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### Association between Clinician Specialization in Nursing Home Care and Nursing Home Clinical Quality Scores

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#### Summary:

Higher prevalence of nursing home specialists was associated with regional improvements in two of the six clinical quality measures evaluated, suggesting that these specialists play an important role in nursing home care quality.

**Importance:** While the number of prescribing clinicians (physicians and nurse practitioners) who provide any nursing home (NH) care remained stable over the past decade, the number of clinicians who focus their practice exclusively on NH care has increased by over 30%.

**Objectives:** To measure the association between regional trends in clinician specialization in NH care and NH quality.

Design: Retrospective cross-sectional study.

**Setting and Participants:** Patients treated in 15,636 NHs in 305 U.S. hospital referral regions (HRRs) between 2013 and 2016.

**Measures:** Clinician specialization in NH care for 2012-2015 was measured using Medicare feefor-service billings. NH specialists were defined as generalist physicians (internal medicine, family medicine, geriatrics, and general practice) or advanced practitioners (nurse practitioners and physician assistants) with at least 90% of their billings for care in NHs. The number of clinicians was aggregated at the HRR level and divided by the number of occupied Medicarecertified NH beds. Nursing Home Compare quality measure scores for 2013-2016 were aggregated at the HHR level, weighted by occupied beds in each NH in the HRR. We measured the association between the number of NH specialists per 1,000 beds and the clinical quality measure scores in the subsequent year using linear regression.

**Results:** An increase in NH specialists per 1,000 occupied beds in a region was associated with lower use of long-stay antipsychotic medications and indwelling bladder catheters, higher

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prevalence of depressive symptoms, and was not associated with urinary tract infections, use of restraints, or short-stay antipsychotic use.

**Conclusions and Implications:** Higher prevalence of NH specialists was associated with regional improvements in 2 of 6 quality measures. Future studies should evaluate whether concentrating patient care among clinicians who specialize in NH practice improves outcomes for individual patients. The current findings suggest that prescribing clinicians play an important role in nursing home care quality.

#### Keywords

nursing home; care quality; specialization; post-acute care; hospitalization

Annually, U.S. nursing homes provide nearly 2.3 million short-stays that offer rehabilitation and skilled nursing services after hospital discharge<sup>1</sup> and 1.4 million long-stays for patients requiring custodial care or have long-term rehabilitation or nursing needs.<sup>2</sup> As a common discharge destination for elderly hospitalized patients, nursing homes have long been the subject of public and private initiatives that aim to improve quality of care, including stringent regulatory oversight, broad-based public reporting of quality metrics, as well as focused interventions to improve specific processes of care such as those around care transitions and clinician communication.<sup>3–6</sup> Despite these efforts, outcomes of patients admitted to nursing homes for short- or long-term care remain highly variable across facilities.<sup>1,5,7–9</sup>

Despite emerging data suggesting that physician and other prescribing clinicians play an important role in nursing home care quality and outcomes, few interventions evaluated the effects of alternative approaches to medical staff organization within nursing homes on patient outcomes.<sup>10,11</sup> Traditionally, community physicians 'follow' their patients admitted to the nursing home or contract with the nursing home, typically spending a couple hours a week in the facility,<sup>12</sup> while the majority of their practice was spent practicing in other settings (e.g., clinic, hospital, etc). However, increasing regulatory, public reporting, and patient clinical burdens have led to calls for a more specialized physician workforce in the nursing home.<sup>13</sup> These calls were in parallel with interventions that introduced specialized advanced practice providers (i.e., nurse practitioners) who focused their practice on the nursing home, with some evidence of a beneficial impact on quality and costs.<sup>14–17</sup>

While most physicians who see patient in nursing homes still practice under the traditional model, the number of clinicians who focus their practice on nursing home populations has been on the rise. In fact, between 2012-2015, the number of physicians and advanced practitioners who specialized in nursing home care increased by 34%.<sup>18</sup> Whether this change in nursing home clinician organization is associated with improvements in quality is unknown. On one hand, specialization in hospital care (i.e., hospitalists) has been associated with lower readmission and mortality rates.<sup>19</sup> On other hand, site-specific specialization may worsen outcomes through increased care fragmentation across settings.<sup>20</sup>

Our objective in this study was to measure the association between regional trends in clinician specialization in nursing home care and nursing home quality as measured by six

Nursing Home Compare clinical quality measures. We hypothesized that nursing home patients in regions with more clinicians who specialize in nursing home care have better outcomes compared to patients in regions where fewer clinicians specialize in nursing home care.

#### **Methods**

#### Study Design

Using an ecological approach, we measured the relationship between clinician specialization in nursing home practice and regional performance on nursing home clinical quality scores. We adopted a previously used definition for nursing home specialists,<sup>18</sup> which is analogous to the definition for hospitalists used by the Centers for Medicare and Medicaid Services (CMS).<sup>21</sup> Nursing home specialists were defined as generalist physicians (internal medicine, family medicine, geriatrics, and general practice) and advanced practitioners (nurse practitioners and physician assistants) with at least 90% of their billings for care in nursing homes. The number of clinicians was aggregated at the hospital referral region level and divided by the number of occupied Medicare-certified nursing home beds. Quality measure data for nursing home beds. We then measured the association between quality measure rates and the number of nursing home specialists per 1,000 beds in the prior year using linear regression.

#### **Data Sources and Study Sample**

Data sources included CMS Provider and Other Supplier Public Use Files and the Nursing Home Compare annual files. The Provider Utilization Files include service-level utilization information for each clinician (including physicians, nurse practitioners, and physician assistants) in the U.S. Each provider is identified by a unique National Provider Identification (NPI) code. NPIs associated with organizations were excluded from analysis. The database includes clinician information such as name, practice address (including zip code), services performed for 10 or more Medicare fee-for-service beneficiaries per year (identified using HCPCS codes), and place of service codes (nursing home vs. skilled nursing facility). For 2012-2015, the Provider Utilization Files were used to measure clinician specialization in nursing home care using Medicare fee-for-service billings. The CMS Nursing Home Compare annual files were used to obtain nursing home scores on six quality measures for 2013-2016 as well as nursing home occupancy rates and Medicarecertified bed counts. The Long Term Care Focus database (ltcfocus.org<sup>22</sup>) was used to obtain nursing home characteristics (chain status, for-profit ownership status, direct care staff hours per resident day, skilled to total nurse staffing ratio, presence of physician extenders, and patient acuity index). The datasets were aggregated and linked at the hospital referral region level (using the Dartmouth Atlas geographic region definitions for hospital referral regions).

Our sample included 15,636 nursing homes in the 305 U.S. hospital referral regions between 2013 and 2016. One hospital referral region (Alaska) was missing occupancy data and was excluded from analysis.

#### Outcomes

The Nursing Home Compare database was used to obtain annual nursing home scores on six quality measures between 2013-2016. We selected six measures a priori deemed to be under the influence of prescribing clinicians by conducting an informal focus group composed of nurse practitioners, physicians, and a nursing home administrator. The six quality measures included in the analysis were for patients who: (1) newly received an antipsychotic medication (short-stay measure), (2) had a urinary tract infection (long-stay measure), (3) received an antipsychotic medication (long-stay measure), (4) had a catheter inserted and left in their bladder (long-stay measure), (5) were physically restrained (long-stay measure), and (6) had depressive symptoms (detected either via patient interview or staff assessment during a routine mandated patient evaluation) (long-stay measure). The following clinical measures were excluded because they were thought to relate more closely to direct care staff or rehabilitation services than to physician or advanced practitioner care: residents who selfreport moderate to severe pain, new or worsened pressure ulcers, influenza and pneumococcal vaccinations, improvement in locomotion or decline in activities of daily living, and weight loss. The score for each of the six included measures was weighted by the number of occupied Medicare-certified beds in that nursing home and averaged across all nursing homes in the hospital referral region.

#### **Statistical Analysis**

Analyses were conducted at the level of the hospital referral region. Using linear regression, we modeled the relationship between regional nursing home quality measure performance score and the number of clinicians who specialized in nursing home practice per 1,000 occupied Medicare-certified nursing home beds. The specialization variable was lagged by one year. Each outcome (quality measure) was modeled separately. Other covariates included in the models were identified a priori as factors that may influence regional performance on quality measures. These variables included demographic characteristics of the nursing home resident population (age, race, sex, insurance coverage by Medicare vs. Medicaid), nursing home characteristics (chain status, for-profit ownership status, direct care staff hours per resident day, skilled to total nurse staffing ratio, presence of physician extenders, and patient acuity index), and a market level index of nursing home competition (measured using the Herfindahl-Hirschman Index). Patient demographic variables were aggregated at the hospital referral region level by calculating the weighted average across nursing homes in that region, weighted by the number of total occupied beds in each nursing home. Nursing home characteristics were quantified at the hospital referral region level using percentages for categorical characteristics (e.g., chain status) and weighted averages for continuous measures (e.g., direct care staff hours per resident day). The Herfindahl-Hirschman Index is a commonly used measure of market concentration, calculated as the sum of squares of occupied beds in each nursing home in the region.<sup>23</sup> We also included year fixed effects to account for time trends.

In separate analyses, the average adjusted score for each clinical quality measure was estimated at distinct levels of nursing home clinician specialization that corresponded roughly to the deciles of nursing home clinician specialization. In other words, the performance score for each clinical quality measure was estimated for a population of

nursing homes with clinical specialization in the bottom decile, setting all other independent variables to observed values. The calculation was then repeated for a population of nursing homes with clinical specialization in the next decile, 3<sup>rd</sup> decile, and so on, through the top decile of nursing home clinical specialization. Thus, the estimates were calculated using adjusted predictions at representative values (margins command in Stata).<sup>24</sup>

#### **Additional Analyses**

We performed additional analyses to examine physicians and advanced practitioners (NPs or PAs) separately. To do this, we calculated the number of specialized clinicians per 1,000 nursing home beds in each HRR separately for each of the two subgroups of clinicians. Then, we estimated the association between regional nursing home quality measure performance score and the number of physicians who specialized in nursing home practice per 1,000 occupied Medicare-certified nursing home beds. Lastly, we estimated the association between regional nursing home performance score and the number of advanced practitioners who specialized in nursing home practice per 1,000 occupied Medicare-certified nursing home quality measure performance score and the number of advanced practitioners who specialized in nursing home practice per 1,000 occupied Medicare-certified nursing home beds.

Statistical analyses were performed using Stata, version 14.1 (College Station, TX). The study was exempt from review by the [BLINDED] Institutional Review Board.

#### Results

In the 305 hospital referral regions in our sample, the median number of nursing home specialists per 1,000 beds was 4.00 (interquartile range, 2.38 to 5.89). We observed 20-fold variation in nursing home clinician specialization between the hospital referral regions in the top vs. bottom deciles of specialization. The median number of nursing home specialists per 1,000 beds in the hospital referral regions in the bottom decile of specialization was 0.54 (interquartile range, 0 to 0.97), whereas the median number of nursing home specialists per 1,000 beds in the hospital referral regions in the top decile of specialization was 10.38 (interquartile range, 8.89 to 14.84).

Table 1 summarizes patient population, nursing home, and market characteristics of the hospital referral regions analyzed. The mean patient age was 79.9 years, 60.1% were female and 81.2% white. The nursing homes in general had a higher proportion of Medicaid-covered patients compared to Medicare (or other payers). On average, 45.6% of the facilities had advanced practitioners present, 56.7% were part of a chain, and 69.0% were for-profit. The average market concentration of nursing homes across the hospital referral regions was relatively low (456.0 on a scale of ~0 to 10,000, where 10,000 is a monopoly).

Table 2 contains regional performance rates on the six clinical quality measures for 2012-2015. Overall, regional performance rates ranged from 1.20% for the use of restraints (95% CI: 1.15-1.25) to 19.09% for long-stay use of anti-psychotic medications (95% CI: 18.84-19.34). However, most of the measures had a relatively low prevalence of the adverse events, with five of the six measures' average performance rates falling between 1.20% and 5.55%. Regional performance improved on all six measures over this time interval (Table 2).

In the six NH quality measures, the presence of an additional specialist per 1,000 beds was associated with lower catheter use and antipsychotic medication use. Specifically, there was a decrease of -0.018 in the regional rate for catheter use (95% CI: -0.034 to -0.001, p=0.03) and a decrease of -0.017 in the regional rate of antipsychotic medication use for short stays (95% CI: -0.033 to -0.001, p=0.03) (Table 3). There was an increase of 0.114 in the regional rate for residents with symptoms of depression (95% CI: 0.028 to 0.200, p=0.01) (Table 3). The association was not statistically significant for urinary tract infections, use of restraints, or long-stay antipsychotic use (Figure 1).

In subgroup analyses, regions with higher rates of nursing home specialists who were physicians were associated with lower catheter use (coefficient: -0.068, 95% CI: -0.106 to -0.030, p<0.01) and antipsychotic medication use for both long-stay (coefficient: -0.562, 95% CI: -0.730 to -0.393, p<0.01) and short-stay residents (coefficient: -0.017, 95% CI: -0.033 to -0.001, p<0.01) (Appendix Figure 1 and Table 1). Higher prevalence of nursing home specialists who were advanced practitioners was associated with lower rates of residents with urinary tract infection (coefficient: -0.041, 95% CI: -0.075 to -0.007, p=0.02), but higher rates of residents with symptoms of depression (coefficient: 0.159, 95% CI: 0.051 to 0.266, p<0.01) (Appendix Figure 2 and Table 2).

Figure 2 displays the average adjusted adverse event rate per 100 nursing home residents by the regional level of nursing home clinician specialization (in specialists per 1,000 beds). Compared to regions in the highest decile of nursing home clinician specialization (approximately 10 nursing home specialists per 1,000 beds), regions in the bottom decile of nursing home clinician specialization (approximately 1 nursing home specialist per 1,000 beds) had 5% more antipsychotic medication use events for short-stay patients and 6% more long-stay residents with indwelling bladder catheters.

#### Discussion

The recent trends in increased physician and advanced practitioner specialization in nursing home practice appear to correlate with improved performance on two of the six the nursing home quality measures evaluated. When comparing regions in the bottom vs. top decile of nursing home clinician specialization, the observed differences represent about 5% fewer short-stay nursing home patients on anti-psychotic medications and 6% fewer patients with indwelling catheters in the regions in the top decile of clinician specialization. Nevertheless, the relative influence of specialization on performance was relatively small compared to some of the other nursing home factors (e.g., direct care staff hours). And it is important to note that the net effect is relatively small for a population of over 3 million nursing home residents annually and may be confounded by other unobserved changes in nursing home medical staff organization.

Three of the measures were not statistically significantly associated with nursing home clinician specialization. One possible explanation is that baseline prevalence of the adverse events evaluated by the quality measures is relatively low. There may be a "floor effect" with respect to possible improvement in care quality in these areas. Furthermore, estimates of

nursing home quality aggregated at the regional level may obscure important variation in performance (e.g., at smaller facilities in regions with many nursing homes). Lastly, we observed a positive association between advanced practitioner specialization and the rate of depressive symptoms. While this clinical quality measure aims to bring attention to residents' mood and improve treatment of depressive symptoms, it is also possible that poorly performing facilities under-diagnose or under-report depressive symptoms.

One mechanism by which more specialized clinicians may enable nursing homes to achieve better scores on the quality measures could be by being more available to direct care staff and administrators as well as to patients and their families. Our definition of clinician specialization using clinician practice patterns of services performed in nursing homes over all other settings means that these clinicians almost exclusively practice in nursing homes. Previous studies found that unavailability of clinicians to assess patients and discuss options with family was cited as one of the top reasons for hospitalizations of elderly patients from nursing homes for potentially burdensome care.<sup>25</sup> Future studies should evaluate whether more specialized clinicians practice in the same nursing home or in multiple facilities. Through closer partnership with nursing homes, specialized clinicians may develop a better understanding of nursing home processes of care, closer working relationships with staff and administrators, and become more skilled at navigating logistics that may be unique to those facilities. These skills may improve patient experience and outcomes of nursing home care. Not surprisingly, qualitative interviews of physicians who practice in the nursing home found that caring for a population with high social needs requires additional time and "good social practice", meaning close working professional relationships with staff and patients and their families.<sup>26</sup>

Specialized care in nursing home requires knowledge of setting-specific regulations as well as unique clinical needs and behavioral concerns of this high-risk patient population. For example, a study of U.S. nursing homes found that over half of residents receiving antipsychotics did not have an approved indication for that therapy.<sup>27</sup> Unique clinical needs of long-term nursing home patients may include other complex comorbidities such as mental illness requiring custodial care.<sup>28</sup> Beyond unique clinical expertise, clinicians practicing in nursing home face time requirements that are greater compared to other settings.<sup>29</sup> Many aspects of care provided in the nursing home including goals of care discussions, managing complex behavioral concerns, and dementia care require more face-to-face time with patients and families. Understanding and negotiating patient preferences has been identified in prior research as an important factor in patient satisfaction with nursing home care.<sup>30</sup> Future research should evaluate whether patient and caregiver expectations and experiences with care differ under the care of clinicians who specialize in nursing home practice vs. those who do not.

Our findings are consistent with prior studies that found positive effects of clinician specialization, albeit those studies were limited to a small number of facilities, focused on training and certification rather than actual practice patterns, and did not include both physician and nurse practitioners. For example, facilities with certified medical directors had higher quality scores compared to facilities without certified medical directors.<sup>31</sup> Other studies reported that an increase in nurse practitioners that exclusively cared for elderly

patients was associated with better patient outcomes.<sup>32,33</sup> This correlation was also observed when specialized nurse practitioners were substituted for physicians in long-term care.<sup>34</sup> Although our main findings appear to be driven by nursing home specialists who are physicians, higher prevalence of advanced practitioners who specialized in nursing home care was associated with better performance on one of the six measures.

Our study has a number of limitations. First, the use of an ecologic study design limits inferences regarding causality. Second, there may be unobserved patient, region, market, and nursing home characteristics that confound the relationship between nursing home clinician specialization and regional performance on nursing home quality measures. For example, nursing homes that concentrate the care of their patients among clinicians who specialize in nursing home care may have better work culture. Multiple studies found that work culture among healthcare personnel in nursing homes, including supportive management and leadership style, is associated with improved quality of care.<sup>35</sup> Future studies should evaluate the relationship between patient volume and outcomes in this setting.<sup>36</sup> In addition, regions with high clinician specialization may be uniquely different from other regions. Third, some of the included measures may not be under prescribing clinician control, whereas some of the excluded measures may be under the control of prescribing clinicians (e.g., vaccinations). However, this measurement error would bias the result toward no effect. Fourth, the Provider and Other Supplier Public Use Files aggregate services provided at the clinician-service code level. Therefore, we were unable to link physician practice information to specific nursing homes which precluded analysis at the level of individual nursing homes rather than HRRs, possibly obscuring variation across facilities.

#### **Conclusions and Implications**

Higher prevalence of nursing home specialists was associated with regional improvements in two of six quality measures. Future studies should evaluate whether nursing homes that concentrate patient care among clinicians who specialize in nursing home practice achieve better patient outcomes for individual patients. The current findings suggest that prescribing clinicians play an important role in nursing home care quality and support future experimentation with nursing home care specialists as a strategy to improve post-acute care outcomes by health systems transitioning from fee-for-service to value-based payment models.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

#### Acknowledgements:

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#### Appendix

# **APPENDIX Table 1.**

Association between Nursing Home Physician Specialization and Regional Performance on Nursing Home Quality Measures: Subgroup Analysis

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	Residents with symptoms of depression	0.059 (-0.138 to 0.257)	-0.031 <sup>*</sup> ( $-0.044$ to -0.018)	-0.040 <sup>*</sup> ( $-0.055$ to -0.024)	-0.042 <sup>*</sup> (-0.080 to -0.003)	0.030 (-0.035 to 0.095)	$-0.639^{*}(-0.767 \text{ to} -0.511)$	0.055 * (0.032 to 0.078)	-0.075 (-0.166 to 0.016)	$0.088 \ ^{*}(0.068 \ to \ 0.107)$	-4.837 * (-5.582 to -4.091)	0.013 * (0.001  to 0.025)	-0.137 (-0.648 to 0.374)	-3.536 (-10.283 to 3.211)	-0.267 (-0.860 to 0.325)	$-0.788^{*}(-1.393 \text{ to} -0.183)$	-1.254 * (-1.877 to $-0.632$ )
	Residents with a urinary tract infection	0.024 (-0.038 to 0.087)	0.003 (-0.002 to 0.007)	0.001 (-0.005 to 0.005)	$-0.034^{*}(-0.047 \text{ to} -0.022)$	-0.021 (-0.041 to 0.001)	$-0.067^{*}(-0.107 \text{ to} -0.026)$	$0.028^{*}(0.021 \text{ to} 0.035)$	$0.083 \ ^{*}(0.054 to \ 0.112)$	$-0.020^{*}(-0.026 \text{ to} -0.014)$	$-0.329^{*}(-0.566 \text{ to} -0.093)$	0.002 (-0.002 to 0.006)	$0.438 \ ^{*}(0.275 \ to \ 0.600)$	1.185 (-0.956 to 3.326)	-0.771 <sup>*</sup> $(-0.959$ to -0.583)	-1.205 <sup>*</sup> ( $-1.397$ to -1.013)	$-1.996^{*}(-2.194 \text{ to})$ -1.798)
ures	Use of indwelling urinary catheters	$-0.068^{*}(-0.106$ to -0.030)	$-0.004^{*}(-0.007 \text{ to} -0.002)$	$0.008 \ ^{*}(0.005 \ { m to} \ 0.011)$	$-0.033^{*}(-0.041 \text{ to} -0.026)$	$-0.023^{*}(-0.036$ to -0.011)	$-0.043$ $^{*}(-0.067$ to $-0.018)$	$0.014 \ ^{*}(0.010 \ to \ 0.019)$	-0.013 (-0.030 to 0.004)	$0.004 \ ^{*}(0.001 \ to \ 0.008)$	0.049 (-0.094 to 0.192)	$-0.009^{*}(-0.011 \text{ to} -0.006)$	0.022 (-0.076 to 0.120)	$1.882 \ ^{*}(0.590 \ to \ 3.174)$	-0.371 <sup>*</sup> $(-0.484$ to -0.257)	$-0.382^{*}(-0.498 \text{ to} 0.266)$	-0.711 <sup>*</sup> ( $-0.831$ to -0.592)
Quality Meas	Use of restraints	-0.010 (-0.051 to 0.031)	$-0.006^{*}(-0.009 \text{ to } -0.003)$	-0.001 (-0.003 to 0.003)	$0.022^{*}(0.014 \text{ to } 0.030)$	$0.015^{*}(0.001 \text{ to } 0.028)$	-0.038 <sup>*</sup> ( $-0.065$ to $-0.011$ )	$0.005^{*}(0.001 \text{ to } 0.010)$	$0.041$ $^{*}(0.022$ to $0.060)$	$-0.007^{*}(-0.011 \text{ to } -0.003)$	$0.214$ $^{*}(0.058 \text{ to } 0.369)$	0.002 (-0.001 to 0.005)	$0.248^{*}(0.142 \text{ to } 0.355)$	2.075 * (0.666 to 3.484)	$-0.451^{*}(-0.575 \text{ to } -0.328)$	-0.711 <sup>*</sup> $(-0.838$ to $-0.585)$	-0.938 <sup>*</sup> $(-1.068$ to $-0.808)$
	Use of anti-psychotic medications (short- term)	$-0.087^{*}(-0.122 \text{ to} -0.051)$	-0.002 (-0.005 to 0.001)	0.000 (-0.003 to 0.003)	-0.000 (-0.007 to 0.007)	0.010 (-0.001 to 0.022)	$-0.053^{*}(-0.075 \text{ to} -0.030)$	-0.003 (-0.007 to 0.001)	0.007 (-0.010 to 0.023)	$-0.019^{*}(-0.022 \text{ to} -0.015)$	$-0.692^{*}(-0.825 \text{ to} -0.558)$	0.005 * (0.002 to 0.007)	$0.103^{*}(0.011 \text{ to } 0.194)$	-0.814 (-2.022 to 0.394)	$-0.203^{*}(-0.309 \text{ to} -0.097)$	$-0.336^{*}(-0.444 \text{ to} -0.228)$	$-0.522^{*}(-0.634 \text{ to} -0.411)$
	Use of anti-psychotic medications (long- term)	$-0.562^{*}(-0.730 \text{ to} -0.393)$	0.006 (-0.006 to 0.017)	0.003 (-0.010 to 0.016)	-0.018 (-0.051 to 0.015)	$-0.057^{*}(-0.112 \text{ to} -0.002)$	$-0.708^{*}(-0.817 \text{ to} -0.599)$	0.057 <sup>*</sup> (0.038 to 0.077)	$-0.108^{*}(-0.185 \text{ to} -0.030)$	$-0.068^{*}(-0.085 \text{ to} -0.052)$	$-3.158^{*}(-3.794 \text{ to} -2.523)$	$0.016^{*}(0.006 \text{ to } 0.027)$	-0.422 (-0.857 to 0.014)	-0.211 (-5.963 to 5.540)	$-1.769^{*}(-2.274 \text{ to})$ -1.264)	$-2.956^{*}(-3.472 \text{ to} -2.440)$	-4.844 * $(-5.375$ to $-4.313$ )
	HRR-level Variables	NH specialist physicians/1,000 beds	Percent of NHs part of chain	Percent of NHs that are for-profit	Percent of stays covered by Medicaid	Percent of stays covered by Medicare	Average patient age in years	Percent of patients who are white	Percent of patients who are female	RN to total staffing ratio	Direct care staff hours per patient day	Percent of NHs with APPs (e.g., NPs)	Average patient acuity index	Herfindahl-Hirschman Index of NHs in HRR	Year: 2014	Year: 2015	Year: 2016

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# **APPENDIX Table 2.**

Association between Nursing Home Advanced Practitioner Specialization and Regional Performance on Nursing Home Quality Measures: Subgroup Analysis

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			Quality Meas	Ires		
HRR-level Variables	Use of anti-psychotic medications (long- term)	Use of anti-psychotic medications (short- term)	Use of restraints	Use of indwelling urinary catheters	Residents with a urinary tract infection	Residents with symptoms of depression
W specialist APs per 1,000 beds	0.073 (-0.021 to 0.166)	-0.001 (-0.020 to 0.019)	0.009 (-0.014 to 0.031)	-0.008 (-0.028 to 0.013)	$-0.041^{*}(-0.075 \text{ to} -0.007)$	$0.159^{*}(0.051 \text{ to} 0.266)$
ercent of NHs part of chain	0.008 (-0.003 to 0.020)	-0.002 (-0.004 to 0.001)	-0.006 <sup>*</sup> ( $-0.009$ to $-0.003$ )	-0.004 <sup>*</sup> ( $-0.007$ to -0.002)	0.002 (-0.002 to 0.007)	$-0.030^{*}(-0.044 \text{ to} -0.017)$
Percent of NHs that are for-profit	0.002 (-0.012 to 0.016)	-0.000 (-0.003 to 0.003)	-0.000 (-0.003 to 0.003)	0.007 * (0.004 to 0.011)	0.001 (-0.005 to 0.005)	$-0.040^{*}(-0.056 \text{ to} -0.024)$
Percent of stays covered by Medicaid	-0.022 (-0.057 to 0.011)	-0.001 (-0.008 to 0.006)	0.022 <sup>*</sup> (0.014 to 0.030)	$-0.034^{*}(-0.041 \text{ to} -0.027)$	$-0.035^{*}(-0.047 \text{ to} -0.022)$	$-0.039^{*}(-0.078 \text{ to} -0.001)$
Percent of stays covered by Medicare	-0.077 <sup>*</sup> (-0.134 to -0.020)	0.008 (-0.004 to 0.020)	0.013 (-0.000 to 0.027)	$-0.024^{*}(-0.037 \text{ to} -0.011)$	-0.016 (-0.037 to 0.005)	0.016 (-0.050 to 0.081)
Average patient age in years	-0.731 <sup>*</sup> ( $-0.842$ to -0.620)	-0.055 * $(-0.078$ to $-0.032$ )	-0.039 <sup>*</sup> $(-0.066$ to $-0.012)$	$-0.044$ $^{*}(-0.068$ to -0.019)	$-0.062^{*}(-0.103 \text{ to} -0.022)$	$-0.652^{*}(-0.780 \text{ to} -0.525)$
Percent of patients who are white	$0.066^{*}(0.047 \text{ to } 0.086)$	-0.002 (-0.006 to 0.003)	$0.006^{*}(0.001 \text{ to } 0.011)$	$0.015 \ ^{*}(0.011 \ to \ 0.019)$ 0.019)	0.027 * (0.019 to 0.034)	$0.059 \ ^{*}(0.036 \ to \ 0.082)$
Percent of patients who are female	$-0.100^{*}(-0.179 \text{ to} -0.021)$	0.009 (-0.008 to 0.025)	0.041 <sup>*</sup> (0.022 to 0.060)	-0.011 (-0.028 to 0.007)	$\begin{array}{c} 0.086 \ ^{*}(0.057 \ \mathrm{to} \ 0.115) \end{array}$	-0.090 (-0.181 to 0.001)
RN to total staffing ratio	-0.087 * (-0.103 to -0.070)	-0.021 <sup>*</sup> ( $-0.025$ to -0.018)	-0.008 <sup>*</sup> ( $-0.012$ to $-0.004$ )	0.002 (-0.001 to 0.006)	$-0.018^{*}(-0.024 \text{ to} -0.012)$	0.085 * (0.066 to 0.104)
Direct care staff hours per patient day	-3.503 <sup>*</sup> (-4.140 to -2.866)	$-0.746^{*}(-0.879 \text{ to} -0.613)$	0.208 <sup>*</sup> (0.055 to 0.362)	0.006 (-0.135 to 0.147)	$-0.318^{*}(-0.550 \text{ to} -0.085)$	$-4.786^{*}$ (-5.518 to -4.053)
Percent of NHs with APPs (e.g., NPs)	0.006 (-0.006 to 0.018)	$0.004$ $^{*}(0.001$ to $0.006)$	0.002 (-0.001 to 0.005)	$-0.009^{*}(-0.011 \text{ to} -0.006)$	$0.005 \ ^{*}(0.001 \ to \ 0.009)$	0.004 (-0.010 to 0.017)
Average patient acuity index	$-0.449^{*}(-0.892 \text{ to} -0.006)$	$0.097$ $^{*}(0.005$ to $0.189)$	0.249*(0.142 to 0.356)	0.016 (-0.082 to 0.114)	0.433 * (0.271  to 0.595)	-0.109 (-0.619 to 0.400)
Herfindahl-Hirschman Index of NHs in HRR	2.443 (-3.407 to 8.294)	-0.490 (-1.710 to 0.731)	2.175 * (0.766 to 3.584)	$2.084^{*}(0.785 \text{ to}$ 3.383)	0.793 (–1.344 to 2.931)	-2.608 (-9.337 to 4.120)
Year: 2014	-1.771 * (-2.284 to -1.257)	$-0.202^{*}(-0.309 \text{ to} -0.095)$	$-0.452^{*}(-0.576 \text{ to } -0.328)$	$-0.369^{*}(-0.483 \text{ to} -0.255)$	$-0.766^{*}(-0.954 \text{ to} -0.579)$	-0.287 (-0.878 to 0.303)
Year: 2015	$-2.985^{*}(-3.510 \text{ to} -2.460)$	$-0.336^{*}(-0.446 \text{ to} -0.227)$	-0.714 <sup>*</sup> ( $-0.841$ to $-0.588$ )	$-0.379^{*}(-0.496 \text{ to} -0.263)$	$-1.190^{*}(-1.382 \text{ to} -0.999)$	-0.844 <sup>*</sup> $(-1.448$ to -0.240)

Quality Measures	tiablesUse of anti-psychoticUse of anti-psychoticUse of restraintsUse of indwellingResidents with aResidents with amedications (long- term)medications (short- term)Use of restraintsUse of indwellingResidents with aResidents with amedications (long- term)medications (short- term)Use of restraintsUse of indwellingResidents with aResidents with amedications (long- term)medications (short- term)Use of restraintsUse of indwellingResidents with amedications (long- term)medications (short- term)Use of restraintsUse of indwellingResidents with amedications (long- term)medications (short- term)Use of restraintsUse of indwellingResidents with a	$\begin{array}{ c c c c c c c } -4.901 \ ^{*}(-5.443 \ \text{to} \\ -4.360) \end{array} \begin{array}{ c c c c c c c } -0.525 \ ^{*}(-0.638 \ \text{to} \\ -0.942 \ ^{*}(-1.073 \ \text{to} -0.812) \\ -0.710 \ ^{*}(-0.830 \ \text{to} \\ -1.074 \ ^{*}(-2.172 \ \text{to} \\ -1.376 \ ^{*}(-1.955 \ \text{to} \\ -0.709) \\ -0.709) \end{array}$	
	HRR-level Variables	Year: 2016	

p-value < 0.05

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### Change in HRR-level rate per additional specialist/1,000 beds

#### Figure 1:

Regional Nursing Home Quality Measure Performance for Six Measures under Prescribing Clinician Control \*P<0.05

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#### Figure 2:

Adverse Event Rate per 100 Residents by Level of Nursing Flome Clinician Specialization

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#### Table 1.

Patient, Facility, and Market Characteristics of Study Sample

Characteristic	Mean or %	95% Confidence Interval
Patient Characteristics		
Age (Mean)	79.9	79.0 - 80.0
Female (%)	60.1	59.9 - 60.3
White (%)	81.2	80.4 - 82.0
Acuity index (Mean)	12.1	12.1 - 12.2
Medicaid (%)	62.4	62.0 - 62.8
Medicare (%)	14.7	14.4 - 14.9
Facility Characteristics		
Nurse staffing ratio (Mean)	0.35	0.34 - 0.36
Direct care hours per patient day (Mean)	3.65	3.63 - 3.67
Presence of advanced practitioners (%)	45.6	44.6 - 46.7
Part of a chain (%)	56.7	55.8 - 57.7
For-profit ownership status (%)	69.0	68.1 - 70.0
Market Characteristic		
Herfindahl-Hirschman Index (Mean)	456.0	437.4 - 474.8

# Table 2.

Regional Performance on Nursing Home Clinical Quality Measures: 2012-2015

		Yea	ľ		
Quality Measure	2012	2013	2014	2015	Overall
Use of anti-psychotic medications (long-stay), %	21.57 (21.06-22.08)*	19.64 (19.15-20.13)	18.44 (17.98-18.89)	16.71 (16.33-17.09)	19.09 (18.84-19.34)
Use of anti-psychotic medications (short-stay), $\%$	2.67 (2.57-2.78)	2.45 (2.35-2.55)	2.30 (2.21-2.39)	2.13 (2.04-2.21)	2.39 (2.34-2.44)
Use of restraints, %	1.73 (1.61-1.85)	1.30 (1.20-1.40)	1.02 (0.93-1.10)	0.77 (0.70-0.84)	1.20 (1.15-1.25)
Use of indwelling urinary catheters, %	3.53 (3.44-3.63)	3.16 (3.07-3.25)	3.13 (3.04-3.22)	2.79 (2.70-2.87)	3.15 (3.10-3.20)
Residents with a urinary tract infection, %	6.46 (6.29-6.62)	5.70 (5.54-5.85)	5.22 (5.07-5.37)	4.43 (4.31-4.55)	5.45 (5.36-5.53)
Residents with symptoms of depression, %	6.09 (5.67-6.52)	5.77 (5.29-6.24)	5.35 (4.86-5.84)	4.99 (4.47-5.52)	5.55 (5.31-5.79)
*					

\* 95% confidence interval

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## Table 3.

Association between Nursing Home Clinician Specialization and Regional Performance on Nursing Home Quality Measures

	Residents with symptoms of depression	0.114 <sup>*</sup> (0.028 to 0.200)	$-0.030^{*}(-0.043 \text{ to} -0.017)$	$-0.040^{*}$ (-0.056 to -0.024)	-0.041 <sup>*</sup> ( $-0.079$ to -0.003)	0.018 (-0.048 to 0.083)	$-0.651^{*}$ (-0.779 to $-0.523$ )	$0.059^{*}(0.036 \text{ to} 0.082)$	-0.083 (-0.174 to 0.008)	0.083 * (0.064 to 0.102)	$-4.861^{*}(-5.595 \text{ to} -4.127)$	0.005 (-0.008 to 0.019)	-0.124 (-0.633 to 0.385)	-2.500 (-9.256 to 4.256)	-0.280 (-0.871 to 0.310)
	Residents with a urinary tract infection	-0.022 (-0.049 to 0.005)	0.002 (-0.185 to 0.666)	0.001 (-0.005 to 0.005)	-0.034 <sup>*</sup> ( $-0.046$ to -0.022)	-0.017 (-0.038 to 0.004)	$-0.063^{*}(-0.104 \text{ to} -0.023)$	0.027 <sup>*</sup> (0.020 to 0.034)	0.084 * (0.055 to 0.113)	$-0.018^{*}(-0.024 \text{ to} -0.012)$	$-0.302^{*}(-0.535 \text{ to} -0.069)$	0.004 (-0.001 to 0.008)	0.437 <sup>*</sup> (0.275 to 0.599)	0.849 (-1.298 to 2.997)	$-0.769^{*}(-0.957 \text{ to} -0.581)$
ures	Use of indwelling urinary catheters	$-0.018^{*}(-0.034 \text{ to} -0.001)$	-0.004 * (-0.007 to -0.002)	0.008 * (0.005 to 0.011)	-0.034 <sup>*</sup> ( $-0.041$ to -0.027)	-0.023 <sup>*</sup> ( $-0.035$ to -0.010)	$-0.042^{*}(-0.067 \text{ to} -0.018)$	0.014 * (0.010 to 0.019)	-0.010 (-0.028 to 0.007)	0.003 (-0.000 to 0.007)	0.016 (-0.125 to 0.158)	$-0.008^{*}(-0.011 \text{ to} -0.005)$	0.016 (-0.083 to 0.011)	$1.940^{*}(0.639 \text{ to}$ 3.241)	$-0.368^{*}(-0.482 \text{ to} -0.254)$
Quality Meas	Use of restraints	0.004 (-0.014 to 0.022)	$-0.006^{*}(-0.009 \text{ to } -0.003)$	-0.000 (-0.003 to 0.003)	$0.022^{*}(0.014 \text{ to } 0.030)$	0.014 (0.000 to 0.028)	$-0.039^{*}(-0.065 \text{ to } -0.012)$	$0.006^{*}(0.001 \text{ to } 0.011)$	$0.041$ $^{*}(0.022$ to $0.060)$	$-0.008^{*}(-0.012 \text{ to } -0.004)$	$0.206^{*}(0.052  ext{ to } 0.359)$	0.002 (-0.001 to 0.005)	$0.248^{*}(0.141 \text{ to } 0.354)$	$2.153^{*}(0.739  ext{ to } 3.567)$	-0.451 <sup>*</sup> $(-0.575$ to $-0.328)$
	Use of anti-psychotic medications (short- term)	-0.017 <sup>*</sup> $(-0.033$ to -0.001)	-0.002 (-0.004 to 0.003)	0.000 (-0.003 to 0.003)	-0.001 (-0.008 to 0.006)	0.010 (-0.001 to 0.022)	$-0.053^{*}$ (-0.076 to -0.030)	-0.002 (-0.006 to 0.002)	0.010 (-0.007 to 0.026)	$-0.020^{*}(-0.024 \text{ to} -0.017)$	$-0.737^{*}(-0.870 \text{ to} -0.604)$	$0.005^{*}(0.002 \text{ to } 0.007)$	$0.096^{*}(0.003 \text{ to } 0.188)$	-0.674 (-1.896 to 0.548)	$-0.200^{*}(-0.307 \text{ to} -0.094)$
	Use of anti-psychotic medications (long- term)	-0.061 (-0.136 to 0.014)	0.007 (-0.004 to 0.019)	0.002 (-0.011 to 0.016)	-0.023 (-0.057 to 0.010)	$-0.062^{*}(-0.119 \text{ to} -0.005)$	$-0.717^{*}$ ( $-0.827$ to -0.606)	$0.062$ $^{*}(0.042$ to $0.082)$	$-0.091^{*}(-0.169 \text{ to} -0.012)$	$-0.081^{*}(-0.098 \text{ to} -0.065)$	-3.477 <sup>*</sup> (-4.115 to -2.839)	$0.015$ $^{*}(0.003$ to $0.027)$	$-0.465^{*}(-0.908 \text{ to} -0.023)$	1.242 (-4.628 to 7.111)	$-1.756^{*}(-2.269 \text{ to})$ -1.242)
	HRR-level Variables	NH specialists per 1,000 beds	Percent of NHs part of chain	Percent of NHs that are for-profit	Percent of stays covered by Medicaid	Percent of stays covered by Medicare	Average patient age in years	Percent of patients who are white	Percent of patients who are female	RN to total staffing ratio	Direct care staff hours per patient day	Percent of NHs with APPs (e.g., NPs)	Average patient acuity index	Herfindahl-Hirschman Index of NHs in HRR	Year: 2014

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			Quality Meas	ures		
HRR-level Variables	Use of anti-psychotic medications (long- term)	Use of anti-psychotic medications (short- term)	Use of restraints	Use of indwelling urinary catheters	Residents with a urinary tract infection	Residents with symptoms of depression
Year: 2015	-2.937 <sup>*</sup> (-3.462 to -2.412)	-0.331 <sup>*</sup> $(-0.440 $ to $-0.221)$	-0.713 <sup>*</sup> (-0.839 to -0.586)	$-0.376^{*}(-0.492 \text{ to} -0.259)$	$-1.197^{*}(-1.389 \text{ to})$ -1.005)	$-0.829^{*}(-1.433 \text{ to} -0.225)$
Year: 2016	$-4.832^{*}$ (-5.373 to -4.291)	$-0.516^{*}(-0.629 \text{ to} -0.404)$	$-0.940^{*}(-1.070 \text{ to } -0.810)$	$-0.704^{*}(-0.824 \text{ to} -0.584)$	$-1.983^{*}(-2.181 \text{ to}$ 1.785)	$-1.314^{*}(-1.936 \text{ to} -0.691)$

\* p-value < 0.05

Abbreviations: HHR - Hospital Referral Region, NH - Nursing Home

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