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## ORIGINAL ARTICLE

OV21/PETROC: a randomized Gynecologic Cancer Intergroup phase II study of intraperitoneal versus intravenous chemotherapy following neoadjuvant chemotherapy and optimal debulking surgery in epithelial ovarian cancer

D. M. Provencher<sup>1</sup>, C. J. Gallagher<sup>2</sup>, W. R. Parulekar<sup>3</sup>, J. A. Ledermann<sup>4</sup>, D. K. Armstrong<sup>5</sup>, M. Brundage<sup>6</sup>, C. Gourley<sup>7</sup>, I. Romero<sup>8</sup>, A. Gonzalez-Martin<sup>9</sup>, M. Feeney<sup>4</sup>, P. Bessette<sup>10</sup>, M. Hall<sup>11</sup>, J. I. Weberpals<sup>12</sup>, G. Hall<sup>13</sup>, S. K. Lau<sup>14</sup>, P. Gauthier<sup>10</sup>, M. Fung-Kee-Fung<sup>12</sup>, E. A. Eisenhauer<sup>3</sup>, C. Winch<sup>3</sup>, D. Tu<sup>3</sup> & H. J. MacKay<sup>15\*</sup>

<sup>1</sup>Institut du Cancer de Montréal, Centre de Recherche du Centre Hospitalier de l'Université de Montréal, Montréal, Canada; <sup>2</sup>Barts Health NHS Trust, London, UK; <sup>3</sup>Canadian Cancer Trials Group (CCTG), Queen's University, Kingston, Canada; <sup>4</sup>University College London Cancer Institute, London, UK; <sup>5</sup>Johns Hopkins University School of Medicine, Baltimore, USA; <sup>6</sup>Cancer Centre of Southeastern Ontario, Kingston, Canada; <sup>7</sup>Edinburgh Cancer Research UK Centre, MRC Institute of Genetics and Molecular Medicine, University of Edinburgh, Edinburgh, UK; <sup>8</sup>Secretaria del Área Clínica de Oncología Ginecológica, Instituto Valenciano de Oncología, València; <sup>9</sup>MD Anderson Cancer Center, Madrid, Spain; <sup>10</sup>Gynecologic Oncology Division, Centre Hospitalier de l'Université de Montréal, Sherbrooke, Canada; <sup>11</sup>Department of Obstetrics and Gynaecology, Mount Vernon Cancer Centre, Northwood, UK; <sup>12</sup>Division of Gynaecologic Oncology, The Ottawa Hospital, Ottawa, Canada; <sup>13</sup>Leeds Institute of Cancer and Pathology, St James's University Hospital, Leeds, UK; <sup>14</sup>Division of Gynaecologic Oncology, Segal Cancer Center, SMBD Jewish General Hospital, McGill University, Montréal; <sup>15</sup>Division of Medical Oncology & Hematology, Odette Cancer Centre, Sunnybrook Health Sciences Centre, Toronto, Canada

\*Correspondence to: Dr Helen J. MacKay, Division of Medical Oncology & Hematology, Odette Cancer Centre, Sunnybrook Health Sciences Centre, 2075 Bayview Avenue, Toronto, ON, Canada M4N 3M5. Tel: +1-416-480-5145; E-mail: helen.mackay@sunnybrook.ca

Note: Mackay H, Gallagher CJ, Parulekar WR, et al: OV21/PETROC: A randomized Gynecologic Cancer Intergroup (GCIG) phase II study of intraperitoneal (i.p.) versus intravenous (i.v.) chemotherapy following neoadjuvant chemotherapy and optimal debulking surgery in epithelial ovarian cancer (EOC). ASCO Meeting Abstracts 34: LBA5503, 2016. This study was previously presented at: (1) ASCO Annual Meeting, Chicago, IL, USA on 5 June 2016, and (2) Society of Gynecologic Oncology Annual Meeting, Chicago, IL, USA on 13 March 2017.

**Background:** The purpose of this multistage, adaptively, designed randomized phase II study was to evaluate the role of intraperitoneal (i.p.) chemotherapy following neoadjuvant chemotherapy (NACT) and optimal debulking surgery in women with epithelial ovarian cancer (EOC).

**Patients and methods:** We carried out a multicenter, two-stage, phase II trial. Eligible patients with stage IIB–IVA EOC treated with platinum-based intravenous (i.v.) NACT followed by optimal (<1 cm) debulking surgery were randomized to one of the three treatment arms: (i) i.v. carboplatin/paclitaxel, (ii) i.p. cisplatin plus i.v./i.p. paclitaxel, or (iii) i.p. carboplatin plus i.v./i.p. paclitaxel. The primary end point was 9-month progressive disease rate (PD9). Secondary end points included progression-free survival (PFS), overall survival (OS), toxicity, and quality of life (QOL).

**Results:** Between 2009 and 2015, 275 patients were randomized; i.p. cisplatin containing arm did not progress beyond the first stage of the study after failing to meet the pre-set superiority rule. The final analysis compared i.v. carboplatin/paclitaxel (n = 101) with i.p. carboplatin, i.v./i.p. paclitaxel (n = 102). The intention to treat PD9 was lower in the i.p. carboplatin arm compared with the i.v. carboplatin arm: 24.5% (95% CI 16.2% to 32.9%) versus 38.6% (95% CI 29.1% to 48.1%) P = 0.065. The study was underpowered to detect differences in PFS: HR PFS 0.82 (95% CI 0.57–1.17); P = 0.27 and OS HR 0.80 (95% CI 0.47–1.35) P = 0.40. The i.p. carboplatin-based regimen was well tolerated with no reduction in QOL or increase in toxicity compared with i.v. administration alone.

**Conclusion:** In women with stage IIIC or IVA EOC treated with NACT and optimal debulking surgery, i.p. carboplatin-based chemotherapy is well tolerated and associated with an improved PD9 compared with i.v. carboplatin-based chemotherapy.

Clinical trial number: clinicaltrials.gov, NCT01622543.

Key words: i.p. chemotherapy, ovarian cancer, neoadjuvant

## Introduction

Epithelial ovarian cancer (EOC) is the leading cause of death from gynecologic malignancy in the developed world with the majority of women presenting with stage III/IV disease [1]. The peritoneal cavity is the principal site of disease and intraperitoneal (i.p.) chemotherapy has been investigated as a means of increasing the dose intensity delivered to the tumor [2]. At the time OV21/PETROC was conceived, three randomized clinical trials (RCTs) and a meta-analysis had demonstrated improved survival for women with stage III EOC who received a combination of intravenous (i.v.) and i.p. chemotherapy following optimal, primary debulking surgery [3-5]. An update of the most recent of these trials, GOG 172, confirmed a continued benefit for women who had received the experimental arm [6]; i.p./i.v. chemotherapy, however, remains controversial [7]. Debate has centered on the impact of drug scheduling on the i.p. benefits and concerns over the toxicity of i.p. cisplatin, used in the positive studies, compared with i.v. carboplatin [8].

The use of neoadjuvant chemotherapy (NACT) before a definitive debulking attempt is increasingly used in advanced EOC [9, 10] based on two RCTs which demonstrated non-inferiority and lower perioperative morbidity compared with primary surgery followed by chemotherapy [11, 12]. None of the i.p./i.v. RCTs included patients who had undergone optimal debulking surgery following NACT.

OV21/PETROC investigated the hypothesis that women undergoing NACT followed by optimal debulking surgery would benefit from i.v./i.p. chemotherapy.

## Patients and methods

## Patients

Patients were eligible if they had histologically confirmed EOC, primary peritoneal or fallopian tube carcinoma, were FIGO [13] stage IIB–IVA (pleural effusion only) at initial diagnosis, had undergone three or four cycles of platinum-based NACT followed (within 6 weeks) by optimal ( $\leq 1$  cm) debulking surgery and had an ECOG performance status of 0–2. Exclusion criteria included: mucinous or borderline histology, extensive intra-abdominal adhesions, bowel obstruction or unresolved > grade 2 peripheral neuropathy.

## **Trial design**

OV21/PETROC was a Gynecologic Cancer Intergroup (GCIG) study developed by the Canadian Clinical Trials Group (CCTG) in collaboration with the National Cancer Research Institute (UK) and was approved by institutional ethics boards of participating institutions. OV21/PETROC was a randomized multistage study. The initial stage of the study was designed to 'pick the winner' of two i.p. chemotherapy regimens to carry forward into a two-arm (i.v. versus i.p.) phase III comparison with progression-free survival (PFS) as the primary outcome. However, due to poor accrual and following Independent Data Monitoring Committee review, the design was subsequently amended to an expanded two-arm phase II study using the primary outcome measure of PD9, defined as the proportion of patients with disease progression or death due to any cause occurring within 9 months of randomization (Figure 1).

## **Protocol therapy**

Randomization was permitted intraoperatively or within 6 weeks of debulking surgery using a central, web-based minimization procedure with the following stratification factors: Cooperative Group, reason for NACT (unresectable disease versus other), residual disease (macroscopic versus microscopic), and timing of i.p. catheter placement (intraoperatively or postoperatively by interventional radiology) (see IR—Appendix protocol for details).

Protocol chemotherapy was administered every 21 days for three cycles. Stage I patients were randomized 1 : 1 : 1 to arm 1: paclitaxel 135 mg/m<sup>2</sup> i.v. and carboplatin area under the curve (AUC) 5/6 i.v. on day 1 with paclitaxel 60 mg/m<sup>2</sup> i.v. on day 8; arm 2: paclitaxel 135 mg/m<sup>2</sup> i.v. and cisplatin 75 mg/m<sup>2</sup> i.p. on day 1, with paclitaxel 60 mg/m<sup>2</sup> i.p. on day 8; or arm 3: paclitaxel 135 mg/m<sup>2</sup> i.v. and carboplatin AUC 5/6 i.p. day 1, with paclitaxel 60 mg/m<sup>2</sup> i.p. on day 8. After stage I, patients were randomized 1 : 1 to the i.v. arm (arm 1) and the remaining i.p. arm. Carboplatin dosing was AUC 5 if a measured glomerular filtration rate (GFR) was available and AUC 6 if an estimated GFR was used. Doses were adjusted for grade 3 adverse events (AEs) ( $\geq$ grade 2 for neurotoxicity); while grade 4 AEs or  $\geq$ grade 3 neurotoxicity led to drug discontinuation. Patients not tolerating i.p. chemotherapy were offered institutional standard i.v. chemotherapy.

## Assessments and outcome measures

The primary end point for the study was PD9 rate. Disease progression was defined using RECIST V1.1 and/or GCIG CA125 criteria [14, 15]. Secondary end points included PFS, OS, feasibility, safety, and quality of life (QOL) assessed using questionnaires EORTC QLQ-C30 [16], EORTC QLQ-OV28 [17, 18] and FACT/GOG-Ntx [19]. AEs were coded using the NCI Common Terminology Criteria for Adverse Events (CTCAE) Version 4.0.

Physical examination, biochemistry and CA125 were assessed on day 1 of each cycle and complete blood count on days1, 8 and 15. Imaging studies were done at the end of treatment; every 6 months for 2 years and then as clinically indicated. Patients were reviewed (physical examination, CA125) post treatment at 6 weeks, every 3 months for 2 years, every 6 months years 2–4 then annually until death. QOL instruments were collected at 3, 6, and 12 months then annually.

## **Statistical methods**

*First stage.* After the first 50 patients randomized to each arm had a minimum 9-month follow-up, an independent Data Safety Monitoring Committee (DSMC) reviewed PD9, compliance, and safety to determine whether the trial should continue to the second stage. An i.p. arm would be considered as futile to continue if its PD9 was 5% or greater than that of the i.v. arm, which had an expected PD9 of 40% (based on results of a previous front-line randomized study [20] adjusted for the randomization timing in OV21/PETROC after NACT). If neither i.p. arm met criteria for

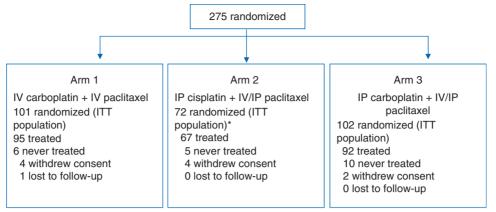


Figure 1. OV21/PETROC flow diagram.

futility, the arm with lower PD9 would be selected for the second stage unless  $\geq$ 29 patients failed to complete that i.p. treatment due to toxicity.

## Efficacy

Second stage. A sample size of 200 in the second stage, including patients accrued in stage I, permitted detection of a 19% difference in PD9 between arms 1 (assumed to be 40%) and the selected i.p. arm (arm 3) with 80% power at two-sided 0.05 level. This absolute difference was considered relevant based on PD9 data extrapolated from GOG172 [4]. The final analysis of both intention to treat (ITT- as randomized) and per protocol (eligible, received at least one dose of protocol treatment, not lost to followup or consent withdrawal) populations was carried out once all patients had 9-month follow-up. A stratified Cochran-Mantel-Haenszel test adjusting for stratification factors at randomization was the primary method used to compare PD9 between the two treatment arms. Odds ratio and associated 95% confidence interval were obtained from stratified logistical regression models. PFS and OS were summarized using Kaplan-Meier plots and compared using the stratified log-rank test. Estimates of the relative treatment differences were obtained from hazard ratios (HRs) and 95% CIs from stratified Cox regression models.

Analyses of QOL data using previously described methodology [21, 22], were restricted to patients who had a baseline and at least one assessment on study. Chi-square test was used to compare the distributions of response categories between arms.

Safety was evaluated in patients who received at least one dose of protocol therapy.

## Results

### Patients and protocol treatment received

Between September 2009 and May 2015, 275 patients were randomized: 101 in arm 1 (i.v. alone), 72 in arm 2 (i.p. cisplatin-based regimen) and 102 in arm 3 (i.p. carboplatin-based regimen). A total of 254 patients received at least one dose of protocol therapy (Figure 1); 72 patients were accrued to arm 2 since accrual was not halted while awaiting stage I outcomes.

Baseline characteristics by treatment arm are presented in Table 1. The three groups were well balanced and the median time from diagnosis to randomization was 3 months. The majority of participants (72.8%) underwent intraoperative randomization.

Most patients (88% arm 1, 72% arm 2, and 76% arm 3) were able to complete three cycles of chemotherapy. Seven i.p. patients crossed over to i.v. chemotherapy (three in arm 2 and four in arm 3).

*First stage*. Stage I analysis included 51 patients on each arm. The PD9 at this time was 37.3% on arm 1, 45.1% on arm 2, and 27.5% on arm 3. As per the statistical plan, arm 2 accrual was discontinued. Follow-up was maintained on all patients until the final analysis.

Second stage. A total of 203 patients were enrolled in arms 1 and 3. As shown in Table 2, for the ITT population, the PD9 was 38.6% (95% CI 29.1–48.1) in arm 1 (i.v.), and 24.5% (95% CI 16.2–32.9) in arm 3 (i.p. carboplatin), P = 0.065. For the per protocol population analysis, the PD9 was 42.2% (95% CI 31.9–53.1) arm 1 and 23.3% (95% CI 15.1–33.4) arm 3, P = 0.03.

At the time of data cut-off (28 February 2016) the median follow-up was 33 months. The median PFS was 11.3 months in arm 1 and 12.5 months in arm 3 (Figure 2) with an HR of 0.82 95% CI (0.57-1.17). The 2-year OS was 74.4% in arm 1, and 80.6% in arm 3 (Figure 3), HR 0.80, 95% CI (0.47-1.35) (Figure 3).

Adverse events. Severe treatment-related ( $\geq$  grade 3) AEs during protocol therapy occurred in 23% of patients in arm 1, 22% in arm 2, and 16% arm 3 (P= NS, details in supplementary Table S1, available at *Annals of Oncology* online). The most common severe AEs ( $\geq$ 5% in at least one treatment arms) were febrile neutropenia (arm 1: 5.3%, arm 2: 1.5%, arm 3: 1.1%) and abdominal pain (arm 1: 1.1%, arm 2: 6.0%, arm 3: 1.1%). Catheter-related complications, obstruction being the most common, led to treatment discontinuation in 8 (11.9%) patients in arm 2 and 7 (7.6%) in arm 3.

*Quality of life.* Compliance with QOL assessment was 87% at baseline and 80% at 6 months across arms. No statistically significant difference between arms was found on any scale. In particular, no differences were seen in peripheral neuropathy or gastrointestinal symptoms scales at baseline or in follow-up between all arms. Significant improvements in gastrointestinal functioning over time were seen in all arms (see detailed QOL response by treatment arm in supplementary Figure S1, available at *Annals of Oncology* online).

## Annals of Oncology

Table 1. Characteristics of patients and treatments									
	Arm 1 (N = 101) i.v. carboplatin + i.v. paclitaxel	Arm 2 (N = 72) i.p. cisplatin + i.v. /i.p. paclitaxel	Arm 3 (N = 102) i.p. carboplatin + i.v. /i.p. paclitaxel	Total (N = 275)					
Age									
Median (range), years	62 (33–83)	61 (29–78)	62 (40-82)	62 (29–83)					
≤65	65 (64.4)	52 (72.2)	71 (69.6)	188 (68.4)					
>65	36 (35.6)	20 (27.8)	31 (30.4)	87 (31.6)					
Race or ethnic group									
White	92 (91.1)	67 (93.1)	95 (93.1)	254 (92.4)					
Black or African American	1 (1.0)	1 (1.4)	1 (1.0)	3 (1.1)					
Asian	5 (5.0)	1 (1.4)	4 (3.9)	10 (3.6)					
Other	3 (3.0)	3 (4.2)	2 (2.0)	8 (2.9)					
ECOG performance status									
0	46 (45.5)	41 (56.9)	49 (48.0)	136 (49.5)					
1	53 (52.5)	29 (40.3)	47 (46.1)	129 (46.9)					
2	2 (2.0)	2 (2.8)	6 (5.9)	10 (3.6)					
Primary site									
Ovary	75 (74.3)	55 (76.4)	73 (71.6)	203 (73.8)					
Peritoneal	17 (16.8)	16 (22.2)	20 (19.6)	53 (19.3)					
Fallopian tube	6 (5.9)	1 (1.4)	7 (6.9)	14 (5.1)					
Other or unknown	3 (3.0)	0 (0.0)	2 (2.0)	5 (1.0)					
Histologic type									
Serous adenocarcinoma	95 (94.1)	69 (95.8)	95 (93.1)	259 (94.2)					
Adenocarcinoma, unspecified	3 (3.0)	2 (2.8)	3 (2.9)	8 (2.9)					
Other or unknown	3 (3.0)	1 (1.4)	4 (3.9)	8 (2.9)					
Histologic grade		· · ·	· · ·	( )					
Poorly differentiated or undifferentiated (III)	91 (90.1)	65 (90.3)	96 (94.1)	252 (91.6)					
Intermediate differentiation (II)	3 (3.0)	5 (6.9)	3 (2.9)	11 (4.0)					
Unknown	7 (6.9)	2 (2.8)	3 (2.9)	12 (4.4)					
Months from histologic diagnosis to randomization		· · ·	· · ·	( )					
Median (range)	3.0 (0-4.7)	2.8 (0-5.9)	3.2 (0-5.3)	3.0 (0–5.9)					
Stage at initial diagnosis	, , , , , , , , , , , , , , , , , , ,	· · ·	· · ·	, , , , , , , , , , , , , , , , , , ,					
IIB	1 (1.0)	0 (0.0)	0 (0.0)	1 (0.4)					
IIC	0 (0.0)	1 (1.4)	1 (1.0)	2 (0.7)					
IIIB	6 (5.9)	0 (0.0)	6 (5.9)	12 (4.4)					
IIIC	82 (81.2)	61 (84.7)	82 (80.4)	225 (81.8)					
lva	12 (11.9)	10 (13.9)	13 (12.7)	35 (12.7)					
Reason for NACT before debulking surgery									
Unresectable disease	85 (84.2)	61 (84.7)	84 (82.4)	230 (83.6)					
Other	16 (15.8)	11 (15.3)	18 (17.6)	45 (16.4)					
Delayed interval debulking surgery									
Weeks from NACT last cycle to surgery									
Median (range)	4.1 (2.4–6.9)	4.1 (1.6–6.3)	4.0 (1.7–6.1)	4.1 (1.6–6.9)					
Presence of disease at end of surgery	40 (39.6)	30 (41.7)	37 (36.3)	107 (38.9)					
Days from surgery to randomization	10 (0010)	50 (11.7)	37 (33.37)	107 (3013)					
0 (perioperative)	78 (77.2)	58 (80.6)	78 (76.5)	214 (72.8)					
1–7	4 (4.0)	0 (0.0)	1 (1.0)	5 (1.8)					
8–14	1 (1.0)	1 (1.4)	2 (2.0)	4 (1.5)					
>15	18 (17.8)	13 (18.1)	21 (10.6)	52 (18.9)					
Days from surgery to day 1 of cycle 1			2. (10.0)	32 (10.5)					
Median (range)	28 (5–50)	32 (7–56)	32 (7–51)	31 (5–56)					

Data are number (percentage) unless otherwise specified.

i.p., intraperitoneal; i.v., intravenous; NA, not applicable; NACT, neoadjuvant chemotherapy.

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# Original article

	Arm 1 (N = 101) i.v. carboplatin + i.v. paclitaxel		Arm 2 (N = 72) i.p. cisplatin + i.v./i.p. paclitaxel		Arm 3 (N = 102) i.p. carboplatin + i.v./i.p. paclitaxel		Crude differences in cumulative incidence of PD9
-	N	% (95% CI)	N	% (95% CI)	N	% <b>(95% CI)</b>	% <b>(95</b> % <b>CI)</b>
Progression or death at or before Month 9	39	38.6 (29.1 to 48.1)	25	34.7 (23.7 to 45.7)	25	24.5 (16.2 to 32.9)	
Arm 1 versus arm 3							14.1 (1.5 to 26.7)
Arm 2 versus arm 3							10.2 (-3.6 to 24.0)
Time of event							
First relapse/progression on treatment	0		1		0		
Objective progression only	0		0		0		
CA125 progression only	0		0		0		
Both objective and CA125 progressions	0		1		0		
First relapse/Progression during follow-up	39		24		24		
Objective progression only	17		5		7		
CA125 progression only	0		0		0		
Both objective and CA125 progressions	22		19		17		
Death (without relapse/progression)	0		0		1		

Discussion

OV21/PETROC was designed to answer two clinically important questions: the role of i.p./i.v. chemotherapy in the NACT patient population and to provide RCT data on an i.p. carboplatin-based regimen. The study demonstrates that i.p./i.v. chemotherapy is safe and well tolerated in this patient population with no detriment to QOL. Although delivery of i.p./i.v. chemotherapy was associated with a 17.7% improvement in the PD9 (ITT) (18.9% improvement, per protocol treatment), similar to that extrapolated from GOG 172 [4], the trial is underpowered to draw firm conclusions about PFS and OS. OV21/PETROC provides data for discussion with patients around the use of i.p. carboplatin-based regimens which have, in some cases, been adopted in the community without RCT data [7].

OV21/PETROC had a novel, adaptive, two-stage design. The PD9, post-randomization, end point was selected as a surrogate measure of efficacy to allow for a seamless transition into the second stage of the trial. To avoid the criticism levelled at previous studies the regimens included in the trial were balanced for both schedule and dose of paclitaxel and carboplatin [8]. As a result, the i.v. reference arm (with day 8 paclitaxel) was not a previously reported, standard of care. However, the observed PD9 of 42.2% is reassuringly consistent with the (40%) rate observed for the i.v. arm in our previous study [20]. At the end of the first stage of this trial, arm 2 (i.p. cisplatin) was discontinued due to lack of efficacy compared with the i.v. regimen. The prior positive, frontline i.p. RCTs investigated regimens containing i.p. cisplatin 100 mg/m<sup>2</sup> [3–5]. Our use of a lower dose (cisplatin 75 mg/m<sup>2</sup>) was based on concerns over toxicity at 100 mg/m<sup>2</sup> and this may have impacted efficacy. These data plus the initial findings of GOG 252, that also show no benefit for i.p. cisplatin at 75 mg/m<sup>2</sup> [23], do not support using 75 mg/m<sup>2</sup> cisplatin i.p. in practice.

A major limitation of OV21/PETROC was the revision of the statistical design for the second stage of the trial. The independent DSMC were asked to make a recommendation, based on the study's potential to provide clinically useful information, to either stop the trial or to continue with a limited expansion into the twoarm stage. Whilst acknowledging that PD9 represented an unconventional end point, the DSMC recommended amending the protocol. In addition, the comparison of i.p. and i.v. carboplatinbased regimens provides additional data on QOL and toxicity. Further data on the upfront use of i.p. carboplatin (alone) are awaited from the JGOG iPocc study and survival analysis of GOG 252 which also investigated an i.p. carboplatin arm [23].

Placing the OV21/PETROC data in the context of other NACT studies is challenging given that study entry/randomization was at the time of debulking surgery. However, in over 80% of cases the decision to select NACT was inoperable disease with over 90% having stage IIIC–IV disease. This aligns with entry requirements for other NACT studies [11, 12]. Median OS (from randomization) observed in OV21/PETROC (microscopic and <1 cm) was 38.1 months in the i.v. arm and 59.3 months for the i.p./i.v. arm. Making a conservative presumption of 10 weeks from date of first preoperative chemotherapy to randomization (three cycles of chemotherapy and median 4-week time interval to surgery in OV21/PETROC) would translate into an OS from diagnosis of 40.6 months in the i.v. arm and 61.8 months in the i.p./i.v. arm. Although the comparison is crude, the i.v. arm of OV21/PETROC does appear to be performing in a similar range

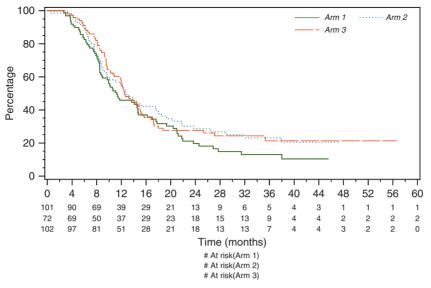
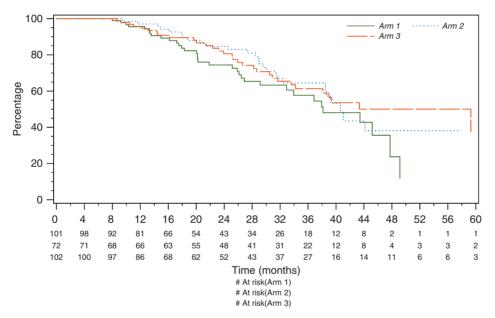


Figure 2. PFS arm 1: i.v. carboplatin + i.v. paclitaxel; arm 2: i.p. cisplatin + i.v./i.p. paclitaxel; arm 3: i.p. carboplatin + i.v./i.p. paclitaxel.



**Figure 3.** Overall survival—arm 1: i.v. carboplatin + i.v. paclitaxel; arm 2: i.p. cisplatin + i.v./i.p. paclitaxel; arm 3: i.p. carboplatin + i.v./i.p. paclitaxel.

to the other NACT studies. These earlier studies would, however, have included patients with a poorer prognosis as all patients in OV.21-PETROC underwent surgery whereas in the other trials, patients were entered at diagnosis and some progressed before NACT. The i.p. arm is certainly no worse than the i.v. arm and, had the study been completed as originally intended, raises the intriguing possibility that it may have been better.

Interpretation of the clinical relevance of OV21/PETROC is limited by the lack of power to detect changes in PFS and OS; i.p. chemotherapy remains controversial. OV21/PETROC does, however, provide RCT data both to support the use of i.p. carboplatin and to inform clinicians and patients when making choices about subsequent therapy following NACT and optimal debulking surgery. Correlative studies are planned to identify potential predictive biomarkers and inform the design of future clinical trials.

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## **OV.21 study investigators**

Canada: Dr H. Bliss Murphy Cancer Centre: Patti Power; QEII Health Sciences Centre: Katharina Keiser; Atlantic Health Sciences

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### Disclosure

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## Appendix

NCIC CTG OV.21 Protocol: A Phase II Study of Intraperitoneal (IP) Plus Intravenous (IV) Chemotherapy Versus IV Carboplatin Plus Paclitaxel 23. Walker JL, Brady MF, DiSilvestro PA et al. A phase III clinical trial of bevacizumab with IV versus IP chemotherapy in ovarian, fallopian tube and primary peritoneal carcinoma NCI-supplied agent(s): bevacizumab (NSC #704865, IND #7921) NCT01167712 a GOG/NRG trial (GOG 252)

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in Patients with Epithelial Ovarian Cancer Optimally Debulked at Surgery Following Neoadjuvant Intravenous Chemotherapy.