




## BRIEF COMMUNICATION

**Microbleed prevalence and burden in anticoagulant-associated intracerebral bleed**

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**Introduction**

Cerebral microbleeds (CMBs) are cerebral small vessel disease markers identified in blood-sensitive brain MRI sequences (gradient-recalled echo [GRE] or susceptibility-weighted imaging (SWI)).<sup>1</sup> They correspond to diverse

**Abstract**

Prior studies suggest an association between Vitamin K antagonists (VKA) and cerebral microbleeds (CMBs); less is known about nonvitamin K oral anticoagulants (NOACs). In this observational study we describe CMB profiles in a multicenter cohort of 89 anticoagulation-related intracerebral hemorrhage (ICH) patients. CMB prevalence was 51% (52% in VKA-ICH, 48% in NOAC-ICH). NOAC-ICH patients had lower median CMB count [2(IQR:1–3) vs. 7(4–11);  $P < 0.001$ ];  $\geq 5$  CMBs were less prevalent in NOAC-ICH (4% vs. 31%,  $P = 0.006$ ). This inverse association between NOAC exposure and high CMB count persisted in multivariable logistic regression models adjusting for potential confounders (OR 0.10, 95%CI: 0.01–0.83;  $P = 0.034$ ).

underlying pathological processes. While hypertensive<sup>2</sup> or cerebral amyloid angiopathy-related microhemorrhages are the most commonly associated conditions, ischemic processes such as hemorrhagic transformation of ischemic microinfarcts and extravasation of red thrombi might also have similar imaging appearance. CMBs have been linked

to increased incident risk of both ischemic and hemorrhagic stroke.<sup>2</sup> Although ischemic strokes are more frequent in terms of absolute event rates, the association of CMB presence with incident intracerebral hemorrhage (ICH) for the individual patient is more potent, especially in those with higher CMB burden.<sup>3</sup> Thus, CMBs are recognized as a potentially useful prognostic of ICH especially in high-risk patients exposed to therapeutic oral anticoagulation.<sup>3,4</sup>

Less is known regarding the relationship between oral anticoagulation and the pathogenesis of CMBs. Data regarding Vitamin K antagonists (VKAs) and CMBs generally suggest higher risk, especially among those with highly variable international normalized ratio (INR).<sup>5,6</sup> Data regarding nonvitamin K oral anticoagulants (NOACs) are scarce and suggest no overall association between NOAC exposure and CMB incidence or burden.<sup>7</sup> Notably, a recent multicenter study reported higher CMB prevalence in patients experiencing ICH than ischemic stroke while taking NOACs.<sup>8</sup> We undertook this observational, cross-sectional study to characterize the prevalence and burden of CMBs in patients with symptomatic anticoagulation-associated ICH and examine potential differences in VKA versus NOAC-exposed patients.

## Methods

### Patient population

This is a retrospective analysis of two prospectively enrolled consecutive patient cohorts of nontraumatic ICH and positive history of oral anticoagulant intake in 15 participating tertiary-care stroke centers during a 2-year period (August 2015–July 2016 and August 2016–July 2017).<sup>9,10</sup> Patient characteristics and outcome have been described in detail previously<sup>9,10</sup> and are available in the online supplement (Table S1). Briefly, the definition of VKA-related ICH required effective use of a VKA with an international normalized value of  $>1.5$  on hospital admission, while the definition of NOAC-related ICH required confirmed ingestion of the relevant NOAC during the last 24 h before the index event. Patients with major head trauma or known underlying structural or vascular cause of ICH were excluded from further evaluation. We also excluded patients with hemorrhagic transformation of ischemic infarcts and patients with pure intraventricular hemorrhage. Lastly, we excluded patients without baseline MRI with available blood-sensitive sequences allowing CMB detection.

### Imaging characteristics and criteria

We defined CMBs according to the Standards for Reporting Vascular changes on neuroimaging (STRIVE)

consensus criteria.<sup>1</sup> We recorded magnet strength (1.5 vs. 3T) and type of sequence used. Further details on CMB reading are available in the online supplement. MRI was performed in the acute phase (during the admission for the index ICH). Images were reviewed by experienced vascular neurologists at each center, blinded to anticoagulation exposure and official Neuroradiologist read for both CMB presence and ICH location. Formal Neuroradiological interpretation was used to corroborate ICH location.

### Statistical analyses

Continuous variables are presented as median with interquartile range, whereas categorical variables are presented as percentages. Statistical comparisons between different subgroups were performed using the Pearson's  $\chi^2$  test and Mann–Whitney *U* test, where appropriate.

Multivariable logistic regression analyses were performed on the association of baseline characteristics with the presence of five or more cerebral microbleeds in baseline neuroimaging.<sup>11</sup> In univariable models of all baseline characteristics a threshold of  $P < 0.1$  was used to identify candidate variables for inclusion in the multivariate regression models that tested statistical significance hypothesis using the likelihood ratio test with an alpha value of 0.05. We also performed sensitivity univariable/multivariable logistic regression analyses after excluding patients with no presence of cerebral microbleeds on baseline neuroimaging. Finally, we also performed adjusted for baseline ICH volume analyses on the probabilities of hematoma expansion and ICH volume more than 30 cm<sup>3</sup> at the follow-up neuroimaging in 24 h according to baseline CMB burden ( $<5$  CMBs or  $\geq 5$  CMBs).

We used Stata Statistical Software Release 13 for Windows (College Station, TX, StataCorp LP) for all analyses.

## Results

Our two previous cohorts comprised a total of 109 NOAC-related and 248 VKA-related ICHs. Of these, a total of 89 patients (25 NOAC-, 64 VKA-associated) received brain MRI with GRE or SWI sequences allowing CMB detection. Compared to those who did not receive MRI (Table S1), included patients had higher median BMI (30 vs. 27 kg/m<sup>2</sup>,  $P = 0.002$ ), were less likely to have hypertension (89% vs. 97%;  $P = 0.002$ ), hyperlipidemia (52% vs. 65%;  $P = 0.03$ ), and coronary artery disease (28% vs. 40%;  $P = 0.04$ ); otherwise there were no significant differences. Patient characteristics according to anticoagulant type are summarized in Table 1. NOAC-ICH patients were older (median age 78 [70–81] years vs. 70

**Table 1.** Baseline characteristics and outcomes according to the type of oral anticoagulant treatment

Variable	VKA (n = 64)	NOAC (n = 25)	P-value
Baseline clinical characteristics			
Age (years, median, IQR)	70 (60–77)	78 (70–81)	0.005
Males (%)	65.6%	52.0%	0.234
BMI (median, IQR)	30 (25–33)	27 (17–34)	0.133
CHA <sub>2</sub> DS <sub>2</sub> -VASc score (median, IQR)	4 (3–5)	4 (4–6)	0.017
HAS-BLED score (median, IQR)	3 (2–3)	2 (2–4)	0.917
Hypertension (%)	92.1%	96.0%	0.519
Diabetes (%)	42.2%	32.0%	0.376
Hyperlipidemia (%)	50.0%	48.0%	0.865
Heart failure (%)	21.9%	12.0%	0.287
Current smoking (%)	10.9%	4.0%	0.304
Coronary artery disease (%)	31.2%	32.0%	0.945
Chronic kidney disease (%)	17.2%	16.0%	0.893
Prior history of ischemic stroke (%)	29.7%	24.0%	0.592
Prior history of intracerebral hemorrhage (%)	6.2%	0%	0.201
Statin pretreatment (%)	67.2%	48.0%	0.094
Antiplatelet pretreatment (%)	43.7%	36.0%	0.505
NIHSS admission (median, IQR)	5 (3–18)	6 (3–12)	0.487
GCS admission (median, IQR)	14 (8–15)	14 (13–15)	0.257
SBP admission (mmHg, median, IQR)	163 (147–190)	175 (141–200)	0.435
DBP admission (mmHg, median, IQR)	94 (80–99)	91 (74–98)	0.464
Baseline Laboratory values			
INR admission (median, IQR)	2.4 (1.8–3.6)	1.2 (1.1–1.6)	<0.001
aPTT admission (sec, median, IQR)	39 (33–42)	30 (28–32)	<0.001
Platelet count × 10 <sup>3</sup> /μL (median, IQR)	192 (159–259)	218 (184–270)	0.217
CrCl on admission (ml/min, median, IQR)	60 (44–70)	60 (45–75)	0.291
Baseline neuroimaging findings			
Lobar hemorrhage (%)	57.8%	28.0%	0.001
Intraventricular hemorrhage (%)	35.9%	32.0%	0.726
Baseline ICH volume (cm <sup>3</sup> , median, IQR)	11.3 (5.1–26.3)	4.9 (2.1–22.1)	0.051
ICH score (median, IQR)	1 (1–2)	1 (1–2)	0.635
Severe ICH (%) <sup>1</sup>	14.5%	16.0%	0.861
CMB presence (%)	51.6%	48.0%	0.763
CMB number (median, IQR) <sup>2</sup>	7 (4–11)	2 (1–3)	<0.001
CMB ≥5 (%)	31.2%	4.0%	0.006
CMB ≥10 (%)	17.1%	4.0%	0.102
3T MRI	39.1%	36.0%	0.789
SWI sequence	6.2%	0%	0.201

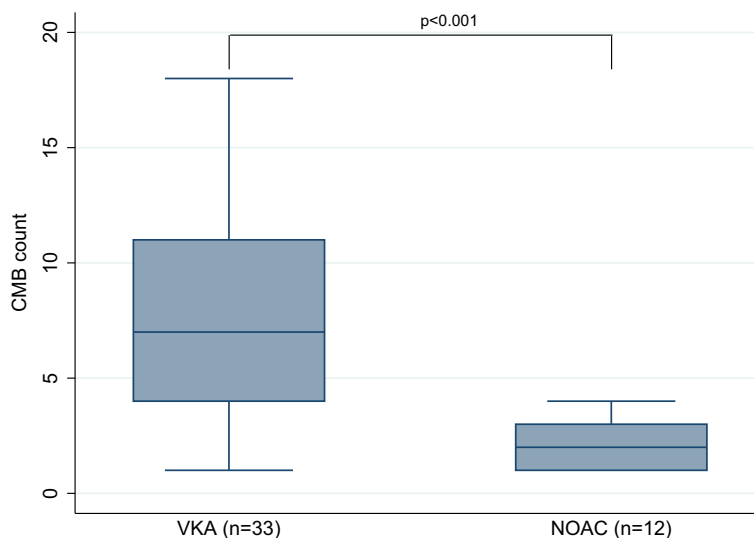
<sup>1</sup>Defined as ICH score ≥2.

<sup>2</sup>After excluding patients without CMB presence.

[60–77] years;  $P = 0.005$ ), had higher CHA<sub>2</sub>DS<sub>2</sub>-VASc score (4[4–6] vs. 4[3–5]);  $P = 0.017$ , and were less likely to have lobar ICH location (28% vs. 58%;  $P = 0.001$ ).

A total of 45 patients (51%) had ≥ 1 CMB. There was no difference between VKA- and NOAC- ICH (52% vs. 48%;  $P = 0.763$ ; Table 1). However, the median CMB number was significantly lower in NOAC-ICH patients (2 [1–3] vs. 7[4–11];  $P < 0.001$  (Table 1 and Fig. 1). A total of 21 patients had high CMB burden (≥5 CMB). Their characteristics are summarized in Table S2. High CMB burden (≥ 5 CMBs) was less prevalent in NOAC-ICH patients (31% vs. 4%;  $P = 0.006$ , Table 1). In contrast, high CMB burden was more common in younger patients

and in patients who underwent 3 Tesla MRI (Table 2). This inverse association between NOAC (vs. VKA) exposure and high CMB count persisted in multivariable logistic regression models adjusting for potential confounders including demographics, risk factors, laboratory and brain imaging parameters: (OR 0.10, 95%CI:0.01–0.83;  $P = 0.034$ ; Table 2). In sensitivity analyses, four factors were associated with high CMB burden in this sensitivity analysis: age, NOAC pretreatment, antiplatelet pretreatment and MRI strength (Table S3). Pretreatment with NOACs was independently related to lower odds of high CMB burden (OR 0.02, 95%CI: 0.01–0.25;  $P = 0.006$ ) in the sensitivity analysis (Table S3).



**Figure 1.** Distribution of cerebral microbleeds in baseline neuroimaging between patients with history of oral anticoagulation pretreatment with either vitamin K antagonists or nonvitamin K antagonist oral anticoagulants.

## Discussion

In this cross-sectional observational study of anticoagulation-associated ICH, we documented an overall prevalence of CMBs of 51%. Although CMB prevalence was well-balanced between VKA and NOAC-ICH, NOAC-ICH patients had significantly lower CMB burden, both in median number and when dichotomized as  $<5$  versus  $\geq 5$

CMBs. These associations remained significant after adjusting for potential confounders.

The observed CMB prevalence of  $\sim 50\%$  is significantly higher than the frequency reported in population-based studies<sup>12–14</sup> and studies in ischemic stroke patients<sup>3</sup> which range from 8.8 to 23%, with tendency to increase with age. However, this prevalence is comparable or lower than CMB frequency reported in ICH cohorts which often

**Table 2.** Univariable and multivariable logistic regression analyses on the association of baseline characteristics with the presence of five or more cerebral microbleeds in baseline neuroimaging

	Univariable analysis		Multivariable analysis	
	OR (95%CI)	P	OR (95%CI)	P
Age (years)	0.94 (0.89, 0.98)	0.011	0.94 (0.89, 0.99)	0.031
Males (%)	1.28 (0.48, 3.40)	0.616	–	–
BMI	1.03 (0.97, 1.09)	0.338	–	–
Hypertension	1.59 (0.17, 14.40)	0.681	–	–
Diabetes	1.21 (0.45, 3.27)	0.705	–	–
Hyperlipidemia	1.50 (0.56, 4.02)	0.421	–	–
Heart failure	0.99 (0.29, 3.46)	0.994	–	–
Current smoking	2.10 (0.46, 9.64)	0.340	–	–
Coronary artery disease	0.61 (0.20, 1.88)	0.390	–	–
Kidney failure	1.81 (0.54, 6.06)	0.335	–	–
Prior history of ischemic stroke	1.39 (0.48, 3.99)	0.542	–	–
Prior history of intracerebral hemorrhage	3.47 (0.46, 26.32)	0.228	–	–
Statin pretreatment	1.32 (0.47, 3.69)	0.600	–	–
Antiplatelet pretreatment	0.48 (0.16, 1.38)	0.172	–	–
NOAC pretreatment	0.09 (0.01, 0.72)	0.024	0.10 (0.01, 0.83)	0.034
Admission SBP	0.99 (0.98, 1.01)	0.575	–	–
Admission DBP	1.02 (0.99, 1.05)	0.191	–	–
Lobar hemorrhage	1.17 (0.44, 3.11)	0.758	–	–
3T MRI	4.80 (1.68, 13.67)	0.003	6.42 (1.96, 21.03)	0.002
SWI sequence	3.47 (0.46, 26.32)	0.228	–	–

exceeds 60%,<sup>15</sup> using similar MRI strength and technique. This finding is counterintuitive, as one would expect higher prevalence of cerebral hemorrhagic complications, including CMBs, in those exposed to oral anticoagulation. Moreover, although the absolute CMB count in prior similar studies is not always reported, the proportion of patients with high CMB count (defined as  $\geq 5$  CMBs) in a study of pooled hospital-based ICH cohorts is estimated very similar to our observed frequency of  $\sim 24\%$ . Thus, although higher CMB burden is strongly associated with future ICH risk in patients receiving oral anticoagulation, our study does not provide evidence for increased crude CMB prevalence or burden in patients exposed to therapeutic anticoagulation compared to historical controls; this finding should be viewed with caution due to lack of a nonanticoagulated control group in this study.

Closer examination stratifying by anticoagulation type reveals significantly lower burden of CMBs in NOAC-ICH patients, despite older age. This is in line with previous studies in non-ICH atrial fibrillation Asian patient cohorts that have found no association between NOAC exposure and increased CMB burden, which was similar to nonanticoagulated controls.<sup>7,16</sup> It is also in line with well-established lower overall hemorrhagic risk of NOACs compared to VKAs.<sup>17</sup> Given the significantly higher proportion of lobar ICH in the VKA-exposed patients, another plausible explanation for this imbalance in CMB burden is that this subgroup had a higher prevalence of cerebral amyloid angiopathy (CAA), resulting in higher CMB burden. On the other hand, it should be noted that patients pretreated with NOACs were older than patients pretreated with VKA and this observation does not support the hypothesis of a higher CAA prevalence in the VKA group.

This finding also suggests that the interaction between CMB and ICH risk might not be clinically consequential in those exposed to NOAC, making NOAC a more suitable choice in patients with higher CMB burden in need for anticoagulation. In the large prospective observational CROMIS-2 study there was a significantly lower proportion of NOAC-exposed patients among those who suffered an ICH compared to VKA exposure (14% vs. 86%) over a 2-year follow-up period, although this did not reach statistical significance ( $P = 0.071$ ) due to the low number of events.<sup>3</sup> Similarly, in a secondary analysis of the NAVIGATE-ESUS trial (Shoamanesh *et al.*, oral presentation in International Stroke Conference 2019), the risk of ICH in the rivaroxaban-allocated group was not affected by CMB presence compared to aspirin.

Our study is not without limitations. It was retrospective, observational, and treatment bias might have affected anticoagulation therapy allocation. The final sample size was small, especially with regards to the NOAC group.

External validation in a larger cohort is necessary. Given the cross-sectional nature of this analysis it is not possible to ascertain whether there is a causative link between anticoagulation exposure and CMB formation or whether CMB presence and anticoagulation exposure act synergistically to increase the risk of hemorrhage. Approximately 25% of the entire cohort received MRI and was available for analysis. Despite small imbalances in baseline cardiovascular risk factor prevalence, we found no evidence of a systematic selection bias with regards to parameters of interest, including anticoagulation type, concomitant medications, ICH severity and overall CHA<sub>2</sub>DS<sub>2</sub>-VASC and HAS-BLED score (Table S1). MRI protocols and strengths which are well-known to affect the sensitivity of CMB detection were heterogeneous among participating centers. However, both 3T MRI and SWI sequence use were evenly distributed between anticoagulation allocation groups (Table 1) and the association between NOAC-ICH and lower CMB burden remained significant after adjusting for magnet strength. We had no information regarding duration of exposure to anticoagulation which might be an important factor determining development of CMBs. However, we note that NOAC-ICH patients were older and with higher CHA<sub>2</sub>DS<sub>2</sub>-VASC score; it is plausible that they might have been exposed to VKA and subsequently changed to NOAC, which would further highlight lower risk of CMB formation associated with NOAC. We do not have information regarding CMB anatomical location, which is a shortcoming subtracting granularity from our findings, given that different CMB location implies differential underlying pathology.<sup>13,14</sup>

In conclusion, we documented similar prevalence but significantly higher burden of CMBs in VKA compared to NOAC exposure, in a cohort of 89 patients with anticoagulation-related ICH. Results of additional ongoing prospective studies (Intracerebral Hemorrhage Due to Oral Anticoagulants: Prediction of the Risk by Magnetic Resonance (HERO) <https://clinicaltrials.gov/ct2/show/NCT02238470>) and Cerebral Microbleeds During NOACs or Warfarin Therapy in NVAF Patients With Acute Ischemic Stroke (CMB-NOW) <https://clinicaltrials.gov/ct2/show/NCT02356432>) are expected to further refine our understanding of the interaction between CMBs and anticoagulant therapy.

## Author Contributions

Vasileios Lioutas participated in conception and design of study and first manuscript draft. Aristeidis Katsanos involved in drafting of manuscript and figures/tables. Georgios Tsvigoulis involved in study conception and design, manuscript, and figures drafting. All authors involved in acquisition and analysis of the data.

## Conflict of Interest

GT reports advisory board and speaker honoraria from Boehringer Ingelheim, Bayer, Daichii Sankyo, Medtronic, Shire, CSL Behring, Allergan, and Biogen; and an unrestricted research grant from Medtronic.

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## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Table S1.** Univariable comparisons of baseline characteristics between patients who received MRI vs those who did not.

**Table S2.** Baseline characteristics and outcomes according to high cerebral microbleed burden ( $\geq 5$ ) on baseline neuroimaging.

**Table S3.** Univariable and multivariable logistic regression analyses on the association of baseline characteristics with the presence of five or more cerebral microbleeds on baseline neuroimaging, after excluding patients with no cerebral microbleeds on baseline neuroimaging.