



Development and evolution of age-dependent defenses in ant-acacias

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Age-dependent changes in plant defense against herbivores are widespread, but why these changes exist remains a mystery. We explored this question by examining a suite of traits required for the interaction between swollen thorn acacias (genus *Vachellia*) and ants of the genus *Pseudomyrmex*. In this system, plants provide ants with refuge and food in the form of swollen stipular spines, protein-lipid-rich “Beltian” bodies, and sugar-secreting extrafloral nectaries—the “swollen thorn syndrome.” We show that this syndrome develops at a predictable time in shoot development and is tightly associated with the temporal decline in the microRNAs miR156 and miR157 and a corresponding increase in their targets—the SPL transcription factors. Growth under reduced light intensity delays both the decline in miR156/157 and the development of the swollen thorn syndrome, supporting the conclusion that these traits are controlled by the miR156-SPL pathway. Production of extrafloral nectaries by *Vachellia* sp. that do not house ants is also correlated with a decline in miR156/157, suggesting that this syndrome evolved by co-opting a preexisting age-dependent program. Along with genetic evidence from other model systems, these findings support the hypothesis that the age-dependent development of the swollen thorn syndrome is a consequence of genetic regulation rather than a passive developmental pattern arising from developmental constraints on when these traits can develop.

ant-acacia | age-dependent defenses | vegetative-phase change | miR156 | SPL

Plants exhibit a wide diversity of morphological, chemical, and behavioral defenses against herbivory. In most plants, these defenses change, appear, or disappear at discrete times in an organism’s life cycle (1–4). Although changes in defense are often thought to be evolved responses to shifts in selection pressure during a plant’s ontogeny, it is possible that these patterns are instead a consequence of developmental constraints on when the traits can develop (5). Evaluating these alternatives is difficult without an understanding of the mechanism controlling the trait of interest.

One hypothesis for age-dependent patterns of defense is that resource limitations lead to trade-offs between growth and defense (1, 6). As plants age, these trade-offs are predicted to shift due to changes in stored reserves, root–shoot ratios, or the development of reproductive structures. This hypothesis predicts that plants produce defenses at a time in development when the cost of allocating resources to these defenses does not prevent the production of other selectively advantageous traits. Implicit in this hypothesis is the assumption that plants could develop the defensive trait at a different time in development if favored by selection.

An alternative possibility is that age dependency arises from factors that constrain the development of defensive traits regardless of selective forces. Such limitations are known as developmental constraints and can arise from multiple sources (7–9). One possibility is that the size of the shoot or root system might limit the types of defenses that can develop at different times in a plant’s growth (5, 10). For example, the stem-derived domatia of many obligate ant-plants develop only once the stem is of a sufficient diameter (11). In this case, the defense trait is “regulated” passively by factors inherent to shoot development, and selection for variation in the timing of trait development would be limited by shoot

growth. Another possibility is that the age-dependent development of defense traits is passively regulated as a consequence of constraints imposed by underlying cellular or molecular mechanisms. For example, the molecular mechanism of polar auxin transport determines where leaves are initiated on the shoot apical meristem and is thus responsible for the stable and highly stereotypical patterns of leaf arrangement observed in higher plants (known as phyllotaxy) (12). Phyllotactic patterns that might be selectively advantageous could fail to evolve because they cannot be accommodated by this molecular mechanism (9). Additionally, if defensive traits evolved by co-option of a preexisting genetic regulatory pathway, their age dependence may be a consequence of “inherited” pleiotropic constraints (13–15). Therefore, pathways that control such age-dependent transitions are a prime candidate for investigating the developmental mechanisms of plant defenses (5).

One such pathway—the vegetative-phase change pathway—controls the transition between juvenile and adult phases of shoot development (5, 16). This network of the temporally regulated microRNAs miR156 and miR157 and their transcription factor targets, members of the *Squamosa Promoter-binding Protein Like* (*SPL*) gene family, is responsible for age-dependent changes in multiple vegetative traits (17, 18). miR156/157-regulated *SPL* genes promote adult vegetative traits. Although *SPL* genes are transcribed in all leaves, high levels of miR156/157 in the first few leaves prevent their translation. As miR156 and miR157 decline in successive leaves, the abundance of *SPL* proteins increases (17–21). The fact that *SPL* genes are capable

Significance

The beneficial interactions between ant-acacias and ants is a textbook example of animal–plant mutualism. In exchange for protection, ant-acacias produce specialized traits (“swollen thorn syndrome”) that provide ants with food and shelter. Although this syndrome is important for plant survival, it does not develop until several weeks after germination. The basis for this apparent paradox is unknown. We show that the appearance of the swollen thorn syndrome is correlated with a change in the expression of genes in the miR156/miR157-SPL vegetative-phase change pathway under a variety of environmental conditions. These results suggest a molecular mechanism for the development of the swollen thorn syndrome and shed light on why syndrome development is age dependent.

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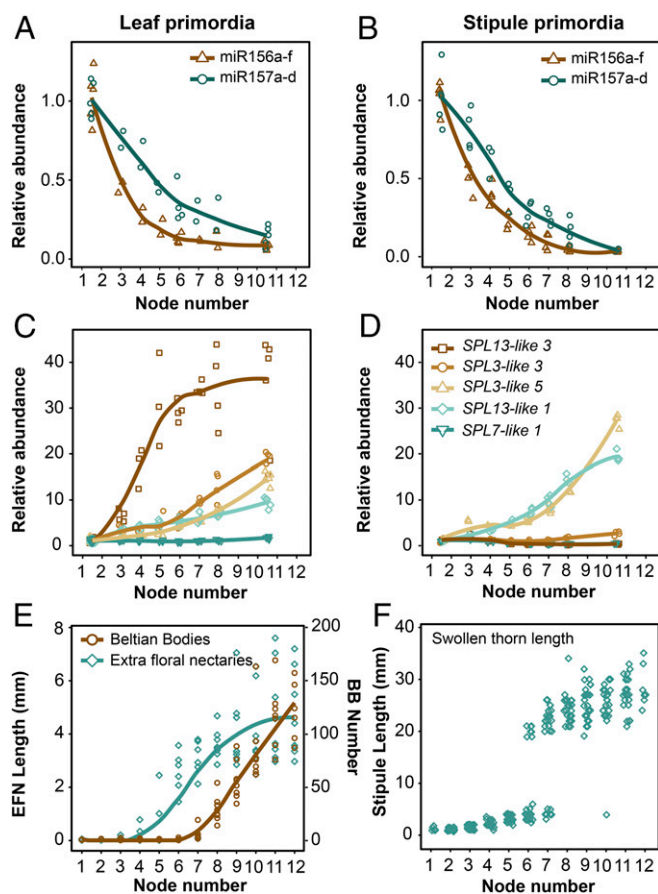


Fig. 2. Variation in the abundance of miR156/miR157 and *SPL* transcripts is correlated with the appearance of the swollen thorn syndrome in *V. collinsii* Belize. (A, C, and E) Leaf primordia. (B, D, and F) Stipule primordia. Node number represents the position relative to the base of the shoot. (A and B) Relative abundance of the mature miR156a-f and miR157a-d small RNAs in leaf and stipule primordia. Curves represent conditional means using a Loess smoother. (C and D) Relative abundance of miR156/157-targeted (*SPL3-like 3*, *SPL3-like 5*, *SPL13-like 1*, and *SPL13-like 3*) and untargeted (*SPL7-like 1*) transcripts in leaf and stipule primordia. Plotting as in A and B. (E and F) The length of EFN and the number of BB on leaves at successive nodes. Plotting as in A and B.

leaves across these same nodes (*SI Appendix*, Fig. S1 A and B). Thus, miR156/157 display only a major change in gene expression at the developmental stage when the morphological fate of the leaf is being specified.

To characterize the molecular basis of this phenomenon in more detail, it was necessary to identify the genes that encode miR156, miR157, and *SPL* transcription factors in *V. collinsii*. For this purpose, we sequenced a 450-bp insert genomic library of *V. collinsii* Belize using Illumina 250PE format (*SI Appendix*, *Supplementary Materials and Methods*). This generated 50× of overlapping reads, which were assembled using MaSuRCA (31). The resulting assembly covered 89% of the 518-Mb genome in 122,266 contigs with an NG50 of 6,528 bp (*SI Appendix*, Table S1). Annotation of this assembly revealed 8 putative *MIR156* genes, 5 putative *MIR157* genes, and 23 putative *SPL* genes (*Dataset S1*).

PCR primers were designed to a unique sequence within the predicted coding region of each *SPL* gene and to the predicted hairpin region of *MIR156* and *MIR157* genes. These primers were then used to measure the abundance of these transcripts in leaf and stipule primordia at nodes 1 through 2 and 9 through 12, using semiquantitative RT-PCR (*SI Appendix*, *Supplementary*

Materials and Methods and Fig. S2 A and B). Twelve of the 13 *MIR156/MIR157* genes that we analyzed produced detectable transcripts, and all of these transcripts were less abundant at nodes 9 through 12 than at nodes 1 through 2 in either leaves or stipules, or in both organs. This is consistent with the abundance of the mature miR156 and miR157 transcripts at these nodes. All but one of the 17 *SPL* transcripts with a predicted miR156/157 target site was more abundant at nodes 9 through 12 than at nodes 1 through 2 in either leaf or stipule primordia. In contrast, *SPL* transcripts that lacked a predicted miR156/157 target site showed variable patterns of abundance, with the majority being equally abundant at nodes 1 through 2 and nodes 9 through 12 or decreasing in abundance between these positions (*SI Appendix*, Fig. S2B).

We then performed a more detailed analysis of the expression patterns of four miR156/157-targeted transcripts (*SPL3-like 3*, *SPL3-like 5*, *SPL13-like 1*, and *SPL13-like 3*) and one non-targeted transcript (*SPL7-like 1*), using quantitative RT-PCR. *SPL7-like 1* was expressed at a constant level in both leaf and stipule primordia across all sampled nodes (Fig. 2 C and D). However, miR156/157-targeted *SPL* genes had different patterns of abundance in different organs, with changes often mirroring patterns of syndrome development (Fig. 2 C–F). *SPL13-like 3* increased nearly 30-fold in leaf primordia between node 1 and node 6, but was expressed at the same level in stipule primordia at different nodes. *SPL3-like 3* was expressed in a similar pattern, but increased less dramatically than *SPL13-like 3* in leaf primordia. *SPL3-like 5* and *SPL13-like 1* increased in both leaf and stipule primordia, but increased more in stipules than in leaves (Fig. 2 C and D). Additionally, consistent with miR156/157 abundance in leaves, *SPL* transcripts were less abundant in fully expanded leaves compared with leaf primordia (*SI Appendix*, Fig. S1 C–G). These data demonstrate that miR156/157 and their *SPL* targets are developmentally regulated and that different family members have different temporal expression patterns. One possibility is that different *SPL* genes regulate different components of the swollen thorn syndrome and that the expression pattern of these functionally distinct genes is temporally coordinated by miR156/157.

Reduced Light Intensity Delays the Appearance of the Swollen Thorn Syndrome and Increases the Abundance of miR156/157. Genetic analysis of the role of miR156/157 and their targets in the development of the swollen thorn syndrome is hindered by the lack of methods for inactivating gene function in *V. collinsii* and related species. As an alternative, we explored the observation that in the wild this syndrome develops more slowly in plants growing in shaded conditions (29). To confirm this observation, *V. collinsii* Belize was grown in a single growth chamber under full illumination or under a cloth-covered shade enclosure that reduced the light intensity by 85%.

Plants grown in low light produced enlarged EFN (Fig. 3A), SS, and BB (*SI Appendix*, Fig. S3 A and B) significantly later than plants growing in full illumination. To determine if shade affects the development of EFN, SS, and BB by modulating the activity of the miR156/miR157-*SPL* pathway, we then measured the abundance of miR156 and miR157 in leaf primordia. These miRNAs were expressed in the same temporal pattern in low-light and full-light plants, but low-light plants had significantly higher levels of both miRNAs than full-light plants (Fig. 3B and *SI Appendix*, Fig. S3C: ANCOVA, $P < 0.001$ for both). Consistent with this observation, *SPL3-like 3* and *SPL3-like 5* were expressed at significantly lower levels in low-light plants than in full-light plants (Fig. 3C and *SI Appendix*, Fig. S3D: ANCOVA, $P < 0.01$ and $P < 0.001$, respectively). Furthermore, the abundance of these transcripts at the first node to produce BB in low-light plants (10.5 on average) was similar to their abundance at the corresponding node in full-light plants (node 6.5 on average) (Fig. 3C and *SI Appendix*, Fig. S3 A and D). Similarly, although low light did not have a statistically significant

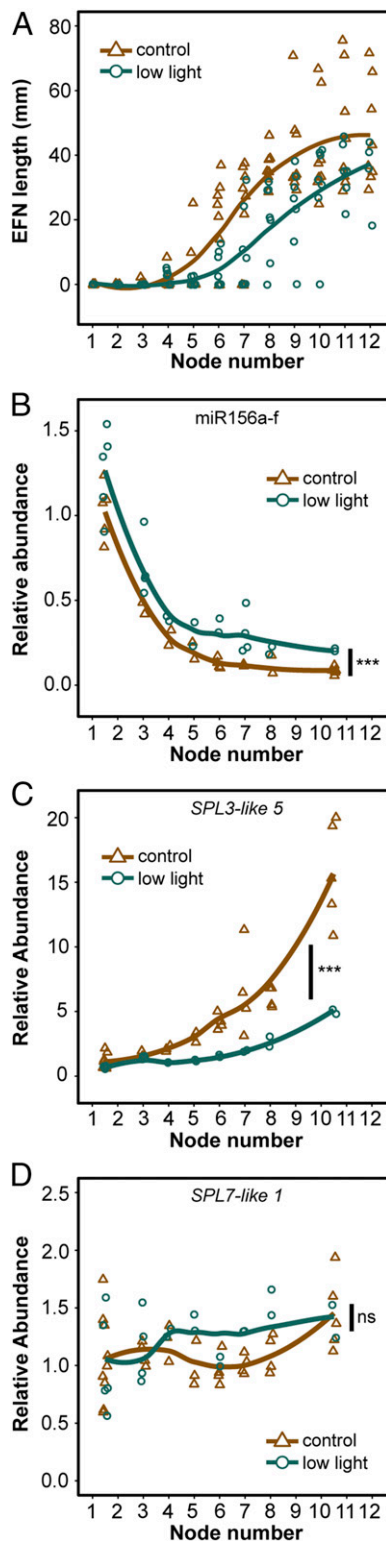


Fig. 3. Low-light intensity delays the onset of the swollen thorn syndrome and increases the abundance of miR156/157 in *V. collinsii* Belize. (A) Length of EFN per node in low light and control conditions. Curves represent conditional means using a Loess smoother. (B) Relative abundance of miR156a-f in leaf primordia. Differences between treatments were tested by ANCOVA. Plotting as in A. (C) Relative abundance of *SPL3-like 5*, a predicted miR156/157 target. Plotting and statistics as in B. (D) Relative abundance of *SPL7-like 1*, a gene not targeted by miR156/157. Plotting and statistics as in B. Significance of ANCOVA are indicated: *** $P < 0.001$; ns: $P > 0.05$.

effect on the abundance of the *SPL13-like 1* or *SPL13-like 3* transcripts, their overall levels were lower in low-light plants (*SI Appendix, Fig. S3 E and F*: ANCOVA, $P = 0.98$, $P = 0.08$, respectively). This was not true for *SPL7-like 1*, which was present at similar levels in both conditions across development (Fig. 3D: ANCOVA, $P = 0.29$).

The difference in the responsiveness of *SPL3-like* and *SPL13-like* may be due to differences in the mechanism by which they are repressed by miR156/157. In *Arabidopsis*, *SPL13* transcripts respond very little to changes in miR156/157 because these miRNAs regulate *SPL13* primarily at a translational level (20, 21), and this may be true for *SPL13-like 1* and *SPL13-like 3* as well. The expression pattern of *SPL* genes is also regulated at a transcriptional level, and our data do not disentangle this level of regulation from the effect of miR156/157. Nevertheless, the correlated effect of low light intensity on the development of SS, BB, and EFN and on the expression of genes in the miR156/157-SPL pathway support the hypothesis that the swollen thorn syndrome is regulated by this pathway.

The Swollen Thorn Syndrome Likely Evolved by Co-Opting a Preexisting Regulatory Pathway. The production of BB is a derived trait within *Vachellia* (32, 33). However, all species in this genus produce stipular spines and extrafloral nectaries (Fig. 4A), although both of these structures remain relatively small in species that do not establish a relationship with ants. We reasoned that the swollen thorn syndrome may have evolved by co-opting a pathway that controls the development of these preexisting traits. This hypothesis was supported by our observation that EFN are not initially produced in *Vachellia* species that are closely related to *V. collinsii* (Fig. 4A) but which do not produce enlarged SS and BB. Indeed, three of these species—*V. caven*, *V. farnesiana*, and *V. rigidula*—produced EFN even later than *V. collinsii* Belize (Fig. 4B).

To determine if the development of EFN in these species is correlated with the expression of genes in the miR156/157-SPL pathway, we measured the abundance of miR156, miR157, and *SPL3-like 5* in shoot apices of *V. caven*, *V. farnesiana*, and *V. rigidula* at four to five different times after planting. The samples collected at the final time point were taken from plants in which at least one prior leaf had had an EFN. The primers used to measure *SPL3-like 5* were identical to those used for *V. collinsii*, and sequencing of the resulting products revealed that the same gene was amplified in all three species. In every species, miR156 and miR157 declined over fourfold and the expression of *SPL3-like 5* increased 15- to 20-fold during the period preceding the production of the first EFN (Fig. 4C and D and *SI Appendix, Fig. S4A*). In contrast, abundance of *SPL7-like 1* showed no consistent pattern between species (*SI Appendix, Fig. S4B*). These results are consistent with the possibility that the miR156/157-SPL pathway coordinates the timing of vegetative development in many *Vachellia* species and that this preexisting regulatory network was co-opted during the evolution of the swollen thorn syndrome.

Discussion

Changes in plant defense during ontogeny are widespread (1–4), yet why this developmental pattern exists remains largely unknown. The developmental timing of defense traits may be a consequence of selection, the result of developmental constraints that limit the types of phenotypes seen by selection (although these constraints could themselves be the result of selection) or a combination of both (5). To evaluate these alternatives, it is necessary to understand both how selection acts in a system and the developmental mechanisms that control the traits in question. To date, most work has focused on the former question, and very little is known about the latter (5, 34).

We found that *V. cornigera* and *V. collinsii* plants grown from seed in a controlled environment in the absence of ants begin producing SS, EFN, and BB in a stereotypical sequence at least

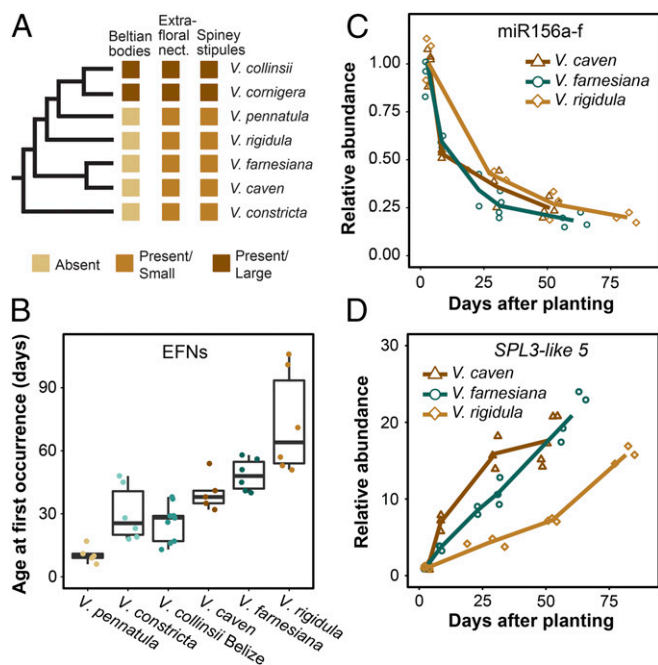


Fig. 4. Components of the swollen thorn syndrome are temporally regulated in non-ant-acacias (genus *Vachellia*). (A) Phylogenetic distribution of syndrome traits for species used in this study. Non-ant-acacias: *V. pennatula*, *V. rigidula*, *V. farnesiana*, *V. caven*, and *V. constricta*. (B) Timing of EFN first occurrence in *Vachellia* species. Boxes outline first and third quartile; center line marks the median. (C) Abundance of miR156a-f in three non-ant-acacia species. Lines are plotted through the means of samples grouped by similar age. (D) Abundance of SPL3-like 5 in three non-ant-acacia species. Plotting as in C.

1 mo after germination. This, and our evidence that the production of SS, EFN, and BB is tightly correlated with changes in the expression of miR156/157 and their *SPL* targets, strongly suggest that this syndrome is regulated by the vegetative-phase change pathway. Our observation that EFN in species closely related to *V. collinsii* and *V. cornigera* develop at approximately the same age as the swollen thorn syndrome in these ant-acacias, and that the appearance of EFN is correlated with a change in miR156 and its targets, further suggest that the swollen thorn syndrome is a modification of a developmental pathway that exists in many, if not all, species in this genus.

Given its value to the plant, it is interesting to consider why the swollen thorn syndrome is temporally regulated. One leading hypothesis for the temporal development of plant defenses posits that such patterns are mediated by developmental constraints (5, 35). This hypothesis predicts that physical or genetic barriers intrinsically limit the development of defense traits. With regard to the swollen thorn syndrome, if there were physical limits on development, this would mean that plants should be unable to produce syndrome traits immediately after germination. However, genetic analyses of the miR156/157-*SPL* pathway in *Arabidopsis* do not support this hypothesis. In *Arabidopsis*, reducing the abundance of miR156/157 transforms the earliest juvenile leaves into adult leaves (23). A more natural example comes from the genus *Acacia*, where the adult leaf type, known as a phyllode, can be observed as early as leaf one or two in some species, and has been shown to be tightly correlated with the miR156/157-*SPL* pathway (19). In the future, genetic manipulation of this pathway in *V. collinsii* could conclusively rule out physical constraints if loss of miR156/157 genes resulted in transgenic plants producing syndrome traits at the earliest nodes.

In addition to passive constraints arising from biophysical limits on development, co-option of the miR156-*SPL* pathway

for the control of syndrome development may itself be constrained by pleiotropy. Given that *SPL* genes are important regulators of floral development and the adult vegetative phase (36, 37), it is possible that precocious expression of these genes may be constrained by a requirement for the juvenile phase or the cost of flowering too early. This constraint could be overcome through gene duplication and subfunctionalization of the *SPL* gene family (13–15), which would allow family members to acquire functions specific to the swollen thorn syndrome. However, it is unlikely that the timing of *SPL* gene expression in *Vachellia* is constrained by a role for these genes in floral induction because the swollen thorn syndrome appears within a few months, whereas *Vachellia* species flower years later. Together, these observations suggest that a juvenile phase lacking the swollen thorn syndrome is advantageous. It will be important to determine if age-dependent defenses in other systems are regulated by the miR156/*SPL* pathway and how the functions of *SPL* genes in vegetative and reproductive development have evolved to maximize plant fitness.

If the timing of the swollen thorn syndrome is driven by natural selection, we believe trade-offs in resource allocation between whole plant growth and the production of structures associated with this syndrome is a likely cause (1, 5, 38). However, the situation is probably more complicated than this, given that *V. cornigera* seedlings do not host ants in their first year of growth, even though they begin to produce the swollen thorn syndrome within 1 to 2 mo (29). This disconnect may mean that ant foundresses require a critical mass of domatia and/or resources before they colonize a tree or that other temporally regulated factors, such as volatile organic compounds (VOCs), are required for selection. Foundress queens select a host using VOCs as cues of quality (39). These compounds are produced by fully expanded leaves, and our results indicate that miR156/157 decline more slowly in fully expanded leaves than they do in leaf and stipule primordia, possibly explaining the delay in colonization.

The evidence that the swollen thorn syndrome is regulated by the miR156/157-*SPL* pathway opens the door to more detailed questions about the mechanism of this phenomenon. For example, nectar secretion from EFN in New World *Vachellia* species has been shown to depend on jasmonic acid (JA) (32). This is interesting because previous studies in rice (40) and maize (41) indicate that jasmonic acid promotes the juvenile phase, possibly via regulation of miR156/157. However, the JA response is downstream of miR156 and *SPL9* in *Arabidopsis* (16). Either jasmonic acid has the opposite function in New World *Vachellia* species (i.e., promotes the adult phase) or its effect on nectar secretion reflects an organ-specific function of this hormone. It will also be important to explore the functional significance of the observation that different *MIR156/157* and *SPL* genes have different expression patterns in leaves and stipules. Which of these many genes are required for the development of these structures, and, if so, are they functionally distinct? This latter question will require the development of methods for manipulating gene expression in *Vachellia*, but it is reasonable to expect that these will become available in the near future.

Materials and Methods

Detailed information about the methods used to determine species identity, genome sequencing and annotation, and RNA abundance is provided in *SI Appendix, Supplementary Materials and Methods*.

Plant Material and Growth Conditions. *V. cornigera* seed were purchased from a vendor in Florida (<https://www.etsy.com/shop/MrNature>), and *V. collinsii* Belize seed were purchased from a vendor in Belize (<http://www.especies-seeds.com>). Seeds of *V. collinsii* Costa Rica were collected by D. Janzen (University of Pennsylvania) in Costa Rica. *V. caven* (xDL-89-0115D), *V. constricta* (xDL-90-0431), *V. farnesiana* (xDL-90-0341), *V. pennatula* (xDL-96-0002), and *V. rigidula* (xDL-92-0153D) were obtained from the Desert Legume Program at the University of Arizona. Plants were grown in a Conviron chamber

maintained at 24 °C with 16 h light/8 h dark and 190 to 220 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ provided by warm white fluorescent lights.

RNA abundance was measured in leaf and stipule primordia of *V. collinsii* Belize when the leaves bearing these structures were 1 to 3 mm in size. Five to 50 samples were pooled, depending on node and tissue type. RNA abundance in *V. caven*, *V. farnesiana*, and *V. rigidula* was measured in whole apices with leaf primordia less than 3 mm in size.

Genome Sequencing and Identification of *MIR156/157* and *SPL* Genes. A single *V. collinsii* Belize plant was sequenced on a HisSeq. 2500 using 250PE format of a 450-bp insert library. Reads were merged using FLASH v1.2.11 (42) and assembled using MaSuRCA (31). *MIR156/157* and *SPL* containing scaffolds were identified using BLAST (43, 44) against a database of 6 plant species. *MIR156/157* genes were confirmed by the presence of hairpin structures using RNAfold (45), and *SPL* genes were annotated using the MAKER pipeline (46).

qPCR Analysis of Small RNA and mRNA Abundance. The abundance of *SPL* transcripts was normalized to *ACT2*, and miR156/miR157 abundance was normalized to miR159 and miR168 using the $2^{-\Delta\Delta C_t}$ method (47).

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