state.

Excited-State Relaxation Paths. As mentioned in the preceding discussion, the S_2 state is first populated at the Franck–Condon point with large probability due to its comparably larger oscillator strength. After this, the system will relax smoothly to its S_2 minimum without crossing any other relevant electronic state as demonstrated by the MS-CASPT2 calculated linearly interpolated internal path (LIIC) as shown in Figure 6. At the S_2 minimum, the S_2 state is still a little far away from the other S_1 , T_2 , and T_1 states as evidenced

Figure 6. LIIC path connecting the Franck–Condon point, i.e., the S_0 minimum and the S_2 minimum calculated at the MS-CASPT2 level (in eV).

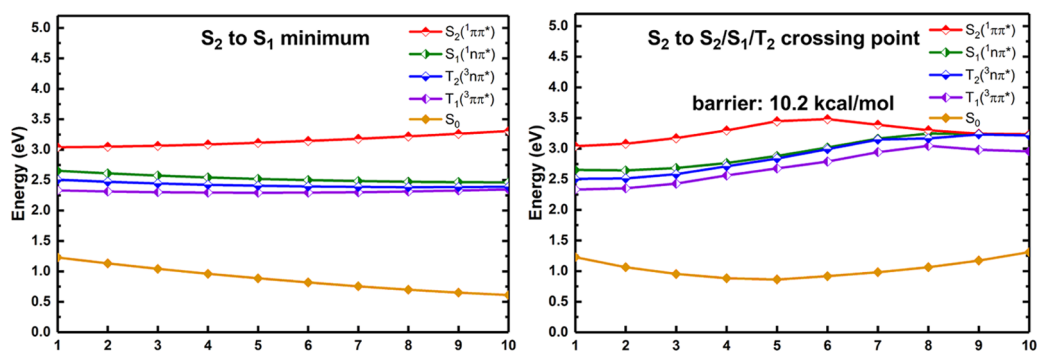


Figure 7. MS-CASPT2 calculated LIIC paths connecting (left) the S_2 and S_1 minima; (right) the S_2 minimum and the $S_2/S_1/T_2$ three-state intersection structure (in eV).

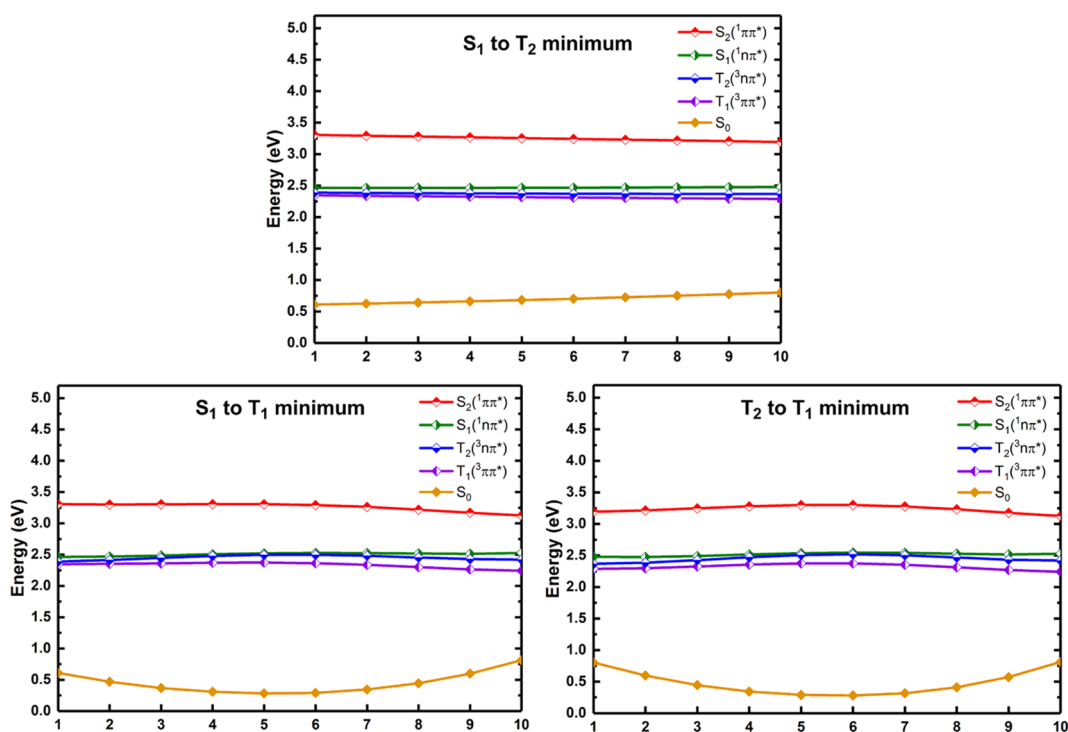


Figure 8. MS-CASPT2 calculated LIIC paths connecting (top) the S_1 and T_2 minima; (bottom-left) the S_1 and T_1 minima; (bottom-right) the T_2 and T_1 minima (in eV).

by the calculated LIIC path connecting both the S_2 and S_1 minima (see the left panel of Figure 7); thus, the vibronically assisted internal conversion and intersystem crossing processes to S_1 , T_2 , and T_1 could be inefficient considering the comparable energy gaps among these excited states. Instead, because of the existence of an energetically approachable three-state $S_2/S_1/T_2$ intersection structure, both internal conversion to S_1 and intersystem crossing to T_2 become efficient at this intersection point. Importantly, this three-state intersection structure is also energetically and structurally accessible. The right panel of Figure 7 shows the MS-CASPT2 calculated LIIC path connecting both the S_2 minimum and the $S_2/S_1/T_2$ intersection structure. There is a barrier of 0.44 eV, which is 0.09 eV higher than the S_2 energy at the Franck–Condon point. Nonetheless, it should be stressed that the LIIC path is not a minimum-energy reaction path, and the estimated barrier therefrom is a top limit. At this three-state intersection, the internal conversion process to the S_1 state takes place efficiently. The intersystem crossing process to the $T_2(^3n\pi^*)$

state from the $S_2(^1\pi\pi^*)$ state is also enhanced by the large S_2/T_2 spin–orbit coupling of 435 cm^{-1} , which also complies with the classical El-Sayed rule.

Once one of both S_1 and T_2 states are populated through both internal conversion and intersystem crossing processes via the above discussed $S_2/S_1/T_2$ intersection structure, these two excited states will become highly quasi-degenerate with the T_1 state in energy in an extended region. This viewpoint can be seconded by our MS-CASPT2 calculated LIIC paths, which separately connect the S_1 , T_2 , and T_1 minima as shown in Figure 8. Therefore, there will exist two different relaxation paths that finally populate the lowest triplet state, i.e., T_1 . In the first one, the $S_1(^1n\pi^*)$ state that is from the S_2 state via the $S_2/S_1/T_2$ intersection will further hop to the $T_1(^3\pi\pi^*)$ state through the more efficient intersystem crossing process than that to the $T_2(^3n\pi^*)$ state (still because of the El-Sayed rule). The spin–orbit coupling is calculated at the MS-CASPT2 level to be 437 cm^{-1} for S_1/T_1 and 39 cm^{-1} for S_1/T_2 . In the second one, the $T_2(^3n\pi^*)$ state that is also generated from the $S_2/S_1/$

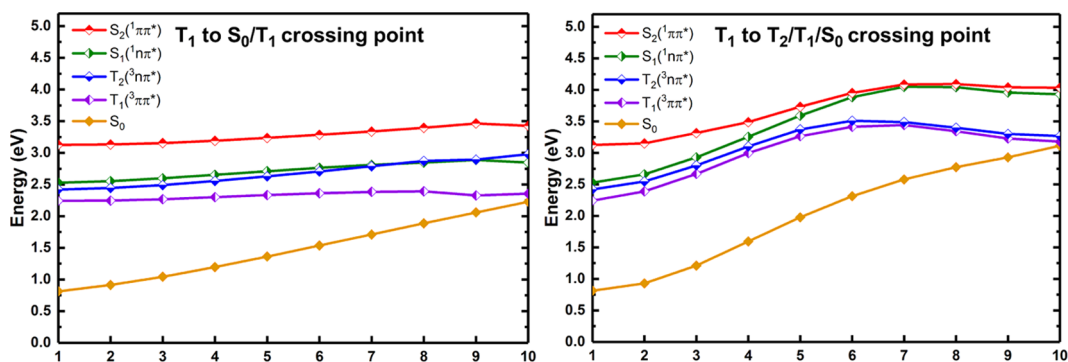


Figure 9. MS-CASPT2 calculated LIIC paths connecting (left) the T₁ minimum and the two-state T₁/S₀ intersection structure; (right) the T₁ minimum and the three-state T₂/T₁/S₀ intersection structure (in eV).

T₂ intersection will jump to the T₁ state through the fast internal conversion process. In the third one, the S₁(¹nπ*) state is first decayed to the T₂(³nπ*) state followed by the internal conversion to the T₁(³ππ*) state, which should not be as efficient as the former two, however, because the intersystem crossing process from S₁(¹nπ*) to T₂(³nπ*) is not favorable due to the classical El-Sayed rule.

Finally, the T₁ decay channel to the S₀ state is also explored at the MS-CASPT2 level. Figure 9 depicts the calculated LIIC path connecting both the T₁ minimum and the T₂/T₁/S₀ intersection structure. It can be found that the latter demands a large energy change of more than 0.87 eV relative to the T₁ minimum although there is a large T₁/S₀ spin–orbit coupling at the three-state T₂/T₁/S₀ intersection structure (508 cm⁻¹). Hence, the S₀ state's repopulation from the lowest T₁ state via T₂/T₁/S₀ should be unimportant. In comparison, the T₁ state can more efficiently decay to the S₀ state via the two-state T₁/S₀ intersection structure because this process only demands 0.11 eV in terms of the flat T₁ potential energy surface as demonstrated by the LIIC path connecting the T₁ minimum and the T₁/S₀ intersection structure. This radiationless process to the ground state is also accelerated by the large T₁/S₀ spin–orbit coupling at the two-state T₁/S₀ intersection structure (252 cm⁻¹ at the MS-CASPT2 level). This is also consistent with recently observed 835-fold enhancement of the T₁ decay of 6SeGua compared with that of 6tGua in experiments.

Correlation with Previous Works. Figure 10 shows our suggested photophysical mechanism of 6SeGua when its

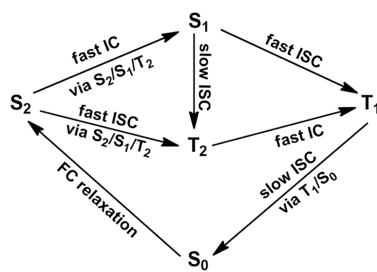


Figure 10. Suggested photophysical mechanism for 6SeGua based on our present MS-CASPT2 calculations.

S₂(¹ππ*) state is populated in the Franck–Condon region. This initial excited singlet state, after the FC relaxation, will decay to either S₁(¹nπ*) or T₂(³nπ*) states via the three-state intersection point S₂/S₁/T₂. Both the internal conversion from the S₂(¹ππ*) to S₁(¹nπ*) state and the intersystem crossing from the S₂(¹ππ*) to T₂(³nπ*) state should be efficient. The

latter is also enhanced by its large S₂/T₂ spin–orbit coupling according to the classical El-Sayed rule (435 cm⁻¹ at the MS-CASPT2 level). The resultant S₁(¹nπ*) state can further hop to either the T₂(³nπ*) state or the T₁(³ππ*) state via the second intersystem crossing process. In spite of the fact that the S₁(¹nπ*) → T₁(³ππ*) intersystem crossing is more efficient than the S₁(¹nπ*) → T₂(³nπ*) one due to the El-Sayed rule, the latter cannot be excluded due to its comparably large S₁/T₂ spin–orbit coupling of 39 cm⁻¹, which still cannot compete with the latter, however (S₁/T₁: 437 cm⁻¹). The T₂ state that is populated from either the initial S₂(¹ππ*) state or the intermediate S₁(¹nπ*) state will further hop to the T₁ state through the subsequent internal conversion process, which should be very fast concerning that both states are nearly quasi-degenerate in an extended region of involved potential energy surfaces. Finally, the generated T₁ state can also decay to the ground state via the energetically allowed two-state T₁/S₀ intersection point, which is merely 0.11 eV higher than the T₁ minimum. This process is also expedited by the large spin–orbit coupling (see above).

Our suggested excited-state relaxation paths are qualitatively similar to that reported by Pirillo et al. predicted by the TD-DFT method.⁴⁸ The S₂(¹ππ*) → S₁(¹nπ*) → T₁(³ππ*) path should be the most favorable one, followed by the S₂(¹ππ*) → S₁(¹nπ*) → T₂(³nπ*) → T₁(³ππ*) path, as shown in Figure 10. However, our present work provides more accurate excited-state structures and energies because the TD-DFT method overestimates all adiabatic excitation energies for the involved S₂(¹ππ*), S₁(¹nπ*), T₂(³nπ*), and T₁(³ππ*) states, as discussed above (more than 0.43 eV for S₁). This situation is also seen for the vertical excitation energies (see above, 2.61 eV at MS-CASPT2 vs 3.10 eV at TD-B3LYP to the S₁(¹nπ*) state). Moreover, the MS-CASPT2 optimization also gives a little different geometric parameter, for example, 1.944 Å at MS-CASPT2 vs 1.851 Å at TD-B3LYP for the C2–Se1 bond length of the T₁(³ππ*) minimum. Finally, it should be stressed that previous works merely determined the stationary points in the ground- and excited-states but did not explore the accessibilities of these stationary points from the initially populated singlet state. In addition, intersection structures responsible for the radiationless transition between different potential energy surfaces were also not studied. In the present work, the accessibilities of the excited-state minima are all explored using the MS-CASPT2 calculated LIIC paths and the related three- and two-state intersection structures and their roles in the excited-state relaxation are scrutinized as well at the same computational level.

Experimentally, it is suggested that the 835-fold acceleration of the T_1 decay to the S_0 state in 6SeGua compared with that in 6tGua, 1.7 vs 1420 ns, is ascribed to a stronger spin–orbit coupling and a smaller energy barrier to the T_1/S_0 intersection region. In terms of the present and previous calculations, one can find that the energy barriers to the related T_1/S_0 intersection points are more or less close to each other, 0.11 eV in 6SeGua vs 0.16 eV in 6tGua.³⁴ Therefore, we infer that the large difference of the T_1 decay rates should primarily stem from the significantly increased T_1/S_0 spin–orbit coupling of 6SeGua relative to that of 6tGua.

Finally, we have found that the ground-state electronic structure of 6SeGua has a much obvious multireference character. MS-CASPT2 electronic structure analysis shows that the weight of the closed-shell electronic configuration, i.e., 2222220000 is only 0.77; in contrast, there is a comparable open-shell electronic configuration, i.e., 22222ud000 with 0.11 weight. This multireference ground-state property could make the C7–C11 bond length of 6SeGua much longer than those of natural Gua and 6tGua (1.410 Å vs 1.369 Å vs 1.367 Å). This peculiar electronic structure could be employed in certain applications.

CONCLUSIONS

We have employed the highly accurate MS-CASPT2 method to study the photophysical mechanism of 6-selenium-substituted guanine (6SeGua). The MS-CASPT2 calculated vertical excitation energies are consistent with experimentally measured adsorption peaks very well and show that the $S_2(^1\pi\pi^*)$ singlet state is first populated in the Franck–Condon region. In comparison with the TD-DFT method, the MS-CASPT2 method provides more accurate structures and energies. In terms of our MS-CASPT2-optimized stationary and intersection points and computed LIIC paths, there exists two possible excited-state relaxation paths from the electronically excited $S_2(^1\pi\pi^*)$ singlet state and they are the $S_2(^1\pi\pi^*) \rightarrow S_1(^1n\pi^*) \rightarrow T_1(^3\pi\pi^*)$ and $S_2(^1\pi\pi^*) \rightarrow S_1(^1n\pi^*) \rightarrow T_2(^3n\pi^*) \rightarrow T_1(^3\pi\pi^*)$ paths, respectively. In addition, we suggest that the large difference of the T_1 decay rates to the S_0 state, 1.7 ns for 6SeGua vs 1420 ns for 6tGua in experiments, should primarily stem from the significantly increased T_1/S_0 spin–orbit coupling of 6SeGua in that the energy barriers to the related T_1/S_0 intersection points are more or less close to each other, 0.11 eV in 6SeGua vs 0.16 eV in 6tGua. Finally, we have found that the electronic structure of 6SeGua in the S_0 state is of much obvious multireference character with significant contribution from the open-shell electronic configuration, which rationalizes its much longer C7–C11 bond length compared with those of natural Gua and 6tGua. This intriguing finding could be employed in certain applications. The present computational work also enriches our knowledge of the photophysics of seleno-substituted nucleobases and could motivate the following experimental studies on other nucleobase variants.

COMPUTATIONAL METHODS

Minima and intersection structural optimization are carried out directly using the multistate complete active space second-order perturbation approach (MS-CASPT2).^{49,50} Three and five roots are used in the MS-CASPT2 optimizations and single point energy refinements in which equal roots are used. All MS-CASPT2 calculations use an active space of 12

electrons in 10 orbitals, which includes 7 π and π^* orbitals, 2 σ and σ^* orbitals, and 1 n orbital of the selenium atom. The ionization potential–electron affinity (IPEA) is set to zero⁵¹ because our tests show that such setting gives better agreement with experiments for the vertical excitation energy of the S_2 state (3.39 vs exp. 3.47 eV). This has been noticed by González and co-workers in their recent work.⁵² An imaginary shift value of 0.2 is employed to avoid the intruder-state issue;⁵³ the Cholesky decomposition approach with on-the-fly unbiased auxiliary basis sets is used to deal with two-electron integrals.⁵⁴ The cc-pVDZ and cc-pVTZ basis sets are used for geometry optimizations and energy refinements on the C, N, and H atoms; whereas for selenium atom, aug-cc-pVDZ and aug-cc-pVTZ basis sets are employed for geometry optimizations and single point calculations, respectively.⁵⁵ Spin–orbit coupling calculations use the same basis sets as single point refinements, in which the atomic mean-field approximation is adopted.^{56–58} The effective spin–orbit couplings reported in this work are expressed

$$\langle \Psi_I | H_{\text{eff}}^{\text{so}} | \Psi_J \rangle = \sqrt{\frac{(\langle \Psi_I | H_x^{\text{so}} | \Psi_J \rangle)^2 + (\langle \Psi_I | H_y^{\text{so}} | \Psi_J \rangle)^2 + (\langle \Psi_I | H_z^{\text{so}} | \Psi_J \rangle)^2}{3}}$$

in which Ψ_I and Ψ_J are electronic wavefunctions of involved singlet and triplet states; H_x^{so} , H_y^{so} , and H_z^{so} are spin–orbit operators of x , y , and z components. All of the computations are performed using MOLCAS8.0.^{59,60}

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsomega.9b01276.

Active orbitals in MS-CASPT2 computations, additional figures and tables, and Cartesian coordinates of all optimized structures (PDF)

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Notes

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ACKNOWLEDGMENTS

This work has been supported by the National Natural Science Foundation of China (21522302, 21520102005, and 21421003); G.C. is also grateful for financial support from the “Fundamental Research Funds for Central Universities”.

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