

## Haemodynamics, dyspnoea, and pulmonary reserve in heart failure with preserved ejection fraction

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| Aims                   | Increases in left ventricular filling pressure are a fundamental haemodynamic abnormality in heart failure with preserved ejection fraction (HFpEF). However, very little is known regarding how elevated filling pressures cause pulmonary abnormalities or symptoms of dyspnoea. We sought to determine the relationships between simultaneously measured central haemodynamics, symptoms, and lung ventilatory and gas exchange abnormalities during exercise in HFpEF.                                                                                                                                                                                                                                                                                                                                                                                           |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Methods<br>and results | Subjects with invasively-proven HFpEF ( $n = 50$ ) and non-cardiac causes of dyspnoea (controls, $n = 24$ ) underwent cardiac catheterization at rest and during exercise with simultaneous expired gas analysis. During submaximal (20 W) exercise, subjects with HFpEF displayed higher pulmonary capillary wedge pressures (PCWP) and pulmonary artery pressures, higher Borg perceived dyspnoea scores, and increased ventilatory drive and respiratory rate. At peak exercise, ventilation reserve was reduced in HFpEF compared with controls, with greater dead space ventilation (higher $V_D/V_T$ ). Increasing exercise PCWP was directly correlated with higher perceived dyspnoea scores, lower peak exercise capacity, greater ventilatory drive, worse New York Heart Association (NYHA) functional class, and impaired pulmonary ventilation reserve. |
| Conclusion             | This study provides the first evidence linking altered exercise haemodynamics to pulmonary abnormalities and symptoms of dyspnoea in patients with HFpEF. Further study is required to identify the mechanisms by which haemodynamic derangements affect lung function and symptoms and to test novel therapies targeting exercise haemodynamics in HFpEF.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| Keywords               | Dyspnoea • Exercise • Heart failure • Pulmonary function                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

## Introduction

Exertional dyspnoea is the dominant symptom reported by people with heart failure (HF) and preserved ejection fraction (HFpEF).<sup>1</sup> Dyspnoea interferes with activities of daily living and impairs quality of life, but its causes remain poorly understood. Multiple mechanisms have been linked to dyspnoea in HF patients, including abnormalities in the heart, lungs, and the periphery. These range from abnormalities in metaboreflex-stimulated neural signalling in skeletal muscle to congestion-mediated changes in pulmonary compliance, alterations in lung fluid, irritation of J-receptors, increased dead space ventilation, and impaired alveolar gas diffusion.<sup>2–5</sup>

Diastolic dysfunction causes an increase in left heart filling pressure during exercise in HFpEF.<sup>6,7</sup> High filling pressures are widely considered to be the primary cause of dyspnoea, pulmonary limitations, and exercise intolerance in HFpEF, but this has never been directly demonstrated. In fact, prior studies investigating the effects of increased filling pressure during exercise in patients with HF with reduced EF (HFrEF) have revealed little to no relationship between haemodynamics, symptoms, and pulmonary limitations.<sup>8–13</sup>

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However, there are important and fundamental differences between HFpEF and HFrEF,<sup>14</sup> and no data is available in HFpEF directly relating simultaneously-measured central haemodynamics to symptoms or measures of pulmonary function during exercise. Accordingly, we sought to determine the relationships between central haemodynamics, symptoms of dyspnoea and ventilatory abnormalities during exercise in people with HFpEF.

### **Methods**

Consecutive subjects referred to the Mayo Clinic catheterization laboratory for invasive exercise haemodynamic testing for exertional dyspnoea of unknown cause were enrolled in this prospective study between August 2011 and July 2013. Some participant data from this study has been previously published,<sup>7,15–17</sup> but not as it relates to the HF symptoms or pulmonary function. Written informed consent was obtained by all patients. The study was approved by the Mayo Clinic Institutional Review Board and the study was registered (NCT01418248). All authors have read and agree to the manuscript as written.

#### **Study population**

Heart failure with preserved ejection fraction was defined by typical clinical symptoms (dyspnoea and fatigue), normal left ventricular (LV) EF ( $\geq$ 50%), and elevated left heart filling pressures (pulmonary capillary wedge pressure, PCWP) at rest (>15 mmHg) and/or with exercise ( $\geq$ 25 mmHg).<sup>6,7</sup> Subjects with significant valvular disease (>mild stenosis, >moderate regurgitation), infiltrative, restrictive or hypertrophic cardiomyopathy, constrictive pericarditis, significant pulmonary disease including chronic obstructive pulmonary disease, pulmonary embolism, and right ventricular (RV) myopathies were excluded.

Control subjects were also referred to invasive exercise evaluation because of indication of exertional dyspnoea but were found to display no evidence of HF or cardiac cause of dyspnoea after thorough clinical evaluation, imaging and invasive assessment, including normal rest and exercise mean PCWP (criteria above) and normal rest and exercise pulmonary artery (PA) pressures (rest <25 mmHg, exercise <40 mmHg).

#### Study protocol

History and physical examination and echocardiography were performed in a blinded fashion following informed consent, but prior to transfer to the catheterization laboratory. Invasive haemodynamic data, blood sampling, expired gas measurements, and ventilatory assessments were performed simultaneously at rest in the supine position and then during supine cycle ergometry exercise, starting at 20 W for 5 min, increasing 10 W increments in 3 min stages to subject-reported exhaustion. Symptoms of dyspnoea and fatigue during exercise were rated by subjects during each stage according to the Borg perceived dyspnoea (0–10) and fatigue scales (6–20).<sup>18</sup>

#### **Catheterization protocol**

Subjects underwent maximal-effort supine cycle ergometry testing with simultaneous expired gas analysis on chronic medications as described previously.<sup>6,7,15–17</sup> Right heart catheterization was performed through a 9 Fr sheath via the right internal jugular vein. Right atrial pressure, PA pressures, and PCWP were measured at end expiration (mean of  $\geq$ 3 beats) using 2 Fr high fidelity micromanometer-tipped catheters (Millar Instruments, Houston, TX, USA) advanced through the lumen of a 7 Fr fluid-filled catheter (Balloon wedge, Arrow). Pressure tracings from the entire study were digitized (240 Hz) and stored for offline analysis by one investigator experienced in exercise haemodynamic assessment (B.A.B.).

Pulmonary capillary wedge pressure position was confirmed by appearance on fluoroscopy, characteristic pressure waveforms, and oximetry (saturation  $\geq$ 94%).

A 4–6 Fr radial arterial cannula was used to measure arterial blood pressure (BP) and sampling of arterial blood gases throughout the tests. Arterial-venous  $O_2$  difference (AVO<sub>2</sub> diff) was measured directly as the difference between systemic arterial and PA  $O_2$  content (=saturation \* haemoglobin \* 1.34). Cardiac output (CO) was calculated by the direct Fick method (CO = VO<sub>2</sub> ÷ AVO<sub>2</sub> diff) at baseline, 20 W and peak exercise. Stroke volume (SV) was determined from the quotient of CO and heart rate. Pulmonary vascular function were assessed by pulmonary vascular resistance [PVR = (mean PA - PCWP)/CO] and PA compliance [PAC = stroke volume/(PA pulse pressure)].

#### Ventilation and expired gas analysis

Breath-by-breath oxygen consumption  $(VO_2)$ , carbon dioxide production (VCO<sub>2</sub>), tidal volume ( $V_T$ ), respiratory rate, minute ventilation  $(V_{\rm E} = V_{\rm T} \times \text{respiratory rate})$ , and inspiratory time  $(T_{\rm I})$  were measured continuously throughout the experiments as previously described (MedGraphics, St. Paul, MN, USA).<sup>3,7,15</sup> Objective effort was estimated by the respiratory exchange ratio (RER =  $VCO_2/VO_2$ ). Ventilatory efficiency was assessed by the slope of  $V_{\rm F}$  to VCO<sub>2</sub>, and ventilatory drive was estimated by the ratio of  $V_{\rm T}$  to  $T_{\rm L}^{3,19}$  Pulmonary dead space ( $V_{\rm D}$ ) is the volume that does not participate in gas exchange, and the sum of anatomical dead space (conduit airways such as the mouth and trachea) and alveolar dead space. The ratio of dead space ventilation to tidal volume  $(V_D/V_T)$  was determined from the modified alveolar gas equation using directly measured PaCO<sub>2</sub>, as described previously.<sup>2</sup> All analyses of ventilation and gas exchange data were performed offline in a blinded fashion by one investigator (T.P.O.) with extensive experience in pulmonary and expired gas analysis.

#### Assessment of right ventricular function

Two-dimensional, Doppler, and tissue Doppler echocardiography was performed according to contemporary guidelines by experienced sonographers as described previously.<sup>15,20</sup> Studies were interpreted offline in a blinded fashion. Right ventricular systolic and diastolic function was assessed using tissue velocities at the lateral tricuspid annulus (RV s' and e'), and was obtained simultaneously with invasive assessment at rest and during all stages of exercise.

#### Statistical analysis

Data are presented as mean (standard deviation), median (interquartile range), or *n* (%). Between-group differences were compared by unpaired *t* test, Wilcoxon rank sum test,  $\chi^2$  or Fisher's exact test as appropriate. Pearson's (normally-distributed) or Spearman's (non-normal distribution) correlation analyses were used to assess relationships between invasive haemodynamics, subjective symptoms, and ventilatory parameters (see Supplementary material online, *Table S1*). As an exploratory, ancillary analysis, multivariable linear regression was used to test whether differences in exercise haemodynamic and pulmonary variables remain significant after adjusting for age, body mass index (BMI), and haemoglobin levels.

### Results

#### Subject characteristics

Compared with controls, subjects with HFpEF were older, more obese, and anaemic and had higher creatinine and N-terminal pro-B-type natriuretic peptide (NT-proBNP) levels (*Table 1*). Prevalence of

diabetes, hypertension, coronary artery disease, and atrial fibrillation was similar between the groups. Jugular vein distention and peripheral oedema were more common in HFpEF compared with controls, but pulmonary rales were rare in both groups, consistent with the fact that all participants were evaluated as compensated outpatients. Left ventricular dimension and EF were similar in cases and controls, but subjects with HFpEF displayed impaired diastolic function, with higher *E/e'* ratio (*Table 1*).

## Resting haemodynamics, ventilation, gas exchange, and right ventricular function

Compared with controls, subjects with HFpEF displayed higher biventricular filling pressures and PA pressures, and lower PA compliance at rest (*Table 1*). Heart rate, BP, CO, RV systolic and diastolic function, and PVR were similar in HFpEF and controls at baseline. VO<sub>2</sub> and arterial  $pO_2$  were lower and respiratory rate was higher in HFpEF when compared with controls at rest, but there were no differences in other resting ventilatory parameters (*Table 1*).

#### Effects with submaximal exercise

During matched submaximal (20 W) exercise, biventricular filling pressures, and PA pressures were again higher in subjects with HFpEF compared with controls (*Table 2, Figure 1A*). Borg perceived dyspnoea and effort scores were also higher in HFpEF subjects than controls during 20 W exercise (*Figure 1B, Table 2*). Compared with controls, subjects with HFpEF displayed lower RV systolic function during the exercise while RV diastolic function was similar between the groups (*Table 2*).

Compared with controls, subjects with HFpEF displayed lower VO<sub>2</sub> and greater ventilatory inefficiency (higher  $V_E/VCO_2$ ) during submaximal exercise (*Table 2*). This was manifest by a more rapid, shallow breathing pattern in HFpEF subjects, with greater breathing frequency despite trend to lower  $V_T$  (*Figure 2A–C*). The greater tach-ypnoea in HFpEF subjects was related to an increase in ventilatory drive ( $V_T/T_1$  ratio) during submaximal exercise (*Figure 2D*).

Dead space ventilation ( $V_D/V_T$  ratio) decreased from baseline to 20 W exercise in both groups, but it remained higher in patients with HFpEF than in controls (*Figure 2E*). Arterial and mixed venous  $pO_2$  were lower in HFpEF than in control subjects, but arterial pH and  $pCO_2$  were similar between groups during submaximal exercise (*Table 2*).

#### **Effects with peak exercise**

Peak exercise workload ( $36 \pm 15$  vs.  $70 \pm 29$  W, P < 0.0001) and peak VO<sub>2</sub> were both markedly impaired in HFpEF subjects when compared with controls (*Table 3, Figure 2F*). Borg perceived effort and dyspnoea scores were similar between subjects with HFpEF and controls at peak workload, indicating that all participants exercised to subjective exhaustion, but dyspnoea relative to intensity of work performed was much higher in patients with HFpEF (P < 0.0001, *Figure 1B*). Biventricular filling pressures, PA pressures, and PVR were higher and CO and PAC were lower in HFpEF when compared with controls during peak exercise. Subjects with HFpEF displayed impaired RV systolic and diastolic function at peak exercise (*Table 3*).

In HFpEF subjects (but not controls), the change in Borg dyspnoea score during exercise was directly correlated with the change in

PCWP (Figure 1C). This relationship remained significant after excluding one HFpEF subject with greatest increase in PCWP (r = 0.40, P = 0.004). Aerobic capacity (peak VO<sub>2</sub>) varied inversely with exercise PCWP (Figure 1D). Exercise PCWP and PA mean pressures were directly correlated with New York Heart Association (NYHA) functional class, but only in HFpEF subjects and not controls (Figures 1E and F).

Like Borg dyspnoea scores, respiratory rate at peak exercise was similar in HFpEF and controls, but respiratory rate relative to work-load intensity was much higher in HFpEF (*Figure 2A*). The fraction of dead space ventilation ( $V_D/V_T$  ratio) was higher in HFpEF, and  $V_D/V_T$  ratio correlated with exercise RV s' (r=-0.43, P=0.002) as well as exercise PVR and PA compliance (*Figure 3*). Minute ventilation at peak exercise was lower in HFpEF than controls, due exclusively to blunted increase in  $V_T$  as respiratory rates were similar (*Figures 2 and 4, Table 3*). The change in  $V_T$  with exercise correlated directly with peak VO<sub>2</sub> (r=0.74, P<0.0001), and peak  $V_T$  correlated inversely with exercise PCWP (*Figure 4*).

#### Impact of body mass, age, and haemoglobin

Adiposity may influence lung function and haemodynamics. We observed significant relationships between BMI and PCWP, both at rest (r = 0.44, P < 0.0001) and during exercise (r = 0.54, P < 0.0001). In contrast, BMI was unrelated to resting  $V_T$  (r = 0.16, P = 0.2) or  $V_D/V_T$  (r = -0.01, P = 0.5), and exercise  $V_E$  (r = -0.11, P = 0.3),  $V_T$  (r = -0.20, P = 0.1),  $V_D/V_T$  (r = 0.12, P = 0.3), or respiratory rate (r = 0.10, P = 0.4).

Given the older age, increased BMI and greater burden of anaemia in HFpEF subjects, multivariable regression analyses were performed to evaluate whether group differences and relationships between central haemodynamics, ventilation abnormalities, exercise capacity, and symptoms were specific to HFpEF, or explained in part by these comorbidities that are often observed with HFpEF. After adjusting for age, BMI, and haemoglobin levels, all correlations and comparisons remained significant (P < 0.05, Supplementary material online, *Table S2*) except for correlations with functional class, which became borderline significant (P = 0.10 for PCVVP and PA).

### Discussion

This is the first direct evaluation of the relationships between haemodynamics, symptoms, exercise capacity and ventilatory abnormalities in patients with HFpEF, assessed using high fidelity micromanometers, and expired gas analysis obtained simultaneously at rest and during submaximal and peak exercise. During low-level exercise, at work intensity similar to activities of daily living (20 W), elevation in left heart filling pressures in HFpEF subjects was directly correlated with severity of dysphoea, heightened ventilatory drive, and altered breathing patterns. At peak exercise, ventilatory reserve in HFpEF was impaired, and there was blunted reduction in dead space ventilation that was coupled with impaired pulmonary vasodilator reserve, suggesting ventilatory-perfusion mismatch related to pulmonary hypoperfusion. Higher left heart filing pressures during exercise were correlated with reduced exercise capacity (peak  $VO_2$ ), as well as the severity of dyspnoea developed during exercise (change in Borg scores) and in chronic everyday life (NYHA functional class). These

#### Table I Baseline characteristics

|                                      | Control        | HFpEF            | P-value   |
|--------------------------------------|----------------|------------------|-----------|
|                                      | (n = 24)       | (n = 50)         |           |
| Age (years)                          | 61 ± 12        | 70 ± 11          | 0.004     |
| Female                               | 11 (46)        | 27 (54)          | 0.6       |
| Body mass index (kg/m <sup>2</sup> ) | 27.2 ± 4.4     | 34.4 ± 6.9       | < 0.0001  |
| Comorbidities                        |                |                  |           |
| Diabetes mellitus                    | 5 (21)         | 18 (36)          | 0.3       |
| Hypertension                         | 22 (92)        | 48 (96)          | 0.6       |
| Coronary disease                     | 6 (25)         | 18 (36)          | 0.4       |
| Atrial fibrillation                  | 2 (8)          | 8 (16)           | 0.5       |
| Current or ever smoking              | 3 (13)         | 14 (28)          | 0.2       |
| Medications                          |                |                  |           |
| ACEI or ARB                          | 11 (46)        | 33 (66)          | 0.1       |
| Beta-blocker                         | 11 (46)        | 33 (66)          | 0.1       |
| Loop diuretic                        | 5 (21)         | 20 (40)          | 0.1       |
| Laboratories                         |                |                  |           |
| Haemoglobin (g/dL)                   | 13.9 ± 1.2     | 12.6 ± 1.3       | <0.0001   |
| Creatinine (mg/dL)                   | 1.0 (0.8–1.1)  | 1.2 (0.9–1.6)    | 0.01      |
| NT-proBNP (pg/mL)                    | 90 (44-429)    | 406 (139–1257)   | 0.0008    |
| Physical exam                        |                |                  |           |
| VP (<8/8-12/12-16/>16 cm) (n)        | 24/0/0/0       | 26/15/2/7        | 0.0007    |
| Rales $(n)$                          | 0              | 2                | 1.0       |
| Oedema (none/mild/mod-sev) (n)       | 23/1/0         | 30/13/7          | 0.006     |
| NYHA class                           | $2.3 \pm 0.7$  | $2.7 \pm 0.5$    | 0.01      |
| Echocardiography                     |                |                  |           |
| LV diastolic dimension (mm)          | 48 ± 6         | 48 ± 6           | 0.7       |
| LV ejection fraction (%)             | 60 ± 9         | 62 ± 8           | 0.4       |
| E/e' ratio                           | 7.5 (6.8–10.0) | 14.3 (10.2–17.9) | < 0.0001  |
| BV e' (cm/s)                         | 11 + 5         | 12 + 9           | 0.6       |
| RV s' (cm/s)                         | 12 ± 3         | 11 ± 3           | 0.3       |
| Resting haemodynamics                |                |                  |           |
| Heart rate (b.p.m.)                  | 68 ± 13        | 67 ± 10          | 0.7       |
| Systolic BP (mmHg)                   | 139 ± 24       | $150 \pm 21$     | 0.1       |
| Mean BP (mmHg)                       | 91 ± 13        | 96 ± 13          | 0.2       |
| RA pressure (mmHg)                   | 4 ± 2          | $10 \pm 4$       | < 0.0001  |
| PA systolic pressure (mmHg)          | 27 ± 6         | 41 ± 12          | < 0.0001  |
| PA mean pressure (mmHg)              | 16 ± 4         | 27 ± 8           | < 0.0001  |
| PCWP (mmHg)                          | 7±3            | 17 ± 6           | < 0.0001  |
| Cardiac output (L/min)               | 52+18          | 51+12            | 0.8       |
| PVR (WU)                             | 19+08          | 20+12            | 0.5       |
| PA compliance (ml /mmHg)             | 44+1.5         | 36+13            | 0.03      |
| Ventilatory performance              |                |                  |           |
| $VO_2$ (ml /kg/min)                  | 29+06          | 25+07            | 0.01      |
| $V_{r}$ (I/min)                      | 72+18          | 81+2.7           | 0.1       |
| V <sub>T</sub> (ml )                 | 517 + 197      | 484 + 153        | 0.5       |
| Respiratory rate (/min)              | 15 + 4         | 17 + 5           | 0.03      |
| $V_{\rm r}/V_{\rm r}O_{\rm 2}$ ratio | 372+45         | 40.0 + 7.4       | 0.06      |
| $V_{\pm}/T_{\rm c}$                  | 451 + 139      | 541 + 274        | 0.07      |
| $V_{\Gamma}/V_{T}$                   | 0.40 + 0.09    | 0.44 + 0.11      | 0.07      |
| Blood gas data                       |                |                  | 0.10      |
| Arterial pH                          | 741+003        | 7 39 + 0 04      | 0.1       |
| Arterial $pCO_2$ (mmHg)              | 39 + 4         | 40 + 4           | 0.1       |
| Arterial $DO_2$ (mmHg)               | 80 ± 12        | $71 \pm 10$      | 0.003     |
| F = 2 (                              |                |                  | Continuos |

| Table I Continued          |                     |                           |         |
|----------------------------|---------------------|---------------------------|---------|
|                            | Control<br>(n = 24) | HFpEF<br>( <i>n</i> = 50) | P-value |
| PA pH                      | 7.38±0.03           | 7.37 ± 0.04               | 0.1     |
| PA pCO <sub>2</sub> (mmHg) | $42 \pm 4$          | 44 ± 3                    | 0.1     |
| PA pO <sub>2</sub> (mmHg)  | 39 ± 4              | 35 ± 3                    | 0.002   |

Data are mean  $\pm$  SD, median (interquartile range), or n (%).

ACEI, angiotensin-converting enzyme inhibitors; ARB, angiotensin-receptor blockers; BP, blood pressure; E/e' ratio, the ratio of early diastolic mitral inflow velocity to early diastolic mitral annular velocity; HFpEF, heart failure with preserved ejection fraction; JVP, jugular venous pressure; LV, left ventricular; NT-proBNP, N-terminal pro-B-type natriuretic peptide; NYHA, New York Heart Association; PA, pulmonary artery; PCWP, pulmonary capillary wedge pressure; PVR, pulmonary vascular resistance; RA, right atrial; RV e' and s', early diastolic and systolic tissue velocities at the lateral tricuspid annulus; TI, inspiratory time; VD, pulmonary dead space;  $V_E$ , minute ventilation;  $V_T$ , tidal volume; VCO<sub>2</sub>, carbon dioxide volume; VO<sub>2</sub>, oxygen consumption volume.



**Figure 1** (*A* and *B*) Pulmonary capillary wedge pressure and Borg dyspnoea score as a function of workload in heart failure with preserved ejection fraction and control subjects. (*C*) The increase in pulmonary capillary wedge pressure from rest to peak exercise was directly correlated with the change in the Borg dyspnoea score in subjects with heart failure with preserved ejection fraction, but not in controls (P = 0.3, data not shown). (*D*) Peak pulmonary capillary wedge pressure correlated inversely with peak oxygen consumption. (*E* and *F*) Elevations in pulmonary capillary wedge pressure and pulmonary artery mean pressure during peak exercise were related to Ney York Heart Association class in heart failure with preserved ejection fraction subjects, but not in control subjects. \*P < 0.05 between groups. <sup>a</sup>Determined by Pearson's correlation analysis. <sup>b</sup>Determined by Spearman's correlation analysis.

|                                  | Control          | HFpEF                       | P-value  |
|----------------------------------|------------------|-----------------------------|----------|
|                                  | ( <i>n</i> = 24) | (n = 50)                    |          |
| Haemodynamics                    |                  |                             |          |
| Heart rate (b.p.m.)              | 91 ± 14          | 88 ± 14                     | 0.4      |
| Systolic BP (mmHg)               | 167 ± 29         | 175 ± 26                    | 0.3      |
| RA pressure (mmHg)               | 8 ± 3            | 21 ± 5                      | < 0.0001 |
| PA systolic pressure (mmHg)      | 37 ± 10          | 66 ± 12                     | <0.0001  |
| PA mean pressure (mmHg)          | 25 ± 7           | 47 ± 10                     | < 0.0001 |
| PCWP (mmHg)                      | 14 ± 5           | 31 ± 5                      | < 0.0001 |
| Cardiac output (L/min)           | 8.2 ± 1.8        | $6.8 \pm 2.0$               | 0.005    |
| PVR (WU)                         | $1.4 \pm 0.7$    | 2.3 ± 1.2                   | 0.0002   |
| PA compliance (mL/mmHg)          | $4.8 \pm 2.3$    | 2.6 ± 1.2                   | 0.0002   |
| Exertional symptoms              |                  |                             |          |
| Borg effort (6–20)               | 11.6 ± 2.3       | 13.4 ± 2.7                  | 0.004    |
| Borg dyspnoea (0–10)             | 3.2 ± 1.7        | $3.2 \pm 1.7$ $4.5 \pm 2.2$ |          |
| Ventilatory performance          |                  |                             |          |
| V <sub>E</sub> (L/min)           | $18.8 \pm 4.8$   | 22.0 ± 7.1                  | 0.03     |
| Respiratory rate (/min)          | 22 ± 7           | 28 ± 9                      | 0.005    |
| $V_{\rm T}$ (mL)                 | 882 ± 179        | 808 ± 182                   | 0.1      |
| $V_{\rm E}/\rm VCO_2$ ratio      | 31.8 ± 5.1       | 35.9 ± 7.1                  | 0.006    |
| $V_{T}/T_{I}$                    | 973 ± 226        | 1186 ± 386                  | 0.004    |
| V <sub>D</sub> /V <sub>T</sub>   | $0.30 \pm 0.08$  | 0.35 ± 0.11                 | 0.03     |
| Metabolism and gas exchange      |                  |                             |          |
| Respiratory exchange ratio       | 0.91 ± 0.13      | 0.97 ± 0.13                 | 0.1      |
| $VO_2$ (mL/kg/min)               | 8.3 ± 1.7        | 6.7 ± 1.9                   | 0.0007   |
| Arterial pH                      | $7.39 \pm 0.03$  | $7.39 \pm 0.04$             | 1.0      |
| Arterial pCO <sub>2</sub> (mmHg) | 39 ± 5           | 38 ± 4                      | 0.4      |
| Arterial pO <sub>2</sub> (mmHg)  | 79 ± 12          | 72 ± 12                     | 0.02     |
| PApH                             | $7.35 \pm 0.03$  | $7.33 \pm 0.04$             | 0.01     |
| $PA pCO_2 (mmHg)$                | 45 ± 6           | 48 ± 6                      | 0.05     |
| $PA pO_2 (mmHg)$                 | $30 \pm 4$       | 24 ± 3                      | <0.0001  |
| RV function                      |                  |                             |          |
| RV e' (cm/s)                     | 13 ± 5           | 11 ± 5                      | 0.3      |

## Table 2 Haemodynamics, symptoms, ventilatory performance, gas exchange, and right ventricular function during submaximal exercise (20 W)

Data are mean ± SD.

RV s' (cm/s)

BP, blood pressure; HFpEF, heart failure with preserved ejection fraction; PA, pulmonary artery; PCWP, pulmonary capillary wedge pressure; PVR, pulmonary vascular resistance; RA, right atrial; RV e' and s', early diastolic and systolic tissue velocities at the lateral tricuspid annulus; TI, inspiratory time; VD, pulmonary dead space; V<sub>E</sub>, minute ventilation; V<sub>T</sub>, tidal volume; VCO<sub>2</sub>, carbon dioxide volume; VO<sub>2</sub>, oxygen consumption volume.

13 + 4

data provide new insight into the linkages between classical haemodynamic derangements that develop during exercise in the heart, and the symptoms, ventilatory abnormalities and gas exchange limitations that develop in the lungs contributing to the clinical expression of cardiac failure in patients with HFpEF (*Take home figure*).

#### Cardiac filling pressures and dyspnoea

To date, six studies have examined relationships simultaneouslymeasured haemodynamics, symptoms and ventilatory abnormalities, and all were performed in patients with HFrEF.<sup>8–13</sup> Surprisingly, there was very weak to no relationship between haemodynamics, symptoms, and pulmonary limitations in these studies examining HFrEF.<sup>8–12</sup> The exception comes from Lewis and colleagues who did observe a correlation between ventilatory efficiency and PVR in patients with HFrEF.<sup>13</sup> The current study represents the first to perform similar analyses in HFpEF. Novel findings in the present study are that elevations in PCWP during exercise were directly correlated with symptoms of dyspnoea, heightened ventilatory drive, tachypneic breathing, impaired exercise capacity, and worse functional class.

10 + 3

The reasons for the discrepant results between prior studies in HFrEF and the current data from HFpEF are not clear, but likely relate in large part to fundamental differences between the HFpEF and HFrEF phenotypes.<sup>14</sup> While HFpEF patients are often limited by cardiac output reserve,<sup>15,21</sup> this impairment is typically not be as profound as is observed in patients with HFrEF, where exercise

0.004



**Figure 2** Baseline, low-level (20 W) and peak exercise for (A) respiratory rate, (B) tidal volume ( $V_T$ ), (C) minute ventilation ( $V_E$ ), (D) inspiratory drive [tidal volume/inspiration time ( $V_T/T_1$ )], (E) dead space ventilation relative to tidal volume ( $V_D/V_T$ ), and (F) peak oxygen consumption in heart failure with preserved ejection fraction and control subjects. \*P < 0.05 between groups.

capacity may be more constrained by reduced bulk O<sub>2</sub> transfer (cardiac output limitation), as shown previously.<sup>8,9,13,22,23</sup> In contrast, HFpEF patients may be more likely to cease exercising because of symptoms of dyspnoea related to high filling pressures, as shown in the current study.

The experience of dyspnoea is common to all humans during exercise, and both HFpEF subjects and controls reported significant exertional dyspnoea in this study. However, dyspnoea severity was much greater relative to workload in HFpEF, and importantly, PCWP was correlated with acute and chronic symptoms only in the HFpEF patients, further supporting the hypothesis that excess dyspnoea in these patients is related to central haemodynamic derangements. This is also supported by the fact that exercise capacity (peak VO<sub>2</sub>) was inversely related to exercise PCWP. Further study is required to better understand how elevated filling pressures with exercise affect afferent signals from airways, lungs, vasculature and chest wall to the

brain, leading to the sensation of heightened respiratory effort and dyspnoea that is so pervasive in  ${\sf HFpEF}^{24}$ 

While the current data point to an important role for the heart and lungs in driving symptoms, it is important to remember that HFpEF and HFrEF patients also display significant non-cardiac, peripheral abnormalities in the vasculature and skeletal muscle that also contribute to symptoms and exercise intolerance.<sup>4,22,25–29</sup> The current data relating central haemodynamics to symptoms should not be taken as evidence against this well-described and important role of the periphery in HF, regardless of EF.

#### Haemodynamics and pulmonary reserve

The ventilatory response to exercise is known to be excessive relative to metabolic demand in patients with HFrEF.<sup>8,10,30</sup> It has been suggested that this is caused by increases in  $V_D/V_T$  due to ventilation-perfusion mismatching and CO<sub>2</sub> production due to

|                                        | Control<br>(n = 24) | HFpEF<br>(n = 50) | P-value |
|----------------------------------------|---------------------|-------------------|---------|
| Haemodynamics                          |                     |                   |         |
| Heart rate (b.p.m.)                    | 121 ± 18            | 97 ± 15           | <0.0001 |
| Systolic BP (mmHg)                     | 184 ± 25            | 185 ± 30          | 0.8     |
| RA pressure (mmHg)                     | 8 ± 4               | 22 ± 6            | <0.0001 |
| PA systolic pressure (mmHg)            | 41±9                | 70 ± 13           | <0.0001 |
| PA mean pressure (mmHg)                | 27 ± 6              | 48 ± 8            | <0.0001 |
| PCWP (mmHg)                            | 14 ± 5              | 34 ± 6            | <0.0001 |
| Cardiac output (L/min)                 | 11.8 ± 3.9          | 8.1 ± 2.8         | 0.0002  |
| PVR (WU)                               | 1.3 ± 0.6           | 2.1 ± 1.2         | 0.001   |
| PA compliance (mL/mmHg)                | 4.3 ± 1.7           | 2.6 ± 1.4         | 0.0002  |
| Exertional symptoms                    |                     |                   |         |
| Borg effort (6–20)                     | 17.1 ± 2.7          | 17.0 ± 1.9        | 0.9     |
| Borg dyspnoea (0–10)                   | 7.4 ± 1.9           | 7.5 ± 2.0         | 1.0     |
| Ventilatory performance                |                     |                   |         |
| V <sub>E</sub> (L/min)                 | 39.7 ± 13.8         | 28.3 ± 8.9        | 0.0008  |
| Respiratory rate (/min)                | 31±8                | 31 ± 10           | 0.9     |
| V <sub>T</sub> (mL)                    | 1337 ± 471          | 939 ± 278         | 0.0006  |
| V <sub>E</sub> /VCO <sub>2</sub> slope | 32.9 ± 5.3          | 36.0 ± 7.7        | 0.04    |
| $V_{\rm T}/T_{\rm I}$ ratio            | 1874 ± 119          | 1511 ± 495        | 0.01    |
| V <sub>D</sub> /V <sub>T</sub> ratio   | 0.25 ± 0.09         | $0.33 \pm 0.11$   | 0.002   |
| Metabolism and gas exchange            |                     |                   |         |
| Respiratory exchange ratio             | $1.08 \pm 0.10$     | $1.03 \pm 0.12$   | 0.1     |
| VO <sub>2</sub> (mL/kg/min)            | 14.2 ± 4.0          | 8.1 ± 2.4         | <0.0001 |
| Arterial pH                            | $7.38 \pm 0.04$     | $7.38 \pm 0.05$   | 0.6     |
| Arterial $pCO_2$ (mmHg)                | 36 ± 4              | 37 ± 4            | 0.1     |
| Arterial pO <sub>2</sub> (mmHg)        | 84 ± 12             | 72 ± 14           | 0.0005  |
| PA pH                                  | $7.31 \pm 0.04$     | $7.31 \pm 0.05$   | 0.7     |
| PA pCO <sub>2</sub> (mmHg)             | 49 ± 7              | 51 ± 6            | 0.3     |
| PA pO <sub>2</sub> (mmHg)              | 27 ± 4              | 23 ± 5            | 0.0007  |
| RV function                            |                     |                   |         |
| RV e' (cm/s)                           | 19 ± 9              | 12 ± 6            | 0.02    |
| RV s' (cm/s)                           | $14 \pm 4$          | 11 ± 3            | 0.01    |

| Table 3   | Haemodynamics, | symptoms, ven | tilatory perfe | ormance, gas | s exchange, a | and right ventr | icular func | tion during |
|-----------|----------------|---------------|----------------|--------------|---------------|-----------------|-------------|-------------|
| peak exer | cise           |               |                |              |               |                 |             |             |

Data are mean ± SD.

BP, blood pressure; HFpEF, heart failure with preserved ejection fraction; PA, pulmonary artery; PCWP, pulmonary capillary wedge pressure; PVR, pulmonary vascular resistance; RA, right atrial; RV e' and s', early diastolic and systolic tissue velocities at the lateral tricuspid annulus; TI, inspiratory time; VD, pulmonary dead space; V<sub>E</sub>, minute ventilation; V<sub>T</sub>, tidal volume; VCO<sub>2</sub>, carbon dioxide volume; VO<sub>2</sub>, oxygen consumption volume.

 $HCO_3^{-}$  buffering of lactic acid, but these relationships have never been tested before using simultaneously-measured invasive haemodynamics.<sup>2,31–33</sup> Indeed, we found that subjects with HFpEF displayed tachypnoea during low-level exercise which was related to increases in PCWP as well as heightened respiratory drive (V<sub>T</sub>/T<sub>1</sub> ratio).

The current data suggest that high filling pressures during exercise directly contribute to the increase in ventilatory drive and tachypnoea in HFpEF. This may be caused by stimulation of pulmonary irritant (J) receptors, decreased lung compliance related to tissue congestion, left atrial distention, impaired alveolar gas diffusion, or worsening ventilation-perfusion mismatch.<sup>3,34–37</sup> The inverse correlations between V<sub>T</sub> and exercise PCWP observed in the current study

suggest that the limited rise of  $V_T$  in HFpEF was related in part to high left heart filling pressure. This ventilatory abnormality may worsen exercise capacity, ventilatory efficiency, and exertional dyspnoea in HFpEF. If this hypothesis is true, PCWP reduction during exercise might also improve ventilatory control in HFpEF, in addition to reducing symptoms.

## Haemodynamics and symptoms with submaximal exercise

Prior studies evaluating gas exchange in HFrEF have been restricted to peak exercise,<sup>8–12</sup> but most patients with HF rarely achieve this high exertional workload in everyday life. Rather, HF patients typically







**Figure 4** (A) Compared with controls, subjects with heart failure with preserved ejection fraction displayed less increase in  $V_E$  during peak exercise. (B) This was explained by impaired increase in  $V_T$  in heart failure with preserved ejection fraction. (*C* and *D*) The change in  $V_T$  varied directly with peak oxygen consumption, and peak  $V_T$  was correlated inversely with peak pulmonary capillary wedge pressure. Error bars indicate SE. <sup>a</sup>Determined by Pearson's correlation analysis.



patients with heart failure with preserved ejection fraction. See text for details.

complain of dyspnoea during lower levels of physical activity, such as walking, bathing, or routine housework. The current data identified important group differences and significant relationships between haemodynamics, symptoms and pulmonary responses during this lower exercise workload (20 W), providing important new insight into the nature of symptoms that are experienced by patients in everyday life, rather than maximal exercise alone.

### Worsening ventilation-perfusion mismatch at maximal exercise

Recent studies have shown that subjects with HFpEF have impaired RV-PA coupling with exercise, and that this finding identifies patients with greater lung perfusion abnormalities and increased mortality.<sup>15,38</sup> We demonstrate that HFpEF subjects with higher peak PVR displayed greater  $V_D/V_T$  ratio, depressed RV function, and ventilatory inefficiency during peak exercise, and exercise  $V_D/V_T$  ratio was correlated with higher PVR, lower PA compliance, and impaired RV systolic reserve. These findings specifically regarding ventilatory efficiency confirm and extend upon prior data in HFrEF,<sup>13</sup> suggesting a linkage between dead space ventilation and pulmonary vasodilator and RV reserve, which is impaired in HFpEF.<sup>15</sup> The alterations in right ventricular-pulmonary vascular coupling during exercise might limit lung perfusion and result in ventilation-perfusion mismatching.<sup>15</sup> In line with a recent non-invasive study showing the strong contribution of increased  $V_D/V_T$  to ventilatory efficiency in HFpEF,<sup>33</sup> this ventilation-perfusion mismatching, along with the aforementioned  $V_T$  impairment, may lead to increased fractional dead space ventilation and ventilatory inefficiency in patients with HFpEF, which may also contribute to the perception of dyspnoea.

#### **Clinical implications**

Current HF guidelines place invasive exercise haemodynamics testing as an alternative approach for the diagnosis of HFpEF.<sup>39</sup> In contrast, guidelines for the diagnosis and treatment of pulmonary hypertension strongly recommend invasive haemodynamic testing for HF with pulmonary hypertension (Class I) but do not comment on the use of exercise assessments.<sup>40</sup> The current data reinforce the value of invasive exercise haemodynamic testing not only for diagnostic evaluation but also to provide greater insight into pathophysiology.<sup>41</sup>

#### Limitations

This is a single-centre study from a tertiary referral centre and as such has inherent limitations relating to both selection and referral bias. The cross-sectional nature precludes assessment of causality in the correlations. The control population was not truly normal in that

they had prevalent comorbidities and were referred to invasive exercise stress testing for evaluation of exertional dyspnoea. However, the fact that the control population is more diseased than a truly normal healthy control population only biases our data toward the null. There were baseline differences in age, BMI, and haemoglobin levels between HFpEF and controls, but the key group differences and relationships between central haemodynamics, symptoms, and lung abnormalities remained significant after adjusting for these baseline differences. In addition, older age, obesity, and anaemia are important risk factors for HFpEF, which would make adjustment conceptually less relevant since those factors could be viewed as part of the overall disease process. The haemodynamic differences observed between HFpEF and controls are biased because the HFpEF group was defined by the presence of abnormal haemodynamics. However, this investigation was focused on how haemodynamics affect lung function, symptoms and ventilatory alterations, rather than whether haemodynamic derangements develop during exercise in HFpEF, which is already well-established. The sample size is relatively small, but the assessments of relationships between invasive haemodynamics, symptoms, ventilatory response, and exercise capacity using robust methodologies are unique in the literature and represent a major strength of the current analysis.

## Conclusions

Elevation in left heart filling pressure with exercise is correlated with worse symptoms, greater ventilatory drive, more tachypneic breathing, impaired ventilation, and worse exercise capacity in HFpEF. These findings highlight the importance of central haemodynamics as key components in the pathophysiology of HFpEF, and suggest that therapies targeting haemodynamics may help to improve the symptoms, exercise capacity and pulmonary limitations.

## Supplementary material

Supplementary material is available at European Heart Journal online.

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