



A Systematic Review of Complications Following Minimally Invasive Spine Surgery Including Transforaminal Lumbar Interbody Fusion

Hannah Weiss¹ · Roxanna M. Garcia^{1,2} · Ben Hopkins¹ · Nathan Shlobin³ · Nader S. Dahdaleh¹

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Abstract

Purpose of Review To assess complications after minimally invasive spinal surgeries including transforaminal lumbar interbody fusion (MI-TLIF) by reviewing the most recent literature.

Recent Findings Current literature demonstrates that minimally invasive surgery (MIS) in spine has improved clinical outcomes and reduced complications when compared with open spinal procedures. Recent studies describing MI-TLIF primarily for degenerative disk disease, spondylolisthesis, and vertebral canal stenosis cite over 89 discrete complications, with the most common being radiculitis (ranging from 2.8 to 57.1%), screw malposition (0.3–12.7%), and incidental durotomy (0.3–8.6%).

Summary Minimally invasive spine surgery has a distinct set of complications in comparison with other spinal procedures. These complications vary based on the exact MIS procedure and indication. The most frequently documented MI-TLIF complications in current published literature were radiculitis, screw malposition, and incidental durotomy.

Keywords Minimally invasive · Spine · Transforaminal lumbar interbody fusion (TLIF) · Complications · Systematic review

Introduction

In the USA, around 80% of the population will experience back pain during their lifetime [1], many of whom will require surgical intervention. Over the past 30 years, minimally invasive surgery (MIS) has emerged as a leading treatment choice for spinal ailments. These techniques caused a major paradigm shift in spine surgery by proving that decreased operating exposure can translate to clinical benefits, such as decreased rates of CSF leaks, infection, and length of stay [2, 3, 4••].

Minimally invasive lumbar spine procedures are used for discectomy, spinal decompression, posterior lumbar interbody fusion (MI-PLIF), and transforaminal lumbar interbody fusion (MI-TLIF). Each of these operations is associated with distinct complication profiles. The complication rate for discectomy procedures is around 1.5% and includes dural tears, nerve root injury, and discitis [5]. Following decompression, common complications include dural tears and delayed pseudomeningocele formation [6, 7]. A review found complication rates ranged from 0 to 33.3% for MI-TLIF and 1.6–16.7% for MI-PLIF with radiculopathy and cerebrospinal fluid leakage being the most common etiologies [8].

Not only is there variation in complication rates among different minimally invasive spine procedures, but there also is a wide range in complication profiles based on the specific surgical approach and indication. Despite leading to decreased complication rates, there are unique complications after minimally invasive spinal procedures, especially MI-TLIF. By understanding the complications associated with once novel, and now commonplace, minimally invasive spinal techniques, surgeons can better prepare for these complications and address them when they occur.

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✉ Nader S. Dahdaleh
nader.dahdaleh@northwestern.edu

¹ Department of Neurosurgery, Northwestern University, 676 N Saint Clair, NMH/Arkes Family Pavilion Suite 2210, Chicago, IL 60611, USA

² Institute for Public Health and Medicine (IPHAM), Center for Healthcare Studies, Northwestern University, Chicago, IL, USA

³ Northwestern University, Chicago, IL, USA

Methods

A systematic review in PubMed was performed to identify all articles published from January 2002 to January 2019 for patients undergoing MI-TLIF. The search terms included MeSH terms for minimally invasive surgical procedures and transforaminal lumbar interbody fusion. Abstracts were screened for the following inclusion criteria: English language, patients who underwent MI-TLIF procedure(s), with sample size of at least 100 subjects. Exclusion criteria included: studies involving non-surgical patients, abstracts, case reports, meta-analyses, literature reviews, technical notes, and studies that did not document complications. Among articles meeting inclusion criteria, article information and data on complication types, rates, and outcomes were summarized. The search was independently replicated by internal author (B.H.) to ensure accuracy.

Results

Review of the literature for MI-TLIF studies resulted in 31 articles published from 2008 to 2019 meeting eligibility criteria (Fig. 1). Indications for MI-TLIF included degenerative disk disease, spondylolisthesis, and vertebral canal stenosis as the indicators for surgery. These studies included 12 retrospective single-arm studies, 8 retrospective comparative studies, 3 prospective comparative studies, and 3 prospective single-arm studies. In total, 6699 patients undergoing MI-TLIF were included in the final 31 studies.

Of the 31 articles, 26 articles specified the complications following MI-TLIF (Table 1). There were five articles that met inclusion criteria but did not report complications [35–39]. The most common complication cited after MI-TLIF surgery was radiculitis, with a range between rates of 2.8 and 57.1%. The second most common complication documented in the literature was screw malposition, ranging between rates of 0.3 and 12.7%. The third most common complication was incidental durotomy, with a range between 0.3 and 8.6%. Six articles specifically focused on one type of complication, including graft extrusion, incidental durotomy, pedicle breach, cage subsidence, superior facet violation, and screw malposition. These articles did not document data on other complications. In total, the studies referenced 89 (range 1 to 21) discrete complications for MI-TLIF.

Discussion

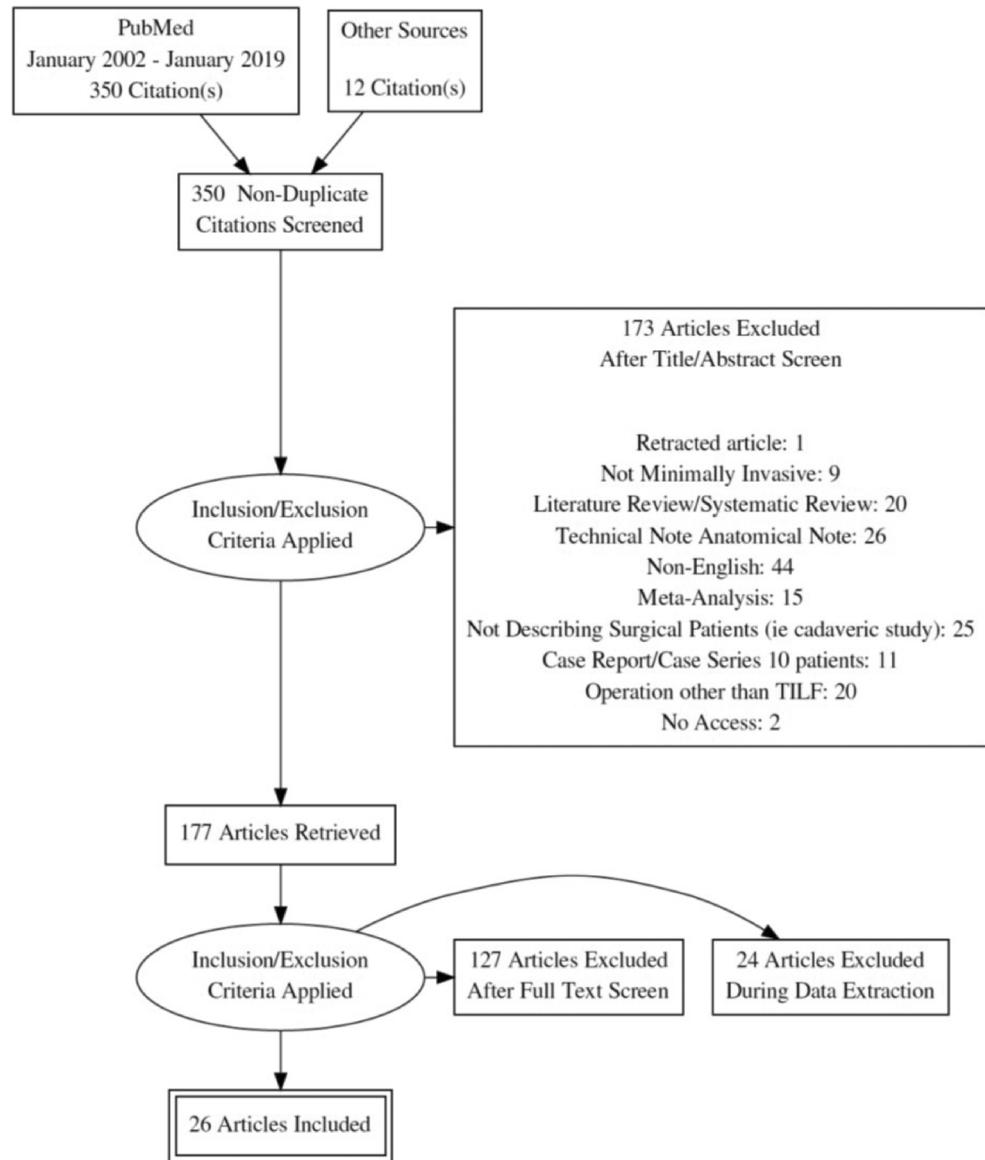
Minimally invasive spine surgery has shown favorable clinical outcomes when compared with open procedure [2, 3, 4•, 40, 41]. Minimally invasive spine surgery has been shown to have decreased blood loss, hospital stay, medical and surgical

complications, and equivalent patient satisfaction rates as traditional methods [42]. Although minimally invasive spine surgery has a favorable complication profile when compared with open methods, extensive studies continue to reveal that these newer techniques have distinct complications. In the review of the literature, 31 articles describing MI-TLIF were identified but only 26 articles reported complications. The top three complication categories among large sample size MI-TLIF studies were radiculitis, screw malposition, and incidental durotomy.

Open TLIF is progressively being replaced with minimally invasive techniques. First described in 2002 by Foley and Gupta, MI-TLIF was reported to have decreased paraspinous tissue damage, without weakening the effectiveness of the spinal fusion [43]. Meta-analyses comparing minimally invasive and open TLIF have documented decreased blood loss and quicker rehabilitation in the minimally invasive cohorts. The improved timing to postoperative ambulation in turn results in decreased complication rates, decreased length of stay, and ultimately decreased healthcare costs [4•, 40, 41]. For these reasons, trends favor minimally invasive approaches for lumbar fusion. Due to smaller surgical window and introduction of novel techniques, common complications include neurological deficits, cerebrospinal fluid leaks, and misplaced hardware [44]. This systematic review corroborates previous published common MI-TLIF complications. Cerebrospinal fluid leaks have been shown to occur less often in minimally invasive spine surgery when compared with open surgery, and when they do occur, CSF leaks in open surgical procedures result in higher rates of lumbar drain placement and surgical intervention [26]. There are some unique but uncommon complications that are becoming more prevalent with the use of minimally invasive spine surgical approaches. One such complication is a Kirschner wire (K-wire) fracture during MI-TLIF. Although rare, with one study revealing an incidence as low as 1.2%, K-wire fractures pose a potential risk for migration and further complications [45]. There are limited data on K-wire fractures, often because this might go undocumented and is thus underreported in the literature on complications following minimally invasive spine procedures.

Additionally, specific patient characteristics might influence the rates and variability of complications following spine surgery including body mass and age. Obesity has been associated with greater rates of perioperative complications during thoracic and lumbar fusion [46]. However, studies investigating outcomes in obese populations compared with normal weight populations undergoing MI-TLIF have found no significant difference in complications [28], with some studies suggesting decreased complications in obese patients undergoing minimally invasive surgery compared with open TLIF [47•]. A retrospective analysis of elderly patients revealed a complication rate of 11.1% and all complications resolving by

Fig. 1 PRISMA systematic review flow chart. PRISMA flow chart displaying the systematic review of minimally invasive transforaminal lumbar interbody fusion (MI-TLIF)



the 1-year follow-up, suggesting minimally invasive spinal surgery may be safe in elderly populations [48].

Minimally invasive spine surgery for adult spinal deformity also is an important subgroup with a different complication profile. Open surgery for adult scoliosis has been described as having very high complication rates, up to 66% [49]. Minimally invasive lateral transpsoas surgery for adult degenerative scoliosis (DS), however, has been shown to have significantly decreased complications when compared with open surgery [50, 51]. In one study investigating concave versus convex approaches for minimally invasive lateral lumbar interbody fusions for thoracolumbar DS, complications occurred approximately 25% of the time and reoperations were required in 18.8% of patients, with higher complication risk in the concave approach [19]. Although minimally invasive surgery using a lateral approach has been shown to be effective

for both coronal and sagittal spine realignment, cage subsidence remains a serious complication [52].

Minimally invasive spinal decompression (MISD) has been shown to have equivalent efficacy to traditional, open decompression methods, with decreased pain, recovery time, and opioid use [53, 54]. Rahman et al. compared open decompressive laminectomy with minimally invasive lumbar laminectomy for lumbar stenosis, finding complication rates of 16.1% in the open group compared with 7.9% in the minimally invasive cohort [53]. A systematic review describing MISD for degenerative spondylolisthesis found an overall complication rate of 1.6% and an overall reoperation rate of 4.5% [55]. Another systematic review exploring minimally invasive discectomy versus microdiscectomy and open discectomy in lumbar disc herniation cases found lower rates of surgical site infections and urinary tract infections, yet

Table 1 Included study characteristics and corresponding complication data for MI-TLIF

Author	Year	Design	F/U	MI-TLIF patient sample	Complication	N	%	Recommended treatment	Resolution of complication on follow-up
Senker et al. [9]	2018	Retrospective, single arm	1 mo to 1 y	229 patients	Postoperative neurologic deficit Incorrect fixation (rod) Screw loosening (osteoporotic) Dermal excoriation due to surgical draping Activated omarmosis by surgery storage Adjacent segment disease Urinary tract infection Hematoma (spinal epidural, POD 4) Screw pullout (osteoporotic) Screw malposition Vertebral canal narrowing (POD 1, bony fragment) Vertebral canal narrowing (POD 16, pedicle fracture) Mechanical dislocation of proximal fusion system	1 2 2 1 1 1 2 1 1 1 1 3	0.4 0.9 0.9 0.4 0.4 0.4 0.9 0.4 0.4 0.4 0.4 1.3	NR NR NR NR NR NR NR NR NR NR NR NR	NR NR NR NR NR NR NR NR NR NR NR NR
Fan et al. [10]	2017	Prospective, comparative	NR	126 patients, comparing localization systems in overweight/obese (BMI ≥ 24) patients	Cerebrospinal fluid leak (intraoperatively) Cerebrospinal fluid leak (intraoperatively) Surgical site infection Guide wire breakage	2 2 1	1.6 1.6 0.8	Conservative treatment Antibiotics Broken wire removed intra-operatively	NR NR Resolved
Singh et al. [11]	2017	Retrospective, comparative	6–12 wks.	139 patients comparing post-op analgesia	Unspecified: either incidental durotomy, epidural hematoma, ligament tear, perioperative fracture, vascular injury, hemorrhage	1	0.7	NR	NR
Li et al. [12]	2017	Prospective, comparative	30.3 mo mean	103 patients using tunnel technique, compared to open TLIF	Pneumonia Screw malposition	1 3	1.0 2.9	Not reported Asymptomatic, no replacement needed	NR NR
Liu and Zhou [13]	2017	Prospective, comparative	46.5 mean	192 patients compared to PELD	Incidental durotomy; cerebrospinal fluid leak (lasted 3–5 days post-op) Adjacent segment disease Surgical site infection (deep)	6 5 1	3.1 2.6 0.5	Overlying fascia closed tightly, supine bed rest few days post-operatively	Resolved within 1 week; CSF leakage lasted 3–5 days
Tay et al. [14]	2016	Retrospective, comparative	2.71–2.88 y mean	230 patients comparing outcomes in patients with and without mild lumbar scoliosis	Graft site infection (iliac crest); Non-union; cage reexpulsion Screw malposition; pneumonia; cage migration Broken cage (intraoperatively) Graft site infection (iliac crest); Incidental durotomy Screw malposition (medial L5 pedicle); cage migration	1 1 1 1 1 1 1 1 2	0.4 0.4 0.4 0.4 0.4 0.4 0.4 0.4 0.9	Exploration, debridement, oral antibiotics, revision 4 y later Revision surgery (2 wks), pneumonia resolved with IV antibiotics Cage could not be removed debridement, oral antibiotics; Asymptomatic	Resolved Poor outcome Resolved Resolved Resolved Resolved Resolved Resolved Asymptomatic

Table 1 (continued)

Author	Year	Design	F/U	MI-TLIF patient sample	Complication	N	%	Recommended treatment	Resolution of complication on follow-up
Bakhsheshian et al. [15]	2016	Retrospective, single arm	13.6 mo (8.8) mean (SD)	513 patients focused on graft extrusions	Graft extrusion Graft extrusion; hematoma (spinal epidural)	4	0.8	2 patients required revision surgery for cage migration, 2 patients had NR no clinical consequences	NR
Wong et al. [16•]	2015	Prospective, single arm	13.6 mo (8.8) mean (SD)	513 patients	Incidental durotomy Instrumentation failure Urinary retention Pulmonary embolism Neurological deficit Ileus Hematoma Deep vein thrombosis Surgical site infection	26 11 7 5 4 4 4 2	5.1 2.1 1.4 1.0 0.8 0.8 0.8 0.4	Flat bed rest overnight Revision surgery (2), k wire retrieved (5), intraoperative repositioning and removal of k wire fragment (1) No intervention Anticoagulation therapy Physical therapy No intervention Reoperation for evacuation (for the 3 patients who had continued radicular sx) Anticoagulation therapy	Resolved Resolved Resolved (1 death) 2 residual weakness, 2 resolved Resolved Resolved Resolved NR
Giorgi et al. [17]	2015	Prospective, single arm	ly	182 patients	Non-union Screw malposition (symptomatic) Non-union Surgical site infection Bleeding (unspecified)	2	1.1	Revision surgery Revision surgery Revision surgery Revision surgery Revision surgery	NR NR NR NR NR

Table 1 (continued)

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Author	Year	Design	F/U	MI-TLIF patient sample	Complication	N	%	Recommended treatment	Resolution of complication on follow-up	
Wong et al. [26]	2013	Retrospective, comparative	144 patients compared with open TLIF	Neurologic radiculitis; neurologic deficit (immediate postoperative) Neurologic radiculitis; neurologic deficit (> 48 h)	4 5 7	2.8 3.5 4.9	NR NR NR	NR NR NR	NR	
				Cerebrospinal fluid leaks Vascular or abdominal injury Persistent stenosis (symptomatic) Screw malposition Cage migration Transfusion (postoperative) Respiratory infection Urinary tract infection Surgical site infection (superficial) Hematoma diagnosed postoperatively)	2 1 3 3 3 6	1.4 0.7 2.1 2.1 2.1 4.2	Revision surgery Revision surgery NR NR NR NR	NR NR NR NR NR NR	NR	
				Deep vein thrombosis (symptomatic) Revision surgery (4 y, overall) Repeat decompression Revision surgery (hardware issues) Vascular or abdominal repair Pseudarthrosis Adjacent-level degeneration (new)	3 1 3 3 3 22	2.1 0.7 2.1 2.1 2.1 8.3	Reoperation Reoperation NR NR NR Revision surgery	NR NR NR NR NR NR	NR	
Kim et al. [27]	2013	Retrospective, single arm	2 y	104 patients focus on cage subsidence	< 2 mm 2-4 mm < 4 mm	10 8	9.6 7.7	NR NR	NR NR	
Lau et al. [28]	2013	Retrospective, comparative	NR	142 patients focus on superior facet violation; comparing open vs MI-TLIF, imaging technique	Superior facet violation	9	6.3	NA	NA	
Silva et al. [29]	2013	Retrospective, comparative	33 mo mean	138 patients	Incidental dυrotomy Urinary retention; perineal pυhesthesia Radiculopathy (severe, transient, postoperative) Surgical site infection (superficial) Radiculopathy (motor, persistent) Screw malposition Hematoma (extradural) Myocardial infarction	8	5.8 0.7 3 2 1 1 1 1	Corrected intraoperatively convert to open procedure (1) NR NR NR NR NR NR NR	Resolved; persistent neurogenic bladder, perineal pυhesthesia (1) NR NR NR NR NR NR NR	NR
Singh et al. [30]	2013	Retrospective, single arm	1 y	610 patients 573 followed up	Radiculitis Incidental dυrotomy Surgical site infection Neuroforaminal bone growth;	327 23 3 10	57.1 4.0 0.5 1.7	Medrol dose pack 1 month NR Irrigation and debridement (1) Revision surgery (3 underwent before)	Resolved (except cases that underwent revision surgery before)	NR

Table 1 (continued)

F/U, follow-up time period; *NR*, not reported; *yr*, year; *mo*, months; *wks*, weeks; *b/l*, bilateral; *post-op*, postoperative; *xx*, symptoms; *PLIF*, posterior lumbar interbody fusion; *TLLF*, transforaminal lumbar interbody fusion; *POD*, postoperative day; *BM*, body mass index; *PELD*, percutaneous endoscopic lumbar discectomy; *ASD*, adjacent segment disease; *kwire*, kirschner wire

*Values are represented as the number and the percentage of misplaced screws ($n = 488$)

higher rates of rehospitalization for recurrent disc herniation [56].

Recently, minimally invasive spine surgery has extended beyond just novel methods for elective procedures to traumatic injuries. Percutaneous pedicle screw fixation (PPSF) has been shown to be a satisfactory management method for traumatic spine injuries, such as flexion-distraction injuries. Studies comparing open pedicle screw fixation and posterolateral fusion to minimally invasive PPSF in thoracolumbar flexion-distraction injuries found that the two methods had very similar efficacy, with minimally invasive methods resulting in decreased blood loss and tissue damage [57]. A meta-analysis comparing PPSF with open posterior pedicle screw placement for thoracolumbar fractures favored minimally invasive approaches, documenting decreased postoperative pain, blood loss, operating time, length of stay, and incision time, yet no significant difference in complications [58•, 59]. A large study retrospectively analyzing complication rates after PPSF in 781 patients suffering from thoracolumbar and lumbar fracture reported a complication in 5.9%, with complications such as blood vessel injury and poor vertebral reduction and internal fixation, guide wire breakage, screw breakage, and screw malposition [60]. There were also reported complications of screw malposition, cerebrospinal fluid leakage, guide wire rupture, and infection, similar to other minimally invasive spinal procedures.

Minimally invasive spine surgery techniques have revolutionized the management of common and serious spine pathologies, making surgery safer for many patients. Despite the intricacies of specific complication types and rates among varying minimally invasive spine procedures, all novel minimally invasive techniques share a common theme, in that there is a steep learning curve to mastering these innovative procedures [61]. Despite the need for mastering new procedural skills, minimally invasive spine surgical procedures have still been found to have decreased operation time, length of stay, and blood loss, suggesting that the skills associated with minimally invasive spine surgery require specialized surgical training in order to benefit patients [62].

There are several important limitations for this study. We utilized PubMed as the primary engine and attempted to include broad search terms, but it is possible that we did not identify all articles published meeting inclusion criteria. Additionally, the focus of this study is very narrow, systematically analyzing only articles concerning MI-TLIF among studies with at least 100 subjects. There were varying patient populations within the included articles, such as studies including only obese patients or using a distinct surgical technique, perhaps influencing the observed complication rates. Further systematic review of other minimally invasive spine surgeries will be necessary to better understand complication rates across alternative procedures, diagnoses, and patient populations. Future work should focus on a systematic review

of all minimally invasive spinal procedures to optimize patient education and clinical preparation and insight into potential complications following minimally invasive spine surgery.

Conclusion Minimally invasive spine surgery, although proven to have lower complication rates than traditional open methods, continues to have a distinct set of complications. These complications vary based on the exact minimally invasive procedure and indication. The majority of MI-TLIF complications based on current published literature are radiculitis, screw malposition, and incidental durotomy.

Compliance with Ethical Standards

Conflict of Interest Hannah Weiss, Roxanna Garcia, Ben Hopkins, Nathan Shlobin, and Nader Dahdaleh declare that they have no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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