



Membraneless polyester microdroplets as primordial compartments at the origins of life

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Compartmentalization was likely essential for primitive chemical systems during the emergence of life, both for preventing leakage of important components, i.e., genetic materials, and for enhancing chemical reactions. Although life as we know it uses lipid bilayer-based compartments, the diversity of prebiotic chemistry may have enabled primitive living systems to start from other types of boundary systems. Here, we demonstrate membraneless compartmentalization based on prebiotically available organic compounds, α -hydroxy acids (α HAs), which are generally coproduced along with α -amino acids in prebiotic settings. Facile polymerization of α HAs provides a model pathway for the assembly of combinatorially diverse primitive compartments on early Earth. We characterized membraneless microdroplets generated from homo- and heteropolyesters synthesized from drying solutions of α HAs endowed with various side chains. These compartments can preferentially and differentially segregate and compartmentalize fluorescent dyes and fluorescently tagged RNA, providing readily available compartments that could have facilitated chemical evolution by protecting, exchanging, and encapsulating primitive components. Protein function within and RNA function in the presence of certain droplets is also preserved, suggesting the potential relevance of such droplets to various origins of life models. As a lipid amphiphile can also assemble around certain droplets, this further shows the droplets' potential compatibility with and scaffolding ability for nascent biomolecular systems that could have coexisted in complex chemical systems. These model compartments could have been more accessible in a "messy" prebiotic environment, enabling the localization of a variety of protometabolic and replication processes that could be subjected to further chemical evolution before the advent of the Last Universal Common Ancestor.

origins of life | prebiotic chemistry | membraneless compartments | self-assembly | polyesters

Compartmentalization was likely a crucial stage in the emergence of life (1). Compartments provide a boundary preventing diffusion of molecules important for evolving systems as well as a space in which chemical reactions can be enhanced due to increased concentration (2). In modern life, this is accomplished by cellularization, which also allows for both individuation and energy transduction (3). Although modern cell membranes depend on phospholipid bilayers, earlier life may have been constructed of vesicle compartments made of simpler but not necessarily easy-to-synthesize single and long-chain fatty acids (4, 5). Despite this, microscale fatty acid bilayer vesicles have often been used to model the first cell-like compartments on Earth as they are able to stably compartmentalize genetic biopolymers such as RNA even up to temperatures as high as 90 to 100 °C, while still allowing the transport of small molecules across the membrane boundary (6). Such vesicles have also been shown to be able to grow and divide easily upon incorporation of fatty acid micelles and application of shear stress (7). However, fatty acid vesicles are generally not stable to large

fluctuations in pH (beyond roughly neutral) (8) or millimolar concentrations of divalent cations (at least in the absence of chelating agents like citrate) (9) such as Ca(II) or Mg(II), the latter being an essential ion that promotes the activity of primitive RNA catalysts (10). Thus, perhaps before the emergence of lipid-based cells, nonlipid bilayer-based microscale compartments may have enabled primitive biochemistry by providing the similar essential characteristics as lipid bilayer vesicles. This may have included nonvesicular compartmentalization mechanisms such as aqueous two-phase systems (ATPSs) (11), membraneless peptide coacervate droplets (12), liquid-in-liquid microdroplets made from small organics or oils (2), inorganic compartments (13), or through other liquid-liquid phase separation phenomena (14). Indeed, modern cells host a wide variety of nonlipid-based membraneless organelles and condensates. Despite having no enclosing membrane, these structures localize both RNA and protein in subcellular compartments. Some widespread examples include neuronal granules, cytoplasmic germ granules, nucleoli, promyelocytic leukemia protein bodies, Cajal bodies, and processing bodies (15).

Prebiotic chemical environments were likely much more complex than the model vesicle-based systems described above (16), and thus more investigation into the potential emergence of

Significance

The prebiotic milieu was likely heterogeneous, consisting of a large number of chemicals and their associated reactions, including those not only of biological compounds, but also nonbiological compounds. Although origins of life research has focused primarily on biological molecules, the nonbiological molecules which were also present may have assisted evolving chemical systems in unforeseen ways. Thus, we synthesized and assembled membraneless polyester microdroplets from drying of pools of simple α -hydroxy acid monomers and showed that they can act as plausible prebiotic compartments. By having the capacity to undergo combinatorial rearrangement, these microdroplets could have developed versatile abilities to host early genetic and metabolic systems critical for the origins of life.

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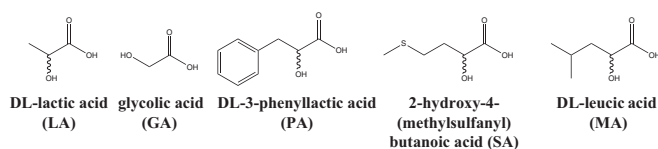


Fig. 1. The 5 α HAs studied.

microscale compartments from diverse pools of simple chemicals is warranted. In this sense, the ubiquity and diversity of α -hydroxy acids (α HAs) in various primitive environments is well known, as they are synthesized in various abiotic systems such as spark discharge experiments (17) and found in carbonaceous meteorites (18, 19). Recently, Chandru et al. (20) showed that α HAs readily form combinatorial polymer libraries under evaporative conditions, which could reasonably have been synthesized on early Earth or other watery rocky planets, such as Mars (21) or even those of the TRAPPIST-1 system (22), through diurnal or seasonal oscillations in insolation (23).

We show here that polydisperse polyesters with diverse chemical functionality generated from drying α HAs at low temperatures can form gel-like phases that self-assemble into microdroplets, with diameter up to 10s of micrometers, in aqueous medium. These microdroplets are relatively stable to coalescence, and their recombination and “division” can be effected through pH and/or ionic strength fluctuations in water in conjunction with agitation. These microdroplets can differentially segregate and compartmentalize fluorescent dyes and fluorescently tagged RNAs, while still allowing biopolymer function, demonstrating their potential relevance to various origins of life models (e.g., to serve as compartments for primitive chemical systems and to host segregated reactions). These studies provide a proof-of-principle for the generation of a model primitive membraneless compartment system in the microscale and the possibility of the emergence of various “phenotypic” traits from simple monomers.

Results

Synthesis of Polyester Condensed Phase. Polyesters were formed by drying 500 mM aqueous solutions (at their natural pH of 2 to 3) of each α HA [DL-lactic acid (LA), glycolic acid (GA), DL-3-phenyllactic acid (PA), 2-hydroxy-4-(methylsulfanyl)butanoic acid (SA), and DL-leucic acid (DL-2-hydroxy-4-methylpentanoic acid, MA); Fig. 1] at 80 °C for 1 wk in borosilicate glass test tubes, simulating primitive evaporative environments (*SI Appendix, Figs. S1–S3*). Water loss during drying drives polyester formation, and after drying, in most cases (with the exception of GA), a gel-like material formed (*SI Appendix, Fig. S1, Inset* photograph). Polyesters synthesized at their natural pH (2 to 3) in aqueous solution formed these phases, while reactions at pH 7 showed no detectable condensed-phase formation (except for LA), likely due to the inhibition of polymerization at pH 7 (*SI Appendix, Fig. S4*). The formation of the condensed phase is not glass-surface-dependent, as plastic tubes also resulted in the formation of the condensed phase (*SI Appendix, Fig. S5*). The resulting products were assayed by Matrix-Assisted Laser Desorption Ionization (MALDI) Time-of-Flight Mass Spectrometry and found to contain polydisperse polyesters, some up to 40 residues in length, depending on the starting material (*SI Appendix, Figs. S1 and S2 and Tables S1–S5*). The detected mass peaks can be assigned to discrete polymer sequences. For example, the LA spectrum (*SI Appendix, Figs. S14 and S24*) shows a repetitive mass increment of $\Delta 72.02$ Da, corresponding to the $(-\text{OCH}(\text{CH}_3)\text{CO}-)$ unit. Similar results were obtained for all of the other α HA samples, which polymerized into polyesters of variable maximum length. GA and LA polymerization products were found to be longer than observed in Chandru et al. (20) which we ascribe to differences in analytical technique and synthetic protocol. To more realistically simulate heterogeneous prebiotic environments (16), we increased the complexity of the system, and all 26 possible combinations of 2 to 5 different α HAs were also prepared (i.e., all 10 combinations of 2 α HAs, 10

combinations of 3 α HAs, 5 combinations of 4 α HAs, and 1 combination of all 5 α HAs). Each of these combinations formed a condensed phase. *SI Appendix, Fig. S6* shows the polyester condensed phase formed upon drying a mixture of all 5 α HAs.

Structure of Microdroplets. Upon addition of 4:1 (vol/vol) water/ acetonitrile to the dried polyester samples and sonication and vortexing, a turbid solution formed (*SI Appendix, Fig. S7*) (except in the case of GA, for which the products of which remained insoluble). Acetonitrile, a potentially prebiotic solvent (24), was incorporated into the system, as a pure water solvent either did not result in formation of microdroplets at all or resulted in few microdroplets, even after several minutes of sonication (*SI Appendix, Fig. S8*). This turbidity suggests that the condensed phase breaks apart into smaller microscale droplets in aqueous solution, and thus the microstructure of the turbid solutions was examined using light microscopy. The formation of spherical microdroplets was observed, ranging in diameter from a few micrometers up to 10s of micrometers (Fig. 2 and *SI Appendix, Fig. S9*). No microdroplets formed from α HAs that were not dried, thus the droplets require polymers to form (*SI Appendix, Fig. S10*). Drying at room temperature also did not result in the formation of macroscopic condensed phases or microdroplets, except in the case of polyMA (*SI Appendix, Fig. S11*); thus, there may be a minimum temperature threshold for the formation of polyesters of sufficient length (20) to form insoluble or amphiphilic aggregates. PolyGA did not form microdroplets or a condensed phase, possibly because the GA side chain is the least hydrophobic of the α HAs studied here. Despite this, GA does not hinder the formation of condensed phases or microdroplets when reacted with other α HAs, as all of the GA-containing polyesters consisting of 2 or more α HAs form the condensed phase and microdroplets (*SI Appendix, Fig. S12*). This suggests even in complex prebiotic environments containing many organic chemical species (16), such microdroplets could have still assembled, as even polydisperse heteropolyesters in solution produce self-assembled droplets.

Rapid compartment coalescence or disassembly would be catastrophic to primitive evolving systems as it would result in the loss of the droplet individuality. Thus, we next examined the robustness of the polyester microdroplets under various conditions. The droplets did not rapidly disassemble upon 10-fold dilution into water (*SI Appendix, Fig. S13*), although some of the poly- α HA droplets decreased slightly in size over several hours upon dilution (*SI Appendix, Figs. S14 and S15 and Movies S1 and S2*), possibly due to the leaching out of lower molecular weight species. This suggests that they would be stable to oscillations in water level caused by environmental conditions, i.e., rain. This is in contrast with ATPSs and coacervate droplets, for which dilution could result in rapid droplet disassembly (25, 26). Additionally,

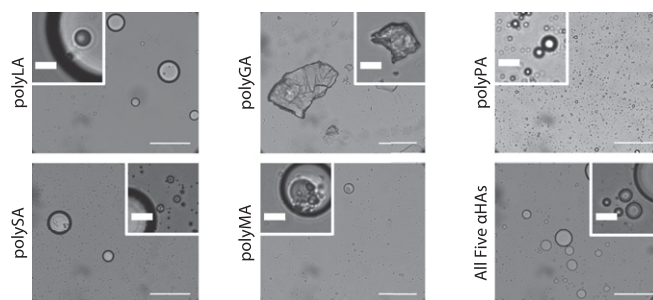


Fig. 2. Spherical microdroplets formed from various polyester condensed phases in aqueous media visualized by optical microscopy. Slight differences in the size and abundance of the droplets are attributed to variations in vortexing and sonication time as well as the nonuniform distribution of the droplets within the samples. PolyGA does not form the condensed phase (Scale bars, 100 μm in the main images, 10 μm in the *Insets*.)

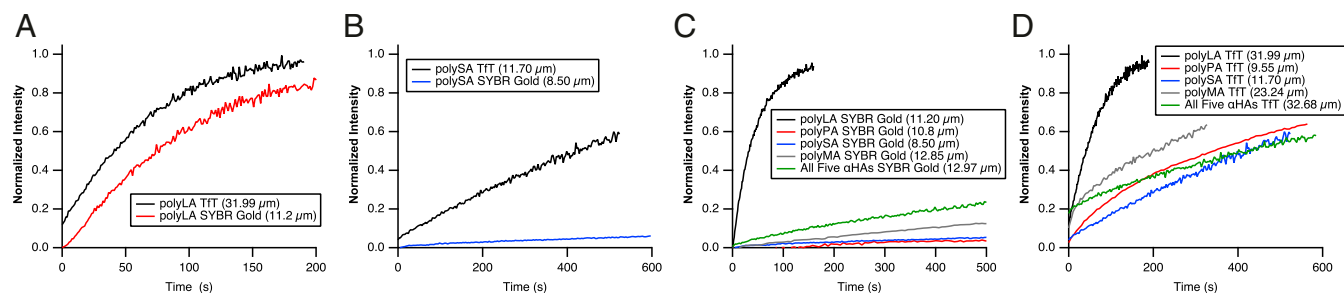


Fig. 4. FRAP recovery curves of Tft or SYBR Gold residing in different microdroplets. **A** shows the recovery of both dyes in polyLA, while **B** shows the recovery of both dyes in polySA, highlighting the property that one droplet type may only afford stable compartmentalization to certain dyes. **C** shows the recovery of SYBR Gold in all droplet types, while **D** shows the recovery of Tft in all droplet types, highlighting the property that the same dye may be afforded stable compartmentalization only in certain droplet types. See *SI Appendix, Table S6* for a list of all droplets probed with FRAP and their respective recovery kinetics, and *Movies S10* and *S11* for selected representative movies of the droplet FRAP experiments.

The observed variations in exchange rate, i.e., variations in “phenotype,” are likely due primarily to the dyes having different affinities for different droplet chemistries, which have divergently arisen from relatively simple pools of similar monomers. However, droplet size and proximity to other droplets containing dyes could also contribute to the measured exchange rates, resulting in slight variations in the fluorescence recovery rate such as is observed in Fig. 4*A*. However, these minor variations alone cannot explain the large differences observed in Fig. 4*B–D* (*SI Appendix, Table S6*). Thus, taken all together, these studies show that there is highly variable and composition-specific compartmentalization and exchange among these polyester droplets with respect to different solutes (*SI Appendix, Table S6* and *Movies S10* and *S11*).

Compatibility of Biomolecules with Droplets. As we observed the ability for polyPA droplets to scaffold the assembly of a lipid amphiphile layer around itself (*SI Appendix, Fig. S31*), we further probed the effect of droplet association on the function of other biomolecules such as RNA and proteins. In vitro expressed and purified recombinant superfold green fluorescent protein (sfGFP) (34) was chosen due to its hydrophobicity and ability to be assayed for function simply via microscopy; sfGFP fluoresces when correctly folded. Within the hydrophobic polyPA microdroplets, sfGFP still fluoresced (*SI Appendix, Fig. S33*), and thus sfGFP remains functionally folded. This indicates that at least some proteins preserve their native structures within the droplet microenvironments, especially proteins with highly hydrophobic residues. We then performed ribozyme kinetic assays in the presence of polyPA droplets using a fluorescent self-cleaving hammerhead ribozyme (*SI Appendix, Fig. S34*), suggesting the compatibility between RNA and polyester microdroplets, while the rate of the ribozyme cleavage in the presence of polyPA droplets was slightly slower than in water (*SI Appendix, Fig. S35*). Even after 8 h of incubation with polyPA droplets in the same buffer conditions as the ribozyme self-cleavage reaction, some fluorescent RNA still segregated to the remaining droplets, which themselves appear to have decreased in number perhaps due to some hydrolysis and disassembly in these conditions (*SI Appendix, Fig. S36*). FRAP experiments showed that the recovery half-time of RNA within polyPA droplets was on the order of a few minutes (*SI Appendix, Fig. S37* and *Table S6* and *Movie S12*), which is far faster than the self-cleavage reaction itself (*SI Appendix, Fig. S35*), which occurs on the order of hours. This suggests that although RNA preferentially segregates to the droplets, it is still possible that the self-cleavage reaction occurs outside of the droplet when the RNA is exchanged into the bulk solution.

Discussion

We have shown that through simple heated wetting–drying processes, 5 types of simple α HAs (Fig. 1) alone or in combination polymerize into polydisperse polyesters after drying (*SI*

Appendix, Fig. S1). With the exception of polyGA reacted alone, each of these polyester mixtures assembles into microdroplets with diameter up to 10s of micrometers in aqueous solution (Fig. 2). As the prebiotic Earth environment likely hosted a variety of compounds, self-assembly in the nano- or microscale arising from heterogeneous reactions may have been a common phenomenon (16). These results suggest that emergent physical behaviors relevant to chemical evolution can arise from the unguided complexification of simple monomer types even using molecular systems unrelated to the major biopolymers of modern biochemistry (14). These relatively simple heterogeneous systems also generate droplets with clear chemically distinct behaviors, namely, the differential ability to segregate and stably compartmentalize dyes and fluorescently labeled RNA.

Rehydration of the polymeric materials at pH 8 and high ionic strength conditions speeds droplet coalescence (*SI Appendix, Figs. S17–S23* and *Movies S5–S9*). The pH and salinity of various primitive Earth surface waters, for example of the oceans or of evaporative pools, is uncertain and may have been locally variable (36). Nevertheless, significant pH (37) or salinity changes, agitation caused by water and wind movements (30), freezing and thawing (38), etc. (39) may have occurred in evaporative environments, which could have facilitated coalescence of polyester microdroplets with different encapsulated molecules or droplet chemistries, as well as dynamic polyester droplet assembly and disassembly. Modern biology uses both biologically- and environmentally controlled pH (40) and salt (41) cycling to maintain various dynamic and homeostatic systems. Oscillating pH-, ionic strength-, temperature-, and/or hydration-driven polyester microdroplet coalescence/assembly/disassembly systems with constant or periodic agitation offer a model experimental system to study primitive precellular environmentally controlled recombination and evolution.

As even this relatively simple system with only one synthesis step results in the emergence of distinct chemical traits, environmentally responsive dynamic systems may be common chemical phenomena as well as a facile way to select for microdroplets with specific attributes or functions advantageous for further chemical evolution. Since the early Earth day was much shorter than at

Table 1. Representative FRAP kinetics of droplets analyzed in Fig. 4

Dye	PolyLA	PolyPA	PolySA	PolyMA	All 5 α HAs
Tft	50 s	225 s	705 s	155 s	490 s
SYBR Gold	32 s	—	—	—	288 s

FRAP curves were fit and analyzed as described (*SI Appendix*). $t_{1/2}$, the half-time of recovery, is reported in the table. In some cases, the recovery rate was too slow to properly fit. In those cases, the table entries are labeled as “—”. See *SI Appendix, Table S6* for summary of FRAP data for all droplets tested; *Movies S10* and *S11* show representative FRAP acquisitions.

present (~4 h circa 4 Ga) (42), it is also possible that, depending on the ambient temperature, ebb and flow of water bodies (volume and concentration changes), ionic strength, and pH conditions, systems similar to those described could have arisen as quickly as observed here. Although this type of dynamism can be achieved in coacervate systems, for example by application of an external electrical field (43), this is not so easily accomplished in phospholipid vesicles, where fusion and division require significant external stimuli. Fatty acid vesicles, on the other hand, can easily divide from agitation or shear stress, but their fusion is not as easily achieved (7). Hence, more dynamically recombinative microscale systems, including those based on compounds such as α HAs and other simple monomers, could have played a critical role in the evolution of primitive living systems on early Earth (14).

In fatty acid vesicles, larger molecules such as nucleic acids do not leak to an appreciable degree after compartmentalization, while many smaller molecules are able to move in and out of such compartments (44). Chemically diverse polyester microdroplets are able to segregate and compartmentalize different molecules including small molecules or polymers like RNA or proteins (Figs. 3 and 4); in some droplets smaller molecules could be stably compartmentalized, e.g., small hydrophobic molecules, chemically similar to Tff, in a hydrophobic droplet interior through hydrophobic interactions. Simultaneously in these same droplets, larger molecules such as RNA or other biopolymers could be simultaneously excluded, such as in polySA, polyLA, or polyMA. In other droplets such as those formed from polyPA, larger molecules like RNA could instead preferentially cosegregate with the small hydrophobic molecules. This feature of polyester droplets could result in more diverse dynamics compared with fatty acid vesicles, and could be important for primitive chemical evolution and compartmentalized product accumulation. This could allow, for example, enhanced thermodynamic and kinetic favorability of certain reactions to occur in certain droplets, while enhancement of other reactions could occur in still other droplets (2). Certain droplets could also possibly provide stable compartmentalization of primitive biopolymers, including catalytic or genetic ones (27), providing differential fitness landscapes for different types of molecules. Although only 2 small molecule dyes, 1 fluorescently labeled amphiphile, 1 protein, and 1 fluorescently labeled RNA were studied here, such dynamics are likely also different for other prebiotic small molecules and polymers. Thus, microscale heterogeneous droplet populations could have facilitated the emergence of heterogeneous microenvironments hosting unique, localized, and selectable reaction cohorts that could be coupled into more complex reaction networks, similar to what has been proposed to occur on heterogeneous mineral surfaces (45).

The stability of individual polyester microdroplets to avoid coalescence, for extended time periods compared with other prebiotic membraneless compartment systems (11, 27) or among other polyester droplets, is an important consideration in the emergence of life using simple heterogeneous compartments in biopolymer-based origins of life models, as individuality may be required for systems to undergo Darwinian evolution (46). The observation that ribozyme catalysis is possible in association with such droplets (*SI Appendix*, Fig. S34) and that a protein remains functional within them (*SI Appendix*, Fig. S33) suggests further that these droplets could assist both RNA world-based (47) and protein/peptide-based (48) origins of life models. We could not confirm whether the ribozyme self-cleavage reaction occurred explicitly within the droplets, or whether the reaction actually occurs when the RNA molecules exchange into the bulk solution, as FRAP experiments suggested a fairly fast RNA exchange rate compared with the hammerhead ribozyme reaction itself (*SI Appendix*, Figs. S34 and S37). The fast exchange rate of RNA with polyPA droplets suggests fairly rapid diffusion of genetic polymers into the environment, which might hamper Darwinian evolution in polyester droplet-based systems (27). However, the observation that lipid amphiphiles can assemble into layers around these droplets (*SI Appendix*, Fig. S31) suggests the association of

lipids with polyester droplets in primitive environments, which is plausible considering the diverse prebiotic milieu, potentially could have prevented rapid droplet coalescence and conferred greater droplet stability from hydrolysis at higher pH, while also potentially preventing rapid RNA exchange out of the droplets. Further studies combining lipids with polyester droplet systems may shed light on the ability of such droplets to serve as scaffolds for various biopolymer-based origins of life models (1, 47). Nevertheless, fast exchange of genetic polymers might actually facilitate exchange of genetic information between protocells; some models for the early evolution of life suggest this was the state of affairs before the major cell lineages became fixed (49). The droplets may also offer a model system to experimentally study the chemistry of composites, compositional assemblies that are proposed to be able to replicate and pass on compositional information to progeny (50). Mixed heteropolyester droplet systems coupled with environmental oscillations of pH, wetting, or temperature may change in composition over time. Heteropolyester gel microdroplets could undergo repeated cycles of polymerization, depolymerization, disaggregation, and fusion, resulting in compositional evolution and selection. These droplets could even help concentrate components of simple metabolic cycles (51); the hydrophobic environments within the polyester droplets might even facilitate the emergence of other reaction networks that do not easily occur in aqueous solution.

Based on the experimental evidence described here, we suggest that these polyester-based microdroplets could have played a role in primitive compartmentalization, and offer a facile model experimental system for exploring complex chemical dynamics in populations of polymers and compartments across multiple scales and compatible with a variety of origins of life models. Prebiotic organic chemical diversity was likely higher than in our proof-of-principle study (52), and chemical characterization of the resultant chemically complex systems would likely require the development of novel analytical techniques. Nevertheless, by further increasing complexity stepwise as in this study, one can systematically track changes in a system while still observing divergent and emergent properties, such as compositional or functional changes, arising from the ensemble system. The combinatorial methods used to generate prebiotic polymers with distinct “phenotypic” traits in this work could also be used together with other compounds and chemistries possibly available on early Earth, such as formation of branched dendrimer-type polymers (53) or depsipeptides (54). While our focus is origins of life studies, application of this system toward modern biomedical applications could lead to personalized medicine delivery microvessels. These systems could even offer a simple experimental system for studying the dynamics of modern biological membraneless compartments such as those mentioned previously (15). Further development of this model system, or other similar systems, in origins of life contexts could allow closer simulation of undirected and diverse chemical systems which are more representative of complex chemistries on early Earth or other planetary bodies.

Methods

Synthesis of Polyesters. All chemicals were purchased from Sigma-Aldrich (Chuo-ku) unless otherwise noted in *SI Appendix*. All experiments were conducted in open borosilicate test tubes unless otherwise noted. pH was not adjusted. Reactions were held at 80 °C for 1 wk. Starting total concentrations of all reactions were 500 mM α HA.

Microscopy. All experiments began with dried polyester freshly hydrated in 500 μ L 4:1 (vol/vol) water:acetonitrile (unless otherwise noted), followed by brief sonication and vortexing and sample slide preparation. Optical and epifluorescence microscopy images were acquired with an Olympus (Shinjuku-ku) IX73 inverted fluorescent microscope. FRAP and other confocal microscopy was performed with an Olympus IX81 confocal microscope. All images were analyzed using FIJI (Fiji is Just ImageJ, <http://fiji.sc>). Observations were performed in at least duplicate. See *SI Appendix* for sample preparation and FRAP curve-fitting details.

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