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## **A genome-wide profiling of brain DNA hydroxymethylation in Alzheimer's disease**

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## **Abstract**

**INTRODUCTION:** DNA methylation is a key epigenetic mechanism in brain aging and Alzheimer's disease (AD). The newly discovered 5-hydroxymethylcytosine (5hmC) mediates DNA demethylation, is highly abundant in the brain, and is dynamically regulated by life experiences. However, little is known about its genome-wide patterns and potential role in AD.

**METHODS:** Using a genome-wide capture followed by high-throughput sequencing, we studied the genome-wide distribution of 5hmC at specific genomic loci in human AD brain and identified differentially hydroxymethylated regions (DhMRs) associated with AD pathology.

**RESULTS:** We identified 517 DhMRs significantly associated with neuritic plaques and 60 DhMRs associated with neurofibrillary tangles. DNA hydroxymethylation in gene bodies was predominantly positively correlated with cis-acting gene expression. Moreover, genes showing differential hydroxymethylation were significantly enriched in neurobiological processes and clustered in functional gene ontology categories.

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**DISCUSSION:** Our results reveal a critical role of DNA hydroxymethylation in AD pathology and provide mechanistic insight into the molecular mechanisms underlying AD.

#### **Keywords**

Alzheimer's disease; postmortem brain; DNA hydroxymethylation; genome-wide association

## **1. Background**

Alzheimer's disease (AD) is characterized clinically by progressive cognitive decline, and histologically by neuritic plaques, neurofibrillary tangles, and loss of neurons in the brain. Epigenetic factors mediate the effects of age and environmental factors independently of DNA sequence, and epigenetic dysregulation has been implicated in brain aging and neurodegenerative disorders including AD [1]. Elucidating epigenetic pathways is likely to provide mechanistic insight into disease etiology and holds promise for discovering novel strategies for early detection and therapeutic intervention at preclinical stages of the disease.

DNA methylation on the fifth carbon of the cytosine base (5-methylcytosine, 5mC) is the most extensively studied epigenetic modification that is essential for neurogenesis [2], learning and memory [3], and synaptic plasticity [4]. Altered levels of 5-methylcytosine (5mC) have been associated with AD diagnosis and numerous neuropathological phenotypes, [5–8] though results are somewhat conflicting.[5, 9–11] Notably, in a large collection of postmortem human brains, our group has recently identified an association between altered levels of 5mC with the burden of AD pathology [12–15].

The newly discovered 5-hydroxymethylcytosine (5hmC) is an oxidative product of 5mC catalyzed by the ten-eleven translocation (TET) family of proteins. This conversion is an important mechanism underlying the active demethylation of DNA [16]. 5hmC acts as an intermediate in DNA demethylation and also serves as a stable epigenetic mark during development of disease [17]. Studies of mouse brain indicated that 5hmC is particularly enriched in the brain [18, 19] [9, 20], dynamically regulated during neurodevelopment [21– 23], and accumulates with age across the lifespan [21, 23–26]. Unlike 5mC which is often located in CpG-rich regions (e.g., promoters), 5hmC occurs primarily in gene bodies and exon-intron boundaries. As with 5mC, 5hmC levels vary substantially between different cell types and tissues, and its enrichment in gene bodies positively influences gene expression in the human brain [27]. These findings suggest that 5hmC represents a new dimension of epigenetic regulation that may play an important role in brain aging and neurodegenerative disorders. However, there is little research examining the genome-wide patterns of 5hmC in human AD brain and its association with AD pathology in human populations. Here we report findings from a genome-wide profiling of 5hmC in human postmortem brain tissue from a community-based cohort of aging and dementia with brain donation at the time of death with the goal of identifying differentially hydroxymethylated regions (DhMRs) associated with quantitative measures of neuropathological burden on AD.

## **2. Methods**

#### **2.1. Subject population and postmortem brain sample**

Postmortem human dorsolateral prefrontal cortex (DLPFC) tissue (N=30) were obtained from deceased participants in two community-based, ongoing prospective cohort studies of older individuals: the Religious Orders Study (ROS) and the Rush Memory and Aging Project (MAP). Detailed study design and assessment procedures have been described previously [28, 29]. In brief, ROS recruited more than 1,300 older Catholic priests, nuns and brothers from across the USA. MAP recruited more than 1,800 older men and women from across the Chicagoland area. All participants are free of dementia at enrollment, and agree to annual clinical evaluations and brain donation upon death. The clinical evaluation includes detailed neurologic examination and clinical classification of dementia and Alzheimer's disease (AD). At the time of death, a summary diagnosis of AD is made based on review of all clinical data without access to the post-mortem data [30]. Both studies were approved by the Institutional Review Board of Rush University Medical Center. Written informed consent was obtained from all subjects, followed by an Anatomic Gift Act for organ donation.

#### **2.2. Assessment of neuropathological phenotypes**

Detailed procedures for postmortem brain examination and neuropathological phenotyping have been described previously [31, 32]. Briefly, Bielschowsky silver stain was used to visualize neuritic plaques (NP) and neurofibrillary tangles (NFTs) in the frontal, temporal, parietal, and entorhinal cortices, and the hippocampus. The quantitative NP and NFT burden was based on counts of the lesions in the maximum density in each region, as described [33, 34].

#### **2.3. Genome-wide profiling of brain DNA hydroxymethylome**

**5hmC-capture sequencing.—**Genomic DNA was isolated from 10 mg frozen dorsolateral prefrontal cortex with proteinase K digestion. 5hmC enrichment was performed using previously described selective chemical labeling technique [25]. This method used the T4 bacteriophage β-glucosyltransferase (T4-BGT) to specifically modify 5hmC residues by adding glucose moiety to 5hmC. 5hmC-captured libraries were generated following the Illumina protocol for "Preparing Samples for ChIP Sequencing of DNA". We used 25 ng of input genomic DNA or 5hmC-captured DNA to initiate the protocol. DNA fragments were gel-purified after adapter ligation. PCR-amplified DNA libraries were quantified on Agilent 2100 Bioanalyzer and diluted to 6-8 pM for cluster generation and sequencing. We performed 38-cycle single-end sequencing generation on Illumina HiSeq 2000 to obtain 5hmC-enriched DNA fragment sequence.

**Sequence alignment, 5hmC quantification and distribution.—**FASTQ files were aligned to the human genome (hg19) with Bowtie, retaining only non-duplicate genomic matches with no more than 2 mismatches in the first 25 bp [35]. Model-based Analysis of ChIP-Seq (MACS) software was used to estimate the level of 5hmC enrichment in each sample by directly comparing to the input DNA (effective genome size =  $1.87 \times 10^9$ , tag size  $= 38$ , bandwidth  $= 200$ ). Unique, non-duplicate reads were counted in 10kb bins and

normalized to the total number of non-duplicate reads. Genome-wide patterns of 5hmC were evaluated by counting mapped reads per 10kb bin, which were then normalized to sequencing coverage.

#### **2.4. Statistical and bioinformatics analysis**

**DhMRs analysis.—**To identify differentially hydroxymethylated regions (DhMRs) associated with AD pathology, we separately constructed zero-inflated negative binomial regression models for quantitative measures of NP or NFTs, adjusting for potential confounding factors including age at death, sex, education level, neuronal proportions, and the first 3 principal components (derived from GWAS [36]) that capture the effect of population substructure in European ancestry among our study participants. This analysis was performed using the R package pscl [\(http://CRAN.R-project.org/package=pscl](http://CRAN.R-project.org/package=pscl)) by scanning the genome in 10 kb sliding windows. The zero-inflated negative binomial model was used here to account for the overdispersed count outcome variables for the neuropathological phenotypes. To facilitate data interpretation, we further categorized each trait into tertiles and compared 5hmC patterns between subjects with high (top tertile) versus low (bottom tertile) burden of AD pathology. Brain neuronal proportions were inferred using the computer package CETS 3.03 in R [37]. Multiple testing was corrected for the total number of 10-kb bins by false-discovery rate (FDR) and a cutoff of FDR-adjusted P-value (i.e., q-value) <0.05 was used to determine statistical significance. Homer [38] was used to annotate DhMRs to genomic features in hg19.

**Relationship between 5hmC and gene expression.—**Using brain gene expression data quantified by RNA-Seq in the same brain neocortex, we assessed the functional importance of putative DhMRs by calculating partial correlations (corrected for age at death, sex, and education level) between levels of 5hmC enrichment and *cis* or *trans*-acting gene expression. Methods for RNA-seq data collection, data pre-processing, QC and normalization were described in online Supplementary material. Here cis was defined as correlation between 5hmC enrichment of a DhMR gene with its own expression and *trans* otherwise. Multiple testing was corrected by FDR and FDR<0.05 was used to determine statistical significant. To further examine the role of altered 5hmC in gene regulation, we also conducted gene overlapping analysis with the gene lists of differential hydroxymethylation with that of gene expression using GeneOverlap software in R.

**Co-hydroxymethylation network analysis.—**To identify co-regulated genes associated with AD, we conducted network analyses using the Weighted Gene Correlation Network Analysis (WGCNA) [39]. This method allows for identifying co-hydroxymethylation or coexpression modules associated with AD pathology and hub gene(s) that may play key roles in disease pathogenesis. For this analysis, we compiled lists of genes showing nominally significant association for DNA hydroxymethylation (P< 0.01, a total of 1,261 genes for NP, 702 genes for NFTs) or gene expression ( $P < 0.01$ , total genes = 1,074) obtained from the above described regression models. Co-hydroxymethylation or co-expression modules (subnetworks) were constructed separately among samples with high (upper tertile) or low (bottom tertile) burden of AD pathology. Differential networks were then identified by comparing the two groups. Network visualization was performed using CytoScape [40].

**Gene ontology (GO) enrichment analysis.—**To functionally annotate putative DhMR genes, we conducted functional enrichment analysis for the identified DhMR genes using the functional annotation tool DAVID.[41] Gene symbols corresponding to putative DhMRs (P<0.05) were used as input of the gene ontology (GO) enrichment analysis. Here we used this method to characterize genes corresponding to modules and the list of genes that are hyper-hydroxymethylated (or hypo-hydroxymethylated) in relation to AD pathologic burden.

## **3. Results**

Our 5hmC-enriched sequencing in 30 brain samples resulted in a total of 349 million nonduplicated reads, covering 5,792 unique genes. The mean age of brain donors was 91.0 (SD 4.6), and about 70% of the donors were females. The mean post-mortem interval (PMI) was 6.5 hours. Table 1 shows the clinical characteristics of brain donors at the age of death.

#### **3.1. DhMRs associated with AD neuropathology**

After adjusting for covariates (age at death, sex, education, brain cellular heterogeneity and the first 3 PCs derived from GWAS) and multiple testing (q-value  $< 0.05$ ), we identified 517 DhMRs (311 hyper-hydroxymethylated, 206 hypo-hydroxymethylated) that were significantly associated with NP. Homer annotated these DhMRs to 321 distinct genes (203 hyper-hydroxymethylated, 118 hypo-hydroxymethylated). At q-value < 0.05, 60 DhMRs (35 hyper-hydroxymethylated, 25 hypo-hydroxymethylated) were significantly associated with NFTs. These NFTs-related DhMRs were annotated to 49 distinct genes. Tables 2 and 3 list the top 50 DhMRs regions associated with NP and NFTs, respectively. A full lists of the identified DhMRs along with the nearest annotated genes associated with NP and NFTs are shown in Tables S1 and S2, respectively. Figure S1 displays DhMRs that are positively or negatively associated with NP (Supplementary Fig 1A) and NFTs (Supplementary Fig 1B), respectively.

Of the 321 annotated DhMR genes associated with neuritic plaques, 47 genes also showed differential expression at the cutoff of q-value  $0.05$  (Table 4). Of these, many genes such as ATXN1, RAF-1, DGKB, TP73, TNFRSF1A, MME, DUSP22, CSNK2A1, SYN2, APC2, CSMD1, etc. are known to be associated with AD-related pathological processes including Aβ degradation, tau phosphorylation, synaptic plasticity, cognition, glucose transporter, and oxidative stress, etc. Four of these 47 genes (*ABAT, CAMK1D, HTRA3* and *LRRN1*) were also significantly associated with NFTs. Moreover, these genes are enriched for GO biological processes such as learning (long term memory) and neurotrophin signaling pathway, indicating functional relevance of these genes to AD pathology. Further, gene overlapping analysis revealed that these DhMR genes are 2.2 times more likely to also show changes in expression (P<1.6×10−9). Together, these results suggest that altered DNA hydroxymethylation may contribute to AD neuropathology.

#### **3.2. Genomic distribution of 5hmC in human AD brain**

To examine the distribution of 5hmC enrichment in human brain, we annotated each putative DhMR to the human genome (hg19) using Homer,[38] which assigns each specified region

to genomic features. Consistent with previous studies in human brain [27], we found that AD-related DhMRs were largely enriched in intragenic regions (e.g., exons, introns, promoters, transcription termination sites) and depleted in intergenic regions (Figure 1). The genome-wide patterns of brain 5hmC enrichment in relation to AD pathology are shown in Figure 2.

## **3.3. Relationship between DNA hydroxymethylation and gene expression in human AD brain**

To determine whether altered DNA hydroxymethylation influences gene expression, we calculated partial correlation coefficients (corrected for age at death, sex and education level) between 5hmC enrichment and overall gene expression level quantified by RNA-Seq in the same brain cortex. Of the 1,261 genes nominally associated with NP (P<0.01), we identified 228 positive and 74 negative *cis* correlation pairs between DNA hydroxymethylation and gene expression after correction for multiple testing (q-value<0.05). These correlations involved 234 different hydroxymethylated loci (from 199 unique genes) and 228 corresponding expression probes (representing 199 unique genes). For trans regulation, we found 164 positive and 389 negative trans correlation pairs between DNA hydroxymethylation and gene expression, including 243 different hydroxymethylation probes (from 220 distinct genes) and 316 different expression probes (representing 298 unique genes) at the level of q-value  $< 0.05$ . Significant correction pairs for DNA hydroxymethylation with *cis-* and *trans-acting* gene expression are given in Tables S3 and S4, respectively. There appeared to be a trend that *cis* correlations between DNA hydroxymethylation and gene expression are largely positive (76% overall) while negative correlations are more frequently observed with *trans* effects (70% overall). The genomewide correlations between 5hmC enrichment and gene expression for the identified DhMR genes are shown in Figure S2.

Of the 321 DhMR genes showing significant associations with neuritic plaques (qvalue<0.05), 5hmC enrichment in majority of the genes (>75%) was positively correlated with *cis*-acting gene expression. These results are consistent with previous studies showing that enrichment of DNA hydroxymethylation in gene bodies was correlated with higher gene expression in mouse [25] and human brains [27], and indicated that the role of DNA hydroxymethylation in gene regulation depends on genomic locations.

#### **3.4. Co-hydroxymethylation modules in human AD brain**

Identifying co-regulated gene modules is crucial for understanding the complex gene regulatory pathways involved in disease etiology. As such, we constructed cohydroxymethylation networks for NP and NFTs, respectively. We identified 10 differential co-hydroxymethylation modules (labeled 1 to 10) of sizes ranging from 7 to 144 genes for NP, and 4 co-hydroxymethylation modules (labeled 1 to 5) of sizes ranging from 81 to 196 genes for NFTs. For NP, a total of 832 (66% of total) genes were assigned to a module, while 437 were not assigned. In contrast, a total of 590 (84% of total) genes were assigned to a module, while 112 were not assigned for NFTs. Tables 5 provide the top 5 cohydroxymethylation modules along with hub genes (genes with highest module membership) in each module for AD pathology. Table S5 and S6 list the module

Using RNA-Seq data from the same brain cortex, we also constructed co-expression networks in subjects with high or low burden of neuritic plaques, separately. We identified 9 co-expression modules with sizes ranging from 18 to 192 genes. Table S7 provides the top ranked differential co-expression modules in relation to AD pathology along with hub genes (genes with highest module membership) and corresponding GO terms in each module for NP and NFTs. A total of 612 (57% of total) genes were assigned to a module while 461 background genes were not assigned to a module. Of the 832 genes in the 10 identified cohydroxymethylation modules in relation to neuritic plaques, 158 genes overlapped with the co-expression modules. However, only 5 co-hydroxymethylation modules and 2 coexpression modules are shared by the two groups.

## **3.5. Enrichment of sets of genes involved in neurological functions by gene ontology (GO) analysis**

To determine whether candidate genes showing differential hydroxymethylation in relation to AD pathology are involved in neurological pathways, we performed GO analysis using DAVID [41]. As expected, the most significantly enriched GO terms for the identified DhMR genes include biological processes related to neurogenesis, neuron differentiation, neuron projection development, neurotransmitter transport, etc. Other enriched groups include neurotransmitter secretion, dopamine receptor signaling, phospholipases, phosphatidylserine acyl-chain remodeling, etc. GO analysis of genes showing cohydroxymethylation revealed multiple significantly enriched categories, indicating that these modules are biologically relevance to brain functions or AD. These results provide further support for a potential functional role of altered 5hmC in brain functions and AD pathology. Significant GO categories (FDR<0.05) for NP and NFTs can be seen in Figures 5 and 6, respectively.

## **4. Discussion**

Using a genome-wide 5hmC profiling, we found that alterations in brain DNA hydroxymethylation (both hyper- and hypo-hydroxymethylation, predominantly hyperhydroxymethylation) is associated with AD pathology. Specifically, after correction for potential confounders and multiple testing, we identified 517 DhMRs (annotated to 321 distinct genes) and 60 DhMRs (annotated to 49 distinct genes) significantly associated with NP and NFTs, respectively. Four genes (ABAT, CAMK1D, HTRA3 and LRRN1) were associated with both traits. These DhMRs are enriched in intragenic regions and depleted in intergenic regions. Functional validation using brain RNA-Seq data from the same individuals demonstrated that DNA hydroxymethylation in gene bodies is predominantly positively correlated with cis-acting gene expression. However, 5hmC enrichment in some cis-regions and majority of the trans-regions can also negatively affect gene expression. Moreover, genes showing differential hydroxymethylation are more likely to be differentially expressed and are enriched in neurobiological processes, indicating functional

relevance to AD pathogenesis. We also identified co-hydroxymethylation modules that are enriched in functional GO terms, revealing that the identified DhMR genes are co-regulated and clustered in neurobiological pathways. Together, in terms of proof of concept, our results identified AD-related DhMRs containing both known and novel candidate genes, highlight the critical role of dysregulated DNA hydroxymethylation in AD pathology, and provide mechanistic insights into the molecular mechanisms underlying AD pathogenesis.

#### **4.1. Associations between DNA hydroxymethylation and AD neuropathology**

Several studies using immunohistochemistry have reported altered level of global DNA hydroxymethylation in postmortem AD brain, but results were mixed with some studies reporting decreased [9, 20] whereas others showing increased [42, 43] or no change [44] in global 5hmC level in AD patients compared to controls, probably due to the use of samples from different brain regions and/or different stages of AD. Moreover, the use of immunohistochemical staining did not provide locus-specific information for 5hmC distribution, and thus was unable to identify genomic regions and candidate genes associated with AD pathogenesis. In this study, we employed a chemical labeling technique followed by high-throughput sequencing to determine the genome-wide patterns of brain 5hmC at specific locus and identified a list of DhMRs associated with AD pathologic burden. These DhMRs are enriched in genes involved in neurogenesis, synaptic plasticity, neuronal signal transduction and other neurobiological processes essential for brain functions. Of particular interest was the evidence for altered 5hmC in several genes related to ion channel activities (e.g., CACNA1H, CAMK1D, KCNA6, KCNA5, DGKB, BASP1), a mechanism known to be involved in cognition, memory and learning as well as AD pathology [45]. Among these, the CACNA1H gene showed the most significant differential hydroxymethylation relative to burden of neuritic plaque (q-value =1.01×10<sup>-15</sup>). The 5hmC enrichment level in this gene was two times higher among samples in the top tertile of neuritic plaque distribution compared to those in the bottom tertile (Figure S1). This gene regulates the T-type calcium channels that are essential for the regulation of brain functions [46]. It is likely that altered 5hmC in calcium channel genes influences neuronal excitability, resulting in changes in neurotransmission and/or neurotransmitter secretion via mediating intracellular calcium influx in response to depolarization. Mutations in CACNA1H have been associated with idiopathic epilepsy [47]. Another two potassium channel genes ( $KCNA6$ ,  $KCNA5$ ) may influence AD susceptibility via regulating neurotransmitter release, neuronal excitability, or brain insulin secretion [45]. The  $KCNA6$  gene was also found to be the hub gene of a cohydroxymethylation network associated with neuritic plaque. Another two ion channel related genes, *DGKB* and *BASP1*, are overexpressed in the brain. Together, these findings provide further support for an important role of ion channels in AD pathology, and reveal novel molecular mechanisms through which altered ion channel activities contribute to AD.

Of the 321 DhMR genes associated with neuritic plaque, 47 genes also showed significant differential expression. Of these, many genes are known to be involved in Aβ degradation or clearance (e.g., *MMEL1, COLEC12, ATXN1*), tau phosphorylation (e.g., *DUSP22,*  $CSNK2A1$ , synaptic plasticity (e.g.,  $SYN2$ , VAMP2), cognition (e.g.,  $APC2$ , CSMD1), glucose transporter (e.g., *ENO1, SLC2A14*), and oxidative stress (e.g., *MSRA, RAF1*). Several genes, such as EPHB2, ENO1, and ATXN1, were also associated with AD or

neuropsychiatric disorders in previous GWAS. Interestingly, we identified altered 5hmC enrichment in two genes (*ENO1*, *SLC2A14*) involved in glucose metabolism, a mechanism previously implicated in tau phosphorylation and neurofibrillary tangle pathogenesis [48]. The *ENO1* gene was reported to be upregulated in AD brain [49], and *SLC2A14* was found to be causative in a fly model of AD.[50] Moreover, four differentially hydroxymethylated and expressed genes ( $ABAT$ , CAMK1D, HTRA3, LRRN1) relative to A $\beta$  plaque were also significantly associated with NFTs after adjusting for covariates and multiple comparisons (q-value <0.05). Of these,  $ABAT$  catalyzes the neurotransmitter gamma-aminobutyric acid (GABA), dysfunction of which has been associated with several neurological disorders [51] including early onset AD [52]. The CAMK1D gene encodes the calcium/calmodulindependent protein kinase, which is essential for the calcium-triggered CaMKK-CaMK1 signaling pathway that is known to be involved in memory and AD pathogenesis [45]. The HTRA3 gene belongs to heat shock-induced serine proteases involved in protein quality control and cell fate. Although its role in AD pathogenesis has not been reported previously, two of its homologs,  $HTRA1$  and  $HTRA2$ , were previously associated with A $\beta$  plaque formation [41] and Parkinson's disease [39]. The LRRN1 gene belongs to the leucine rich repeat (LRR) neuronal family, which is involved in multiple biological functions including neural development and regeneration. Mutations in the LRR family genes have been associated with several neurological disorders such as epilepsy [53], Parkinson disease [54], and autism.[55] Together, we confirmed the role of many previously reported genes and also identified novel genomic regions/genes in AD pathology. These findings reveal a critical role of DNA hydroxymethylation in AD and provide novel mechanistic insights into the understanding of the molecular mechanism underlying AD pathogenesis. Those genes showing both differential hydroxymethylation and expression represent key regulators that may play causal roles in AD pathology.

#### **4.2. Relationship between 5hmC and gene expression in human AD brain**

Although it is generally believed that 5mC causes gene silencing whereas 5hmC activates gene transcription, the relationship between DNA (hydroxy)methylation and gene expression may be more complicated than appreciated. To date, a global analysis of the extent and pattern of DNA hydroxymethylation with gene expression in human AD brain is lacking. Using brain RNA-Seq data from the same individuals, we found that brain DNA hydroxymethylation can be both positively and negatively correlated with gene expression, although there appears to be a trend that *cis* correlations are predominantly positive whereas trans effects are largely negative. These results are in agreement with previous studies reporting both positive and negative correlations between DNA methylation and gene expression in blood and brain [56-58]. Moreover, our finding that 5hmC enrichment was largely correlated with higher level of *cis*-acting gene expression is consistent with previous research demonstrating that 5hmC enrichment in gene bodies was correlated with higher gene expression in mouse [25] and human brains [27]. Possible mechanisms for the negative correlations between DNA (hydroxy)methylation and gene expression may include interference with the binding of transcription factors or through the recruitment of repressors such as histone deacetylases [56]. Together, our integrative analysis of DNA hydroxymethylation and gene expression confirms the importance of DNA

hydroxymethylation in gene regulation and allows for identifying key candidate genes whose role in AD are modulated by epigenetic changes.

#### **4.3. Co-hydroxymethylation modules in human AD brain**

AD is a complex disorder involving the functions of many genes that jointly or interactively contribute to disease etiology. Traditional methods that model the effect of a single gene cannot capture the complicated biological pathways implicated in AD. We hypothesize that alterations in DNA hydroxymethylation across different genomic regions are interdependent and that the brain hydroxymethylome comprises a complex network of interacting processes. Using a network-based approach, we detected co-hydroxymethylated modules containing coordinated genes across different genomic loci, and identified distinct modularities in ion channel activity, neuron differentiation and development, neurotransmitter transporter, glutamatergic synapse, calcium ion-dependent exocytosis of neurotransmitter, etc. Specifically, we identified several co-hydroxymethylated modules, each of which represents a group of co-regulated genes involved in a biological process perturbed in AD. AD-related genes, such as CSMD1, KCNA6, BASP1, MAP2K2 and PTPRD, are congregated in a single module. Some of the AD-related genes were hub genes. Of the identified cohydroxymethylated subnetworks for NP, hub genes in two largest modules are of particular interest. KCNA6, a potassium channel gene associated with neuronal excitability and neurotransmitter secretion, was identified as a central regulatory hub gene up-regulated in brain of subjects with higher NP burden. Another gene TP73, a paralogue of the p53 tumor suppressor gene that regulates neurodegeneration and phosphor-tau accumulation in AD [59], was also up-regulated in samples with higher than those with lower burden of NP. ABAT is a hub gene for one of the identified co-hydroxymethylation modules for NFTs. This gene encodes the key enzyme responsible for catabolism of a major inhibitory neurotransmitter γ-aminobutyric acid (GABA), altered function of which has been reported in AD patients as well as transgenic AD mouse models [60]. We also conducted coexpression analysis using brain RNA-Seq data of same individuals. Consistent with a previous study on DNA methylation and gene expression in whole blood of healthy human subjects [58], only a few co-expressed modules correspond to modules of cohydroxymethylation, suggesting that genes could be co-regulated at different levels or through different molecular mechanisms.

#### **4.4. Functional relevance of the identified DhMR genes by GO analysis**

Results of GO analysis highlight several functionally related biological pathways, including those involved in neurogenesis, neuron projection development or morphogenesis, neurotransmitter regulation, neuron differentiation, dopamine receptor signaling, phospholipases, etc. In addition, given the postulated link between perturbed lipids metabolism, oxidative stress, and neurodegeneration [61], it is plausible that a number of lipids related GO terms (e.g., phosphatidylserine acyl-chain remodeling, phospholipases) were identified as functional categories related to AD pathology.

To our knowledge, this is the first genome-wide profiling of 5hmC at specific locus in human postmortem brain from a community-based cohort of aging and dementia. Moreover, our analyses corrected for potential confounders and stringently controlled for multiple

testing. However, several limitations of the study are worth mentioning. First, as with all postmortem human brain studies, our sample size is small, and thus our results should be considered as proof of concept rather than conclusive. In addition, we cannot exclude the possibilities for false positives and/or false negatives due to the small sample size. Second, the human prefrontal cortex includes many different types of cells such as neurons, astrocytes and other glia cells, each may have different epigenetic profiles. Thus, our results could be confounded by cellular heterogeneity inherent in the brain tissue. However, we corrected for neural compositions in the statistical analyses. Moreover, our previous epigenome-wide analysis showed that the proportion of neurons in each brain sample was not related to AD [12], indicating that cellular heterogeneity is unlikely to confound the association between epigenetic changes and AD pathology. Third, the current study only investigated genome-wide patterns of DNA hydroxymethylome in the prefrontal cortex, but brain hydroxymethylation is likely to be region-specific [62]. Thus, our results derived from one region may not reflect the 5hmC profiles in other regions. Brain region-specific DNA hydroxymethylome should be investigated in future research. Fourth, our study population comprises highly educated older individuals of European ancestry, so we should be cautious in generalizing our findings to other settings or populations. Fifth, our analysis cannot differentiate 5hmC and 5mC due to the low resolution of our sequencing analysis. Single base resolution technique, such as Tet-assisted bisulfite sequencing (TAB-Seq), is required to elucidate the relationship between 5hmC and 5mC. Finally, it remains unclear whether the differences in DNA hydroxymethylation seen in AD brain are the cause or an early consequence of neuropathologic changes. It is possible that the observed alteration in 5hmC enrichment actually results from  $\mathbf{A}\beta$  plaque or NFTs induced changes in the brain. The correlation between 5hmC enrichment and gene expression observed in our study does not establish causality either. Longitudinal studies are required to establish the causality between epigenetic changes and AD pathology.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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- **1.** Systematic review: We used PubMed and Google Scholar to search for articles related to DNA hydroxymethylation for Alzheimer's disease (AD). In addition, we searched for literature relating our identified differentially hydroxymethylated regions (DhMRs) to AD pathology and other neurological disorders through searching for genes showing differential hydroxymethylation using the terms "DNA methylation", "DNA hydroxymethylation", "Alzheimer's disease", and "neurodegeneration". Relevant work relating our significant genomic regions/genes to AD and neurodegeneration was cited. **2.** Interpretation: Using a genome-wide capture followed by high-throughput sequencing, we studied the genome-wide patterns of brain DNA
	- hydroxymethylome at specific genomic loci in human AD brain and identified significant genomic regions harboring putative genes that are differentially hydroxymethylated in relation to AD pathology.
- **3.** Future directions: Identification of AD-related DhMRs provides mechanistic insight into AD pathology and holds promise for discovering novel strategies for early detection and therapeutic intervention at preclinical stages of this debilitating disorder.



## **Figure 1.**

Log2 enrichment of normalized 5hmC densities overlapping with various genomic features in hg19



#### **Figure 2.**

Genome-wide distribution of brain 5hmC enrichment in relation to burden of AD pathology. The outermost circle displays the human chromosomes. The middle cycle represents 5hmC enrichment in subjects with high (red) and low (green) burden of NP. The inner most cycle represents 5hmC enrichment in subjects with high (orange) and low (blue) burden of NFTs. The height of the histogram bins indicates the level of 5hmC enrichment.



## **Figure 3.**

An example of the identified differential co-hydroxymethylation network for NP. Genes in red indicate hyper-hydroxymethylation and those in green represent hypohydroxymethylation relative to burden of neuritic plaques.

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#### **Figure 4.**

An example of the identified differential co-hydroxymethylation network for NFTs. Genes in red indicate hyper-hydroxymethylation and those in green represent hypohydroxymethylation relative to burden of NFTs.



## **Figure 5.**

Significant GO categories identified by the functional annotation tool in DAVID for NP. Only GO terms with a gene count >10 and q-value <0.05 are shown. Blue bar represents the percent of each gene set occurred in the identified DhMR genes submitted to DAVID. qvalue of the corresponding term are shown at the right end.



## **Figure 6.**

Significant GO categories identified by the functional annotation tool in DAVID for NFTs. Only GO terms with a gene count >10 and q-value <0.05 are shown. Blue bar represents the percent of each gene set occurred in the identified DhMR genes submitted to DAVID. The qvalue of the corresponding term are shown at the right end.

#### **Table 1.**

Characteristics of study participants at the time of death (N=30)



NCI, no cognitive impairment; MCI, mild cognitive impairment; MMSE, mini-mental status examination; PMI, post-mortem interval (in hours) from time of death to autopsy.

**Table 2.**

Top 50 DhMRs associated with quantitative neuritic plaque in human postmortem brain (N=30) Top 50 DhMRs associated with quantitative neuritic plaque in human postmortem brain (N=30)





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 $\hbar$  Adjusting for a total number of 18,767 10-kb bins. Adjusting for a total number of 18,767 10-kb bins.

## **Table 3.**

Top 50 DhMRs associated with quantitative NFTs in human postmortem brain (N=30)





\* Obtained from zero-inflated negative binomial regression, adjusting for age at death, sex, education, neuronal proportions and the first 3 PCs derived from GWAS;

 $\phi^{\dagger}$ Adjusting for a total number of 18,767 10-kb bins.

#### **Table 4.**

Genes showing both differential hydroxymethylation and expression in relation to burden of neuritic plaque





\* Also associated with NFTs

#### **Table 5.**

Differential co-hydroxymethylation modules and top enriched GO terms in each module for AD pathology.



\* q-value for the association between AD pathology and the first three eigenvalues of the module (adjusting for a total number of modules).