

A Reevaluation of the Inverse Dynamic Model for Eye Movements

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To construct an appropriate motor command from signals that provide a representation of desired action, the nervous system must take into account the dynamic characteristics of the motor plant to be controlled. In the oculomotor system, signals specifying desired eye velocity are thought to be transformed into motor commands by an inverse dynamic model of the eye plant that is shared for all types of eye movements and implemented by a weighted combination of eye velocity and position signals. Neurons in the prepositus hypoglossi and adjacent medial vestibular nuclei (PH-BT neurons) were traditionally thought to encode the “eye position” component of this inverse model. However, not only are PH-BT responses inconsistent with this theoretical role, but compensatory eye movement responses to translation do not show evidence for processing by a common inverse dynamic model. Prompted by these discrepancies between theoretical notions and experimental observations, we reevaluated these concepts using multiple-frequency rotational and translational head movements. Compatible with the notion of a common inverse model, we show that PH-BT responses are unique among all premotor cell types in bearing a consistent relationship to the motor output during eye movements driven by different sensory stimuli. However, because their responses are dynamically identical to those of motoneurons, PH-BT neurons do not simply represent an internal component of the inverse model, but rather its output. They encode and distribute an estimate of the motor command, a signal critical for accurate motor execution and learning.

Key words: internal model; eye movement; vestibular; efference copy; sensorimotor; motor control

Introduction

In any motor system, the brain must compute motor commands from signals that provide a representation of desired action. The required computations often rely on internal representations of the dynamic properties of the motor plant to be controlled. Such “internal models,” which constitute a general theoretical concept in motor control, may be used either to transform desired action into appropriate motor commands (“inverse model”) or conversely to predict the consequences of motor commands on behavior (“forward model”) (Shidara et al., 1993; Shadmehr and Mussa-Ivaldi, 1994; Miall and Wolpert, 1996; Kawato, 1999).

The neural correlates of an inverse model are perhaps best understood in the oculomotor system, where its existence was established early on because the broad bandwidth of the rotational vestibulo-ocular reflex (RVOR) implies neural compensation for the eye plant dynamics (Skavenski and Robinson, 1973). Desired eye velocity was proposed to be combined with a neurally computed eye position signal to construct a simplified (first-order) inverse dynamic representation of the eye plant (Skavenski and Robinson, 1973; Robinson, 1981). This theoretical con-

struct was solidified by demonstrating that burst-tonic/tonic neuron responses in the prepositus hypoglossi (PH) and adjacent medial vestibular nuclei (VN) (collectively referred to here as PH-BT) correlate closely with eye position during static fixation and low-frequency slow eye movements (Baker and Berthoz, 1975; Lopez-Barneo et al., 1982; Escudero et al., 1992, 1996; McFarland and Fuchs, 1992). Consequently, PH-BT neurons were thought to encode the eye position component of the inverse dynamic model.

In recent years, however, at least two observations have challenged this theoretical construct. First, unlike rotation, the translational vestibulo-ocular reflex (TVOR) does not show clear evidence for processing by an inverse model. Specifically, the TVOR is robust only at high frequencies (>0.5 Hz) (Paige et al., 1991a; Telford et al., 1997; Angelaki, 1998). As a result, canceling the eye plant dynamics may be unnecessary in the TVOR, alleviating the need for processing otolith signals by an inverse model (Green and Galiana, 1998; Musallam and Tomlinson, 1999). Second, although PH-BT cell responses are more closely correlated with eye position than are those of other premotor cell types, they also carry signals related to eye velocity (McFarland and Fuchs, 1992). As an alternative, it was suggested that PH-BT neurons instead encode an estimate of the motor command (McCrea and Baker, 1985; Belknap and McCrea, 1988). However, PH-BT cell responses have never been compared with those of extraocular motoneurons over a broad frequency range sufficient to explicitly test this alternative hypothesis. These issues prompt a critical reevaluation of two conceptual ideas fundamental for understanding the neural control of slow eye movements: (1) the exis-

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tence of a dedicated inverse model for all eye movement types and (2) the nature of the internally estimated signal encoded by PH-BT cells. In the current study, we reexamine these fundamental concepts by directly comparing PH-BT responses with those of other premotor cell types and extraocular motoneurons, taking advantage of both the sensorimotor differences between the RVOR and TVOR and their shared high-frequency range of operation.

Materials and Methods

Animal preparation. Four juvenile *Macacca mulatta* and one *Macacca fascicularis* monkeys were prepared for chronic recording of binocular eye movements and single-unit activities. Each animal was chronically implanted with a delrin head stabilization ring that was secured to the skull with inverted stainless steel T bolts. A delrin recording platform (consisting of a staggered matrix of holes spaced 0.8 mm apart) was stereotaxically placed inside the ring and served as a guide for electrode placement. In three of the animals, the platform was implanted with a 10° lateral/medial slant to allow bilateral access to the prepositus hypoglossi and abducens/oculomotor nerves and nuclei. Each animal was also implanted with dual eye coils on both eyes that were calibrated as explained in detail previously (Angelaki, 1998; Angelaki et al., 2000). All surgical procedures were performed under sterile conditions in accordance with institutional and National Institutes of Health guidelines.

Experimental set-up. During experiments, monkeys were seated upright in a primate chair secured inside a motion delivery system that consisted of a three-dimensional (3D) vestibular turntable mounted on a linear sled (Acutronics, Pittsburgh, PA). Binocular eye movements were measured with a three-field magnetic search coil system (16 inch cube; CNC Engineering, Seattle, WA) that was attached to the inner gimbal of the vestibular turntable. Visual targets were back-projected onto a flat screen mounted 20 cm away from the animal. A wall-mounted laser and x–y mirror galvanometer system (General Scanning, Billerica, MA) provided world-fixed targets for gaze stabilization during head/body motion. A second laser-galvanometer system was mounted on top of the vestibular turntable such that it moved with the animal and provided a head-fixed target; this enabled evaluation of neural responses to vestibular stimulation when eye movements were suppressed or cancelled (i.e., VOR cancellation tasks). The second system was also used to provide visual targets for fixation and smooth pursuit tasks.

Stimulus presentation and data acquisition were controlled with custom-written scripts within the Spike2 software environment using the Cambridge Electronics Device (model power 1401; CED, Cambridge, England) data acquisition system. The voltage waveforms associated with each of the two eye-coil assemblies, velocity and/or position feedback signals from the rotator and linear sled and the signals from a 3D linear accelerometer that was mounted firmly to the inner gimbal of the rotator were stored on a computer for off-line analysis. All analog waveforms were anti-alias filtered (200 Hz, six-pole Bessel) and digitized at a rate of 833.33 Hz (CED model 1401, 16-bit resolution).

Extracellular single-unit responses were recorded using epoxy-coated, etched tungsten microelectrodes (FHC, Bowdoinham ME; Microprobe, Gaithersburg MD; custom-made) that were inserted into 26-gauge stainless-steel guide tubes (outside diameter of 457 μm), and then advanced through a predrilled hole in the recording platform. Neural activity was amplified, filtered (300 Hz to 6 kHz), and passed both to an audio amplifier and to a BAK Instruments dual time–amplitude window discriminator. Acceptance pulses from the window discriminator were used to trigger the event channel of the CED data acquisition system that stored the time of each spike at a 10 μs resolution. Neural waveforms, as well as all eye movement and stimulus signals, were also recorded using a digital audio tape drive (Cygnus Technologies, Delaware Water Gap, PA) to allow off-line cell reisolation.

Experimental protocols and neural recording. Animals were trained for juice rewards to fixate and/or track moving visual targets, as well as to maintain fixation of remembered target location for 1–2 s during motion in darkness. Behavioral performance under target viewing conditions was continuously monitored using both monocular (1.5°) and vergence

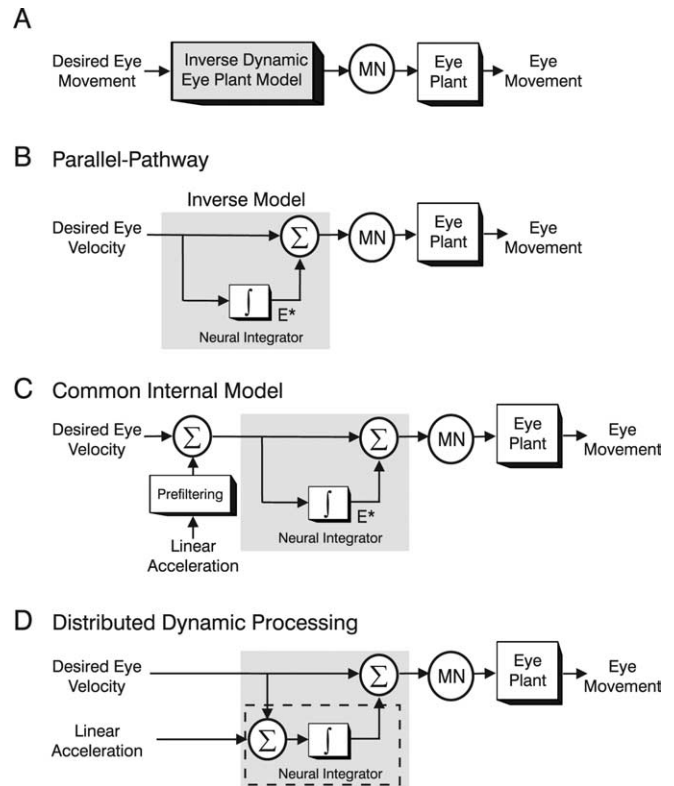


Figure 1. Schematic illustration of hypotheses for the dynamic processing underlying eye movement generation. **A**, Desired eye velocity commands are processed by an inverse dynamic model of the eye plant before being conveyed onto extraocular MNs. **B**, Parallel-pathway implementation of the inverse dynamic model. The inverse model (gray shaded box) is constructed by summing a weighted combination of desired eye velocity (top) and integrated eye velocity signals (bottom). An internal estimate of desired eye position (E^*) is presumed to exist at the output of the neural integrator (\int). **C**, Common internal model hypothesis for extending the framework to translation (TVOR). Otolith signals, encoding linear acceleration, are presumed to be “prefiltered” before converging on the inverse model used to convert desired eye velocity signals into appropriate motor commands (supplemental text, available at www.jneurosci.org as supplemental material). **D**, Distributed dynamic processing hypothesis for the TVOR. The internal model is not fully implemented in the TVOR pathways. Otolith signals are presumed to be processed by only the neural integrator portion (dashed box) of the inverse model that converts linear acceleration signals into velocity. To explain the high-pass nature of the TVOR, it is assumed that the dynamic characteristics of the eye plant remain uncompensated and therefore contribute to shaping the reflex at the higher frequencies where the TVOR exhibits a robust response (see supplemental text, available at www.jneurosci.org as supplemental material).

(1.5°) windows (Angelaki et al., 2000; Meng et al., 2005). During motion in darkness (see below), animals were trained to maintain a verged eye position (within a 2–3° vergence window) centered on the location of the previously viewed target.

In separate experiments, several brainstem areas were explored. In three animals, we recorded from burst-tonic neurons and other eye movement-sensitive cell types in the nucleus PH and adjacent medial VN [including the marginal zone, an area shown previously to project to the abducens and oculomotor nuclei (Langer et al., 1986)]. These recording locations extended bilaterally 0.5–2.4 mm caudal to the abducens nuclei, which were identified based on their characteristic burst-tonic activity (Fuchs and Luschei, 1970; Fuchs et al., 1988). We used several criteria to distinguish the prepositus region from the abducens nuclei, including (1) the presence of neurons with contralaterally directed eye movement preference intermingled with the more frequently encountered cells with ipsilateral on-directions, (2) the occasional presence of neurons with vertical eye movement preference and/or neurons whose firing rate modulated during motion in the absence of eye movement, (3) variable saccadic responsiveness, including cells that ranged from exhibiting clear bursts (i.e., burst-tonic neurons) to either weak or nonexistent burst activity (tonic

neurons), and (4) the presence of neurons without a clear pause for off-direction saccades (McFarland and Fuchs, 1992; Kaneko, 1997).

Whenever an eye-movement-sensitive neuron was isolated, it was first classified based on its responses during horizontal/vertical smooth pursuit ($0.5 \text{ Hz} \pm 12.4^\circ$), visually guided saccades ($\pm 20^\circ$), and yaw rotation ($0.5 \text{ Hz}, \pm 10^\circ$) while fixating a head-fixed target (RVOR cancellation). In particular, cells that exhibited clear responses for horizontal eye movements during all protocols but failed to respond to vestibular stimulation in the absence of eye movement were classified as burst-tonic/tonic cells and are collectively referred to here as PH-BT neurons. According to the classification of Scudder and Fuchs (1992), position-vestibular-pause (PVP) neurons exhibited responses to head rotation during RVOR cancellation and to eye movement during pursuit that were oppositely directed such that these signals superimposed in an additive manner (i.e., larger net response) during stabilization of a world-fixed target. Those with ipsilaterally directed head and contralaterally directed eye movement sensitivities were classified as type I PVP (PVP-I) whereas those with contralaterally directed head and ipsilaterally directed eye movement sensitivities were classified as type II PVP (PVP-II). Eye-head (EH) neurons exhibited responses to head motion during RVOR cancellation and to eye movement during smooth pursuit in the same direction, such that the two signals opposed each other during rotation while stabilizing a world-fixed target. EH cells included units with ipsilaterally directed eye and head velocity sensitivities (i-EH) as well as cells with contralaterally directed eye and head velocity sensitivities (c-EH). The majority of these neurons exhibited bursts during saccades in at least one direction. Many c-EH cells also exhibited a nonlinear encoding of horizontal eye position and/or small sensitivities to vertical eye movement in addition to horizontal eye movement during pursuit.

PH-BT and other premotor cell types were then further tested during yaw rotations and lateral translations across a range of frequencies (0.5–5 Hz). For translation, stimuli had a peak velocity of $\sim 14\text{--}16 \text{ cm/s}$. For rotation, the stimulus amplitudes at $0.5 \text{ Hz} (\pm 10^\circ)$ and $1 \text{ Hz} (\pm 5^\circ)$ were chosen to elicit similar eye velocities to those during pursuit/translation. At higher frequencies, stimulus amplitudes were constrained by the acceleration limit of the rotator ($220^\circ/\text{s}^2$). At each frequency, motion cycles were delivered with alternating light-dark periods in which monkeys were required to fixate on either a world-fixed target (light on) or its remembered location (light off) (see above), no other behavioral requirement was enforced during motion in darkness (because ocular responses to translation in the absence of visual feedback are typically undercompensatory) (Telford et al., 1997; Paige et al., 1998). Alternating light-dark periods were repeated until sufficient numbers of cycles were collected.

In addition to the premotor neurons, we also recorded motoneuron activities from two additional animals. This was done to enable a comparison of premotor neuron responses with those of extraocular motoneurons. Data were collected from 11 horizontal oculomotor nerve fibers and seven abducens neurons (three from the abducens nerve; four from the nuclei). The oculomotor nerve recordings were made rostral to the oculomotor nuclei [same locations/animals as used by Ghasia and Angelaki (2005)]. The majority of abducens recordings were made using the locations identified through electrical microstimulation [same locations/animals as used in Klier et al. (2006)]. In contrast to stimulation of the PH and VN, abducens nerve/nucleus stimulation results in a transient deviation of only the ipsilateral eye [Klier et al. (2006), their Fig. 2]. Motoneuron responses were examined using the same sets of stimulus paradigms as those used for premotor cells.

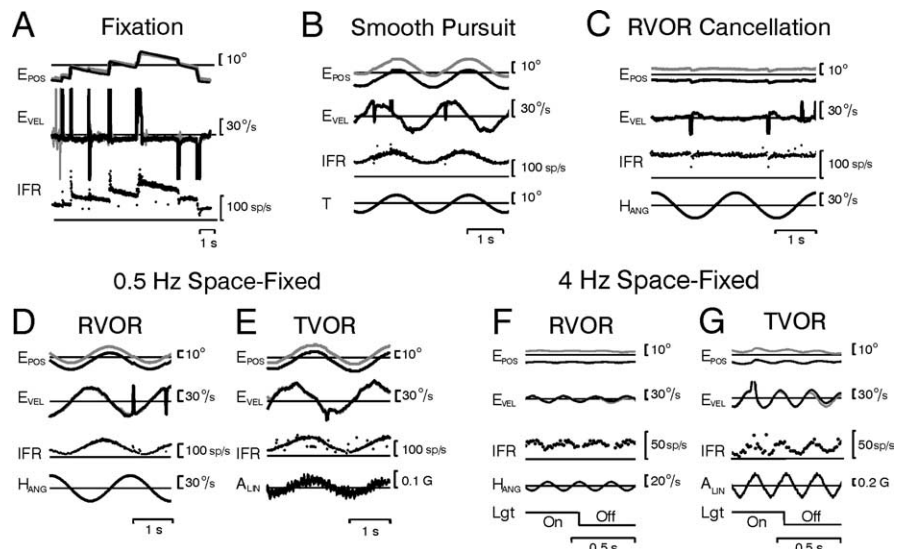


Figure 2. Horizontal burst-tonic cell recorded in the left prepositus hypoglossi. **A–C**, Responses during saccadic eye movements and fixations in darkness (**A**), smooth target tracking (**B**), rotation while stabilizing a head-fixed target (RVOR cancellation) (**C**), rotation (RVOR) or translation (TVOR) at a frequency of 0.5 Hz while stabilizing a space-fixed target (**D**, **E**), and rotation or translation at 4 Hz while stabilizing either a space-fixed target [Lgt (light) On] or a remembered target in darkness [Lgt Off] (**F**, **G**). E_{POS} , Eye position (right eye, gray; left eye, black); E_{VEL} , eye velocity; T, target position; H_{ANG} , angular head velocity; A_{LIN} , linear head acceleration; IFR, instantaneous firing rate; Lgt, lighting conditions (visual target on/off).

Data analyses. All data were analyzed off-line using Matlab (Mathworks, Natick, MA). Eye position was calibrated and expressed as three-dimensional rotation vectors, as described in detail previously (Angelaki, 1998; Angelaki et al., 2000). Motion stimuli and eye movements were expressed in a right-handed coordinate frame such that positive horizontal plane angular and linear deviations were leftward and positive vertical angular deviations were downward. Saccades and fast phases of nystagmus were identified using a semiautomated computer algorithm based on a higher derivative of eye velocity. Automatic detection of saccades was followed by a manual inspection of the eye movements to correct any misidentifications (Angelaki, 1998). Fast eye movements and the corresponding firing activities during fast phases were removed from additional analysis. Note that the present analysis focuses exclusively on slow eye movements. PH-BT firing rate dynamics have been characterized previously during saccades and shown to be similar to those of abducens neurons (Sylvestre et al., 2003).

The instantaneous firing rate of a neuron's response was calculated as the reciprocal of interspike interval and assigned to the middle of the interval. Multiple cycles of data over time were overlaid. The dynamic characteristics (gain and phase) of each neuron were then computed by fitting a sine function (first and second harmonics and an offset) to the overlaid data using a nonlinear least-squares algorithm based on the Levenberg-Marquardt method. Under target viewing conditions, only portions of data in which the positions of both eyes were within $\pm 1^\circ$ of the target were included in the analyses. Under dark conditions, the portions of data selected for analysis were chosen so that at each frequency the data corresponded to approximately the same average vergence angle (within $\pm 1.25^\circ$ of the mean across all frequencies). Neural response gain and phase during motion were subsequently expressed relative to stimulus velocity, with gains in units of spikes/second/degree/second (rotation) or spikes/second/centimeter/second (translation). In addition, neural responses during rotation, translation, and pursuit were also expressed relative to the evoked eye movement with gains in units of spikes/second/degree and phase expressed relative to eye position. Because data were typically recorded from both sides of the brainstem and cell on direction could be either ipsilateral or contralateral, phase values were expressed relative to the preferred eye or head movement direction of the cell to facilitate comparison of dynamic response properties.

Statistical comparisons were based on ANOVA using the SPSS statistics package (version 12; SPSS, Chicago, IL). Correlations between neural

response gains and phases during rotations and translations were evaluated using linear regression. To account for the fact that the variables involved were independent of each other, slopes were calculated based on minimizations of the perpendicular offset of the data to the regression line using a custom-written Matlab script. Confidence intervals (CI, 95%) for the regressions were computed based on the distribution of slopes obtained when bootstrapping with replacement was performed on the data.

Results

Theoretical basis for the tested hypotheses

An implementation of the inverse dynamic model in the oculomotor pathways (Fig. 1*A*) was first proposed by Robinson et al. (Skavenski and Robinson, 1973; Robinson, 1981) who pioneered what has become well known as the parallel-pathway model (Fig. 1*B*). Desired eye velocity signals were proposed to be conveyed to motoneurons (MNs) both directly and indirectly via a “neural integrator” (Fig. 1*B*, *f*). Together, the two pathways compensate for the viscoelastic properties of the eyeball and are thought to comprise an inverse dynamic model of a simplified (first-order) eye plant. In alternative, but equivalent, representations the integration was implemented in a distributed manner either via positive feedback loops through a forward model of the eye plant (Galiana and Outerbridge, 1984; Galiana, 1991) (supplemental text, available at www.jneurosci.org as supplemental material) or more generally via recurrent connections in a dynamic neural network (Cannon and Robinson, 1985; Arnold and Robinson, 1991, 1997). Such distributed models have illustrated the ability to reproduce more realistic distributions of cell types that exhibit varying degrees of correlation with eye velocity versus position. Importantly, however, these implementations all predict the existence of neurons that either explicitly provide an internal estimate of eye position (Fig. 1*B*, *E*^{*}) or a signal that is significantly more position-like than the signals encoded by extraocular motoneurons. PH-BT neurons have classically been assigned a dominant role in encoding this mainly “position-like” signal.

This theoretical framework has been questioned previously in the context of the eye movements elicited to compensate for translational motion (TVOR) (Green and Galiana, 1998; Musallam and Tomlinson, 1999). In particular, whereas the extended bandwidth of the RVOR provides direct evidence that the dominant eye plant dynamics have been compensated for neurally, responses to translation are robust only at high frequencies ($> \sim 0.5$ –1 Hz) (Paige et al., 1991a; Telford et al., 1997; Angelaki, 1998). Thus, in contrast to the case of the RVOR, the dynamic characteristics of the TVOR do not demonstrate evidence for processing by an inverse plant model. Neural processing in the TVOR is further complicated, however, by the fact that the information about linear motion provided by otolith afferents is encoded in terms of acceleration (Fernández and Goldberg, 1976a,b). This is in contrast to the case of other types of eye movements including saccades, smooth target tracking, and the RVOR, where desired eye movement commands are encoded by predominantly velocity-like signals.

To explain how the TVOR fits into existing notions of the premotor processing underlying eye movement generation, two hypotheses have been proposed. The “common internal model” hypothesis suggests that a common inverse model is indeed shared for all eye movements, but evidence for processing by this model remains unobservable behaviorally during translation because otolith signals undergo additional prefiltering (Fig. 1*C*) (Paige and Tomko, 1991a,b; Telford et al., 1997). To a first approximation, this prefiltering network consists of an additional neural integrator to convert acceleration signals into velocity-like

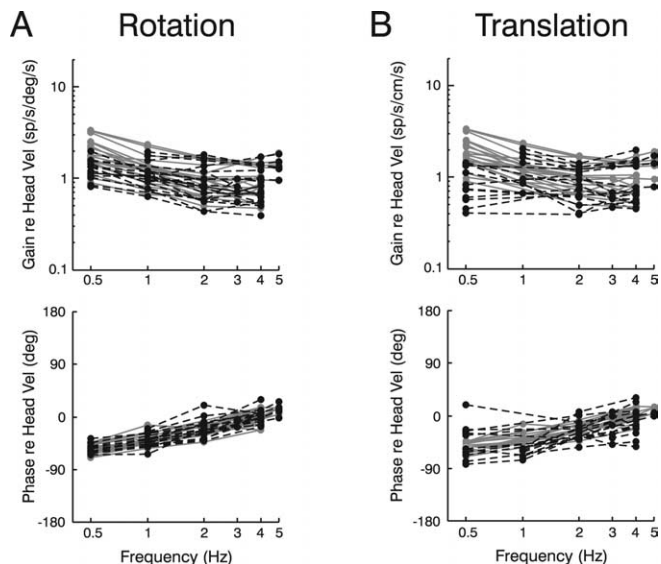


Figure 3. *A*, *B*, Frequency response characteristics of PH-BT cells during rotation (*A*) and translation (*B*). Response gains and phases are expressed relative to head velocity during either rotation or translation both in the presence (gray curves) and absence (dashed black curves) of visual feedback (i.e., light and dark conditions, respectively). The majority of cells (86%) responded to ipsilaterally directed eye or contralaterally directed head motion. Cell response phase has, thus, been expressed relative to contralaterally directed head velocity. Response phase in three cells that were excited for contralaterally directed eye or ipsilaterally directed head motion has been transposed by 180° to facilitate comparison with the dynamic characteristics of the other cells.

commands and a high-pass filter to account for the high-pass nature of the TVOR. In contrast, the “distributed dynamic processing” hypothesis proposes that only a portion of the inverse model (the neural integrator) is implemented as part of the sensorimotor transformations during translation (Fig. 1*D*) (Green and Galiana, 1998; Musallam and Tomlinson, 1999; Angelaki et al., 2001). The integrative properties of the premotor circuitry (Fig. 1*D*, *f* pathway denoted by the dashed box) are used to convert otolith acceleration signals into velocity-like commands. However, instead of being processed by an inverse model, the eye plant remains uncompensated and provides the additional low-pass filtering required to ensure eye movement in phase with head movement at the higher frequencies where the TVOR exhibits a robust response (for additional details, see supplemental text, available at www.jneurosci.org as supplemental material).

The distributed dynamic processing hypothesis is computationally more efficient, as there is no need for additional neural circuitry to process sensory signals with different dynamic characteristics. However, a disadvantage of this scheme is that there may exist no consistent brainstem representation of the motor output. For example, in the scheme of Figure 1*D*, because otolith-derived acceleration signals are proposed to be temporally integrated at the premotor level only once, PH-BT neuron responses would encode a signal more closely related to eye velocity during translation, but to eye position during rotation (Green and Galiana, 1998). In contrast, in the common internal model scheme, the final stage of premotor processing is shared for all types of eye movements, thus providing a consistent efferent copy signal during all eye movements.

Although both hypotheses can approximate the observed dynamics of the TVOR, each embeds fundamentally different predictions with respect to the properties of premotor neurons and their function. Thus, to distinguish between the two schemes of

Figure 1, *C* and *D*, three types of analyses will be presented. First, we investigate whether the same inverse model is used in the premotor processing of all slow eye movements. The common inverse model hypothesis predicts that, similar to motoneurons, PH-BT neurons should exhibit the same relationship to the elicited eye movement during either rotation or translation, despite dynamically distinct sensory drives from semicircular canal and otolith afferents and dynamically distinct motor responses. Second, we directly compare PH-BT responses with those of motoneurons to test whether PH-BT responses are more consistent with approximating an internal estimate of eye position (E^*), as suggested by the theoretical representations in Figure 1, *B* and *C*, or alternatively, encode a consistent estimate of the motor command. Such a motor command estimate may serve the role of an “efference copy” signal when distributed via projections from the prepositus and vestibular nuclei to different brain areas beyond the extraocular motoneuron pools (e.g., cerebellum, superior colliculus, and thalamus) (McCrea and Baker, 1985; Belknap and McCrea, 1988; McCrea and Horn, 2005). Finally, we compare the dynamics of PH-BT neurons with those of other premotor neurons to further establish whether PH-BT cells play a role that is unique among premotor cell types.

General experimental observations

We recorded neural responses from 25 horizontal PH-BT cells, 22 horizontal PVP cells (7 PVP-I; 15 PVP-II), 23 horizontal EH cells (8 i-EH; 15 c-EH), and 18 horizontal motoneurons (11 oculomotor; 7 abducens). An example of a typical PH-BT neuron is illustrated in Figure 2. Consistent with the postulated role of this neuron type in coding an estimate of eye position (Fig. 1 *B*, *C*, E^*), firing rate changed monotonically with deviations in eye position, exhibiting increased tonic activity for fixations to the left and decreased activity for fixations to the right (Fig. 2*A*). These were accompanied by bursts for leftward (i.e., on-direction) saccades and at least partial pauses for rightward saccades. Similarly, during low-frequency (0.5 Hz) smooth target tracking (Fig. 2*B*), rotation (*D*) or translation (*E*), the cell’s firing rate closely followed the deviation in eye position. When eye movements were “suppressed” or “cancelled” during fixation of a target that moved with the head, the cell ceased to modulate (Fig. 2*C*). In contrast to these lower frequencies, however, at high frequencies (e.g., 4 Hz) the cell modulated more closely in phase with eye velocity during both rotational and translational motion (Figs. 2*F*, *G*).

This observation is further emphasized in Figure 3, which summarizes how the entire population of PH-BT neurons responded to head motion across frequencies. To investigate their relationship to the sensory stimulus, response gains and phases have been expressed relative to head velocity during rotation/translation in the presence (gray curves) and absence (dashed black curves) of visual feedback. Despite different afferent dynamics (otolith afferents encode linear acceleration; canal afferents encode angular velocity), PH-BT response dynamics appeared similar during rotation and translation. At high frequencies (e.g., 4–5 Hz) neurons modulated in phase with head velocity (0° phase) whereas at lower frequencies they exhibited

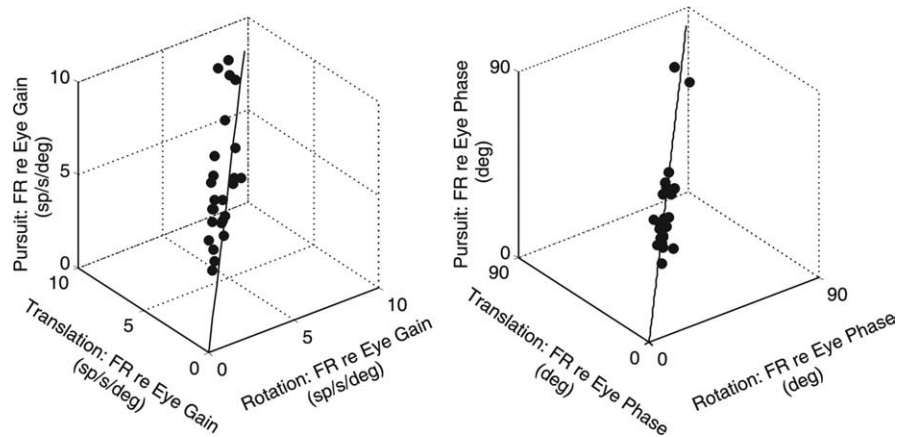


Figure 4. PH-BT responses during smooth pursuit, rotation, and translation (0.5 Hz). Gains (left) and phases (right) during smooth pursuit are compared with those during rotation or translation while stabilizing a space-fixed visual target. Gain refers to the neural firing rate modulation (FR, in spikes/second) relative to the evoked eye position (in degrees). Phase is expressed relative to the preferred eye movement direction of the cell. Solid traces indicate the unity-slope line.

large phase lags. Notably, 0.5 Hz responses typically lagged head velocity by 40 – 70° during both rotation (mean, 53° in light; 55° in dark) and translation (mean: 53° in light; 52° in dark). Because otolith afferents encode linear acceleration, these large phase lags during translation provide evidence for an additional integration of otolith as compared with canal signals, as suggested by the common internal model hypothesis (Fig. 1*C*). In contrast, these responses are inconsistent with the distributed dynamic processing strategy (Fig. 1*D*), because the single neural integrator in this scheme would have transformed the otolith acceleration signal into an estimate of head velocity but could not account for the additional phase lags observed at low frequencies.

Common inverse dynamic model

To directly evaluate whether PH-BT neurons contribute to a common internal model, we next tested whether PH-BT neurons bore the same relationship to eye movement during voluntary pursuit and reflexive eye movements (RVOR/TVOR). Figure 4 illustrates neural response gains expressed relative to eye position. Data fell along the unity-slope line and gains were similar for all types of slow eye movement (means \pm SD, 5.0 ± 1.9 spikes/s/deg for pursuit; 4.9 ± 1.7 spikes/s/deg for rotation; 5.4 ± 1.8 spikes/s/deg for translation). No difference was also seen for response phase (repeated measures ANOVA, $F_{(25,2)} = 1.03$; $p > 0.05$), which, in the majority of neurons, was close to but slightly leading eye position (means \pm SD, $35.4 \pm 12.7^\circ$ for pursuit; $36.5 \pm 12.4^\circ$ for rotation; $36.0 \pm 12.5^\circ$ for translation). Thus, in agreement with previous studies (McFarland and Fuchs, 1992), responses during 0.5 Hz visual and vestibular stimulation were compatible with the notion that these cells provide a consistent estimate of the motor output.

To investigate whether these observations hold more generally, we examined the relationship between PH-BT responses and eye movement across different stimulus frequencies, comparing for the first time their response properties during rotation and translation at high frequencies both in the presence and absence of visual feedback. The neural gain and phase (expressed relative to eye position) during translation and rotation were significantly correlated (Fig. 5*A*), with population slopes across all stimulus frequencies that were indistinguishable from unity (light gain slope, 0.94; 95% CI, 0.86–1.01; light phase slope, 0.96; 95% CI, 0.93–0.99; dark gain slope, 0.99; 95% CI, 0.90–1.09; dark phase

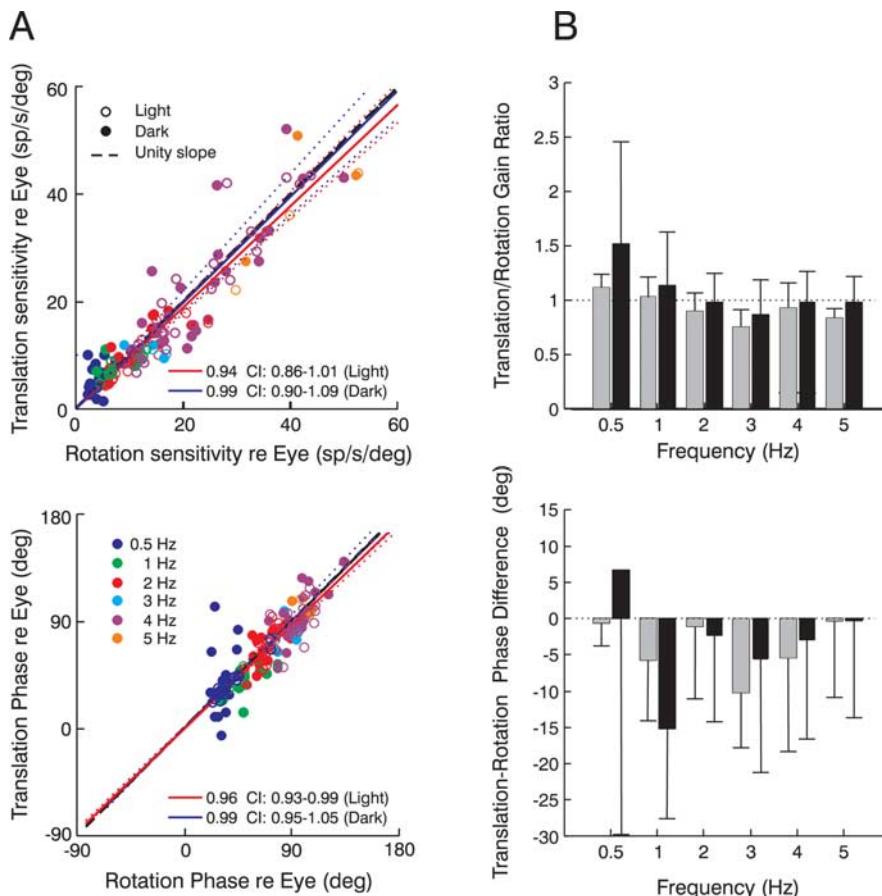


Figure 5. PH-BT responses during rotation and translation (0.5–5 Hz). **A**, Neural response gains (top) and phases (bottom) relative to eye position during translation are compared with those during rotation. Data are plotted separately for different frequencies (blue, 0.5 Hz; green, 1 Hz; red, 2 Hz; cyan, 3 Hz; purple, 4 Hz; orange, 5 Hz) under either visual feedback (open circles) or dark (filled circles) conditions. Phases are expressed relative to the cell's preferred eye movement direction. **B**, Mean translation/rotation gain ratios (top) and translation-rotation phase differences (bottom) across frequencies under visual feedback conditions (gray bars) and in the dark (black bars). Error bars illustrate SD.

slope, 0.99; 95% CI, 0.95–1.05). When each frequency was considered separately, mean gain ratios [(translation re eye)/(rotation re eye)] were close to unity and phase differences [(translation re eye) – (rotation re eye)] were close to zero (Fig. 5B). Paired *t* test comparisons of phase revealed no statistically significant differences at any frequency under visual feedback (light) conditions and most frequencies in the dark (0.5, 2, 4, 5 Hz; $p > 0.05$). These results suggest that neural relationships to eye movement during rotation and translation were matched dynamically at the level of individual neurons. Similarly, no significant differences in gain were observed at any frequency during motion in darkness. Thus, PH-BT neurons in the prepositus hypoglossi and adjacent medial vestibular nuclei encode the same dynamic parameters of the evoked eye movement during rotations and translations. Notably, however, although neural responses bore a consistent relationship to the evoked eye movement, neural gain and phase were clearly dependent on stimulus frequency (Fig. 5A).

Comparison between PH-BT and extraocular motoneuron dynamics

The dependence of PH-BT response gain and phase on frequency illustrates that, in contrast to a strict interpretation of the scheme in Figure 1B (also see supplemental Fig. 1, available at www.jneurosci.org as supplemental material), PH-BT cells do not encode eye position. In a more liberal interpretation of the schemes of

Figure 1, however, it is often expected that PH-BT neurons should be closer to encoding eye position than extraocular motoneurons. Accordingly, PH-BT phases should lead eye position less than those of motoneurons. The failure of this prediction is illustrated in Figure 6, where the average gain and phase of PH-BT neurons (triangles, solid lines) is compared with those of motoneurons (abducens: circles, dashed lines; oculomotor: squares, dotted lines). Each population demonstrated markedly similar behavior, characterized by increases in gain and phase leads with increasing frequency, such that at high frequencies (e.g., 4 Hz), both premotor cells and motoneurons modulated more closely in phase with eye velocity (90° phase) than eye position (0° phase). PH-BT gains and phases were indistinguishable from those of abducens neurons at any frequency during either rotation or translation (ANOVA, $p > 0.05$). PH-BT cell responses were also statistically indistinguishable from oculomotor neuron responses in terms of both gain and phase during translation ($p > 0.05$), but exhibited significantly larger phase leads relative to the motoneuron population during rotation (ANOVA, $p = 0.002$). However, this small difference was actually in the opposite direction from that predicted by the classical models of Figure 1; PH-BT cells were actually further away from coding eye position (or closer to encoding eye velocity) than motoneurons. The observation that PH-BT cells

were close to dynamically identical to extraocular motoneurons is consistent with the hypothesis that they encode an estimate of the motor command signal. Thus, these cells appear to represent the output (rather than merely an internal component) of the inverse dynamic model.

Comparison between PH-BT and other premotor cell types

To investigate whether PH-BT cells were unique in encoding a consistent stimulus-independent estimate of eye movement, we examined whether other premotor cell types bore the same relationship to the motor output during rotation and translation. This comparison, illustrated in Figure 7, is similar in format to that of Figure 5A (top), but now includes data across all frequencies and lighting conditions from other premotor cell types (PVP-I, PVP-II, c-EH, and i-EH neurons). In contrast to PH-BT neurons, which demonstrated the same relationship to eye movement during both rotation and translation (Fig. 7, green), PVP and EH cells exhibited different sensitivities during rotation and translation. On average, the EH cell populations tended to exhibit larger sensitivities to the motor output during translation as compared with rotation (i-EH light slope, 1.65; 95% CI, 1.05–2.02; i-EH dark slope, 1.86; CI, 1.17–2.21; c-EH light slope, 1.22; CI, 1.02–1.51; c-EH dark slope, 1.18; CI, 0.97–1.47). The converse was true for PVP cells (PVP-I light slope, 0.29; 95% CI, 0.19–

0.69; PVP-I dark slope, 0.27; 95% CI, 0.17–0.56; PVP-II light slope, 0.61; 95% CI, 0.41–0.89; PVP-II dark slope, 0.78; 95% CI 0.58–0.96). Paired *t* test comparisons across all frequencies and lighting conditions revealed that these gain differences were statistically significant ($p < 0.05$). Thus, PH-BT firing rates were unique among all premotor cell types in exhibiting a consistent relationship to the motor output during rotations and translations.

Finally, to investigate whether PH-BT cells were also unique among premotor cell types in exhibiting response dynamics similar to those of motoneurons, Figure 8 illustrates the average phase differences between each premotor cell population and those of motoneurons at frequencies of 0.5, 2 and 4 Hz. In contrast to PH-BT cells that exhibited close to zero mean phase differences relative to motoneurons, phase differences were much larger for all other cell types during rotation and for most cell types (i-EH, c-EH, PVP-II) during translation (ANOVA, $p < 0.05$). Notably, not only PH-BT but also PVP-I cells exhibited similar phases to those of motoneurons during translation ($p > 0.05$). This latter observation, in conjunction with the small PVP-I gains during translation as compared with rotation (Fig. 7) (Meng and Angelaki, 2006) supports the notion that the signal flow for otolith and semicircular canal signals is at least partially different at the level of premotor neurons (for details, see Angelaki et al., 2001). Despite these differences, however, a consistent estimate of the motor output was constructed at the level of PH-BT cells. Furthermore, PH-BT cells were unique in this regard as they represented the sole cell population that not only encoded a stimulus-independent estimate of eye movement but also consistently exhibited motoneuron-like response dynamics.

Discussion

Using eye movements driven by different vestibular sensory stimuli across a range of frequencies (0.5–5 Hz), we have shown that PH-BT neuron firing rates maintain a consistent relationship to the motor output regardless of the sensory stimulus driving the movement, supporting the notion of a common inverse model. We also identified the nature of this relationship by showing that their responses are dynamically similar to those of extraocular motoneurons. In contrast, none of the other premotor cell types exhibited a consistent relationship to the motor output during rotation and translation. We conclude that PH-BT cells play a unique role among premotor cell types in representing the output (rather than a component) of the inverse model and in providing a reliable copy of the motoneural command that may be distributed to other brain areas as an efference copy signal.

Evidence for a common inverse dynamic model

The notion of a shared integrative network has been well established by deficits in multiple eye movement types after damage (Cannon and Robinson, 1987; Cheron and Godaux, 1987; Gold-

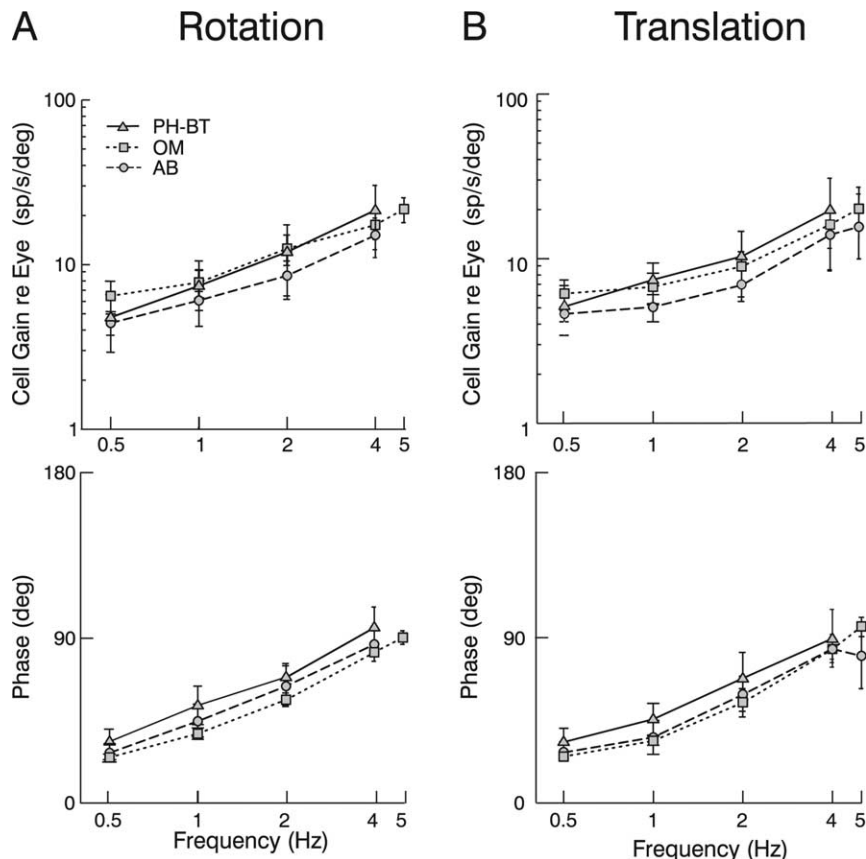


Figure 6. Comparison of the dynamic characteristics of PH-BT cells with those of extraocular motoneurons. *A, B*, Neural response gains (top) and phases (bottom) relative to eye position of PH-BT cells (triangles, solid lines) and motoneurons in the abducens (circles, dashed lines) and oculomotor (squares, dotted lines) nuclei during rotation (*A*) and translation (*B*) are plotted as a function of frequency. Phases are expressed relative to the preferred eye position direction of the cell. AB, Abducens neurons; OM, oculomotor neurons. Error bars illustrate SD.

man et al., 2002). However, whether sharing a common network also implies the explicit implementation of a common inverse model has not been conclusively answered previously. Here, we provided strong support for the implementation of a common inverse model by demonstrating that PH-BT neurons encode a signal that bears a consistent relationship to the motor output regardless of the source of sensory drive. We have shown this to be true not only at low frequencies where smooth tracking contributions dominate (McFarland and Fuchs, 1992; Scudder and Fuchs, 1992; Cullen et al., 1993; Meng et al., 2005) but also at high frequencies or in darkness, where each sensory contribution can be studied in isolation.

Importantly, we found that the relationship between PH-BT cell discharge and eye movement is independent of the dynamic characteristics of both the motor output (RVOR vs TVOR) and the underlying sensory drive (i.e., canal signals encode head velocity whereas otolith signals encode acceleration). These observations suggest that it is important for the system to construct a consistent representation of eye movement. For the TVOR, this must occur at the expense of additional processing (neural prefiltering stage) (Fig. 1C). Indeed, the dynamics of PH-BT neurons show clear evidence for the additional integration required as part of this neural prefiltering (Fig. 3). The required preprocessing might be performed, at least in part, by the integrative neural network used to dissociate ambiguous otolith cues into central estimates of head tilt versus translation (Green and Angelaki, 2003, 2004). Preprocessed otolith signals could subsequently be conveyed onto a

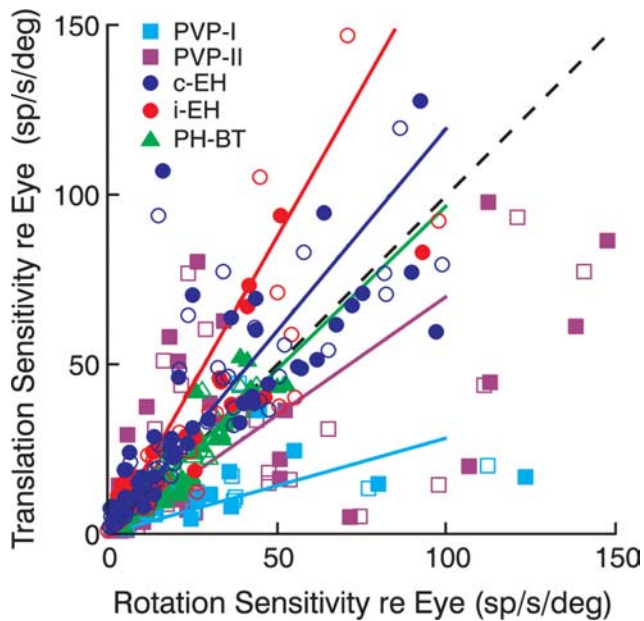


Figure 7. Comparison of premotor cell responses during rotation and translation. Neural response gains relative to eye position across all frequencies during translation are compared with those during rotation under visual feedback (open symbols) and dark conditions (filled symbols). Different symbols and colors denote different cell types (cyan squares, PVP-I; purple squares, PVP-II; blue circles, c-EH; red circles, i-EH; green triangles, PH-BT). Solid lines indicate the regression slopes for data across all frequencies and lighting conditions (PVP-I: 0.28, 95% CI, 0.20–0.48; PVP-II: 0.70, 95% CI, 0.53–0.84; c-EH: 1.20, 95% CI, 1.06–1.39; i-EH: 1.75, 95% CI, 1.28–2.04; PH-BT: 0.97, 95% CI, 0.91–1.03). Dashed black line shows the unity slope.

common inverse model via partially distinct pathways from those of canal signals, as suggested previously (Angelaki et al., 2001) and supported by our current results (Figs. 7, 8).

Role of PH-BT neurons in the neural implementation of an inverse dynamic model

Despite a continuing prevalence of models like those in Figure 1 that emphasize the concept of a neural coding of eye position, numerous studies have shown that a consistent internal estimate of E^* might not be coded explicitly by any premotor cell type (Baker and Berthoz, 1975; Lopez-Barneo et al., 1982; Delgado-Garcia et al., 1989; Escudero et al., 1992, 1996; McFarland and Fuchs, 1992; Scudder and Fuchs, 1992; Cullen et al., 1993; Sylvestre et al., 2003). This inconsistency between neurophysiological findings and the predictions of conceptual models (Fig. 1) has led to uncertainty over the role and significance of different premotor cell types in oculomotor function (McCrea and Baker, 1985; Belknap and McCrea, 1988; McFarland and Fuchs, 1992; Scudder and Fuchs, 1992; Lisberger et al., 1994). As a compromise between the schemes of Figure 1 and the observed presence of combined velocity/position signals in PH-BT cell firing rates, it is often assumed that PH-BT neurons carry more position-like signals than those of extraocular motoneurons. By examining the high-frequency responses of PH-BT neurons and by comparing them directly to those of motoneurons, we now show conclusively that this is not the case; their properties during slow eye movements are in fact identical to those of extraocular motoneurons.

PH-BT neurons do not therefore simply represent a portion of the inverse model circuitry, either as a dedicated eye position pathway (as the simplified schemes in Fig. 1 suggest) or as some combination of position/velocity signals that is more position-like than motoneuron responses. Instead, they represent the out-

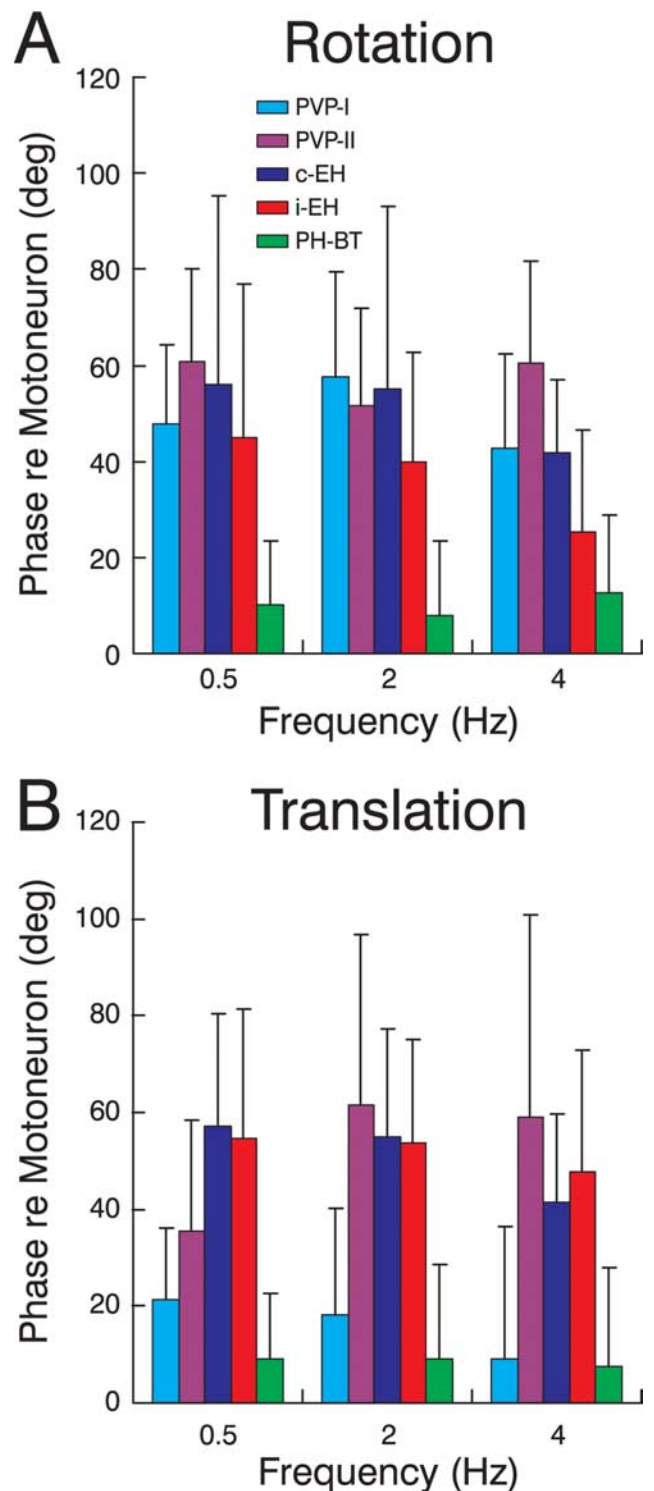


Figure 8. Comparison of the dynamic characteristics of different premotor cell types with those of extraocular motoneurons. **A, B**, The difference between the response phase of each cell type and the average motoneuronal phase (i.e., mean of AB and OM cells) during rotation (**A**) and translation (**B**) is illustrated for frequencies of 0.5, 2, and 4 Hz (cyan, PVP-I; purple, PVP-II; blue, c-EH; red, i-EH; green, PH-BT). Positive values indicate a phase lead relative to the average motoneuronal phase. Error bars illustrate SD.

put of the inverse model itself. This conclusion is consistent with neuroanatomical findings showing that all regions projecting to the abducens nuclei also project to the PH (Belknap and McCrea, 1988). However, it is unlikely that the primary role of PH-BT cells

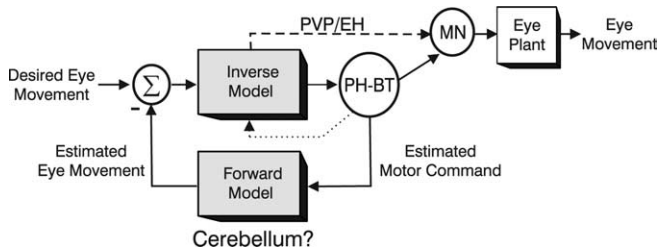


Figure 9. Schematic illustration of the dynamic processing for eye movement generation in which PH-BT cells represent the output of the inverse dynamic model. Although the PH-BT cell population is illustrated outside the box labeled “inverse model” for simplicity, these cells can also be considered to contribute as an integral part of the model through feedback interconnections (dotted line) with other premotor neural populations including PVP and EH neurons. PVP and EH cells, which may also participate in the inverse dynamic computations and are known to contribute to the motoneuronal command signal, are also shown projecting to the motoneuron population, MN. PH-BT cells are postulated to distribute an estimate of the motor command signal to other brain areas (e.g., the cerebellar flocculus) that potentially implement a forward model of the eye plant. The estimated motor response at the output of such a forward model can be compared with the desired eye movement to help refine the motor command signal.

is to provide the main source of premotor drive to motoneurons. Such a notion would be incompatible with anatomical and physiological evidence that the abducens nuclei receive potentially more extensive projections from other cell populations in the ventrolateral and medial vestibular nuclei (PVP and EH cells) (McCrea et al., 1987; Scudder and Fuchs, 1992) and reticular neurons (Strassman et al., 1986a,b; Scudder et al., 1988).

Functional utility of an efference copy of the motor command

If the role of PH-BT cells is not simply to supply the appropriate drive to motoneurons, why do they carry a replica of the motoneuronal command? Because motoneurons are restricted to innervating the extraocular muscles, a primary function of PH-BT cells must be to distribute a copy of the motor command (i.e., an efference copy) elsewhere in the brain (McCrea and Baker, 1985; Belknap and McCrea, 1988; McCrea and Horn, 2005). In particular, because the motor command signal reflects a mixed representation of estimated eye kinematics, it embeds essential information about both ongoing eye movement (e.g., eye velocity) and average (static) eye position. We therefore suggest that the signals carried by PH-BT neurons are used in at least two important ways: (1) during fixation they provide the brain with an estimate of current eye position, and (2) during movement an estimate of the motor command, constructed at the output of an inverse model, could be fed back through a forward model to compute the predicted consequences of this command (i.e., “estimated eye velocity”) (Fig. 9) (see also Glasauer, 2003). This estimated eye velocity signal may be used to update the brain about ongoing eye movement. In addition, the error between predicted and desired action may be used to help refine the motor command. This latter concept, illustrated in Figure 9, stems from contemporary theories of limb motor control suggesting that the sensorimotor processing may involve the implementation of complementary forward and inverse models of the sensors and motor actuators (Flanagan and Wing, 1997; Wolpert and Kawato, 1998; Kawato, 1999). The cerebellum has been implicated in the implementation of such forward and inverse dynamic models for both limb control (Ito, 1970; Miall et al., 1993; Wolpert and Kawato, 1998; Kawato et al., 2003) and eye movements (Shidara et al., 1993; Gomi et al., 1998; Glasauer, 2003).

This notion is supported by the fact that PH/VN neurons, as well as the paramedian tract cell group (Buttner-Ennever et al.,

1989; Nakamagoe et al., 2000), are known to project to the flocculus (Langer et al., 1985a; McCrea and Baker, 1985; Belknap and McCrea, 1988). Feedback from a presumed forward model in the flocculus to brainstem networks involved in constructing an inverse model may be provided via Purkinje cell projections onto floccular target neurons in the VN (Langer et al., 1985b; Lisberger et al., 1994). Furthermore, although the inverse model for eye movements, and in particular its output (PH-BT cells), could reside largely in the brainstem, feedback loops through the cerebellum are also likely to play a role in its implementation because the floccular loop contributes to the observed neural integrator dynamics (Zee et al., 1981).

In summary, we have shown that, in agreement with classical theoretical concepts, a common inverse model holds across eye movement types regardless of the dynamic characteristics of both the motor output and the underlying sensory drive. However, this occurs at the cost of additional neural processing for the TVOR. Importantly, the consistent internal estimate associated with this model is not of eye position, but instead of the motoneuronal command. This signal may provide the input to a forward model (postulated to at least partly exist in the cerebellum) (Fig. 9) (see also Glasauer, 2003) that is used to construct an internal prediction of the action to be performed [“reafference” principle (von Holst and Mittelstädt, 1950)]. The error between predicted and desired movement can subsequently be computed and used in a feedback loop to enhance (or adapt) the motor command. Although this organization merely presents a hypothesis at present, it provides a functional alternative to more traditional concepts of premotor oculomotor system organization. We suggest that classical interpretations of the premotor oculomotor circuitry should be updated to reflect a primary role for PH-BT neurons, not as the output of a neural integrator, but as the output of the inverse model that distributes an efference copy of the motoneuronal command.

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