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## No Association Between Vitamin D Status and Risk of Barrett's Esophagus or Esophageal Adenocarcinoma—a Mendelian Randomization Study

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## Abstract

**Background & Aims:** Epidemiology studies of circulating concentrations of 25 hydroxy vitamin D (25(OH)D) and risk of esophageal adenocarcinoma (EAC) have produced conflicting results. We conducted a Mendelian randomization study to determine the associations between circulating concentrations of 25(OH)D and risks of EAC and its precursor, Barrett's esophagus (BE).

**Methods:** We conducted a Mendelian randomization study using a 2-sample (summary data) approach. Six single-nucleotide polymorphisms (SNPs; rs3755967, rs10741657, rs12785878, rs10745742, rs8018720, and rs17216707) associated with circulating concentrations of 25(OH)D were used as instrumental variables. We collected data from 6167 patients with BE, 4112 patients with EAC, and 17,159 individuals without BE or EAC (controls) participating in the Barrett's and Esophageal Adenocarcinoma Consortium, as well as studies from Bonn, Germany and Cambridge and Oxford, United Kingdom. Analyses were performed separately for BE and EAC.

**Results:** Overall, we found no evidence for an association between genetically estimated 25(OH)D concentration and risk of BE or EAC. The odds ratio (OR) per 20 nmol/L increase in genetically estimated 25(OH)D concentration for BE risk estimated by combining the individual SNP association using inverse variance weighting was 1.21 (95% CI, 0.77–1.92; P=.41). The OR for EAC risk, estimated by combining the individual SNP association using inverse variance weighting the individual SNP association using inverse variance weighting, was 0.68 (95% CI, 0.39–1.19; P=.18).

**Conclusions.**—In a Mendelian randomization study, we found that low genetically estimated 25(OH)D concentrations were not associated with risk of BE or EAC.

Conflicts of Interest: The authors disclose no potential conflicts of interest.

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risk factors; BEACON; esophageal cancer; etiology; chemoprevention

## INTRODUCTION

The incidence of esophageal adenocarcinoma (EAC) has increased rapidly during the last four decades (1), and despite advances in early detection and treatment, the 5-year survival in EAC remains less than 20% (2). Barrett's esophagus (BE), metaplastic columnar epithelial overgrowth of the distal esophagus, is the precursor for EAC (3). Established risk factors for EAC and BE include gastro-esophageal reflux disease (4, 5), obesity (6), and tobacco smoking (7, 8). Epidemiological studies have examined the association between vitamin D and risk of EAC, with conflicting results (9–11). However, these studies have been limited by relatively small sample sizes (< 500 EAC patients) and by potential biases, including reverse causation that may arise if serum vitamin D levels are measured at or close to the date of cancer diagnosis.

In the absence of convincing evidence from epidemiologic studies, Mendelian randomization is an alternative approach for exploring possible associations between 25(OH)D concentration and risks of BE and EAC (12). This approach uses instrumental variables (e.g., genetic variants as proxy markers of directly measured risk factors) to make inferences about the relation between an exposure (e.g., low 25(OH)D concentration) and outcome (e.g., BE and EAC) (12-14). If 25(OH)D is related to risk of BE or EAC, then the variability in 25(OH)D that is due to genotype should confer differences in BE or EAC risk directly attributable to 25(OH)D. Since alleles are randomly allocated from parents to offspring at conception, the association between genotype and outcome is not confounded by other factors. Furthermore, genetic variants are measured reliably and are not affected by disease status, thus avoiding recall bias. However, a strong instrumental variable and large sample size are required for an unbiased Mendelian randomization study. The availability of large collections of genome-wide data on phenotypes, such as 25(OH)D concentration, and disease traits within international consortia represents an opportunity to use this approach. Here, we report the findings of a Mendelian randomization study examining associations between genetically estimated circulating 25(OH)D concentration and risks of BE and EAC. The selected six 25(OH)D-associated SNPs explained ~3% of the variance of 25(OH)D concentration.

#### METHODS

In the absence of a dataset with complete individual-level genetic data, 25(OH)D concentration levels, and BE/EAC status we applied a standard two-sample approach that uses GWAS summary-level data to assess indirect associations (15). That is, we first estimated the single nucleotide polymorphism (SNP)-disease associations from one study sample and the SNP-exposure associations in another study sample. Second, we used the Wald-type ratio estimator to calculate the Mendelian randomization estimate for the association between genetically estimated 25(OH)D concentration and risks of BE and EAC.

## SNP-25(OH)D associations

A study involving 79,366 participants of European descent from 31 epidemiological studies conducted in Europe and North America, identified six SNPs associated with 25(OH)D levels at genome-wide significance (i.e.,  $P < 5 \times 10^{-8}$ ) (16). These six SNPs are uncorrelated and are located in or close to the following genes: GC (rs3755967), CYP2R1 (rs10741657), DHCR7 (rs12785878), AMDHD1 (rs10745742), SEC23A (rs8018720) and CYP24A1 (rs17216707). These genes have been shown to encode enzymes and carrier proteins involved in vitamin D synthesis or metabolism (16). As serum 25(OH)D concentration levels were not measured in cases and controls included in our BE/EAC studies, we instead retrieved summary-level data for the associations between these six SNPs and 25(OH)D directly from the published paper (16). In brief, that study estimated the associations between each individual SNP and natural log-transformed 25(OH)D concentration using linear regression models adjusted for month of sample collection, age, sex, body mass index, principal components of ancestry, and laboratory batch, where relevant. The analyses were conducted separately for each cohort, and the results were summarized using fixed-effects inverse variance weighted meta-analysis (16). The estimated magnitudes of association (i.e.,  $\beta$  coefficients) for the six SNP-25(OH)D associations and their standard errors are shown in Table 1.

#### SNP-BE and SNP-EAC associations

We obtained individual-level epidemiologic and genetic data from 6,167 BE patients, 4,112 EAC patients, and 17,159 control participants from 15 epidemiologic studies from North America, Europe, and Australia included in the Barrett's and Esophageal Adenocarcinoma Consortium (BEACON; http://beacon.tlvnet.net/), as well as from studies from Bonn, Germany; Cambridge, United Kingdom; and Oxford, United Kingdom (17–20). The numbers of participants in each study are shown in Table 2. We have reported detailed information on study participants, genotyping, and imputation previously (17). Briefly, all participants were of European ancestry, and DNA samples extracted from blood or saliva were genotyped on high-density SNP arrays (Illumina, San Diego, CA, USA). BE patients were identified by histopathological diagnosis of intestinal metaplasia, and patients with EAC had a histopathologically confirmed diagnosis of adenocarcinoma. Each contributing study was performed under institutional review board approval and all participants gave informed consent. Standard quality control and imputation were performed for each study.

We used a two-stage analytic approach to estimate SNP-BE and SNP-EAC associations. Analyses were performed separately for each of the six SNPs, as well as separately for BE and EAC. First, study-specific (i.e., for BEACON, Bonn, Cambridge, and Oxford) odds ratios (OR) and corresponding 95% confidence intervals (95% CI) were estimated fitting unconditional logistic regression models which adjusted for age, sex and study-specific top principal components of ancestry, under an additive genetic model using allelic dosage. Second, for each SNP, the study-specific adjusted ORs were pooled to create a summary OR, using a fixed-effects inverse variance-weighting approach.

#### Mendelian randomization estimate for the association with genetically estimated 25(OH)D

We used a two-stage analytic approach to estimate the instrumental variable association between genetically estimated 25(OH)D concentration and risks of BE and EAC. In the first stage, for each instrumental variable (i.e., separately for the six SNPs), we used the Waldtype ratio estimator to compute the Mendelian randomization weighted estimates for any influence of genetically estimated 25(OH)D on risks of BE and EAC (21), and the Delta method to estimate the standard errors (22). In the second stage, the six Mendelian randomization estimates were pooled to create a summary instrumental variable OR, using an inverse-variance weighted meta-analysis approach (23). We scaled estimates to a 20nmol/L change in 25(OH)D concentration, which is approximately the inter-tertile range (66<sup>th</sup> percentile to 33rd percentile) observed in a large European study.(24) We evaluated the presence and magnitude of heterogeneity across the six Mendelian randomization estimates with the Cochran Q and  $l^2$  statistics (25). All statistics were two sided and all analyses were conducted using Stata 14.1 (StataCorp, College Station, TX).

#### Assessment of pleiotropy

In order to have a valid interpretation for the Mendelian randomization analysis, our instrumental variable (the SNPs) must be independently associated with circulating 25(OH)D concentration and associated with BE or EAC only through its association with circulating 25(OH)D concentration (26, 27). To assess and account for pleiotropy (where a SNP may affect more than one phenotypic characteristic), we employed the MR-Egger regression method (an adaptation of the Egger regression in a meta-analysis) (28). The intercept statistically significantly away from zero is a valid test of directional horizontal pleiotropy, whereas the slope of the MR-Egger regression is the horizontal pleiotropy-adjusted estimated magnitude of association. We used a weighted median method to diagnose and protect against invalid genetic instruments (29), and searched the literature and publicly available GWAS databases for associations between the SNPs and other phenotypes (30).

#### Power

Assuming the 6 SNPs above explain 3% of the variance in 25(OH)D and with a=0.05, our study had 80% power to detect an OR of 1.25 or higher.

## RESULTS

#### **SNP-BE and SNP-EAC associations**

The associations between each of the six 25(OH)D-associated SNPs and the risks of BE and EAC are shown in Table 3. Only the SNP rs12785878 was nominally associated with the risk of BE (per copy of the T allele, summary OR, 1.07; 95% CI, 1.02–1.13; P = 0.008) and none were associated with the risk of EAC (all P values > 0.1). The magnitude and direction of the individual SNP-BE or SNP-EAC associations were consistent across the 4 datasets, with no evidence of between-study heterogeneity (all I<sup>2</sup> < 42%).

#### Mendelian randomization estimate for the association with genetically estimated 25(OH)D

The results of the instrumental variables analyses are shown in Figure 1. They show no associations between genetically estimated 25(OH)D concentration and the risk of BE (per 20 nmol/L increase in 25(OH)D, summary instrumental variable OR, 1.21; 95% CI, 0.77– 1.92). We found little heterogeneity in the estimated magnitudes of association between the six individual SNP variables ( $I^2 = 46.8\%$ ,  $P_{heterogeneity} = 0.09$ ). Likewise, in the instrumental variables analysis of EAC, there were no associations with genetically estimated 25(OH)D concentration (per 20 nmol/L increase in 25(OH)D, summary instrumental variable OR, 0.68; 95% CI, 0.39–1.19;  $I^2 = 0\%$ ,  $P_{heterogeneity} = 0.99$ ).

#### Assumptions of Mendelian randomization

To satisfy the first assumption that the instrumental variable is associated with the exposure of interest, we selected only SNPs with genome-wide statistically significant association with 25(OH)D concentration. We found no evidence for pleiotropy, with an MR-Egger intercept of -0.005 (P = 0.79) for BE and 0.007 (P = 0.75) for EAC. The weighted median method also yielded no statistically significant estimates (Supplementary Table 1). Finally, we evaluated whether the SNPs that we used as instrumental variables for 25(OH)D were associated with various other phenotypes. As shown in Supplementary Table 2, we did not find any associations at genome-wide significance ( $P < 5 \times 10^{-8}$ ), other than rs17216707 with eGFR creatinine ( $P = 6 \times 10^{-13}$ ).

## DISCUSSION

In this Mendelian randomization study, we used genetic variants as instrumental variables for 25(OH)D and examined associations between 25(OH)D and risks of BE and EAC. We found no evidence that 25(OH)D concentration, as predicted by genotype, was associated with risks of BE or EAC. Thus, the study provides little evidence that 25(OH)D concentration is associated with risk of BE or EAC.

Observational studies have examined the association between 25(OH)D concentration and the risk of various cancers. A large number of case-control studies have reported conflicting results; however, these studies are susceptible to reverse causation. Likewise, results from prospective cohort studies have been inconclusive as a result of measuring 25(OH)D concentration at relatively unspecified times (anywhere from 0 to 20+ years) in the past before diagnosis. Given the limitations of these observational studies, it remains difficult to draw causal inferences, especially when inconsistent results are observed. Therefore, several Mendelian randomization studies have been recently conducted to examine associations between genetically predicted 25(OH)D concentration and risk of specific cancers. The advantage of Mendelian randomization studies is that the estimated magnitudes of associations are less likely to be affected by confounding and recall bias. A Mendelian randomization study involving data from 70,563 cancer patients and 84,418 control participants found that 25(OH)D concentration, as predicted by genotype, was not associated with the risks of prostate, breast, lung, colorectal, ovarian, pancreatic cancers or neuroblastoma (31). Likewise, a Mendelian randomization study found no association between genetically predicted 25(OH)D concentration and risks of oral or oropharyngeal

cancer (32). Another Mendelian randomization study indicated an increased risk of ovarian cancer (OR, 1.27; 95% CI, 1.06–1.51) per 20nmol/L decrease in genetically predicted 25(OH)D concentrations in 10,065 ovarian cancer and 21,654 controls (33), but this association was not confirmed in a subsequent Mendelian randomization study of ovarian cancer.(31)

Few studies, and no Mendelian randomization studies, have examined associations between 25(OH)D and risks of BE and EAC (9, 11, 34). A nested case-control study from the Cohort Consortium Vitamin D Pooling Project of Rarer Cancers reported no association between circulating 25(OH)D and the risk of EAC (N=104) (34). A recent meta-analysis investigating associations between makers of vitamin D exposure (i.e., ultraviolet B exposure, vitamin D intake and vitamin D status) and risk of BE or EAC also found no association (11). Conversely, a case-control study in Australia reported a decreased risk of EAC with higher ultraviolet B exposure although, even if a true finding, this is not necessarily due to vitamin D (9). While there is some evidence that BE patients are often vitamin D insufficient (35, 36), studies to date have found no association between vitamin D intake and the risk of BE (10), and vitamin D supplementation has been shown to have no effect on risk of neoplastic progression in BE patients (35). Finally, a BE registry cohort study found no association between circulating 25(OH)D concentration and risk of prevalent or incident dysplasia or EAC in BE patients (36). The findings from the present Mendelian randomization study provide further evidence that 25(OH)D concentration is not associated with the risk of BE or EAC. Interestingly, the point estimates (i.e., ORs) for BE and EAC show opposite direction of effect for some of these SNPs, with evidence of moderate heterogeneity in the associations with BE but no heterogeneity for associations with EAC. Nonetheless, the point estimates are not significantly different from zero. Thus, a chance finding may explain the opposite directions.

Strengths of the current study include the high quality of the case-control and cohort studies used. The majority of the studies were population-based in design. Compared to results from traditional analyses of observational studies, the risk estimates using instrumental variables are less likely to be affected by confounding and other types of bias. We used six SNPs known to be associated with 25(OH)D concentration, all located in or near genes encoding enzymes and carrier proteins involved in vitamin D synthesis or metabolism, which provided a strong and valid instrumental variable for 25(OH)D concentration. Furthermore, through various statistical tests and database searches, we found little evidence of pleiotropic effects.

Our study also has limitations. Although we used a large worldwide consortial sample of patients, our study population was relatively small compared to other Mendelian randomization studies of vitamin D. However, few additional BE and EAC patients with non-genetic and genetic data are currently available, so such work may require additional time for study patients to accrue. While our findings are consistent with vitamin D not having a large causal effect on BE/EAC risk, as the six 25(OH)D-associated SNPs explain only ~3% of the variance of 25(OH)D concentration our study may have nonetheless been underpowered to detect medium to small effects. We are unable to evaluate whether the associations between 25(OH)D concentration and the risks of BE and EAC are nonlinear. It is possible that in populations with low 25(OH)D due to limited sun exposure, genetically

determined variation in 25(OH)D may be associated with BE or EAC. Finally, we used summary-level data, which did not allow us to stratify analyses by covariates of interest, such as age, sex, smoking, body mass index, and gastroesophageal reflux symptoms.

In conclusion, this Mendelian randomization study provides little evidence that genetically estimated circulating 25(OH)D concentration is associated with the risk of BE and EAC.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations:

EAC	esophageal adenocarcinoma
BE	Barrett's esophagus
25(OH)D	25 hydroxy vitamin D

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#### Need to Know

#### **Background:**

Epidemiology studies on 25(OH)D and the risk of EAC have produced conflicting results. We conducted a Mendelian randomization study to evaluate the association between 25(OH)D and risks of BE and EAC.

## **Findings:**

In this Mendelian randomization study, genetically estimated 25(OH)D concentration was not associated with risk of BE or EAC.

#### **Implications for patient care:**

Together with data from prior studies of BE and EAC risk, our findings do not support screening for vitamin D deficiency for primary prevention of EAC.



Figure 1A. Mendelian randomization estimates of the association between increased 25(OH)D concentration and risk of Barrett's esophagus.

The OR estimates are per 20 nmol/L increase in 25(OH)D concentration. The hollow diamond represents the overall IV estimate with its 95% CI.



Figure 1B. Mendelian randomization estimates of the association between increased 25(OH)D concentration and risk of esophageal adenocarcinoma.

The OR estimates are per 20 nmol/L increase in 25(OH)D concentration. The hollow diamond represents the overall IV estimate with its 95% CI.

#### Table 1.

Characteristics of genetic variants associated with 25(OH)D concentration in published genome-wide association studies (ref.16)

SNP	Chr	Gene	Effect/Reference Allele	Effect Allele Frequency	$\beta$ estimate <sup>*</sup>	s.e.	P value
rs10741657	11	CYP2R1	A/G	0.40	0.031	0.0022	$2.1  imes 1^{-46}$
rs10745742	12	AMDHD1	T/C	0.40	0.017	0.0022	$1.9  imes 10^{-14}$
rs12785878	11	DHCR7	T/G	0.75	0.036	0.0022	$3.8\times10^{-62}$
rs17216707	20	CYP24A1	T/C	0.79	0.026	0.0027	$8.1\times10^{-23}$
rs3755967	4	GC	C/T	0.62	0.089	0.0023	$4.7\times10^{-343}$
rs8018720	14	SEC23A	G/C	0.18	0.017	0.0029	$4.7  imes 10^{-9}$

\* 25(OH)D level was reported SD increases in nmol/L in natural-log scale per copy of the effect alleles.

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## Table 2.

Characteristics of the studies included for the gene-25(OH)D and gene-BE/EAC associations

Data Source	n studies	Sample size
Gene-Vitamin D association		
SUNLIGHT Consortium (ref.16)	31	79,366
Gene-BE/EAC associations		
BEACON (ref. 17, 18)	15	2,406 BE, 1,508 EAC, 6,718 controls
United States	9	1,718 BE, 668 EAC, 1,021 controls
United Kingdom	1	167 BE, 102 EAC
• Sweden	1	63 EAC, 116 controls
• Canada	1	246 EAC, 256 controls
• Australia	2	324 BE, 236 EAC, 567 controls
• Ireland	1	197 BE, 193 EAC, 217 controls
• dbGap	1	4,541 controls
Bonn (Germany) (ref. 17, 19)	1	1,037 BE, 1,609 EAC, 3,537 controls
Cambridge (UK) (ref.17)	1	873 BE, 995 EAC, 3,408 controls
Oxford (UK) (ref.17, 20)	7	1,851 BE, 3,496 controls

## Table 3.

Characteristics of genetic variants associated with Barrett's esophagus and esophageal adenocarcinoma

SNP	Chr	Gene	Effect/Reference Allele	BE		EAC	
				OR (95% CI)	P	OR (95% CI)	P
rs10741657	11	CYP2R1	A/G	1.01 (0.97–1.06)	0.577	0.98 (0.93–1.04)	0.465
rs10745742	12	AMDHD1	T/C	0.97 (0.92–1.01)	0.122	1.01 (0.95–1.06)	0.811
rs12785878	11	DHCR7	T/G	1.07 (1.02–1.13)	0.008	0.99 (0.93–1.05)	0.785
rs17216707	20	CYP24A1	T/C	0.98 (0.92–1.04)	0.495	1.00 (0.93–1.08)	0.920
rs3755967	4	GC	C/T	1.00 (0.96–1.05)	0.844	0.96 (0.91–1.02)	0.213
rs8018720	14	SEC23A	G/C	1.01 (0.95–1.06)	0.841	0.99 (0.92–1.06)	0.778