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## LC3-associated endocytosis facilitates $\beta$ -amyloid clearance and mitigates neurodegeneration in murine Alzheimer's Disease

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### SUMMARY

The expression of some proteins in the autophagy pathway decline with age, which may impact neurodegeneration in diseases, including Alzheimer's Disease. We have identified a novel non-canonical function of several autophagy proteins in the conjugation of LC3 to Rab5<sup>+</sup>, clathrin<sup>+</sup> endosomes containing  $\beta$ -amyloid in a process of LC3-associated endocytosis (LANDO). We found that LANDO in microglia is a critical regulator of immune-mediated aggregate removal and microglial activation in a murine model of AD. Mice lacking LANDO but not canonical autophagy in the myeloid compartment or specifically in microglia have a robust increase in pro-inflammatory cytokine production in the hippocampus and increased levels of neurotoxic  $\beta$ -amyloid. This inflammation and  $\beta$ -amyloid deposition was associated with reactive microgliosis and tau hyperphosphorylation. LANDO-deficient AD mice displayed accelerated neurodegeneration, impaired neuronal signaling, and memory deficits. Our data support a protective role for LANDO in microglia in neurodegenerative pathologies resulting from  $\beta$ -amyloid deposition.

### Graphical Abstract

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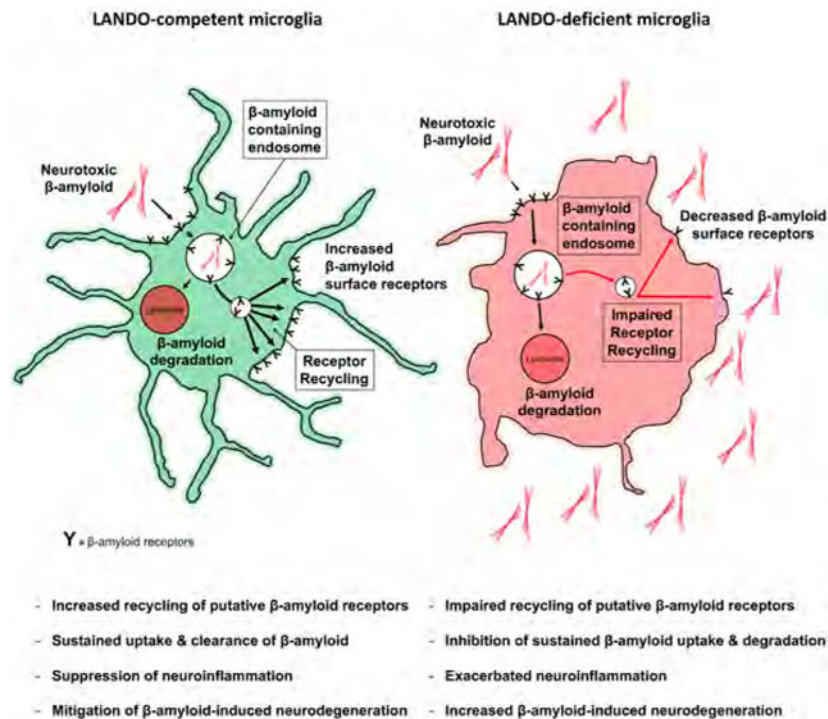
#### CONTRIBUTIONS

B.L.H. and D.R.G. designed the experiments; B.L.H. performed and analyzed the experiments; B.J.W.T., B.T., E.B.R., L.H., M.Y., C.S.G., and S.S.Z. provided resources and performed and analyzed specific experiments. B.L.H. and D.R.G. wrote and edited the manuscript.

#### COMPETING INTERESTS

The authors declare no competing financial interests.

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## Keywords

LC3-associated endocytosis; LANDO; autophagy; LC3-associated phagocytosis;  $\beta$ -amyloid; Alzheimer's Disease; neurodegeneration; neuroinflammation; microglia; microgliosis; tau pathology; receptor-mediated endocytosis

## INTRODUCTION

Microglial cells are the primary immune cell of the central nervous system (CNS). Although distinct from peripheral macrophages (Lenz and Nelson, 2018), microglia have the ability to recognize pathogens and other inflammatory stimulants by virtue of a host of receptors including toll-like receptors (TLRs) (Gurley et al., 2008), Fc receptors (Fuller et al., 2014), Ig-superfamily receptors including TREM2 (Ulrich et al., 2014; Wang et al., 2016; Zhao et al., 2018), scavenger receptors (SR) (Wilkinson and El Khoury, 2012) and complement receptors (Doens and Fernandez, 2014). It is currently believed that cooperation between several of these receptor families is responsible for the recognition of and response to A $\beta$ -peptide oligomers and amyloids (A $\beta$ ) by microglial cells (Doens and Fernandez, 2014; Liu et al., 2012). Upon binding of ligands such as A $\beta$ , microglial cells internalize the target by receptor-mediated endocytosis (RME), leading to activation of signaling pathways and cytokine production in a ligand-dependent manner (Dheen et al., 2007). Microglia can undergo pro-inflammatory, classical (M1) or anti-inflammatory, alternative (M2) activation depending on which immune receptor is engaged, resulting in the activation of multiple downstream intracellular signaling pathways (Wang et al., 2014).

Microglia are the principal mediators of inflammation in response to A $\beta$  accumulation (Machado et al., 2016; Perry and Holmes, 2014; Wang et al., 2015a), and their contribution to neuroinflammation is highly correlated to the progression of neurodegeneration and synaptic dysfunction, particularly with respect to Alzheimer's disease (AD). Pro-inflammatory cytokines and chemokines secreted into the immediate neurological environment accelerate neuronal injury and eventually neuron death (Aktas et al., 2007; Heckmann et al., 2018; Morales et al., 2014).

The process of macro-autophagy (herein, autophagy) functions to sustain cell survival under conditions of nutrient deprivation and to remove damaged organelles, protein aggregates, and other cellular components to maintain "quality control". The expression of several autophagy proteins, in particular Beclin1, ATG5, and ATG7, decline with age, and is exacerbated in AD (Lipinski et al., 2010; Lucin et al., 2013; Pickford et al., 2008; Rubinsztein et al., 2011). Roles for autophagy have been explored in the context of neuronal function, homeostasis, and alterations leading to disease pathology and neuronal dysfunction (Colacurcio et al., 2018; Ejlertskov et al., 2019; Harris and Rubinsztein, 2011; Menzies et al., 2017; Rubinsztein et al., 2015). While axonal autophagy has been implicated as a protective mechanism in the early stages of neurodegeneration (Maday, 2016), the functions of autophagy proteins are less well characterized in the context of microglia and immune activation.

We hypothesized that defects in autophagy or autophagy proteins might influence microglial behavior in the context of A $\beta$  deposition. We employed an established murine model of AD and found that in the myeloid compartment (including microglia), the autophagy protein, ATG5, promoted protection from A $\beta$  accumulation, neuroinflammation, and neurodegeneration. In contrast, another requisite autophagy protein, RB1-inducible coiled-coil protein 1, also called FIP200 (herein, FIP200), has no such function. Strikingly, a protein that constrains canonical autophagy, Rubicon, was similarly required for the protective effects. In the absence of Rubicon either globally or conditionally in microglia, or myeloid ATG5 (but not myeloid or microglial FIP200), we observed accelerated disease pathology and neurodegeneration, reactive microgliosis, tau pathology, and behavioral impairment.

In dissecting these effects, we identified a novel form of RME and receptor recycling characterized by association of LC3/GABARAP-family proteins (herein, "LC3") with endosomal membranes, a process we call **LC3-Associated eNDO**cytosis (LANDO). In response to A $\beta$ , LANDO supports the clearance of A $\beta$  deposits and prevents microglial activation, such that in its absence, inflammatory cytokine production and neurodegeneration proceed unchecked. This alternative function of some components of the autophagy pathway support the idea that changes in the expression of autophagy proteins can influence AD pathology in a manner that does not involve canonical autophagy.

## RESULTS

### ATG5 and Rubicon-deficiency exacerbate A $\beta$ deposition and pathology

To ascertain the involvement of microglial autophagy proteins in the establishment and progression of A $\beta$  deposition and neuroinflammation, we employed a murine model in which animals express transgenes of APP and Presenilin1 containing several mutations associated with human familial AD, the 5xFAD (*B6.Cg-Tg (APPSwF1L0n, PSEN1 \*M146L \*L286V) 6799Vas*) model (Oakley et al., 2006). The 5xFAD transgene was crossed into mice with conditional ablation of the key autophagy regulators FIP200 and ATG5 using lysozyme M (*LysM/Lyz2*)-Cre-lox recombination, which targets cells of the myeloid lineage and microglia, with an efficiency ranging from 40-90% in microglia (Abram et al., 2014; Ferro et al., 2018; Pulido-Salgado et al., 2017). Primary microglia isolated from *LysM-Cre<sup>+</sup> FIP200<sup>fl/fl</sup>* and *ATG5<sup>fl/fl</sup>* mice (referred to as *FIP200,cre<sup>+</sup>* and *ATG5,cre<sup>+</sup>*) showed a significant reduction in mRNA and protein expression when compared to *LysM-Cre<sup>-</sup>* littermates (Fig. S1A,B). It has also been suggested that *LysM-cre* can produce off-target effects in neurons (Orthgiess et al., 2016). Therefore, we analyzed FIP200 and ATG5 protein expression in primary neurons from both FIP200 and ATG5 genotypes using *LysM-cre* and observed no changes in endogenous expression levels (Fig. S1C). Moreover, primary microglia from these genotypes displayed a dramatic reduction in both autophagic activation and autophagic flux when compared to cells isolated from *cre<sup>-</sup>* littermates, as measured by LC3-II generation following treatment with rapamycin or LC3-II and P62 accumulation following treatment with the lysosomal inhibitor bafilomycin A1 (Fig. S1D,E). In addition to conditional ablation of FIP200 and ATG5 in myeloid cells, we also examined 5xFAD mice with germline-deficiency of Rubicon (*Rubicon<sup>-/-</sup>*). Rubicon has been shown to be an inhibitor of canonical autophagy (Matsunaga et al., 2009) and a key component of a non-canonical function of autophagy proteins that modulates inflammatory immune activation (Cunha et al., 2018; Martinez et al., 2016).

To establish mice that have conditional deletion of FIP200 or Rubicon in microglia, we generated 5xFAD, *FIP200<sup>fl/fl</sup>* or 5xFAD, *Rubicon<sup>fl/fl</sup>* mice with a *CX3CR1-cre<sup>ERT2</sup>* transgene (Goldmann et al., 2013) (See STAR Methods). Primary microglia isolated from 5xFAD, *Rubicon* and *FIP200*, *CX3CR1-cre<sup>ERT2</sup>* mice treated with tamoxifen at one month of age and allowed to reconstitute for 3 months (herein referred to as *MG-cre<sup>+</sup>*) showed ablation of *Rubicon* (Fig. S1F,G) or *FIP200* (Fig. S1G), as indicated. In contrast, expression of each gene was restored in peripheral, splenic macrophages (Fig. S1H), as expected.

Mice that are autophagy-deficient (5xFAD, *FIP200*, *LysM-* or *MG-cre<sup>+</sup>*) displayed no differences in A $\beta$  deposition when compared to *LysM-* or *MG-cre<sup>-</sup>* littermates (Fig. 1A-D). In contrast, deletion of ATG5 in the myeloid compartment (5xFAD, *ATG5*, *LysM-cre<sup>+</sup>*) led to a dramatic increase in A $\beta$  plaque number and plaque size within the hippocampus of young 5xFAD mice, at a time point (4 mos) when the 5xFAD model showed little A $\beta$  accumulation (Fig. 1A-D). This difference between myeloid deficiency of these two autophagy genes suggested an alternative pathway responsible for regulating A $\beta$  deposition, separate from canonical autophagy. Consistent with this notion and similar to mice deficient in myeloid ATG5, 5xFAD *Rubicon<sup>-/-</sup>* mice and 5xFAD *Rubicon MG-cre<sup>+</sup>* mice displayed

an increase in A $\beta$  plaque number and plaque size compared to either *Rubicon*<sup>+/-</sup> or *MG-cre*<sup>-</sup> littermates (Fig. 1A-D and S1I). Likewise, both 5xFAD *ATG5 LysM-cre*<sup>+</sup>, 5xFAD *Rubicon*<sup>-/-</sup>, and 5xFAD *Rubicon MG-cre*<sup>+</sup> mice showed early accumulation of A $\beta$  within the cortex, whereas mice deficient for myeloid or microglial FIP200 were unaffected compared to LysM- or MG-cre<sup>-</sup> littermates (Fig. 1E,F and Fig. S1J,K). Whole brain immunoblot analysis for A $\beta$  confirmed these increases in deposition (Fig. 1D). We also noted lower A $\beta$  accumulation in the *FIP200 MG-cre*<sup>+or-</sup> and the *Rubicon MG-cre*<sup>-</sup> (control) animals than we observed in our LysM-cre<sup>-</sup> or *Rubicon*<sup>+/-</sup> animals (Fig. 1B,C,D). It is possible that this was due to the described ability of tamoxifen, given to all of the *MG-cre* animals, to reduce oligomerization of A $\beta$  (Park et al., 2016). Despite this, the effects on A $\beta$  accumulation upon microglial-specific deletion of Rubicon were similar to those observed in *Rubicon*<sup>-/-</sup> mice (Fig. 1B,C,D).

The exacerbation of A $\beta$  accumulation within the hippocampus and cortex of the myeloid ATG5 and Rubicon-deficient mice but not in mice deficient in myeloid FIP200 is suggestive of an alternative function of canonical autophagy proteins in the regulation of A $\beta$  deposition.

### LC3 is recruited to endosomes containing A $\beta$ .

In an attempt to delineate the differences in A $\beta$  accumulation in the animal models presented above and the role of the autophagy proteins in this process, we engineered BV2 murine microglial cells expressing GFP-LC3 that are deficient in FIP200, ATG5, or Rubicon using CRISPR/Cas9 (Fig. S2A). Cells were cultured in the presence of oligomeric A $\beta$ <sub>1-42</sub> labeled with TAMRA. Parental cells and those lacking FIP200 displayed recruitment of LC3 to A $\beta$ -containing vesicles (Fig. 2A). In stark contrast, ATG5 and Rubicon-deficient cells cultured with A $\beta$  had a marked reduction in such recruitment (Fig. 2A), as well as membrane-associated LC3 (Fig. 2B) as measured by flow cytometry and described previously (Eng et al., 2010; He et al., 2009; Martinez et al., 2011; Martinez et al., 2015). Inhibition of actin polymerization and phagocytosis using latrunculin A (de Oliveira and Mantovani, 1988; Oliveira et al., 1996), prevented the internalization of the phagocytic substrate zymosan but had no effect on either the endocytic substrate dextran or A $\beta$  (Fig. 2C), suggesting that uptake of A $\beta$  in our model occurs primarily through endocytosis (RME). Consistent with this hypothesis, LC3<sup>+</sup> A $\beta$ -containing vesicles showed co-localization of clathrin and the endosomal marker Rab5 with LC3 (Fig. S2B). Inhibition of phagocytosis with latrunculin A also did not alter the association of LC3 to endosomal membranes in response to A $\beta$  (Fig. S2C). Taken together, these data suggest that both ATG5 and Rubicon are necessary for recruitment of LC3 to A $\beta$ -containing endosomes, whereas FIP200 is dispensable.

Our findings with ATG5, Rubicon, and FIP200 are consistent with a well-established non-canonical function of autophagy proteins in the LC3-associated phagocytosis (LAP) pathway (Heckmann et al., 2017). Deficiencies in LAP have been shown to reduce the ability of a cell to degrade phagosome cargo, including dying cells, fungi, and bacteria by impairing phagosome maturation and lysosomal interaction (Heckmann et al., 2017; Heckmann and Green, 2019; Martinez et al., 2011; Martinez et al., 2016; Martinez et al., 2015). We hypothesized that this may in part explain the increased A $\beta$  accumulation observed in our deficient murine models. To test this idea, we performed a pulse-chase assay



using oligomeric TAMRA-labeled A $\beta$ <sub>1-42</sub>. Contrary to our assumptions, however, ablation of either ATG5 or Rubicon had no effect on either the endocytosis or degradation of A $\beta$  (Fig. 2D). Indeed, earlier studies had shown no role for LAP in the maturation of dextran-containing endosomes or in the degradation of internalized EGFR following ligation (Cunha et al., 2018). Consistent with these reports, in the absence of Rubicon and ATG5, maturation of phagosomes was diminished as determined using pH-rodo-labeled zymosan, while maturation of endosomes containing pH-rodo-labeled A $\beta$  was unaffected (Fig. 2E, S2E). Likewise, in cells lacking ATG5 or Rubicon, but not FIP200, phagosomes containing zymosan showed a reduced association with the lysosome marker LAMP1, while LAMP1 association with A $\beta$ -containing endosomes was unaffected (Fig. 2F, S2F). These results argue against a role for LAP in regulating A $\beta$  endocytosis and degradation. Based on the association of LC3 to A $\beta$ -containing endosomes, we propose the term LC3-Associated eNDOcytosis (LANDO) to describe this effect and suggest that LANDO is distinct from LAP and may have a unique role in A $\beta$  clearance *in vivo*.

Recycling of the putative A $\beta$  receptors TREM2 and CD36 to the plasma membrane, following RME, require two autophagy proteins, Beclin1 and VPS34 (Lucin et al., 2013). Therefore, we examined the role of FIP200, ATG5, and Rubicon in recycling of TREM2, CD36, and TLR4 (suggested to be a putative A $\beta$  receptor (Reed-Geaghan et al., 2009; Song et al., 2011)). We first asked if subsequent rounds of A $\beta$  endocytosis would be impacted in our model. We treated BV2 cells with AF488-A $\beta$ <sub>1-42</sub> and measured primary A $\beta$  uptake. Consistent with our clearance assay, the loss of FIP200, ATG5, or Rubicon had no effect on primary uptake of A $\beta$  (Fig. 2G). We next added TAMRA-labeled A $\beta$ <sub>1-42</sub> and allowed for a second round of RME to occur. Again, we quantified the amount of internalized TAMR-1-42 and observed a reduction in secondary uptake in ATG5 and Rubicon-deficient cells but not those deficient in FIP200 (Fig. 2G). These results support a failure to return receptors to the plasma membrane following initial internalization, since secondary rounds of uptake were decreased but primary uptake was unaffected.

To better understand the abrogation of secondary uptake, we evaluated the impact of loss of FIP200, ATG5, and Rubicon on receptor recycling, using an established method (Lucin et al., 2013) (Fig. S3). In BV2 microglia, depletion of FIP200 had no effect on either the surface expression, internalization (Fig. S2D), or recycling of CD36, TLR4, or TREM2 (Fig. 2H,I). Strikingly, ablation of either ATG5 and Rubicon led to abrogation of recycling for all three receptors (Fig. 2H,I) while initial surface expression and internalization were unaffected (Fig. S2D). Furthermore, since TREM2 is the most well-characterized A $\beta$  receptor that activates A $\beta$  endocytosis (Doens and Fernandez, 2014; Ries and Sastre, 2016; Ulland et al., 2017; Wang et al., 2015b; Zhao et al., 2018), we evaluated TREM2 recycling in primary microglia cells isolated from Rubicon<sup>-/-</sup> mice. Rubicon-deficiency dramatically reduced recycling of TREM2 (Fig. 2J,K). These findings indicate a role for ATG5 and Rubicon in the regulation of receptor recycling upon internalization of A $\beta$ , as assessed by this method (Lucin, et al., 2013). Moreover, when taken together, the abrogation of receptor recycling but not internalization can explain why we did not see altered primary uptake but observed an impact on secondary rounds of endocytosis that are ATG5 and Rubicon dependent, but FIP200 independent. Therefore, LANDO facilitates the recycling of

internalized A $\beta$  receptors to the plasma membrane, supporting continued rounds of endocytosis.

To further explore this phenomenon, we employed the RAW264.7 myeloid cell line, and found that TLR4, TREM2, and CD36 effectively recycled to the plasma membrane following antibody-induced internalization. This recycling was dramatically impaired upon CRISPR/Cas9-mediated ablation of Rubicon or ATG5, upon expression of a dominant negative ATG4, or upon expression of the LC3-specific protease, RavZ (Fig. 3A, S4A-C). Expression of ATG4<sup>DN</sup> or RavZ, or ablation of ATG5 abrogated LC3-lipidation and autophagic flux (seen as accumulation of p62 upon treatment with bafilomycin A), as expected (Fig. S4B). The requirement for ATG4, which converts LC3 to LC3-I (Kabeya et al., 2004) and the effect of RavZ, which irreversibly cleaves lipidated LC3 (Choy et al., 2012; Kwon et al., 2017), strongly suggest that lipidation of LC3-family proteins is required for recycling of these receptors. We then extended our analysis using primary, bone marrow-derived macrophages (BMDMs) from animals with myeloid ablation of a number of genes required for autophagy and/or LAP (Fig. 3B, Fig. S4D). Ablation of Beclin1, VPS34, ATG5, or ATG7, required for both autophagy and LAP, prevented recycling of TLR4, TREM2, and CD36, as did Rubicon, required for LAP but not autophagy (Martinez et al., 2015). In contrast, no effects were seen upon ablation of ULK1, FIP200, or ATG14, all required for autophagy, but dispensable for LAP (Martinez et al., 2015). Therefore, the requirements for recycling of these receptors to the plasma membrane appear to be identical to those for LAP and distinct from those of autophagy. However, since we observed no effects on degradation of A $\beta$  (Fig. 2D), and since antibody-induced receptor internalization is via endocytosis and not phagocytosis, we conclude that it is the association of LC3 with endosomes (LANDO) that displays the genetic requirements for recycling of receptors for A $\beta$ . While we cannot formally exclude functions for LAP in the clearance and response to A $\beta$  *in vivo*, our results strongly suggest that LANDO plays a more central role in this process.

### LANDO protects against A $\beta$ -induced microglial activation

Our data suggest that the exacerbation of A $\beta$  accumulation in our LANDO-deficient mice is a result of impaired recycling of putative A $\beta$  receptors leading to extracellular deposition. A $\beta$ , especially the A $\beta$ <sub>1-42</sub> oligomer and fibril, is an established instigator of neuroinflammation (Cai et al., 2014). Since we have previously shown a vital role for LAP in regulating inflammatory immune responses (Martinez et al., 2016), we hypothesized that defective LANDO may have a similar effect. We therefore analyzed inflammatory cytokines in the BMDMs exposed to A $\beta$ <sub>1-42</sub> oligomers in the above experiment. Strikingly, those genotypes that displayed defective receptor recycling showed a dramatically elevated TNF $\alpha$ , IL-1 $\beta$ , and IL-6 response to A $\beta$ <sub>1-42</sub> (Fig. 3C).

We similarly examined the effects of A $\beta$ <sub>1-42</sub> on microglia. As expected, BV2 cells treated with A $\beta$ <sub>1-42</sub> had elevated pro-inflammatory gene expression, including IL-1 $\beta$ , IL-6, CCL5, and TNF $\alpha$  as reported (Pan et al., 2011) and consistent with human disease. FIP200-deficiency failed to have any impact on cytokine expression in response to A $\beta$ <sub>1-42</sub> (Fig. 3D). However, loss of LANDO in both ATG5 and Rubicon-deficient cells resulted in a robust increase in all four pro-inflammatory genes evaluated (Fig. 3D).

These findings were further substantiated in primary microglia from Rubicon-deficient mice. A potent hyperactivation of TNF $\alpha$ , IL-1 $\beta$  and IL-6 was observed at both the mRNA (Fig. 3E) and protein levels (Fig. 3F) upon A $\beta$ <sub>1-42</sub> exposure in Rubicon<sup>-/-</sup> microglia. Together, these results suggest a role for LANDO in the mitigation of inflammatory activation in response to A $\beta$ .

We next asked if reactive microglial activation was present in our murine models. Using Iba1 as a marker for microglial activation (Hoogland et al., 2015), we assessed hippocampal and cortical sections to evaluate microglial activation. Consistent with our *in vitro* findings, myeloid or microglia-specific FIP200-deficiency had no effect on the extent of Iba1 expression in either the hippocampus or cortex of 5xFAD mice (Fig. 4A-C). In contrast, microglial hyperactivation was present in 5xFAD mice deficient in either Rubicon or myeloid ATG5 (Fig. 4A,B). This activation was not constrained to the hippocampus and was also present in the cerebral cortex (Fig. 4A,C).

Hyperactivation of microglia leads to the morphological transition of cells from the ramified to the inflammatory amoeboid state (Kim and Joh, 2006). Morphological analysis of microglia in the hippocampus of 5xFAD LANDO-deficient mice revealed a ramified-to-amoeboid transition, with Rubicon-deficient (both global and microglia-specific) and myeloid ATG5-deficient mice having a reduction in ramified microglia in the hippocampus when normalized to total microglial numbers and compared to control littermates (Fig. 4D,E). FIP200-deficiency had no effect on microglial state transition when compared to control littermates. Furthermore, in 5xFAD Rubicon-deficient mice, analysis of microglia-plaque association revealed an increase in plaque-associated microglia (Fig. 4F), suggestive of progressive gliosis in response to A $\beta$ .

Due to the high level of microglia activation in ATG5 and Rubicon-deficient mice, and the transition to the more reactive amoeboid morphology, we decided to profile the most clinically relevant pro-inflammatory cytokines implicated in neuroinflammation in AD (Shafiq et al., 2008). Consistent with what we observed with cultured cells (Fig. 3), Rubicon- or myeloid ATG5-deficiency led to significant upregulation of pro-inflammatory cytokines at the transcriptional level in the brain, whereas myeloid FIP200-deficiency had no effect. Using Iba1 expression as a positive control, we observed significant increases in TNF $\alpha$ , IL-1 $\beta$ , IL-6, and a marginal increase in CCL5 within the hippocampus of 5xFAD Rubicon<sup>-/-</sup> and myeloid ATG5-deficient mice compared to control littermates (Fig. 4G). Neither microglial activation nor pro-inflammatory cytokine expression were altered in mice deficient in the 5xFAD transgene (Fig. S5A,B). We observed that over 90% of CD11b<sup>+</sup> cells in brains from the 5xFAD Rubicon<sup>+/-</sup> and <sup>-/-</sup> mice were TMEM119<sup>+</sup> (a microglia-specific marker (Bennett et al., 2016)) (Fig. S5C,D). Furthermore, the TMEM119<sup>+</sup> cells isolated from 5xFAD Rubicon<sup>-/-</sup> mice had higher Iba1 expression compared to Rubicon<sup>+/-</sup> animals (Fig. S5E). Therefore, we conclude that the vast majority of inflammatory cells we observed were microglia rather than brain-infiltrating peripheral monocytes, and that genetic manipulation of either Rubicon or ATG5 does not affect basal cytokine expression in the absence of 5xFAD.



These results suggest a critical role for Rubicon and myeloid ATG5 in mitigating reactive/inflammatory microglial activation in the 5xFAD model. Taken as a whole, these data suggest LANDO may contribute to not only the regulation of aberrant A $\beta$  deposition but also the immune activation of microglial cells in response to A $\beta$  exposure.

### **LANDO-deficient 5xFAD mice have robust tau pathology**

In agreement with human AD, LANDO-deficient 5xFAD animals displayed severe A $\beta$  accumulation that promoted reactive microgliosis. A marker of progressive AD in both humans and mice is the hyperphosphorylation of the microtubule-stabilizing protein tau. The incidence of tau phosphorylation increases as disease progresses and leads to microtubule de-stabilization and failure of the microtubule architecture, especially within neuronal axons (Frost et al., 2015; Gong and Iqbal, 2008; Noble et al., 2013). We evaluated the phosphorylation state of tau and identified the presence of phospho-tau which paralleled both our A $\beta$  and microglial phenotypes. Myeloid or microglia-specific FIP200 depletion had no impact on tau phosphorylation, however loss of either Rubicon (global or microglia-specific) or myeloid ATG5 promoted tau phosphorylation throughout the hippocampus (Fig. 5A,C, S6A) and the cerebral cortex (Fig. 5B,D, S6B). These data suggest loss of LANDO promotes rapid alterations to tau that are indicative of highly progressive disease.

### **LANDO-deficient 5XFAD mice display accelerated neuronal death and impaired neuronal function**

Because tau phosphorylation is likely to promote axonal degeneration and eventual neuronal death, we analyzed cell death within the brains of our 5xFAD models. 5xFAD *Rubicon*<sup>-/-</sup> microglia-specific Rubicon-deficient, and myeloid ATG5-deficient mice all displayed a decrease in NeuN<sup>+</sup> hippocampal neurons (Fig. 6A,B). FIP200-deficiency had no impact on neuronal number.

Since we observed a reduction in the number of neurons in LANDO-deficient, but not autophagy-deficient models, we evaluated cell death in brains isolated from 5xFAD *Rubicon*<sup>-/-</sup> mice. To measure neuronal apoptosis, we stained for cleaved-caspase 3. There was a robust increase in cleaved-caspase 3-positive neurons in 5xFAD Rubicon-deficient mice compared to littermate controls in the CA3-field of the hippocampus (Fig. 6C,D). This region has been implicated as one of the first sites of neuronal dysfunction and neuronal death in AD (Belvindrah et al., 2014; Padurariu et al., 2012; Zhang et al., 2013). In combination, these results support the idea that control of A $\beta$  deposition and microglial activation by LANDO is critical for preventing hyperphosphorylation of tau, neuronal apoptosis, and degeneration.

We next performed electrophysiological assessment of neuronal function in an effort to substantiate and refine our observations of neuron loss in the CA3-field. We found that 5xFAD *Rubicon*<sup>-/-</sup> mice showed a reduction in hippocampal synaptic transmission and, as a consequence, impaired long-term potentiation (LTP) when compared to littermate controls (Fig. 6E,F). The neuronal death and major impairment in neuronal physiology was surprising, as 5xFAD mice do not usually show signs of cell death and functional impairment until at least 5-6 months of age, and to a lesser extent than we observed in 4-

month old 5xFAD *Rubicon*<sup>-/-</sup> mice (Eimer and Vassar, 2013). Therefore, when microglial LANDO is defective, neuronal cell death induced by A $\beta$  is accelerated, particularly within the pre-synaptic neurons of the hippocampus. Reduction of this neuronal population was confirmed by inhibition of pre-synaptic transmission and LTP.

### **LANDO-deficiency accelerates behavioral and memory impairment in 5xFAD mice**

Our data support a physiological role for LANDO in microglia in the mitigation and protection against microglial activation and immune-mediated aggregate A $\beta$  removal. We therefore subjected mice to a variety of well-characterized behavioral tests known to be affected at late stages in the 5xFAD model. In advanced AD, patients often complain of anhedonia, or the inability to sense or experience pleasure (Naudin et al., 2015; Reichman and Coyne, 1995), which can be analyzed in mice using a sucrose preference test (SPT) (Briones et al., 2012; Liu et al., 2018). 5xFAD mice deficient in myeloid FIP200 showed no variation in their preference for sucrose water when compared to wild-type 5xFAD animals, suggesting they have intact reward behavior (Fig. 7A). In contrast, both *Rubicon* and myeloid *ATG5*-deficient mice presented with anhedonia. Both genotypes were at approximately 50% sucrose preference, or simple chance (Fig. 7A) by 4 months of age. Interestingly, behavioral and memory deficits do not typically begin to show significant differences in 5xFAD animals until at least 5-7 months of age (Girard et al., 2014; Ohno, 2009). 5xFAD, *Rubicon*-deficient mice presented with anhedonia as young as 2.5 months old. No variations in total fluid intake between genotypes was observed (Fig. 7B).

Results from the SPT suggested a pervasive memory impairment. Therefore, we employed two routine tests for short-term and working short-term memory, the novel object recognition test (NOR) and the Y-maze test, respectively. Consistent with their performance in the SPT, 5xFAD *Rubicon*<sup>-/-</sup> and myeloid *ATG5*-deficient mice had a reduction in spontaneous alternation (Fig. 7C) without having a decrease in total arm entries (Fig. 7D) in the Y-maze test. Moreover, short-to-medium term memory was drastically reduced in the 5xFAD *Rubicon*<sup>-/-</sup> mice, as measured by NOR. *Rubicon*-deficiency resulted in a decrease in novel object preference, and an almost complete reduction in their discrimination index (Fig 7F,G). These analyses illustrate the importance for the molecular regulation of microglial function by LANDO in maintaining CNS integrity and immune function upon A $\beta$  deposition, allowing for homeostasis in memory and behavior.

## **DISCUSSION**

Our studies investigating the role of the autophagy proteins in the regulation of immune function in the CNS are reliant upon genetic manipulation of key autophagy regulators FIP200, *ATG5*, and the negative regulator *Rubicon*. We employed a well-characterized model of A $\beta$  aggregate deposition, the 5xFAD AD mouse model with genetic manipulation of our target autophagy-related genes. We observed that both global and microglia-specific deletion of *Rubicon* and myeloid-specific deletion of *ATG5* resulted in exacerbated A $\beta$  deposition and plaque formation, reactive microgliosis, tau hyperphosphorylation, and neuronal cell death and dysfunction, leading to significant memory impairment. Myeloid or microglial deficiency in FIP200 failed to have an effect on the above parameters when

compared to littermate controls. Moreover, all animals were generated on the C57BL/6J background which was confirmed by microsatellite analysis, therefore the differences observed do not reflect a background effect between strains. Therefore, our results strongly suggest a role for microglial Rubicon and ATG5 in the clearance of A $\beta$  and mitigation of microglial activation that is distinct from their functions in canonical autophagy.

The observed roles for ATG5 and Rubicon, but not FIP200, is reminiscent of LAP. However, while LAP functions to promote phagosome maturation and cargo destruction (Heckmann et al., 2017), we observed no effects of our deletions on the rate of A $\beta$  degradation, endosome maturation, or lysosome association (Fig. 2). Because we observed the association of LC3 and the endosomal markers Rab5 and clathrin at the membranes of A $\beta$ -containing endosomes that was not altered by inhibition of phagocytosis, we called this LANDO. According to the receptor-recycling method we employed and established by others (Lucin et al., 2013; Yin et al., 2016), we found that LANDO is required for the recycling of putative A $\beta$  receptors (CD36, TREM2, and TLR4) from internalized endosomes to the plasma membrane. A previous study had shown that this recycling of CD36 and TREM2 is dependent on Beclin1 and VPS34 (Lucin et al., 2013), which we confirmed in primary BMDM (Fig. 3). We further found that Rubicon, ATG5, ATG7, and ATG4 were required, while ULK1, FIP200, and ATG14 were dispensable for this effect. The Legionella-derived protease, RavZ, which irreversibly cleaves lipidated LC3 (Choy et al., 2012; Kwon et al., 2017) also prevented this receptor recycling, again, as defined by this method (Lucin et al., 2013; Yin et al., 2016). The role for ATG4, which processes LC3 proteins to LC3-I for lipidation, and the effect of RavZ, as well as the roles for the ligation machinery (ATG7, ATG5) strongly suggest that lipidation of LC3 at the endosome functions in the recycling of these receptors. We conclude that LANDO is distinct from canonical autophagy and plays a requisite role in the recycling of putative A $\beta$  receptors. The assay used herein does not evaluate the role of LANDO in receptor degradation, or other potential perturbations in endosome trafficking, and it remains possible that such alterations alter receptor signaling to promote cytokine expression in response to A $\beta$ . In turn, increased cytokines, such as interleukin-1, can influence A $\beta$  uptake (Mrak and Griffin, 2000; Shaftel et al., 2007). Nevertheless, our findings support the notion that LANDO in the myeloid compartment of the CNS and more specifically in microglia, functions to protect neurons from the neuroinflammatory and neurodegenerative effects of A $\beta$  deposition.

The reactive microgliosis we observed in the 5xFAD Rubicon and myeloid ATG5-deficient mice resulted in robust increases in neuroinflammatory activation. Based on our findings using myeloid ATG5-deficiency and conditional ablation of Rubicon in microglia, we suggest that LANDO functions in microglia not only to promote A $\beta$  clearance but also to promote an anti-inflammatory immune response. One caveat to the 5xFAD models used herein is the inability to fully exclude a role for infiltrating peripheral monocytes in A $\beta$  clearance and neuroinflammation. We found, however, no increase in peripheral macrophage infiltration at 4-months of age in our 5xFAD Rubicon-deficient mice (Figure S5). A previous study utilized parabiosis to evaluate the contribution of circulating monocytes to the microglial pool in 5xFAD mice and demonstrated a negligible contribution of peripheral cells (Wang et al., 2016). Moreover, the use of CX3CR1-cre<sup>ERT2</sup> to transiently ablate Rubicon resulted in Rubicon-deficient microglia but Rubicon-sufficient splenic macrophages

at 4-months of age, but does not completely exclude the possibility that Rubicon-deficient peripheral monocytes may have entered the brain prior to reconstitution of this population from the bone marrow. Therefore, although our results suggest LANDO is required in microglia for imparting the protection observed in our 5xFAD models, we cannot completely exclude any involvement of peripheral immune cells in the effects we observed.

Our data strongly suggest that microglial Rubicon and myeloid ATG5 are protective against A $\beta$ -induced pro-inflammatory cytokine production and its consequences (Fig. 3 and 4). The reasons for this are not known. We had previously suggested that persistence of cargo in LAP-deficient cells enables continued signaling at the phagosome membrane, however LANDO-deficient cells did not display increased persistence of endosomal A $\beta$ . However, loss of LANDO affected secondary uptake of A $\beta$ , leading to increased extracellular deposition, and it is plausible that these deposits signal at the cell surface via other receptors to promote inflammatory signaling. Similar effects were observed in response to dying cells in macrophages lacking Rac1 or the efferocytosis receptors merTK and TIM4 (Cai et al., 2018; Camenisch et al., 1999; Martinez et al., 2011; Scott et al., 2001; Thorp et al., 2008), consistent with this idea.

We have found that a subset of the autophagy proteins including Beclin1, ATG5, and ATG7 are obligatory for LANDO function. The expression of these three proteins decreases with age (Lipinski et al., 2010), which may lead to an insufficiency in LANDO, establishing a putative risk factor for pathology. Whole body acute deletion of ATG7 causes lethal neuronal degeneration (Karsli-Uzunbas et al., 2014). While autophagy proteins have been shown to impact the clearance of protein aggregates in neurons, most likely by the canonical autophagic process of aggrephagy (Frake et al., 2015; Menzies et al., 2017; Qaisiya et al., 2017; Sarkar et al., 2007; Sarkar et al., 2009; Webb et al., 2003; Yamamoto and Simonsen, 2011), effects in microglia of the CNS are more likely due to LANDO. Finally, the dramatically accelerated disease observed in our 5xFAD animals may facilitate the testing of neuroprotective agents *in vivo*.

## STAR METHODS

### KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Anti-TLR4	Abcam	Cat# ab22048
Anti-CD36	Abcam	Cat# ab23680
Anti-NeuN	Abcam	Cat# ab104224
Anti-LAMP1	Abcam	Cat# ab25630
Anti-CD11b	Abcam	Cat# ab8878
Anti-TMEM119	Abcam	Cat# ab209064
Anti-cleaved-caspase 3	Cell Signaling	Cat# 9664
Anti-FIP200	Cell Signaling	Cat# 12436
Anti-ATG5	Cell Signaling	Cat# 12994

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Anti-Rubicon (D9F7)	Cell Signaling	Cat# 8465
Anti-A $\beta$ 82E1	IBL	Cat# 10326
Anti-Iba1	Novus	Cat# NB100-1028
Anti-TREM2	R&D Systems	Cat# MAB17291
Anti-FLAG M2	Sigma-Aldrich	Cat# F3165
Anti- $\beta$ -actin	Thermo	Cat# MA1-91399
Anti-phospho-tau (S202/T205)	Thermo	Cat# 44-768G
Bacterial and Virus Strains		
pLenti-V2 lentivirus (for CRISPR)	This Paper	N/A
lentiBrite-GFP-LC3 Sensor	Millipore	Cat# 17-10193
Chemicals, Peptides, and Recombinant Proteins		
$\beta$ -amyloid (1-42) peptide - unlabeled	Anaspec	Cat# AS-20276
$\beta$ -amyloid (1-42) peptide - TAMRA	Anaspec	Cat# AS-60476
$\beta$ -amyloid (1-42) peptide – Hilyte 488	Anaspec	Cat# AS-60479-01
$\beta$ -amyloid (1-42) peptide - TAMRA	SJCRH Core	Custom Synthesis
$\beta$ -amyloid (1-42) scrambled peptide – TAMRA	SJCRH Core	Custom Synthesis
Dextran – Texas Red	Invitrogen	Cat# D1863
Zymosan A – AF594	Invitrogen	Cat# Z23374
ProLong Diamond DAPI mounting media	Invitrogen	Cat# P36971
pHRodo Zymosan Bioparticles	Invitrogen	Cat# P35364
Rapamycin	Sigma-Aldrich	Cat# R8781
Latrunculin A	Sigma-Aldrich	Cat# L5163
Critical Commercial Assays		
Neural Tissue Dissociation Kit	Miltenyi	Cat# 130-092-628
Microglia Isolation Kit	Miltenyi	Cat# 130-093-634
Universal SYBR Green	Bio-Rad	Cat# 1725271
M-MLV Kit	Invitrogen	Cat# 28025013
pHRodo iFL Labeling Kit	Invitrogen	Cat# P36014
Mouse Multianalyte Inflammatory Cytokine ELISA Kit	Qiagen	Cat# MEM-004A
RNeasy Mini Kit	Qiagen	Cat# 74104
Experimental Models: Cell Lines		
BV2 murine microglia	Washington University	Herbert Virgin
RAW264.7 murine macrophage	ATCC	Cat# SC-6003

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Primary murine BMDM	This Paper	N/A
Primary murine microglia	This Paper	N/A
Experimental Models: Organisms/Strains		
5xFAD transgenic mice	Jackson Laboratory	Cat# 34840-JAX
CX3CR1-creERT2	Jackson Laboratory	Cat# 021160
FIP200fl/fl LysM-Cre+, 5xFAD	This Paper	N/A
ATG5fl/fl LysM-Cre+, 5xFAD	This Paper	N/A
Rubicon <sup>-/-</sup> , 5xFAD	This Paper	N/A
Rubiconfl/fl CX3CR1-creERT2, 5xFAD	This Paper	N/A
FIP200fl/fl CX3CR1-creERT2, 5xFAD	This Paper	N/A
Beclin1fl/fl LysM-Cre+	University of Kentucky	Edmund Rucker
ATG7fl/fl LysM-Cre+	TMIMS	Masaaki Komatsu
ATG14fl/fl LysM-Cre+	Washington University	Herbert Virgin
VPS34fl/fl LysM-Cre+	Yale University	Richard Flavell
ULK1 <sup>-/-</sup> LysM-Cre+	SJCRH	Mondira Kundu
Oligonucleotides		
Rubicon-sgRNA1 5' - CACCGAGGAGACTCGTCCATACACG - 3' 3' - AAACCGTGTATGGACGAGTCTCCTC - 5'	This paper	N/A
Rubicon-sgRNA2 5' - CACCGTGTATGAGGAACGGGCGAAGA - 3' 3' - AAACCTTTCGCCGTTCTCATCAC - 5'	This paper	N/A
ATG5-sgRNA1 5' - GTGAGCCTCAACCGCATCCT - 3' 3' - CACTCGGAGTTGGCGTAGGA - 5'	This paper	N/A
ATG5-sgRNA2 5' - CGGAACAGCTTCTGGATGAA - 3' 3' - GCCTTGTCGAAGACCTACTT - 5'	This paper	N/A
FIP200-sgRNA1 5' - AGAGTGTGTACTTACAGCGC - 3' 3' - TCTCACACATGAATGTCGCG - 5'	This paper	N/A
FIP200-sgRNA2 5' - GAGGATCATGCTCCTAGAAC - 3' 3' - CTCCTAGTACGAGGATCTTG - 5'	This paper	N/A
Actin qPCR F: ATGGAGGGGAATACAGCC R: TTCTTGCAGCTCCTTCGTT	This paper	N/A
TNF $\alpha$ qPCR F: CCTGTAGCCCACGTCGTAGC R: AGCAATGACTCCAAGTAGACC	This paper	N/A
IL1 $\beta$ qPCR F: CACAGCAGCACATCAACAAG	This paper	N/A



REAGENT or RESOURCE	SOURCE	IDENTIFIER
R: GTGCTCATGTCCTCATCCTG		
IL6 qPCR F: GAGGATACCACTCCCAACAGACC R: AAGTGCATCATCGTTGTCATACA	This paper	N/A
CCL5 qPCR F: CCAATCTTGCAGTCGTGTTTGT R: CATCTCCAAATAGTTGATGTATTCTTGAAC	This paper	N/A
Iba1 qPCR F: CAGACTGCCAGCCTAAGACA R: AGGAATTGCTTGTGATCCC	This paper	N/A
Recombinant DNA		
pLenti-V2 plasmid	Addgene	Cat# 52961
psPAX plasmid	Addgene	Cat# 12260
pVSVg plasmid	Addgene	Cat# 8454
pMXs-FLAG plasmid	Cell Biolabs	Cat# RTV-016
pMXs-FLAG-mATG4B-C74A	This Paper	N/A
pMXs-FLAG-RavZ	This Paper	N/A
Software and Algorithms		
Slidebook 6	3i	<a href="https://www.intelligent-imaging.com/slidebook">https://www.intelligent-imaging.com/slidebook</a>
Nikon NIS-elements Advanced Research	Nikon	<a href="https://www.microscope.healthcare.nikon.com/products/software/nis-elements">https://www.microscope.healthcare.nikon.com/products/software/nis-elements</a>
FlowJo v10.4	Tree Star	<a href="https://www.flowjo.com/solutions/flowjo">https://www.flowjo.com/solutions/flowjo</a>
LiCOR Image Studio	LiCOR	<a href="https://www.licor.com/bio/image-studio/">https://www.licor.com/bio/image-studio/</a>
GraphPad Prism 7.0	GraphPad	<a href="https://www.graphpad.com/scientific-software/prism/">https://www.graphpad.com/scientific-software/prism/</a>
G*Power	University of Dusseldorf	<a href="http://www.gpower.hhu.de/">http://www.gpower.hhu.de/</a>

## CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Douglas R. Green (douglas.green@stjude.org).

## MATERIALS & REAGENTS

See Key Resources Table.

## EXPERIMENTAL MODEL & SUBJECT DETAILS

**Mice**—The 5xFAD transgenic mice carrying the following five mutations: Swedish (K670N and M671L), Florida (I716V) and London (V717I) in human APP695 and human PS1 cDNA (M146L and L286V) under the transcriptional control of the neuron-specific Thy-1 promoter and were purchased from The Jackson Laboratory. 5xFAD mice were crossed to *FIP200<sup>fl/fl</sup> LysM-Cre+* (kindly provided by Jun-Lin Guan, University of Michigan),

*ATG5<sup>fl/fl</sup> LysM-Cre+* (kindly provided by Thomas A. Ferguson, Washington University), *Rubicon<sup>-/-</sup>* mice which were generated by our laboratory as described previously (Martinez et al., 2015), and *Rubicon<sup>fl/fl</sup>* mice (kindly provided by Jennifer Martinez, NIEHS). *CX3CR1-cre<sup>ERT2</sup>* mice were purchased from the Jackson Laboratory and conditional deletion of FIP200 or Rubicon was achieved using tamoxifen induction and peripheral reconstitution as described previously (Goldmann et al., 2013). *CX3CR1-cre<sup>ERT2</sup>* positive mice that have been injected with tamoxifen and have reconstituted the monocytic pool are referred to herein as *MG-cre<sup>+</sup>cre* mice. *MG-cre<sup>-</sup>* animals used in the present study have been exposed to tamoxifen and allowed the same reconstitution period as the *MG-cre<sup>+</sup>* mice to ensure no off-target effects from tamoxifen administration. Mice used for bone marrow isolation and BMDM culture were kindly provided as follows; *Beclin1<sup>fl/fl</sup> LysM-Cre+* (Edmund Rucker, University of Kentucky), *ATG7<sup>fl/fl</sup> LysM-Cre+* (Masaaki Komatsu at The Tokyo Metropolitan Institute of Medical Science), *ATG14<sup>fl/fl</sup> LysM-Cre+* (Herbert Virgin, Washington University), *VPS34<sup>fl/fl</sup> LysM-Cre+* (Richard Flavell, Yale University), and *ULK1<sup>-/-</sup> LysM-Cre+* (Mondira Kundu, St. Jude Children's Research Hospital).

Unless otherwise noted, all experiments were performed on mixed sex cohorts at 4-months of age. Depending on genotype, either *LysM-cre<sup>-</sup>*, *MG-cre<sup>-</sup>* or *Rubicon<sup>+/-</sup>* littermates were used as controls. The St. Jude Institutional Animal Care and Use Committee approved all procedures in accordance with the Guide for the Care and Use of Animals. All mice were housed in pathogen-free facilities, in a 12-hour light/dark cycle in ventilated cages, with chow and water supply ad libitum.

The genetic backgrounds of all mice used were assessed at the DartMouse™ Speed Congenic Core Facility at the Geisel School of Medicine at Dartmouth. DartMouse uses the Illumina, Inc. (San Diego, CA) Infinium Genotyping Assay to interrogate a custom panel of 5307 SNPs spread throughout the genome. The raw SNP data were analyzed using DartMouse's SNaP-Map™ and Map-Synth™ software, allowing the determination for each mouse of the genetic background at each SNP location. All strains used had an overall background identity of greater than 97%.

**Cells**—BV2 murine microglia and RAW264.7 cells were obtained from ATCC. Cells were maintained in complete DMEM media (10% fetal bovine serum (FBS), 200 mM L-glutamine and 100 units/ml penicillin-streptomycin). All the cell lines used were confirmed as mycoplasma negative using MycoAlert Mycoplasma Detection kit (Lonza #LT07).

For preparation of bone marrow-derived macrophages (BMDM), male or female mice at 6 to 12 weeks of age were euthanized and bone marrow cells were harvested from the femurs and differentiated in DMEM containing 20% FBS, 200 mM L-glutamine, 100 units/ml penicillin-streptomycin, 20 ng/ml recombinant human M-CSF for 10 days. BMDMs were harvested and seeded on tissue culture plates 1 day before stimulation and maintained in complete DMEM media. All cells used in this study were cultivated at 37°C with 5% CO<sub>2</sub>.

## METHOD DETAILS

**Generation and maintenance of cell lines**—BV2 microglia deficient in FIP200, ATG5, and Rubicon were generated using CRISPR/Cas9 technology by lentiviral

transduction and puromycin selection. Two guide RNAs (gRNA) were designed for each gene (See Reagents List for sequences) and cloned into the pLenti-V2 plasmid (Addgene). Lentivirus was produced using HEK293T cells co-expressing pPAX and pVSVg plasmids (Addgene) and our CRISPR pLenti-V2 plasmids using Lipofectamine 2000 (Invitrogen). BV2 cells were subsequently transduced and transduction efficiency was confirmed by immunoblot analysis following two weeks of puromycin selection. An empty pLenti-V2 vector was transduced to establish a parental cell line. Once confirmed, cells were then exposed to LentiBrite GFP-tagged LC3 lentivirus (Millipore) to establish GFP-LC3 positive lines. BV2 microglia deficient in TREM2 were kindly provided by Marco Colonna (Washington University).

RAW264.7 lines deficient in Rubicon or ATG5 were established using CRISPR/Cas9 viral transduction as described above for BV2 cells. RAW264.7 cells that overexpress either RavZ or a dominant-negative ATG4 were generated by transduction using a retrovirus carrying pMXs-Flag-mATG4B-C74A (Blasticidin) or pMXs-Flag-RavZ (Blasticidin) or the empty vector. The retroviral vectors were created as follows. Mouse ATG4B was cloned from a mouse cDNA library into pMXs retroviral vector. The active site Cysteine (C74) was mutated to Alanine using site-directed mutagenesis. The original vector expressing RavZ was a gift from Craig Roy (Yale University), the ORF was subcloned into pMXs.

All lentiviral and retroviral work was performed in accordance with the guidelines set forth by the SJCRH Institutional Biosafety Committee and within the scope of our approved Biosafety protocol.

**$\beta$ -amyloid preparation and treatment**—Both labeled and unlabeled A $\beta$ <sub>1-42</sub> was purchased in lyophilized form and resuspended according to the manufacturer's recommendation at a concentration of 100 $\mu$ M (Anaspec). In brief, A $\beta$ <sub>1-42</sub> was resuspended to 5mM in DMSO and then adjusted to 100 $\mu$ M using DMEM/F12 culture media. Oligomerization was allowed to occur for 24h at 4°C prior to addition to cells at 1 $\mu$ M unless otherwise indicated. Incubation times for each individual experiment is detailed in the respective figure legends.

**Primary microglia and neuron isolation**—Mice were anesthetized with isoflurane and perfused with 1% BSA in PBS. Brains were subsequently harvested and immediately processed using the papain-based Neural Dissociation Kit (Miltenyi). Myelin was removed using myelin removal beads and microglia were purified using CD11b microglia beads (Miltenyi). Primary neurons were purified from dissociated whole brain described above using the mouse neuron isolation kit (Miltenyi). Purified cells were then used as indicated. All steps were performed per the manufacturer's instructions.

**Microscopy and image analysis**—For all non-live cell-based imaging, cells were cultured in 4-well chamber slides (Ibidi) and were fixed and stained as indicated. In brief, cells were fixed with 4% PFA for 10 min. followed by permeabilization using 0.1% Triton-X 100 for 5 min. Cells were blocked in 0.5% BSA in PBS for 30min prior to staining with primary antibodies overnight at 4°C. Cells were then washed 3x in PBS and then stained with the indicated fluorescent secondary antibodies for 30 min. Cells were subsequently

washed 3x with PBS and post-fixed in 1% PFA for 10 min. prior to imaging. For all live cell-based imaging, cells were immediately transferred to an environment controlled, live-cell imaging chamber (Ibidi).

For preparation of brain tissue see “Preparation of brain samples” below. Slides were subjected to antigen retrieval using 1% sodium citrate boiling for 20 min. followed by 3x PBS washing. Slides were blocked in 0.5% BSA in PBS. Antibody staining was carried out as described above. Following final washing, slides were mounted using ProLong Diamond Anti-Fade mounting media with DAPI.

All imaging was performed on either an Eclipse Ti-E TIRF/N-Storm/epifluorescence microscope (Nikon) or a Marianis spinning disk confocal microscope (Intelligent Imaging Innovations (3i)) equipped with an EMCCD camera. Image analysis including all quantification was performed using either Nikon NIS-elements Advanced Research Imaging software or Slidebook 6 (3i).

Image analysis for relative Iba1 and phospho-tau staining was achieved by quantifying the mean fluorescent intensity (MFI) of either Iba1 or phospho-tau signal using NIS-elements. MFI data for each genotype was subsequently compared to MFI signal obtained from Wt, 5xFAD littermate controls to achieve a relative comparison.

Analysis of microglial/plaque-association was defined as the % of microglia per field that are co-localizing with A $\beta$  plaques, normalized to total plaque # per field.

Analysis and quantification of microglial morphology was achieved using Slidebook 6 software. Morphological state was determined by measuring cell diameter following 3D reconstruction and confirmed by manual counting/analysis of microglia shape per defined field across multiple areas of each slide.

**Flow cytometry**—For all uptake assays cells were analyzed without fixation. For membrane-associated GFP-LC3 analysis, cells were processed as described below. For brain infiltrating monocytes, cells were isolated as described using the Neural Tissue Dissociation Kit (Miltenyi). Primary cells were fixed, permeabilized, and staining using the Cyto Fix/Perm Staining Kit (BD Bioscience) and the indicated, conjugated primary antibodies. For all experiments, cells were analyzed using a Sony SP6800 Spectral Analyzer (Sony). All analyses were performed using FlowJo v10.4 (Tree Star). Fluorescent compensation was performed using BD compensation beads (BD Bioscience).

**Preparation of brain samples**—Mice were anesthetized with isoflurane and perfused with ice-cold PBS containing 1 U/ml of heparin. Right brain hemispheres were fixed in 4% PFA overnight at 4°C, rinsed in PBS, and incubated overnight at 4°C in 30% sucrose before freezing in a 2:1 mixture of 30% sucrose and optimal cutting temperature compound (OCT). Serial 20  $\mu$ m coronal sections were cut on a cryo-sliding microtome. Cortices and hippocampi of the left-brain hemispheres were carefully dissected out and flash frozen for biochemical analysis or processed for RNA isolation.

**Membrane-associated LC3 analysis**—To quantify membrane association of GFP-LC3, cells were harvested and permeabilized using 200µg/ml digitonin for 15 min. on ice. Cytosolic GFP-LC3 was removed by washing cells 5x in cold PBS. Cells were then resuspended in 0.5% BSA in PBS for analysis by flow cytometry as described above. This assay has been well-established and verified previously (Eng et al., 2010; He et al., 2009; Martinez et al., 2015).

**Cell & Tissue Lysis and Immunoblot**—Cells were lysed in RIPA buffer for 30 min on ice [50 mM Tris (pH 7.5), 150 mM NaCl, 1% Triton X100, 0.5% deoxycholate (DOC), 0.1% SDS, protease inhibitor tablet (Roche), 1 mM NaF, 1 mM Na<sub>3</sub>VO<sub>4</sub>, and 1 mM PMSF]. Brain samples were mechanically homogenized in RIPA buffer. After centrifugation, supernatants were analyzed by SDS/PAGE. All blots were imaged using HRP-conjugated secondary antibodies and ECL using a LiCOR Odyssey Fx imaging system (LiCOR). All immunoblot analysis was performed using LiCOR Image Studio software.

**Real-Time RT-PCR**—Total RNA was isolated from cells or tissue using the RNeasy Kit (Qiagen) according to the manufacturer's instructions. First-strand synthesis was performed using M-MLV reverse transcriptase (Invitrogen). Realtime PCR was performed using SYBR GREEN PCR master mix (Applied Biosystems) in an Applied Biosystems 7900HT thermocycler using SyBr Green detection protocol as outlined by the manufacturer using the following PCR conditions: 50°C for 2 min, 95°C for 10 min, and 40 cycles of 95°C for 15 s and 60°C for 1 min. mRNA was normalized to actin allowing for comparison of mRNA levels. Please see key reagents table for qPCR primer sequences.

**Vesicle maturation using pH-rodo substrates**—Quantification of vesicle maturation was achieved using pH-rodo labeled zymosan and pH-rodo labeled β-amyloid. pH-rodo zymosan was purchased from Invitrogen. pH-rodo β-amyloid was produced by conjugating pH-rodo to unlabeled Aβ<sub>1-42</sub> using the pH-rodo iFL labeling kit (Invitrogen) per the manufacturer's instructions. Labeled β-amyloid was then oligomerized as described above. BV2 microglia were subsequently exposed to either pH-rodo labeled zymosan or β-amyloid pH-rodo zymosan (5:1, particle:cell) or pH-rodo β-amyloid (1µ) was added to cells for 3h and cells were imaged as described above. Quantification was performed as described for MFI.

**Receptor Recycling**—For receptor recycling, cells were plated on 4-well Ibidi tissue culture-coated chamber slides and allowed to reach 50% confluence. Cells were then blocked for 15 min. in the presence of 10% normal donkey-serum at 37°C. Primary antibodies targeting the indicated receptor (see reagent list) were then added at a dilution of 1:100 in 1% donkey-serum in DMEM and cells were incubated at 37°C for 1h. Antibody-containing media was aspirated and cells were acid washed with cold-DMEM, pH 2.0. Cells were returned to 10% donkey-serum in DMEM for 1h. Alexa Fluor 568-labeled secondary antibodies were diluted 1:1000 in 1% donkey-serum in DMEM and added to cells for 1h at 37°C to label recycled receptors. Cells were subsequently acid washed as described above and then fixed in 4% PFA in PBS for 15 min. Cell permeable Hoechst dye was added to label nuclei.

Following the above protocol, fluorescent signal from recycled receptors is intracellular and readily determined by fluorescent microscopy as described above. Quantification of recycling was achieved by calculating the sum of AF568-fluorescent area divided by the total number of cells. Nikon NIS-Elements AR software was used for all image analyses and quantification. This method is well-established and used as previously reported (Lucin et al., 2013).

Furthermore, validated commercially available antibodies for CD36, TLR4, and TREM2 were used for all recycling experiments. Specificity of the antibody targeting the primary  $\beta$ -amyloid receptor TREM2 was confirmed by staining wild-type and TREM2  $-/-$  BV2 microglial cells (data not shown).

**Amyloid Uptake**—Primary and secondary  $\beta$ -amyloid uptake was assayed as follows. BV2 clones were treated with 1 $\mu$ M Alexa Fluor 488-labeled A $\beta$ 1-42. Mean fluorescent intensity (MFI) for AF-488 was determined by flow cytometry after 12h and considered the primary uptake. 1 $\mu$ M TAMRA-labeled A $\beta$ 1-42 was subsequently added to the medium following the primary uptake phase. MFI for TAMRA was assessed by flow cytometry 12h following the primary uptake timepoint. This timepoint constitutes the secondary uptake.

**Phagocytosis and endocytosis analysis**—To delineate between phagocytosis and endocytosis, cells were treated as indicated with the phagocytic inhibitor latrunculin A. The following control substrates were used, zymosan (phagocytosis) and dextran (endocytosis). Cells were pre-treated for 1h with LA and then treated for 1h with zymosan or 3h with dextran or A $\beta$ . All substrates were fluorescently labeled as follows, zymosan (AF594), dextran (Texas Red), and A $\beta$  (TAMRA). Co-incubation with specific substrates was carried out at 37°C for 1h or 3h as indicated. Cells were either fixed for imaging or analyzed by flow cytometry as described above respectively.

**Electrophysiology**—Acute transverse hippocampal slices (400  $\mu$ m) were prepared as previously described (Gingras et al., 2015). Briefly, mouse brains were quickly removed and placed in cold (4°C) dissecting ACSF containing 125 mm choline-Cl, 2.5 mm KCl, 0.4 mm CaCl<sub>2</sub>, 6 mm MgCl<sub>2</sub>, 1.25 mm NaH<sub>2</sub>PO<sub>4</sub>, 26 mm NaHCO<sub>3</sub>, and 20 mm glucose (285–295 mOsm) under 95% O<sub>2</sub> and 5% CO<sub>2</sub>. After dissection, slices were incubated for 1 h in ACSF containing 125 mm NaCl, 2.5 mm KCl, 2 mm CaCl<sub>2</sub>, 2 mm MgCl<sub>2</sub>, 1.25 mm NaH<sub>2</sub>PO<sub>4</sub>, 26 mm NaHCO<sub>3</sub>, and 10 mm glucose (285–295 mOsm) under 95% O<sub>2</sub> and 5% CO<sub>2</sub> at room temperature and then transferred into the submerged recording chamber and superfused (2–3 ml/min) with warm (30°C–32°C) ACSF. The field recordings were performed by using a setup with 8 submerged recording chambers (Campden Instruments). The fEPSPs were recorded from the CA1 stratum radiatum by using an extracellular glass pipette (3–5 M $\Omega$ ) filled with ACSF. Schaffer collateral/commissural fibers in the stratum radiatum were stimulated with a bipolar tungsten electrode placed 200–300  $\mu$ m away from the recording pipette.

**Behavior & memory analysis**—For sucrose preference tests, mice were individually housed and allowed to acclimate to the testing room for 48h prior to starting the experiment. A dual bottle setup was introduced where both bottles contained only standard water. Again,



mice were allowed to acclimate to the dual bottle setup for 3 days. After acclimation, one bottle was replaced with a 2% sucrose solution. Water consumption was monitored daily for 4 days. Bottles were rotated daily to minimize side bias and normalized for leakage. All results are shown as the averaged consumption and preference over the 4-day test period.

For Y-maze spontaneous alternation analysis, mice were housed in the testing room and allowed to acclimate for 48h. The Y-maze test consisted of a single 5 min. trial per mouse. Spontaneous Alternation [%] was defined as consecutive entries in 3 different arms (ABC), divided by the number of possible alternations (total arm entries minus 2). Mice with less than 5 arm entries during the 5 min. trial were excluded from the analysis.

Novel object recognition (NOR) was performed in an open-field box (40cm × 40cm). Mice were allowed to acclimate to the testing room for 48h. For habituation, mice were allowed to explore the open-field for 15 min. per day for two days. Mice were then exposed to two identical objects for 10 min. on the day of testing. 2h later a novel object was introduced, and mice were allowed to explore for 5 min. during the test phase. The time spent exploring each object was quantified manually. Novel object preference (%) and the discrimination index ((time with novel)/(novel + familiar) \* 100) were calculated for each mouse.

**Quantification & Statistical Analysis**—Please refer to the legend of the figures for description of sample sizes and statistical test performed. Data were plotted and analyzed with GraphPad Prism 7.0 software. All experiments were designed and are powered to a minimum of 0.8 as calculated using G\*Power. Differences were considered statistically significant when the p-value was less than 0.05.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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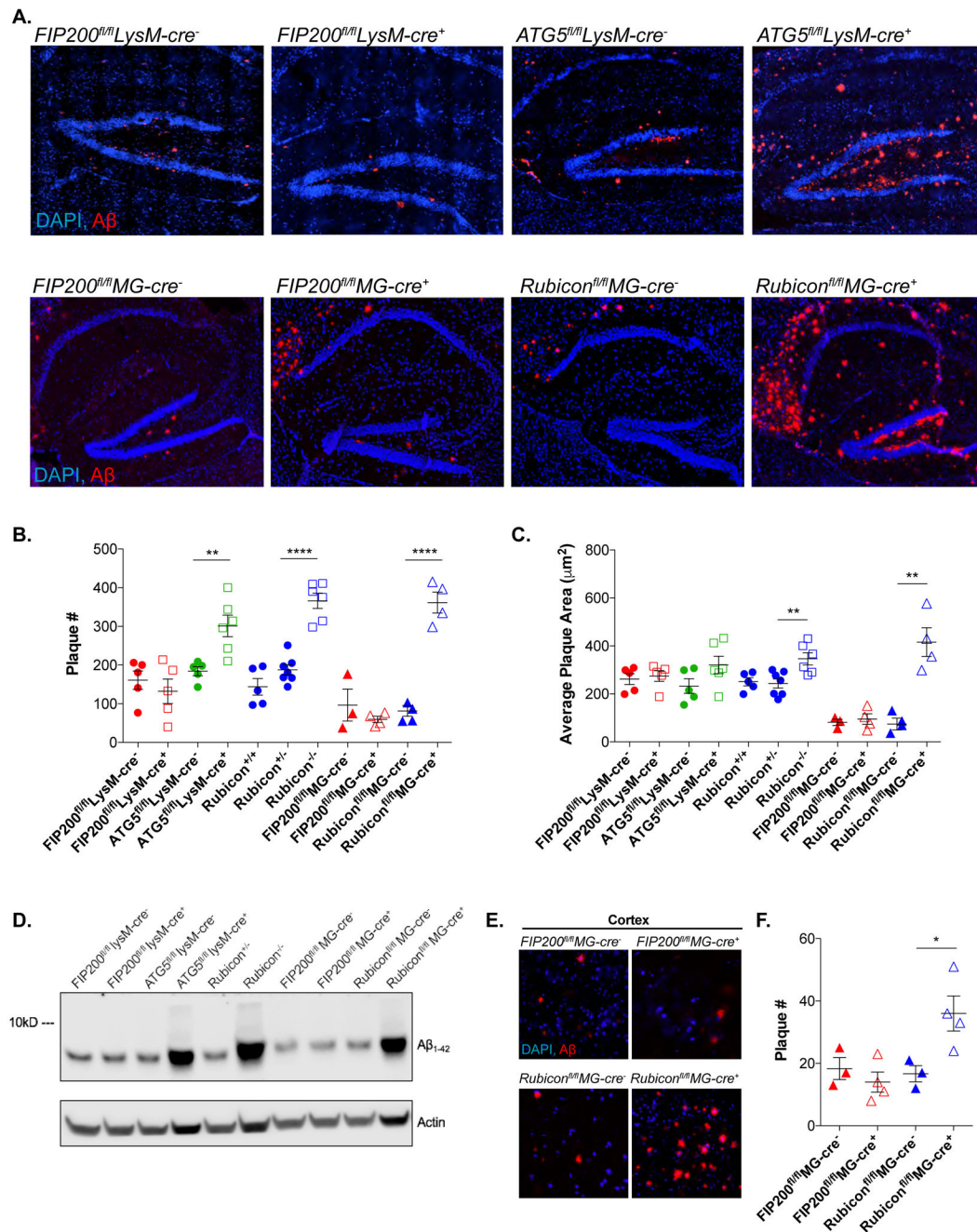
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### Highlights

- LC3-associated endocytosis (LANDO) requires Rubicon and ATG5, but not FIP200
- LANDO is required for recycling of A $\beta$  receptors including TREM2 in microglia.
- LANDO confers protection against A $\beta$  deposition and murine Alzheimer's disease (AD)
- Microglial LANDO protects against neuronal loss and memory impairment in murine AD

An LC3-associated endocytosis pathway involved in the recycling of non-canonical members of the autophagy machinery is essential for the clearance of amyloid aggregates by microglia in a model of AD



**Figure 1. ATG5 and Rubicon-deficiency exacerbates A $\beta$  deposition.**

**A.** Representative images for A $\beta$  (red) in the hippocampus of 4m-old 5xFAD mice with indicated genetic alterations. Scale bars, 100 $\mu\text{m}$

**B. and C.** Quantification of A $\beta$  plaque # (C) and plaque area (D) in the hippocampus of 4m-old 5xFAD mice. Each point represents average quantification from one mouse.

**D.** Whole brain A $\beta$  analysis by immunoblot in 4m-old mice from the indicated 5xFAD genotypes.

**E.** Representative images for A $\beta$  (red) deposition in the 5<sup>th</sup> cortical layer in 4m-old 5xFAD mice with microglia-specific deletion (MG-cre<sup>+</sup>) of *FIP200* or *Rubicon*. Scale bars, 50 $\mu\text{m}$ .

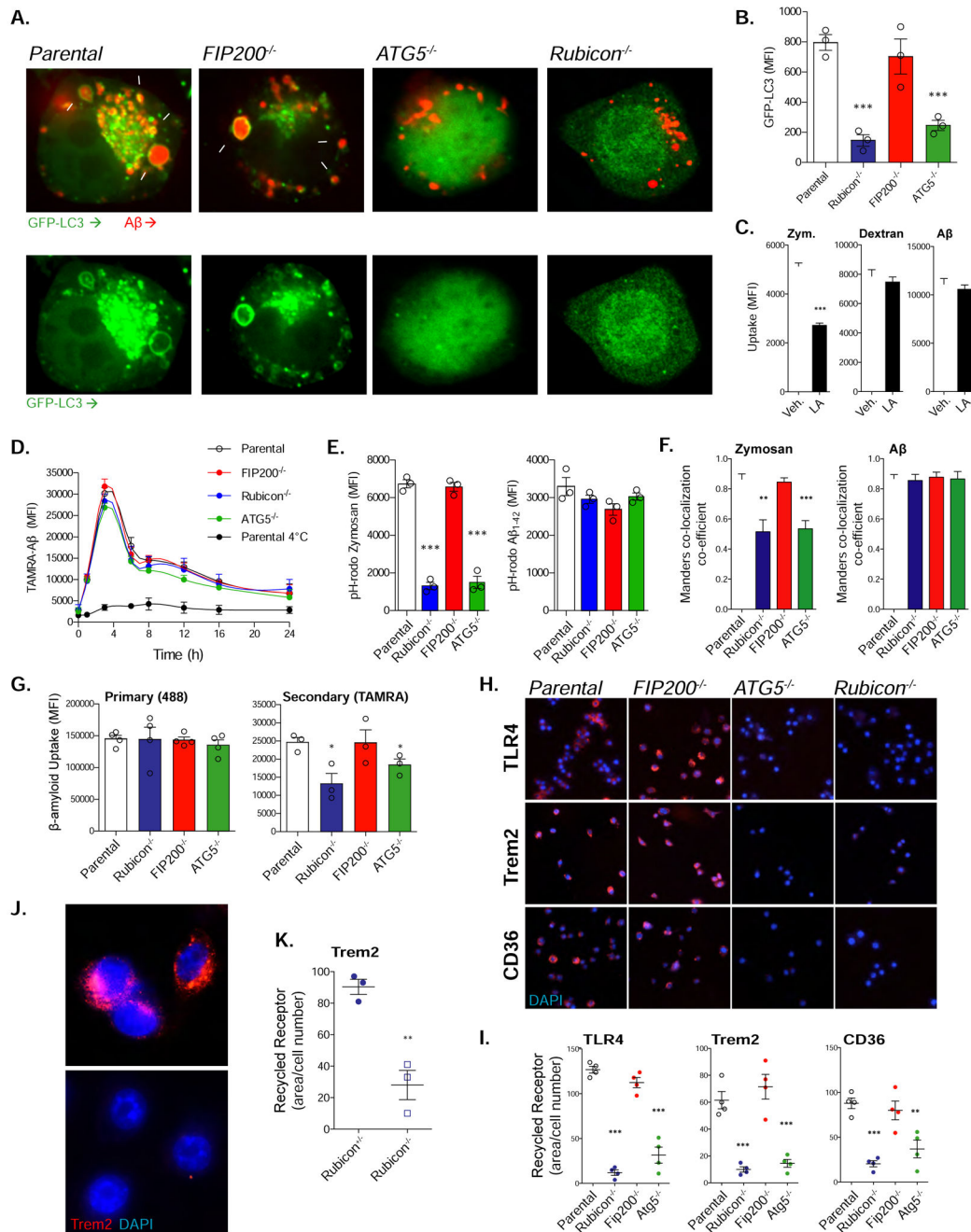
**F.** Quantification of A $\beta$  plaque # in the cortex of 4m-old 5xFAD, microglia FIP200 or Rubicon-deficient mice. Each point represents average quantification from one mouse. Data are represented as mean  $\pm$  SEM. Significance was calculated using *Student's t-test*. \*\*p<0.01, \*\*\*\*p<0.0001.

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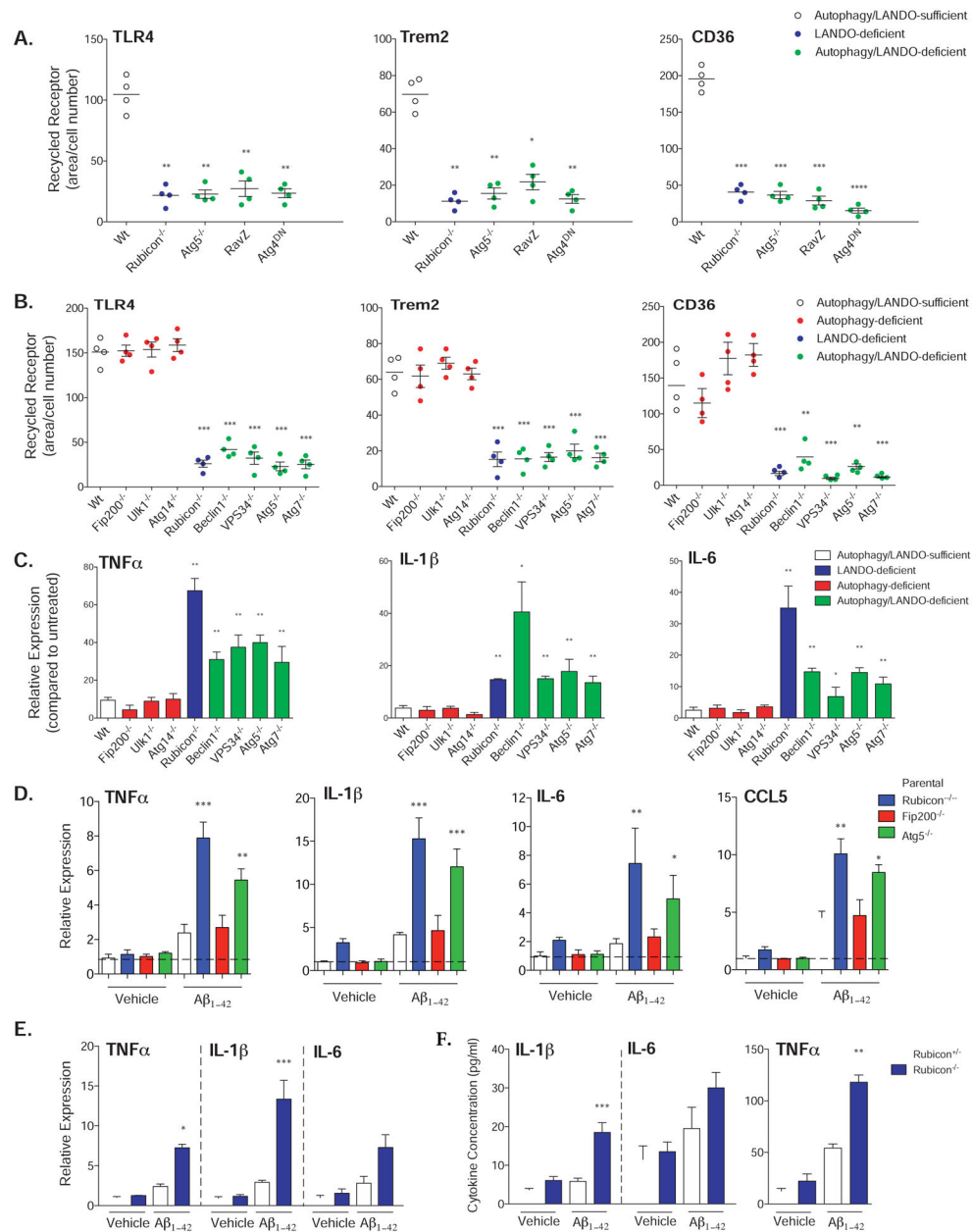


**Figure 2. ATG5 and Rubicon-deficiency impairs LANDO and recycling of Aβ receptors.**

**A.** Representative images showing that GFP-LC3-recruitment to Aβ (red) containing vesicles in BV2 microglia is dependent on ATG5 and Rubicon, but not FIP200. White arrows indicate LC3+ endosomes. Cells were treated with 1μM oligomeric TAMRA-Aβ<sub>1-42</sub> for 6h. Scale bars, 5μm. Values are (# of cells containing LC3+, Aβ+ vesicles/100 Aβ-containing cells).

**B.** Quantification of membrane-associated GFP-LC3 in BV2 microglia following 6h stimulation with 1μM oligomeric TAMRA-Aβ<sub>1-42</sub>. GFP-LC3 was assayed using flow cytometry. Each point represents one independent experiment performed in triplicate.

- C.** Quantification of zymosan (4:1, particle:cell), dextran (500ng/ml), or A $\beta$  (1 $\mu$ M) uptake in BV2 microglia treated with either a vehicle or 50mM Iatruculin A (LA).  $n=3$  per condition performed in duplicate.
- D.** A $\beta$  clearance assay performed in BV2 microglia treated with oligomeric TAMRA-A $\beta$ <sub>1-42</sub> for 1h.  $n=4$  per genotype performed in duplicate.
- E.** Quantification of fluorescent pH-rodo signal from BV2 microglia of the indicated genotypes stimulated with pH-rodo Zymosan (5:1, particle:cell) or pH-rodo A $\beta$  (1 $\mu$ M) for 3h.  $n=3$  per genotype performed in duplicate.
- F.** Quantification of the co-localization between zymosan or A $\beta$  and LAMP1 labeled lysosomes in BV2 microglia (see Fig. S2F). Cells were treated with zymosan (4:1, particle:cell), or A $\beta$  (1 $\mu$ M) for 3h.  $n=3$  per genotype performed in duplicate.
- G.** Primary and secondary uptake of A $\beta$  measured in BV2 microglia. Each point represents one independent experiment performed in duplicate.
- H.** Representative images of receptor recycling for TLR4, TREM2, and CD36 in BV2 microglia. Scale bars, 50mm.
- I.** Quantification of recycled receptors in BV2 microglia (See STAR Methods). Each point is one independent experiment performed in duplicate.
- J.** Representative images of TREM2 recycling in primary microglia from Rubicon<sup>+/-</sup> or Rubicon<sup>-/-</sup> mice. Scale bars, 10 $\mu$ m.
- K.** Quantification of TREM2 recycling in primary microglia from indicated genotypes. Each point is one independent experiment performed in duplicate.
- Data are represented as mean  $\pm$  SEM. Significance was calculated using *Student's t-test*.  
\* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ .



**Figure 3. Abrogation of LANDO promotes Aβ-induced inflammation.**

**A.** Quantification of receptor recycling in RAW264.7 cells deficient in the indicated genes as shown or overexpressing RavZ or dominant-negative ATG4 as shown. Each data point represents a unique experiment performed in duplicate.

**B.** Quantification of receptor recycling in BMDMs isolated from the indicated genotypes and for the indicated receptors. Each data point represents a unique experiment performed in duplicate.

**C. and D.** Pro-inflammatory cytokine expression in (C) BMDMs or (D) BV2 microglia in response to 1μM oligomeric Aβ<sub>1-42</sub> measured by qPCR. Cells were treated for 12h. For C., n=3 per genotypes performed in duplicate. For D., n=4 per genotype performed in triplicate.

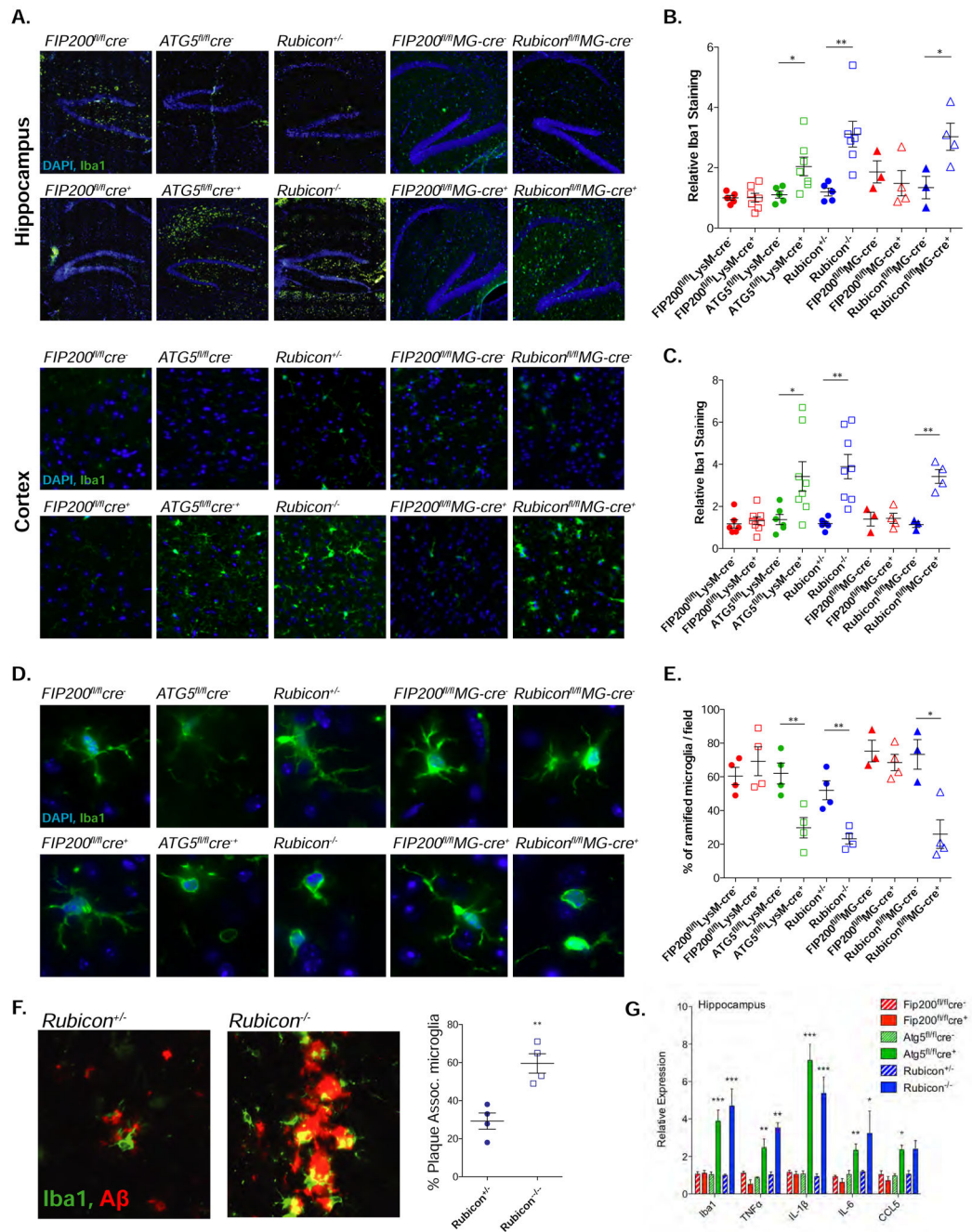


**E.** qPCR analysis of pro-inflammatory gene expression in primary microglia following 1 $\mu$ M oligomeric A $\beta$ <sub>1-42</sub> exposure for 12h.  $n=3$  per genotype performed in triplicate.

**F.** Cytokine production by primary microglia in response to 1 $\mu$ M oligomeric A $\beta$ <sub>1-42</sub> measured 12h post-incubation by ELISA.  $n=3$  per genotype performed in duplicate.

Data are represented as mean  $\pm$  SEM. Significance was calculated using *Student's t-test*.

\* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ .



**Figure 4. Lando decreases Aβ-induced reactive microgliosis**

**A.** Representative images showing microglial activation/expansion (green-Iba1 positive) in the hippocampus and the 5<sup>th</sup> cortical layer (cortex) of the indicated 4m-old 5xFAD genotypes. Scale bars, 100µm.

**B. and C.** Quantification of activated microglia in the hippocampus (B) and cortex (C) respectively. Data shown is the MFI of Iba1 staining for each genotype relative to Wt, 5xFAD littermate controls. Each point represents an individual mouse.

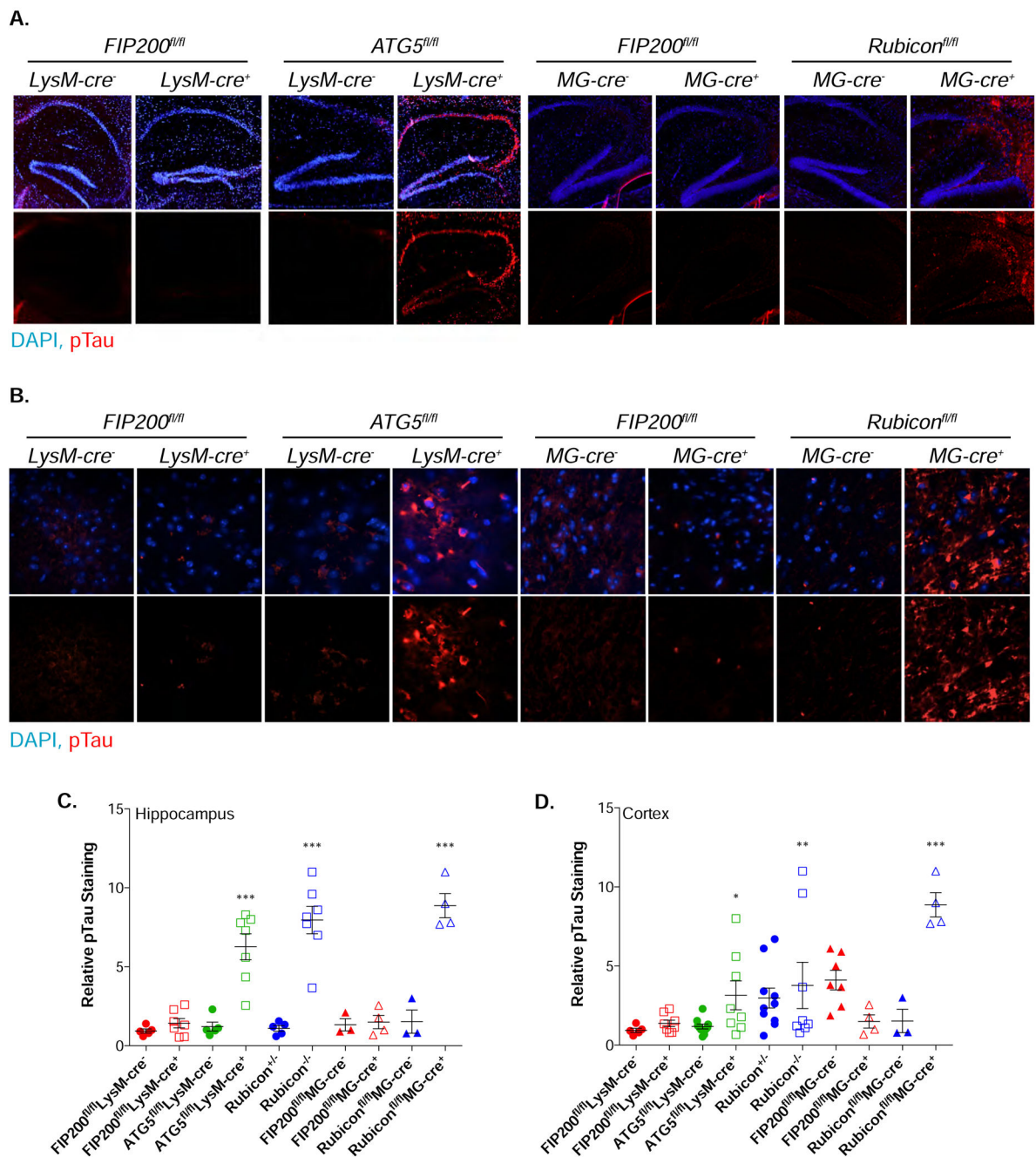
**D.** Representative images indicating microglial morphology in 4m-old mice. Scale bars, 10µm.

**E.** Quantification of ramified vs. amoeboid microglia in the indicated 5xFAD genotypes at 4m of age. Each point represents an individual mouse.

**F.** Representative images and quantification of microglia/plaque-association in 4m-old 5xFAD, Rubicon<sup>+/-</sup> or Rubicon<sup>-/-</sup> mice. (See STAR Methods). Each point represents an individual mouse. Scale bars, 5µm.

**G.** qPCR analysis of inflammatory gene expression in hippocampal slices from 4m-old 5xFAD mice of the indicated genotypes. *n*=7 mice per genotype, qPCR performed in triplicate.

Data are represented as mean ± SEM. Significance was calculated using *Student's t-test*. \**p*<0.05, \*\**p*<0.01, \*\*\**p*<0.001.



**Figure 5. LANDO mitigates tau hyperphosphorylation.**

**A. and B.** Representative images showing phosphorylation of Tau at S202/T205 in the hippocampus (A) and cortex (B) of 4m-old LANDO-deficient 5xFAD mice. Scale bars, 100 $\mu$ m for A, 30 $\mu$ m for B. Upper images are combined DAPI and anti-pTau, lower are anti-pTau only.

**C. and D.** Quantification of phospho-tau in the hippocampus (C) and cortex (D) of the indicated 5xFAD genotypes at 4m of age. The MFI of phospho-tau staining for each genotype is shown as relative to Wt, 5xFAD littermates as described for Iba1 staining (See Fig. 4 legend). Each point represents an individual mouse.

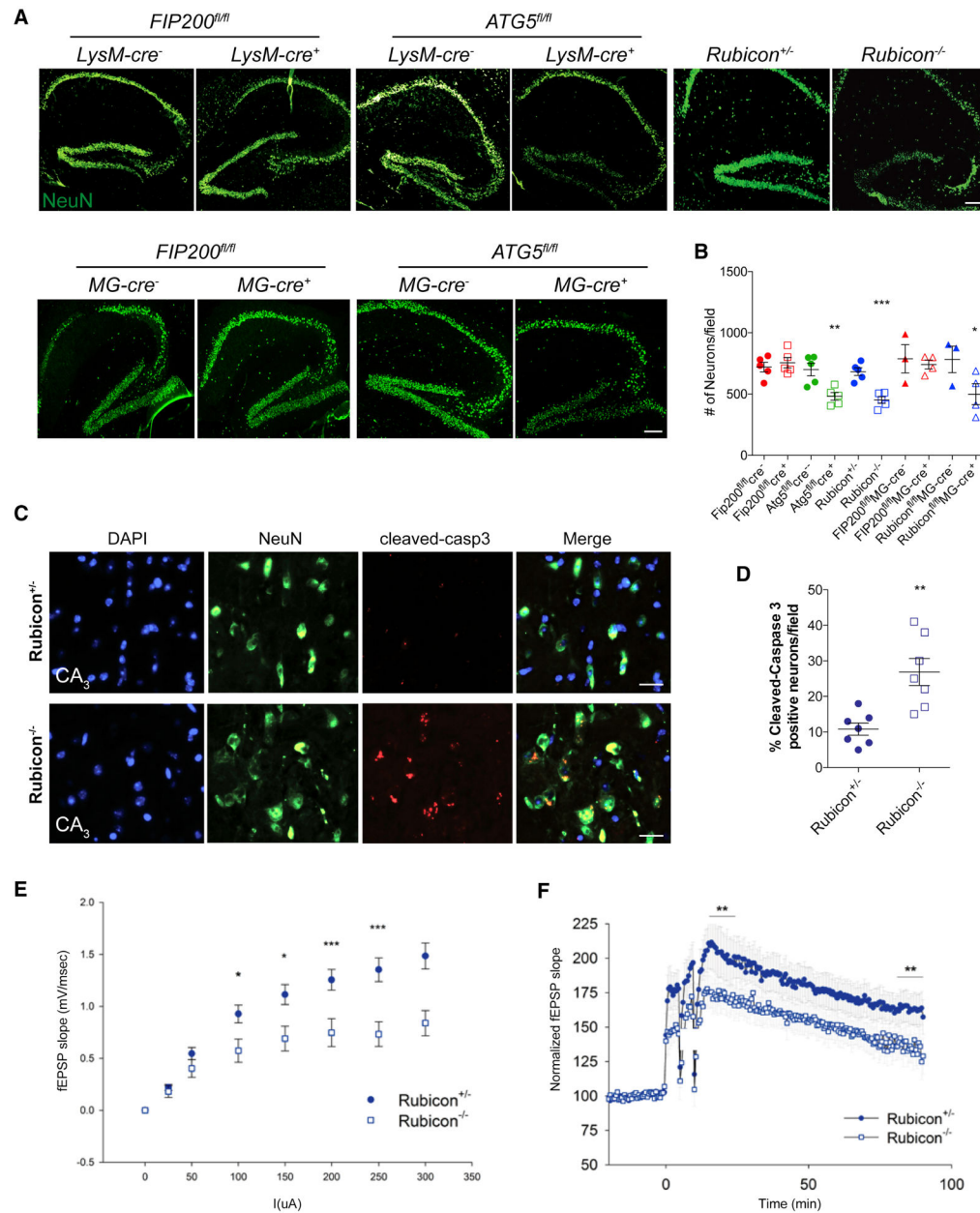
Data are represented as mean  $\pm$  SEM. Significance was calculated using *Student's t-test*.  
\*p<0.05, \*\*p<0.01, \*\*\*p<0.001.

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**Figure 6. Lando-deficiency promotes A $\beta$ -induced neuronal death.**

**A.** Representative images showing neurons (NeuN-green) in the hippocampus of the indicated 4m-old 5xFAD genotypes. Scale bars, 100 $\mu$ m.

**B.** Quantification of neuronal content (#) within the hippocampus at 4m of age. Each point represents an individual mouse.

**C.** Representative images identifying neuronal apoptosis within the CA3-region of the hippocampus of 4m-old 5xFAD Rubicon-deficient mice. Scale bars, 30 $\mu$ m.

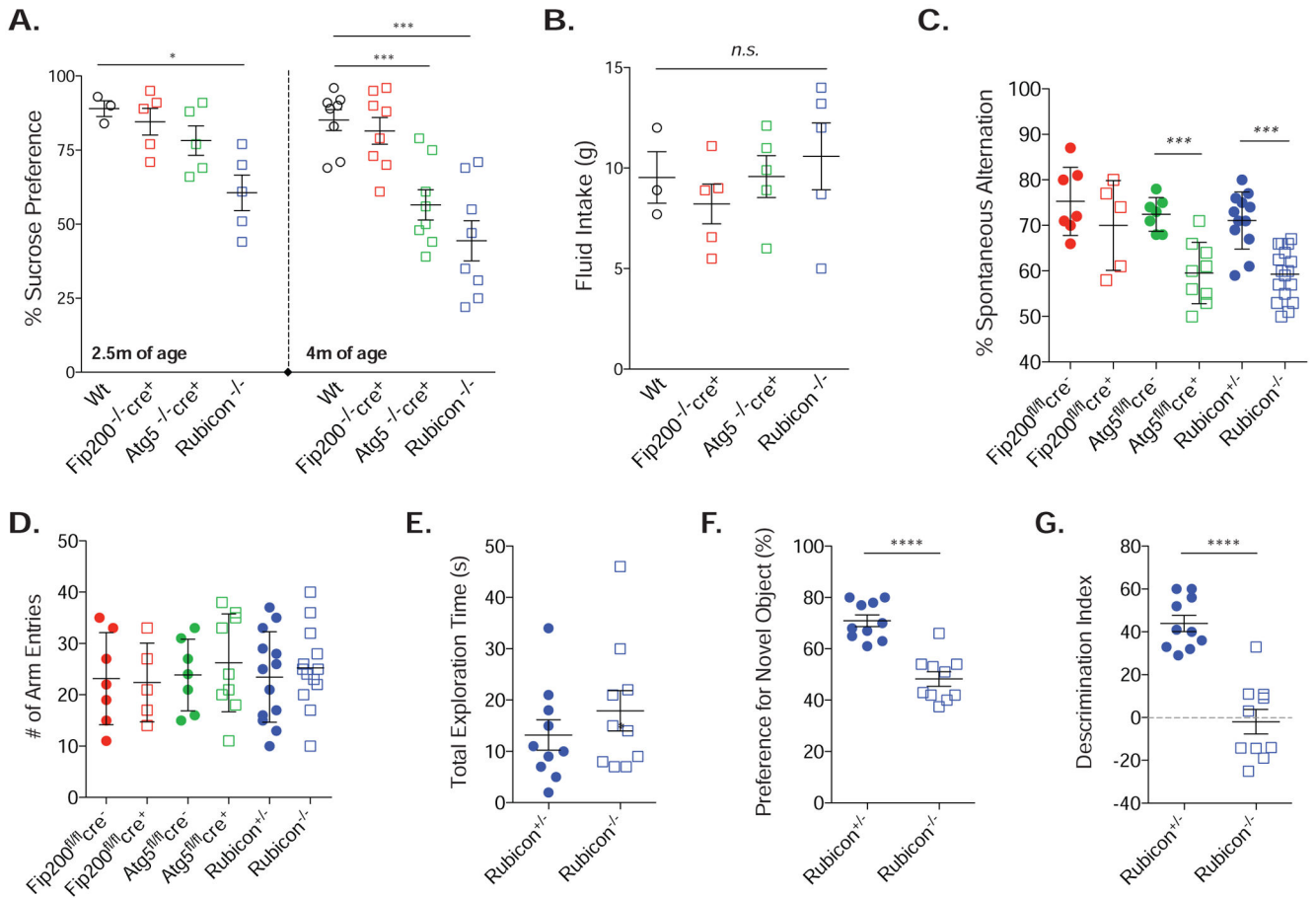
**D.** Quantification of apoptotic neurons within the hippocampus of 4m-old 5xFAD Rubicon-deficient mice. Each point represents an individual mouse.



**E. and F.** Analysis of hippocampal synaptic transmission (E) and long-term potentiation (F) in 5xFAD Rubicon-deficient mice.  $n=9$  mice per genotype with a minimum of 5 slices per mouse.

Data are represented as mean  $\pm$  SEM. Significance was calculated using *Student's t-test*.

\* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ .



**Figure 7. Loss of CA3 neurons in LANDO-deficient mice leads to behavior and memory impairment.**

**A. and B.** Sucrose preference test (A) and fluid intake measurement (B) for the indicated 5xFAD genotypes at both 2.5 and 4m of age. Each data point represents an individual mouse.

**C. and D.** Y-maze test for short-term memory measuring spontaneous arm alternation (C) and total arm entries (D) in the indicated 5xFAD genotypes at 4m of age. Each data point represents an individual mouse.

**E. – G.** Analysis of novel object recognition measuring total exploration time (E), preference for the novel object (F), and the ability to discriminate (G) in 4m-old 5xFAD Rubicon<sup>+/-</sup> or Rubicon<sup>-/-</sup> mice. Each data point represents an individual mouse.

Data are represented as mean  $\pm$  SEM. Significance was calculated using *Student's t-test*.

\*p<0.05, \*\*\*p<0.001, \*\*\*\*p<0.0001.