


# Pathophysiology of Ganglioside GM1 in Ischemic Stroke: Ganglioside GM1: A Critical Review

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## Abstract

Ganglioside GM1 is a member of the ganglioside family which has been used in many countries and is thought of as a promising alternative treatment for preventing several neurological diseases, including cerebral ischemic injury. The therapeutic effects of GM1 have been proved both in neonates and in adults following ischemic brain damage; however, its clinical efficacy in patients with ischemic stroke is still uncertain. This review examines the recent knowledge of the neuroprotective properties of GM1 in ischemic stroke, collected in the past two decades. We conclude that GM1 may have potential for stroke treatment, although we need to be cautious in respect of its complications.

## Keywords

Ganglioside GM1, monosialotetrahexosylganglioside, stroke

## Introduction

Considerable interest lies in the evaluation of ganglioside GM1, an important member of the ganglioside family. Ganglioside GM1 became of great importance to scientists in the early 1970s after its role was established as a functional tissue receptor for the cholera toxin<sup>1</sup>. Since then, scientists aimed to identify further functions of gangliosides, which became the subject of numerous global conferences. Furthermore GM1 has been shown to increase the activities of neurotrophic factors, thereby promoting protective effects on the neural system by encouraging neural stem cell survival and proliferation<sup>2</sup>, facilitating the stability and regeneration of axons, and by further preventing neurodegeneration<sup>3–6</sup>. GM1 supplementation could also afford a protective intervention in high altitude cerebral edema by suppressing oxidative stress and inflammatory response<sup>7</sup>. Because treatment with intravenous ganglioside was found to cause an acute inflammatory polyneuropathy also known as Guillain–Barré syndrome (GBS), GM1 was withdrawn from Europe<sup>8</sup>. However, this complication was not common<sup>9</sup> and GM1 continued to be available in Asian countries, including China, where GM1 has long and widely been used in various nervous system diseases, mostly without occurrence of GBS or other severe complications<sup>10–13</sup>. Recent findings have suggested that GM1 may be related to the outcomes in the stroke progress by

regulation of cell death and survival<sup>14</sup>. Our team has been working on stroke research for many years. We have previously reviewed and explored the role of GM1 in ischemic stroke<sup>15</sup>. In this paper, we will update the

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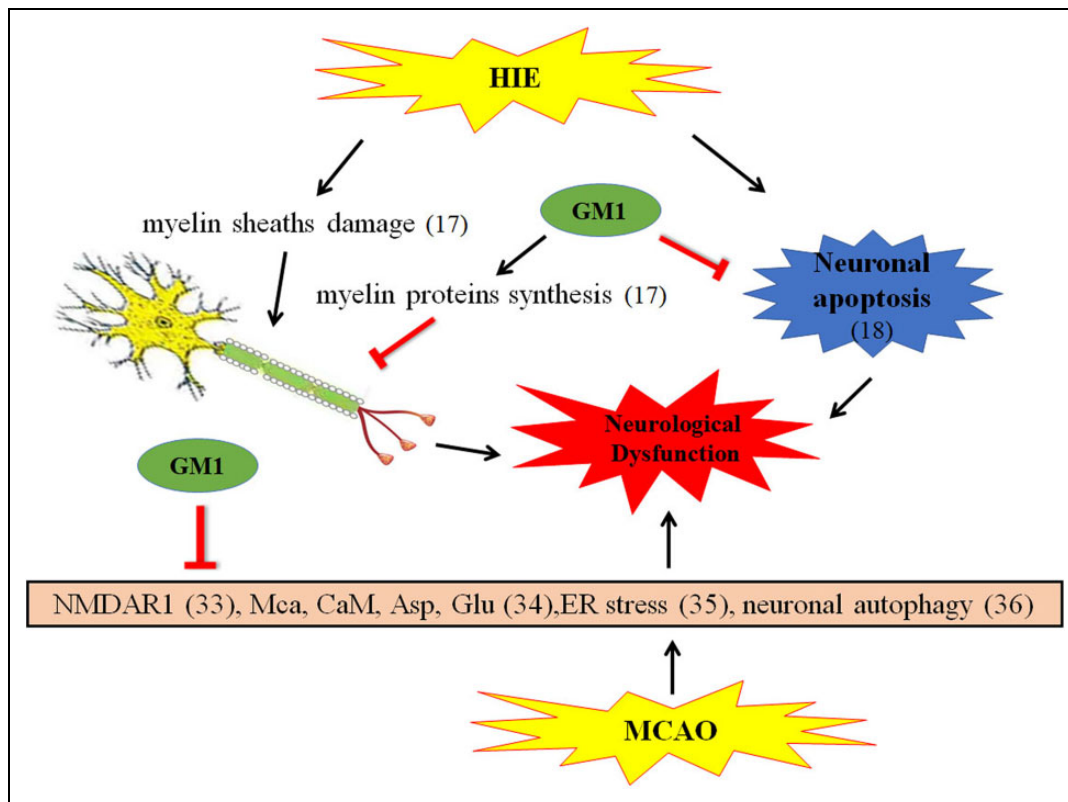
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**Figure 1.** The mechanism of GM1 on ischemic brain injury.

HIE: hypoxic ischemic encephalopathy; MCAO: middle cerebral artery occlusion; NMDAR: N-methyl-D-aspartate receptor; MCa: mitochondrial calcium; CaM: calmodulin; Asp: aspartate; Glu: glutamate; ER: endoplasmic reticulum

information for a better understanding of this field. The literature search was conducted using Medline. The following search terms were used: “Ganglioside GM1,” “Monosialotetrahexo-sylganglioside,” “ischemic stroke,” “ischemic brain injury,” and “stroke”. The keywords used in the Medline search were cross-referenced and the literature search was limited to English language publications on the subject. All relevant articles were included after a careful evaluation.

## GM1 in Animal Studies

### In Neonatal Ischemic Brain Injury

Neonates with hypoxic ischemic encephalopathy (HIE) is susceptible to develop cerebral white matter injury (WMI), a major cause of neonatal death and long-term disability<sup>16</sup>. The expression of GM1 has been found to exhibit an obvious decline at 48 h after hypoxic ischemia in lipid rafts of p 7 neonatal rats<sup>17</sup>. Myelin sheath damage, the main feature of WMI, was efficiently improved by GM1 treatment and eventually prevented secondary brain injury. The nerve repair and myelination benefit from GM1 possibly involves helping the connection of paranode proteins with lipid rafts, promoting myelin basic proteins synthesis, thus stabilizing paranode structures, and eventually repairing the damage to

the myelin sheath<sup>14,17</sup>. Recently, monosialotetrahexosylganglioside GM1 administration was found to largely attenuate the neurological impairment manifestations in P 10 rats subjected to hypoxic ischemia by protecting against neuronal apoptosis (Figure 1)<sup>18</sup>.

### In Adult Ischemic Brain Injury

Difference in the decrease of GM1 in neonates following HIE, in a recent study by Whitehead et al.<sup>19</sup>, which aimed to examine the spatial profile of ganglioside species using matrix-assisted laser desorption/ionization imaging, found that GM1 d18:1 (one of the GM1 moieties, which differs in carbon chain length within the sphingosine base) signal was up-regulated within the ipsilateral cortex, striatum and hippocampus, peaked by seven days post middle cerebral artery occlusion (MCAO), and dropped within the ipsilateral hemisphere within brain areas where tissue viability had been lost in adult mice. GM1 d20:1 was up-regulated at 24 h and peaked by three days post-MCAO within the ipsilateral cortex and in the hippocampi of both sides of the hemisphere. By seven days post-MCAO, GM1 d20:1 was restricted to regions surrounding the infarct core, as determined by Cresyl violet staining. This trend is similar to the results of an endothelin-1 (ET-1) subcortical stroke with the

Alzheimer's disease model, in which Wistar rats were injected with both ET-1 and beta-amyloid (A $\beta$ ), and much more serious damage was in the brain of the animal<sup>20</sup>. Both GM1d18:1 and d20:1 demonstrated a significant increase three days after administration of ET-1 and A $\beta$  compared with a sham group. However, following stroke alone (only ET-1 injection) there was a decrease in GM1 after injury. The differences in these results may be explained by the severity of injury the animals received. These findings suggest that GM1 may play a role in mediating functions of those areas of the brain mentioned above following MCAO in mice. Consistent with the previous studies, both high-performance thin-layer chromatography and immunofluorescence microscopy revealed an increase in GM1 expression in rat cerebral cortex after MCAO, the changes of GM1 expression being thought to be an autologous mechanism against ischemic damage<sup>21</sup>. One possible explanation for the differences in the GM1 expression between neonates and adults following ischemia is that this protection mechanism may not be sufficiently developed in neonates.

Ischemic stroke is characterized by high mortality and significant neurological deficits in long-term survivors<sup>22–27</sup>. Its mechanisms of neuronal cell death have only partially been elucidated<sup>28–30</sup>, which is thought to be the key to this serious condition. Glutamate receptor N-methyl-D-aspartate receptor (NMDAR) is considered to be an important regulator in the cerebral ischemia pathological processes<sup>31,32</sup>. GM1 was reported to maintain the normal neurological functions by reducing the expression of NMDAR subunit NMDAR1 in a MCAO rat model<sup>33</sup>. In the present study, the authors did not measure the expression of GM1 after focal cerebral ischemia/reperfusion, but they found that GM1 could significantly reduce the infarct size, and this effect was time-dependent. Specifically, GM1 delivered in a short period of 5 min or 1 h after surgery was effective, while the administration at 2 h following MCAO was not. Therefore, early use of GM1 was recommended for cerebral ischemia. Besides the inhibition of NMDA, GM1 has been shown to decrease the content of mitochondrial calcium and calmodulin and increase the expression of aspartate and glutamate in neurons of the hippocampus CA1 region and frontal cerebral cortex after cerebral ischemia–reperfusion injury<sup>34</sup>. Su et al. found that GM1 (15 mg/kg) intraperitoneally administered at 20 min prior to reperfusion modulated endoplasmic reticulum stress-related protein levels, by increasing GRP78 and reducing CHOP/GADD153 expression along with activation of caspase-12 in the ischemic brain hemispheres in rats with diabetes<sup>35</sup>. These results imply that GM1 attenuates diabetes-associated cerebral ischemia–reperfusion injury by suppressing the endoplasmic reticulum stress. GM1 has also been shown to improve neurobehavioral performances, reduce infarction size, and to decrease mortality rate after ischemic brain injury by inhibiting autophagy makers<sup>36</sup>. The ratio of LC3-I to LC3-II, P62 level, and Beclin-1 expression were all

**Table 1.** GM1 in Clinical Trials.

Patients	Symptoms improved or not	References
Ischemic stroke	Not/improved	9, 10, 11, 12, 13
PD	Improved	11, 44
AD	Improved	45

PD: Parkinson's Disease; AD: Alzheimer's Disease.

significantly reduced after GM1 treatment, without finding any significant adverse effects (Figure 1).

## GM1 in Clinical Trials

Previous studies showed tissue-type plasminogen activator (tPA) improved thrombolytic efficacy and long-term neurological outcomes in stroke patients<sup>37–40</sup>. However, the narrow therapeutic window and the potential risk of intracerebral hemorrhage of tPA lead to the fact that only few patients benefit from it<sup>41</sup>. Given its good neuroprotective effects in animal experiments, GM1 successfully attracted the attention of neurologists and began to be used in patients with acute ischemic stroke and other neurological disorders<sup>13,42,43</sup>. The clinical application of GM1 achieved initial benefits soon after in Parkinson's and Alzheimer's patients; however, its clinical efficiency in ischemic stroke disease still needs to be validated<sup>11,44,45</sup>. Candelise et al. reviewed the Cochrane Stroke Group trials register<sup>9</sup>, in which 12 clinical trials involving about 2300 patients with definite or presumed ischemic stroke were identified and analyzed. The results did not show any significant differences with respect to the incidence of disability and fatality between groups. GM1 has even been banned because of the risk of GBS in Europe countries<sup>8</sup>. Interestingly, it is still being widely used in Asian countries for its potential neuroprotective effects by improving behavioral outcomes in stroke patients<sup>10–13</sup>, without any severe complications (see Table 1).

## Conclusion

Overall, ganglioside GM1 treatment for ischemic stroke needs to be implemented with caution. The related clinical trials were conducted with several limitations. For example, one important noticeable issue is that many severe stroke patients were included in those trials, and more severe injuries, more bad outcomes. Ethnical and regional disparities may also account for part of the differing incidence of GBS. It is worth mentioning that GBS was not found in the Asian area, maybe because GBS was not reported to the local health departments in some Asian countries. It is apparent that additional clinical studies are needed to test the effect of GM1, given the above-mentioned limitations. Overall, GM1 may have potential for stroke treatment.

## Declaration of Conflicting Interests

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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